

1 **TITLE**

2 Usability testing of a respiratory interface using computer screen and facial expressions videos

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## 1 ABSTRACT

2 Computer screen videos (CSV) and users' facial expressions videos (FEV) are recommended to  
3 evaluate systems performance. However, software combining both methods is often non-  
4 accessible in clinical research fields. The Observer-XT software is commonly used for clinical  
5 research to assess human behaviours. Thus, this study reports on the combination of CSV and  
6 FEV, to evaluate a graphical user interface (GUI).

7 Eight physiotherapists entered clinical information in the GUI while CSV and FEV were  
8 collected. The frequency and duration of a list of behaviours found in FEV were analysed using  
9 the Observer-XT-10.5. Simultaneously, the frequency and duration of usability problems of CSV  
10 were manually registered. CSV and FEV timelines were also matched to verify combinations.

11 The analysis of FEV revealed that the category most frequently observed in users behaviour  
12 was the eye contact with the screen (ECS,  $32\pm 9$ ) whilst verbal communication achieved the  
13 highest duration ( $14.8\pm 6.9$  minutes). Regarding the CSV, 64 problems, related with the  
14 interface (73%) and the user (27%), were found. In total, 135 usability problems were  
15 identified by combining both methods. The majority were reported through verbal  
16 communication (45.8%) and ECS (40.8%). "False alarms" and "misses" did not cause  
17 quantifiable reactions and the facial expressions problems were mainly related with the lack of  
18 familiarity (55.4%) felt by users when interacting with the interface.

19 These findings encourage the use of Observer-XT-10.5 to conduct small usability sessions, as it  
20 identifies emergent groups of problems by combining methods. However, to validate final  
21 versions of systems further validation should be conducted using specialized software.

22 **Key words:** graphical user interface, usability testing, facial videos, screen videos; Observer XT.

## 23 1 INTRODUCTION

24 Healthcare professionals are increasingly challenged to acquire and manage large amounts of  
25 information, while still providing high quality health services. Thus, healthcare information  
26 systems (HCIS) have become vital to store, organize and share clinical information, which  
27 facilitates and improves health professionals' decision making [1]. Although health  
28 professionals are the major beneficiaries of these technologies, they often resist to their

1 implementation [2, 3]. This resistance have been attributed to the felling of loss of control  
2 expressed by health professionals when interacting with systems [4]. Furthermore, computer  
3 systems are often developed by professionals outside the health field who often do not have a  
4 full understanding of clinical evaluations and procedures [5]. This may affect the construction  
5 of system by being complex and difficult to navigate, contributing to health professionals'  
6 resistance to its use. Therefore, systems evaluations performed with the end users are  
7 essential, not only in the final version, but throughout the progress cycle to guarantee that the  
8 system is develop according to health professionals standards, ensuring its effectiveness,  
9 efficiency and usability [6, 7]. To verify and optimise systems, analytical and empirical methods  
10 from the area of usability engineering and human-computer-interaction have been applied in  
11 HCIS evaluation studies [8]. Kushniruk and Patel [5, 9] have been researching in the field of  
12 usability testing and proposed different types of data collection, such as video recordings of  
13 the computer screens and users while performing tasks and think-aloud reports.

14 Computer screen videos (CSV) are one of the most used techniques to develop effective  
15 evaluations and assess effectiveness and efficiency of the systems [10, 11]. This technique  
16 allows researchers to collect observational data of users performance when interacting with a  
17 product and capture crucial information, such as the time spent in different tasks and the  
18 number of errors occurred [9], during the interaction. The use of CSV have been suggested  
19 over qualitative methods, such as interviews and pre-structured questionnaires, as they are  
20 more objective and capture the problems in real time [5]. However, it has also been stated  
21 that the assessment of these parameters alone, do not guarantee users satisfaction [12]. User  
22 satisfaction is influenced by personal experiences with technology, preferred working style,  
23 and the aesthetics of systems' design. Such quality aspects seem to be important for users but  
24 are not connected to their performance with the system [13]. Furthermore, it is important to  
25 assess how people feel when using the system. A variety of methods can be employed to

1 address this aspect, such as i) physiological measures (e.g., electromyography (EMG) and pupil  
2 responses), which offer high spatio-temporal resolution, are expensive and require high-level  
3 of expertise from the technicians [14]; and ii) various kinds of survey methods (e.g.,  
4 questionnaires and interview techniques) [12], that are accessible and easy to use but provide  
5 limited information, since emotional experiences are not primarily language-based [14]. Thus,  
6 recordings of facial expressions emerge as an alternative to these methods.

7 Facial expressions have been reported as the most visible and distinctive emotion behaviours,  
8 reflecting individuals' current emotional state and communicating emotional information [15].  
9 Some studies have been conducted to integrate users' facial expressions response in the  
10 usability assessment of graphical user interface (GUI), however they were conducted with  
11 expensive software that are not easily accessible in the field of clinical research [16, 17]. The  
12 Observer XT is a user-friendly software to collect, analyse and present observational data,  
13 often used in social and clinical areas to assess human behaviours [18, 19]. Therefore, this  
14 software can be a useful tool to assess users' experience with preliminary GUI in clinical  
15 research.

16 This study aimed to report on the combination of CSV and users' facial expressions videos  
17 (FEV) analysed with the Observer XT software to evaluate a respiratory GUI named as  
18 LungSounds@UA [20].

## 19 **2 METHODOLOGY**

### 20 **2.1 GUI description**

1 The LungSounds@UA graphical interface was developed in the scope of a pilot study within a  
2 clinical respiratory research project<sup>1</sup>. This GUI aimed to collect and organise respiratory data in  
3 a single multimedia database.

4 A multilayer of windows built with five hierarchy levels, i.e., A, B, C, D and E composes  
5 LungSounds@UA interface (figure 1-A). The interface which allows users to record respiratory  
6 sounds (figure 1-B) and upload related-respiratory data, such as: clinical parameters; clinical  
7 analysis; respiratory physiotherapy monitoring data; functional independence measure (FIM);  
8 six minute walk test parameters; spirometry; pain evaluation; imaging reports, e.g., computed  
9 tomography (CT) and chest X-ray (Rx); and conventional auscultation.

10 *(insert figure 1 about here)*

11

12 The organisation of contents in the interface was established according to the  
13 physiotherapists' current practice, however alternative navigation controls, such as the vertical  
14 buttons displayed on the left side of the computer screen, can be used to easily allow different  
15 data entry order. Detail description of the LungSounds@UA graphical interface has been  
16 published in Pinho et al. (2012) [20].

## 17 **2.2 Design**

18 LungSounds@UA was tested in two evaluation sessions conducted on the same day at the  
19 University of Aveiro, Portugal. Each session lasted for approximately 70 minutes. The testing  
20 room was prepared according to Kushniruk and Patel [5] recommendations, with 4 computers  
21 capable of running the software under study and the TipCam Screen Recording Software [21],

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<sup>1</sup>Research project ref. PTDC/SAU-BEB/101943/2008.

1 two desks and two cameras (one camera per desk) to record the participants' facial  
2 expressions. Two participants with an individual computer were sited per desk to perform the  
3 required tasks (figure 2). Participants were instructed not to interact with each other (i.e.  
4 speak, touch or establish eye contact).

5

6 *(insert figure 2 about here)*

7

### 8 **2.3 Participants**

9 Eligible participants were selected according to the usability definition of ISO 9241-11, i.e.,  
10 “the extent to which a product can be used by specified users to achieve specified goals with  
11 effectiveness, efficiency and satisfaction in a specified context of use” [22, 23] and Nielsen’s  
12 recommendations on sample sizes [24]. Therefore, eight physiotherapists were recruited to  
13 test the GUI, as this class of health professionals were the main target users of the developed  
14 application. Physiotherapists were divided in two groups of four each, according to their  
15 practice (research or clinical), to maximize the outcomes of the evaluation session [8]. For the  
16 same purpose, it was also ensured that all participants had experience in the field of  
17 respiratory physiotherapy but never had previous experience with the interface, so maximal  
18 reactivity of participants could be observed [7, 25] which would inform necessary  
19 improvements. A training session was not applied, as it has been stated that its absence can  
20 strengthen the evaluation because full information about the perceived weaknesses are  
21 reported, when using the developed applications. Without training session users approach a  
22 new system with preconceived ideas based only on their prior experiences, and draw their  
23 own conclusions about how it works, which may differ from the designer’s intentions [26].

1 All participants accepted to take part of the study and signed the informed consents prior to  
2 any data collection.

### 3 **2.4 Data Collection**

4 To verify and optimise the interface usability, participants were instructed to enter the same  
5 clinical parameters (from a pre-structured case study) in the LungSounds@UA GUI, while their  
6 screen and facial expressions were being video recorded [7].

7 Two facilitators specialized in the interface were in the sessions, however, only intervened to  
8 clarify participants' questions. One facilitator read the case study aloud and participants were  
9 given enough time to read it by themselves and clarify any doubts before starting the tasks.  
10 Then, the facilitators turned on the recorder software and the video cameras.

11 This methodology (CSV plus FEV) allowed to obtain a more complete evaluation of  
12 participants' interaction with the system, when performing the same task. Each camera  
13 collected data from two participants (four videos of facial expressions) and CSV were obtained  
14 individually (eight CSV), generating twelve video files in total.

## 15 **3 DATA ANALYSIS**

16 The data were analysed by four researchers. One researcher conducted the analysis of the  
17 FEV, one analysed the CSV and two researchers conducted the analysis of the combination of  
18 the CSV and FEV.

### 19 **3.1 Analysis of the facial expressions videos**

20 Facial expressions were studied by analysing the frequency and duration of a list of behaviours  
21 (ethogram), derived from: i) the existing literature [27-29]; ii) preliminary observations of the

1 video recordings, regarding engagement aspects with the interface [30] (one trained observer  
2 watched all videos and captured the main behaviours of the participants); and iii) the facial  
3 acting coding system (FACS) [31]. FACS is a detailed, technical guide that explains how to  
4 categorize facial behaviours based on the muscles that produce them. This system follows the  
5 premises that basic emotions correspond to facial models [31] and has been proposed and  
6 used by many authors to assess their computer systems [14, 17, 32]. The following categories  
7 composed the ethogram: i) eye contact with the screen (the user is visibly concentrating on  
8 the screen, in order to read, search or understand something in the interface); ii) eyebrows  
9 movement; iii) verbal communication; and iv) smile. The first three categories have been  
10 reported as indicative of the occurrence of an adverse-event when interacting with the system  
11 (e.g., system errors and emotional distress) [27, 33]. Conversely, smile has been associated  
12 with agreement and accomplishment [34, 35]. Table I provides a detailed description of each  
13 category.

14

15 *(insert table 1 about here)*

16

17 One researcher, blinded to the evaluation (that did not participate in the data collection),  
18 assessed each of the four FEV and rated facial expressions according to the ethogram, using  
19 the specialized software, Noldus The Observer XT 10.5 (Noldus International Technology,  
20 Wageningen, the Netherlands). The frequency and duration of the categories were measured  
21 [36, 37]. The researcher was trained previously to use the software.

### 22 **3.2 Analysis of the computer screen videos**



1 Eight CSV were observed and analysed by another researcher, blinded to the evaluation. The  
2 frequency and duration of the usability problems found in participants' screens (i.e., warning,  
3 error messages and other inconsistencies) were reported. A usability problem was defined as a  
4 specific characteristic of the system that hampers task accomplishment, a frustration or lack of  
5 understanding by the user [38].

6 After this analysis, data were coded and grouped into themes and sub-themes, according to  
7 previous work conducted by Kushniruk and Patel [5] and Gray and Salzman [39]. Interface (i.e.,  
8 layout/screen organization, false alarms, time consumption and misses) and user (i.e.,  
9 unfamiliarity with interface) problems were evaluated through the observation of the CSV.  
10 Table II provides a detailed description of each theme and sub-theme.

11

12 *(insert table 2 about here)*

13

14 The interface and user problems, were classified when error, warning messages or  
15 inconsistencies (other conflicts not reported by these messages) were identified.

### 16 **3.3 Reliability of the observations**

17 Each FEV was analysed three times by the same researcher to assess the intra-observer  
18 reliability of the observations [37]. The intra-observer reliability analysis was conducted for the  
19 frequency and duration of each behaviour category with the intraclass correlation coefficient  
20 equation ICC (2.1) [40].

1 Intra-observer reliability was not analysed for the CSV as the findings mainly consist in the  
2 objective quantification of messages produced by the graphical interface, and therefore, the  
3 intra-observer agreement would have been maximum (ICC=1).

#### 4 **3.4 Combination of the computer screen and facial expressions videos**

5 After the individual analysis of the FEV and CSV, two researchers matched their timelines to  
6 relate the coded facial expressions with the usability problems presented by participants in the  
7 screens recordings. Disagreements between researchers were resolved by reaching a  
8 consensus through discussion. If no consensus could be reached, a third researcher was  
9 consulted. After observing all FEV, only facial expressions longer than 20s demonstrated to  
10 have significant impact on the participants interaction with the system (a threshold empirically  
11 established), and therefore were considered to represent the most important/relevant  
12 problems found by participants. Spearman's correlation coefficient was used to correlate each  
13 facial expression with each interface and user problems. Correlations were interpreted as  
14 weak ( $r_s \leq 0.35$ ), moderate ( $0.36 \leq r_s \leq 0.67$ ) and strong ( $r_s \geq 0.68$ ) [41]. Analysis was performed  
15 using PASW<sup>®</sup> Statistics 18.0 software for Windows (SPSS Inc, Chicago, IL, USA). Significance  
16 level was set at  $p < 0.05$ .

17

## 18 **4 RESULTS**

19 Each participant took on average  $42 \pm 6$  minutes to complete the proposed tasks.

### 20 **4.1 Facial expressions**

21 The analysis of the videos took 15 hours to be completed. The behaviour categories analysed  
22 in the facial expression are presented in table III and figure 3. Eye contact with the screen was

1 the behaviour category most frequently observed ( $32\pm 9$ ). The verbal communication was the  
2 category with the highest duration ( $14.8\pm 6.9$  minutes). It was also found that eyebrows  
3 movement and smile categories occurred less frequently and represented only 2% ( $2\pm 3$ ) and  
4 1% ( $2\pm 1$ ) of the users' frequency behaviour, respectively (figure 3).

5

6 *(insert table 3 about here)*

7

8 *(insert figure 3 about here)*

9

10 Intra-observer reliability analysis of facial expressions revealed ICC values ranging between  
11 0.91 and 1.00 for all categories except one, indicating an excellent reliability. The lower ICC  
12 value represented good reliability and was found for the duration of the smile category (0.54)  
13 [42].

#### 14 **4.2 Computerscreen videos**

15 The analysis of the videos took 9 hours to be completed. In the eight CSV, 64 problems, both  
16 interface (47/64; 73%) and user (17/64; 27%) were found. The major difficulties that emerged  
17 from the interaction with the interface were: i) layout/screen organization flaws (26/47; 55%);  
18 ii) false alarms (9/47; 19%); iii) time consumption (8/47; 17%); and iv) misses (4/47; 9%). The  
19 users' problems were all due to unfamiliarity with interface (17/17; 100%).

20 The majority of the interface and users' problems were reported by error messages (27/64;  
21 42%) however, looking only at the interface problems it is clear that the problems were mainly  
22 reported by other inconsistencies (28/47; 60%) (table IV).

1

2 *(insert table 4 about here)*

3

#### 4 **4.3 Combination of the computer screen and facial expressions videos**

5 After matching the coded facial expressions with the usability problems presented in the  
6 screens, it was observed that the same facial expression could be associated with more than  
7 one screen problem, and therefore 135 problems were identified. The majority of problems  
8 were reported by verbal communication (45.8%) and eye contact with the screen (40.8%). It  
9 was also found that the problems identified by facial expressions were mainly related with the  
10 participants' lack of familiarity with the interface (55.4%) (figure 4).

11

12 *(insert figure 4 about here)*

13

14 Most of the correlations found were moderate ( $r_s$  varied from 0.40 to 0.65). Strong  
15 correlations were found between the verbal communication and unfamiliarity with the  
16 interface ( $r_s=0.77$ ;  $p=0.27$ ) and time consumption ( $r_s=0.69$ ;  $p=0.59$ ) categories. Smile correlated  
17 weakly with the layout ( $r_s=-0.24$ ;  $p=0.56$ ) and unfamiliarity with the interface ( $r_s=0.18$ ;  $p=0.67$ )  
18 categories. Misses and False alarms did not cause quantifiable reactions in participants and  
19 therefore correlations were not found (table 5).

20 Examples of the combination between the two methods can be found in table 6.

21

1 *(insert table 5 about here)*

2

3 *(insert table 6 about here)*

4

## 5 **5 DISCUSSION**

6 To our knowledge, this is the first study reporting on the analysis of FEV and CSV combination  
7 using the Observer XT software. The combination of both methods, allowed perceiving and  
8 quantifying facial expressions triggered by the “layout” (29.6%), “unfamiliarity with the  
9 interface” (55.4%) and “time consumption” (15%) problems. “False alarm” (0%) and “misses”  
10 (0%) did not generate relevant facial expressions.

11 Through the individual analysis of the CSV and FEV it was not clear which were the most  
12 relevant problems perceived by users. The analysis of facial expressions alone showed high  
13 frequency of eye contact with the screen, which according to Despont-Gros, et al. [33]and  
14 Bevan and Macleod [43] indicates that participants experienced difficulties in searching,  
15 perceiving, learning, memorising and locating parameters in the interface menu. Long periods  
16 of time were found in the verbal communication category , revealing that participants had  
17 some difficulties to complete the tasks by themselves, requiring help from the facilitators to  
18 proceed [43]. The low percentages identified in smile and in eyebrows movement categories ,  
19 could denote some displeasure and/or distress, felt by participants when interacting with the  
20 interface[27, 35]. These results showed that FEV alone informs about users’ perception and  
21 emotions when interacting with the interface however, objective information about the  
22 specific interface problems is not provided.

1 On the other hand, in the CSV analysis, the interface problems represented 73% of the total  
2 problems counted in the systems evaluation. This high percentage may be misleading as it  
3 suggests a large variety of problems, nonetheless, the same problems were reported by all  
4 participants and, in some situations, more than once, by the same participant, overweighing  
5 the total of problems counted. The users' problems overestimated the modifications that  
6 needed to be performed in the interface, since they were 100% due to unfamiliarity with  
7 interface. Thus, through the CSV analysis, the amount of problems and errors reported by the  
8 interface can be addressed, but not which ones are truly useful to the user or need to be  
9 improved by the interface developers.

10 The combination of both methods, allowed perceiving and quantifying the facial expressions  
11 that were triggered by the "layout", "unfamiliarity with the interface" and "time consumption"  
12 problems but not by "false alarm" and "misses". It can be hypothesised that the null values  
13 obtained in "false alarm" and "misses" are related to the difficulty in differentiating this two  
14 categories from the time consumption problems. The presence of warning and error messages  
15 for non-existent problems (false alarm) and/or its absence (misses) in the execution of some  
16 tasks may have caused users to be lost in the interface, and therefore spent more time  
17 performing the task, which is counted as "time consumption" in the combination of both  
18 methods. Nevertheless, these results provide useful data to enhance the interface, mainly in  
19 the system "layout" and "time consumption" problems. Different usability methods have been  
20 proposed to solve layout problems, such as developing of a "good screen design" by taking in  
21 consideration consistency, colour, spatial display and organizational display [44]. Other  
22 possibility would be to evaluate users' satisfaction of two different layouts and choose the one  
23 which better respond to their requirements [5]. The development of an appropriate layout can  
24 significantly reduce the time taken to complete tasks [44], and consequently solves the "time  
25 consumption" problems identified in this study.

1 Major improvements should not in a preliminary assessment be performed on the  
2 “unfamiliarity with the interface” (which were the most common problems experienced by  
3 users), as it can be justified by the absence of a training session [26, 45] and therefore, users  
4 might simply need more time to learn how to interact with the system.

5 This complementary approach (combination of FEV and CSV) provides valuable information  
6 about users’ perception regarding the interface problems, which will aid the system  
7 developers to establish priorities according to what is crucial to the end users, increasing  
8 systems’ effectiveness and efficiency.

### 9 **5.1 Limitations and future research**

10 The present study had some limitations. Firstly, a questionnaire exploring participants’  
11 background and familiarity with computers (recommended in some studies [5]) was absent  
12 however, as the degree in physiotherapy involves a basic education on computer software, this  
13 was not considered a major barrier for the participants’ interaction with the system. Secondly,  
14 the presence of external observers in the testing room might introduce psychophysiological  
15 and emotional changes in test participants [46]. To minimize this effect, only facilitators  
16 (whose presence was essential to conduct the evaluation session) were allowed in the present  
17 study. Other strategies were also employed to reduce the influence of external factors and  
18 enhance participants’ performance, such as the organization of the set up room and by  
19 following standardized rules in the implemented usability tests [46, 47]. However, due to the  
20 complexity of human behaviour it is not possible to guarantee that all variables capable of  
21 influence the participants were fully controlled. Thirdly, inter-rater reliability analysis could not  
22 be performed as only one researcher observed the FEV. Nevertheless, the inter-rater reliability  
23 to detect facial expressions has been found to range from fair to almost perfect agreements  
24 (ICC=0.33-0.91) [19]. Fourthly, the use of the Observer XT in this study was very time

1 consuming (15 hours), and may not be appropriate to conduct large validation sessions. To  
2 overcome this problem, it would be advisable to perform evaluations with software that  
3 automatically match screen videos and facial videos timelines. However, in social and/or  
4 clinical sciences Observer XT is commonly available, often used to assess human behaviours,  
5 and therefore, researchers are well familiarised with this method which facilitates its  
6 implementation and guarantees the reliability and validity of the results found. Fifthly, a high  
7 rate of “unfamiliarity with the interface” was observed, mainly, because users did not have  
8 experience with the interface prior to the evaluation. A second round of tests would be  
9 valuable to confirm this high rate, nevertheless for this evaluation the blindness of the  
10 participants to the interface was essential to inform substantial improvements in future  
11 interface versions. Finally, the findings of this study can also be limited by the fact that only  
12 groups, and not individual problems, can be identified by the combinations of both methods.  
13 Despite the above limitations, this was an evaluation of the first version of the system. The  
14 main objective was to have a first feedback of the end users in a controlled environment,  
15 therefore, a simple evaluation (as recommended - less expensive and with brief resources [48])  
16 was developed, based on the combination of two usability methods. The combination of  
17 different usability methods is reported as a grey research area that requires further  
18 investigation to better understand their contributions in the usability field [49]. Therefore, this  
19 study constitutes a step towards a better understanding of new usability measures.

## 20 **6 CONCLUSIONS**

21 The use of CSV or FEV alone does not provide clear information about the most relevant  
22 problems perceived by users when interacting with a system, and therefore, these methods  
23 alone may not be the most comprehensive measures to assess the interface  
24 usability/functionality. The combination of CSV and FEV with the Observer XT leads to a new



1 approach to the traditional techniques for evaluating information systems in medical  
2 informatics. However, to validate final versions of software to be use in large organizations,  
3 further validation need to be conduct with specialized software.

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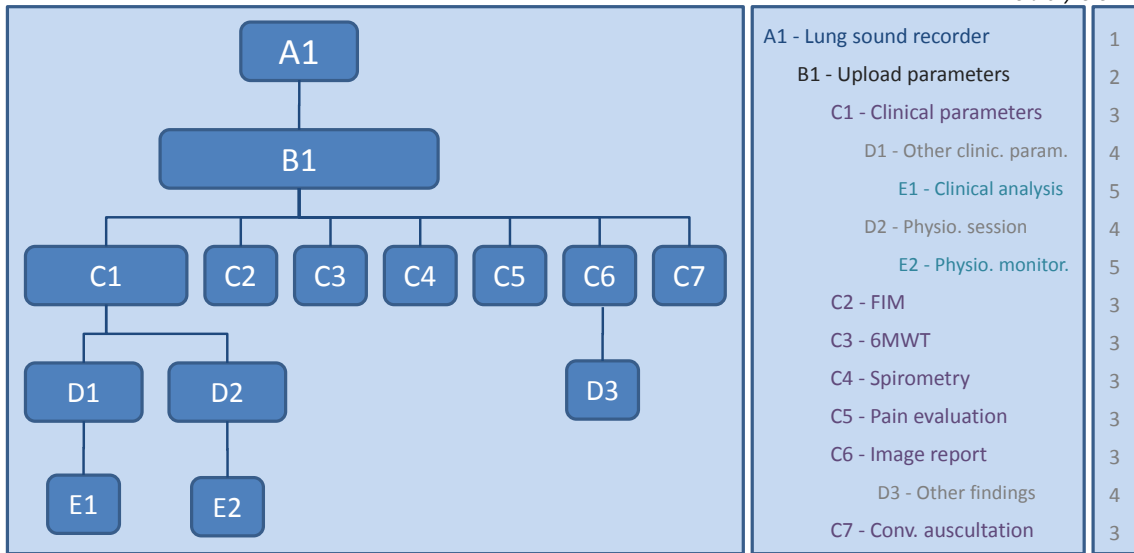
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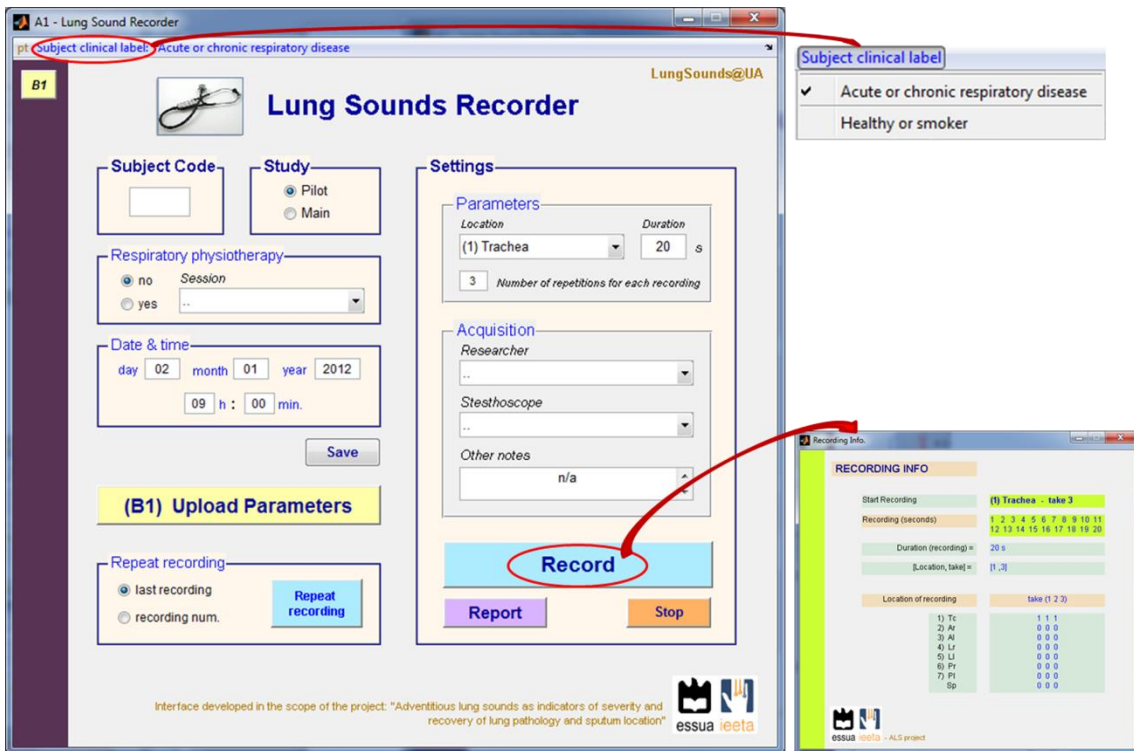
1 LIST OF FIGURES (with captions)

2 [A]



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4 [B]

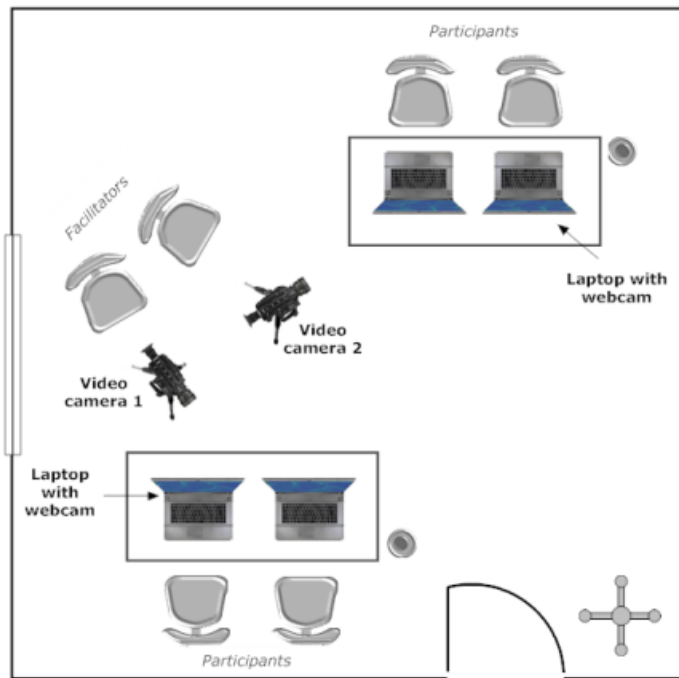


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6 Figure 1: LungSounds@UA interface (A – interface structure – GUI composed by

7 fourteen windows, with a hierarchy of five levels; B - Window A1 - Lung sounds recorder).

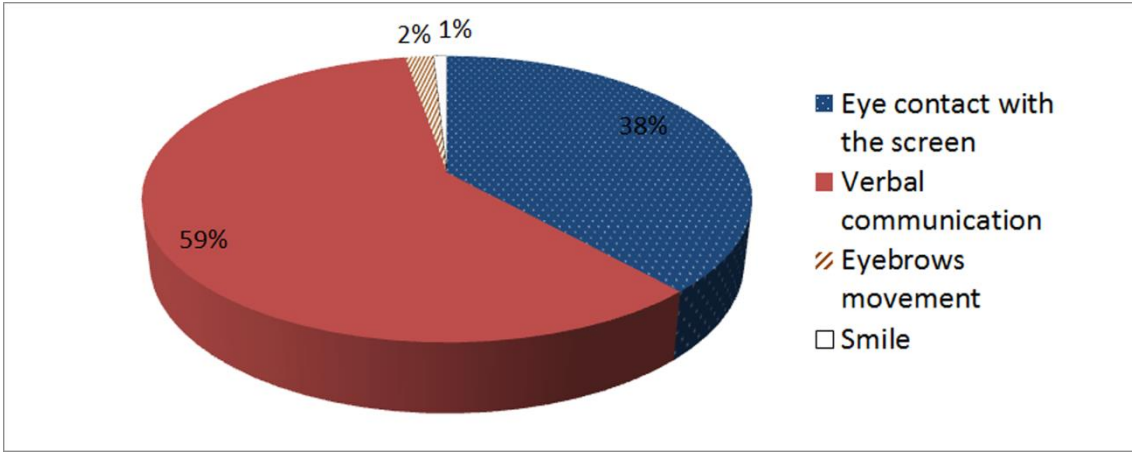
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3 Figure 2: Room setup.

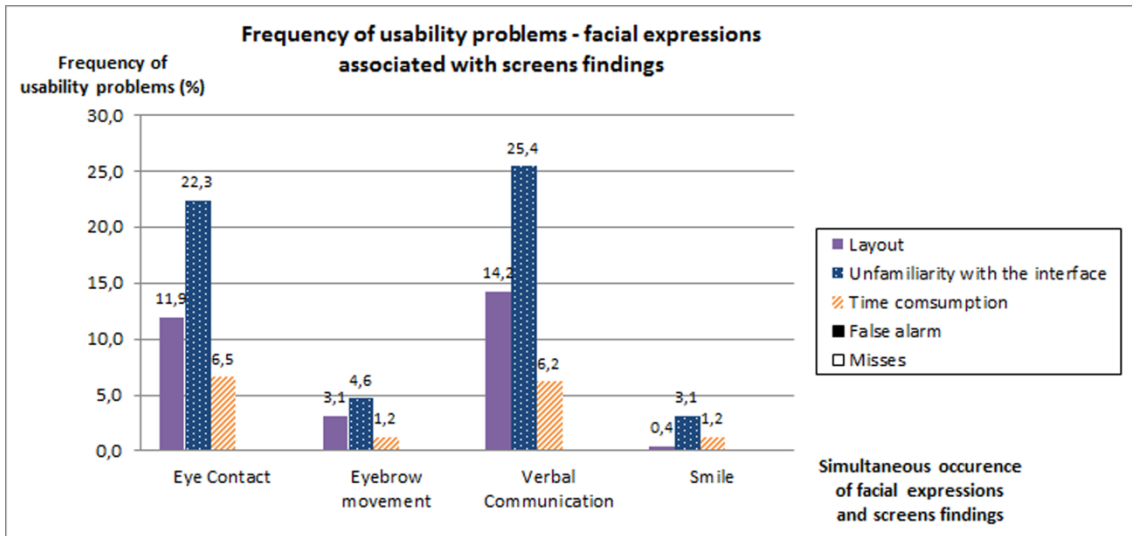
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2 Figure 3: Percentage of users' frequency behaviour during the system interaction.

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2 Figure 4: Frequency of usability problems when matching the facial with the computer  
 3 screen videos.

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1 **LIST OF TABLES (with captions)**

2 Table I: Categories of the facial expressions ethogram.

<b>Categories</b>	<b>Description</b>
Eye contact with the screen	The user directs the gaze to the screen, visibly concentrating on the screen, to read, search or understand something in the interface.
Eyebrows movement	The user raises an eyebrow or corrugates both as indicative of frustration or distaste for not understanding the interface or not finding what he/she is looking for.
Verbal communication	The user communicates deliberately and voluntarily with the facilitator using words and/or sentences, to clarify some doubts about the system.
Smile	Facial expression where the lips stretch back or move away slightly (mouth can be half opened) as indicative of agreement, comprehension and accomplishment.

3

4

1 Table II: Themes and sub-themes of the computer screen videos.

Themes & sub-themes	Description
<b>Interface Problems</b>	Problems inherent to the interface.
Layout/screen organization	<i>Problems related to the layout information in the interface, leading to mistakes or confusion.</i>
False alarms	<i>Problems generated when the interface claimed a non-existent problem.</i>
Time consumption	<i>Problems related with time-consuming tasks.</i>
Misses	<i>Problems generated when the interface did not alert for a specific problem.</i>
<b>User Problems</b>	Problems originated by users' actions.
Unfamiliarity with the system	<i>Problems related with the lack of familiarity with the interface.</i>

2

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1 Table III: Users' behaviours when interacting with the system – through facial expressions  
 2 analysis.

Categories	Type	Mean $\pm$ SD	Minimum	Maximum	ICC	95% CI
Eye contact with the screen	frequency	32 $\pm$ 9	15	45	0.9(9)	[0.99; 1]
	duration (s)	575 $\pm$ 119	240	1065	0.94	[0.79; 0.99]
Verbal communication	frequency	20 $\pm$ 9	4	33	1	[1]
	duration (s)	886 $\pm$ 411	435	1458	0.98	[0.94; 1]
Eyebrows movement	frequency	2 $\pm$ 3	0	9	0.97	[0.89; 0.99]
	duration (s)	27 $\pm$ 42	0	143	0.91	[0.71; 0.98]
Smile	frequency	2 $\pm$ 1	0	4	0.98	[0.92; 0.99]
	duration (s)	13 $\pm$ 15	0	67	0.54	[0.48; 0.90]

3 *SD – standard deviation*

4 *ICC - Intraclass correlation coefficient (2.1) – intra-observer reliability*

5 *CI – confidence intervals*

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1 Table IV: Interface and users' problems reported in the computer screen videos .

<b>Problems</b>	<b>Count</b>	<b>Problems</b>	<b>Count</b>	<b>Total</b>	
Interface problems	<i>Layout/Screen organization</i>	26	Error messages	10	47
	<i>False alarms</i>	9	Warning messages	9	
	<i>Time consumption</i>	8	Inconsistencies	28	
	<i>Misses</i>	4			
User problems	<i>Unfamiliarity with interface</i>	17	Error messages	17	17
			Warning messages	0	
			Inconsistencies	0	
<b>Total</b>	<b>64</b>		<b>64</b>	<b>64</b>	

2

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1 Table V: Correlation between facial expressions and interface and user problems.

Interface and user problems	Facial expressions							
	Verbal Communication		Smile		Eye Contact		Eyebrow Movement	
	$r_s$	p	$r_s$	p	$r_s$	p	$r_s$	p
Layout	0.58	0.13	-0.24	0.56	0.64	0.09	0.65	0.08
Unfamiliarity with interface	0.77	0.03*	0.18	0.67	0.40	0.32	0.57	0.14
Time consumption	0.69	0.06	0.50	0.21	0.40	0.32	0.52	0.19

2 *False alarms and Misses are not represented as their combination with facial expressions were*  
 3 *not observed*

4 \*  $p < 0.05$

5

6

1 Table VI: Example of matches found between facial expressions and screen problems.

<b>Time</b>	<b>Screen problem</b>	<b>Facial expressions</b>	<b>Example</b>
04:40	Layout/screen organization	Eye contact with the screen	Warning message appears, because the order to enter patient's blood pressure was inverse as it usually appears in clinical documents (i.e., systolic blood pressure/diastolic blood pressure), leading the participant to enter it wrong.
20:41 to 26:07	Time consumption	Eyebrows movement	A participant takes 5.15 minutes to enter the <i>haemogram, gasometry and biochemistry</i> reference values in the <i>clinical parameters</i> .
35:42	Unfamiliarity with interface	Verbal communication	Warning message appears because the participant did not enter the corridor length used for the six-minute walk test, not allowing the interface to calculate the distance walked by the patient.

2 *Time is expressed in (minutes:seconds).*

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## 1 SUMMARY

2 **Propose:** Usability testing is essential to optimise information systems and to ensure its  
3 functionality to end users. Computer screen videos (CSV) and users' facial expressions videos  
4 (FEV) are widely recommended methods to evaluate systems performance. However, software  
5 that combines both methods is often expensive and non-accessible in the clinical research  
6 field. The Observer XT software is commonly use in this field to assess human behaviours with  
7 accuracy. Thus, this study aimed to report on the combination of CSV and FEV (analysed with  
8 the Observer XT) to evaluate a graphical user interface.

9 **Methods:** Eight physiotherapists with experience in the respiratory field and without any  
10 previous contact with the interface entered clinical information in the system while their  
11 screens and facial expressions were video recorded. One researcher, blinded to the evaluation,  
12 analysed the frequency and duration of a list of behaviours (ethogram) in the FEV using the  
13 specialized software, Noldus The Observer XT 10.5. Another researcher, also blinded to the  
14 evaluation, analysed the frequency and duration of usability problems found in the CSV. The  
15 CSV timelines were also matched with the coded facial expressions to verify possible  
16 combinations.

17 **Results:** The analysis of the FEV revealed that the category most frequently observed in users  
18 behaviour was the eye contact with the screen ( $32\pm 9$ ) and verbal communication was the one  
19 with the highest duration ( $14.8\pm 6.9$  minutes). Regarding the CSV, 64 problems, (47/64; 73%)  
20 related with the interface and (17/64; 27%) related with the user, were found. Through the  
21 combination of both methods, a total of 135 usability problems were identified. The majority  
22 were reported by users' verbal communication (45.8%) and eye contact with the screen  
23 (40.8%). The "false alarms" and "misses" did not cause quantifiable reactions in the users and

1 the facial expressions problems were mainly related with the lack of familiarity (55.4%) felt by  
2 users when interacting with the interface.

3 **Conclusions:** The findings encourage the combined use of computer screens and facial  
4 expressions videos to improve the assessment of users' interaction with the system, as it may  
5 increase the systems effectiveness and efficiency. These methods should be further explored  
6 with correlational studies and be combined with other usability tests, to increase the  
7 sensitivity of usability systems and inform improvements according to users' requirements.

8