

1 **Postoperative Complications Associated with External Skeletal Fixators in Dogs**

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16 **Running head**

17 **L. Beever et al.:** External skeletal fixator associated complications in dogs

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19 **Conflict of interest**

20 None declared.

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1 **Summary**

2 **Objectives:** To quantify and evaluate risks of complications attributable to external skeletal fixator (ESF) usage
3 in dogs.

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5 **Methods:** A retrospective review of medical records following ESF placement.

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7 **Results:** Case records of 97 dogs were reviewed; fixator associated complications occurred in 79/97 dogs.
8 Region of ESF placement was significantly associated with complication development ($p=0.005$), not
9 complication type ($p=0.086$). Complications developed most frequently in the tarsus (9/10), manus (8/9) and
10 humerus (8/9). Superficial pin-tract infection and implant failure occurred in 38/97 and 17/97 dogs, respectively.
11 Superficial pin-tract infection occurred frequently in the femur, humerus, radius and ulna and the pes, with implant
12 failure frequent in the tarsus and deep pin-tract infection in the manus and tibia. Transarticular frames were
13 significantly more likely to develop a complication ($p=0.028$). Age was significantly associated with complication
14 development ($p= 0.029$). No associations between breed, sex, weight, fracture type (open or closed), ESF
15 classification and the incidence or type of complications were identified. No associations between, breed, age,
16 sex, weight, fracture type (open or closed), ESF classification and the time to complication development were
17 identified.

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19 **Clinical significance:** Fixator associated complications are common in dogs, with the majority of complications
20 related to implant infection. Region and placement of transarticular frames should be carefully considered when
21 selecting stabilisation method.

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1 **Introduction**

2 External skeletal fixators (ESF) are commonly used for fracture stabilisation in veterinary orthopaedics and are
3 available in a variety of configurations. They can be used as either sole fixation or adjunct stabilisation for a wide
4 variety of conditions. Numerous reported advantages of ESF include, ease of placement, accessibility for open
5 wound management, ease of implant removal and reduced cost of placement with minimal requirement for
6 specialised orthopaedic equipment¹⁻⁴. While improvements with surgical technique and equipment have led to a
7 decreasing frequency of complications over the last three decades, fixator associated complication rates remain
8 high⁵, particularly implant failure and pin-tract infection^{3, 6-11}. Development of fixator associated complications in
9 dogs has previously been up to 100% in some studies^{9, 12}.

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11 Although numerous published studies of specific ESF configurations at defined anatomical locations have been
12 reported, to the authors' knowledge, a comprehensive multiregional review of fixator complications has not been
13 undertaken. The aim of this study was to review postoperative complications directly attributable to the ESF
14 apparatus in dogs, specifically implant infection, implant failure and bone fracture, and to identify factors
15 associated with their development.

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34 **Materials and methods**

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36 Medical records of dogs with an ESF placed between January 2007 and March 2014 at the Queen Mother
37 Hospital for Animals were reviewed. The information in the records was reviewed in full for the entire period until
38 the fixator was removed. The following information was gathered for each patient: signalment, ESF configuration,
39 anatomical region, fixator associated complications and fracture type (open or closed). Patients were omitted if
40 complete records were not available. Fixator configuration was determined from clinical records and radiographs,
41 and categorised into four groups: linear, free-form, hybrid and circular. Specific ESF features also assessed
42 included presence of a tied-in intramedullary pin, transarticular frame, A-frame configuration and the use of epoxy
43 putty or clamp. Each ESF was assigned to one of nine anatomical regions (Table 1). If the fixator involved more
44 than one region, they were classified according to the region of injury requiring stabilisation. Fixator associated
45 complications recorded by the case clinician were identified from the medical records and were divided into four
46 categories: **1)** Superficial pin-tract infection, including cases with associated pin loosening, **2)** Deep pin-tract
47 infection, including any cases with associated pin loosening, **3)** fractures and **4)** implant failure; defined as any
48 complication associated with the frame without concurrent infection, including loosening, breakage or bending of
49 pins, breakage of connecting bars or clamp failure, and implant migration. Superficial pin-tract infection was
50 diagnosed by presence of one or more of the following: **(a)** purulent discharge (with or without positive bacterial
51 culture); **(b)** a positive culture result, or; **(c)** at least one sign of infection (pain or tenderness, localized swelling,
52 redness or heat), or a positive response to antimicrobial therapy¹³. Deep pin-tract infections were diagnosed
53 when the previously mentioned criteria were met and radiographic evidence of osteomyelitis or bone sequestrum
54 was seen.

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56 Commercially available statistical software was used to perform all statistical analyses^a. Data were assessed for
57 normality using the Shapiro-Wilk Test. Categorical variables were analysed using Chi-square or Fisher's exact
58 test as appropriate. For analysis of regional association with complication development and type, regions with
59 less than six cases were excluded from analysis. Analysis of associations between age, weight and development
60 of complications; fracture type (open or closed) and time of fixator associated complication were assessed using
61 the Mann-Whitney U test. The Kruskal-Wallis test was used to identify associations between patient age, weight
62 and type of complications; and associations between sex, ESF configuration, with fixator associated complication
63 development and the time of fixator complication. Relationships between age, weight and time of complication
64 were assessed by Spearman's rank correlation. A $p < 0.05$ was considered significant.

65 **Results**

66 Review of the medical records from the specified period identified 119 consecutive dogs in which an ESF had
67 been applied. From these cases, 22 were excluded due to incomplete medical records. Therefore, a total of 97
68 dog met the inclusion criteria. Age on presentation ranged from two months to 13 years (median two years). Body
69 weight ranged from 2.1kg to 50.8kg (median 18.5 kg). Forty-five dogs were female (23 neutered) and 52 were
70 male (23 neutered). Forty-one breeds of dog were represented, the most common being mongrels (n=20)
71 followed by Labrador (n = 11) then Greyhound, (n=6). Of the 97 dogs, 67 had closed fractures and 30 open
72 fractures. Overall the most common region of placement was the radius and ulna (20/97), as shown in Table 1.
73 The majority of ESF were linear in 79/97 of dogs, of which 36 were type I, 42 type II and 1 was type III. The
74 remaining fixators were free form in 12, circular in 4, and hybrid in 2 dogs. The majority of constructs used the
75 IMEX SK clamp system^b (81/97) with the remaining 16 using epoxy putty. Detailed ESF configuration results are
76 summarised in Table 2. Of the 36 transarticular frames, two involved the radius and ulna, eight the manus and 13
77 the pes. All fixators involving the tarsus and stifle were transarticular. All transarticular fixators were non-
78 articulating fixed angle.

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80 Fixator associated complications occurred in 67/97 of dogs which had an ESF placed. Three dogs had two
81 distinct complications over time; these were treated as separate complications giving 70 distinct complications.
82 The time to diagnosis of complications ranged from 1 to 28 weeks postoperatively (median 5 weeks). Figure 1
83 shows the frequency of complications that developed; the most common being superficial pin-tract infection
84 occurring in 38/97 dogs, followed by implant failure (17/97). Of these 38 dogs, 30 were radiographed to rule out
85 deep pin-tract infection. Complications occurred in all nine anatomical regions, summarised in Table 1. Excluding
86 regions with less than six dogs, region of placement was significantly associated with fixator associated
87 complication development ($p=0.005$). The highest complication rates were recorded in the tarsus, humerus,
88 manus, and pes as summarised in Table 1. However, region was not significantly associated with the type of
89 complication that developed ($p=0.086$). Regional distribution of complication types is shown in Figure 2 with
90 superficial pin-tract infection the most common complication in four regions, including the femur (2/2), humerus
91 (6/9), radius and ulna (11/20), and the pes (9/17). Implant failure was the most common complication in the
92 tarsus (5/10). Deep pin-tract infection was the most frequent complication in the tibia (3/17), manus (4/9) and
93 stifle (1/3). Bone fracture occurred in only 1 dog with a fixator applied to the manus. This transarticular circular
94 fixator had wires placed in the distal 1/3 of the radius leading to a fracture in the distal radius at the proximal wire
95 tract when the dog jumped from a height. The wire occupied 28% of the bone diameter.

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97 Age was significantly associated with the incidence ($p=0.029$) not the type ($p=0.805$) of complication that
98 developed. The median age of dogs that developed a complication was 3 years (range four months to 11 years)

99 and those without a complication was 1 year (range three months to 13 years). No significant association
100 between breed, sex, weight, fracture type (open or closed) and the incidence or type of fixator associated
101 complication was identified. Similarly, there was no association between ESF type and the incidence ($p=0.121$) or
102 type ($p=0.108$) of complication.

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104 Of the frame features outlined in Table 2, only transarticular ESF design was associated with an increased
105 incidence of fixator complications however not the type of complication. The remaining features shown in the
106 table were not significantly associated with the incidence or type of complication that developed. Thirty-six
107 fixators were transarticular of which 29 suffered a complication in comparison to 38/61 frames with no
108 transarticular component. Anatomical region was the only factor significantly associated with time of complication
109 diagnosis ($p=0.01$). The shortest median time to diagnosis was in the femur at two weeks, followed closely by the
110 pes with a median of two and a half weeks and longest was the crus at 10 weeks. The three dogs that suffered
111 two separate complications had transarticular frames two at the pes crossing the tarso-metatarsal and intertarsal
112 joints and one at the tarsus crossing the tarsocrural joint. All three had both a superficial pin-tract infection and an
113 implant failure that occurred separately.

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133 **Discussion**

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135 The most common type of ESF used was the linear ESF, of which the type I and II arrangements predominated.
136 Radial and ulnar fractures were the most common location for ESF placement, which is unsurprising as the
137 radius and ulna are reported to be the most commonly affected region of fracture in the dog¹⁴. The predominance
138 of fixator use at this location also relates to the frequency of open fractures, the relative paucity of soft tissue and
139 the ability to construct bilateral or biplaner frames^{4, 5, 8, 11, 15}.

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141 The overall fixator associated complication rate in this study was high at 69% (67/97 dogs). Previously reported
142 complication rates in canine populations are highly variable ranging from 5% to 100%^{1, 8, 11, 12, 16, 17}. The vast
143 majority of complications were superficial pin-tract infection followed by implant failure. While the complication
144 rate in this study is comparable to previously reported canine complication rates, it is higher than those reported
145 in cats ranging from 26%-50%^{6, 18}. It therefore appears that dogs may be more likely to develop complications
146 than cats, and this is something the authors have noted anecdotally. Region of ESF placement was significantly
147 associated with complication development, however not the type of complication that developed (Figure 2).

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149 Pin-tract infection remains one of the most significant complications of external fixation, compromising otherwise
150 successful treatment. Infection can lead to increased patient morbidity, increased treatment costs and client
151 frustration¹³. Superficial pin-tract infection was recorded in 38/97 dogs, this is similar to previously reported
152 superficial pin-tract infection rates in dogs ranging from 13% to 58%^{9, 15, 19}. Pin-tract infections are thought to
153 occur when soft tissue penetration allows bacterial contamination of the skin to pin interface, leading to superficial
154 pin-tract infection, which can progress to deep pin-tract infection, with associated bone lysis, pin loosening and
155 osteomyelitis^{10, 20, 21}. Additionally, implant surfaces enable biofilm formation allowing bacteria to evade the host
156 immune response and antimicrobial therapy^{22, 23}. Studies of the canine humerus and femur have shown an
157 absence of clear, safe corridors for pin placement due to the complex regional anatomy with only limited safe
158 corridors in the radius^{24, 25}. This concurs with the results of this study showing superficial pin-tract infection as the
159 most common complication in these regions. Interference with tendons and musculature may lead to additional
160 discomfort, joint stiffness and decrease limb use, all of which may predispose patients to increased complications
161 due to tissue morbidity and patient interference. While the overall complication and superficial pin-tract infection
162 levels remain similar to other canine studies, direct comparison is fraught due to differences in study population,
163 case definitions and study power leading to discrepancies when comparing studies¹³. Pin-tract infection and their
164 prevention remains a difficult research area due to the multifactorial nature of surgical site infection. Various
165 strategies of pin site care have been proposed in humans, however a recent Cochrane review suggested there
166 was insufficient evidence to identify a strategy of pin site care that minimises infection rates²⁶. Other reported risk

167 factors for small animal surgical site infection included gender, concurrent endocrinopathies, increased
168 bodyweight, duration of anaesthesia and surgical hypotension²⁷⁻²⁹. Importantly, it has been shown that the risk of
169 developing a surgical site infection in dogs following implant placement was 5.6 times that of dogs with no
170 surgical implants²⁹. In this study however, when assessing complications, no association with body weight, or
171 gender was found, although anaesthesia duration data was not available. Despite the high frequency of
172 infections, ESF implants are readily removed and minor short term morbidity associated with superficial pin-tract
173 infection often resolves following antimicrobial administration and adequate pin care or removal^{7, 17, 20}.

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175 In our population complications were less likely in younger patients. A rat model of bone healing showed that, six
176 week old rats regained normal bone biomechanics at four weeks after fracture compared with one year old rats
177 requiring more than six months³⁰. The speed of fracture healing will doubtless impact on both the duration of
178 fixator placement and the degree of load sharing, which affects load and duration of loading upon the implants.

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180 The manus and pes suffered from high complication rates with deep and superficial pin-tract infections
181 predominating respectively. It has been reported that pin-tracts of fixators used to stabilise the small bones of the
182 metacarpus and metatarsus are particularly problematic with two out of three dogs in one study developing
183 osteomyelitis^{31, 32}. Similarly, the present study found that deep pin-tract infections were the most common
184 complication to occur in the manus. Deep pin-tract infections were also common in the tibia; the limited soft tissue
185 coverage over the medial aspect of the canine and feline tibiae make them particularly prone to complications
186 with fracture healing due to the poor extraosseous blood supply and reduced intramedullary blood supply in the
187 early stages following fracture^{33, 34}. Interestingly, in an experimental model of canine pin-tract infection, the
188 infective agent in 88% of medullary canal cultures was also cultured from the skin³⁵. Given the limited soft tissue
189 envelope in these regions and reduced vascularity it would seem logical that superficial infection could readily
190 progress to involve bone due to the close proximity of the bone to surface of the skin-pin interface.

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192 Implant failure occurred in 17/97 dogs and was common in the tarsus (Figure 2). The tarsus has previously been
193 shown as a common region for fixator complication development^{9, 31}, however reported tarsal fixator complication
194 rates vary between 15% and 74%^{9, 36}. In our definition, tarsal ESF were transarticular, spanning the tarsocrural
195 joint, and indeed transarticular configurations are an independent risk for complication development. Clearly,
196 overloaded implants, either due to patient factors or inappropriate implant choice are mechanically vulnerable,
197 being subject to significant transarticular bending forces as they cross the flexed tarsocrural joint^{11, 19, 20}.

198 Additionally relatively small pins placed in the metatarsals, further increase mechanical vulnerability.

199 Reassuringly iatrogenic bone fracture was uncommon, occurring in only one dog. A case series of 11 dogs and

200 cats found that this complication usually had contributing factors including multiple injuries, the presence of empty
201 drill holes and inappropriate postoperative exercise restriction³⁷.

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203 A key ESF feature is its design flexibility, with numerous frame configurations, implant types, sizes and materials
204 from which to choose^{38, 39}. The only ESF feature associated with increased complications was the presence of a
205 transarticular frame, which may inevitably relate to the biomechanical requirements of a transarticular frame.

206 Complications have previously been shown to be more common when more complex ESF frames are used^{6, 11},
207 however in this study no significant difference was found between type I, II and III linear ESF. This was surprising
208 as there was an expectation that increased frame complexity would be associated with increased complications,
209 due to greater soft tissue disturbance from increase pin penetration^{3, 10}.

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211 Several factors not evaluated in our study must be taken into consideration when discussing fixator
212 complications. The first is the method of pin insertion which influences the critical pin-bone interface. It is well
213 documented that inappropriate insertion technique can lead to excessive heat generation resulting in thermal
214 osteonecrosis and premature pin loosening^{40, 41}, particularly when bone is heated above 50°C for 60 seconds⁴².
215 Canine models have shown that high speed pin insertion produces significantly higher bone temperatures and
216 therefore slow speed insertion is recommended (150rpm or less)^{3, 40, 41, 43}. Insufficient axial force when drilling
217 bone can also significantly increase cortical bone temperatures⁴⁴. Pre-drilling a pilot hole has been shown to
218 increase pin pull out strength by 13.5% and reduce cortical microstructural damage leading to bone resorption
219 and premature loosening⁴⁵. The common recommendation in veterinary medicine is a drill bit 10% smaller than
220 the pin diameter⁴³. Unfortunately, this information was not available to this retrospective study, however these
221 principles are typically adhered to in this centre. Another approach to maximise the pin-bone interface is to use
222 threaded pins^{3, 40}. Threaded pins have increased pin-bone contact area and increase resistance to pull-out which
223 may significantly affect pin loosening and complication development. Finally, pin size and number influence the
224 pin-bone interface. A minimum of two pins should be placed per bone segment with the majority of authors
225 recommending three to four per segment^{3, 37, 40}. The conventional pin size recommendation is 20% to 30% of
226 bone diameter^{40, 10, 37}. Pin size is a balance between a pin that is large enough to provide sufficient stiffness but
227 small enough avoid leaving a critical size defect following removal^{37, 40}. We should note here that even when all
228 guidelines are followed a degree of complications are expected due to the nature of a transcutaneous implants.

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230 This study has some limitations, particularly being retrospective in nature, with multiple surgeons contributing
231 cases, creating variation in case management and selection. Detailed evaluation of the initial injury, exact
232 surgical technique and the pin type used wasn't possible and must be considered when discussing complications.

233 We intentionally focussed on complications associated with the fixator *per se*, and further those that could be
234 confidently evaluated to provide robust information. The small sample size in some regions such as the stifle and
235 femur must also be taken into consideration when interpreting regional results and may lead to overestimation of
236 regional complication rates. Due to the referral nature of the caseload and lack of specific long term follow up
237 under-reporting of minor complications may also have occurred. Overall it is also important to acknowledge that
238 complications are multifactorial and a single causative factor is not always clear with multiple independent factors
239 interacting to result in complications. The only way to evaluate all factors fully would be to perform a large
240 prospective comparative study. Nonetheless, this represents a large overview of complications relating to
241 external fixators and is informative to the surgeon.

242

243 **Conclusions**

244 On balance, ESF complications are very common in the dog, however particular consideration should be given
245 prior to their usage in certain locations, including the radius and ulna, humerus and femur, which are prone to pin-
246 tract infections. Mechanical failure was not common except when used for transarticular tarsal stabilisation and
247 bone fracture was extremely rare. This study could not show an effect of fracture configuration, open or closed
248 nature, or frame design on the development of complications.

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Legend

Tables

- **Table 1.** Fixator associated complication development at each anatomical region.
- **Table 2.** Additional external skeletal fixator configuration details and association with complication development.

Figures

- **Figure 1.** Distribution of fixator associated complications.
- **Figure 2.** Regional distribution of fixator associated complication types as a percentage of the total number of fixators at each site.

Footnotes

- **a-** IBM Corp. Released 2012. IBM SPSS Statistics for Windows, Version 21.0. Armonk, NY: IBM Corp
- **b-** IMEX SK Linear External Skeletal Fixation System: IMEX Veterinary Inc., Longview, TX, USA

Table 1 Fixator associated complication development at each anatomical region.

Region	Number of dogs that developed a complication	Total number of fixators in region
Radius & Ulna	14	20
Tibia	7	17
Femur	2	2
Tarsus	9	10
Humerus	8	9
Mandible & Maxilla	4	10
Manus	8	9
Pes	14	17
Stifle	1	3

Table 2 Additional external skeletal fixator configuration details and association with complication development

Frame Feature	Total number	FAC developed	Incidence of FAC	Type of FAC
Tied-in IM Pin	9	7	P=0.574	P=0.088
Trans-articular ESF	36	29	*P=0.028	P=0.163
A-frame ESF	6	4	P=0.585	P=0.108
Epoxy putty	16	11	P=0.560	P=0.519

FAC-Fixator associated complication, IM- Intramedullary pin, ESF-external skeletal fixator, * values of $P < 0.05$ are significant.

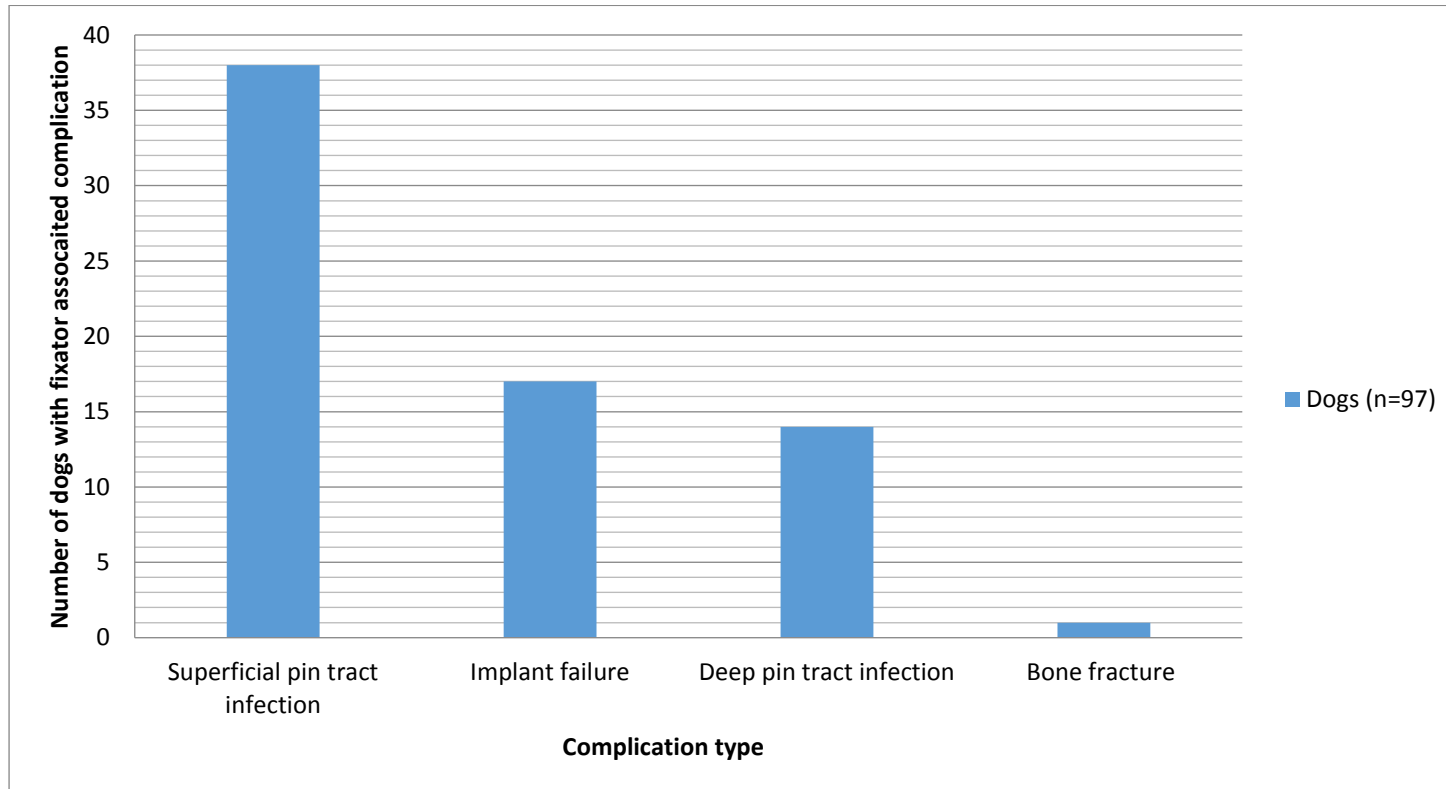


Figure 1 Distribution of fixator associated complications.

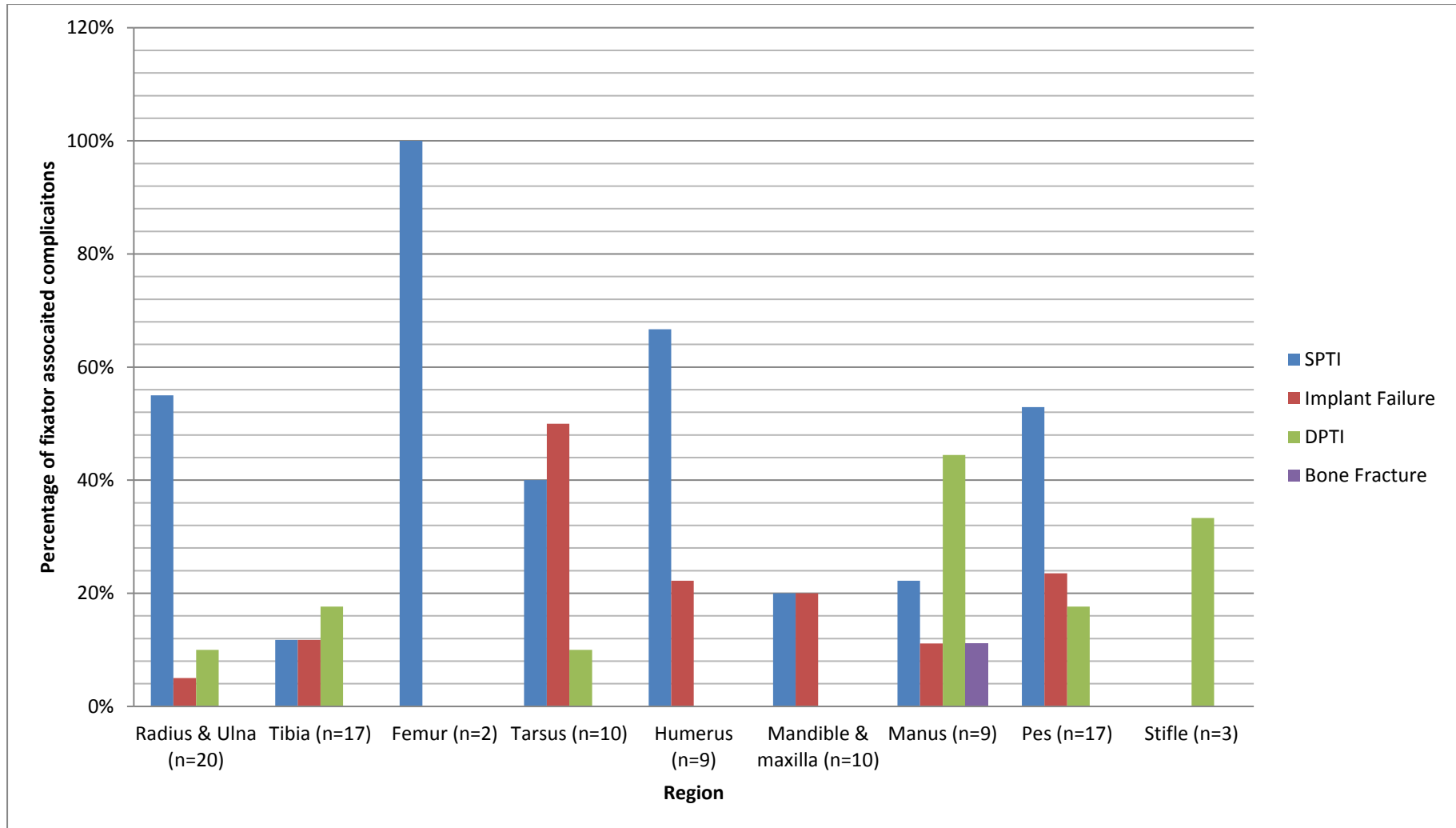


Figure 2 Regional distribution of fixator associated complication types as a percentage of the total number of fixators at each site.

SPTI- Superficial pin tract infection, DPTI- Deep pin tract infection