

1 **Studying the effects of galactic cosmic radiation on astro- and microbiological model**  
2 **systems**

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16 **MINIREVIEW - TEXT**

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18 In-depth knowledge regarding the biological effects of the radiation field in space is required for  
19 assessing the radiation risks in space (**Fig. 1 and 2**). Within the last 50 years, space technology  
20 has provided tools for transporting terrestrial life beyond this protective magnetic field in order  
21 to study in situ responses to selected conditions of space (reviewed in Horneck et al., 2010).  
22 From a biological perspective applicable to simple and complex organisms (ranging from  
23 biomolecules and microorganisms to humans) various influential physical modifications such as  
24 increased radiation exposure were experienced onboard an orbiting spacecraft in low Earth orbit  
25 (LEO), out- and inside the International Space Station (ISS), orbiting Moon or on the way to  
26 other astrobiological-interesting targets (Mars or icy moons of Saturn or Jupiter). The majority of  
27 experiments on microorganisms in space were performed using Earth-orbiting robotic spacecraft,  
28 e.g., the Russian Foton satellites (FOTON) and the European Retrieval Carrier (EURECA), or  
29 human-tended spacecraft, such as space shuttles and space stations, e.g., MIR and ISS (reviewed  
30 in Nicholson, 2009; Nicholson et al., 2009; Horneck et al., 2010).

31 Ionizing radiation is considered to be one of the major threats and hazards for astronauts  
32 (as well as for all other biological systems). The two cosmic sources of radiation that could  
33 impact a mission outside the Earth's magnetic field are solar particle events (SPE) and galactic  
34 cosmic rays (GCR) (**Fig. 1 and 2**). One of the major radiation sources in our solar system is the  
35 Sun itself (Hellweg and Baumstark-Khan, 2007; Reitz, 2008). Charged particles, mainly  
36 electrons and protons (hydrogen nuclei) are steadily ejected from the upper atmosphere of the  
37 Sun, creating the solar wind with a relatively low energy of about 1 keV (Ferrari and  
38 Szuskiewicz, 2009; Dartnell, 2011). In addition, solar particle events (SPE), eruptions of high  
39 energy particles, occur sporadically and are more frequent during phases of solar maximum  
40 (McKenna-Lawlor et al., 2011). SPE originate from magnetically disturbed regions of the Sun  
41 and are composed primarily of protons (~90-95 %) with a minor component (~5-10 %) being  
42 helium nuclei (alpha particles) and an even smaller part (~1 %) heavy ions and electrons  
43 (Badhwar and O'Neill, 1994; Benton and Benton, 2001). SPEs can last for hours to days and can  
44 reach very high energies, in the worst cases up to tens of thousands of MeV. In contrast, GCR  
45 reaches our solar system from our Galaxy and even beyond and originates in cataclysmic  
46 astronomical events such as supernova explosions (**Fig. 1 and 2**).

47 GCR is a continuous radiation in space consisting of 98 % baryons and 2 % electrons  
48 (Hellweg and Baumstark-Khan, 2007). The baryonic component is composed of 85 % protons,  
49 with the remainder being alpha particles (14 %) and heavier nuclei (about 1%). These heavier  
50 particles, also called HZE particles (particles of high charge Z and high energy E) can reach very  
51 high energies, up to >1000 GeV (Dartnell, 2011). However, HZE particles are orders of  
52 magnitude less frequent than the solar radiation and the particles of the radiation belts  
53 surrounding Earth. The flux of the lower energy part of GCR, i.e. of energies below 10 000 MeV  
54 in our solar system, is modulated by the Sun's magnetic field; therefore it depends on the activity  
55 cycle of our Sun, i.e. reduced at solar maximum and increased at solar minimum (Pissarenko,  
56 1994; Reitz, 2008). GCR constitute a variety of accelerated nuclei of different chemical elements  
57 with very high energies up to  $10^{20}$  eV, most of the deleterious effects with regard to astronauts'  
58 health produced by GCR are associated with nuclei in the energy range from several hundred  
59 MeV/nucleon to a few GeV/nucleon (Badhwar and O'Neill, 1994; Nelson, 2003). The space  
60 ionizing radiation environment of our galaxy is dominated by highly energetic and penetrating  
61 ions and nuclei (**Fig. 2**). These particles constitute the primary radiation hazard for humans (and

62 all other biological systems) in space (Nelson, 2003; Ferrari and Szuszkiewicz, 2009; Dartnell,  
63 2011). In the interplanetary space, the primary components of the radiation field (**Fig. 1**) are  
64 GCR and solar cosmic radiation (SCR). Studying the impact of cosmic rays may hold answers to  
65 a great number of fundamental questions, but they also shape our natural habitat and influence  
66 the radiation environment of our planet Earth as well as the radiation climate of astrobiological-  
67 relevant planetary objects (Ferrari and Szuszkiewicz, 2009; Dartnell, 2011).

68 To obtain this knowledge, microorganisms, plants, and animals have been studied as  
69 radiobiological model systems in space and at heavy ion accelerators on the ground (reviewed in  
70 Horneck et al., 2010). Radiation interacts with matter primarily through ionization and excitation  
71 of electrons in atoms and molecules (Nelson, 2003). Biological effects are induced either through  
72 direct energy absorption by key biomolecules, such as proteins and nucleic acids, or indirectly  
73 via interactions of those molecules with radiation-induced radicals which are produced, for  
74 example, by radiolysis of cellular water as well as with other secondary particles (such as  
75 secondary electrons; *Bremsstrahlung*) (Hutchinson, 1985; Goodhead, 1994; 1999) (**Fig. 3**).

76 Both low and high LET radiation produce a wide range of damage types (SSB, DSB) but  
77 also clustered DNA damage, a variety of different lesions, for example, strand breaks, abasic  
78 sites, or oxidized bases in short regions (i.e., one or two helical turns of the DNA); however, not  
79 all damage types have the same biological significance (Hutchinson, 1985; Goodhead, 1994,  
80 1999; Yokoya et al., 2008). Furthermore, it is known that clustered DNA damage in local regions  
81 of the DNA are known to act as critical lesions for increased mutagenicity and high lethality  
82 (Sutherland et al., 2000; Lomax et al., 2002; Moeller et al., 2010) (**Fig. 3**).

83 In a variety of space experiments, several microorganisms have been used as biological  
84 dosimeters to characterize the biological efficiency of different parts of the galactic cosmic  
85 radiation (reviewed in Horneck et al., 2010). Since the advent of space flight, the ability of  
86 microorganisms to survive exposure to outer space conditions e.g. parts of the GCR has been  
87 investigated to examine the following questions: To which extent could microbial life respond or  
88 survive the exposure to simulated space radiation? Which biochemical cellular changes can be  
89 determined in different astrobiological model systems? What is the contribution of the GCR in  
90 interplanetary transport of microorganisms by natural processes (meteorite-mediated  
91 lithopanspermia) or man-made processes (microorganisms-contaminated spacecraft with regard  
92 to planetary protection)?

93 In 2012, we established a consortium (called STARLIFE (“Intercomparison study of  
94 astrobiological model systems in their response to major components of the galactic cosmic  
95 radiation”)) of various international laboratories and research groups in order to determine and  
96 investigate a selection of previously, present and intended use of astrobiological model systems  
97 for the ionizing radiation experiments at HIMAC (Heavy Ion Medical Accelerator at the National  
98 Institute of Radiological Sciences (NIRS & QST) in Chiba, Japan) (Moeller et al., 2017).  
99 Because exposure to cosmic radiation damages living cells leading to mutagenesis or cell death,  
100 it is an important parameter in consideration of astronaut safety and performance (Petrov, 2002).  
101 It is also a highly relevant parameter in the study of interplanetary transport of microorganisms  
102 either by natural impact processes (i.e., lithopanspermia) or as a consequence of human  
103 spaceflight activities (i.e., planetary protection) (see COSPAR, 2015; Hassler et al., 2014). In  
104 both cases, cosmic radiation constitutes the environmental space parameter that may limit  
105 microbial survival over long periods (Tuleta et al., 2005; Nicholson, 2009). In STARLIFE, all  
106 samples were exposed under identical conditions to same dose and qualities of ionizing radiation  
107 allowing (i) a direct comparison between the tested specimens and (ii) providing information on

108 the impact of the space radiation environment on currently used astro- and microbiological  
109 model organisms.

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## 177 **Figure legend**

178 **Figure 1** Space radiation sources of our solar system: sun, Earth, Moon, Mars and Jupiter. Of  
179 special concern for astro-/microbiology-relevant missions are GCR and the electrons, protons  
180 and heavy ions of SCR (modified from reference (Hellweg and Baumstark-Khan, 2007)).  
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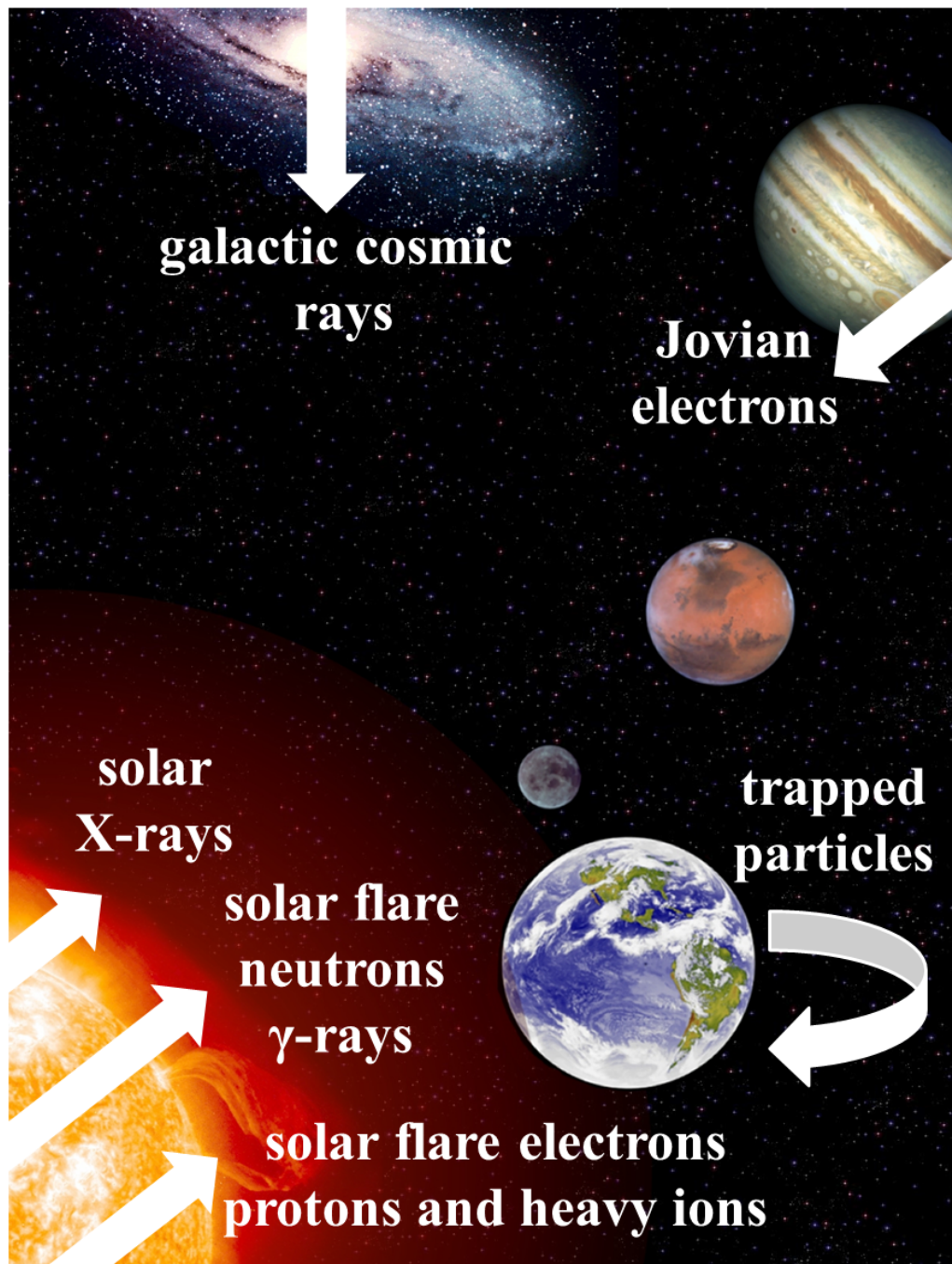
184 **Figure 2** Space radiation sources of our solar system: sun and Earth.  
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186 **Figure 3.** Radiobiological chain of events that starts in a microbial cell after exposure to ionizing  
187 radiation, with two alternative pathways of interaction, resulting in either direct or indirect  
188 radiation damage (see Horneck et al., 2010 (Figure 4)).  
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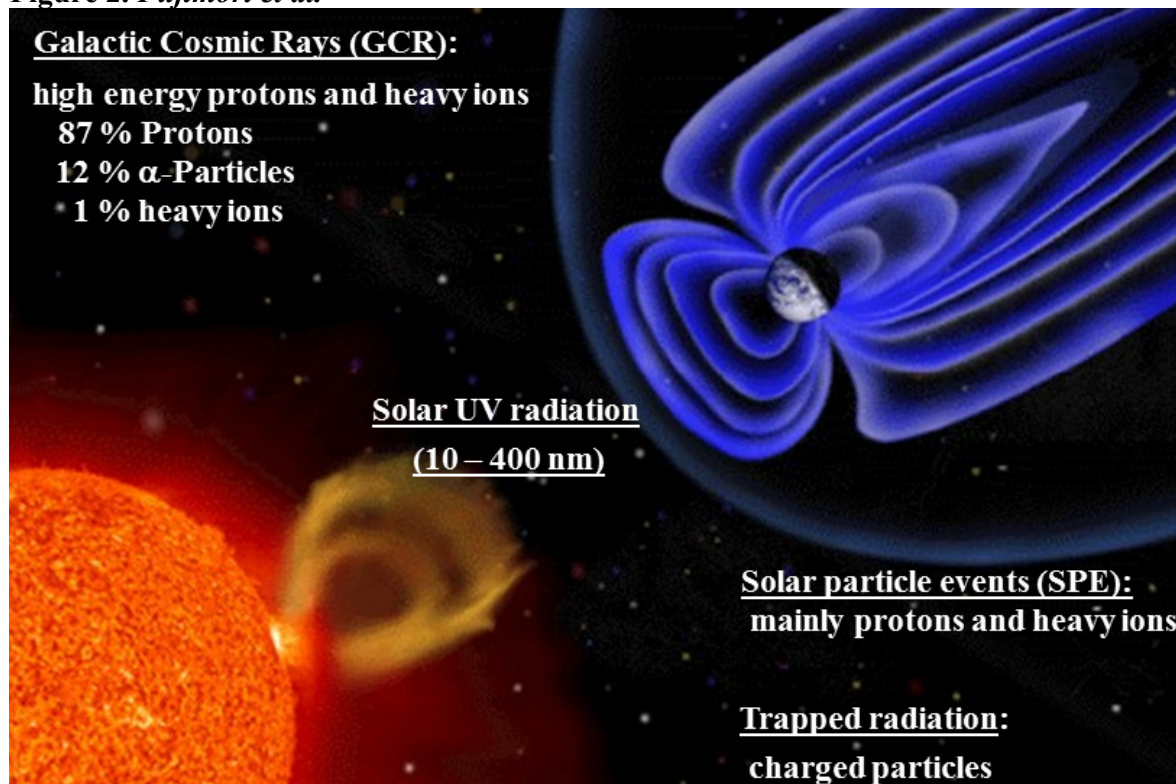
Figures

Figure 1. *Fujimori et al.*



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Figure 2. Fujimori et al.



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Figure 3 Fujimori et al.

