



## Redesign of a Grignard-Based Active Pharmaceutical Ingredient (API) Batch Synthesis to a Flow Process for the Preparation of Melitracen HCl

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1 Redesign of a Grignard-Based API Batch Synthesis  
2 to a Flow Process for the Preparation of Melitracen  
3 HCl

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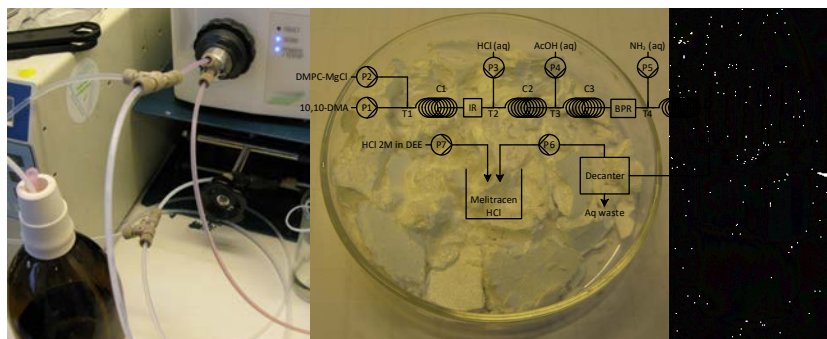
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8

## 9 Synopsis



10

11

12 **Abstract**

13 A Grignard-based batch process, for the preparation of Melitracen HCl, has been redesigned to  
14 fit a continuous reactor system. The Grignard addition is carried out at room temperature, with  
15 subsequent hydrolysis of the magnesium alkoxide intermediate followed by dehydration of the  
16 resulting alcohol. The product is further worked-up by simple gravimetric phase separation and  
17 then crystallized with 2 M HCl in diethyl ether to afford pure Melitracen HCl. All steps in the  
18 laboratory setup were concatenated and the setup was proven capable of producing a significant  
19 portion of the commercial quantities of Melitracen HCl. The flow setup profits from a reduced  
20 footprint, lower energy consumption, fewer synthetic steps and reduced raw material usage  
21 compared to the batch process.

22 **Keywords:** Grignard alkylation, Flow chemistry, API synthesis, liquid phase separation.

23

## 24 **Introduction**

25 The efficiency of the pharmaceutical industry has been a widely discussed topic throughout the  
26 past decade. The debate has been broad, ranging from early target drug development to the actual  
27 production and distribution of pharmaceuticals.<sup>1-6</sup> Expiring patents and empty pipelines have  
28 forced pharmaceutical companies to look for alternative methods to remain competitive against  
29 generic manufacturers.<sup>7-9</sup> Furthermore, the industry has one of the highest solvent-to-carbon  
30 ratios,<sup>10</sup> which in combination with the fact that most of these solvents have high environmental  
31 impacts has given the industry a somewhat damaged reputation.<sup>5,10-13</sup> In addition, the authorities  
32 have steadily increased the tightening of legislative requirements for pharmaceutical  
33 manufacturing, in both development and production.<sup>3,5</sup>

34 With respect to the production of active pharmaceutical ingredients (APIs), the focus has  
35 especially been on batch methods and their insufficiency, especially their mass and heat transfer  
36 properties.<sup>14,15</sup> As early as the 1970s, Popov<sup>16</sup> suggested continuous manufacturing as a method  
37 for improving the efficiency of pharmaceutical production. However, it was not until the last  
38 decade that progress was seen. The establishment of the pharmaceutical round table and the  
39 increased interest from academia and industry have been driving the transformation  
40 forwards.<sup>4,5,17-20</sup> The authorities have since 2002 acknowledged new production methods and  
41 strategies within manufacturing. Process analytical technology (PAT) approaches and  
42 Quality-by-Design (QbD) concepts have been important factors in the acceptance of continuous  
43 manufacturing by the authorities.<sup>9,21,22</sup>

44 Earlier publications concerning the new paradigm of pharmaceutical manufacturing often  
45 focused on single synthesis steps and unit operations, often with the use of microreactor  
46 technology.<sup>23,24</sup> Later trends have changed the focus towards multiple synthesis steps,

47 pharmacy-on-demand and end-to-end manufacturing.<sup>17-19,25</sup> As the trend has moved from single  
48 step to end-to-end manufacturing,<sup>18</sup> the previous out-scaling concept<sup>23</sup> of microreactors has also  
49 been replaced by mini-scale flow systems.<sup>18,20,26-28</sup> The scale-up of a continuous setup needed to  
50 meet full-scale requirements is often minor; hence the benefits such as mass and heat transfer are  
51 almost comparable to microreactor technology.<sup>15</sup>

52 Reactions having multiple phases still pose a significant challenge within flow chemistry.<sup>29-31</sup>  
53 Flow reactors are known for being poor at handling solid material due to clogging issues, with  
54 some exceptions such as packed bed reactors with fixed catalytic material. Breakthroughs for  
55 flow reactors that can handle solid reactants or products have within recent years been  
56 demonstrated, such as the desulfurization of substituted thioimidazoles by Baxendal *et al.*<sup>32</sup>, the  
57 powder dosing unit for a CSTR demonstrated by Hu *et al.*<sup>33</sup> and precipitation in flow  
58 demonstrated by Baxendal *et al.*<sup>34</sup>

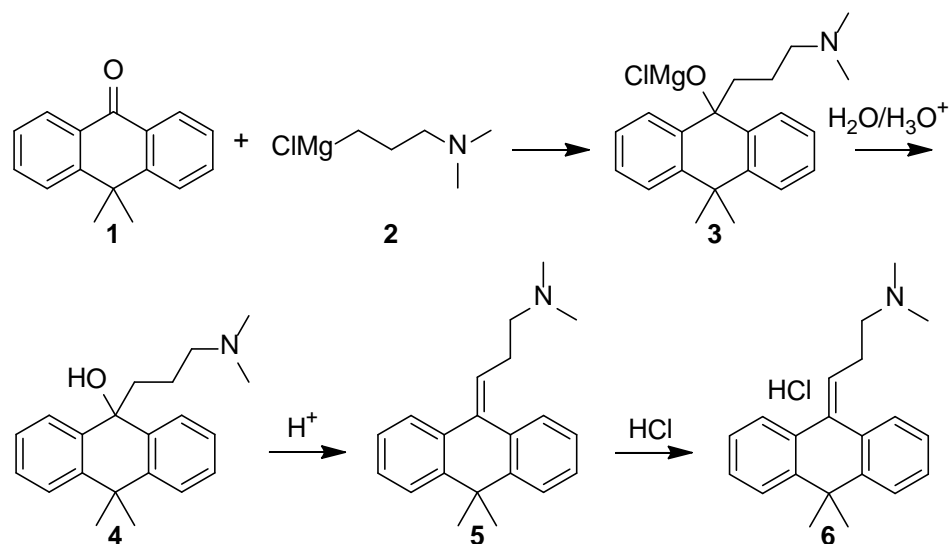
59 The pharmaceutical industry is notorious for their usage of solid compounds, either as  
60 reactants, intermediates or APIs.<sup>29,31</sup> Low solubility is often a huge obstacle for applying the  
61 chemistry to a flow setup, unless alternative methods are applied.<sup>2,31</sup> Solubility is one of the key  
62 parameters when designing a reactor setup and an instructive discussion may be found in  
63 Pedersen *et al.*<sup>20</sup> In cases of high solubility, the simple use of a plug flow reactor (PFR) can be  
64 applied, often with great success and larger throughput.<sup>28,35</sup> The challenging part then becomes  
65 the purification of the product from impurities and unreacted reactants, as well as the final  
66 isolation of the product. Many old batch processes utilize the benefits of precipitation as a  
67 purification step, hence altering an old batch process to fit a flow setup requires new ways to  
68 overcome these challenges.<sup>2,20,29</sup>

69 Grignard reactions serve as a commonly used method for the formation of carbon-carbon  
70 bonds in the development of APIs<sup>36,37</sup>. The exothermic behavior of the Grignard reaction makes  
71 it ideal for continuous production. Several demonstrations of Grignard reactions in flow have  
72 been done within the last decade: Kopach *et al.*<sup>38,39</sup> demonstrated the use of a CSTR technology;  
73 Pedersen *et al.*<sup>20,26,40</sup> demonstrated the use of a heterogeneous slurry filter reactor; Mateos *et al.*<sup>41</sup>  
74 studied the formation of ketone by nucleophilic Grignard addition to nitril groups by use of flow  
75 methods; Lonza<sup>42-44</sup> has demonstrated the use of micro reactor technology.

## 76 **Chemistry**

77 As illustrated in Scheme 1, four synthetic steps are involved in the manufacturing of  
78 Melitracen HCl (**6**). The four steps are a classic Grignard addition to a ketone, a hydrolysis of a  
79 magnesium alkoxide, a dehydration of an alcohol and a salt precipitation to isolate the API. The  
80 Grignard addition is between 10,10-dimethylanthrone (10,10-DMA (**1**)) and  
81 3-(*N,N*-dimethylamino)propylmagnesium chloride (DMPC-MgCl (**2**)), resulting in formation of  
82 the magnesium alkoxide **3**. The magnesium alkoxide **3** is then hydrolyzed to the alcohol **4** and  
83 dehydrated to form product **5**. The last step is a crystallization of the API as a salt, where HCl is  
84 added to obtain the Melitracen HCl (**6**).

85 **Scheme 1:** Syntheses of magnesium alkoxide **3**, alcohol **4** and dehydrated product **5** in the  
86 manufacturing process of Melitracen HCl **6**, from ketone **1** and Grignard reagent **2**.

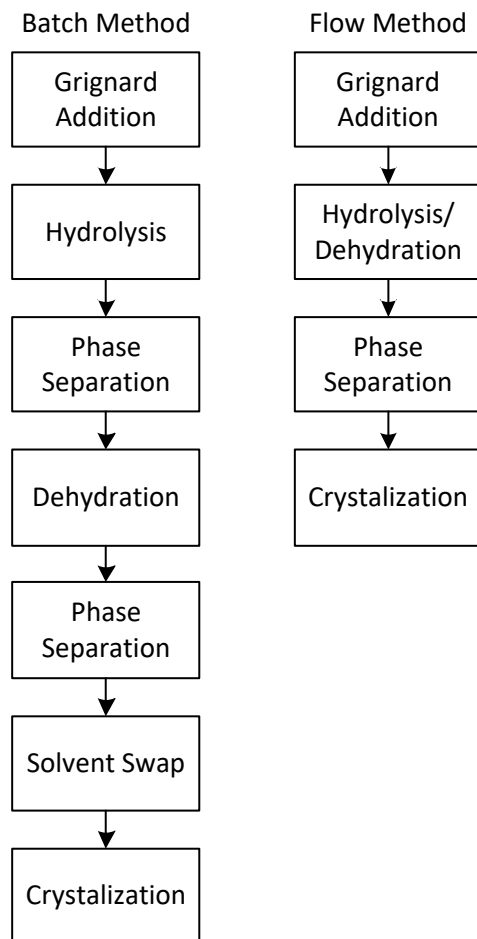


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## 88 Current Batch Synthesis

89 The current batch synthesis involves individual synthetic steps, as illustrated in Figure 1.  
 90 DMPC-MgCl **2** is made in-house before it is used, due to its limited storage shelf life, in a  
 91 toluene-THF solvent mixture. THF is present in trace amounts in order to stabilize the  
 92 magnesium in the Grignard reagents.<sup>45</sup> A solution of 10,10-DMA **1** is prepared in toluene and is  
 93 slowly transferred to the DMPC-MgCl **2**, maintaining a temperature of 50°C. DMPC-MgCl **2** is  
 94 used in an equivalence of 1.6 compared to 10,10-DMA **1**. The formed magnesium alkoxide **3** is  
 95 hydrolyzed with water and acetic acid (80%). The aqueous phase is discarded and concentrated  
 96 hydrochloric acid (37%) is used to dehydrate alcohol **4** to form dehydrated product **5**. Toluene is  
 97 replaced with ethanol by a solvent swap. Crystallization of the dehydrated product **5** from the  
 98 ethanol phase is done with HCl gas to obtain the final Melitracen HCl (**6**), which is subsequently  
 99 isolated by filtration.





100

101 **Figure 1:** The operational steps involved in the current batch method and the simplification  
 102 achieved by the flow setup.

103 **Investigational Strategy**

104 The API manufacturing strategy at H. Lundbeck A/S is focused on continuous production.  
 105 Melitracen HCl synthesis currently occupies significant production facilities and is produced by  
 106 routine batch synthesis procedures. The process shows potential for being redesigned to fit a  
 107 continuous reactor setup, with potential for significant simplification of the operation and the  
 108 synthetic route. This article describes the laboratory work for redesigning the process to fit a  
 109 continuous reactor setup for the Grignard addition to the final Melitracen HCl crystallization.

## 110 **Experimental Section**

### 111 **Screening Experiments**

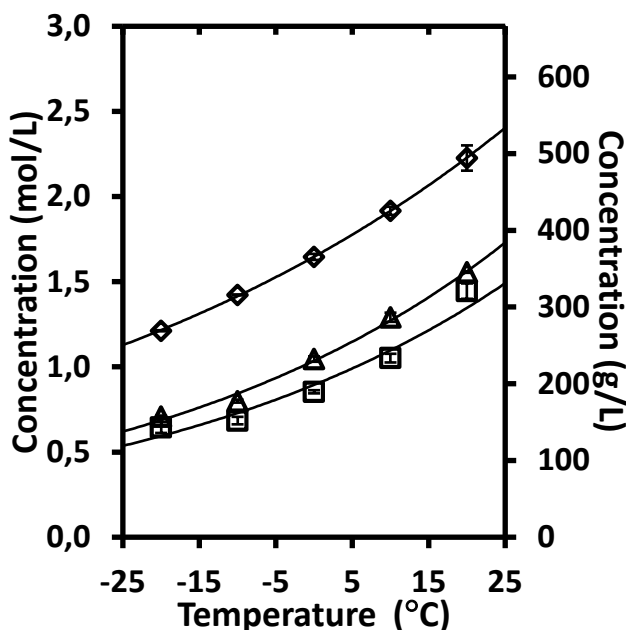
112 The routine batch synthesis for production of Melitracen HCl **6** was considered suitable for  
113 redesign into a flow process, as most of the synthetic steps are categorized as fast reactions.<sup>31</sup>  
114 The current batch methods could possibly be transferred directly into a flow setup, providing the  
115 common benefits achieved when changing from batch to continuous processing. However,  
116 additional savings could potentially be achieved with the flow setup if simplifications of aspects  
117 such as the solvent choice and synthetic steps were possible. Classic batch screening experiments  
118 were conducted to assist in the decision on and design of a flow setup and, based on these  
119 experiments, the flow setup decided on was to be experimentally verified afterwards.

### 120 **Solubility of Reactants and Products in Solvents**

121 The first consideration in the process for redesigning Melitracen HCl **6** synthesis is the  
122 solubility of reactants, intermediates and products. Solubility is one of the key parameters when  
123 designing a reactor setup. The primary focus was on the Grignard addition step, where reactants  
124 10,10-DMA **1**, DMPC-MgCl **2** and magnesium alkoxide product **3** are of interest. DMPC-MgCl  
125 **2** already has a high solubility and was not tested further. 10,10-DMA **1** is a solid starting  
126 material and needs to be dissolved before it can react with DMPC-MgCl **2**. The solubility of  
127 10,10-DMA **1** should therefore be tested in potential solvents and at different temperatures.  
128 Magnesium alkoxide **3** is not easily isolated, as the magnesium halide part easily reacts with  
129 water and moisture. Instead of determining the exact solubility of magnesium alkoxide **3**, a  
130 qualitative first estimate of its capability to stay in solution could be sufficient. The requirement  
131 is, of course, that the concentration of magnesium alkoxide **3** in the reaction mixture is

132 representative of the concentrations of the 10,10-DMA **1** and DMPC-MgCl **2** intended for the  
133 synthesis. The later synthetic steps should be tested accordingly for solubility where necessary,  
134 since low solubility in these steps could require a lower concentration of 10,10-DMA **1** and  
135 DMP-MgCl **2** to have a fully operational flow setup from start to end of the synthesis.

136 The solubility experiments on 10,10-DMA **1** focused on three solvents to be verified: toluene,  
137 tetrahydrofuran (THF) and 2-methyltetrahydrofuran (MeTHF), all of which are suitable  
138 candidates for later full-scale production. The solubility temperature was tested up to 20°C,  
139 which is to be considered the high limit due to ambient temperatures if no heat tracing should be  
140 applied to pumps and pipes. Figure 2 shows the solubility of 10,10-DMA **1** in the three solvents,  
141 where THF shows a significantly higher solubility than toluene or MeTHF.



142  
143 **Figure 2:** The solubility of 10,10-DMA **1** in toluene ( $\square$ ), THF ( $\diamond$ ) and MeTHF ( $\triangle$ ). The  
144 10,10-DMA **1** has high solubility even at low temperatures in the tested solvents. The solubility  
145 in THF is significantly higher compared to MeTHF and Toluene (approximately 100 g/L more  
146 10,10-DMA **1**).

147 The significantly higher solubility of 10,10-DMA **1** in THF makes it obvious to use THF. If  
148 toluene were to be used as in the batch process, trace amounts of ether would still be needed to  
149 stabilize the magnesium in DMPC-MgCl **2**.

150 The concentration of 10,10-DMA **1** in THF was set to the lower side of 20°C (1.8 mol/L, 400  
151 g/L) to minimize the risk of precipitation while operating a flow setup. The DMPC-MgCl **2** was  
152 available at approximately 1.5 M concentration in THF from the production and it was decided  
153 to proceed with this concentration. A couple of quick qualitative batch experiments were carried  
154 out to verify whether the magnesium alkoxide **3** could remain soluble in the reaction mixture, as  
155 it was not possible to isolate the unstable magnesium alkoxide **3** for a solubility study. These  
156 experiments came out positive for the desired concentrations of 10,10-DMA **1** and DMPC-MgCl  
157 **2** and no further testing of the solubility of magnesium alkoxide **3** was found necessary.

#### 158 **Phase Separation: Organic Phase and Aqueous Waste**

159  
160 A batch experiment, representing the expected concentration for the flow setup, was used to  
161 verify the potential for phase separation of THF from the aqueous phase. The DMPC-MgCl **2**  
162 was slowly added in excess amounts with a dripping funnel to a round-bottom flask of the  
163 10,10-DMA **1** solution. The mixture was afterwards hydrolyzed with water and acetic acid  
164 (80%). The addition of the acid caused the pH of the mixture to become slightly acidic (pH ~6)  
165 and an one-phase mixture was achieved. The pH was adjusted with aqueous ammonia (25%) and  
166 at pH 8 a two-phase mixture appeared. Alcohol **4** was distributed with 63% in the organic phase  
167 and 37% in the aqueous, according to HPLC assay. Adjusting the pH in the aqueous phase to 10  
168 with additional aqueous ammonia (25%) resulted in an additional organic phase, with less than  
169 1% alcohol **4** left in the aqueous phase.

170 Alcohol **4** in the organic phase was then dehydrated with hydrochloric acid (37%), followed by  
171 adjustment of the pH to 10 with aqueous ammonia (25%). Adjusting the pH to 10 allowed a  
172 phase separation with more than 99% of the product in the organic phase and with a ~99% purity  
173 of the dehydrated product **5**. During the hydrolysis and dehydration, a minor precipitation of  
174 solid material was formed that easily dissolved as the reaction progressed and should therefore  
175 not be a major concern for a flow setup.

176 At  $\text{pH} \geq 10$  the tertiary amine is completely deprotonated, causing the products **4** and **5** to  
177 become almost insoluble in water, thereby achieving excellent separation. At  $\text{pH} \leq 10$  the tertiary  
178 amine becomes protonated and is soluble both in the aqueous and organic phase. If a clean phase  
179 separation had not been possible, changing the synthesis solvent to MeTHF could have  
180 simplified the workup of the products **4** or **5** from the aqueous phase, as MeTHF is not miscible  
181 with water.

### 182 **One-Step Hydrolysis and Dehydration**

183 The ability to phase separate both the alcohol **4** and the dehydrated product **5** in THF enabled a  
184 simplification of the targeted flow method. Ideally, hydrolysis and dehydration should be  
185 possible in one step, hence saving a phase separation and combining two synthetic steps into one.  
186 Screening for a potential acid for the one-step hydrolysis and dehydration was done, focusing on  
187 acetic acid and hydrochloric acid, either separately or in combination. Table 1 shows the results  
188 of the product formation based on the different acid systems.

189 **Table 1:** Screening of different acids for direct hydrolysis and dehydration of the magnesium  
190 alkoxide **3** to the dehydrated product **5**.

Acid Solution	Product (%)	Phase Separation (%)
HCl 37% (aq.)	Dehydrated <b>5</b> (100%)	>99

AcOH 80% (aq.)	Alcohol <b>4</b> (100%)	>99
HCl 37% (aq.)/AcOH 80% (aq.) (1:1)	Dehydrated <b>5</b> (90%) Alcohol <b>4</b> (10%)	>99

191

192 As seen in Table 1, only hydrochloric acid was able to hydrolyze and dehydrate the  
193 magnesium alkoxide mixture in one step. The experiment with hydrochloric acid resulted in  
194 significant heat development and an immediate precipitation of solids that potentially could be  
195 critical, even though it dissolved within a few minutes. An additional set of screening  
196 experiments was done to verify the potential of a lower concentration of hydrochloric acid.  
197 These experiments were carried out to verify whether the immediate precipitation of solid could  
198 be avoided and whether the energy released from the hydrolysis and dehydration could be  
199 distributed, as both steps are exothermic. Equal volumes of hydrochloric acid with different  
200 concentrations (1, 3, 6, 9 and 12 M) were used. For the concentrations lower than 6 M, it was not  
201 possible to achieve full dehydration at ambient temperature. For the concentrations equal to 6 M  
202 and higher, full dehydration was obtained, but all concentrations resulted in precipitation of a  
203 white solid that dissolved after few minutes of standing. From a production and environmental  
204 perspective, the more concentrated hydrochloric acid is the optimal choice; less aqueous waste is  
205 generated if the acid used is stoichiometric. Given the fact that precipitation could not be avoided  
206 and the production perspective, it was decided to proceed with 12 M hydrochloric acid.

#### 207 **Precipitation of Melitracen HCl from THF**

208 The dehydrated product **5** was crystallized as the final HCl salt in the THF in a batch  
209 experiment, in order to remove a solvent swap to ethanol. The crystallization was carried out  
210 with 2 M HCl in Et<sub>2</sub>O, as this was considered more suited for a later flow process and more  
211 easily implemented in the laboratory setup. An equivalence of 1.1 HCl was used and the

212 requirement was an achievement of  $\text{pH} < 2$ . The mixture was kept stirred during the  
213 crystallization and carried out at ambient temperature. After 10 minutes, fine white solids started  
214 to form, followed by a massive precipitation of Melitracen HCl **6**. The Melitracen HCl **6** was  
215 filtered with a Büchner funnel and washed with THF. The isolated yield was 80% and within the  
216 specifications for the in-house analysis methods used in the routine production (CHN, TGA,  
217 UV-vis, HPLC, melting point). Figure 3 is a microscope picture of the isolated Melitracen HCl **6**.  
218 For full-scale production, the HCl gas would still be more desirable for the crystallization and the  
219 2 M HCl in  $\text{Et}_2\text{O}$  merely serves as a proof of concept for the laboratory flow setup.



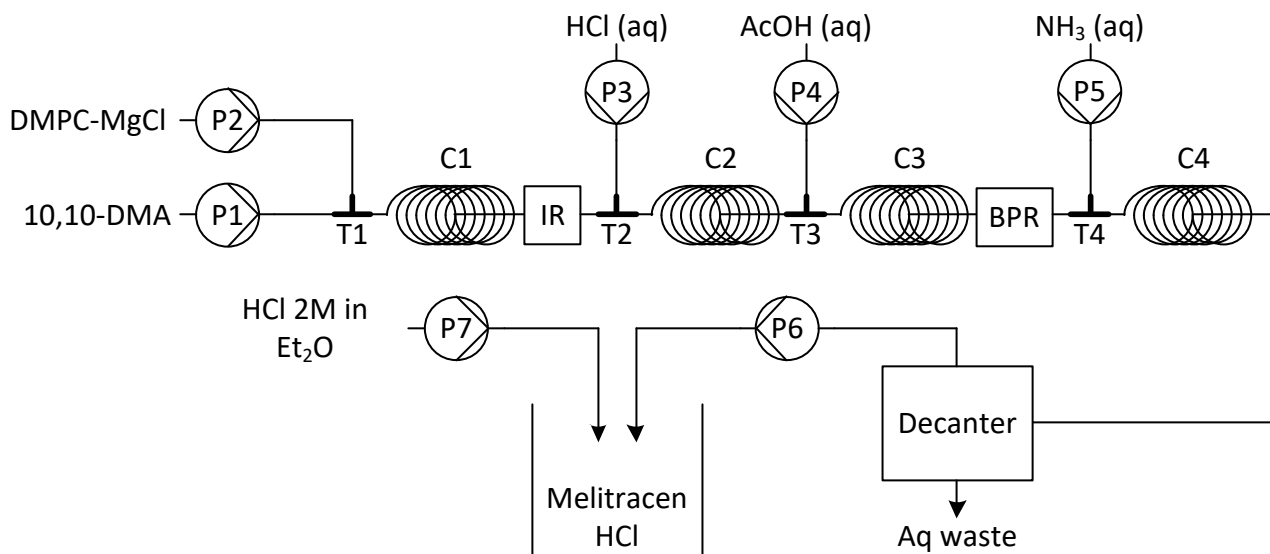
220  
221 **Figure 3:** Microscope picture of the isolated Melitracen HCl **6** from the THF solution.

## 222 **Flow Process**

223 The initial batch screening experiments all indicated that the chemistry should be run in PFRs.  
224 This decision is based on several parameters from the screening experiments. In particular, the  
225 high solubility of the reactants and products makes the synthesis ideal for PFRs. Additionally, all  
226 of the synthesis steps are categorized as fast (full conversion within minutes) and hence small  
227 reactor volumes can be used. The final setup is illustrated in Figure 4 as a flow sheet. All tubing  
228 was 1/8" OD and 1/16" ID and made from PTFE; the T-mixer was of PEEK material ID 0.04".

229 All synthetic steps were performed at ambient temperature, with no active cooling or heating. If  
230 the reactor system was to be scaled significantly, consideration of active cooling and heating  
231 should be taken into account due to potential safety and control related issues. Every step, except  
232 for the addition of acetic acid and the decanter phase separation, is exothermic. The decanter was  
233 a 100 mL glass bottle, fitted for the purpose with an in-house-made PTFE lid. After the Grignard  
234 addition (T1,C1) of DMPC-MgCl **2** to 10,10-DMA **1**, a flow IR 10  $\mu$ L head from Mettler Toledo  
235 was applied for in-line monitoring of the conversion and reaction. After the acetic acid addition  
236 (T3,C3), a 100 psi back pressure regulator (BPR) was applied to avoid boiling of the THF due to  
237 the hydrolysis and dehydration taking place at the HCl addition (T2,C2). The choice of placing  
238 the BPR is due to precipitation of solid material right after the HCl addition that is fully  
239 dissolved throughout the acetic acid coil. The HCl precipitation was done by collection of the  
240 two streams in a flask. A number of different pumps were used, all of them being positive  
241 displacement pumps for dosing purposes. Knauer Azura P 2.1S HPLC pumps with 10 mL  
242 stainless steel pump heads (P1 and P2) were used for the 10,10-DMA (**1**) and DMPC-MgCl (**2**);  
243 a Syrris Asia pump (dual pump) equipped with 0.5 and 1.0 mL glass syringes was used for both  
244 hydrochloric acid (P3) and acetic acid (P4). A Merck-Hitachi HPLC pump with a 10 mL  
245 stainless steel pumphead was used for the aqueous ammonia (P5) and Ismatec Reglo RH00  
246 piston pumps were used for the decanter outlet (P6) and the 2 M HCl in Et<sub>2</sub>O (P7). The two  
247 Knauer pumps were specially ordered with PTFE gasket intended for Grignard reagents and THF  
248 solvent. The remaining pumps were chosen based on availability in the laboratory. The flow rate  
249 was determined in accordance with the maximum capacity of each pump and the limitation was  
250 the pump used for the acetic acid.





251  
252

253 **Figure 4:** Flow sheet of the flow reactor setup for the redesign of the Melitracen HCl synthesis.  
254 Pump (P), Coil (C), T-mixer (T), Infrared In-line flow cell (IR), Back pressure regulator (BPR).

255 **Results and Discussion**

256 **Stepwise Verification of Flow Reactor Parts**

257 A stepwise implementation and verification of each step was done to minimize the risk of  
258 operational problems, while operating the entire setup as illustrated in Figure 4. The major risks  
259 were considered to be clogging issues and separation performance.

260 The Grignard addition of DMPC-MgCl **2** to 10,10-DMA **1** was the first part to be verified and  
261 an equivalence of 1.1 DMPC-MgCl **2** was used to ensure full conversion of 10,10-DMA **1**. Only  
262 a few minutes of residence time were needed for the reaction to achieve full conversion of the  
263 10,10-DMA **1**. The reaction was easily followed visually, as the magnesium alkoxide **3** becomes  
264 dark red/orange. The product stream was collected in a flask, where it turned to a more orange-  
265 like appearance over time.

266 Implementation of the HCl stream for hydrolysis and dehydration caused boiling of the THF  
267 solvent, but full conversion was achieved within minutes. Implementing the acetic acid stream  
268 resulted in some alteration of the setup to account for the boiling of the THF, as full conversion  
269 was not achieved. A back pressure regulator (BPR) of 100 psi was added to prevent the boiling  
270 of the THF (65 °C at STP). The BPR provided a stable flow that ensured a steady residence time  
271 in the HCl coil (C2), resulting in the desired full conversion of the magnesium alkoxide **3** to the  
272 dehydrated product **5**. Adding the aqueous ammonia stream to the setup caused precipitation of  
273 ammonium chloride salt. The precipitate was easily dissolved by addition of water. Due to lack  
274 of pumps, it was decided to dilute the acetic acid to 40% from the original 80% and to double the  
275 flow rate. From a production perspective, an additional pump with water would be better suited  
276 as 80% acetic acid is the standard concentration in production. Acetic acid serves to assure that  
277 the magnesium salt complex remains soluble after pH adjustment to basic conditions. The BPR  
278 was originally implemented right after the HCl coil, but the white solid precipitate later caused  
279 clogging of the BPR, so it was moved to be after the acetic acid stream where a full liquid  
280 homogeneous phase was present. The choice of not moving it to be after the aqueous ammonia  
281 coil was due to a small risk of having precipitation upon the addition thereof, as this was  
282 observed in a previous run. At the end of the acetic acid addition during all adjustments, a full  
283 one-phase homogeneous stream was constantly present and it was considered more stable to add  
284 the BPR at this point in case of any fluctuation.

285 Having the entire setup running, the decanter was tested for the setup. A previous flow setup  
286 had proved the decanter's capability for separating organic and aqueous phases from each other,  
287 so that a single experiment was enough to demonstrate the decanter for this separation. The last  
288 stream to be implemented was the 2 M HCl (Et<sub>2</sub>O) stream for crystallization. At first, mixing of

289 the two streams was attempted in a T-mixer (2.5 mm ID), but the low pressure pumps used  
290 (Ismatec pumps) could not deliver a high enough pressure to avoid clogging. The clogging was  
291 caused by evaporation of the solvents due to the low boiling points of both THF and Et<sub>2</sub>O and  
292 the crystallization of Melitracen HCl (**6**) happening in the T-mixer. As an alternative, the two  
293 streams (P6 and P7) were pumped individually into the collecting bottle. No optimization was  
294 done to control the crystallization, as this was not the scope of the project, and for a full-scale  
295 setup HCl gas would be a preferred choice. Figure 5 shows the fractions collected from the setup.



296

297 **Figure 5:** The collected fractions of product streams from the setup during continuous operation.  
298 To the left is the aqueous waste from the decanter, at the center is the organic phase containing  
299 dehydrated product **5** and to the right is the crystalline Melitracen HCl **6** API and the mother  
300 liquid.

### 301 **Operation of Full Flow Setup**

302 The final flow setup, as illustrated in Figure 4, was operated for 300 minutes under steady state  
303 conditions. The experiment was terminated at the point of complete utilization of the 2 M HCl  
304 (Et<sub>2</sub>O). For the first 30 minutes the setup was not in steady state due to a tube burst and fittings

305 around the IR flow cell, but a steady state was achieved shortly after replacement of the broken  
 306 fittings. The tube burst was a result of a clog formed from Grignard reagent reacting with  
 307 residual water in the IR flow cell from previous cleaning. The flow rate of the system is given in  
 308 Table 2 and Table 3 provides the residence times in the important parts of the reactor.

309 **Table 2:** The reactor configurations and residence times, along with important observations, for  
 310 the Melitracen HCl 6 synthesis as operated with the flow setup (Figure 4).

Reactor part	Flow Rate (mL/min)	Reactor Volume (mL)	Residence Time (s)	Observation
Coil 1	4.5	4.95	66	Deep red color from reaction. Temperature higher than ambient, lower than the boiling point of THF.
Coil 2	5.5	1.98	21.6	Temperature is above the boiling point of THF, 100 psi suppress boiling. Stream becomes transparent with a white solid that disappears into an one-phase system. pH < 2
Coil 3	8.0	0.99	7.4	One-phase system pH < 2
Coil 4	9.9	1.98	6.0	Two-phase system pH > 10
Decanter (Org/Aq)	9.9 (4.5/5.4)	100	606.1	Two-phase system pH > 10

311

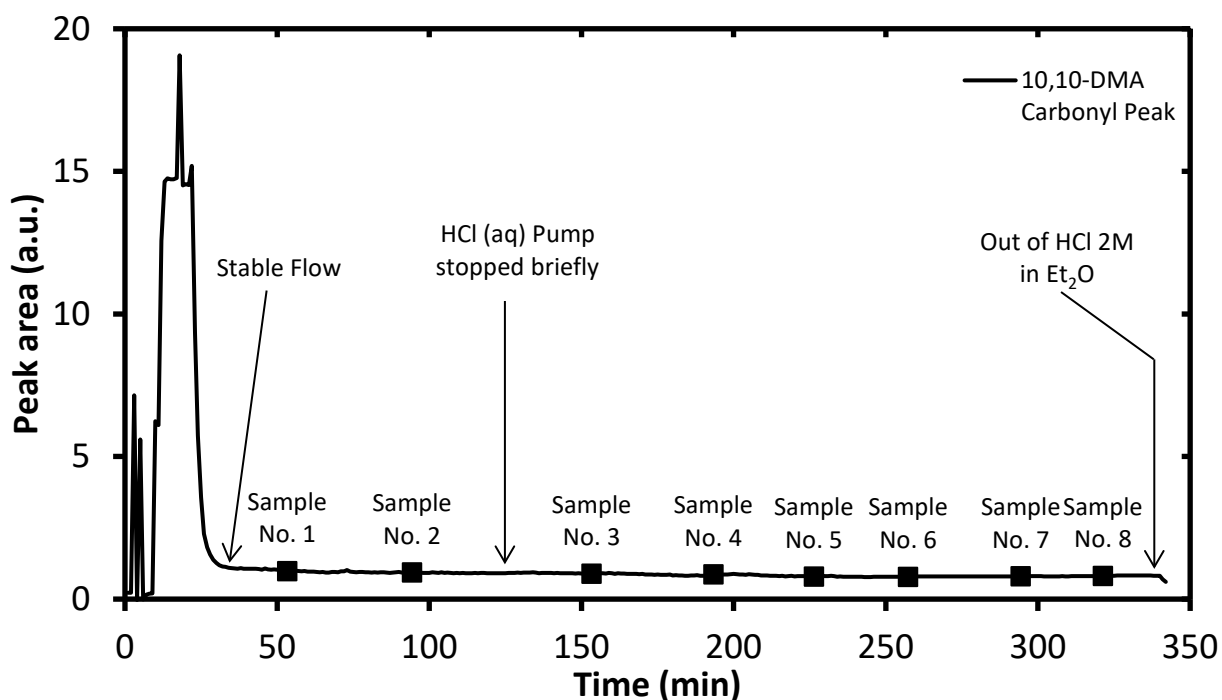
312 **Table 3:** The flow rates and concentrations of the different reactants used in the flow setup.

Reactants	Flow rate (mL/min)	Concentration (M)	Equivalence to 10,10-DMA 1
10,10-DMA 1	2.0	1.8	1.0
DMPC-MgCl 2	2.5	1.5	1.05
HCl (aq)	1.0	12 (37%)	3.33
AcOH (aq)	2.50	7 (40%)	4.86
NH <sub>3</sub> (aq)	1.9	13.4 (25%)	7.07

HCl (Et <sub>2</sub> O)	2.25	2	1.25
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313

314 An IR flow cell was placed after coil 1 and was used to follow and ensure that full conversion  
 315 of 10,10-DMA **1** was achieved. Figure 6 shows the carbonyl peak of the 10,10-DMA **1** as it  
 316 progressed throughout the experiment. The trend line absorbance intensity of the peak is based  
 317 on area to zero baseline for the IR region of 1610-1580 cm<sup>-1</sup> and is given in arbitrary units. The  
 318 off-line HPLC data in Table 4 confirms full conversion of 10,10-DMA **1**. The replacement of the  
 319 tubing caused an exposure of the magnesium alkoxide **3** to the surrounding atmosphere (i.e.  
 320 moisture in the air), resulting in the deposit of magnesium salts on the IR diamond window.  
 321 Despite an attempt to clean the window, some deposit was still present, causing the small offset  
 322 from the zero baseline, which explains why zero is not achieved.



323

324 **Figure 6:** The IR data on the flow setup run, following the peak of the carbonyl functional group  
 325 of 10,10-DMA (**1**) and the reference samples for off-line HPLC analysis given in Table 4. Steady

326 state conditions were achieved after 30 minutes; the initial 30 minutes of unstable flow were  
 327 related to bursting and replacing of tubing and fittings.

328 A portion of the Melitracen HCl (**6**) was collected by filtration in a Büchner funnel, washed  
 329 with THF and dried in a vacuum oven at 50 °C for 24 hours. The product was subjected to  
 330 complete release analysis for the API and all product attributes were found to be within  
 331 specification. A total of 300 g of dry Melitracen HCl (**6**) was isolated from the flow setup,  
 332 requiring a consumption of approximately 240 g 10,10-DMA (**1**) starting material.

333 **Table 4:** The HPLC samples, where samples were collected from the aqueous waste stream of  
 334 the decanter, the crystallized Melitracen HCl (**6**) and the mother liquid, and a few from the  
 335 organic phase of the decanter.

Sample No.	Compound	Crystallized Product (Area%)	Mother Liquid (Area%)	Decanter Aqueous (Area%)	Decanter Organic (Area%)
1	Melitracen ( <b>5</b> or <b>6</b> )	100	97.65	62.0	No sample
	Alcohol ( <b>4</b> )	nd	nd	38.0	
	10,10-DMA ( <b>1</b> )	nd	2.1	nd	
	Other Impurities	nd	0.2	nd	
2	Melitracen ( <b>5</b> or <b>6</b> )	100	97.8	37.8	No sample
	Alcohol ( <b>4</b> )	nd	nd	62.1	
	10,10-DMA ( <b>1</b> )	nd	2.0	nd	
	Other Impurities	nd	0.2	nd	
3	Melitracen ( <b>5</b> or <b>6</b> )	100	96.3	20.5	No sample
	Alcohol ( <b>4</b> )	nd	nd	79.5	
	10,10-DMA ( <b>1</b> )	nd	3.5	nd	
	Other Impurities	nd	0.2	nd	
4	Melitracen ( <b>5</b> or <b>6</b> )	100	99.0	nd	No sample
	Alcohol ( <b>4</b> )	nd	nd	100	
	10,10-DMA ( <b>1</b> )	nd	0.8	nd	
	Other Impurities	nd	0.2	nd	
5	Melitracen ( <b>5</b> or <b>6</b> )	99.9	99.1	nd	No sample
	Alcohol ( <b>4</b> )	0.1	nd	100	

	10,10-DMA ( <b>1</b> )	nd	0.7	nd	
	Other Impurities	nd	0.2	nd	
6	Melitracen ( <b>5</b> or <b>6</b> )	100	99.3	nd	100
	Alcohol ( <b>4</b> )	nd	nd	100	nd
	10,10-DMA ( <b>1</b> )	nd	0.5	nd	nd
	Other Impurities	nd	0.2	nd	nd
7	Melitracen ( <b>5</b> or <b>6</b> )	100	39.8	39.3	99.8
	Alcohol ( <b>4</b> )	nd	60.2	60.7	0.2
	10,10-DMA ( <b>1</b> )	nd	nd	nd	nd
	Other Impurities	nd	nd	nd	nd
8	Melitracen ( <b>5</b> or <b>6</b> )	100	56.4	26.2	100
	Alcohol ( <b>4</b> )	nd	43.6	73.8	nd
	10,10-DMA ( <b>1</b> )	nd	nd	nd	nd
	Other Impurities	nd	nd	nd	nd

336

### 337 **Conclusions**

338 A full redesign of a current batch synthesis to a full flow setup has been possible, from the  
339 starting material to the final salt crystallization of the active pharmaceutical ingredient,  
340 Melitracen HCl. The flow process was significantly simplified compared to the batch process,  
341 with removal of a phase separation and usage of tetrahydrofuran (THF) only as a solvent  
342 compared to the previous toluene-THF solvent mixture. All synthetic steps were carried out at  
343 ambient temperature, whereas routine batch production requires active heating (up to 50°C) and  
344 cooling in several steps. The crystallization of the Melitracen HCl was proven possible in THF  
345 with 2 M HCl in diethyl ether (Et<sub>2</sub>O) and eliminated a solvent swap to ethanol. The  
346 crystallization was not optimized and would most likely be done with HCl gas, with an expected  
347 additional gain in yield from the lower volume of solvent. The isolated yield in the given study  
348 was approximately 85%. The phase separation achieved with the decanter was higher than 99%  
349 product in the organic phase, with a HPLC purity of greater than 99%. The isolated Melitracen  
350 HCl was analyzed in accordance with the in-house release methods required for current batch

351 production and all measurements were in accordance with requirements. A production of 60 g/h  
352 of isolated Melitracen HCl can be achieved with the flow setup. Furthermore, the setup  
353 demonstrated great robustness towards fluctuations in reactant streams. The one-step hydrolysis  
354 and dehydration could potentially be applicable for other Grignard additions, as could the  
355 subsequent decanter phase separation.

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361



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