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**TITLE PAGE**

Title: Computerized Virtual Reality Simulation in Preclinical Dentistry.  
Can a computerized simulator replace the conventional phantom heads and human instruction?

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**Summary Statement**

In preclinical dental education, the acquisition of clinical, technical skills and the transfer of these skills to the clinic are paramount. Phantom heads provide an efficient way to teach preclinical students dental procedures safely while increasing their dexterity skills considerably. Modern computerized phantom head training units incorporate features of virtual reality technology and the ability to offer concurrent augmented feedback. The aim of this review was to examine and evaluate the dental literature for evidence supporting their use and discuss the role of augmented feedback versus the facilitator’s instruction. Adjunctive training in these units seems to enhance student’s learning and skill acquisition and reduce the required faculty supervision time. However, the virtual augmented feedback cannot be used as the sole method of feedback, and the facilitator’s input is still critical. Well-powered longitudinal randomized trials exploring the impact of these units on student’s clinical performance and issues of cost-effectiveness are warranted.

147 words

Key Words: dental education, faculty, simulation training

53

## 54 **INTRODUCTION**

55 Operative dentistry is a demanding area of clinical education <sup>1</sup>. The development of  
56 clinical competence requires the assimilation of large amounts of knowledge  
57 combined with the acquisition of clinical skills and problem-solving ability <sup>1</sup>. One of  
58 the most essential clinical skills in operative dentistry is preparing and restoring  
59 carious teeth. The student needs to comprehend the concepts of the procedure and  
60 develop the fine motor skills to perform it <sup>2</sup>. The acquisition of clinical, technical skills  
61 and the transfer of these skills to the clinic, where real patients are treated, is of  
62 paramount importance <sup>3</sup>. This can be achieved by vigorous training on phantom  
63 heads <sup>4</sup>. Phantom heads provide an efficient way to teach preclinical students dental  
64 procedures safely while increasing their psychomotor skills considerably <sup>4, 5</sup>.

65 Phantom heads have been the cornerstone of learning in operative dentistry  
66 worldwide since their introduction in 1894 <sup>4</sup>. The phantom head is affixed to  
67 a dental operating unit with a torso, in a manner that allows adjustment of  
68 position to allow the students to work in a seated position as they would in a  
69 clinical setting <sup>3</sup>. The heads also have a rubber sheet which provides an  
70 approximation of the patient's cheeks and mouth opening (Figure 1) <sup>3</sup>.  
71 Phantom heads replicate the real-life clinical environment including  
72 positioning of the operator and the patient, performing dental procedures  
73 with an assistant, and infection control procedures<sup>3</sup>. Traditionally in  
74 preclinical simulation training, the students are shown models, diagrams,  
75 and pictures and are asked to repeatedly perform dental procedures on  
76 plastic phantom head teeth <sup>6</sup>. The learners receive verbal feedback by a

77 faculty instructor when they have completed all or a portion of a cavity or  
78 tooth preparation task (Figure 2)<sup>7</sup>.

79 In recent years, technological advances have facilitated the incorporation of virtual  
80 reality simulation technology in preclinical operative dental education. Virtual reality  
81 simulators provide the opportunity for integrating clinical case scenarios in the  
82 operative teaching environment and also facilitating the tactile diagnostic skills by  
83 utilizing haptic technology<sup>1</sup>. To date, two types of computerized virtual reality dental  
84 simulators are available: mannequin-based simulators on which certain dental  
85 procedures can be performed using real dental instruments (e.g. DentSim TM and  
86 Image Guided Implantology IGI both produced by the DenX, Ltd.) and haptic-based  
87 simulators which employ a haptic device and virtual models of a human tooth or  
88 mouth as a platform for facilitating the practise of dental procedures (e.g PHANTOM  
89 TM, Virtual Reality Dental Training System VRDTS, Iowa Dental Surgical Simulator,  
90 HapTEL, VirDenT & Moog Simodont Dental Trainer)<sup>1, 5, 6</sup>.

91 The mannequin-based computerized simulators combine the benefits of training on a  
92 traditional phantom head operating unit<sup>3</sup>, with the benefits of virtual reality simulation  
93<sup>8</sup>. These units were the focus of the present review; hereinafter referred to as CVRS.

94 A computerized phantom head dental simulator which incorporates virtual reality  
95 features and provides augmented visual feedback is the DentSim Unit<sup>1</sup>. It has been  
96 available since 1997 and has been used and evaluated in Dental Institutions in the  
97 U.S., Europe, and Asia<sup>1, 6, 9-11</sup>. The unit includes a phantom head, a dental  
98 handpiece, a light source, an infrared camera and two computers. The phantom  
99 head and handpiece contain infrared emitters which allow the infrared camera to  
100 detect their spatial orientation in space<sup>6, 8</sup>. As a student prepares a cavity in the

101 phantom head, the software formulates a virtual three-dimensional representation of  
102 the preparation in progress which is presented on the computer screen (Figure 3) <sup>6, 8</sup>.  
103 The student's cavity preparation can be compared to the ideal cavity preparation by  
104 overlaying the two virtual reality images at any time during the procedure <sup>6, 8, 12</sup>.  
105 Procedural errors are audio-signalled as they are made and the generated error  
106 messages can be viewed immediately <sup>12</sup>. A final evaluation report and a list of errors  
107 become available at the end of the procedure <sup>6, 12</sup>. The virtual environment is  
108 enhanced with complete patient records including examination notes and  
109 radiographs which provide a more realistic environment, bringing the technical tasks  
110 into a clinical context, during the simulation training <sup>12</sup>.

111 This aim of this review was to examine and evaluate the existing body of literature on  
112 the use of the CVRS in preclinical dental education. The impact on student's  
113 performance and learning experience, as well as the role of the faculty instruction  
114 versus the augmented visual feedback provided by these units, in the clinical skills  
115 acquisition simulation training, is discussed.

## 116 **METHODS**

117 A search of the literature was performed searching the following databases via  
118 EBSCO: Medline, British Educational Index, and ERIC. The search terms used and  
119 the search strategy can be found in Table 1. Papers in which the CVRS were  
120 discussed in terms of preclinical dental education were included. Studies using  
121 CVRS in postgraduate dental education as well studies using haptic technology  
122 simulation systems were excluded. Only studies in the English language were  
123 considered for inclusion. Finally, no limits for study design were applied.

124 The citations retrieved from the above search (79) were inserted into the reference  
125 management software Endnote X7.4. The titles and abstracts were screened for  
126 relevance. The potentially relevant papers (33) were accessed and read in full-text.  
127 The selection process of the included studies (16) and the reasons for exclusion are  
128 depicted in the PRISMA flowchart (Figure 4).

## 129 **RESULTS**

### 130 **Impact on student performance**

131 From the 79 articles retrieved, 16 were deemed relevant and were included in this  
132 review. From these, five prospective experimental studies assessed the students'  
133 performance in cavity preparation after additional training on the CVRS. The main  
134 characteristics and results of these studies can be found in Table 2. Concerning the  
135 quality of tooth preparations, most of the studies found no significant differences  
136 between those who trained solely on conventional phantom heads versus those who  
137 had been exposed adjunctively to the CVRS <sup>2, 13-15</sup>. Conversely, Kikuchi  
138 demonstrated that students using the CVRS units performed better quality crown  
139 preparations than those who did not <sup>9</sup>. Similarly, when first-year dental students  
140 received eight hours of adjunctive computerized dental simulation training, although  
141 they performed better early in the study, their clinical performance did not differ as  
142 assessed by the final practical examination <sup>12</sup>. As the retention and transferability of  
143 skill and knowledge are concerned, several studies found no significant differences  
144 in final practical exam scores <sup>12, 16, 17</sup>. LeBlanc et al. did not identify any marked  
145 differences in the final exam scores but observed a more significant improvement  
146 between the first and final assessment scores for the CVRS group <sup>2</sup>. In contrast,  
147 Magio et al. suggested that the introduction of the CVRS in preclinical dental training

148 resulted in a reduction in the course remediation rate and reduction of the course  
149 failure rates by more than a half <sup>18, 19</sup>.

### 150 **Time efficiency**

151 In an experimental study at the University of Pennsylvania, the students who  
152 received CVRS training showed a higher efficiency in cavity preparations than the  
153 students who trained on the traditional phantom heads <sup>16</sup>. Namely, they prepared  
154 significantly more teeth per hour (3.8 versus 1.6) and used more teeth (average of  
155 11.71 versus 6.57 for control,  $p=0.02$ ) during their practising session <sup>16</sup>. Similarly,  
156 training sessions with CVRS shortened the crown preparation time performed by  
157 fifth-year dental students at Tokyo Medical and Dental University <sup>9</sup>. Besides, virtual  
158 reality simulators appear to reduce the required instruction and supervision time by  
159 faculty members of staff <sup>16</sup>. Jasienevicius et al. demonstrated that students who were  
160 trained on conventional simulators received five times more instructional time from  
161 faculty than students who were trained on virtual reality ones. However, there were  
162 no statistically significant differences in the quality of the preparations despite the  
163 additional instructional time <sup>13</sup>.

### 164 **Student learning experience**

165 Several studies have surveyed dental students about their preferences over  
166 conventional or virtual reality simulation. CVRS training seems to be rated rather  
167 positively by the students. The majority (87.3%) of first-year students at Tennessee  
168 Dental school working with CVRS found the experience to be “very interesting” or  
169 “interesting” <sup>11</sup>. Amongst the positive features of virtual reality simulators, as  
170 perceived by dental students, were the positive impact on improving their manual

171 and motor skills <sup>16</sup>, the increased speed and number of preparations <sup>10, 16</sup>, the access  
172 to feedback <sup>14</sup>, the ability for the student to monitor their own work without  
173 involvement of a supervisor <sup>10, 14</sup>, the preparation for assessment, the consistency of  
174 evaluation <sup>14, 15</sup> and the allowance for self-paced learning <sup>10, 14</sup>. Students criticized  
175 the CVRS for excessive feedback, lack of personal contact and technical difficulties  
176 with hardware <sup>14, 15</sup>. Also, students agreed that virtual reality simulators could not  
177 fully replace the conventional phantom heads and the combination of the two is the  
178 most preferable and effective way of learning <sup>14, 15</sup>. On the other hand, students  
179 found that the feedback and supervision by faculty facilitators can be inconsistent,  
180 and supervisors can be too busy, but it increases their confidence in cavity  
181 preparations <sup>14, 15</sup>.

## 182 **Feedback**

183 As far as quality and effectiveness of instruction and feedback is concerned, several  
184 studies have suggested that the virtual reality simulator could not be accepted as the  
185 sole form of feedback and evaluation the students should be exposed to. Namely,  
186 Urbankova et al. concluded that CVRS augmented feedback cannot replace human  
187 instruction <sup>12</sup>. Quin et al. suggested that CVRS is not appropriate as a sole method of  
188 feedback and evaluation for novice dental students <sup>14, 15</sup>. This statement agrees with  
189 a later study in which sole CVRS feedback was not found beneficial, as the retention  
190 and transfer test scores between students who used CVRS versus conventional  
191 phantom heads did not differ significantly <sup>17</sup>. By the same token, Wierinck et al. have  
192 suggested that alternating virtual reality with human instruction and feedback can  
193 result in positive learning outcomes <sup>7</sup>.

194



195 **DISCUSSION**

196 The role of simulation has been recognized as an important aspect of training in  
197 healthcare which supports and improves patient safety <sup>20</sup>. Technology-enhanced  
198 simulation, including virtual reality training, has been associated with positive  
199 outcomes for healthcare trainee's knowledge and skills <sup>21</sup>. The use of virtual reality  
200 simulators for the training of novice surgical trainees has been supported by a  
201 number of systematic reviews <sup>22-26</sup>. In laparoscopic surgery, it has been shown to  
202 result in a significant reduction in operating time and procedural errors while  
203 improving the trainees' performance scores <sup>23, 24</sup>. Besides, two recent systematic  
204 reviews by the Cochrane Collaboration, in the fields of endoscopy and ENT surgery,  
205 suggested that virtual reality simulation can be used to supplement traditional  
206 surgical training for medical students and surgical trainees with little or no surgical  
207 experience <sup>25, 26</sup>. Nonetheless, the authors concluded that virtual reality training  
208 allows trainees to develop technical skills at least as good as those achieved through  
209 conventional training <sup>25</sup>.

210 Similarly, adjunctive training on the dental CVRS has the potential to improve  
211 student's clinical performance and enhance their practical examination scores <sup>9, 12, 15,</sup>  
212 <sup>17</sup>. The augmented feedback through visual cues can facilitate proper eye-hand  
213 coordination, and reduce the number of procedural errors <sup>12</sup>. Confronting the  
214 students with their own errors as they are made, allows them to visually inspect their  
215 work compared to an ideal model <sup>14, 17</sup> and instantaneously rectify it, which can  
216 potentially increase learning efficiency and skill development <sup>12</sup>. Noteworthy,  
217 although students seemed to perform better early after the CVRS training, their  
218 clinical performance in final exams did not differ from that of the students who trained

219 solely on traditional phantom-head units<sup>12, 16, 17</sup>. The fact that the amount of transfer  
220 from one task onto another depends on the similarity of the neural processing  
221 demands, underlying motor execution, may offer an explanation<sup>17</sup>. Besides, the  
222 transferability of skills from one context to another is not an uncommon finding in  
223 healthcare simulation. Namely, studies in the fields of bronchoscopy, endoscopy and  
224 laparoscopic surgery have shown that skills acquired using virtual-reality simulation  
225 will transfer to the operating room<sup>27-29</sup>.

226 Nonetheless, with the expansion of the dental curricular content, the effective use of  
227 student's time has become an increasing necessity<sup>14</sup>. CVRS training has shown to  
228 improve students' efficiency in teeth preparations<sup>9, 16</sup> and reduce the required time  
229 for faculty instruction and supervision<sup>13</sup>. Hence, the faculty instructors' time can be  
230 utilized in teaching the students crucial non-procedural skills such as patient  
231 management, ethics, and teamwork. Sharing their expertise and experiences in the  
232 transition of a student from novice to clinician remains critical<sup>7, 12</sup>.

233 The unsuitability of the use of CVRS feedback as the sole method of feedback and  
234 evaluation for novice students is a consistent criticism amongst the included studies  
235<sup>7, 14, 15, 17</sup>. Although CVRS appear to be a reliable method for monitoring technical  
236 progress, addressing the issue of lack of reproducibility amongst assessors<sup>15</sup>; they  
237 cannot be used as a substitute for expert feedback. It has been suggested that the  
238 extensively detailed and sometimes complex computer feedback can be  
239 discouraging and overwhelming, especially for the inexperienced students<sup>13, 17</sup>.  
240 Appropriate faculty input will reinforce learned theoretical concepts and will provide  
241 the students with insight into the weaknesses of their performance<sup>2, 14</sup>. Contextual  
242 learning will enable the students to achieve a deeper understanding of theoretical

243 concepts and the impact of any procedural errors (e.g. the biological, clinical, and  
244 medico-legal implications of damaging an adjacent tooth or unnecessarily preparing  
245 a rather deep cavity).

246 In a modern preclinical environment, students will reflect on the feedback received  
247 by the simulator, the facilitator or both. CVRS can provide the student with  
248 continuous (100%) augmented feedback or they can be set to provide feedback less  
249 frequently or none at all. In traditional phantom head preclinical courses, the  
250 supervisors offer feedback at the end of critical parts of the procedure and the end of  
251 the task. Usually, the ratio of supervisors to students does not permit every student  
252 to receive constant feedback and instruction during the dental procedure. According  
253 to Wierinck et al. continuous (100%) CVRS feedback during the task did not offer  
254 any additional benefit over intermittent (66% of the time) feedback <sup>7</sup>. Nonetheless, a  
255 recent meta-analysis suggested that terminal feedback appears more effective than  
256 concurrent feedback for novice learners' skill retention <sup>30</sup>. The mechanism by which  
257 feedback may be operating is in line with the guidance hypothesis <sup>31</sup> and to some  
258 extent, the cognitive load theory <sup>32</sup>.

259 The guidance hypothesis suggests that constant feedback from an instructor during  
260 each practice attempt (concurrent feedback) may lead to an over-reliance on the  
261 feedback such that when feedback is withdrawn, the learner's performance declines  
262 <sup>30, 31</sup>. Reduced frequency of instruction may, therefore, enhance motor skill learning  
263 and detection of errors <sup>33</sup>. According to the cognitive load theory, feedback provided  
264 during a procedural skills session could influence cognitive load, either increasing it  
265 by providing 'information-overload,' or decreasing it by structuring the task so that it

266 is better understood <sup>30, 32</sup>. Thus, it is plausible that continuous feedback may  
267 cognitively overload the learner and hinder their learning <sup>30</sup>.

268 The included studies assessed the suitability and effectiveness of the CVRS units as  
269 an adjunctive training tool for novice dental students. These units can also act as a  
270 valid and reliable screening device to capture expert performance <sup>8</sup>. Wierinck et.al  
271 suggested that the DentSim unit can distinguish different levels of excellence in  
272 performance (expert versus novice) <sup>8</sup>. On that ground, CVRS may be used in other  
273 areas such as continuing dental education, continued competency of practitioners,  
274 clinical board exams and remediation of impaired practitioners <sup>6</sup>. Future research will  
275 be needed to explore the feasibility of CVRS in these areas. Furthermore, evidence  
276 for the long-term effect of CVRS training on the students' clinical performance and  
277 competence as well as data regarding the cost-effectiveness of these devices is  
278 currently lacking. Future studies should conform to the extended CONSORT and  
279 STROBE reporting guidelines for healthcare simulation research<sup>20</sup>, to ensure  
280 complete reporting and transparency in the research conduct <sup>20, 34</sup>.

## 281 **CONCLUSION**

282 The existing body of evidence suggests that combining and alternating the traditional  
283 and pioneering simulation methods and feedback may be of benefit to the learners.  
284 However, there is insufficient evidence to advise for or against the use of  
285 computerized virtual reality simulators as a replacement of the traditional phantom  
286 heads and human instruction. Virtual reality simulation may enable a better  
287 understanding among learners in a more diverse learning environment and augment  
288 rather than replace existing teaching methods that work well such as faculty  
289 instruction and feedback. Incorporating such a technology in the dental curriculum

290 can add a substantial expense nevertheless to a dental faculty's budget. Well-  
291 designed and adequately powered long-term prospective studies exploring matters  
292 of student performance, learning outcomes, and cost effectiveness are warranted.

293

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416 **Table and Figure Legends**

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418 Table 1: Search strategy

419 Table 2: Studies comparing student's performance (CVRS versus traditional  
420 phantom heads)

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444 **Figure Legends**

445

446 Figure 1. Phantom head dental simulator unit. Image courtesy of Plymouth  
447 University, Peninsula School of Medicine and Dentistry.

448 Figure 2. Traditional dental simulation training and faculty instruction. Images  
449 courtesy of Plymouth University Peninsula School of Medicine and Dentistry.

450 Figure 3. CVRS training interface for cavity preparation (DentSim™). Images  
451 courtesy of Professor Els Wierinck, KU Leuven - Department of Oral Health  
452 Sciences, University Hospitals Leuven, Belgium.

453 Figure 4. Flowchart. Study selection process.

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