

1 **Personalisation of Warfarin Therapy using Thermal Ink-Jet Printing**

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28 **Abstract**

29 Warfarin is a widely used anticoagulant that is critical in reducing patient morbidity
30 and mortality associated with thromboembolic disorders. However, its narrow
31 therapeutic index and large inter-individual variability can lead to complex dosage
32 regimes. Formulating warfarin as an orodispersible film (ODF) using thermal ink-jet
33 (TIJ) printing could enable personalisation of therapy to simplify administration.
34 Commercial TIJ printers are currently unsuitable for printing the milligram dosages,
35 typically required for warfarin therapy. As such, this study aimed to modify a
36 commercial TIJ printing system to formulate personalised warfarin ODFs containing
37 therapeutic dosages. A TIJ printer was modified successfully with the printer
38 functionality intact; the substrate (paper) rolling mechanism of the printer was
39 replaced by printing onto a stationary stage. Free film substrates were composed of
40 hydroxypropyl methylcellulose (20% w/w) and glycerol (3% w/w). The resulting
41 ODFs were characterised for morphology, disintegration, solid-state properties and
42 drug content. Printed film stability was assessed at 40°C/75% relative humidity for 30
43 days. Therapeutic warfarin doses (1.25 and 2.5 mg) were successfully printed onto the
44 film substrates. Excellent linearity was observed between the theoretical and
45 measured dose by changing the warfarin feed concentration ($R^2 = 0.9999$) and length
46 of the print objective, i.e. the Y-value, ($R^2 = 0.9998$). Rapid disintegration of the
47 ODFs was achieved. As such, this study successfully formulated personalised
48 warfarin ODFs using a modified TIJ printer, widening the range of applications for
49 TIJ printing to formulate narrow therapeutic index drugs.

50

51 **Keywords:**

52 Warfarin, thermal ink-jet printing, personalised medicine, orodispersible films,
53 hydroxypropyl methylcellulose

54

55 1 Introduction

56 Warfarin is the primary drug of choice for long-term anticoagulation in a variety of
57 conditions, including venous thrombosis, pulmonary embolism and atrial fibrillation
58 (1, 2). However, its narrow therapeutic index and large inter-individual variability
59 create a number of challenges (3). Warfarin dosages must be individualised for each
60 patient to ensure that the anticoagulant effect is safe and effective, typically reflected
61 in an International Normalised Ratio (INR) range of 2-3 (4). Critically, inadequate
62 control of INR can lead to severe adverse effects; under-anticoagulation can
63 predispose patients to thrombosis, whereas over-anticoagulation can increase the risk
64 of bleeding (3).

65 Despite the importance of maintaining warfarin within the therapeutic range, around
66 50% of patients fail to achieve their target INR (5). Warfarin has also been listed in
67 the top three most likely drugs to cause adverse reactions leading to hospital
68 admissions (6). This could be partly explained by warfarin's inherently complex
69 dosage regime and monitoring requirements. Therapeutic doses for different patients
70 can vary widely, requiring anywhere between 4.5-77.25 mg per week (7, 8).
71 However, commercially available warfarin tablets are manufactured in only a few
72 fixed strengths (0.5mg, 1mg, 3mg and 5mg) (2). As such, patients are often required
73 to take a combination of strengths, split tablets or take different dosages on alternate
74 days. This increases the risk of patient confusion, medication errors and non-
75 adherence, potentially leading to severe adverse effects or therapeutic failure (3, 9).

76 Personalised medicine has been suggested as a solution to ensure the safe and
77 effective use of narrow therapeutic index drugs (10, 11). In the case of warfarin,
78 tailored dosing has been estimated to prevent 85,000 serious bleeding events and save
79 \$1.1 billion each year within the United States alone (12). As such, there is a major
80 clinical need for the development of warfarin as a formulation that permits dose
81 flexibility and personalisation.

82 Advances in personalised medicines demand precise, rapid and flexible
83 manufacturing platforms capable of printing customised dosage forms directly at the
84 point of care. Inkjet printing, a form of 2-Dimensional (2D) printing, has received
85 increasing attention within pharmaceuticals. The general process involves dissolving

86 or suspending an active pharmaceutical ingredient into a liquid carrier in order to
87 create an 'ink'. The small 'ink' droplets (2-180 pL) are then ejected from a nozzle
88 onto a solid substrate using either thermal (TIJ) or piezoelectric ink-jets. Both
89 techniques have previously been used to deposit active pharmaceutical ingredients
90 onto edible substrates (13, 14) (15-17). A thermal inkjet printer was utilised for this
91 work.

92 In brief, a TIJ system is comprised of a print head on a cartridge which serves as a
93 reservoir for the 'ink'. A current is pulsed through a resistive element in the print
94 head, causing an internal temperature rise and subsequent vaporisation, nucleation
95 and expansion of a bubble, which imparts sufficient energy to eject a droplet. The
96 droplet is then precisely deposited onto a solid substrate; this has enabled inkjet
97 printing to find numerous pharmaceutical applications. To date, this technology has
98 been used to coat and load drug-eluting stents (18), to coat transdermal microneedles
99 (13) and to manufacture drug-loaded microparticles (14, 19).

100 In the context of personalised medicines, TIJ could be used to print a variety of
101 individualised dosages onto an edible substrate, such as orodispersible films (ODFs).
102 This concept was demonstrated by Buanz. *et al.*, whereby a highly potent drug
103 (salbutamol sulphate; 40 $\mu\text{g}/\text{cm}^2$ per print pass) was printed onto an edible potato
104 starch film (16). However, commercially available TIJ printers are only able to
105 deposit very low doses (approximately a maximum of 35 $\mu\text{g}/\text{print cycle}$). As such,
106 this technology is currently only suitable for formulating highly potent drugs (20).

107 This provides a challenge when attempting to formulate narrow therapeutic index
108 drugs that typically require dosing within the milligram range, such as warfarin.
109 Researchers have attempted to increase drug deposition via a number of approaches,
110 for example by using multiple printing cycles (21) and higher feed concentrations
111 (22). However, challenges surrounding non-linearity of drug deposition and
112 crystallisation of active pharmaceutical ingredient were found. To extend the
113 applications of TIJ, it is clear that a novel method to increase the amount of drug
114 deposition is required.

115 As such, this study describes the modification of a commercial TIJ printing system to
116 formulate customised warfarin ODFs (up to milligram dosages). The resulting ODFs
117 were characterised and evaluated for drug content and stability.

118 2 **Materials and Methods**

119 **2.1 Materials**

120 Sodium warfarin was obtained from LKT Labs, UK; hydroxypropyl methylcellulose
121 (HPMC) 6cp, i.e., Pharmacoat® 606 was obtained from Shin-Etsu, Japan; glycerol
122 was from VWR chemicals, UK; and the fluoropolymer coated polyester film, Scotch
123 pack release liner 1022, was from 3M Inc, US. Fast Green dye was purchased from
124 Alfa Aesar, UK. The water used in all experiments was ultrapure water.

125

126 **2.2 Printer modification and evaluating robustness**

127 A Hewlett-Packard printer (HP 5940 Deskjet, USA, Figure 1) was used in this work.
128 This printer was modified such that rather than the substrate (generally paper in the
129 unmodified printer) passing through the printer's rollers during operation, printing
130 was done onto a stage mounted underneath the cartridge print head. Briefly, the
131 modification process involved the careful removal of some physical parts of the
132 printer to make room for fixing a stationary stage under the cartridge print head as
133 shown in Figure 1. Key sensors were also identified, carefully isolated so as not to
134 damage these, and manually activated appropriately to ensure normal printer
135 functioning.

136

137 HP 337 black cartridges were used in this work: these were modified by cutting off
138 the top, draining the ink, and rinsing several times with deionised water until clear.
139 The cartridge nozzles were then submerged in deionised water: ethanol solution (2:1)
140 for 5 minutes.

141

142 An experiment to evaluate any potential inter- or intra-cartridge variations due to the
143 modification was conducted. Three modified HP 337 black cartridges were used for
144 this experiment. 1 mg/ml Fast Green dye solution was used as the "ink" for printing. 1
145 cm x 1 cm squares were printed in triplicate for each cartridge onto the clear acetate
146 sheets. The print-outs were then carefully cut and immersed in 1 mL deionised water
147 to dissolve the dye. The dye solutions were vortexed to ensure complete dissolution

148 after which high-performance liquid chromatography (HPLC) analysis was
149 conducted.

150

151 The liquid chromatographic system used was Agilent Technologies 1200 series with
152 quaternary pump and degasser. The column used was a Phenomenex C₁₈ column (150
153 mm x 3.90 mm, 5 μm). A gradient system was adopted with acetonitrile HPLC grade
154 as the organic phase and 55 mM acetate buffer (pH 5 ± 0.02) as the aqueous phase at
155 a flow rate of 1 mL/min for 10 minutes. The gradient system consisted of 15%
156 acetonitrile and 85% buffer for 6 minutes then 60% acetonitrile and 40% buffer for a
157 minute after which 15% acetonitrile and 85% buffer run again for 3 minutes. An
158 injection volume 10 μL was used with the column temperature set at 30 °C. A
159 wavelength of 600 nm was used for detection.

160

161 **2.3 Film Preparation**

162 The placebo film gel was composed of HPMC and glycerol. Glycerol (3% w/w) was
163 first dissolved in water at room temperature, followed by gradual addition of HPMC
164 (20%w/w) under continuous stirring at room temperature. The resulting viscous
165 solution (10 g) was stirred for 4 hours until a homogenous gel was formed. The gel
166 was left to stand for 2 hours to eliminate any air bubbles trapped.

167 Placebo films were casted on a fluoropolymer coated polyester sheet using an
168 automated film applicator (Coatmaster 510, Erichsen, Sweden) equipped with an
169 adjustable coating blade. A fixed wet film thickness (1000 μm) and casting speed (5
170 mm/sec) were used. The casted films were dried in an oven for 40 min at 60°C
171 (Binder, Sweden), followed by storage in a desiccator (23°C/40% relative humidity).
172 The resulting film sheets were used as substrates for printing.

173

174 **2.4 Printing of warfarin onto Films**

175 Amounts of warfarin deposited onto a substrate can generally be varied by using
176 different cartridge concentrations or by modifying the dimensions of the templates to
177 be printed. In modifying the dimensions of the templates, a series of rectangles having

178 the same width but variations in their height were deposited onto the same unit area.
179 This resulted in an increase in the amount of material deposited and the concept is
180 referred to as 'Y-value'. An example of the Y-value concept is illustrated in Figure 2,
181 where three black rectangles have the same width (0.5 cm) but Y-value (length of the
182 print objective) changed from 0.5 cm to 1.5 cm in this scenario. Printing these
183 templates onto a fixed area results in a linear increment in the volume of solution
184 deposited. A variety of warfarin doses were printed on substrates, customised by
185 changing the Y-value (1 – 7 cm). The printed films were dried at ambient conditions.
186 Aqueous solutions of warfarin of varying concentrations (10, 40, 80, 160 and 300
187 mg/mL) were also printed using 1cm x 1cm templates.

188

189 **2.5 Spray drying**

190 300 mg warfarin and 900 mg HPMC were dissolved in 50 mL of water. The resultant
191 aqueous solution was spray dried using a Buchi 190 Spray dryer (Switzerland) in an
192 open configuration with air as the drying gas. The processing conditions were: air
193 flow 357 L h⁻¹, aspiration rate 100% and solution feed rate 5 mL min⁻¹. The inlet
194 temperature was fixed at 130°C for water. The outlet temperatures were in the range
195 of 50–55°C.

196

197 **2.6 Characterisation of Films**

198 **2.6.1 Drug Content Analysis**

199 Films were dissolved in water under stirring for 1 hour (1 cm² in 50 mL). Solutions
200 were filtered through a 0.45µm filter (Millex syringe-driven filter unit, Millipor Ltd.,
201 Ireland). The filtrate was analysed using the HPLC method described in Section 2.2
202 and detection performed at a wavelength of 214 nm.

203 **2.6.2 Film Thickness and Disintegration**

204 The thickness of the films (1cm²) was measured using a digital micrometer (Cokraft®,
205 Digital caliper, Sweden) at three points of each sample, and reported as mean ± SD.
206 The disintegration time of the films was then evaluated by a modified Petri dish
207 method (23). Samples (1×1 cm²) were placed in a Petri dish containing 2 mL of water

208 and shaken at 60 rpm using orbital shaker water bath at 37 ± 1 °C. The time until film
209 disintegration or disruption was recorded.

210 **2.6.3 Contact angle**

211 The contact angle of the warfarin droplets on the placebo substrates (1 x 4 cm) was
212 measured using the DSA100 drop shape analyser (KRÜSS GmbH, Hamburg,
213 Germany). The contact angle was measured immediately after the drop was deposited
214 onto the substrate. The behaviour of the printing solution on the substrate was
215 captured using the video camera within the DSA100.

216 **2.6.4 Viscosity of the warfarin ink solution**

217 The viscosity of the warfarin ink solution range of 10 – 300 mg/ml was measured
218 using an Anton Paar rolling ball microviscometer (Anton Paar, Graz, Austria).
219 Samples were transferred to a glass viscometry capillary (1.6 mm diameter)
220 containing a steel ball. Viscosity was determined as the time taken for the ball to fall
221 25 cm through the sample at an angle of 50, 60 and 70° to the horizontal; each
222 automated, timed determination was performed four times. The measurements were
223 performed at 25°C.

224 **2.6.5 Solid state properties**

225 Thermal analyses were performed using differential scanning calorimetry (DSC) and
226 thermogravimetric analysis (TGA). DSC was performed using a differential scanning
227 calorimeter (DSC Q1000, TA Instruments, USA) and each sample (>5 mg) was
228 placed in a hermetically-sealed aluminium pan with a pinhole lid. The following heat-
229 cool-heat cycles were performed with a nitrogen purge gas (50 mL/min): 1. The
230 sample was heated from 25°C to 100°C at 10°C/min (to remove water content). 2.
231 The sample was cooled from 100°C to 0°C without ramp. 3. The sample was re-
232 heated from 0°C to 200°C at 10 °C/min above the melting temperature of warfarin.
233 An empty pan was used as a reference and the instrument was previously calibrated
234 for temperature and heat capacities using indium and sapphire. DSC results were
235 analysed using Universal Analysis software (TA instruments, USA). TGA
236 measurements were performed using a TGA instrument (TA instruments, USA).
237 Approximately 5-8 mg of the film was heated from 25 to 150°C at 10°C/min using
238 nitrogen as a purge gas (50 mL/min). Data collection and analysis were performed
239 using Universal Analysis software (TA instruments, USA).

240 **2.6.6 Attenuated Total Reflection-Fourier Transform Infrared Spectroscopy**
241 **(ATR-FTIR)**

242 Attenuated Total Reflection-Fourier Transform Infrared Spectroscopy (ATR-FTIR)
243 was performed with a Perkin-Elmer Spectrum 100 FTIR Spectrometer using the
244 universal diamond ATR attachment. The spectra were collected in the range of 4000-
245 650cm⁻¹ at ambient conditions using a minimum number of four scans per sample.
246 Spectra were analysed with Spectrum Express software.

247 **2.6.7 Surface morphology**

248 Surface and cross-section morphology of films were captured with an FEI Inspect F50
249 Scanning Electron Microscope (SEM) (FEI, Hillsboro, OR, USA). Film cross-
250 sections were immersed in liquid nitrogen; through a fracture by freezing method,
251 clean-cut edges were ensured and plastic deformation avoided. These were then fixed
252 on aluminium stubs by conductive carbon tape, and sputter-coated with gold (approx.
253 10–12 nm) in a high vacuum evaporator (108 Auto, Cressington Scientific
254 Instruments Ltd, UK).

255 Polarised light microscopy (PLM) was performed using a Nikon microphoto-FXA
256 light microscope to collect optical images with an Infinity 2 digital camera and
257 capture application software (version 3.7.5).

258 **2.6.8 Film Stability**

259 To evaluate the film stability, samples of warfarin-printed films were packed in
260 polyethylene-sealed pouches and stored in a glass desiccator (40°C/75% relative
261 humidity) for 30 days. Drug recrystallisation, moisture content, surface morphology,
262 drug content and disintegration time were examined on day 30.

263 **2.7 Statistical analysis**

264 Student t-test for two group comparisons and one-way ANOVA with Tukey's post
265 hoc multiple comparisons were used to determine statistically significant differences
266 (p-value<0.05).

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270 3 Results and Discussion

271 The thermal ink-jet printer used in this work was the Hewlett-Packard (HP) 5940
272 Deskjet. The printer was modified such that rather than the substrate (paper in the
273 unmodified printer) passing through the printer's rollers during operation, printing
274 was done onto a stage mounted underneath the cartridge print head without the printer
275 detecting the absence of paper. The key point with the printer modification is to
276 identify the printer's sensors and manually activate these when needed.

277 The modifications to the printer mean that when printing an image, the substrate does
278 not move vertically. Changing the volume of solution being deposited is achieved
279 simply by changing the dimensions of the rectangular template used to initiate
280 printing. The width of the rectangle is kept fixed and the height varied for the series of
281 rectangles. Since the height is conventionally the y-axis, we denote this term as the
282 'Y-value'; with each y-value corresponding to the height of the rectangle in cm.

283 The modified printer maintained its functionality and an evaluation of its robustness
284 was conducted using the relative standard deviation (RSD) values. RSD values of
285 2.17%, 0.25%, and 0.87% were obtained for the three cartridges (Table 1). These low
286 values (less than 5% RSD) indicated the repeatability and precision of the printing
287 procedure, highlighting the robust nature of the modified inkjet.

288 Using a modified TIJ printer platform, a variety of warfarin doses were successfully
289 deposited onto film substrates. The amount of drug deposited was altered using two
290 main methods; by changing the feed concentration and the Y-values.

291 Firstly, the concentration of warfarin within the initial feed solution was varied (10,
292 40, 80, 160 and 300 mg/mL) using a 1cm² template. In this case, an excellent linear
293 correlation was found between the feed solution concentration and warfarin dose
294 deposition ($R^2 = 0.9999$) (Figure 3). Furthermore, highly precise and accurate doses
295 of warfarin were deposited. These results indicate that the printing mechanism of TIJ
296 (which involves rapid localised heating) was not affecting drug stability neither did
297 the printer modification affect droplet reproducibility.

298 One challenge of using concentrated feed solutions is that there is a risk of drug
299 crystallisation on the nozzle tip (and nozzle blockage), especially if the drug is at or

300 above saturated conditions (22). Another consideration for drop placement and
301 accuracy is liquid viscosity (11). The viscosity of the different ink solutions of
302 warfarin (10 – 300 mg/mL) were between 1.10 – 1.31 mPa.S, which are in line with
303 the literature of thermal inkjet printing solutions (16).

304 Secondly, the Y-values (with a width of 1 cm) were varied (1-7cm) whilst
305 maintaining a constant warfarin feed concentration (300 mg/mL). The time taken for
306 printing of the highest length (7 cm) was 23 ± 1 sec. The correlation between Y-
307 values and dose deposited also demonstrated good linearity, high precision and
308 accuracy ($R^2 = 0.9998$) (Figure 4). At a feed concentration of 300 mg/mL and a Y-
309 value of 7 cm, warfarin doses of 2.5 mg/cm^2 were successfully printed. However, it
310 was observed that surface erosion and deformation of the substrate occurred at Y-
311 values above 7 cm. This was likely due to the films being composed of a water-
312 soluble polymer (HPMC) that may have dissolved in the aqueous environment,
313 causing film instability and breakdown.

314 Encouragingly, the modified TIJ printer deposited therapeutic dosages of warfarin
315 (2.5 mg/cm^2 using a 300 mg/mL cartridge concentration) onto substrates. This means
316 that the physical dimensions of printed films (2×1 cm) contained warfarin doses
317 equivalent to widely prescribed therapeutic doses (5 mg). Commercial TIJ printers are
318 only able to deposit very low doses, making this technology currently only suitable
319 for high potency drugs. For example, Buanz. *et al.* could print $40 \mu\text{g}$ of salbutamol
320 sulphate onto an ODF platform (16). In this current study, by increasing the print
321 objective Y-value, a higher amount of warfarin solution, and hence dose, was
322 deposited. This extends the applications of TIJ printing towards formulating narrow
323 therapeutic index drugs.

324 Printed films containing a 2.5 mg/cm^2 dose of warfarin were found to have a
325 thickness of $72 \pm 2 \mu\text{m}$). No significant differences were observed when compared to
326 the free film substrate thickness ($70 \pm 1 \mu\text{m}$).

327 Disintegration time is considered one of the most important characteristics for the
328 performance of ODFs. Typical disintegration times for ODFs range from 5 s to 30 s.
329 However, there is no official method to determine disintegration of ODFs, which
330 makes a comparison between various publications difficult. There have been many
331 attempts at modelling *in vivo* conditions to evaluate ODF disintegration, such as the

332 Petri dish method and the slide frame method (25). Within this study, a modified Petri
333 dish method was used to assess disintegration time.

334 In general, disintegration/dissolution of ODFs is dependent on surface tension,
335 wettability, porosity, thickness, disintegration media and molecular interactions (26,
336 27). Vuddanda. *et al.* observed significant differences in the disintegration time of
337 ondansetron ODFs that possessed different microstructures (28). Furthermore, Preis.
338 *et al.* reported different disintegration times for films prepared with different
339 combinations of polymers (29). In this study, warfarin-printed films containing
340 different doses (1.25 and 2.5 mg) and the free film substrate all disintegrated within
341 45 seconds. These results also indicate that disintegration was not significantly
342 affected by the presence of warfarin. The printed film disintegration times are in
343 agreement with ODFs composed of HPMC reported in literature (30).

344 To determine the surface interaction between the feed solution and film substrates, the
345 contact angle of the warfarin printing solution (300 mg/mL) was measured. Following
346 immediate deposition on the substrate, the contact angle was $38.18 \pm 1^\circ$. The
347 deposited droplet rapidly penetrated the surface of the substrate, suggesting the
348 warfarin was absorbed into the substrate matrix. Neither surface erosion nor
349 dissolution of the substrate was visually observed at the printing site during contact
350 angle analysis.

351 DSC thermograms of samples are shown in Figure 5. A broad endothermic peak at
352 $T_{\text{onset}} (\Delta H_f) = 190.6^\circ\text{C}$ was observed in the DSC thermogram of pure warfarin and
353 physical mixture (1:3), indicating that warfarin was in the crystalline state and could
354 be detected by DSC. Interestingly, for the TIJ printed films, no melting peak was
355 observed, indicating that warfarin was present in the amorphous phase (31). This may
356 be due to HPMC inhibiting the crystal growth in solid dispersions by preventing
357 molecular mobility due to its complex polymer network (32, 33).

358 To further explore this, a solid dispersion of warfarin and HPMC (1:3) was prepared
359 by spray drying. The drug content was equivalent to that of the printed films
360 containing 2.5 mg/cm^2 . DSC has been found to be an appropriate technique to
361 determine drug solubility within a polymer (34). The characteristic warfarin
362 recrystallisation peak was not observed in the thermogram of the spray dried solid

363 dispersion (**Error! Reference source not found.**), confirming that warfarin was
364 present in the amorphous state within the HPMC polymer substrate.

365 Water content was analysed based on the weight loss of films using TGA. The weight
366 loss of the warfarin-HPMC spray-dried product was 0.40%, confirming water
367 removal during the spray drying process. For film substrates and freshly printed films,
368 the observed weight losses were 0.86% and 1.14%, respectively (Figure 6). In the
369 case of printed films kept under accelerated storage conditions for 30 days (40 °C/
370 75% relative humidity), weight losses were higher at 3.05%. This was likely due to
371 the higher moisture content within the storage environment.

372 The ATR-FTIR spectra for warfarin (pure), spray dried warfarin-HPMC and TIJ
373 printed warfarin films (freshly printed and stability) are shown in **Error! Reference**
374 **source not found.** ATR-FTIR spectrum of pure warfarin showed the following
375 characteristic sharp intense bands; the stretching of lactone C=O bond is observed at
376 1681 cm^{-1} , the asymmetric bending vibrations of CH_3 group are observed at 1451 cm^{-1}
377 and the out-of-plane bending vibrations of C-H of phenyl rings are observed at 762 cm^{-1} .
378 The band at 1223 cm^{-1} can be attributed to the hemiketal hydroxyl in-plane
379 bending vibration (35). Noticeably, for warfarin-HPMC spray dried and printed films,
380 vibrational bands with lower intensities and minor shifts of C-H of phenyl (760 cm^{-1})
381 and CH_3 (1451 cm^{-1}) were observed. Furthermore, bands at 1223 cm^{-1} and 1681 cm^{-1}
382 were completely diluted or showed a lower intensity in the spray dried and printed
383 samples. These shifts in spectral bands could be attributed to the amorphisation of
384 warfarin and/or possible intermolecular hydrogen bonding between warfarin and
385 HPMC. Significant spectral shifts were not observed in the case of stability tested
386 samples compared to freshly printed films.

387 The surface morphologies of both the free film substrate and warfarin printed films
388 were observed using SEM (Figure 8). Figure 8a shows the free film substrate, which
389 exhibited an irregular and porous microstructure compared to the freshly printed film,
390 which showed a parallel and uniform droplet-printing pattern (Figure 8b). The cross-
391 sectional SEM images qualitatively showed the homogenous microstructure of the
392 HPMC polymer matrix substrate. Typical drug printing impressions can be observed
393 on top of the substrate for printed warfarin films.

394 Polarised light microscopy (PLM) images of substrates and printed films were in
395 agreement with SEM images Figure 9. Neither drug crystallisation nor surface
396 deformation was observed. Buanz. *et al.* reported similar PLM images of clonidine
397 printed films (36).

398 Warfarin printed films were stored at 40°C/75% relative humidity for 30 days. Drug
399 content and disintegration time were not affected upon storage compared to freshly
400 printed warfarin films (Table 2). Furthermore, drug recrystallisation or erosion of
401 films was not observed in SEM images (surface and cross-section) or PLM images.
402 This was supported by the absence of a characteristic warfarin melting point peak
403 (~187°C) in the endotherm of printed film, confirming warfarin was present in the
404 amorphous phase. This may be due to warfarin being dispersed at a molecular level or
405 adequately solubilised within the HPMC substrate. However, marked changes
406 (buckling with partial crumbling) on the surface microstructure occurred on storage
407 (Figure 8 and Figure 9). As such, to be used clinically, moisture absorption
408 preventative packaging might be required to retain the film's physical appearance for
409 patient acceptance.

410

411 **4 Conclusion**

412 Personalisation of warfarin therapy is critical to ensure patient safety and maintain
413 therapeutic effect. This study successfully formulated warfarin ODFs in a range of
414 therapeutic dosages (1.25mg and 2.5mg) using a modified TIJ printer. Doses were
415 varied by changing the feed concentration and the length of the print objective (Y-
416 value). In both cases, a linear relationship between the theoretical and measured
417 warfarin dose was achieved, demonstrating a highly robust and accurate process.
418 Compared to commercial TIJ printers, the modified system enabled a higher dose
419 deposition, widening the range of applications to include formulating narrow
420 therapeutic index drugs. This paper demonstrates the potential for TIJ printing to
421 personalise warfarin therapy, reducing the risk of adverse effects and therapeutic
422 failure.

423

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526 versus solvent casting to prepare oral films: Effect on mechanical properties and physical
527 stability. *International Journal of Pharmaceutics*. 2015;494(2):611-8.

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530 **Tables**

531 Table 1: The area under curve for modified cartridges and the relative standard deviations for printed templates
 532 using fast green dye (n=3)

Cartridge	Average Area Under Curve	Standard Deviation	Relative Standard Deviation
1	232.33	5.03	2.17 %
2	232.33	0.58	0.25 %
3	239.33	2.08	0.87 %

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541

542 Table 2: Stability data of freshly printed warfarin films (2.50 mg/ cm²) and following 30 days' storage (n=3)

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Parameters	Day 0	Day 30
Drug content (%)	99.82 ± 0.97	99.17 ± 1.02
Disintegration time (sec)	43± 2	47± 1
Disintegration time free film (sec)	42± 2	-

544 **Figures**

545

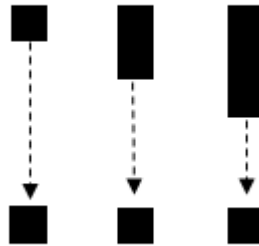


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547 Figure 1: Image of out-of-the-box HP 5940 printer (left) and modified printer with stationary stage positioned
548 (right)

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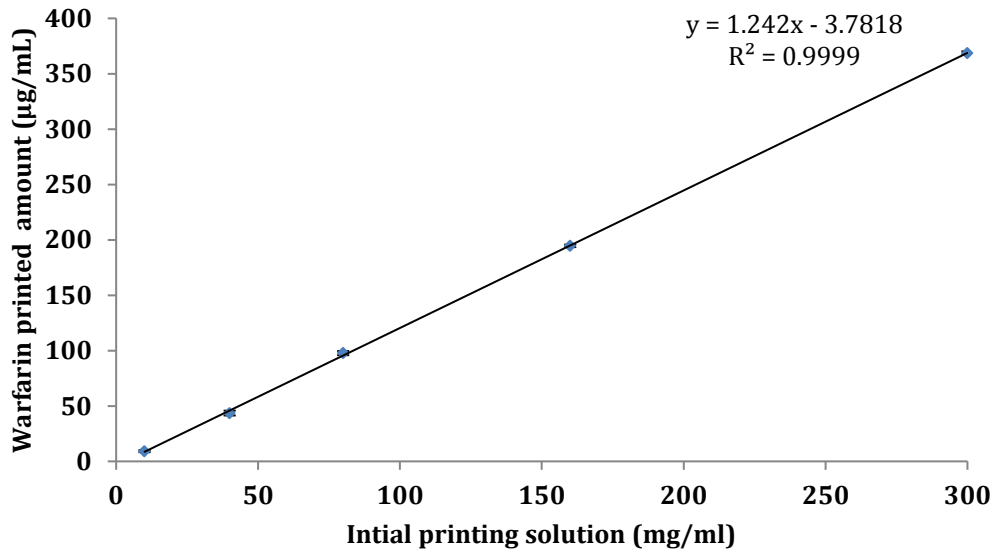
552 Figure 2: Illustration of the y-value concept

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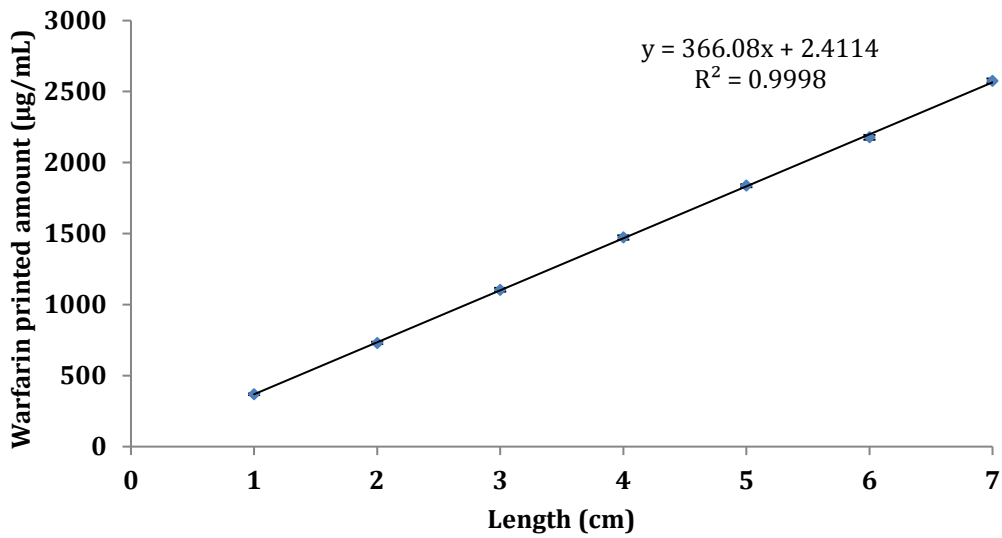


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558 Figure 3: Amount of warfarin (µg/mL) printed onto free film substrates upon varying the feed solution
 559 concentrations (mg/mL) using a 1cm² template (n=3).

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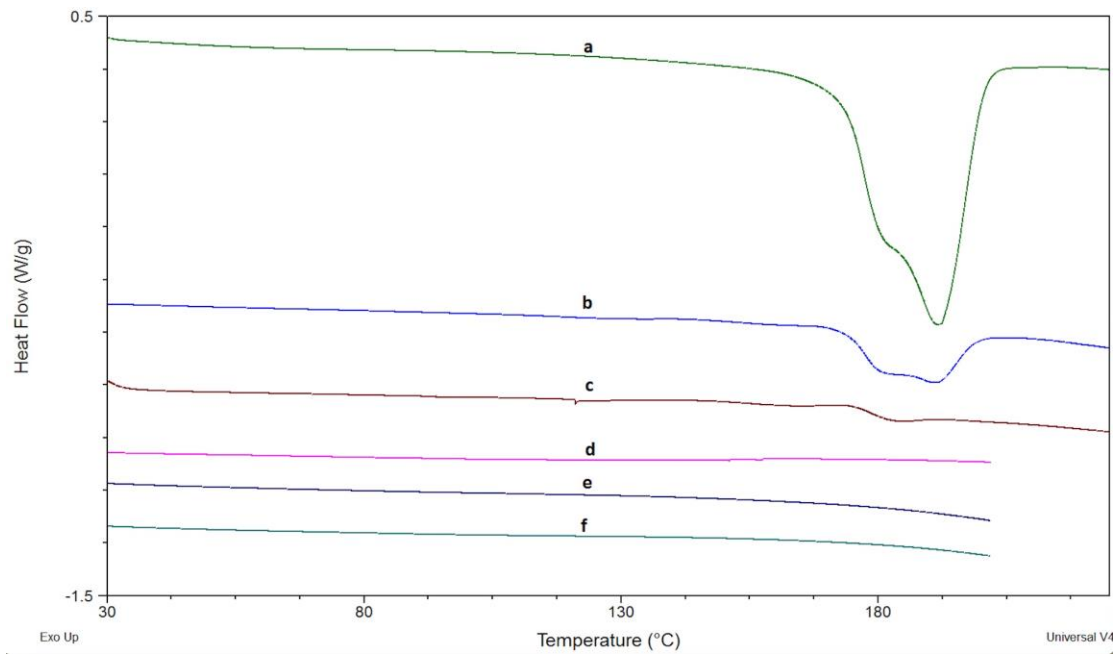
563 Figure 4: Amount of warfarin printed onto free film substrates upon varying Y-values at constant initial feed
 564 concentration (300 mg/mL) (n=3)

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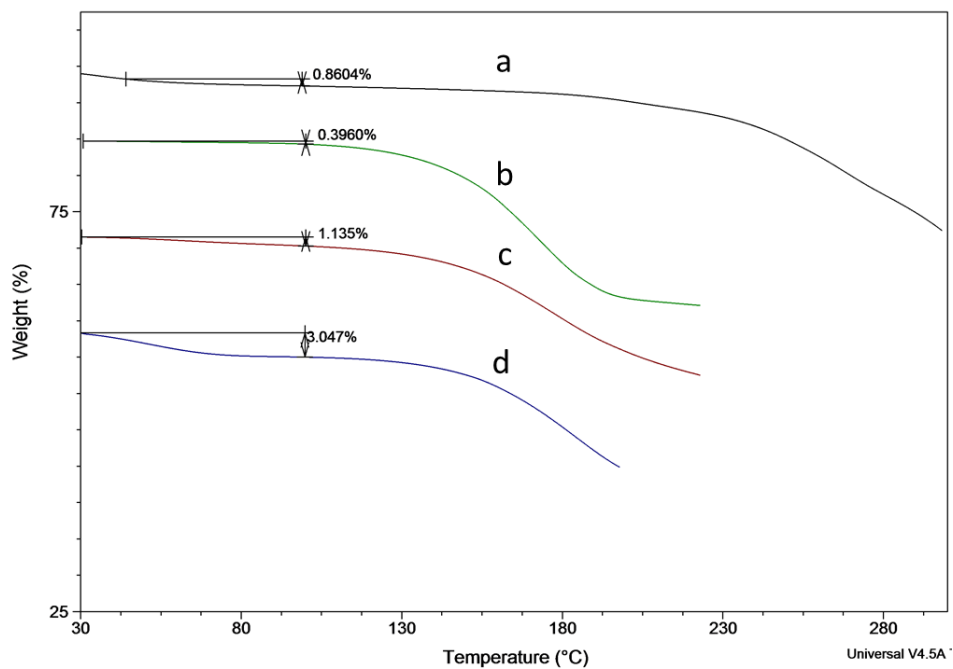


569

570 Figure 5: DSC thermograms depicting: a) warfarin (pure), b) Physical mixture of warfarin-HPMC (1:3) ratio, c)
 571 HPMC free film substrate d) Spray dried warfarin-HPMC (1:3) ratio, e) Freshly printed warfarin films, and f)
 572 warfarin printed films following 30 days' storage.
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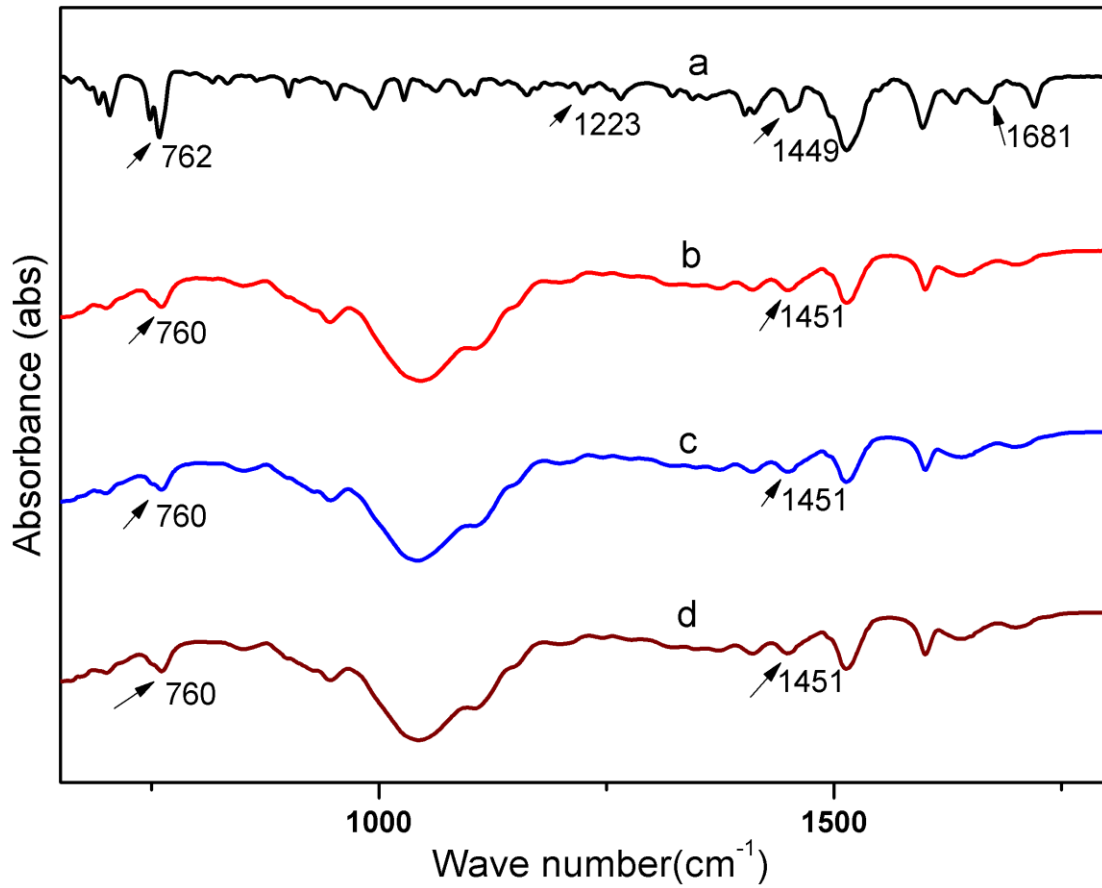
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577 Figure 6: TGA thermograms depicting: a) HPMC free film substrate, b) Spray dried warfarin -HPMC (1:3) ratio,
 578 c) Freshly printed warfarin films and d) warfarin printed films after 30 days' storage

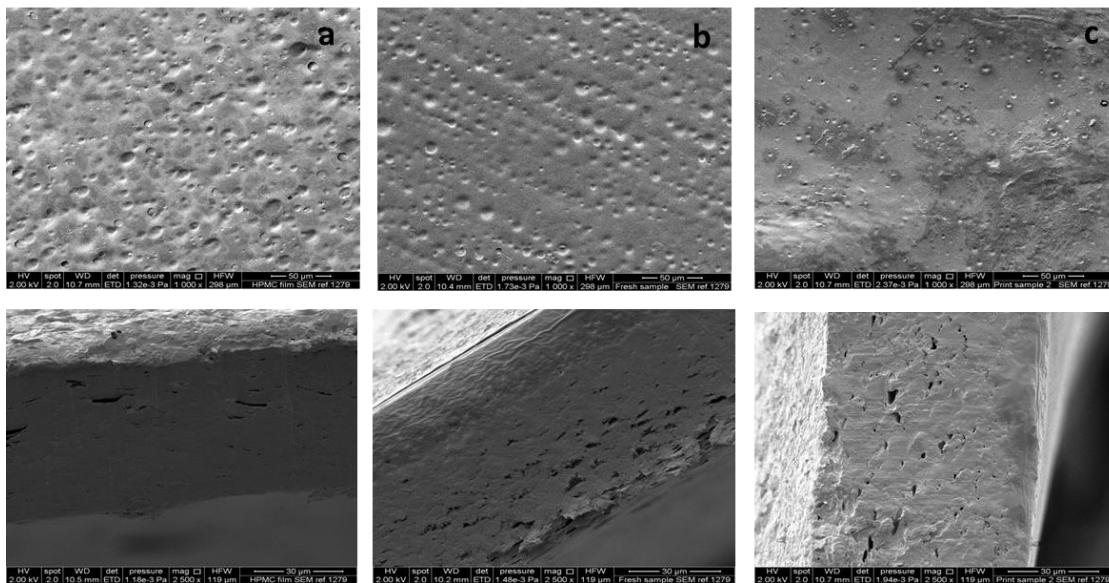
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581 Figure 7: ATR-FTIR spectra of a) warfarin (pure), b) Spray dried warfarin-HPMC product (1:3 ratio), c) Freshly
 582 printed warfarin film and d) warfarin printed films after 30 days' storage.

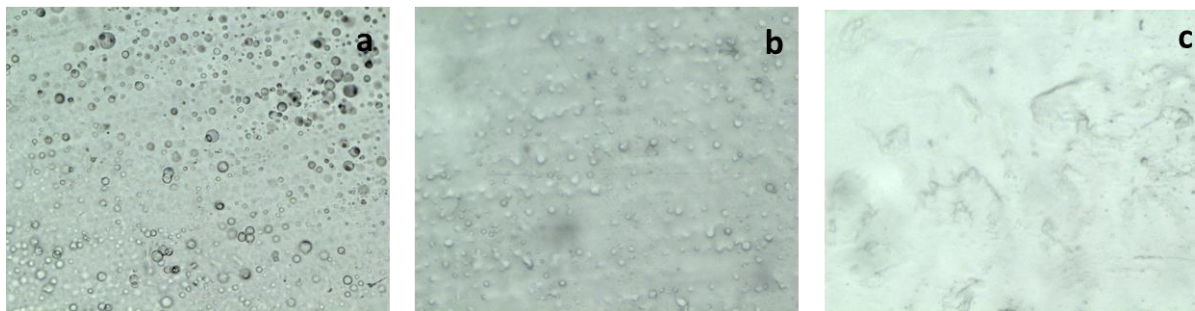
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585 Figure 8: SEM images of a) HPMC free film substrate, b) Freshly printed warfarin films and c) warfarin printed
 586 films after 30 days' storage. Surface micrographs (top) and cross sections (bottom) of each sample set.

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589 Figure 9: PLM images of a) HPMC free film substrates, b) Freshly printed warfarin films and c) warfarin printed
590 films after 30 days' storage.

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