

Strongly Clean Matrices Over Power Series

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ABSTRACT. An $n \times n$ matrix A over a commutative ring is strongly clean provided that it can be written as the sum of an idempotent matrix and an invertible matrix that commute. Let R be an arbitrary commutative ring, and let $A(x) \in M_n(R[[x]])$. We prove, in this note, that $A(x) \in M_n(R[[x]])$ is strongly clean if and only if $A(0) \in M_n(R)$ is strongly clean. Strongly clean matrices over quotient rings of power series are also determined.

1. Introduction

An $n \times n$ matrix over a commutative ring is strongly clean provided that it can be written as the sum of an idempotent matrix and an invertible matrix. It is attractive to determine when a matrix over a commutative ring is strongly clean. In [8, Example 1], Wang and Chen constructed 2×2 matrices over a commutative local ring which are not strongly clean. In fact, it is hard to determine when a matrix is strongly clean. In [4, Theorem 2.3], Chen et al. discussed when every $n \times n$ matrix over a commutative local ring R , i.e., $M_n(R)$, is strongly clean ($n = 2, 3$). In [7, Theorem 2.6], Li investigated when a single 2×2 matrix over a commutative local ring is strongly clean. In [11, Theorem 7], Yang and Zhou characterized a 2×2 matrix ring over a local ring in which every matrix is strongly clean. Strongly clean generalized 2×2 matrices over a local ring were also studied by Tang and Zhou (cf.

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then $f = 0$ in any commutative ring R . We begin with the following results which are analogous to those over fields.

Lemma 1. *Let R be a ring, and let $f \in R[t]$ be monic and $g, h \in R[t]$. Then the following are equivalent:*

- (1) $res(f, g) = res(f, g + fh)$.
- (2) $res(f, gh) = res(f, g)res(f, h)$.

Proof. (1) Write $h = c_0t^s + \dots + c_s \in R[t]$. It will suffice to show that $res(f, g) = res(f, g + c_{s-i}t^i f)$. Since any determinant in which every entry in a row is a sum of two elements is the sum of two corresponding determinants, the result follows.

(2) Write $f = t^m + a_1t^{m-1} + \dots + a_m, g = b_0t^n + b_1t^{n-1} + \dots + b_n, h = c_0t^s + c_1t^{s-1} + \dots + c_s$. Then

$$\begin{aligned} \alpha(a_1, \dots, a_m; b_0, \dots, b_n; c_0, \dots, c_s) &= res(f, gh) - res(f, g)res(f, h) \\ &\in \mathbb{Z}[a_1, \dots, a_m; b_0, \dots, b_n; c_0, \dots, c_s]. \end{aligned}$$

Consider

$$\alpha(x_1, \dots, x_m; y_0, \dots, y_n; z_0, \dots, z_s) \in \mathbb{Z}[x_1, \dots, x_m; y_0, \dots, y_n; z_0, \dots, z_s].$$

Clearly, the result holds if $R = \mathbb{Q}$.

For any $u_1, \dots, u_m; v_0, \dots, v_n; w_0, \dots, w_s \in \mathbb{Q}$, we see that

$$\alpha(u_1, \dots, u_m; v_0, \dots, v_n; w_0, \dots, w_s) = 0.$$

By the Weyl Principal that

$$\alpha(x_1, \dots, x_m; y_0, \dots, y_n; z_0, \dots, z_s) = 0$$

in $\mathbb{Z}[x_1, \dots, x_m; y_0, \dots, y_n; z_0, \dots, z_s]$. Therefore

$$\alpha(a_1, \dots, a_m; b_0, \dots, b_n; c_0, \dots, c_s) = 0,$$

and so $res(f, gh) = res(f, g)res(f, h)$. □

Lemma 2. *Let R be a ring, and let $f, g \in R[t]$ be monic. Then the following are equivalent:*

- (1) $(f, g) = 1$.
- (2) $res(f, g) \in U(R)$.

Proof. (1) \Rightarrow (2) As $(f, g) = 1$, we can find some $u, v \in R[t]$ such that $uf + vg = 1$. By virtue of Lemma 1, one easily checks that $res(f, vg) = res(f, v)res(f, g) = res(f, vg + uf) = res(f, 1) = 1$. Accordingly, $res(f, g) \in U(R)$.

(2) \Rightarrow (1) Let $m = \text{deg}(f)$ and $n = \text{deg}(g)$. Observing that

$$\text{res}(f, g) \begin{vmatrix} & & & t^{m+n} \\ & & & \vdots \\ & I_{m+n-1} & & t \\ 0 & \cdots & 0 & 1 \end{vmatrix} = * \begin{vmatrix} t^n f \\ \vdots \\ f \\ t^m g \\ \vdots \\ g \end{vmatrix},$$

therefore we can find some $u, v \in R[t]$ such that $\text{res}(f, g) = uf + vg$. Hence $(\text{res}(f, g))^{-1}uf + (\text{res}(f, g))^{-1}vg = 1$, as asserted. \square

For any $r \in R$, set $S_r = \{f \in R[t] \mid f \text{ monic, and } f(r) \in U(R)\}$.

Lemma 3. ([5, Theorem 4.4 and Theorem 4.6]) *Let R be a ring, and let $h \in R[t]$ be a monic polynomial of degree n . Then the following are equivalent:*

- (1) *Every $\varphi \in M_n(R)$ with $\chi(\varphi) = h$ is strongly clean.*
- (2) *There exists a factorization $h = h_0h_1$ such that $h_0 \in S_0, h_1 \in S_1$ and $(h_0, h_1) = 1$.*

Let $A(x) = (a_{ij}(x)) \in M_n(R[[x]])$, where each $a_{ij}(x) \in R[[x]]$. We use $A(0)$ to denote the matrix $(a_{ij}(0)) \in M_n(R)$. We now have at our disposal the information necessary to prove the following.

Theorem 4. *Let R be a ring, and let $A(x) \in M_n(R[[x]]) (n \geq 1)$. Then the following are equivalent:*

- (1) *$A(0) \in M_n(R)$ is strongly clean.*
- (2) *$A(x) \in M_n(R[[x]])$ is strongly clean.*

Proof. (1) \Rightarrow (2) Obviously, $R[[x]]$ is projective-free. Let $H(x, t) = \chi(A(x)) \in R[[x]][t]$. Then $H(0, t) = \chi(A(0)) \in R[t]$. By using Lemma 3, $H(0, t) = h_0h_1$, where $h_0 = t^m + \alpha_1t^{m-1} + \cdots + \alpha_m \in S_0, h_1 = t^s + \beta_1t^{s-1} + \cdots + \beta_s \in S_1$ and $(h_0, h_1) = 1$. Next, we will find a factorization $H(x, t) = H_0H_1$ where $H_0(x, t) = t^m + \sum_{i=0}^{m-1} A_i(x)t^i \in S_0$ and $H_1(x, t) = t^s + \sum_{i=0}^{s-1} B_i(x)t^i \in S_1$. Choose $H_0(0, t) \equiv h_0$ and $H_1(0, t) \equiv h_1$. Write $H(x, t) = \sum_{i=0}^n (\sum_{j=0}^{\infty} c_{ij}x^j)t^i$. Then

$$\begin{aligned} H(x, t) &= \sum_{j=0}^{\infty} \left(\sum_{i=0}^n c_{ij}t^i \right) x^j \\ &= H(0, t) + \sum_{j=1}^{\infty} \left(\sum_{i=0}^n c_{ij}t^i \right) x^j. \end{aligned}$$

Write $A_i(x) = \sum_{j=0}^{\infty} a_{ij}x^j$ and $B_i(x) = \sum_{j=0}^{\infty} b_{ij}x^j$. Then

$$\begin{aligned} H_0 &= t^m + \sum_{i=0}^{m-1} \left(\sum_{j=0}^{\infty} a_{ij}x^j \right) t^i \\ &= t^m + \sum_{j=0}^{\infty} \left(\sum_{i=0}^{m-1} a_{ij}t^i \right) x^j \\ &= h_0 + \sum_{j=1}^{\infty} \left(\sum_{i=0}^{m-1} a_{ij}t^i \right) x^j. \end{aligned}$$

Likewise,

$$H_1 = h_1 + \sum_{j=1}^{\infty} \left(\sum_{i=0}^{s-1} b_{ij}t^i \right) x^j.$$

Write $H_0H_1 = h_0h_1 + \sum_{j=1}^{\infty} z_jx^j$. Thus, we should have

$$\begin{aligned} z_1 &= h_0 \left(\sum_{i=0}^{s-1} b_{i1}t^i \right) + \left(\sum_{i=0}^{m-1} a_{i1}t^i \right) h_1 \\ &= \sum_{i=0}^{n-1} c_{i1}t^i. \end{aligned}$$

This implies that

$$(b_{(s-1)1}, \dots, b_{01}, a_{(m-1)1}, \dots, a_{01})A = (c_{(n-1)1}, \dots, c_{01}),$$

where $A = \begin{pmatrix} 1 & \alpha_1 & \cdots & \alpha_m \\ & 1 & \alpha_1 & \cdots & \alpha_m \\ & & \ddots & \ddots & \ddots & \ddots \\ & & & 1 & \alpha_1 & \cdots & \alpha_m \\ 1 & \beta_1 & \cdots & \beta_s \\ & 1 & \beta_1 & \cdots & \beta_s \\ & & \ddots & \ddots & \ddots & \ddots \\ & & & 1 & \beta_1 & \cdots & \beta_s \end{pmatrix}$. As $(h_0, h_1) = 1$, it follows from

Lemma 2 that $\text{res}(h_0, h_1) \in U(R)$. Thus, $\det(A) \in U(R)$, and so we can find $a_{i1}, b_{j1} \in R$.

$$\begin{aligned} z_2 &= h_0 \left(\sum_{i=0}^{s-1} b_{i2}t^i \right) + \left(\sum_{i=0}^{m-1} a_{i1}t^i \right) \left(\sum_{i=0}^{s-1} b_{i1}t^i \right) + \left(\sum_{i=0}^{m-1} a_{i2}t^i \right) h_1 \\ &= \sum_{i=0}^{n-1} c_{i2}t^i. \end{aligned}$$

Hence

$$\begin{aligned} h_0\left(\sum_{i=0}^{s-1} b_{i2}t^i\right) + \left(\sum_{i=0}^{m-1} a_{i2}t^i\right)h_1 &= \sum_{i=0}^{n-1} c_{i2}t^i - \left(\sum_{i=0}^{m-1} a_{i1}t^i\right)\left(\sum_{i=0}^{s-1} b_{i1}t^i\right) \\ &= \sum_{i=0}^{n-1} d_{i2}t^i. \end{aligned}$$

Thus,

$$\left((b_{(s-1)2}, \dots, b_{02}, a_{(m-1)2}, \dots, a_{02})\right)A = (d_{(n-1)2}, \dots, d_{02}),$$

whence we can find $a_{i2}, b_{j2} \in R$. By iteration of this process, we can find $a_{ij}, b_{ij} \in R, j = 3, 4, \dots$. Therefore we have H_0 and H_1 such that $H(x, t) = H_0H_1$. Further, $H_0(x, 0) = H_0(0, 0) + xf(x) = h_0(0) + xf(x) \in U(R[[x]])$ and $H_1(x, 1) = H_1(0, 1) + xg(x) = h_1(1) + xg(x) \in U(R[[x]])$. Thus, $H_0(x, t) \in S_0$ and $H_1(x, t) \in S_1$. As $(h_0, h_1) = 1$, we get $(H_0, H_1) \equiv 1 \pmod{(xR[[x]])[t]}$, and so $(H_0, H_1) + J(R[[x]])R[[x]][t] = R[[x]][t]$. Set $M = R[[x]][t]/(H_0, H_1)$. Then M is a finitely generated $R[[x]]$ -module, and that $J(R[[x]])M = M$. By Nakayama's Lemma, $M = 0$, and so $(H_0, H_1) = 1$. Accordingly, $A(x) \in M_n(R[[x]])$ is strongly clean by Lemma 3.

(2) \Rightarrow (1) This is obvious. □

Corollary 5. *Let R be a ring, and let $A(x) \in M_n(R[x]/(x^n)) (n \geq 1)$. Then the following are equivalent:*

- (1) $A(0) \in M_n(R)$ is strongly clean.
- (2) $A(x) \in M_n(R[x]/(x^n))$ is strongly clean.

Proof. (1) \Rightarrow (2) Write $\overline{A(x)} = \sum_{i=0}^{n-1} \overline{a_i}x^i \in M_n(R[x]/(x^n))$. Then $A(x) \in M_n(R[[x]])$. In view of Theorem 4, there exist $E^2 = E = \left(\sum_{k=0}^{\infty} e_k^{ij} x^k\right), U = \left(\sum_{k=0}^{\infty} u_k^{ij} x^k\right) \in GL_n(R[[x]])$ such that $A(x) = E + U$ and $EU = UE$. As $R[[x]]/(x^n) \cong R[x]/(x^n)$, we see that $\overline{A(x)} = \overline{E} + \overline{U}$ and $\overline{EU} = \overline{UE}$, where $\overline{E}^2 = \overline{E} = \left(\sum_{k=0}^{n-1} e_k^{ij} x^k\right) \in M_n(R[x]/(x^n))$ and $\overline{U} = \left(\sum_{k=0}^{n-1} u_k^{ij} x^k\right) \in GL_n(R[[x]]/(x^n))$, as desired.

(2) \Rightarrow (1) This is clear. □

We now extend [6, Theorem 2.10] and [10, Theorem 2.7] as follows.

Corollary 6. *Let R be a ring, and let $n \geq 1$. Then the following are equivalent:*

- (1) $M_n(R)$ is strongly clean.

- (2) $M_n(R[[x]])$ is strongly clean.
- (3) $M_n(R[x]/(x^m))(m \geq 1)$ is strongly clean.
- (3) $M_n(R[[x_1, \dots, x_m]])(m \geq 1)$ is strongly clean.
- (3) $M_n(R[[x_1, \dots, x_m]]/(x_1^{n_1}, \dots, x_m^{n_m}))(m \geq 1)$ is strongly clean.

Proof. These are obvious by induction, Theorem 4 and Corollary 5. □

Example 7. Let $A(x) \in M_2(\mathbb{Z}[[x]])$. Then $A(x) \in M_2(\mathbb{Z}[[x]])$ is strongly clean if and only if $A(0) \in GL_2(\mathbb{Z})$, or $I_2 - A(0) \in GL_2(\mathbb{Z})$, or $A(0)$ is similar to one of the matrices in the set $\left\{ \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 2 & 0 \\ 0 & -1 \end{pmatrix} \right\}$.

Proof. In light of Theorem 4, $A(x) \in M_2(\mathbb{Z}[[x]])$ is strongly clean if and only if so is $A(0)$. Therefore we complete the proof, by [2, Example 16.4.9]. □

Example 8. Let $A(x) = \begin{pmatrix} x & 3 + x^2 \\ 1 + \sum_{i=1}^{\infty} x^i & 2 - x \end{pmatrix} \in M_2(\mathbb{Z}[[x]])$. Then $\chi(A(0)) = t^2 - 2t - 3$. It is easy to verify that there are no any $h_0 \in S_0$ and $h_1 \in S_1$ such that $\chi(A) = h_0h_1$. Accordingly, $A(0) \in M_2(\mathbb{Z})$ is not strongly clean by Lemma 3. Therefore $A(x) \in M_2(\mathbb{Z}[[x]])$ is not strongly clean, in terms of Theorem 4.

Lemma 9. Let R be a ring, $\text{char}(R) = 2$, and let $G = \{1, g\}$ be a group. Then the following hold:

- (1) $R[x]/(x^2 - 1) \cong RG$.
- (2) $a + bg \in U(RG)$ if and only if $a + b \in U(R)$.

Proof. (1) is proved in [3, Lemma 2.1].

(2) Obviously, $(a + bg)(a - bg) = a^2 - b^2 = (a + b)(a - b)$. Hence, $(a + bg)^2 = (a + b)^2$, as $\text{char}(R) = 2$. If $a + bg \in U(RG)$, then $(a + bg)(x + yg) = 1$ for some $x, y \in R$. This implies that $(a + bg)^2(x + yg)^2 = 1$, hence that $(a + b)^2(x + y)^2 = 1$. Accordingly, $a + b \in U(R)$. The converse is analogous. □

Let $A(x) = (\overline{a_{ij}(x)}) \in M_n(R[x]/(x^2 - 1))$ where $\text{deg}(a_{ij}(x)) \leq 1$, and let $r \in R$. We use $A(r)$ to stand for the matrix $(a_{ij}(r)) \in M_n(R)$.

Theorem 10. Let R be a ring with $\text{char}(R) = 2$ and let $A(x) \in M_n(R[[x]]/(x^2 - 1))(n \geq 1)$. Then the following are equivalent:

- (1) $A(1) \in M_n(R)$ is strongly clean.
- (2) $A(x) \in M_n(R[[x]]/(x^2 - 1))$ is strongly clean.

Proof. (1) \Rightarrow (2) Let $H(g, t) = \chi(A(g)) \in (RG)[t]$. Then $H(1, t) = \chi(A(1)) \in R[t]$. In light of Lemma 3, $H(1, t) = h_0h_1$, where $h_0 = t^m + \alpha_{m-1}t^{m-1} + \dots + \alpha_0 \in$

$S_0, h_1 = t^s + \beta_{s-1}t^{s-1} + \dots + \beta_0 \in S_1$ and $(h_0, h_1) = 1$. We shall find a factorization $H(g, t) = H_0H_1$ where $H_0(g, t) = t^m + \sum_{i=0}^{m-1} (y_i + (\alpha_i - y_i)g)t^i \in S_0$ and $H_1(g, t) = t^s + \sum_{i=0}^{s-1} (z_i + (\beta_i - z_i)g)t^i \in S_1$. Clearly, $H_0(1, t) \equiv h_0$ and $H_1(1, t) \equiv h_1$. We will suffice to find y_i 's and z_i 's. Write $H(g, t) = \sum_{i=0}^n (r_i + s_i g)t^i$. The equality $H(g, t) = H_0H_1$ is equivalent to

$$t^n + \sum_{i=0}^{n-1} r_i t^i = (t^m + \sum_{i=0}^{m-1} y_i t^i)(t^s + \sum_{i=0}^{s-1} z_i t^i) + \left(\sum_{i=0}^{m-1} (\alpha_i - y_i)t^i\right)\left(\sum_{i=0}^{s-1} (\beta_i - z_i)t^i\right) (*)$$

$$\sum_{i=0}^{n-1} s_i t^i = (t^m + \sum_{i=0}^{m-1} y_i t^i)\left(\sum_{i=0}^{s-1} (\beta_i - z_i)t^i\right) + (t^s + \sum_{i=0}^{s-1} z_i t^i)\left(\sum_{i=0}^{m-1} (\alpha_i - y_i)t^i\right) (**).$$

(**) holds from $H(1, t) = h_0h_1 = H_0(1, t)H_1(1, t)$. (*) is equivalent to

$$\begin{aligned} y_0z_0 + (\alpha_0 - y_0)(\beta_0 - z_0) &= r_0, \\ y_0z_1 + y_1z_0 + (\alpha_0 - y_0)(\beta_1 - z_1) + (\alpha_1 - y_1)(\beta_0 - z_0) &= r_1, \\ &\vdots \\ y_{m-2} + y_{m-1}z_{s-1} + z_{s-2} + (\alpha_{m-1} - y_{m-1})(\beta_{s-1} - z_{s-1}) &= r_{n-2}, \\ y_{m-1} + z_{s-1} &= r_{n-1}. \end{aligned}$$

As $char(R) = 2$, we have

$$\begin{aligned} \beta_0y_0 + \alpha_0z_0 &= r_0 + \alpha_0\beta_0, \\ \beta_0y_1 + \beta_1y_0 + \alpha_0z_1 + \alpha_1z_0 &= r_1 + \alpha_0\beta_1 + \alpha_1\beta_0, \\ &\vdots \\ \beta_{s-1}y_{m-1} + y_{m-2} + \alpha_{m-1}z_{s-1} + z_{s-2} &= r_{n-2} + \alpha_{m-1}\beta_{s-1}, \\ y_{m-1} + z_{s-1} &= r_{n-1}. \end{aligned}$$

This implies that

$$(y_{m-1}, \dots, y_0, z_{s-1}, \dots, z_0)A = (*, \dots, *),$$

where

$$A = \begin{pmatrix} 1 & \beta_{m-1} & \dots & \beta_0 & & & & \\ & 1 & \beta_{m-1} & \dots & \beta_0 & & & \\ & & \ddots & \ddots & \ddots & \ddots & & \\ & & & 1 & \beta_{m-1} & \dots & \beta_0 & \\ 1 & \alpha_{s-1} & \dots & \alpha_0 & & & & \\ & 1 & \alpha_{s-1} & \dots & \alpha_0 & & & \\ & & \ddots & \ddots & \ddots & \ddots & & \\ & & & 1 & \alpha_{s-1} & \dots & \alpha_0 & \end{pmatrix}.$$

As $(h_1, h_0) = 1$, it follows from Lemma 2, that $res(h_1, h_0) \in U(R)$. Thus, $det(A) \in U(R)$, and so we can find $y_i, z_j \in R$ such that $(*)$ and $(**)$ hold. In other words, we have H_0 and H_1 such that $H(g, t) = H_0 H_1$. Obviously, $H_0(g, 0) = y_0 + (\alpha_0 - y_0)g$. As $y_0 + (\alpha_0 - y_0) = \alpha_0 = h_0(0) \in U(R)$, it follows by Lemma 9 that $H_0(g, 0) \in U(RG)$, i.e., $H_0 \in S_0$. Further, $H_1(g, 1) = 1 + \sum_{i=1}^{s-1} (z_i + (\beta_i - z_i)g) = 1 + \sum_{i=1}^{s-1} z_i + (\sum_{i=1}^{s-1} (\beta_i - z_i))g$.

It is easy to check that $1 + \sum_{i=1}^{s-1} z_i + (\sum_{i=1}^{s-1} (\beta_i - z_i)) = 1 + \sum_{i=1}^{s-1} \beta_i = h_1(1) \in U(R)$.

In view of Lemma 9, $H_1 \in S_1$. Clearly, $\varphi(g) := res(H_0, H_1) \in RG$. As $\varphi(1) = res(H_0(1, t), H_1(1, t)) = res(h_0, h_1) \in U(R)$. By using Lemma 9 again, $\varphi(g) \in U(RG)$, i.e., $res(H_0, H_1) \in U(RG)$. In light of Lemma 2, we get $(H_0, H_1) = 1$.

Therefore, $A(g) \in M_n(RG)$ is strongly clean, as required.

(2) \Rightarrow (1) Let $\psi : RG \rightarrow R, a + bg \mapsto a + b$. Then we get a corresponding ring morphism $\mu : M_n(RG) \rightarrow M_n(R), (a_{ij}(g)) \mapsto (\psi(a_{ij}(g)))$. As $A(g)$ is strongly clean, we can find an idempotent $E \in M_n(RG)$ such that $A(g) - E \in GL_n(RG)$ and $EA = AE$. Applying μ , we get $A(1) - \mu(E) \in GL_n(R)$, where $\mu(E) \in M_n(R)$ is an idempotent, hence the result follows. \square

Example 11. Let $S = \{0, 1, a, b\}$ be a set. Define operations by the following tables:

+	0	1	a	b		×	0	1	a	b
0	0	1	a	b		0	0	0	0	0
1	1	0	b	a	,	1	0	1	a	b
a	a	b	0	1		a	0	a	b	1
b	b	a	1	0		b	0	b	1	a

Then S is a finite field with $|S| = 4$. Let

$$R = \{s_1 + s_2z \mid s_1, s_2 \in S, z \text{ is an indeterminant satisfying } z^2 = 0\}.$$

Then R is a commutative local ring with $char R = 2$. We claim that

$$A(x) = \begin{pmatrix} \overline{az} & \overline{z+x} \\ \overline{1+x} & \overline{b+zx} \end{pmatrix} \in M_2(R[x]/(x^2 - 1))$$

is strongly clean. Clearly, $A(1) = \begin{pmatrix} az & 1+z \\ 0 & 1+bz \end{pmatrix} \in M_2(R)$. As $\chi(A(1))$ has a root $az \in J(R)$ and a root $1 + bz \in 1 + J(R)$, $A(1)$ is strongly clean, and we are through by Theorem 10.

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