

# 1 Computerised respiratory sounds can differentiate smokers and non- smokers

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3 Ana Oliveira<sup>1,3</sup> MSc, PT, [alao@ua.pt](mailto:alao@ua.pt); Ipek Sen<sup>2</sup> PhD, EE, [ipekbounee@gmail.com](mailto:ipekbounee@gmail.com); Yasemin P.

4 Kahya<sup>2</sup> PhD, EE, [kahya@boun.edu.tr](mailto:kahya@boun.edu.tr); Vera Afreixo<sup>3,4</sup> PhD, Math, [vera@ua.pt](mailto:vera@ua.pt); Alda Marques<sup>1, 3</sup>

5 PhD, PT, [amarques@ua.pt](mailto:amarques@ua.pt)

6

7 1 Lab 3R – Respiratory Research and Rehabilitation Laboratory, School of Health Sciences

8 (ESSUA), University of Aveiro, Aveiro, Portugal

9 2 Department of Electrical and Electronics Engineering, Bogazici University, Istanbul, Turkey

10 3 Institute for Research in Biomedicine (iBiMED), University of Aveiro, Aveiro, Portugal

11 4 Institute of Electronics and Informatics Engineering of Aveiro (IEETA), Aveiro, Portugal

12

13 Corresponding author: Alda Marques, PT, MSc, PhD, Senior Lecturer, Lab 3R – Respiratory,

14 Rehabilitation and Research, School of Health Sciences, University of Aveiro (ESSUA), Agras do

15 Crasto - Campus Universitário de Santiago, Edifício 30, 3810-193 Aveiro, Portugal. Email:

16 [amarques@ua.pt](mailto:amarques@ua.pt)

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24

1 **ABSTRACT**

2 **Purpose:** Cigarette smoking is often associated with the development of several respiratory  
3 diseases however, if diagnosed early, the changes in the lung tissue caused by smoking may be  
4 reversible. Computerised respiratory sounds have shown to be sensitive to detect changes  
5 within the lung tissue before any other measure, however it is unknown if it is able to detect  
6 changes in the lungs of healthy smokers. This study investigated the differences between  
7 computerised respiratory sounds of healthy smokers and non-smokers.

8 **Methods:** Healthy smokers and non-smokers were recruited from a university campus.  
9 Respiratory sounds were recorded simultaneously at 6 chest locations (right and left anterior,  
10 lateral and posterior) using air-coupled electret microphones. Airflow (1.0-1.5 l/s) was recorded  
11 with a pneumotachograph. Breathing phases were detected using airflow signals and respiratory  
12 sounds with validated algorithms.

13 **Results:** Forty-four participants were enrolled: 18 smokers (*mean* age 26.2, *SD*= 7 years; *mean*  
14 *FEV*<sub>1</sub> % predicted 104.7, *SD*= 9) and 26 non-smokers (*mean* age 25.9, *SD*= 3.7 years; *mean* *FEV*<sub>1</sub>  
15 % predicted 96.8, *SD*= 20.2). Smokers presented significantly higher frequency at maximum  
16 sound intensity during inspiration [(*M*=117, *SD*= 16.2 Hz vs. *M*= 106.4, *SD*= 21.6 Hz; *t*(43) = -2.62,  
17 *p*=0.0081, *d*<sub>z</sub>=0.55)], lower expiratory sound intensities (maximum intensity: [(*M*= 48.2, *SD*= 3.8  
18 dB vs. *M*= 50.9, *SD*= 3.2 dB; *t*(43) = 2.68, *p*=0.001, *d*<sub>z</sub> =-0.78)]; mean intensity: [(*M*= 31.2, *SD*= 3.6  
19 dB vs. *M*= 33.7, *SD*= 3 dB; *t*(43) = 2.42, *p*=0.001, *d*<sub>z</sub> =0.75)] and higher number of inspiratory  
20 crackles (*median [interquartile range]* 2.2[1.7-3.7] vs. 1.5 [1.2-2.2], *p*=0.0081, *U*= 110, *r*=-0.41)  
21 than non-smokers.

22 **Conclusions:** Significant differences between computerised respiratory sounds of smokers and  
23 non-smokers have been found. Changes in respiratory sounds are often the earliest sign of

1 disease. Thus, computerised respiratory sounds might be a promising measure to early detect  
2 smoking related respiratory diseases.

3 **Key-words:** computerised auscultation; sound spectrum; crackles, early diagnosis; smoking

4 **Abstract word count:** 295

## 5 **INTRODUCTION**

6 Cigarette smoking is often associated with inflammation, obstruction and destruction of the lung  
7 parenchyma and airways [1]. These continuous aggressions to the lung tissue place smokers in  
8 a vulnerable position to acquire viral and bacterial respiratory infections (e.g., lower respiratory  
9 tract infections). If recurrent, respiratory infections potentiate progressive pathological changes  
10 in the small airways that may cause airflow obstruction and ultimately chronic respiratory  
11 diseases [2]. Nevertheless, it has been shown that initial changes in the pulmonary tissue caused  
12 by smoking may be reversible with optimal management [3].

13 The early diagnosis of most common chronic respiratory diseases is challenging, mainly due to  
14 the lack of sensitivity of the current outcome measures (e.g., chest X ray and lung function tests)  
15 [4,5]. Thus, it is essential to find sensitive measures to early detect changes in the lungs of  
16 smokers that may indicate respiratory complications.

17 Several studies have been reporting on the potential of computerised respiratory sounds to  
18 provide useful clinical information, as they are directly related to movement of air, changes  
19 within the lung tissue and position of secretions within the tracheobronchial tree [6-8]. Although  
20 few studies exist on the use of computerised techniques to acquire respiratory sounds in  
21 smokers, contradictory information is provided. Laird et al., (1974) [9] and Gavriely et al., (1994)  
22 [10] studied respiratory sounds of approximately 300 healthy smokers and non-smokers  
23 reporting the presence of “abnormal” sound spectrum and wheezes. Conversely, new evidence  
24 gathered by Gavriely et al., (1995) [11] and Gross et al., (2000) [12] indicates no differences

1 between the sound intensity of smokers and non-smokers. However, these studies focused  
2 mainly on normal respiratory sounds and little attention has been given to the presence of  
3 adventitious respiratory sounds (i.e., crackles and wheezes), which are often the earliest sign of  
4 disease, even before any changes being detected in imaging techniques [13]. Further research  
5 is therefore warranted.

6 This study investigated the differences between computerised respiratory sounds, normal and  
7 adventitious, of healthy smokers and non-smokers.

## 8 **METHODS**

### 9 **Study design and Participants**

10 A cross-sectional study with healthy smokers and non-smokers recruited from a university  
11 campus was conducted between March and May 2014. Participants were included if they were  
12 healthy non-smokers defined as life-long non-smokers, with no medical history suggestive of  
13 airway disease (i.e., no known diagnosis of a respiratory disease or chronic medication for the  
14 respiratory system) and normal spirometry. Healthy smokers were included using the same  
15 criteria as healthy non-smokers, except they were current smokers. Healthy non-smokers were  
16 excluded if they were past smokers. Participants, both smokers and non-smokers, were  
17 excluded if they were taking medication for the respiratory system, had an acute respiratory  
18 disease or presented any acute respiratory symptom (i.e., cough, dyspnoea, sputum) up to one  
19 month before data collection, presented chronic respiratory diseases, cardiac diseases,  
20 neurological impairments, current/previous history of pulmonary lobectomy, neoplastic disease  
21 or immunological disease and/or significant musculoskeletal disorders (e.g., kyphoescoliosis)  
22 that could affect respiratory acoustics.

23 Approval for this study was obtained from a national Ethics Committee in compliance with the  
24 Declaration of Helsinki. The study was advertised in flyers and distributed in the community.

1 Volunteers willing to participate contacted the researchers. In this first contact, researchers  
2 provided detailed explanations about the study, verified participants' eligibility and scheduled  
3 an appointment to perform data collection. Written informed consent was obtained from all  
4 individual participants included in the study, prior to any data collection.

#### 5 **Data collection procedures**

6 Socio-demographics (gender, age), anthropometric (height, weight and body mass index - BMI)  
7 and clinical (smoking habits in pack-years) data were collected first with a structured  
8 questionnaire. The activities limitation resulting from dyspnoea were assessed with the  
9 modified Medical Research Council questionnaire – mMRC [14]. Then, computerised respiratory  
10 sounds and lung function, assessed according to the European Respiratory Society guidelines  
11 [15], with a portable spirometer (Micro 1, CareFusion, Kent, UK), were recorded. The parameters  
12 extracted from the spirometer were the percentage predicted of forced expiratory volume in  
13 one second (FEV<sub>1</sub> % predicted), the percentage predicted of forced vital capacity (FVC %  
14 predicted) and the ratio between both (FEV<sub>1</sub> % predicted/FVC % predicted). All assessments  
15 were performed by two researchers in a standardised order.

16 Respiratory sounds and airflows were recorded simultaneously and synchronized via a multi-  
17 channel respiratory sounds data acquisition device custom-developed in the Lung Acoustic  
18 Laboratory at Bogazici University, Istanbul, Turkey (see [16] for the technical details of a five-  
19 channel version of the same device). The system included six air-coupled electret microphones  
20 (SONY ECM-44 BPT), a Fleisch type pneumotachograph (Validyne CD379, Northridge, Calif), an  
21 analog amplifier-filter unit, an analog-to-digital converter and a laptop computer running a  
22 graphical user interface program implemented in LabVIEW. Microphones, located into capsules  
23 made of Teflon [17], were attached on the participant's skin with adhesive tapes (CPK Roll-fix  
24 10cm-width) at six chest locations (right and left: anterior, lateral and posterior) [18].

1 The analog amplifier-filter unit used has an overall gain of 200 and a pass-band of 80 - 4000 Hz  
2 (a sixth-order Bessel high-pass filter with 80 Hz cut-off to filter out heart and muscle noise,  
3 followed by an eight-order Butterworth low-pass filter with 4000 Hz cut-off for anti-aliasing).  
4 The airflow signal was passed through a second order unity-gain Butterworth low-pass filter with  
5 a cut-off frequency of 35 Hz. The pre-processed analog data (six-channel sound signals and the  
6 airflow signal) were simultaneously converted into digital form via a data acquisition card (NI  
7 DAQCard-6024E) in 12-bit resolution and a sample frequency in each channel of 11025 samples  
8 per second, and transferred to the laptop computer. The recorded data were later converted to  
9 .wav format.

10 Each data acquisition session lasted for 20-seconds [19]. Environmental conditions were kept  
11 under tight control to prevent external noise during recordings. Participants were in a seated-  
12 upright position, wearing a nose clip and breathing through a mouthpiece at a standardised  
13 airflow (1.0-1.5L/s). Visual feedback regarding airflows and respiratory sounds was obtained via  
14 the graphical user interface program.

## 15 **Signal processing**

16 Firstly, breathing phases (i.e., inspiration and expiration) were automatically detected using the  
17 positive and negative portions of the flow signals recorded, and the sound signals were  
18 separated and labelled accordingly. Secondly, for each inspiration and expiration, the power  
19 spectra of the normal respiratory sound signals was estimated via the Welch's method adopting  
20 256-point Hamming windows with 50% overlap, and  $2^{14}$ -point fast Fourier transformation.  
21 Thirdly, for each estimated spectrum, the following parameters were calculated: percentile  
22 frequencies  $f_{25}$ ,  $f_{50}$ , and  $f_{75}$ , maximum intensity ( $I_{max}$ ), frequency at maximum intensity  
23 ( $F_{max}$ ), mean intensity over the whole frequency range ( $I_{mean}$ ), and the mean intensities over  
24 the following frequency intervals: 100-300 Hz, 300-600 Hz, 600-1200 Hz and 1200-2000 Hz.  
25 Finally, the values of each parameter calculated for inspirations were summed and divided by the

1 total number of inspirations; and the values of each parameter calculated for expirations were  
2 summed and divided by the total number of expirations. The sound intensities were calculated in  
3 dB, and the reference used was the baseline noise of the data acquisition system ( $1.5 \times 10^{-10}$   
4 W).

5 Crackles were detected using a validated algorithm based on the combination of fractal  
6 dimension and box filtering techniques [20]. Wheezes were detected using an algorithm based  
7 on time-frequency analysis [21]. The number of crackles, initial deflection width (IDW), largest  
8 deflection width (LWD), two-cycle duration (2CD), wheeze occupation rate and frequency (Hz)  
9 of crackles and wheezes per breathing phase were extracted. Crackle and wheeze detection  
10 were performed using Matlab®R2011a.

#### 11 **Statistical analysis**

12 Descriptive statistics were used to describe the sample. Socio-demographic and clinical  
13 characteristics between groups were compared with Independent Samples t-test for continuous  
14 normally distributed data ( $FEV_1$  % predicted/FVC % predicted ratio), Mann-Whitney U test for  
15 continuous non-normally distributed and ordinal data (age,  $FEV_1$  % predicted, FVC % predicted,  
16 BMI, pack-years, mMRC) and Fisher's exact test for categorical data (gender).

17 To simplify the interpretability of the findings, for each chest region (anterior, lateral and  
18 posterior), the results from the right and left locations were pooled by a simple pooling method  
19 (i.e., the individual values acquired from right and left locations were averaged and placed in the  
20 database under the headings of anterior, lateral and posterior, according to the region from  
21 which they have been taken and then the analysis comparing regions between smokers and non-  
22 smokers was performed as if the data of each region were derived from a single sample) [22,23].  
23 Then, the number of participants with crackles and wheezes in each chest region was calculated

1 and Fisher's exact test was used to investigate the groups' differences on the number of  
2 participants presenting crackles and wheezes.

3 Finally, comparisons between smokers and non-smokers at anterior, lateral and posterior chest  
4 regions were performed using Independent Samples t-test for normally distributed data (i.e.,  
5 normal respiratory sound parameters) and Mann-Whitney U test for non-normally distributed  
6 data (i.e., adventitious respiratory sound parameters). Bonferroni corrections were applied for  
7 the number of comparisons performed per respiratory sound parameter (i.e., 6 comparisons per  
8 respiratory sound parameter) between smokers and non-smokers. Thus, the level of significance  
9 was set at 0.0083.

10 Statistical analyses were completed with the estimation of effect sizes via Cohen's  $d$  for  
11 Independent Samples t-test [24] and  $r$  for Mann-Whitney U test [25]. Cohen's  $d_z$  effect sizes were  
12 interpreted as a small ( $\geq 0.2$ ), medium ( $\geq 0.5$ ) or large ( $\geq 0.8$ ) [24] and  $r$  as small ( $r \geq 0.2$ ), medium  
13 ( $r \geq 0.3$ ) or large ( $r \geq 0.5$ ) effects [24]. Statistical analyses were performed using IBM SPSS Statistics  
14 version 20.0 (IBM Corporation, Armonk, NY, USA) and plots created using Matlab®R2011a and  
15 GraphPad Prism version 5.01 (GraphPad Software, Inc., La Jolla, CA, USA).

## 16 **RESULTS**

### 17 **Participants**

18 Fifty-four volunteers agreed to participate in the study. However, 1 participant did not complete  
19 the assessment and 8 were excluded due to: asthma ( $n=1$ ), presence of acute respiratory  
20 symptoms (i.e., cough, sputum and fatigue) two days prior to data collection ( $n=1$ ) and past  
21 history of smoking habits ( $n=6$ ). One participant was posteriorly excluded from the data analysis  
22 due to poor quality of the sound recording (i.e., movement artefacts and external noise). In total,  
23 44 healthy participants were enrolled: 18 smokers (*mean* age 26.2, *SD*= 7 years; *mean* FEV<sub>1</sub> %  
24 predicted 104.7, *SD*= 9) and 26 non-smokers (*mean* age 25.9, *SD*= 3.7 years; *mean* FEV<sub>1</sub> %



1 predicted 96.8,  $SD= 20.2$ ). All participants presented normal body composition and lung  
2 function, however, smokers reported significantly higher levels of dyspnoea at the mMRC  
3 questionnaire (*median [interquartile range]* 0.5 [0-1] vs. 0 [0],  $U= 135$ ,  $p=0.002$ ,  $r=-0.47$ ). No  
4 differences were observed for the remaining variables (Table 1).

5 (Please insert table 1 about here)

## 6 **Computerised respiratory sounds**

7 Differences in normal respiratory sounds between smokers and non-smokers were only  
8 observed at the posterior region. Smokers presented significantly higher inspiratory  $F_{max}$   
9 [( $M=117$ ,  $SD= 16.2$  Hz vs.  $M= 106.4$ ,  $SD= 21.6$  Hz;  $t(43) = -2.62$ ,  $p=0.0081$ ,  $d_z =0.55$ )] and lower  
10 expiratory intensity, both  $I_{max}$  [( $M= 48.2$ ,  $SD= 3.8$  dB vs.  $M= 50.9$ ,  $SD= 3.2$  dB;  $t(43) = 2.68$ ,  
11  $p=0.001$ ,  $d_z =-0.78$ )] and  $I_{mean}$  [( $M= 31.2$ ,  $SD= 3.6$  dB vs.  $M= 33.7$ ,  $SD= 3$  dB;  $t(43) = 2.42$ ,  $p=0.001$ ,  
12  $d_z =0.75$ )] (Figure 1). Figure 2 shows the average spectrum of the respiratory sounds recorded  
13 from all participants at anterior, lateral and posterior chest.

14 (Please insert figure 1 about here)

15 (Please insert figure 2 about here)

16 Most participants presented at least one crackle per breathing cycle in all the assessed chest  
17 locations, however no differences were found between groups for the number of participants  
18 with crackles ( $p>0.05$ ). Significant differences in the number of crackles were found at the  
19 anterior/upper region, where smokers presented significantly higher number of inspiratory  
20 crackles (2.2 [1.8-3.7] vs. 1.5 [1.2-2.2],  $p=0.0081$ ,  $U= 110$ ,  $r=-0.41$ ) than non-smokers (Figure 3).  
21 No differences were found for the remaining crackle parameters. Fig. 4 shows a respiratory  
22 sound presenting a crackle acquired at posterior chest from a smoker participant.

1 (Please insert figure 3 about here)

2 (Please insert figure 4 about here)

3 Wheezes were observed in a reduced number of participants, mainly at the posterior regions of  
4 smokers [n= 10 (56%)]. No differences were found between groups regarding the number of  
5 participants with wheezes or the characteristics of wheezes ( $p>0.05$ ). Fig. 5 shows spectrograms  
6 of RS recorded at anterior chest from a smoker, presenting wheezes, and a non-smoker  
7 participants.

8 (Please insert figure 5 about here)

9 A detail description of normal respiratory sounds, crackles and wheezes characteristics in each  
10 group can be found in the online supplementary material.

## 11 **DISCUSSION**

12 According to the authors' best knowledge, this is the first study comparing normal and  
13 adventitious computerised respiratory sounds between healthy smokers and non-smokers. The  
14 main findings indicated that smokers present significantly higher inspiratory Fmax, lower  
15 expiratory sound intensity and higher number of inspiratory crackles than non-smokers.

16 Frequency at maximum power provides information on the frequency range of the sound  
17 activity [26], and its increase during histamine challenges is often related with airway narrowing  
18 in patients with asthma and COPD [27]. In the present study, such increase was already found  
19 during normal breathing manoeuvres in the posterior lower lung of very light smokers (i.e., less  
20 than 5 pack-years), which may indicate some degree of early bronchial constriction in the  
21 smaller airways of this population. Nevertheless, previous literature, has reported no  
22 differences in this variable between healthy and diseased populations [12,28,27]. The  
23 differences between these previous studies and the present one, may be explained by

1 methodologic and recruitment dissimilarities. It is known that  $F_{max}$  depends mainly on the cut-  
2 off frequency of the high-pass filter [12], which in the present study was lower (80Hz) than in  
3 the previous literature (100Hz) [28,27] in order to also analyse adventitious respiratory sounds  
4 [29]. Also, previous studies tended to have older populations [12,28] and to include light  
5 smokers in the non-smokers group [12]. The present results should however be interpreted with  
6 caution and further studies with similar standardised protocols are yet needed.

7 Maximum and mean respiratory sound intensities have been reported as an objective indicator  
8 of regional pulmonary ventilation, with high sound intensities indicating strong pulmonary  
9 ventilation [30,8]. Nevertheless, this variable is also dependent on the respiratory flows  
10 achieved during the breathing manoeuvre [30,8], and for that reason each participant's  
11 inspiratory and expiratory flow was standardized at 1-1.5 l/s. This respiratory flow should result  
12 in a lung volume of approximately 30% of vital capacity, which, in young subjects, is the lung  
13 volume at which all airways are open and when breath sound intensity corresponds to  
14 pulmonary ventilation [31]. In the present study, smokers presented lower  $I_{max}$  and  $I_{mean}$  than  
15 non-smokers for the same airflow, which might already indicate poorer pulmonary ventilation.  
16 Crackles have been associated with a variety of lung diseases, such as pulmonary fibrosis,  
17 congestive heart failure and pneumonia [7,32] and one recent systematic review has indicated  
18 that particularly inspiratory crackles are often reported in the computerised auscultation of  
19 patients with COPD [33]. This is particularly relevant for the present study, as smokers presented  
20 significantly more inspiratory crackles than non-smokers. It is known that tobacco smoking is  
21 the leading cause of COPD [34], and thus the detection of inspiratory crackles in smokers may  
22 be a promising method for the early diagnosis of this disease. Nevertheless, these results should  
23 be interpreted with caution, as no significant differences in the number of crackles were found  
24 between smokers and non-smokers in the study of Alzahrani, M. (2011)[35]. There are  
25 methodological reasons that might explain the dissimilarities observed. In the study of Alzahrani,  
26 M. (2011), the airflow was not controlled, respiratory sounds were acquired with a digital

1 stethoscope and crackles were analysed with the Vannuccini, et al (1998)[36] algorithm,  
2 whereas in the present study, airflow was controlled, respiratory sounds were acquired with a  
3 multichannel microphones equipment and crackles were analysed with Pinho, et al  
4 algorithm[20]. Therefore, these methodological options may have influenced the results and  
5 consequent differences observed. More research with larges samples, respiratory volume/flow  
6 control and similar acquisition and analysis methods are needed to draw stronger conclusions  
7 about crackles ability to distinguish between smokers and non-smokers.

8 The absence of wheezes in the present study was expected as it is known that the effects of  
9 smoking are firstly detected in the smaller airways [37] and wheezes are only generated by  
10 airflow limitation in larger airways (until the 7<sup>th</sup> generation of the tracheobronchial tree) [38].  
11 Nevertheless, it should also be noticed that wheezes might occur when there is flow limitation,  
12 but flow limitation is not necessarily accompanied by wheezes [38], and thus it could have  
13 happened that the respiratory flow used in this study was not enough to generate wheezes.  
14 Studies involving forced manoeuvres and higher flow levels are needed to further explore the  
15 presence of wheezes in smokers.

16 The mechanisms for changes in respiratory sounds caused by tobacco smoking are not well  
17 understood however, it may be attributed to the development of inflammatory processes in the  
18 smaller airways. Tobacco smoke contains toxic gases which when inhaled into the lungs might  
19 originate both local and systemic inflammatory responses by stimulating alveolar macrophages  
20 to release inflammatory mediators [1]. Consequently, these macrophage cells release protease  
21 to break down connective tissue in the lung parenchyma and also stimulate mucus  
22 hypersecretion around damaged tissue, ultimately leading to airway obstruction and  
23 emphysema. Airway obstruction is likely to affect the distribution and extent of turbulence and  
24 flow within the bronchi, causing poor regional ventilation, and consequently lower respiratory  
25 sound intensity [11]. Emphysema might have an impact on the air movement in the distal

1    airways causing some of them to close and open irregularly depending on the air volume,  
2    generating crackles.

3    Differences in sound amplitude and in the number of crackles are often audible by the human  
4    ear in severe respiratory conditions, such as pneumonia and interstitial lung diseases [30].  
5    Although statistically significant and with medium effect sizes, the differences between smokers  
6    and non-smokers were of approximately 2dB and 1 crackle per breathing cycle which would  
7    probably not be detected by human ear. This highlights the potential of using computerised  
8    respiratory sounds especially in primary care settings for the early diagnosis of respiratory  
9    diseases among smokers.

10    It should also be noticed that smokers already presented some degree of activities limitation  
11    resulting from dyspnoea. Dyspnoea is the most common symptom limiting activities  
12    performance and the major reason for referral to respiratory rehabilitation programs in patients  
13    with chronic respiratory diseases [39]. The fact that such light and young smokers already exhibit  
14    this symptom should be a call for early intervention in educational fields (i.e., universities,  
15    colleges, high schools) to advice for the effects of tobacco smoking. Further studies involving  
16    dyspnoea reports during exercise are needed to confirm this finding.

17    This study has some limitations that need to be acknowledged. Firstly, respiratory sounds from  
18    right and left regions were pooled to compute comparisons. Differences in lung acoustics  
19    between right and left lung are well documented in the literature [26,40,41], however the main  
20    aim of this study was to detect differences between two populations and not to establish  
21    reference values. Thus, because the same methodology was used for both groups, it is not  
22    believed that this could have affected the results. Nevertheless, health professionals should be  
23    aware of these differences when assessing patients in clinical practice. Moreover, the three  
24    chest regions were studied separately however, it is still unknown if the same sound was being  
25    captured in the different regions, as respiratory sounds were collected simultaneously. This

1 could have influenced our findings. Nevertheless, this methodology was used in both groups and  
2 this is a relatively unexplored area where further research is yet needed to understand its clinical  
3 implications.

4 Secondly, “healthy smokers” were selected based on a pure clinical definition and although this  
5 criteria has been used by others [11,42,35], it is recognised that it presents some limitations, as  
6 it cannot be guaranteed that these participants do not have an undiagnosed respiratory  
7 condition or will not develop one in the near future. However, smoker participants were very  
8 light smokers compared to those enrolled in previous research (pack-years 2.2 [0.9-5.5] vs.  
9  $25 \pm 17$  [11] vs.  $5.6 \pm 4.9$  [42]), and presented similar spirometry values than non-smokers, which  
10 provides confidence to rely that a respiratory condition was absent.

11 Thirdly, many comparisons were conducted on the respiratory data and this could have  
12 increased type I error, i.e., rejecting the null hypothesis when it should not be rejected. However,  
13 because comparisons were only carried out between smokers and non-smokers (no  
14 comparisons were conducted among regions and respiratory phases within each group), we  
15 believe that the likelihood of increasing this error was controlled. Nonetheless, Bonferroni  
16 corrections have also been applied to control for this type of error.

17 Fourthly, the sample size used in this study was exploratory and might not have been sufficient  
18 to detect truly significant changes between smokers and non-smokers in other parameters.  
19 Thus, studies with sample size estimations are needed. This exploratory study is a first step  
20 towards the use of computerised respiratory sounds in the screening of respiratory diseases  
21 among smokers and could be used as a pilot study to compute sample sizes in future studies.

22 Finally, the complex set up used to record respiratory sounds and airflow may be perceived as a  
23 limitation to the use of computerised respiratory sounds in the clinical practice, namely in

1 primary care settings. Future research should focus in developing technologies for acquiring high  
2 quality data at bedside with minimal setup.

### 3 **CONCLUSION**

4 Computerised respiratory sounds allowed to detect differences in the sound intensity and  
5 number of crackles of healthy smokers and non-smokers, indicating that light smokers already  
6 present significantly lower sound intensity and higher number crackles than non-smokers. Thus,  
7 it can be suggested that computerised respiratory sounds may be successfully implemented in  
8 clinical practice as a useful method for early diagnosis smoking related respiratory diseases.

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14 **Ethical approval:** "All procedures performed in studies involving human participants were in  
15 accordance with the ethical standards of the institutional and/or national research committee  
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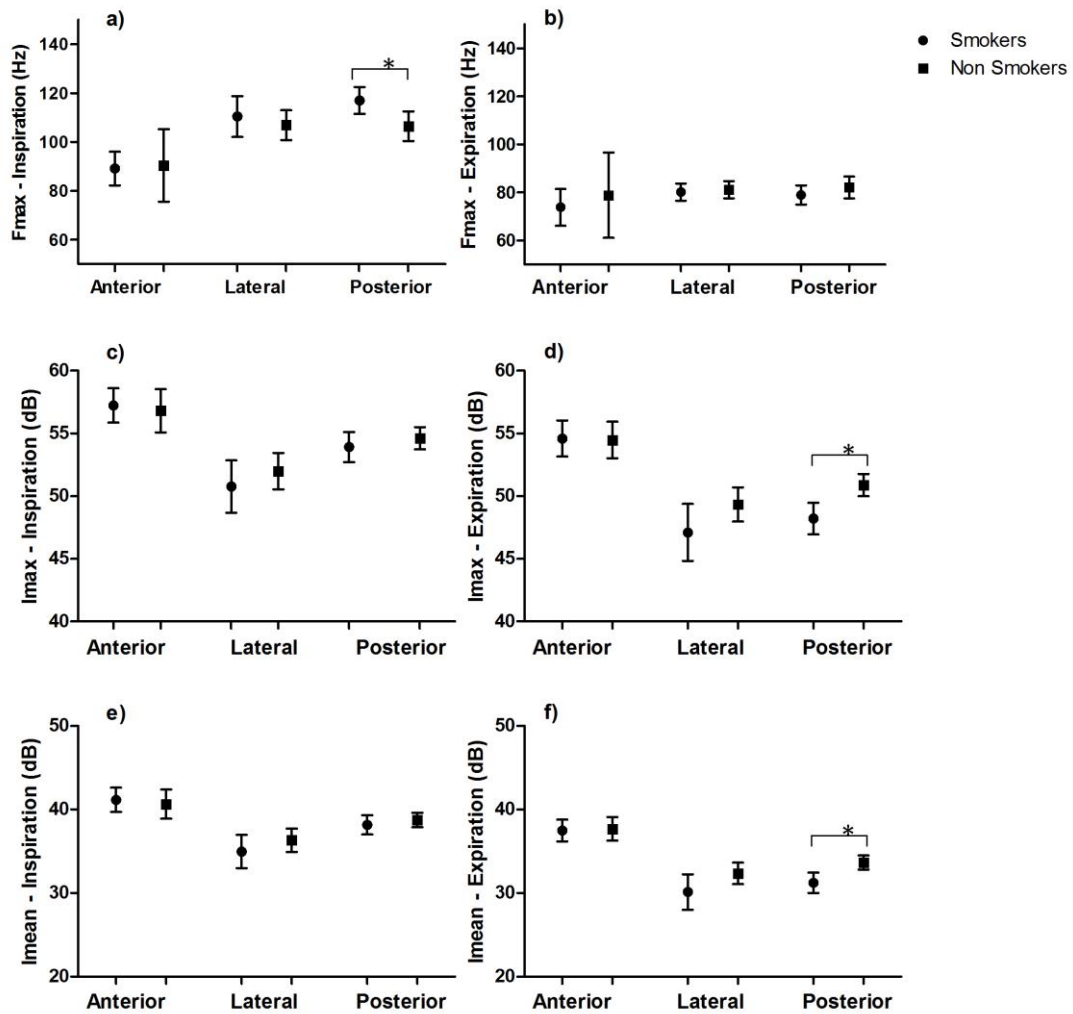


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11

1 **Figures captions**



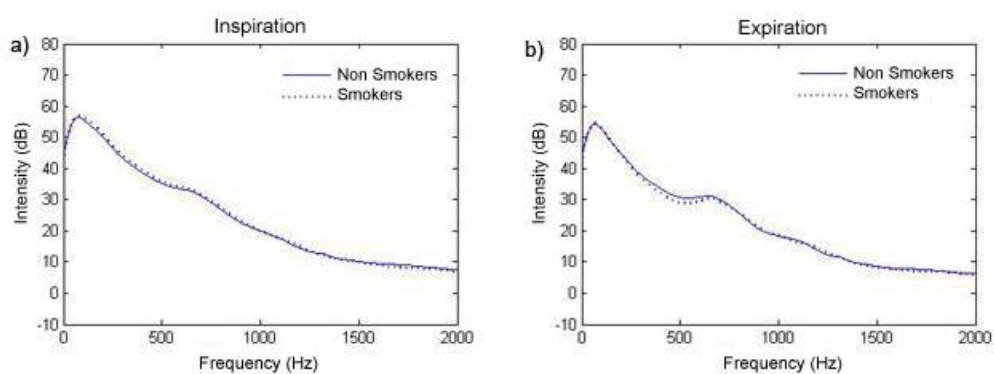
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3 **Fig. 1** – Differences in normal computerised respiratory sounds at anterior, lateral and posterior  
 4 lung between smokers and non-smokers at 100-2000Hz bandwidth. a) Inspiratory Fmax; b)  
 5 Expiratory Fmax; c) Inspiratory Imax; d) Expiratory Imax; e) inspiratory Imean; f) expiratory  
 6 Imean. Data are presented as mean and standard deviation. Significant differences are identified  
 7 with \* ( $p < 0.05$ ). Fmax - frequency at maximum intensity; Imax – maximum intensity; Imean –  
 8 mean intensity.

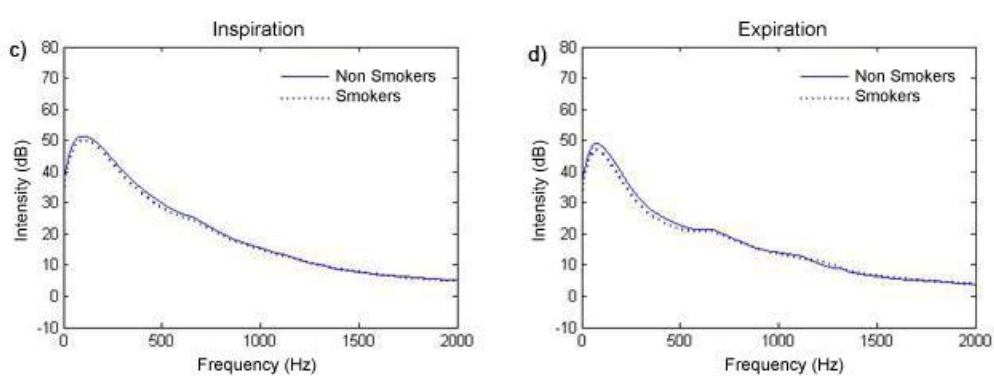
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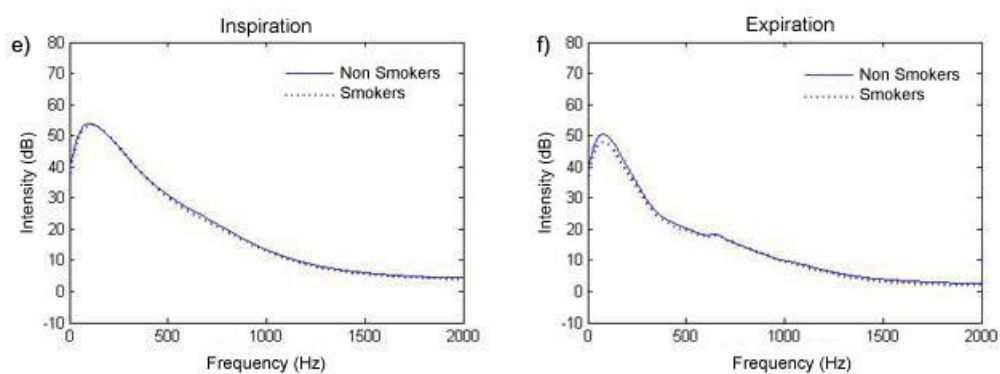
## Anterior



## Lateral



## Posterior

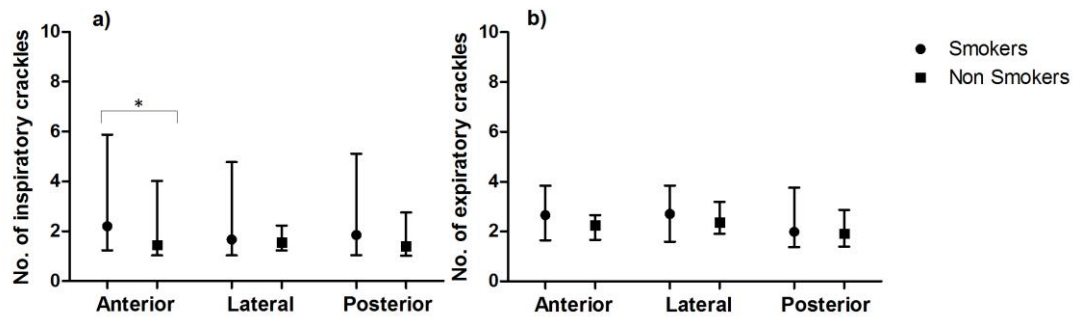


1

2 **Fig. 2** – Average spectrum of the respiratory sounds recorded from all participants at anterior

3 (a, b), lateral (c, d) and posterior (e, f) chest during inspiration (a, c, e) and expiration (b, d, f).

4

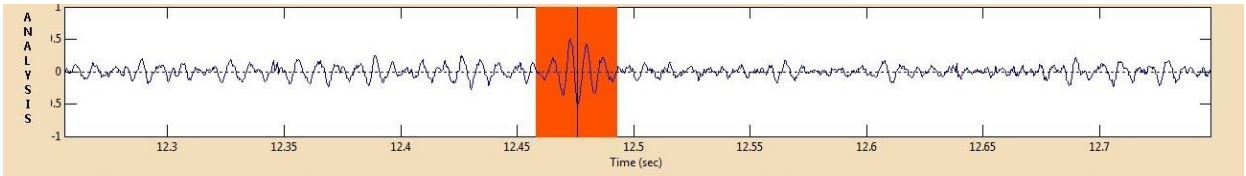


1

2 **Fig. 3** – Differences in the number of crackles at anterior, lateral and posterior lung between  
 3 smokers and non-smokers. a) Mean number of inspiratory crackles; b) Mean number of  
 4 expiratory crackles. Data are presented as median and interquartile range. Significant  
 5 differences are identified with \* ( $p < 0.05$ ).

6

7



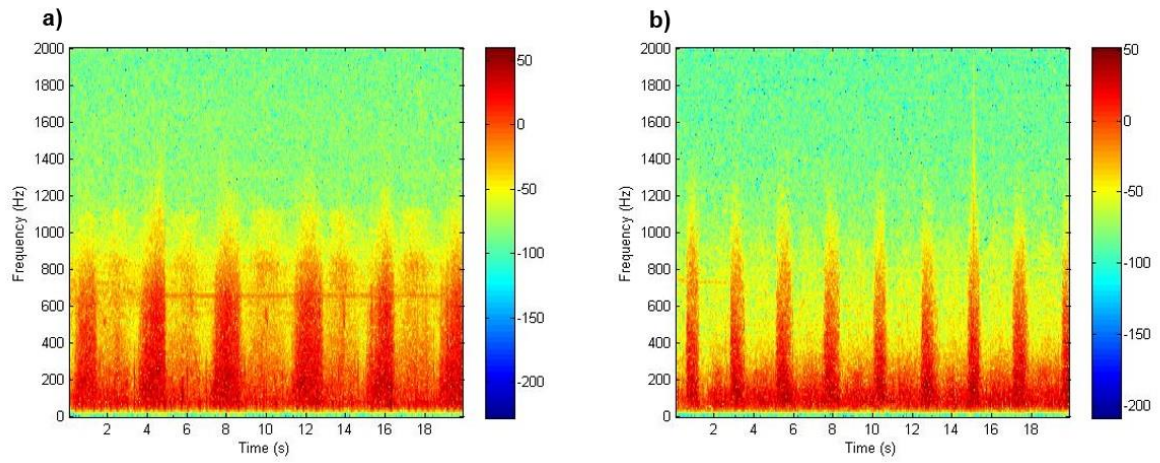
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2 **Fig. 4** – Time amplitude plot of a respiratory sound presenting an expiratory crackle, recorded

3 at posterior chest from smoker.

4

5



1

2 **Fig. 5** – Spectrogram of respiratory sounds recorded at anterior chest from (a) a smoker

3 participant presenting expiratory wheezes and (b) a non-smoker participant.

4

1 **Table captions**

2 Table 1 - Socio-demographic, anthropometric and clinical characteristics of the participants

3 (n=44).

Characteristics	Smokers (n=18)	Non-smokers (n=26)	p
Age (years)	26.2 (7)	25.9 (3.7)	0.40
Gender (male), n(%)	11(61%)	18(69%)	0.75
Pack-years, M[IQR]	2.2 [0.9-5.5]	-	-
mMRC, M[IQR]	0.5 [0-1]	0 [0]	0.002*
BMI (kg/m <sup>2</sup> )	23.5 (3.3)	22.3 (2.3)	0.29
FEV <sub>1</sub> (% predicted)	104.7 (9)	96.8 (20.2)	0.11
FVC (% predicted)	102.5 (9.3)	100.1 (10.2)	0.53
FEV <sub>1</sub> /FVC (% predicted)	105.1 (7.4)	103.5 (7.2)	0.62

4 Values are shown as mean (standard deviation) unless otherwise indicated.

5 mMRC, modified British Medical Research Council questionnaire; M, median; IQR, interquartile range; BMI, body mass index; FEV<sub>1</sub>,

6 forced expiratory volume in one second; FVC, forced vital capacity; \* statistical significance for p<0.05

7