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The Effect of Vitamin D3 Supplementation on Kidney Function and Cardiovascular Disease Markers among Hispanics and African Americans with Type 2 Diabetes

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FLORIDA INTERNATIONAL UNIVERSITY

Miami, Florida

THE EFFECT OF VITAMIN D3 SUPPLEMENTATION ON KIDNEY FUNCTION
AND CARDIOVASCULAR DISEASE MARKERS AMONG HISPANICS AND
AFRICAN AMERICANS WITH TYPE 2 DIABETES

A dissertation submitted in partial fulfillment of

the requirements for the degree of

DOCTOR OF PHILOSOPHY

in

DIETETICS AND NUTRITION

by

Gustavo G. Zarini

2017

To: Dean Tomás R. Guilarte
R.Stempel College of Public Health and Social Work

This dissertation, written by Gustavo G. Zarini, and entitled The Effect of Vitamin D3 Supplementation on Kidney Function and Cardiovascular Disease Markers among Hispanics and African Americans with Type 2 Diabetes, having been approved in respect to style and intellectual content, is referred to you for judgment.

We have read this dissertation and recommend that it be approved.

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Fatma G. Huffman, Major Professor

Date of Defense: June 27, 2017

The dissertation of Gustavo G. Zarini is approved.

Dean Tomás R. Guilarte
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Andres G. Gil
Vice President for Research and Economic Development
and Dean of the University of Graduate School

Florida International University, 2017

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DEDICATION

I dedicate this dissertation to my father and mother, Jorge Ernesto and Matilde, for their unconditional love, support, and motivation, to complete my doctoral studies. Their stability and sacrifices gave me strength to make it this far. I also dedicate this dissertation to my brother, Jorge Ernesto, for his tolerance and support throughout this process.

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ABSTRACT OF THE DISSERTATION
THE EFFECT OF VITAMIN D₃ SUPPLEMENTATION ON KIDNEY FUNCTION
AND CARDIOVASCULAR DISEASE MARKERS AMONG HISPANICS AND
AFRICAN AMERICANS WITH TYPE 2 DIABETES

by

Gustavo G. Zarini

Florida International University, 2017

Miami, Florida

Professor Fatma G. Huffman, Major Professor

Serum vitamin D deficiency/insufficiency, Chronic Kidney Disease (CKD) and elevated blood pressure are important health concerns especially among minorities with type 2 diabetes. The effect of vitamin D₃ supplementation (cholecalciferol) at 6,000 IU/day (d) vs. 4,000 IU/d on kidney function and cardiovascular disease markers among Hispanics and African Americans with type 2 diabetes and hypovitaminosis D (<30 ng/ml) was evaluated. Subjects (n=63) were recruited from two clinics in Miami-Dade County, FL. Fasting venous blood and fresh, single-voided first morning urine samples were collected from each participant by a certified phlebotomist and analyzed by Solstas Lab Partners, Davie, FL. Linear mixed models were used to compare the interaction between time and intervention. Least Significant Difference (LSD) comparisons were used to detect significant differences within and between 4,000 IU/d and 6,000 IU/d groups from baseline, 3 and 6 months. In the 4,000 IU/d and 6,000 IU/d groups, a significant increase in serum 25-hydroxy vitamin D [25(OH)D]

levels were observed from baseline [(19.9±1.1 ng/mL) and (21.4±1.3 ng/mL)] to 3 months [(36.1±2.2 ng/mL, p<.001) and (43.0±2.7 ng/mL, p<0.001)]; and 6 months [(37.1±2.4 ng/mL, p<0.001) and (39.2±3.0 ng/mL, p<0.001)], respectively. Significant increase in estimated Glomerular Filtration Rate (eGFR) creatinine equation values were observed from baseline (81.2±3.0 mL/min) to 6 months (90.2±2.8 mL/min, p<0.001) in the 4,000 IU/d group. Significant decrease in eGFR creatinine - cystatin C equation values were found from 3 months (109.2±3.9 mL/min) to 6 months (100.9±3.7 mL/min, p=0.006) in the 4,000 IU/d group. Systolic blood pressure levels significantly decreased from baseline (144.1±4.0 mmHg) to 6 months (134.5±3.5 mmHg, p=0.020) only for the 6,000 IU/d group. Supplementation with vitamin D₃ longer than 6 months may be needed to determine sustained long term effects in kidney and cardiovascular disease markers. Further research could provide more information for translation of these findings into recommendations for individuals with CKD, hypertension and type 2 diabetes. The efficacy of vitamin D₃ supplementation as complementary therapy for CKD and blood pressure in minority and other ethnic groups needs further investigation in larger and longer duration randomized controlled trials.

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ABBREVIATIONS AND ACRONYMS

25(OH)D	25-hydroxy vitamin D
A1c	Hemoglobin A1c
B	Baseline
BMI	Body Mass Index
CDC	Centers for Disease Control and Prevention
CKD	Chronic Kidney Disease
CKD-EPI	Chronic Kidney Disease Epidemiology Collaboration
DBP	Diastolic Blood Pressure
DSMB	Data Safety Monitoring Board
DV	Dependent Variable
eGFR	Estimated Glomerular Filtration
ELISA	Enzyme-Linked ImmunoSorbent Assay
ESRD	End Stage Renal Disease
FFQ	Food Frequency Questionnaire
FPG	Fasting Plasma Glucose
HMO	Health Maintenance Organization
Hs-CRP	High-sensitivity C-reactive Protein
IOM	Institute of Medicine
IRB	Institutional Review Board
IV	Independent Variable
KDIGO	Kidney Disease Improving Global Outcomes

KDOQI	Kidney Disease Outcomes Quality Initiative
LSD	Least Significant Difference
MAU	Microalbuminuria
MDRD	Modification of Diet in Renal Disease
mmHg	Millimeter of Mercury
NHANES	National Health and Nutrition Examination Survey
PTH	Parathyroid Hormone
RAAS	Renin-Angiotensin-Aldosterone System
RDA	Recommended Dietary Allowance
S	Screening
SBP	Systolic Blood Pressure
SD	Standard Deviation
SE	Standard Error

CHAPTER I: INTRODUCTION

Kidney Disease

Kidney disease is the ninth leading cause of death in the U.S. (National Center for Health Statistics, 2012) affecting 31 million (10%) of American adults with the majority unaware of their conditions (CDC, 2011). Diabetes accounted for 44% of the incidence of kidney failure in 2012 (American Kidney Fund, 2015). Furthermore, complications from kidney disease are more prevalent in minorities: End Stage Renal Disease (ESRD) was nearly 1.5 times more likely in Hispanics as compared to non-Hispanic Whites (CDC, 2012).

The Kidney Disease Improving Global Outcomes (KDIGO) guidelines (KDIGO, 2013) recommends using the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) equation with serum creatinine for initial evaluation and staging of kidney disease. The Modification of Diet in Renal Disease (MDRD) equation may not be as reliable as the CKD-EPI equation for Glomerular Filtration Rate (GFR) levels between 60 and 90 mL/min/1.73m² (Levey et al., 2009). Although the CKD-EPI equation (eGFR creatinine) adjust for age, gender and race, serum creatinine is affected by variations in diet and lean muscle mass (National Kidney Foundation, 2009). Cystatin C, a 13-kDa, single-chain amino acid present in almost all nucleated cells (Abrahamson et al., 1990), has been proposed as a confirmatory marker to add to the CKD-EPI equation, alone (eGFR cystatin) or with serum creatinine (eGFR creatinine- cystatin C), when eGFR creatinine is assumed inaccurate (KDIGO, 2013).

Microalbuminuria is considered an early biomarker for loss of kidney function. Microalbuminuria a sensitive marker recommended by the Kidney Disease Outcomes Quality Initiative (KDOQI) Clinical Practice guidelines to be used to assess and monitor kidney function in early stages of kidney disease (KDOQI, 2000). Reported in previous studies, microalbuminuria was associated with cardiovascular disease and mortality in individuals with type 2 diabetes (Mogensen, 1984; Valmadrid et al., 2000). The American Diabetes Association recommends that individuals with type 2 diabetes be tested for microalbuminuria at the diagnosis of diabetes and subsequently every year (American Diabetes Association, 2009). Decrease in microalbuminuria values represents an improvement in kidney function and possible reduction in cardiovascular disease risk (KDOQI, 2000).

Kidney Disease and Vitamin D

The prevalence of chronic kidney disease (CKD) and hypovitaminosis D disproportionately affects minorities and individuals with type 2 diabetes (Diaz et al., 2009; Melamed et al., 2009; Zadshir et al., 2005). Data from the National Health and Nutrition Examination Survey (NHANES) indicated that cases of kidney disease were distributed disproportionately among Hispanics, with Hispanics presenting the highest proportion of kidney disease (38.5%), followed by non-Hispanic Blacks (36.2 %) and non-Hispanic Whites (27.8%) (Diaz et al., 2009). Vitamin D status differ across ethnic groups. Non-Hispanic Blacks and Hispanics have higher rates (80.4% and 59.0%) of serum 25-hydroxy vitamin D

[25(OH)D] levels considered deficient as compared to Whites (39.5%, $p < 0.01$) (Diaz et al., 2009). Individuals with kidney disease had higher rates of serum vitamin D deficiency (53.2%) as compared to those with normal kidney function (47.0%) (Diaz et al., 2009). Individuals with kidney disease were shown to have hypovitaminosis D even in the early stages of kidney disease (Lee et al., 2010). Moreover, since their kidneys are deteriorated, the ability to activate adequate amounts of vitamin D decline. Reduction in renal function over a 4-year period was greater for individuals with insufficient serum 25(OH)D and diabetes in Caucasian adults as compared to controls (de Boer et al., 2011). In a 5-year follow-up study of a random sample of Caucasians ($n=4,330$), aged 30-60 years, low 25(OH)D levels predicted higher protein excretion (Skaaby et al., 2013). Serum 25(OH)D levels have been inversely associated with albuminuria in a U.S. representative population and this association remained after controlling for diabetes (de Boer et al., 2007).

Insufficient dietary and serum 25(OH)D levels could contribute to morbidity and mortality (Heaney et al., 2005; Zimmermann & Gummert, 2010). In the longitudinal study by Pilz et al. (2011), with a median follow-up time of 9.4 years, the risk of mortality in 444 individuals with CKD (stages 3-5) was higher in those with serum vitamin D deficiency as compared to those with normal vitamin D status (hazard ratios: 4.38, 95% CI 2.13–9.00). The mechanism that could explain the association between vitamin D status and mortality; however, remains unknown. Sufficient levels of serum 25(OH)D are protective of the renal

and cardiovascular systems; conversely, hypovitaminosis D may accelerate disease progression (Judd and Tangpricha, 2008; Li, 2012).

Kidney Disease and Vitamin D Supplementation

Supplementation that raises serum 25(OH)D levels has been recommended to prevent health problems in adults (Heaney et al., 2005; Williams, et al., 2009). Investigations of the effect of vitamin D₃ supplementation on minorities with type 2 diabetes; however, have been limited. Most vitamin D supplementation trials in patients with kidney disease have been conducted primarily in Caucasians without diabetes. Vitamin D₃ supplementation at high doses [>2000 IU/day (d)] has promise for achieving vitamin D sufficiency for persons with CKD (Alvarez et al., 2012). On the other hand, studies concerning vitamin D₂ supplementation and vitamin D status in kidney disease were less conclusive (Alvarez et al., 2012). Vitamin D₃ may provide a safer and more cost-effective strategy for the treatment of early CKD than oral vitamin D₂ or synthetic analogues. There are some studies available on vitamin D₃ supplementation in adults, in which kidney function was measured (Kim et al., 2011; Rucker et al., 2009; Molina et al., 2014). Vitamin D₃ supplementation, provided according to the participant's vitamin D status, for 4 months showed a reduction of albuminuria, but no change in GFR for ethnically diverse (43% Caucasian, 22% Black, and 45% Asian) patients (n=63) with CKD stages 2-4 and hypovitaminosis D (Kim et al., 2011). Supplementation of 1000 IU/daily of vitamin D₃ among 128 Canadian adults with later stages of kidney disease (stages 3-5) found no improvements in kidney

function (GFR) compared with a control group; however, supplementation was of short duration (3 months) and mean 25(OH)D levels were below vitamin D sufficiency (30 ng/mL) by the end of the study (Rucker et al., 2009).

Supplementation of vitamin D₃ at 666 IU/d for 6 months significantly lowered albuminuria in a Caucasian older adult cohort (n= 101) with CKD stages 3-4, but no significant improvements were found for estimated GFR (Molina et al., 2014).

Early supplementation with vitamin D₃ might provide a more valuable treatment and possibly delay adverse kidney disease outcomes.

Blood Pressure and Vitamin D

The Centers for Disease Control and Prevention (CDC) indicated that one in 3 individuals living in the U.S., an estimated 70 million adults, have high blood pressure (CDC, 2015) which place them at higher risk to die from heart disease (Nwankwo et al., 2013). The CDC recommended decreasing individual's systolic blood pressure by 12 to 13 mmHg because it could potentially reduce deaths from cardiovascular disease by 25%. Still, high levels of blood pressure among Hispanics and Blacks continue to be a challenge (CDC, 2013).

Serum vitamin D deficiency/insufficiency and elevated blood pressure are important health concerns especially among minorities. Available evidence from observational studies indicated that low 25(OH)D levels are related to elevated blood pressure. A study by Schmitz et al. (2009) conducted in Hispanics and African Americans (n=1334) found an inverse association between 25(OH)D

levels and blood pressure, after adjusting for age, gender, ethnicity and seasons. When BMI was included in the final model, blood pressure was no longer associated with 25(OH)D levels. In this study, only a small proportion of subjects had systolic blood pressure ≥ 140 mmHg (n=72; 5%) and diastolic blood pressure ≥ 90 mmHg (n=93; 7%). Data from NHANES that included 12,644 individuals ≥ 20 years old showed that after adjusting for age, gender, ethnic background, and physical activity, 25(OH)D levels were inversely linked with blood pressure (Scragg et al., 2007). When the highest quintile of 25(OH)D (≥ 87 nmol/L) was compared to the lowest quintile (40.4 nmol/L), both mean systolic blood pressure and diastolic blood pressure were 3.0 mmHg and 1.6 mmHg lower in those in the highest quintile (Scragg et al., 2007). As in the study by Schmitz et al. (2009), addition of BMI as an adjustment variable in the model weakened the relationship between 25(OH)D and blood pressure levels. In the study by Scragg et al. (2007), systolic blood pressure continued to be significant despite adjustment. Likewise, Forman et al. (2007) found an inverse association between 25(OH)D and incident risk of hypertension by exploring two cohorts (men=613) from the Health Professionals' Follow-up Study and Nurses' Health Study (women=1198) ages 40-75.

In contrast with the findings from observational studies, the relationship between vitamin D₃ supplementation and its effect on blood pressure is not as clear. Clinical trials and meta-analyses have tried to elucidate the relationship between vitamin D supplementation and blood pressure; however, findings from

these studies are inconsistent. Results from some clinical trials and meta-analysis were not able to determine significant reductions in blood pressure levels (Pittas et al., 2010; Witham et al., 2009; Jorde et al., 2010; Beveridge et al., 2015; Larsen et al., 2012) while other investigations did find significant improvements in blood pressure (Witham et al., 2010; Forman et al., 2013; Pfeifer et al., 2001; Sugden et al., 2008). The mechanism of how vitamin D affects blood pressure is poorly understood. Nonetheless, it has been postulated that vitamin D could affect blood pressure regulation through the Renin-Angiotensin-Aldosterone System (RAAS) (Li et al., 2004; Pilz et al., 2009).

Deficient/insufficient 25(OH)D levels could be corrected by vitamin D₃ supplementation which may be of significance and have a potential impact on health of minority groups with type 2 diabetes. Furthermore, improving blood pressure levels using vitamin D₃ may prevent future complications associated with kidney and cardiovascular disease. The clinical importance of vitamin D₃ supplementation as adjunct therapy is to prevent kidney and cardiovascular disease complications.

Significance of the Study

Even though hypovitaminosis D, type 2 diabetes, kidney disease and cardiovascular disease are major health problems affecting minorities, no study so far has investigated the effect of two high doses of vitamin D₃ supplementation (4,000 IU/d and 6,000 IU/d) exclusively among Hispanics and

African Americans with type 2 diabetes and hypovitaminosis D. Supplementation with vitamin D₃ may have the potential of delaying and managing the progression of CKD and cardiovascular disease. As an inexpensive and safe supplement, vitamin D₃ (cholecalciferol) could have significant benefit in reducing diabetes complications and health disparities among Hispanics and African Americans with type 2 diabetes.

Aims and Hypotheses

Aim 1: To evaluate the effect of vitamin D₃ supplementation (cholecalciferol) at 6,000 IU/d vs. 4,000 IU/d on kidney function among Hispanics and African Americans with type 2 diabetes and hypovitaminosis D (<30 ng/ml).

Hypothesis 1: Vitamin D₃ supplementation at 6,000 IU/d will be more effective in raising 25-hydroxy vitamin D [25(OH)D] levels from deficient/insufficient to sufficient as compared to 4,000 IU/d over 6 months.

Hypothesis 2: Vitamin D₃ supplementation at 6,000 IU/d will be more effective in increasing estimated glomerular filtration rate (eGFR) using CKD-EPI creatinine equation (Chronic Kidney Disease Epidemiology Collaboration) when compared to 4,000 IU/d over 6 months.

Hypothesis 3: Vitamin D₃ supplementation at 6,000 IU/d will be more effective in increasing eGFR using CKD-EPI creatinine - cystatin C equation (Chronic Kidney Disease Epidemiology Collaboration) when compared to 4,000 IU/d over 6 months.

Hypothesis 4: Vitamin D₃ supplementation at 6,000 IU/d will be more effective than the 4,000 IU/d over 6 months in lowering microalbuminuria measured by first morning urine samples.

Aim 2: To evaluate the effect of vitamin D₃ supplementation at 6,000 IU/d vs. 4,000 IU/d on markers of cardiovascular disease risk among Hispanics and African Americans with type 2 diabetes and hypovitaminosis D (<30 ng/ml).

Hypothesis 1: Vitamin D₃ supplementation at 6,000 IU/d will be more effective than the 4,000 IU/d over 6 months in lowering systolic and diastolic blood pressure levels.

Table 1: Summary of Aims, Hypotheses and Statistical Analyses

Aims	Hypotheses	Dependent & Independent Variables	Controlled Variables	Statistical Analysis
<p><u>Aim 1:</u> To evaluate the effect of vitamin D₃ supplementation (cholecalciferol) at 6,000 IU/d vs. 4,000 IU/d on kidney function among Hispanics and African Americans with type 2 diabetes and hypovitaminosis D (<30 ng/ml).</p>	<p><u>Hypothesis 1:</u> Vitamin D₃ supplementation at 6,000 IU/d will be more effective in raising 25-hydroxy vitamin D [25(OH)D] levels from deficient/insufficient to sufficient as compared to 4,000 IU/d over 6 months.</p>	<p>H1 – DV: DV: 25(OH)D IV: vitamin D₃ supplementation</p>	<p>Age gender, BMI, known years with diabetes, A1c, diabetes medications, blood pressure medications, vitamin D intake, sun exposure.</p>	<p>All data analyses for the study was conducted on an “intent to treat” basis. Normal distribution of all variables was assessed. Independent t-test (parametric) or Mann-Whitney U-test</p>

	<p>Hypothesis 2: Vitamin D₃ supplementation at 6,000 IU/d will be more effective in increasing eGFR using CKD-EPI creatinine equation (Chronic Kidney Disease Epidemiology Collaboration) when compared to 4,000 IU/d over 6 months.</p>	<p>H2 – DV: eGFR creatinine IV: vitamin D₃ supplementation</p>	<p>BMI, known years with diabetes, A1c, diabetes medications, blood pressure medications, 25(OH)D, vitamin D intake, sun exposure.</p>	<p>(nonparametric) for continuous variables and chi-square test for categorical variables were used to compare differences in baseline characteristics of participants</p>
	<p>Hypothesis 3: Vitamin D₃ supplementation at 6,000 IU/d will be more effective in increasing estimated glomerular filtration rate</p>	<p>H3 – DV: eGFR creatinine – cystatin C IV: vitamin D₃</p>	<p>BMI, known years with diabetes, A1c, diabetes medications,</p>	<p>across the two intervention groups. For aims 1 and 2, mixed models were</p>

	<p>(eGFR) using CKD-EPI creatinine - cystatin C equation (Chronic Kidney Disease Epidemiology Collaboration) when compared to 4,000 IU/d over 6 months.</p>	<p>supplementation</p>	<p>blood pressure medications, 25(OH)D, vitamin D intake, sun exposure.</p>	<p>performed using estimated glomerular filtration (eGFR) rates, microalbuminuria,</p>
	<p>Hypothesis 4: Vitamin D₃ supplementation at 6,000 IU/d will be more effective than the 4,000 IU/d over 6 months in lowering microalbuminuria measured by first morning urine samples.</p>	<p>H4 – DV: MAU IV: vitamin D₃ supplementation</p>	<p>Age gender, BMI, known years with diabetes, A1c, diabetes medications, blood pressure medications, 25(OH)D, vitamin D</p>	<p>systolic and diastolic blood pressure levels as the dependent variable, respectively. The interaction between time and intervention were included to</p>

			intake, sun exposure.	evaluate the dosage effect on the change of the dependent variable over time.
<u>Aim 2:</u> To evaluate the effect of vitamin D ₃ supplementation at 6,000 IU vs. 4,000 IU/d on markers of cardiovascular disease among Hispanics and African Americans with type 2 diabetes and hypovitaminosis D (<30 ng/ml).	<u>Hypothesis 1:</u> Vitamin D ₃ supplementation at 6,000 IU/d will be more effective than the 4,000 IU/d over 6 months in lowering systolic and diastolic blood pressure levels.	H1 – DV: SBP & DBP IV: vitamin D ₃ supplementation	Age gender, BMI, known years with diabetes, A1c, diabetes medications, blood pressure medications, 25(OH)D, vitamin D intake, sun exposure.	

CHAPTER II: METHODS

The clinical trial conducted by our laboratory entitled “The effect of vitamin D supplementation on cardiovascular risk factors among Hispanics and African Americans with type 2 diabetes” was approved by the Institutional Review Board (IRB) at Florida International University (IRB Protocol Approval #: IRB-13-0155).

Recruitment of Subjects

Subjects were recruited from two clinics in Miami-Dade County, Florida (Borinquen Health Care Center and Clinical Care Medical Center) from July 2011 to March 2013 using flyers explaining the purpose of the study, inclusion/exclusion criteria and containing investigators’ emails and phone numbers. The non-randomized study screened subjects based on the inclusion/exclusion criteria described as follows:

Inclusion criteria:

- Serum vitamin D insufficiency [25(OH)D < 30 ng/ml];
- Age 30-70 years old;
- Hispanic or African American; and
- Having type 2 diabetes

Exclusion criteria:

- Taking vitamin D supplements other than standard multivitamin formula;
- Pregnant or lactating;
- Kidney disease (glomerular filtration rate lower than 30 ml/min/1.73m², stages 4-5) and kidney failure (defined as currently on dialysis).

- Using insulin to manage blood glucose;
- Major psychiatric disorders;
- Cancer;
- HIV/AIDS; and
- Hepatitis

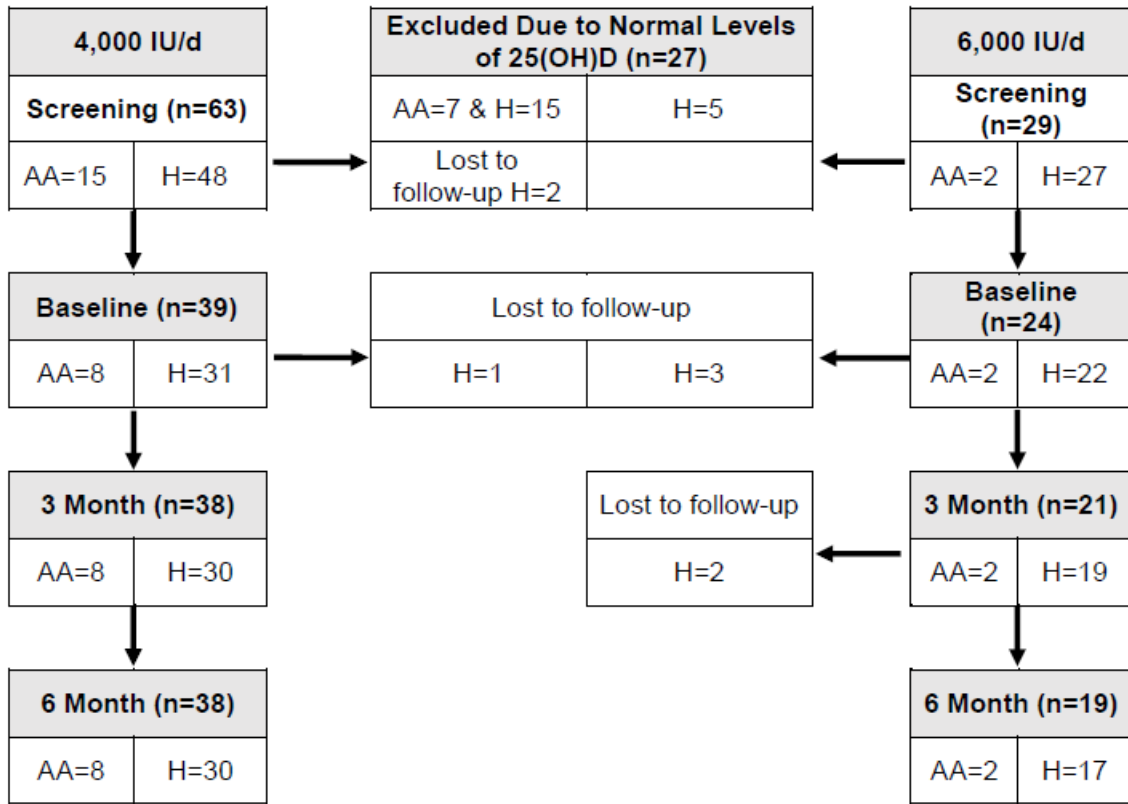
Enrollment and Intervention

All subjects signed an informed consent in Spanish or English based on their preference prior to participation in the study. Participants in both intervention groups were required to take either 4,000 IU or 6,000 IU of vitamin D₃ given in the form of a pill in a single daily dose. Subjects in the 4,000 IU/d group were recruited first and intervention was provided. Subjects were included on a first come, first served basis until the required calculated sample size was attained. After recruitment for the 4,000 IU/d group was completed, we conducted the recruitment for the 6,000 IU/d group. Hence, group selection was not conducted randomly and did not run in parallel. The number of subjects in the 6,000 IU/d group was reduced to the minimum sample size required to find significant differences in the main outcome variables.

Ninety-two participants were screened [4,000 IU/d group (n= 63) and 6,000 IU/d group (n= 29)]. Sixty-three qualified for the study and were enrolled for the vitamin D₃ intervention [4,000 IU/d group (n=39) and (6,000 IU/d (n=24)]. Four participants were lost to follow-up after baseline [4,000 IU/d group (n=1) and

6,000 IU/d group (n=3)]. Additionally, two participants were lost to follow-up after the 3 months' assessment in the 6,000 IU/d group (Figure 1). Participants CKD stages at baseline: (n=45, 71.0%) CKD stage 1 (≥ 90 eGFR ml/min/1.73 m²); (n=15, 24%) CKD stage 2 (60-89 eGFR ml/min/1.73 m²); (n=3, 5.0%) CKD stage 3 (30-59 eGFR ml/min/1.73 m²). The study used an intent to treat approach, all 63 subjects were included in the statistical analysis. Each participant was seen 4 times during the study (screening, baseline, 3 and 6 months). Table 1 describes assessments and assays conducted at each visit.

Figure 1. Flow Diagram Showing Recruitment and Follow-Up of Participants



Abbreviations: AA= African Americans; H= Hispanics.

Table 1. Assessments Frequency

Activity	S	B	3	6
Blood collection and analysis: serum 25(OH)D, PTH, creatinine, cystatin C, hs-CRP, FPG and A1c	X		X	X
Urine: albumin and creatinine	X		X	X
Anthropometrics: height, weight and BMI	X		X	X
Demographics, FFQ & blood pressure	X	X	X	X
Sun Exposure: (Skin Color - reflectance colorimetry using the IMS SmartProbe 400)	X	X	X	X
Determine medications for diabetes and hypertension and monitor medication changes	X	X	X	X
Study adherence/compliance: pill count and compliance		X	X	X
Monitor safety and toxicity		X	X	X
Vitamin D ₃ (cholecalciferol) supplements distribution		X	X	

Abbreviations: 25(OH)D= 25-hydroxy vitamin D, PTH= parathyroid hormone, hs-CRP= High-sensitivity C-reactive Protein, A1C= hemoglobin A1c, FFQ= food frequency questionnaire, (S)=screening, (B)=baseline.

Safety of the Intervention and Monitoring

Participants were monitored monthly for any symptoms of vitamin D₃ toxicity (nausea, vomiting, polyuria, polydipsia, weakness, nervousness, etc.) by telephone, and at each follow-up visit that measured serum 25(OH)D levels. Study adherence and compliance was assessed using serum 25(OH)D and PTH levels change, pill count and attendance of scheduled visits at the clinics. Compliance to the vitamin D₃ supplementation was defined as taken more than 80% of the pills. To facilitate reporting to the clinics at data collection times, a modest compensation for time and travel and laboratory reports were provided. A Data Safety Monitoring Board (DSMB) monitored the safety of vitamin D₃ supplementation every 12 month intervals for two years. This included evaluation

of participant's enrollment, follow-up visits, compliance, laboratory results, and data management.

Vitamin D₃ Supplements

Vitamin D₃ (cholecalciferol) supplements at 4,000 IU and 6,000 IU were custom manufactured by Biotech Pharmacal, Inc. (Fayetteville, AZ, U.S.) in a single batch for each strength to avoid variation between lots. Since there was a three-year expiration date, participants received the same 4,000 IU or 6,000 IU lots accordingly. A certificate of Analysis was provided by the manufacturer to assure that the product meets research specifications.

Blood Collection

Fasting venous blood (20 ml) was collected from each participant by a certified phlebotomist using standard laboratory methods. After coagulation, blood was centrifuged at 2500 RPM for 30 minutes. Solstas Lab Partners, Davie, FL, U.S. performed blood and urine analyses using the standard procedures as outlined.

Serum 25(OH)D levels were measured with an enzyme-immunoassay kit by absorbance (Immunodiagnostic Systems; Scottsdale, AZ, U.S.), where the color intensity is proportional to the concentration of 25(OH)D.

Parathyroid Hormone was tested by a two-sided ELISA (Enzyme-Linked ImmunoSorbent Assay) for the measurement of the biologically active 84 amino acid chain of PTH.

Hemoglobin A1c was measured from whole blood samples using the Roche Tina Quant methods and **fasting plasma glucose** was measured by hexokinase enzymatic methods by Solstas Lab Partners, Davie, FL, U.S.

Creatinine (Serum) was measured using the Roche enzymatic method (Roche-Hitachi P-Module instrument with Roche Creatininase Plus assay, Roche Applied Science, IN, U.S.).

Cystatin C (Serum) was measured in serum using an enzyme-linked immunosorbent assay (ELISA) method (BioVendor LLC, NC, U.S.).

High Sensitivity-CRP (hs-CRP) was analyzed by Immulite (Diagnostic Products Corporation, Los Angeles, CA). The Immulite assay is a 2-site chemiluminescent enzyme immunometric assay with one monoclonal and one polyclonal anti-CRP antibody.

Urine Collection

Fresh, single-voided, first morning urine samples were collected from each participant to determine the following biomarkers of kidney function.

Urinary Albumin: Albumin was determined by immunoturbidmetric assay using the Beckman Coulter microalbumin reagent with polyclonal antiserum. Turbidity was measured at 340nm and 700nm on a spectrophotometer and albumin was quantitatively determined using calibration curves. The immunoturbidometric assay has been established as high sensitivity and high selectivity method for detection of urinary albumin in normal and abnormal ranges for persons with diabetes.

Creatinine (Urine): This procedure is a modification of the Jaffe method in which creatinine reacts with picric acid at alkaline pH to form a yellow orange complex. Color intensity is measured against a standard curve.

Estimation of Glomerular Filtration Rate (GFR)

Glomerular Filtration Rate equations were calculated using the online calculator from the National Kidney Foundation

http://www.kidney.org/professionals/KDOQI/gfr_calculator). The online calculator takes into consideration both serum creatinine and serum cystatin C concentrations (CKD-EPI creatinine and CKD-EPI creatinine - cystatin C) as well as age, gender, and race.

Sociodemographic Data were collected with trained, bilingual interviewers (English/ Spanish). Subjects were asked to complete a sociodemographic questionnaire which included questions related to gender, age, education, income, employment status, health insurance, smoking, and medications.

Vitamin D Intake

A short food frequency questionnaire containing 22 foods and beverages designed to assess vitamin D and calcium intake was administered. The questionnaire included participants' frequency of consumption of each food and the serving size. Total vitamin D intake was calculated following the original rubric (Blalock et al., 2003).

Anthropometric Measures

Height and weight were measured using a Seca balance scale with stadiometer (Seca Corp, Columbia, MD, U.S.). Body mass index (BMI) was calculated as weight in kg/height in m². Waist circumference to the nearest 0.1 cm was measured horizontally with a non-stretchable measuring tape placed midway between the 12th rib and iliac crest at minimal respiration to determine central obesity (NHLBI, 1998). Each measurement was taken twice and averaged.

Sun Exposure – (Skin Color)

Skin color was determined by reflectance colorimetry using the IMS SmartProbe 400, Milford, CT. The instrument uses the International Commission on Illumination Scale which ranges from 0 (black) to 100 (white) for skin color. Two readings for each participant were taken, one on the right-hand wrist (area most exposed to the sun) and other under the right upper arm (area least exposed to the sun). The differences between the two measures were determined to calculate the delta of skin color due to sun exposure.

Statistical Analysis

All data analyses were conducted on an “intent to treat” basis. Normal distribution of all continuous variables was assessed using Shapiro-Wilk test. Data was reported as means with standard deviation for continuous variables and count and percentages for categorical variables. Independent t-test (parametric) or Mann-Whitney U-test (non-parametric) for continuous variables and chi-square test for categorical variables were used to compare differences in baseline characteristics of participants across the two intervention groups (4,000 IU/d vs. 6,000 IU/d). Paired t-test was used evaluated pre- and post-intervention (time effect) for normally distributed variables and Wilcoxon signed-rank test was used for non-normally distributed variables for each study group.

Results from aims 1 and 2 were reported as mean with standard error. Linear mixed models were performed using, 25(OH)D levels, estimated glomerular

filtration rate (eGFR) equations, microalbuminuria, systolic and diastolic blood pressure levels as the dependent variables, respectively. The interaction between time and intervention was included to evaluate the dosage effect on the change of the dependent variables over time. Least Significant Difference (LSD) comparisons test were used to detect significant differences within and between 4,000 IU/d and 6,000 IU/d groups from baseline, 3 and 6 months on the outcome variables without and with the adjustment of confounders. Linear mixed models included the adjustment variables that were major confounders of serum 25(OH)D, kidney function and cardiovascular disease. Potential additional covariates were tested by correlation with the dependent variable. Adjustment variables included: age; gender; BMI; duration of diabetes; hemoglobin A1c; vitamin D intake; sun exposure; serum vitamin D; and medication(s) usage. Covariates that were related to outcomes were included as needed. The analyses were conducted using SPSS software (SPSS Inc., Chicago, IL, U.S.) version 23. All tests were analyzed two-sided and a significance level of <0.05 was used to decide the statistical significance.

Sample Size and Power Analysis

A statistical power analysis was performed using PASS 15 (Power Analysis and Sample Size) 2017 Statistical Software (UT, U.S.) for Mixed Models, within-between groups interaction. Given an alpha of 0.05, a sample size of $n=63$ ($n=39$ for the group 4,000 IU/d and $n=24$ for the 6,000 IU/d) will have 82.0% power to detect difference in eGFR (CKD-EPI) creatinine from baseline to 6 months. Thus,

the sample size of n=63 was more than adequate for the main outcome of this study and allowed to adjust for possible confounding factors. Additionally, power analyses were calculated for other outcomes in the study (**Table 2**).

Table 2. Outcomes Power Calculation

Outcomes	Power	Sample Size	Alpha
25-hydroxy vitamin D	82.0%	57	0.05
eGFR (CKD-EPI creatinine)	82.0%	57	0.05
eGFR (CKD-EPI creatinine - cystatin C)	84.0%	57	0.05
Microalbuminuria	70.0%	57	0.05
Systolic Blood Pressure	84.0%	57	0.05
Diastolic Blood Pressure	79.0%	57	0.05

Abbreviations: eGFR= Estimated Glomerular Filtration Rate; CKD-EPI=Chronic Kidney Disease Epidemiology.

CHAPTER III: RESULTS

Baseline Comparisons of Vitamin D₃ Intervention Groups

The participants in this study were 53.4 ± 8.3 years old (4,000 IU/d group) and 55.0 ± 10.3 years old (6,000 IU/d group), the majority were female in both groups 71.8% (4,000 IU/d group) and 58.3% (6,000 IU/d group) respectively. The mean known duration of diabetes was 6.1 ± 4.7 years in the 4,000 IU/d group and 6.8 ± 6.4 years in the group receiving 6,000 IU/d, and BMI above 30 kg/m^2 in both intervention groups classified them in the obesity category. All participants started the study with serum 25(OH)D levels considered as deficient/insufficient ($20.7 \pm 6.0 \text{ ng/mL}$ in the 4,000 IU/d group and $21.7 \pm 5.6 \text{ ng/mL}$ in the 6,000 IU/d group). At baseline, participants at the 4,000 IU/d group had significantly lower systolic blood pressure levels ($126.9 \pm 18.2 \text{ mmHg}$) and diastolic blood pressure levels ($82.3 \pm 10.7 \text{ mmHg}$) as compared with those in the 6,000 IU/d group [$(146.2 \pm 22.1 \text{ mmHg}, p < .001)$ and $(91.9 \pm 10.9 \text{ mmHg}, p = 0.001)$ respectively]. The 4,000 IU/d group had lower percentage of smokers (7.7% vs. 25.0%, $p = 0.057$) and higher serum creatinine levels ($0.92 \pm 0.24 \text{ mg/dL}$ vs. $0.80 \pm 0.20 \text{ mg/dL}$, $p = 0.066$) as compare with the 6,000 IU/d group. Participants in the 4,000 IU/d group had significantly lower CKD-EPI creatinine values ($84.6 \pm 18.4 \text{ mL/min}$) compared with those in the 6,000 IU/d group ($94.2 \pm 17.5 \text{ mL/min}$, $p = 0.027$) (Table 1).

Table 1. Baseline Comparisons of Vitamin D₃ Intervention Groups

Variables	Intervention		P-Value
	4,000 IU/d n=39	6,000 IU/d n=24	
Age (years)	53.4±8.3	55.0±10.3	0.499
Sex (Female) <i>n</i> (%)	28(71.8)	14(58.3)	0.271
BMI (kg/m ²)	34.7±7.6	32.7±6.0	0.492
Waist circumference (cm)	109.6±18.6	107.3±12.4	0.388
Known years with diabetes	6.1±4.7	6.8±6.4	0.983
Smoking (yes) <i>n</i> (%)	3(7.7)	6(25.0)	0.057
Marital Status (married, yes) <i>n</i> (%)	22(56.4)	11(45.8)	0.862
Employment (yes) <i>n</i> (%)	22(56.4)	15(62.5)	0.383
Medical insurance (yes) <i>n</i> (%)	19(48.7)	11(45.8)	0.824
Diabetes medications (yes) <i>n</i> (%)	36(92.3)	22(91.7)	0.927
Blood pressure medications (yes) <i>n</i> (%)	26(66.7)	14(58.3)	0.505
Systolic blood pressure (mmHg)	126.9±18.2	146.2±22.1	<0.001
Diastolic blood pressure (mmHg)	82.3±10.7	91.9±10.9	0.001
Blood Biomarkers			
25(OH)D (ng/mL)	20.7±6.0	21.7±5.6	0.457
PTH (pmol/L)	39.4±19.5	42.6±16.5	0.322
Insulin (μU/mL)	14.1±14.1	11.5±7.2	0.697
FPG (mg/dL)	189.0±88.7	184.4±71.6	0.944
A1c (%)	8.4±2.3	8.8±2.2	0.282
Hs-CRP (mg/L)	9.7±13.4	5.7±4.0	0.860
Cystatin C (mg/L)	0.70±0.19	0.70±0.25	0.865
Serum creatinine (mg/dL)	0.92±0.24	0.80±0.20	0.066
Urinary Biomarkers			
Creatinine (mg/dL)	127.6±57.8	131.9±65.0	0.777
MAU (mg/dL)	4.5±15.2	6.9±15.1	0.152
Estimated GFR Equations			
CKD-EPI creatinine (mL/min)	84.6±18.4	94.2±17.5	0.027
CKD-EPI creatinine - cystatin C (mL/min)	112.6±21.5	114.0±23.2	0.112
Sun exposure			
Upper arm skin color	58.9±9.8	60.4±7.3	0.837
Forearm skin color	55.2±8.7	56.6±6.0	0.915
Delta of skin color	3.7±3.6	3.9±5.0	0.510
Dietary			
Vitamin D intake (IU/d)	127.0±82.7	122.2±86.4	0.692
Multivitamins (yes) <i>n</i> (%)	9(23.1)	5(20.8)	0.835
Alcohol intake <i>n</i> (%)			0.781
None	28(71.8)	18(75.0)	
1 or more servings per week	11(28.2)	6(25.0)	

Abbreviations: BMI= body mass index; 25(OH)D=25-hydroxy vitamin D; PTH=parathyroid hormone; A1c=glycated hemoglobin; FPG=fasting plasma glucose;

MAU=microalbuminuria; GFR= Glomerular filtration rate; CKD-EPI=Chronic Kidney Disease Epidemiology Collaboration; d=day; SD= standard deviation. Data reported as Mean±SD; unless otherwise indicated. P is considered significant at <0.05.

Paired Comparisons of Vitamin D₃ at 4,000 IU from Pre- to Post-

Intervention

Findings from paired comparisons of vitamin D₃ at 4,000 IU/d from pre- to post-interventions are shown in **Table 2**. Serum 25(OH)D levels significantly increased from baseline (20.7±6.0 ng/mL) to 6 months (37.9±13.2 ng/mL, p<0.001). Cystatin C levels were significantly different from baseline (0.70±0.19 mg/L) to 6 months (0.79±0.28 mg/L, p=0.045). Serum creatinine levels significantly decreased from baseline (0.92±0.24 mg/dL) to 6 months (0.83±0.25 mg/dL, p=0.001). CKD-EPI creatinine values significantly increased from baseline (84.6±18.4 mL/min) to 6 months (92.4±18.9 mL/min, p<0.001). On the other hand, CKD-EPI creatinine - cystatin C values significantly decreased from baseline (112.6±21.5 mL/min) to 6 months (98.6±24.0 mL/min, p=0.022) (**Table 2**).

Table 2. Paired Comparisons of Vitamin D₃ at 4,000 IU from Pre- to Post-Intervention

Variables	Intervention (4,000 IU/d) n=39		P-Value
	Baseline	6 months	
BMI (kg/m ²)	34.7±7.6	35.4±7.5	0.136
Waist circumference (cm)	109.6±18.6	109.0±17.8	0.913
Systolic blood pressure (mmHg)	126.9±18.2	127.9±17.0	0.690
Diastolic blood pressure (mmHg)	82.3±10.7	83.5±9.1	0.459
Blood biomarkers			
25(OH)D (ng/mL)	20.7±6.0	37.9±13.2	<0.001
PTH (pmol/L)	39.4±19.5	37.2±17.8	0.149
Insulin (μU/mL)	14.1±14.1	11.4±8.1	0.267
FGP (mg/dl)	189.0±88.7	170.1±73.6	0.133
A1c (%)	8.4±2.3	8.2±2.1	0.787
Hs-CRP (mg/L)	9.7±13.4	7.4±7.0	0.602
Cystatin C (mg/L)	0.70±0.19	0.79±0.28	0.045
Serum creatinine (mg/dL)	0.92±0.24	0.83±0.25	0.001
Urinary biomarkers			
Creatinine (mg/dL)	127.6±57.8	143.3±67.8	0.500
MAU (mg/dL)	4.5±15.2	3.4±9.0	0.816
Estimated GFR Equations			
CKD-EPI creatinine (mL/min)	84.6±18.4	92.4±18.9	<0.001
CKD-EPI creatinine - cystatin C (mL/min)	112.6±21.5	98.6±24.0	0.022
Sun exposure			
Upper arm skin color	58.9±9.8	59.3±9.9	0.346
Forearm skin color	55.2±8.7	55.5±8.6	0.827
Delta of skin color	3.7±3.6	3.8±4.2	0.971
Dietary			
Vitamin D intake (IU/d)	127.0±82.7	132.8±90.4	0.913

Abbreviations: BMI= body mass index; 25(OH)D=25-hydroxy vitamin D; PTH=parathyroid hormone; A1c=glycated hemoglobin; FPG=fasting plasma glucose; MAU=microalbuminuria; GFR= Glomerular filtration rate; CKD-EPI=Chronic Kidney Disease Epidemiology Collaboration; d=day; SD= standard deviation. Data reported as Mean±SD; unless otherwise indicated. P is considered significant at <0.05.

Table 3 shows findings from paired comparisons of vitamin D₃ at 6,000 IU/d from pre- to post- intervention. Systolic blood pressure levels (146.2±22.1 mmHg) significantly decreased from baseline to 6 months (135.0±17.8 mmHg, p=0.009) and diastolic blood pressure marginally decreased (91.9±10.9 mmHg to 87.9±10.7 mmHg, p=0.077). Serum 25(OH)D levels significantly increased from baseline (21.7±5.6 ng/mL) to 6 months (38.9±16.3 ng/mL, p<0.001). Serum creatinine levels significantly decreased from baseline (0.80±0.20 mg/dL) to 6 months (0.74±0.20 mg/dL, p=0.004). CKD-EPI creatinine values significantly increased from baseline (94.2±17.5 mL/min) to 6 months (97.9±18.0 mL/min, p=0.047). There were marginally significant differences from baseline (56.6±6.0) to 6 months (57.0±6.6, p=0.077) in forearm sun exposure scores.

Table 3. Paired Comparisons of Vitamin D₃ at 6,000 IU from Pre- to Post-Intervention

Variables	Intervention (6,000 IU/d) n=24		P- Value
	Baseline	6 months	
BMI (kg/m ²)	32.7±6.0	33.1±6.3	0.970
Waist circumference (cm)	107.3±12.4	102.7±24.9	0.404
Systolic blood pressure (mmHg)	146.2±22.1	135.0±17.8	0.009
Diastolic blood pressure (mmHg)	91.9±10.9	87.9±10.7	0.077
Blood biomarkers			
25(OH)D (ng/mL)	21.7±5.6	38.9±16.3	<0.001
PTH (pmol/L)	42.6±16.5	38.3±17.3	0.052
Insulin (μU/mL)	11.5±7.2	11.4±7.1	0.577
FPG (mg/dl)	184.4±71.6	180.7±73.9	0.697
A1c (%)	8.8±2.2	8.6±2.0	0.139
Hs-CRP (mg/L)	5.7±4.0	7.4±7.1	0.270
Cystatin C (mg/L)	0.70±0.25	0.75±1.9	0.365
Serum creatinine (mg/dL)	0.80±0.20	0.74±0.20	0.004
Urinary biomarkers			
Creatinine (mg/dL)	131.9±65.0	117.1±48.4	0.306
MAU (mg/dL)	6.9±15.1	7.0±14.2	0.370
Estimated GFR Equations			
CKD-EPI creatinine (mL/min)	94.2±17.5	97.9±18.0	0.047
CKD-EPI creatinine - cystatin C (mL/min)	114.0±23.2	107.4±20.1	0.270
Sun exposure			
Upper arm skin color	60.4±7.3	61.1±6.5	0.173
Forearm skin color	56.6±6.0	57.0±6.6	0.072
Delta of skin color	3.9±5.0	4.2±4.6	0.417
Dietary			
Vitamin D intake (IU/d)	122.2±86.4	115.9±82.9	0.638

Abbreviations: BMI= body mass index; 25(OH)D=25-hydroxy vitamin D; PTH=parathyroid hormone; A1c=glycated hemoglobin; FPG=fasting plasma glucose; MAU=microalbuminuria; GFR= Glomerular filtration rate; CKD-EPI=Chronic Kidney Disease Epidemiology Collaboration; d=day; SD= standard deviation. Data reported as Mean±SD; unless otherwise indicated. P is considered significant at <0.05.

Aim 1: To evaluate the effect of vitamin D₃ supplementation (cholecalciferol) at 6,000 IU/d vs. 4,000 IU/d on kidney function among Hispanics and African Americans with type 2 diabetes and hypovitaminosis D (<30 ng/ml).

Hypothesis 1: Vitamin D₃ supplementation at 6,000 IU/d will be more effective in raising 25(OH)D levels from deficient/insufficient to sufficient as compared to 4,000 IU/d over 6 months.

Unadjusted linear mixed models indicated statistically significant effect of time on serum 25(OH)D levels ($p < 0.001$). However, the effect of intervention ($p = 0.294$) and interaction between time and intervention ($p = 0.120$) were not statistically significant. Post-hoc LSD analyses showed significant improvements in serum 25(OH)D levels over time in both intervention groups. In the 4,000 IU/d and 6,000 IU/d groups respectively, significant increase in serum 25(OH)D levels were observed from baseline [(20.7±0.9 ng/mL) and (21.7±1.2 ng/mL)] to 3 months [(37.0±2.1 ng/mL, $p < 0.001$) and (42.6±2.6 ng/mL, $p < 0.001$)] and to 6 months [(37.9±2.3 ng/mL, $p < 0.001$) and (38.9±2.9 ng/mL, $p < 0.001$)] respectively **(Table 4)**.

Table 4. Unadjusted and Adjusted Intervention Groups Comparisons of 25(OH)D at Different Time Points

	Intervention	
	4,000 IU/d n=39	6,000 IU/d n=24
Unadjusted		
25(OH)D (ng/mL)	Mean±SE	
Baseline	20.7±0.9	21.7±1.2
3 months	37.0±2.1*	42.6±2.6*
6 months	37.9±2.3*	38.9±2.9*
<i>P-value time=0.001</i>	<i>P-value intervention=0.294</i>	<i>P-value interaction=0.120</i>
Adjusted		
25(OH)D (ng/mL)	Mean±SE	
Baseline	19.8±1.1	21.4±1.2
3 months	36.1±2.2*	43.0±2.7*; **
6 months	37.1±2.4*	39.2±3.0*
<i>P-value time=0.001</i>	<i>P-value intervention=0.143</i>	<i>P-value interaction=0.088</i>

Abbreviations: BMI= body mass index; 25(OH)D=25-hydroxy vitamin D; A1c=glycated hemoglobin; SE=standard error.

*Represents significant differences from baseline; **represents significant differences from 3 to 6 months.

Adjustment variables: age gender, BMI, known years with diabetes, A1c, diabetes medications, blood pressure medications, 25(OH)D, vitamin D intake, sun exposure. Data reported as Mean±SE. P is considered significant at <0.05.

Adjusted linear mixed models after including covariates in the analysis, a statistically significant effect of time on 25(OH)D levels ($p < 0.001$) was observed. However, the effect of intervention between groups was not statistically significant ($p < 0.143$). There was a marginally significant result for the interaction between time and intervention ($p = 0.088$). In the 4,000 IU/d and 6,000 IU/d groups, a significant increase in serum 25(OH)D levels were observed from baseline [(19.9±1.1 ng/mL) and (21.4±1.3 ng/mL)] to 3 months [(36.1±2.2 ng/mL, $p < 0.001$) and (43.0±2.7 ng/mL, $p < 0.001$)] and 6 months [(37.1±2.4 ng/mL, $p < 0.001$) and (39.2±3.0 ng/mL, $p < 0.001$)], respectively. Moreover, in the 6,000 IU group, there was a significant decrease in serum 25(OH)D levels from 3 months (43.0±2.7 ng/mL) to 6 months (39.2±3.0 ng/mL, $p = 0.046$) (**Table 4**).

Hypothesis 2: Vitamin D₃ supplementation at 6,000 IU/d will be more effective in increasing eGFR using CKD-EPI creatinine when compared to 4,000 IU/d over 6 months.

Unadjusted linear mixed models showed that the effect of time on **CKD-EPI creatinine** was statistically significant ($p < 0.001$), intervention effect was marginally significant ($p = 0.067$); however, the interaction between time and intervention was not statistically significant ($p = 0.110$). Post-hoc LSD analyses showed that there was a marginally significant increase in CKD-EPI creatinine values from baseline (84.6±2.8 mL/min) to 3 months (88.0±2.9 mL/min, $p = 0.077$) and significant increase in CKD-EPI creatinine values to 6 months (92.5±2.9

mL/min, $p < 0.001$) in the 4,000 IU/d group. Moreover, significant increase was found from 3 months to 6 months ($p = 0.004$) in the 4,000 IU/d group. In the 6,000 IU/d group, marginally significant increase in mean CKD-EPI creatinine were observed from baseline (94.2 ± 3.6 mL/min) to 6 months (97.9 ± 3.7 mL/min, $p = 0.082$).

Adjusted linear mixed models indicated that the effect of time ($p < 0.001$) on **CKD-EPI creatinine** was statistically significant. However, the intervention effect ($p = 0.0162$) and the interaction effect of time and intervention were not statistically significant ($p = 0.121$). Post-hoc analysis showed marginally significant increase in CKD-EPI creatinine values from baseline (83.8 ± 3.2 mL/min) to 3 months (88.0 ± 3.0 mL/min, $p = 0.074$) and statistically significant increase to 6 months (93.0 ± 3.1 mL/min, $p < 0.001$) in the 4,000 IU/d group. Moreover, significant improvements in CKD-EPI creatinine values were also observed from 3 months (88.0 ± 3.0 mL/min) to 6 months (93.0 ± 3.1 mL/min, $p = 0.002$) in the 4,000 IU/d group. Marginally significant increase in mean CKD-EPI creatinine values from baseline (90.1 ± 3.9 mL/min) to 6 months (96.0 ± 3.9 mL/min, $p = 0.059$) were found in the 6,000 IU/d group (**Table 5**).

Table 5. Unadjusted and Adjusted Intervention Groups Comparisons of CKD-EPI creatinine at Different Time Points

	Intervention	
	4,000 IU/d n=39	6,000 IU/d n=24
Unadjusted		
CKD-EPI creatinine (mL/min)	Mean±SE	
Baseline	84.6±2.8	94.2±3.6
3 months	88.0±2.9*; **	97.7±3.7
6 months	92.5±2.9*	97.9±3.7
<i>P-value time</i> <0.001	<i>P-value intervention</i> =0.067	<i>P-value interaction</i> =0.110
Adjusted		
CKD-EPI creatinine (mL/min)	Mean±SE	
Baseline	83.8±3.2	91.0±3.9
3 months	88.0±3.0*; **	96.0±3.8
6 months	93.0±3.2*	96.0±3.9
<i>P-value time</i> <0.001	<i>P-value intervention</i> =0.162	<i>P-value interaction</i> =0.095

Abbreviations: BMI= body mass index; 25(OH)D=25-hydroxy vitamin D; A1c=glycated hemoglobin; CKD-EPI=Chronic Kidney Disease Epidemiology Collaboration; SE=standard error. *Represents significant differences from baseline; **represents significant differences from 3 to 6 months.

Adjustment variables: BMI, known years with diabetes, A1c, diabetes medications, blood pressure medications, 25(OH)D, vitamin D intake, sun exposure. Data reported as Mean±SE. P is considered significant at <0.05.

Hypothesis 3: Vitamin D₃ supplementation at 6,000 IU/d will be more effective in increasing eGFR using CKD-EPI creatinine - cystatin C when compared to 4,000 IU/d over 6 months.

Unadjusted linear mixed models showed that the effect of time on CKD-EPI creatinine - cystatin C was statistically significant ($p < 0.011$). However, the effect of intervention ($p = 0.105$) or the interaction between time and intervention ($p = 0.636$) were not statistically significant. LSD post-hoc analyses showed a significant decrease in CKD-EPI creatinine - cystatin C values from baseline (104.9 ± 3.4 mL/min) to 6 months (97.6 ± 3.2 mL/min, $p = 0.029$) and from 3 months (106.7 ± 3.5 mL/min) to 6 months (97.6 ± 3.2 mL/min, $p = 0.002$) in the 4,000 IU/d group. Additionally, changes in CKD-EPI creatinine - cystatin C values between intervention groups (4,000 IU/d vs. 6,000 IU/d) at 6 months were observed at the marginal significance level (97.6 ± 3.2 mL/min vs. 107.4 ± 4.1 mL/min, $p = 0.066$) (Table 6).

Adjusted linear mixed models indicated that the effect of time on CKD-EPI creatinine - cystatin C values were statistically significant ($p = 0.020$). However, the effect of intervention ($p = 0.289$) and time and intervention interaction ($p = 0.740$) were not statistically significant. LSD post-hoc analyses showed a significant decrease in CKD-EPI creatinine - cystatin C values from 3 months (109.2 ± 3.9 mL/min) to 6 months (100.9 ± 3.7 mL/min, $p = 0.006$) in the 4,000 IU/d group.

Table 6. Unadjusted and Adjusted Intervention Groups Comparisons of CKD-EPI creatinine - cystatin C at Different Time Points

	Intervention	
	4,000 IU/d n=39	6,000 IU/d n=24
Unadjusted		
CKD-EPI creatinine - cystatin C (mL/min)	Mean±SE	
Baseline	104.9±3.4	112.6±4.3
3 months	106.7±3.5**	112.2±4.5
6 months	97.6±3.2*	107.4±4.1
<i>P-value time=0.011</i>	<i>P-value intervention=0.105</i>	<i>P-value interaction=0.636</i>
Adjusted		
CKD-EPI creatinine-cystatin C (mL/min)	Mean±SE	
Baseline	106.0±4.3	110.4±4.9
3 months	109.2±3.9**	112.4±4.7
6 months	100.9±3.7	107.1±4.3
<i>P-value time=0.020</i>	<i>P-value intervention=0.289</i>	<i>P-value interaction=0.830</i>

Abbreviations: BMI= body mass index; 25(OH)D=25-hydroxy vitamin D; A1c=glycated hemoglobin; CKD-EPI=Chronic Kidney Disease Epidemiology Collaboration; SE=standard error. *Represents significant differences from baseline; **represents significant differences from 3 to 6 months.

Adjustment variables: BMI, known years with diabetes, A1c, diabetes medications, blood pressure medications, 25(OH)D, vitamin D intake, sun exposure. Data reported as Mean±SE. P is considered significant at <0.05.

Hypothesis 4: Vitamin D₃ supplementation at 6,000 IU/d will be more effective than the 4,000 IU/d over 6 months in lowering microalbuminuria measured by first morning urine samples.

Unadjusted and Adjusted linear mixed models showed that the effect of time [(p=0.889) and (p=0.954)], intervention [(p=0.254) and (p=0.425)] and the interaction between time and intervention [(p=0.370 and (p=0.435)] on **microalbuminuria** were not statistically significant within and between 4,000 IU/d and 6,000 IU/d groups, respectively (**Table 7**).

Table 7. Unadjusted and Adjusted Intervention Groups Comparisons of Microalbuminuria at Different Time Points

	Intervention	
	4,000 IU/d n=39	6,000 IU/d n=24
Unadjusted		
MAU (mg/dL)	Mean±SE	
Baseline	4.5±2.4	6.8±3.0
3 months	3.1±1.9	8.1±2.5
6 months	3.5±1.8	7.0±2.3
<i>P-value time</i> =0.889	<i>P-value intervention</i> =0.254	<i>P-value interaction</i> =0.370
Adjusted		
MAU (mg/dL)	Mean±SE	
Baseline	4.5±2.9	5.9±3.5
3 months	3.5±2.3	7.5±2.8
6 months	3.9±2.2	6.7±2.5
<i>P-value time</i> =0.954	<i>P-value intervention</i> =0.425	<i>P-value interaction</i> =0.435

Abbreviations: BMI= body mass index; 25(OH)D=25-hydroxy vitamin D; A1c=glycated hemoglobin; MAU=microalbuminuria; SE=standard error.

Adjustment variables: age gender, BMI, known years with diabetes, A1c, diabetes medications, blood pressure medications, 25(OH)D, vitamin D intake, sun exposure. Data reported as Mean±SE. P is considered significant at <0.05.

Aim 2: To evaluate the effect of vitamin D₃ supplementation at 6,000 IU/d vs. 4,000 IU/d on markers of cardiovascular disease risk among Hispanics and African Americans with type 2 diabetes and hypovitaminosis D (<30 ng/ml).

Hypothesis 1: Vitamin D₃ supplementation at 6,000 IU/d will be more effective than the 4,000 IU/d over 6 months in lowering systolic and diastolic blood pressure levels.

Unadjusted mixed models showed marginally significant effect of time ($p=0.080$) and significant effect of intervention ($p=0.001$) on **systolic blood pressure**. A significant effect of the interaction between time and intervention ($p=0.032$) was observed. LSD post-hoc analyses indicated that systolic blood pressure levels significantly decreased from baseline (146.2 ± 4.0 mmHg) to 3 months (139.8 ± 3.3 mmHg, $p=0.035$) and significantly higher decrease at 6 months (135.0 ± 3.5 mmHg, $p=0.003$) only in the 6,000 IU/d vitamin D group. Additionally, significant differences were observed in systolic blood pressure levels between 4,000 IU/d and 6,000 IU/d groups at 3 months [(126.5 ± 2.6 mmHg vs. 139.8 ± 3.3 mmHg, $p=0.002$)] (**Table 8**).

Adjusted linear mixed models showed no significant effect of time on **systolic blood pressure** ($p=0.490$). However, the effect of intervention ($p=0.006$) and the interaction between time and intervention ($p=0.026$) were statistically significant. Post-hoc LSD analyses showed a significant decreased in

mean systolic blood pressure levels from baseline (144.1 ± 4.0 mmHg) to 6 months (134.5 ± 3.5 mmHg, $p=0.020$) only for the 6,000 IU/d group. Furthermore, a marginal decrease in systolic blood pressure levels were observed in the 6,000 IU/d group from 3 months (139.9 ± 3.4 mmHg) to 6 months (134.5 ± 3.5 mmHg, $p=0.088$). Significant differences between intervention groups (4,000 IU/d vs. 6,000 IU/d) in systolic blood pressure levels were found at 3 months (128.5 ± 2.6 mmHg vs. 139.9 ± 3.4 mmHg, $p=0.007$) but not in 6 months (**Table 8**).

Unadjusted mixed models indicated that the effect of intervention on **diastolic blood pressure** was statistically significant ($p=0.003$). However, the effect of time ($p=0.538$) or the interaction between time and intervention ($p=0.110$) were not statically significant. LSD post-hoc analyses indicated that changes in diastolic blood pressure levels were marginally significant from baseline (91.8 ± 2.2 mmHg) to 6 months (87.9 ± 2.0 mmHg, $p=0.055$) in the 6,000 IU/d group. Additionally, significant differences in mean diastolic blood pressure levels were observed at 3 months (83.2 ± 1.5 mmHg vs. 89.3 ± 1.9 mmHg, $p=0.015$) and marginally significant at 6 months (83.5 ± 1.5 mmHg vs. 87.9 ± 2.0 mmHg, $p=0.086$) (**Table 8**).

Adjusted linear mixed models analysis showed that the effect of intervention on **diastolic blood pressure** was statistically significant ($p=0.004$); however, the effect of time ($p=0.703$) or the interaction of between time and intervention ($p=0.108$) were not significant. Post-hoc LSD analyses revealed

marginally significant decrease in mean diastolic blood pressure levels from baseline values (91.3 ± 2.6 mmHg) to 6 months (87.3 ± 2.2 mmHg, $p=0.086$) only for the 6,000 IU/d group. Significant differences between intervention groups (4,000 IU/d vs. 6,000 IU/d) in diastolic blood pressure levels were observed at 3 months (82.7 ± 1.9 mmHg vs. 88.7 ± 2.2 mmHg, $p=0.017$) (**Table 8**).

Table 8. Unadjusted and Adjusted Intervention Groups Comparisons of Blood Pressure at Different Time Points

	Intervention	
	4,000 IU/d n=39	6,000 IU/d n=24
Unadjusted		
Systolic blood pressure (mmHg)	Mean±SE	
Baseline	126.8±3.1	146.2±4.0
3 months	126.5±2.6 [†]	139.8±3.3*
6 months	127.9±2.7	135.0±3.5*
<i>P-value time</i> =0.080	<i>P-value intervention</i> = 0.001	<i>P-value interaction</i> = 0.032
Diastolic blood pressure (mmHg)		
Baseline	82.3±1.7	91.8±2.2
3 months	83.2±1.5 [†]	89.3±1.9
6 months	83.5±1.5	87.9±2.0
<i>P-value time</i> =0.538	<i>P-value intervention</i> = 0.003	<i>P-value interaction</i> =0.110
Adjusted		
Systolic blood pressure (mmHg)	Mean±SE	
Baseline	127.1±3.3	144.1±4.0
3 months	128.5±2.6 [†]	139.9±3.4
6 months	130.3±2.8	134.5±3.5*
<i>P-value time</i> =0.490	<i>P-value intervention</i> = 0.006	<i>P-value interaction</i> = 0.026
Diastolic blood pressure (mmHg)		
Baseline	81.7±2.2	91.3±2.6
3 months	82.7±1.9 [†]	88.7±2.2
6 months	82.9±1.9	87.3±2.2
<i>P-value time</i> =0.703	<i>P-value intervention</i> = 0.004	<i>P-value interaction</i> =0.108

Abbreviations: BMI= body mass index; 25(OH)D=25-hydroxy vitamin D; A1c=glycated hemoglobin; SE=standard error. *Represents significant differences from baseline; [†] represents significant differences at 3 months between intervention groups. **Adjustment variables:** age gender, BMI, known years with diabetes, A1c, diabetes medications, blood pressure medications, 25(OH)D, vitamin D intake, sun exposure. Data reported as Mean±SE. P is considered significant at <0.050.

CHAPTER IV: DISCUSSION

Serum 25-hydroxy vitamin D

The study focused on vitamin D₃ supplementation interventions for Hispanics and Blacks with hypovitaminosis D and type 2 diabetes. All participants in our study had 25(OH)D levels below 30 ng/mL at baseline. Our findings showed that vitamin D₃ supplementation noticeably increased 25(OH)D levels in both intervention groups which indicated that the supplement doses were adequately bioavailable, well absorbed and tolerated by the participants. It was expected that serum 25(OH)D levels would improve at 6 months in both groups; however, we found no significant differences between the 4,000 IU/d and 6,000 IU/d groups at the end of the study. Despite the high doses of vitamin D₃ used in this study, there were no adverse events or signs of toxicity reported by any of the participants throughout the study.

Several previous studies are available where vitamin D₃ (cholecalciferol) was used to replenish serum 25(OH)D levels. Kim et al. (2011), used supplementation with vitamin D₃ for participants with serum vitamin D deficiency (≤ 16 ng/ml) with 40,000 IU/week for 8 weeks and 40,000 IU/month for additional 8 weeks; and participants with vitamin D insufficiency (16-32 ng/mL) with 40,000 IU/month. They found significantly increased mean serum 25(OH)D levels from baseline (15.6 ± 7.0 ng/mL) to 4 months (39.7 ± 12.8 ng/ml) in individuals with type 2 diabetes. In our study, mean 25(OH)D levels at baseline were 20.7 ng/mL for the 4,000 IU/d group and 21.7 ng/mL for the 6,000 IU/d. By the end of the

intervention (6 months), mean 25(OH)D levels increased to sufficient levels 37.9 ng/mL for the 4,000 IU/d group and 38.9 ng/mL for the 6,000 IU/d group. In another study, individuals were supplemented with the equivalent of 10,000 IU/d for 3 months, mean 25(OH)D levels significantly increased (pre-intervention = 13.4 ng/mL to post-intervention = 82.8 ng/mL). Conversely, there are studies where vitamin D₃ supplementation did not replenish serum 25(OH)D levels above (30 ng/mL). These studies used doses of vitamin D₃ supplementation ≤ 1000 IU/d or the equivalent (Oksa et al., 2008 and Rucker et al., 2009).

The Institute of Medicine (IOM) guidelines (Institute of Medicine, 2011) specified that the Recommended Dietary Allowance (RDA) for >97.5% of the population for vitamin D intake is 600-800 IU/d. In our study, none of the participants met the RDA for vitamin D for their age, the mean vitamin D intake was 127.0±82.7 IU for the 4,000 IU/d group and 122.2±86.4 IU for the 6,000 IU/d group (Table 1). Nevertheless, greater dosages than the RDA of vitamin D intake are needed to replenish those with deficient and insufficient 25(OH)D levels. We investigated the effect of vitamin D₃ supplementation using the IOM (Institute of Medicine, 2011) recommended tolerable upper intake level (4,000 IU/d) and a higher dose (6,000 IU/d); however, the IOM recommendations for vitamin D are not formulated for those with preexisting conditions (such as diabetes). The Endocrine Society Clinical Practice Guideline advise that individuals with 25(OH)D levels below 20 ng/mL be supplemented with vitamin D₃ (6,000 IU/d) for eight weeks to restore normal vitamin D levels above 30 ng/mL.

After reaching sufficiency, vitamin D₃ supplementation needs to be continued by a maintenance phase of 1,500-2,000 IU/d. Due to the high prevalence of vitamin D deficiency/insufficiency in individuals with type 2 diabetes, achieving normal 25(OH)D levels should be considered an important therapeutic goal. The amount of vitamin D₃ necessary to achieve adequate serum 25(OH)D levels for populations with multiple chronic conditions has yet to be established and may be confounded by variations in geographic region, seasons, skin color, sun exposure, race/ethnicity, diet, and body fat. The optimal 25(OH)D level that would have a clinical effect on health risk outcomes remains to be defined.

Our study did not include a placebo or control arm, since the intervention groups were not recruited and conducted in parallel and due to the inclusion criterion, we included individuals with 25(OH)D levels with deficiency/insufficiency (<30 ng/mL). Ethically it was not appropriate or advisable to treat vitamin D deficient/insufficient participants with placebo for 6 months. Participants were not allowed to take any type or form of vitamin D supplement other than a multivitamin, and we encouraged them to not change their dietary and sun exposure habits during the study. The high doses of vitamin D₃ used in this study were not only intended to replenish serum 25(OH)D levels but also to improve study outcomes (kidney and cardiovascular disease markers).

Glomerular Filtration Rate (GFR)

Studies showed that diabetes and kidney disease are associated with low 25(OH)D levels (Husemoen et al., 2012; Levin et al., 2007). Existing data indicate the relationship between hypovitaminosis D and mortality in individuals with chronic kidney disease (CKD) (Navaneethan et al., 2011). CKD is a predictor of vitamin D insufficiency/deficiency because the kidney is involved in the metabolism of vitamin D (Echida et al., 2012). Findings from our study suggest the possible beneficial role of vitamin D₃ supplementation on kidney function biomarkers in individuals with type 2 diabetes. Our study showed that individuals with type 2 diabetes had significantly improved kidney function by increasing CKD-EPI creatinine equation over time (pre-intervention = 84.6 ± 18.4 mL/min – post-intervention = 92.4 ± 18.9 mL/min) in the 4,000 IU/d group and (pre-intervention = 94.2 ± 17.5 mL/min to post-intervention = 97.9 ± 18.0 mL/min) in the 6,000 IU/d group. The effect of vitamin D₃ supplementation on markers of kidney function persisted after adjusting for major confounding variables only on the 4,000 IU/d group. This could be due to small number of participants in the 6,000 IU/d group (n=24) and higher CKD-EPI creatinine values at baseline as compared to the 4,000 IU/d. On the other hand, CKD-EPI creatinine - cystatin C equation indicated significant decrease over time (pre-intervention = 112.6 ± 21.5 mL/min to post-intervention = 98.6 ± 24.0 mL/min) in the 4,000 IU/d group. There are several possible explanations for the different results from the eGFR equations. The study by Fan et al. (2014) compared the effectiveness of eGFR equations: CKD-EPI creatinine and CKD-EPI creatinine - cystatin C. Results

showed that CKD-EPI creatinine equation underestimated more individuals with elevated BMI as compared to the CKD-EPI creatinine - cystatin C equation. The CKD-EPI creatinine - cystatin C equation was more precise than CKD-EPI creatinine while estimating GFR values for those with diabetes and obesity. However, the study by Fan et al. (2014) have limitations. Pooled analyzed data from that study cannot distinguish between individuals with type 1 or type 2 diabetes, the approaches used to estimate glomerular filtration rate, or factors that affected creatinine values.

In a longitudinal study with a follow-up of over 4 years (n=1705) (De Boer et al., 2011), lower 25(OH)D levels were linked a faster change in eGFR rate loss among older adults, especially for those with 25(OH)D level below 15 ng/mL, diabetes and hypertension. Vitamin D₃ supplementation (666 IU/d) for 6 months did not significantly change in eGFR values in either the intervention or control group and non-significant differences were found between study groups with CKD stages 3-4 (Molina et al., 2014). Vitamin D₃ supplementation of 1000 IU/d versus a control for Canadian adults with later stages (3-5) of kidney disease study found no improvements in kidney function (GFR and albuminuria). Negative findings could be due to the mean 25(OH)D levels post-intervention being below the 30 ng/mL sufficiency and short duration of the supplementation (3 months) (Rucker et al., 2009). Two additional studies by Kim et al. (2011) and Basturk et al. (2011) found no significant changes in eGFR after vitamin D₃ supplementation. All the studies reported included participants in the later stages

of CKD 3-5 contrary to our study where we included participants with early stages of CKD (1-3). Early supplementation with vitamin D₃ might provide a more valuable treatment and possibly delaying adverse kidney disease outcomes.

The National Kidney Foundation clinical guidelines indicated that individuals with CKD stages 3-4 and serum 25(OH)D levels (<30 ng/mL) to be treated with vitamin D₂ instead of vitamin D₃ (National Kidney Foundation, 2003). At the time (year 2003) when this guideline was written no controlled clinical studies were available comparing the safety and efficacy of vitamin D₂ versus vitamin D₃. Additionally, access to commercial high doses up to 50,000 IU are available for vitamin D₂ (Houghton and Vieth, 2006). The dosage recommendation by the National Kidney Foundation clinical guidelines is according to the vitamin D status. Individuals with vitamin D deficiency [serum 25(OH)D levels (<5 ng/mL and 5-15 ng/mL)] should receive 50,000 IU/week for 4-12 weeks and then same dose monthly for 6 months. Individuals with vitamin D insufficiency [serum 25(OH)D levels 16-30 ng/mL] should receive 50,000 IU/month for 6 months (National Kidney Foundation, 2003). Nevertheless, previous studies demonstrated that supplementation with vitamin D₂ were less consistent in reaching ideal serum 25(OH)D levels (> 30mg/mL) as compared to vitamin D₃ (Houghton and Vieth, 2006; Armas et al., 2004; Heaney et al., 2011). Several studies found a reduction in kidney disease markers using vitamin D analogues (paricalcitol) (Alborzi et al., 2008; de Zeeuw et al., 2010; Fishbane et al., 2009); however, vitamin D₃ has been shown to be equally effective. The equal

effectiveness of vitamin D₃ may be of importance since hypercalcemia has been observed with some vitamin D analogues (Moe et al, 2009). Vitamin D analogues are biologically active and individuals using them need to be monitored for hypercalcemia and hyperphosphatemia more closely than with vitamin D₃ (Kandula et al., 2011). There are no formal recommendations for vitamin D₃ supplementation for individuals with early CKD by the National Kidney Foundation. Still clarification and a more precise definition needs to be implemented for the vitamin D₃ supplementation dosage, frequency, serum 25(OH)D levels before intervention starts, and whether complications from kidney dysfunction can be prevented. Therefore, available guidelines from Kidney Disease Outcomes Quality Initiative (KDOQI) needs to be revised.

Current study contributes to the scientific knowledge for understanding of the role of vitamin D₃ supplementation on kidney function among minority groups. Early interventions could delay progression of the disease which would have a profound effect on lowering health care costs and burden to society as well as improving the quality of life (Jungers, 2002). Medical costs were doubled for individuals with kidney disease from a large Health Maintenance Organization (HMO) as compared to those without kidney disease (Smith et al., 2004). Therefore, approaches to manage kidney disease such as vitamin D₃ supplementation are important in clinical practice.

Microalbuminuria

Reduction of urinary albumin levels is an important therapeutic goal that slow the progression of kidney dysfunction. Previous studies indicated that microalbuminuria was associated with cardiovascular disease and mortality in individuals with type 2 diabetes (Mogensen, 1984; Valmadrid et al., 2000). Microalbuminuria, abnormal increase in urinary albumin excretion, is an early sign of kidney dysfunction (Mogensen, 1984). In a prospective population-based study, individuals with type 2 diabetes (n=1,253) were followed for 7 years, microalbuminuria was linked to a 42% increase in the progression to nephropathy (Bruno et al., 2003). The usual course of microalbuminuria is progressive in individuals with type 2 diabetes (Bruno et al., 2003); therefore, early screening and treatment of microalbuminuria can reduce the risk for kidney dysfunction and possibly decrease the burden associated with diabetes complications.

Several studies found an inverse association between 25(OH)D levels and albuminuria (de Boer et al., 2007; Skaaby et al., 2013; Isakova et al., 2011). Data from NHANES III that included (n=15,068) participants, found an inverse association between 25(OH)D levels and prevalence of albuminuria (de Boer et al., 2007). Furthermore, after excluding participants with macroalbuminuria from the statistical analysis, significant similar results were also found for microalbuminuria (de Boer et al., 2007). In a five-year follow-up study of a random sample of Caucasians (n=4,330), aged 30-60 years, low 25(OH)D levels

predicted higher urinary protein excretion (Skaaby et al., 2013). Hypovitaminosis D frequently occurs in minorities groups, especially in those with type 2 diabetes and kidney dysfunction. The clinical implications of monitoring microalbuminuria and 25(OH)D levels among individuals with type 2 diabetes may delay future adverse complications, especially among individuals with other concomitant conditions (i.e. hypertension).

In our study, microalbuminuria did not improve over time by either dose of vitamin D₃ supplementation. The findings from several studies corroborate our study results. In a randomized, double blind, clinical trial, fifty-two individuals [hemodialysis treated (n=27)/ non-hemodialysis treated (n=25)] with serum 25(OH)D levels <20 ng/mL received vitamin D₃ supplementation (40,000 IU/week) or placebo. After 8 weeks, vitamin D₃ supplementation did not significantly change urinary protein excretion (Marckmann et al., 2012). Urinary protein excretion showed no significant improvements in a Brazilian cohort (n=45) with CKD stages 3-4 that were supplemented with vitamin D₃ (50,000 IU/week) for 6 months (Garcia-Lopes et al., 2012). In contrast, several studies described that vitamin D₃ supplementation reduce albuminuria. In a prospective study, individuals (n=63) with type 2 diabetes and CKD stages 2-4 were given vitamin D₃ supplementation according to their serum vitamin D status. Results showed a reduction of albuminuria for those receiving vitamin D₃ supplementation (Kim et al., 2011). In the study by Molina et al. (2014), Caucasian older adult participants (n=101) with advance CKD (stages 3-4)

received either vitamin D₃ supplementation (666 IU/d) or placebo for 6 months. Urinary albumin-to-creatinine ratio significantly decreased only in participants who received vitamin D₃ supplementation. Improving kidney function in individuals with type 2 diabetes play a vital role in preventing cardiovascular disease and development of end-stage renal disease (ESRD).

Blood Pressure

This study demonstrated that vitamin D₃ supplementation improved systolic blood pressure in the higher dose group (6,000 IU/d). Our study found a reduction in systolic blood pressure of approximately 11 mmHg (pre-intervention= 146.2±22.1 mmHg – post-intervention= 135.0±17.8 mmHg) by the end of the 6 months' intervention. Results of this study are consistent with some previous studies. A randomized, double-blind, clinical trial investigated the effect oral vitamin D₃ cholecalciferol (50,000 IU/per week) on blood pressure among individuals with type 2 diabetes (n=30 intervention/ n=30 placebo). Thirty-two participants in the study had either serum 25(OH)D levels classified as deficient (n=5) or insufficient (n=27). Vitamin D₃ supplementation (50,000 IU per week) reduced systolic and diastolic blood pressure from baseline (121.0±13.0 mmHg and 80.5±8.0 mmHg) to 12 weeks' follow-up (110.0±9.0 mmHg, p=0.001 and 76.3±7.0 mmHg, p=0.046 respectively), only in the intervention group (Nasri et al., 2014). The efficacy of 8 weeks of vitamin D₃ (800 IU/d) and calcium supplementation (1200 mg) vs. calcium supplementation (1200 mg/d) on blood pressure was measured on elderly women (n=148) with 25(OH)D levels below

(20 ng/mL). A significant reduction in systolic blood pressure of 9.3% [(baseline=144.1±20.4 mmHg) and (8-week follow-up=131.0±16.9 mmHg)] was found in the intervention with vitamin D₃ and calcium group as compared to calcium alone group [(baseline=140.6±14.7 mmHg) and (8-week follow-up=134.9±19.9 mmHg)]; however, no significant decrease in diastolic blood pressure was found (Pfeifer et al., 2001). Another study (Sugden et al., 2008) investigated the effect of vitamin D₂ (100,000 single oral dose) supplementation vs. placebo on blood pressure in individuals with type 2 diabetes and low 25(OH)D (<20 ng/mL). After 8-week follow-up, participants in the vitamin D₂ group (n=17) had reduced systolic blood pressure by 14 mmHg compared to those in the placebo group (n=17); however, no significant changes were found for diastolic blood pressure.

In contrast, results from other studies found no improvements in blood pressure after vitamin D₃ supplementation. Findings from the DAYLIGHT trial, a double-blind, randomized controlled study, which included (n=534) participants with age range of 18-50 years old, 25(OH)D levels (\leq 25 ng/ml), and prehypertension and/or untreated stage 1 hypertension indicated no beneficial effect of vitamin D₃ supplementation groups (400 IU/d or 4,000 IU/d) on systolic or diastolic blood pressure after 6 months of intervention (Arora et al., 2014). This study is one of the largest sampled (46% Whites, 48% Blacks and 6% other ethnicity) clinical trial conducted where the primary endpoint was blood pressure. In a randomized controlled, double-blind clinical trial, vitamin D₃ supplementation

(a single oral dose of 100,000 IU) did not significantly reduce blood pressure during the 5-week duration in elderly adults during winter (n=95 intervention group, n=94 placebo group) (Scragg et al., 1995). Vitamin D₃ supplementation (Schleithoff et al., 2006) (intervention group, n=42, 2000 IU + Calcium 500 mg/d) or (placebo group, n=51, placebo + 500 mg calcium/d) did not change systolic or diastolic blood pressure after 9 months' intervention in individuals with congestive heart failure. In the Women's Health Initiative Randomized Trial (Margolis et al., 2008), postmenopausal women (n= 36 282) were given vitamin D₃ (400 IU/d) + calcium (1000 mg/d) or placebo. After a median of 7-year follow-up, there were no significant differences between the intervention and placebo groups in systolic or diastolic blood pressure. A randomized double-blind clinical trial with 1-year duration (Zittermann et al., 2009), provided vitamin D₃ (3320 IU/d - 5 drops of oily vitamin D) or placebo (5 drops of vitamin D-free oil) to n=200 healthy overweight individuals with mean 25(OH)D levels of 12ng/mL (n=100 each group). Results from this study showed no significant time and intervention interaction effects for systolic or diastolic blood pressure. Jorde et al. (2010) conducted double-blind clinical randomized clinical trial including 438 overweight or obese participants using two dosages of vitamin D₃ and placebo. One vitamin D₃ group received 40,000 IU/week, the second vitamin D₃ group received 20,000 IU/week and the third group received placebo. Additionally, all vitamin D₃ groups and placebo received 500 mg of calcium/d. After 1-year of intervention, findings do not support the efficacy of vitamin D₃ supplementation in reducing blood pressure.

Inconsistent findings from these clinical trials could be due to: 1) studies were conducted and/or analyzed using different ranges of vitamin D supplementation alone or combined with calcium; 2) age range and gender of the participants; 3) duration of the studies; 4) comorbidities and ethnic background of the participants and 5) covariates included in the statistical analyses (Pittas et al., 2010; Witham et al., 2009; Jorde et al., 2010; Beveridge et al., 2015; Larsen et al., 2012; Witham et al., 2010; Forman et al., 2013; Pfeifer et al., 2001; Sugden et al., 2008; Margolis et al. 2008). In our study, significant differences in blood pressure at baseline between vitamin D₃ supplementation groups (4,000 IU vs. 6,000 IU/d) could have make the interpretation of findings complex. The 6,000 IU/d vitamin D₃ group started with significantly higher levels of systolic and diastolic blood pressure as compared to the 4,000 IU/d group. On the other hand, the 4,000 IU/d had a higher percentage of participants on hypertension medications at baseline. We cannot dismiss that hypertensive medications might have contributed to the decrease in blood pressure levels in either intervention group. Nevertheless, throughout the duration of the study any medication change by the participants were recorded and adjustment for medications were taken into consideration in the statistical analysis. Those in the 4,000 IU/d group might benefited less from vitamin D₃ supplementation because they had well-treated blood pressure levels.

The mechanism on how vitamin D affects blood pressure is poorly understood. Nonetheless, it has been postulated that vitamin D could affect blood pressure by regulating through the renin-angiotensin-aldosterone (RAAS) system (Li et al., 2004; Pilz et al., 2009). Our study did not include RAAS as an outcome measurement.

Despite the high prevalence of both vitamin D deficiency/insufficiency and hypertension among our minority population groups, there are no efforts to establish preventive measures that could benefit individuals by screening for 25(OH)D levels and providing vitamin D₃ supplementation. These results ought to encourage researches to further examine the clinical significance and implications of vitamin D₃ supplementation on elevated blood pressure levels that could have benefits for individuals with type 2 diabetes. Because evidence is still inconclusive, our findings support the potential therapeutic role of vitamin D₃ supplementation as complementary treatment for blood pressure; however, more rigorous clinical trials with larger sample sizes are required before recommending vitamin D₃ supplementation exclusively to treat hypertension.

CHAPTER V: SUMMARY AND CONCLUSIONS

Vitamin D deficiency/insufficiency is still a public health concern particularly affecting minorities with type 2 diabetes; therefore, more public health awareness is required to prevent consequences of vitamin D deficiency/insufficiency by identifying potential risk factors and develop effective interventions. This clinical trial sought to clarify the effect of vitamin D₃ supplementation on kidney function and cardiovascular disease markers which goes beyond the prevention of bone health. The study included a sample of Hispanics and African Americans with type 2 diabetes and hypovitaminosis D who were given two high dosages of vitamin D₃ supplementation (4,000 IU/d and 6,000 IU/d). Although, we found significant changes in kidney and cardiovascular disease markers, supplementation with vitamin D₃ longer than 6 months may be needed to determine sustained long term effects in kidney and cardiovascular disease markers. Vitamin D₃ supplements are relatively inexpensive and safe and can be used as adjunct treatment option to improve kidney function and decrease blood pressure and consequent cardiovascular disease. Individuals with type 2 diabetes and kidney dysfunction and high blood pressure could benefit from vitamin D₃ supplementation, especially those with low levels of serum 25(OH)D levels. Clinical recommendations should contain screening and monitoring of serum 25(OH)D levels: including the measurement of serum 25(OH)D as a routine assay for high risk populations to provide health care professionals with the information required to recommend and treat, as well as offering the appropriate resources as preventive health benefits.

Our results have set the foundations for further examination of the mechanisms and metabolic pathways associating vitamin D status with kidney and cardiovascular disease. Further research could provide more appropriate means for translation of these findings into recommendations for individuals with CKD, hypertension and type 2 diabetes. The efficacy of vitamin D₃ supplementation as complementary therapy for CKD and blood pressure in minority and other ethnic groups needs further investigation in larger and longer duration randomized controlled trials.

CHAPTER VI: STRENGTHS AND LIMITATIONS

Strengths

Major strengths of the study include: The study included two minority groups (Hispanics and Blacks) with high prevalence of serum 25(OH)D levels deficiency/insufficiency and type 2 diabetes. We tested the safety of vitamin D₃ supplementation at two high dose levels: 4,000 IU/d and 6,000 IU/d. Throughout the duration of the study, no adverse effects or signs of toxicity were observed [IOM tolerable upper limit intake (4,000 IU/d)] for 6 months. These two doses were safe and effective in increasing participant's serum 25(OH)D levels in a population sample who started the study with hypovitaminosis D. We had a low number of drop-outs [(in the 4,000 IU/d group, n=1) and (in the 6,000 IU/d group, n=5)] and low non-compliance to the vitamin D₃ supplementation [(in the 4,000 IU/d group (n=3), and in the 6,000 IU/d group (n=2)]. We took in consideration several measurements and confounder variables that could affect the outcomes such as diet, sun exposure, body mass index (BMI), medications, years with diabetes where other studies were lacking. Our study recorded any medication change throughout the duration of the study and adjusted for that in the statistical analyses. We carefully selected the inclusion criteria to test our outcomes [(i.e. participants with serum 25(OH)D considered deficient and insufficient, CKD stages 1-3, etc.]. For the statistical analyses, we used linear mixed models since we had a longitudinal data with unbalanced number of participants in each study group (in the 4,000 IU/d group, n=39 and in the 6,000 IU/d group, n=24).

Limitations

This study had several limitations. The study sample was a convenience sample and was not randomly selected from the general adult population in Miami-Dade County; therefore, results of this study cannot be generalized or extrapolated to the entire U.S. adult population nor Miami-Dade County. Non-randomized groups could create selection bias because of the non-random assignment. The advantage of this convenience sample was its large minority representation; it was recruited from two clinics that have culturally diverse populations in Miami-Dade County. The relatively small sample size and greater numbers of female participants were other limitations of the study. Additionally, not having sufficient number of participants prevented the investigators to measure differences between ethnic groups (only few Black participants), and the uneven numbers of participants in each vitamin D₃ supplementation group may have decreased the statistical power of the study. The study lacked a control group - changes observed in the pre- and post-test might be due not only to the supplementation with vitamin D₃, but to other factors such as change in the diet, sun exposure, or vitamin D supplements; however, we assessed for changes in these parameters during the study. We did not assess which mechanisms underlining vitamin D₃ function were acting in kidney disease or blood pressure and how they were affected or changed by supplementation. Although trained interviewers who were bilingual in English and Spanish were present to administer the questionnaires to assess dietary habits of vitamin D, participants might under- or overestimated their intakes. There was more than

50% of participants in each vitamin D₃ supplementation group taking hypertension medications, which possibly influenced blood pressure outcome over time; however, changes in medications were recorded and medication usage was used as a control variable in the analysis. Additionally, we advised participants to keep their medication use constant during the duration of the study, as prescribed by their primary physician. Lastly, conclusions beyond the 6 months' supplementation could not be drawn to understand the impact of long term effects of vitamin D₃ supplementation. Studies with longer duration of supplementation and follow-up maybe needed to see these effects of vitamin D₃ supplementation in this sample or other ethnic groups.

CHAPTER VII: FUTURE RESEARCH

It will be important to comprehend the role and impact of high levels of vitamin D₃ supplementation in larger cohorts with different geographical and ethnic backgrounds. Additionally, it will be important to examine longitudinal trajectories of kidney and cardiovascular disease markers, especially in high risk populations with existing comorbidities. Further evidence will allow for a greater understanding of the influence of changes in serum 25(OH)D values on kidney function, cardiovascular disease markers and type 2 diabetes. Investigation of these mechanisms and metabolic pathways are critical for developing effective interventions for the translation of findings into recommendations for care among individuals with type 2 diabetes. As the source for future research, findings from this study may have public and clinical health implications that could contribute to the expansion of ethnic-tailored interventions to reduce health disparities and prevent the high incidence of these chronic diseases in minorities.

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Appendix 1: Consent Forms



ADULT CONSENT TO PARTICIPATE IN A RESEARCH STUDY

“The Effect of Vitamin D Supplementation on Cardiovascular Risk Factors among Hispanics and African Americans with Type 2 diabetes”

PURPOSE OF THE STUDY

You are being asked to participate in a research study. The purpose of this study is to determine the effect of supplemental vitamin D intake on cardiovascular risk factors in Hispanics and African Americans with type 2 diabetes.

NUMBER OF STUDY PARTICIPANTS

If you decide to be in this study, you will be one of 120 people in this research study.

DURATION OF THE STUDY

Your participation will require one (1) visit every 3 months and each visit may last up to 3 hours. You will be asked to participate in an initial blood collection screening. Depending on your vitamin D level, you will be contacted either to continue in the study or you will be told that you do not qualify after your first visit. If you qualify for the study, you will be required to make a total of 4 visits to the human nutrition lab, during the study period.

PROCEDURES

If you agree to be in the study, the following procedures will be done:

1. You will provide information on your gender, age, level of education, year of diagnosis of diabetes, medication use, and smoking.
2. You will answer questions about your mood, sun exposure habits and dietary intakes.
3. Your skin color will be measured by a hand-held device. This will be painless.
4. A certified phlebotomist will draw your blood (approximately four tablespoons of blood or 15 ml). Blood will be drawn from your arm. You will also provide a urine sample.
5. You will be required to take Vitamin D at a dose of 4000IU/day or 6000IU/day for 6 months.
6. You will take 4000 IU/day if you are one of the first 60 participants and 6000 IU/day if you are among the next 60 participants.

RISKS AND/OR DISCOMFORTS

The following risks may be associated with your participation in this study: First, soreness, redness or possible infection of the area in front of elbow joint from where blood will be drawn. A certified phlebotomist will be using sterile and standard procedures. Therefore, risks of soreness, redness or infection may be extremely rare. Second, you may experience very mild dizziness that is reversible within few minutes without any effects. Taking high doses of Vitamin D may cause signs of toxicity such as nausea, vomiting, excess thirst, excess urination, weakness and nervousness. You will be required to immediately report to the PI or the study team if you experience any of these issues. Study team will regularly monitor you at all visits for signs of toxicity. If you show signs of toxicity due to vitamin D, you will be withdrawn from the study.

BENEFITS

The following benefits may be associated with your participation in this study:

- You will receive your blood test, diet analysis results and be able to ask questions about Diabetes and the study.
- Vitamin D may improve your bone density.

ALTERNATIVES

You may choose not to participate in the study or withdraw from the study at anytime without any consequences.

CONFIDENTIALITY

The records of this study will be kept private and will be protected to the fullest extent provided by law. In any sort of report we might publish, we will not include any information that will make it possible to identify a subject. Research records will be stored securely and only the research team will have access to the records. The U.S. Department of Health and Human Services (DHHS) and/or the Food and Drug Administration (FDA) may request copies of your records to review them. Your records may also be reviewed for audit purposes by authorized University or other agents who will be bound by the same provisions of confidentiality. A description of this clinical trial will be available on <http://www.ClinicalTrials.gov>, as required by US Law. This web site will not include information that can identify you. At most, the web site will include a summary of the results. You can search this website at anytime.

COMPENSATION & COSTS

You will receive a payment of twenty (\$20) dollars for your participation on the first visit. If you qualify for the study, you will receive a payment of twenty (\$20) dollars on your second visit and forty (\$40) dollars each on the third and fourth visit. You will not be responsible for any costs to participate in this study.

MEDICAL TREATMENT

Routinely, FIU, its agents, or its employees do not compensate for or provide free care for human subjects in the event that any injury results from participation in a research project. If you become ill or injured as a direct result of participating in this study, contact your regular medical provider. If you have insurance, your insurance company may or may not pay for these costs. If you do not have insurance, or if your insurance company refuses to pay, you will be billed. Funds to compensate for pain, expenses, lost wages and other damages caused by injury are not routinely available. If you show symptoms of physical or mental illness, you will be referred to your physician with your permission.

RIGHT TO DECLINE OR WITHDRAW

Your participation in this study is voluntary. You are free to participate in the study or withdraw your consent at any time during the study. Your withdrawal or lack of participation will not affect any benefits to which you are otherwise entitled. The investigator reserves the right to withdraw you from the study without your consent at such time that they feel is in the best interest of the study participant.



RESEARCHER CONTACT INFORMATION

If you have any questions about the purpose, procedures, or any other issues relating to this research study you may contact Dr. Fatma G. Huffman at HLS I room 435, (305) 348-3788, huffmanf@fiu.edu.

IRB CONTACT INFORMATION

If you would like to talk with someone about your rights of being a subject in this research study or about ethical issues with this research study you may contact Dr. Patricia Price, the Chairperson of the FIU Institutional Review Board (IRB) at 305-348-2618 or 305-348-2494.

PARTICIPANT AGREEMENT

I have read the information in this consent form and agree to participate in this study. I have had a chance to ask any questions I have about this study, and they have been answered for me. I understand that I am entitled to a copy of this form after it has been read and signed.

Signature of Participant

Date

Printed Name of Participant

Signature of Person Obtaining Consent

Date

CONSENTIMIENTO PARA PARTICIPAR EN UN ESTUDIO DE INVESTIGACION
“El Efecto de la Suplementación con Vitamina D en los Factores de Riesgo de Enfermedad
Cardiovascular en Hispanos y Afro-Americanos con Diabetes Tipo 2”

PROPOSITO DEL ESTUDIO

Usted ha sido invitado para participar en un estudio de investigación. El propósito del estudio es determinar el efecto de la suplementación con vitamina D en factores de riesgo cardiovasculares en Hispanos y Afro-Americanos con diabetes tipo 2.

NUMERO DE PARTICIPANTES EN EL ESTUDIO

Si usted participa en este estudio, será una de las 120 personas en este estudio de investigación.

DURACION DEL ESTUDIO

Su participación requerirá una (1) visita cada 3 meses y duraran alrededor de 3 horas cada una. Usted proveerá una muestra de sangre para selección. Dependiendo de sus niveles de vitamina D en sangre usted será contactado para continuar en el estudio o será retirado del mismo. Si usted califica para el estudio, usted tendrá que hacer un total de 4 visitas al laboratorio de nutrición humana.

PROCEDIMIENTOS

Si usted acepta participar en este estudio, los siguientes procedimientos serán realizados:

1. Usted proveerá información sobre su género, edad, nivel educacional, año de diagnóstico de diabetes, medicación usada, y hábito de fumar;
2. Usted responderá preguntas sobre sus sentimientos, hábitos de exposición al sol e ingesta de alimentos;
3. Su color de piel será medido por un instrumento manual. Esto será indoloro;
4. Un flebotomista certificado le sacará sangre (aproximadamente 4 cucharadas de sangre o 15 ml). La sangre será obtenida de su brazo. Usted proveerá una muestra de orina
5. Usted tendrá que tomar 4000IU o 6000IU de vitamina D al día por 6 meses.
6. Usted tomará 4000 UI/día si usted es uno de los 60 primeros participantes y 6000 UI/día si usted está dentro de los siguientes 60 participantes.

RIESGOS Y/O MOLESTIAS

Los siguientes riesgos pueden ser asociados con su participación en el estudio: primero, dolor, enrojecimiento o posible infección del área enfrente del codo de donde la sangre fue obtenida. Un flebotomista certificado usará procedimientos estériles y estándares, por lo tanto, el riesgo de dolor, enrojecimiento o infección es muy raro. Segundo, usted puede experimentar un ligero mareo, el cual desaparecerá en unos pocos minutos sin ningún efecto. Tomar altas dosis de vitamina D puede causar síntomas de intoxicación como náusea, vómito, excesiva sed, excesiva micción, debilidad y nerviosismo. Usted tendrá que reportarse inmediatamente al PI o al equipo de investigación si usted sufre de alguno de estos síntomas. El equipo de investigación estará constantemente monitoreando algún signo de intoxicación que usted presente. Si usted presenta signos de intoxicación causados por la vitamina D, usted podría ser retirado del estudio.

BENEFICIOS

Los siguientes beneficios pueden ser asociados con su participación en este estudio:

- Usted recibirá los resultados de su análisis de sangre, dieta y podrá hacer preguntas referentes a la diabetes.
- La vitamina D puede mejorar su densidad ósea

ALTERNATIVAS

Usted puede escoger no participar en el estudio o retirarse del estudio en cualquier momento sin ninguna consecuencia.

CONFIDENCIALIDAD

Los archivos de este estudio serán privados y protegidos por la ley. En cualquier reporte que nosotros publiquemos, no incluiremos ninguna información que haga posible identificar a un sujeto. Los archivos serán guardados en forma segura y solo el equipo de investigación tendrá acceso a ellos. El Departamento de Salud y Servicios Humanos de los Estados Unidos (DHHS) y/o la Administración de Alimentos y Drogas (FDA) pueden pedir copias de sus archivos para revisarlos. Sus archivos pueden ser también revisados para propósitos de auditoría por agentes autorizados de la Universidad u otros agentes que seguirán las mismas reglas de confidencialidad. Una descripción de este estudio clínico estará disponible en <http://www.ClinicalTrials.gov>, según lo requiere la ley. Esta página web no incluirá información que lo pueda identificar. A lo sumo, la página web incluirá un resumen de los resultados. Usted puede acceder a esta página web en cualquier momento.

COMPENSACION Y COSTOS

Usted recibirá un pago de veinte (\$20) dólares por su participación en la primera visita. Si usted califica para el resto del estudio, recibirá un pago de veinte (\$20) dólares en su segunda visita y cuarenta (\$40) dólares por la tercera y cuarta visita. Usted no será responsable de ningún costo por participar en este estudio.

TRATAMIENTO MEDICO

Rutinariamente, FIU, sus agentes, o sus empleados no compensan o proveen cuidado gratis a sujetos en el evento de que una lesión resulte de su participación en el proyecto de investigación. Si usted resulta enfermo o lesionado como resultado directo de su participación en el estudio, contacte a su médico. Si usted tiene seguro, su compañía de seguros puede o no pagar por estos costos. Si usted no tiene seguro, o si su compañía de seguros se niega a pagar, usted será facturado. Fondos para compensar por dolor, gastos, pérdida de salario y otros daños causados por lesión no están disponibles habitualmente. Si usted muestra síntomas de enfermedad física o mental, será referido a su médico con su consentimiento.

DERECHO DE DECLINAR O RETIRARSE

Su participación en el estudio es voluntaria. Usted es libre de participar en el estudio o de retirar su consentimiento en cualquier momento durante el estudio. Su retiro o falta de participación no afectará ningún beneficio para los cuales tenga derecho. Los investigadores se reservan el derecho de removerlo del estudio sin su consentimiento en el momento que ellos lo crean necesario.

INFORMACION DE CONTACTO DEL INVESTIGADOR

Si tiene alguna pregunta acerca del propósito, procedimiento, u otro tema relacionado a esta investigación, puede contactar a Dr. Fatma G. Huffman en HLS I oficina 435, (305) 348-3788, huffmanf@fiu.edu.

INFORMACION DE CONTACTO DEL IRB

Si usted desea hablar con alguien acerca de sus derechos como participante en este estudio o acerca de temas éticos relacionados con el estudio, puede contactar a Dr. Patricia Price, Directora del Comité de Revisión Institucional de la FIU (IRB) al 305-348-2618 o 305-348-2494.



ACUERDO DEL PARTICIPANTE

Yo he leído la información en esta forma de consentimiento y estoy de acuerdo en participar en el estudio. Yo he tenido la opción de hacer preguntas acerca del estudio y han sido respondidas. Yo entiendo que tengo derecho a una copia de esta forma después de haberla leído y firmado.

Firma del Participante

Fecha

Nombre del Participante

Firma de la Persona que Obtiene el Consentimiento

Fecha

Appendix 2: Short Food Frequency Questionnaire

Short Food Frequency Questionnaire Visit _____ Date _____ ID# _____

This form asks about your usual eating habits over the past year.

First: For each food listed, mark the column to show how often, on average, you ate the food during the past year.

Please BE CAREFUL which column you put your answer in.

Second: Mark whether your usual serving size is small, medium, or large. Please DO NOT OMIT serving size.

Additional Comments:

Please DO NOT SKIP any foods, if you never eat a food, mark "Never or less than once per month."

A small serving is one-half the medium serving size shown or less.

A large serving is about one-and-a-half times the medium serving size shown or more.

Sample: This person ate a medium serving of rice about twice per month and never ate squash.

Type of food	How Often									How Much			
	Never or Less than									Your Serving Size			
	Once per Month	1 per Month	2-3 per Month	1 per Week	2 per Week	3-4 per Week	5-6 per Week	1 per Day	2+ per Day	Medium Serving	S	M	L
Rice			X							3/4 cup		X	
Winter squash, baked squash	X									1/2 cup			

Type of Beverage	How Often									How Much			
	Never or Less than									Your Serving Size			
	Once per Month	1 per Month	2-3 per Month	1 per Week	2 per Week	3-4 per Week	5-6 per Week	1 per Day	2-3 per Day	Medium Serving	S	M	L
Whole milk and beverages with whole milk (not including cereals)										8 oz glass			
2% milk and beverage With 2% milk (not including cereal)										8 oz glass			
Skim milk, 1% milk or buttermilk (not including on cereal)										8 oz glass			
Milk in coffee or tea										1 tablespoon			

Type of food	How Often									How Much			
	Never or Less than Once per Month	1 per Month	2-3 per Month	1 per Week	2 per Week	3-4 per Week	5-6 per Week	1 per Day	2 + per Day	Medium Serving	Your Serving Size		
											S	M	L
Broccoli										1/2 cup			
Mustard greens, turnip greens, collard greens										1/2 cup			
Spaghetti, lasagna, other pasta with tomato sauce										1 cup			
Mixed dishes with cheese (such as macaroni and cheese)										1 cup			
Cheese and cheese spreads (including on sandwiches, burgers, tacos, and nachos; not including cottage cheese)										2 slices or 2 oz			
White bread (including sandwiches, bagels, burger rolls, French or Italian bread)										2 slices			
Dark bread, such as wheat, rye, pumper- nickel (including sandwiches)										2 slices			
Biscuits or muffins (including fast foods)										1 medium piece			
Ice cream										1 scoop or 1/2 cup			
Yogurt, frozen yogurt										1 cup			
Milk on cereal										1/2 cup			

Type of food	How Often									How Much			
	Never or Less than Once per Month	1 per Month	2-3 per Month	1 per Week	2 per Week	3-4 per Week	5-6 per Week	1 per Day	2 + per Day	Medium Serving	Your Serving Size		
										S	M	L	
Oysters										6 medium			
Shrimp										3 oz			
Pink salmon										3 oz			
Tuna, tuna salad, tuna casserole										1/2 cup			
Liver, including chicken livers										4 oz			
Eggs										1 egg = small 2 eggs = medium			
High fiber, bran or granola cereals, shredded wheat										1 medium bowl			
Sardines										1 can = 5 oz			
Pizza										1 Slice			

Appendix 3: Skin Color and Anthropometrics Control Form

ID #.....

Date:

**Anthropometrics, Blood Pressure & skin color
(screening/baseline/3months/6months)**

Weight:pounds

Height:inches

BMI:

Waist circumference 1.....cms

Waist circumference 2cms

Average Waist Circumference:.....cms

Blood Pressure 1:

Systolicmm Hg

Diastolic.....mm Hg

Blood Pressure 2:

Systolicmm Hg

Diastolicmm Hg

Average Blood Pressures:

Average Systolic:mm Hg

Average Diastolic:mm Hg

Skin color 1:

Forearm:

Upper arm:

Stomach:.....

Skin color 2:

Forearm:.....

Upper arm:

Stomach:.....

Average Skin Color:

Forearm:.....

Upper arm:.....

Stomach:.....

Average difference:.....

Appendix 4: Demographic Questionnaire

Demographic Questionnaire Visit _____ Date _____ ID# _____

Please answer each of the questions by filling in the blanks with the correct answer or by choosing the single best answer.

Q1. Please indicate your age in years _____

Q2. Male ₁ Female ₂

Q3. How much schooling did you have?

- ₁ 8th grade or less
- ₂ Some high school
- ₃ High school diploma or GED
- ₄ At least some college

Q4. Do you use tobacco? No ₁ Yes ₂

Q4a. Please indicate your tobacco use

- ₁ Cigarettes
- ₂ Cigar
- ₃ Pipe
- ₄ Chewing

Q4b. How many cigarettes do you smoke each day on average?

- ₁ 1-6
- ₂ 7-12
- ₃ 13 or more

Q5. How many drinks of alcohol (12 oz beer, 5 oz wine, 1 oz hard liquor) do you drink each week on average?

- ₁ None
- ₂ 1-2
- ₃ 3-7
- ₄ 8-14
- ₅ 15-21
- ₆ 22 or more

Q6. Please indicate any of the following types of medications that you take

- ₁ Cholesterol-lowering
- ₂ Blood-sugar regulating (not including insulin)
- ₃ Hypertensive medication
- ₄ Anti-depressants
- ₅ Pain killers
- ₆ Aspirin

Q7. What year were you first diagnosed with diabetes? 19.....

Q8. What is your marital status? ₁ Married ₂ Single ₃ Divorced ₄ Widowed

Q9. Do you currently have a job? ₁ No ₂ Yes ₃ I am disabled

Q10. How many years have you been living in the US?

Q11. Do you currently have health insurance? ₁ No ₂ Yes

Appendix 5: Compliance and Adverse Events Form

ID#

COMPLIANCE/ AE LOG FOR FOLLOW-UP VISITS

3RD MONTH VISIT

3 months visit date:	Type of visit: 3 months
Baseline visit date:	
No of days between visits (a):	
PILL COUNT	
Pills dispensed during last visit:	Number of pills taken (b):
Adherence b/a:	
On time <input type="checkbox"/> Late <input type="checkbox"/>	Compliant: Yes/ No

Adverse Events Log

Date of event:	Date reported to IRB:
Notes about the event:	

Remarks/ Comments about the visit

Hospitalization: Yes/No	Surgery: Yes/ No
-------------------------	------------------

6TH MONTH VISIT

6months visit date:	Type of visit: 6 months
3months visit date:	
No of days between visits (a):	
PILL COUNT	
Pills dispensed during last visit:	Number of pills taken (b):
Adherence b/a:	
On time <input type="checkbox"/> Late <input type="checkbox"/>	Compliant: Yes/ No

Adverse Events Log

Date of event:	Date reported to IRB:
Notes about the event:	

Remarks/ Comments about the visit

Hospitalization: Yes/No	Surgery: Yes/ No
-------------------------	------------------

Investigator's signature

Investigator's signature

VITA

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PUBLICATIONS AND PRESENTATIONS

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