Revisiting Piezoelectric FETs with Sub-Thermal Swing

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A subthreshold swing (SS) below 60 mV/decade at room temperature is a critical requirement in CMOS transistors for ultralow power electronics. In the past decade, tremendous efforts have been made to explore new switching mechanisms overcoming the Boltzmann thermal limitation of traditional MOSFETs [1]. Examples include tunnel FETs utilizing band-to-band tunneling (BTBT) and the corresponding energy filtering action [2], and the negative capacitance FETs (NC-FETs) based on ferroelectric materials [3]. While TFETs have shown performance below expectations for a number of fundamental as well as design challenges [4-7], and the potentials of NC-FETs are still under intense scrutiny, additional device concepts are being proposed and investigated.

The piezoelectric FETs (Piezo-FET) is an intriguing device concept that exploits a piezo-layer in the gate stack in order to produce a strain in the transistor controlled by the gate voltage, V_G . If the strain modifies the conduction band edge (for an *n*-FET) so that the electron affinity increases with increasing V_G , then an *SS* smaller than 60 mV/decade can be achieved [8-9]. In this paper, we revisit the Piezo-FET by both providing a set of analytical, insightful expressions for the *SS* dependence on several material and design parameters, and reporting numerical simulations of strain distribution and IV calculations in technologically relevant device structures.

The schematic of Piezo-FET with a FinFET structure considered in this work is shown in Fig. 1, where the piezolayer is placed between the metal gate and a second metal shorted to the source, so that the voltage drop across the piezo is V_G . The piezo material is assumed to be PZT-5H, whose parameters are reported in Table 1 [8,10-11], and the thickness W_{pie} of the piezo-layer is varied from 3 nm to 10 nm. Upon application of a positive V_G , the piezo-layer expands along z direction, thus the semiconductor in the channel is compressed, as it is shown in Fig. 2(a), reporting the numerically calculated strain distribution obtained with the COMSOL simulator [12]. The 1D analytical model utilized to calculate the stress and strain is illustrated in Fig. 2(b), where it is assumed that the x and y directions are unconstrained, so that T_{XX} , T_{YY} components are negligible as compared to the stress, T_{ZZ} , along z direction. Because the device structure is assumed to repeat periodically in the z direction, the sum of the displacements along z direction for each device must be zero (see equation E1 in Fig. 2). Considering the relationship between stress and strain for the metal gate, oxide, semiconductor and the piezo-layer and combining with E1, the analytical expressions for the stress and strain are obtained as shown in Fig. 2(b), which are consistent with [8]. Figs. 3(a), (b) show the stress and strain profiles as a function of $W_{\rm pic}$ calculated using either the analytical model in Fig. 2 or the 3D numerical simulations for (001)-oriented silicon with channel thickness W_{fin} of 5 nm at a V_G of 0.3V. It can be observed that the analytical model is in fair agreement with the numerical simulations, but, overestimates the strain for very thin piezo-layers. Maximum $V_G=0.3V$ for minimum $W_{pie}=3nm$ is compliant with the critical electric field $E_{\rm cr}$ =100 MV/m for the PZT-5H. For the Δ valleys in silicon, the strain induced modulation of the conduction band is [13]

$$\Delta E_{\mathrm{C},k} = \Xi_{\mathrm{d}} \cdot \left(\varepsilon_{\mathrm{xx}} + \varepsilon_{\mathrm{yy}} + \varepsilon_{\mathrm{zz}} \right) + \Xi_{\mathrm{u}} \cdot \varepsilon_{kk} \tag{1}$$

where k=x, y or z is the longitudinal direction of Δ valley, while Ξ_d and Ξ_u are the dilation and uniaxial deformation potentials, respectively. For silicon, Ξ_d and Ξ_u are 1.1 and 9.29 eV, respectively.

Fig. 3(c) shows the $\Delta E_{C,k}$ calculated based on the strain shown in Fig. 3(b): a $\Delta E_{C,z}$ of -0.126eV is obtained for the device with 3nm piezo-layer. The gate voltage controlled $\Delta E_{C,z}$ can be included in a self-consistent top of the barrier model [14] to get the transfer characteristics for the devices with and without piezo-layer shown in Fig. 4. A steep *SS* of 41.9 mV/decade is achieved for the thinnest piezo-layer W_{pie} = 3nm.

In order to develop an intuitive insight in the device operation, we now derive an analytical expression for SS. To

this purpose we consider an *n*-type, ultra-thin-body (UTB) FET (or a FinFET), assume that the inversion density n_{inv} in sub-threshold regime is carried by the lowest subband (i.e. quantum limit approximation), and thus write n_{inv} as [13]

$$n_{inv} \approx \frac{\mu_0 m_{d,0} (K_B T)}{\pi \hbar^2} \exp\left[\frac{-\varepsilon_0}{K_B T}\right]$$
(2)

where, $m_{d,0}$ is the density of state mass, μ_0 is valley degeneracy, \mathcal{E}_0 is the energy of the lowest subband. In Eq. 2 the electron gas is assumed to be non-degenerate (which is fully appropriate for the sub-threshold region) and the Fermi level is taken as the reference energy, namely $E_F=0$. We now recall that the electrostatic potential, ϕ_G , at the interface between the metal gate and the oxide in an UTB-FET, can be written as [13]

$$q\phi_G = qV_G - (\Phi_M - \chi_{SCT}) \tag{3}$$

where Φ_{M} , χ_{SCT} are respectively the metal work function and semiconductor electron affinity (with q being the electron charge). We further assume that ε_0 in Eq. 2 moves rigidly with ϕ_G , so that we finally obtain

$$\frac{\partial \varepsilon_0}{\partial V_G} \approx -\frac{\partial (q\phi_G)}{\partial V_G} \approx q \left[1 + \frac{\partial \chi_{SCT}}{\partial (qV_G)} \right]$$
(4)

where Φ_M has been taken independent of V_G . If we now suppose that, in sub-threshold region, the current I_D changes with V_G just as n_{inv} , we finally obtain

$$\frac{\partial(\log_{10}(I_D))}{\partial V_G} \approx \frac{\partial(\log_{10}(n))}{\partial V_G} = \frac{\partial(\log_{10}(n))}{\partial \varepsilon_0} \frac{\partial \varepsilon_0}{\partial V_G} \approx \frac{q}{\ln(10)K_{\rm B}T} \left[1 + \frac{\partial(\chi_{SCT})}{\partial(qV_G)} \right]$$
(5)

and consequently

$$SS \approx \frac{\ln(10)K_{\rm B}T}{q} \left[1 + \frac{\partial \chi_{SCT}}{\partial (qV_G)} \right]^{-1}$$
(6)

As it can be seen, an *n*-type Piezo-FET can have an SS below 60mV/decade if V_G induces a strain such that χ_{SCT} increases with V_G . Fig. 5 shows that the SS values given by the analytical model and those extracted from the numerical simulations in Fig. 4 agree well.

In conclusion, the *n*-type FinFET device with piezo-layer in the gate stack is investigated in this paper. The gate voltage controlled stress, strain, conduction band edge shift, and the subthreshold swing are analytical modeled. The values of SS demonstrate a strong dependence on the thickness of piezo-layer. A steep SS of 41.9 mV/decade is achieved for the device with the thinnest W_{pie} of 3 nm.

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The sum displacement along z direction is zero. Hence, the boundary condition: $E1: W_{s} \varepsilon_{szz} + 2W_{ox} \varepsilon_{oxzz} + 2W_{e} \varepsilon_{ezz} + 2W_{ee} \varepsilon_{eezz} = 0$ Considering the relation between stress and strain for piezo-layer and the MOS-layer: $E2: \begin{bmatrix} \varepsilon_{pez,33} = S_{33}T_{zz} + d_{z3}E_{z} \\ \varepsilon_{M33} = S_{M}T_{zz} \end{bmatrix}$ The electric field along Z direction: $E3: E_{z} = V_{c}/W_{ee}$ Combining E1,E2 and E3: $T_{zz} = \frac{-d_{z3}V_{c}}{0.5W_{s}S_{s11} + W_{ox}S_{ox11} + W_{a}S_{c11} + W_{pez}S_{pez,33}}$ (b)

Fig.1 The schematic of Piezo-FET with a FinFET structure.

Fig. 2 (a) Numerically simulated strain distribution for the device at V_G =0.3V. The semiconductor in the channel is compressed due to the expansion of the piezo-layer. (b) Analytical model for stress and strain calculation.



Fig. 3 Analytically modeled (solid) and numerically simulated (open) (a) stress, (b) strain, and (c) the conduction band edge shift for (001)-oriented Si as a function of the W_{pie} at V_{G} of 0.3V.



Fig. 4 The transfer characteristics for the devices with and without piezolayer obtained with a numerical, selfconsistent top-of-the-barrier current model.



Fig. 5 The SS obtained from Eq. 6 and extracted from the I_{DS} - V_{GS} curves of Fig. 4.

Material	Parameters for stress and strain calculation							
	Elastic compliance constant, S _{ij} [10 ⁻¹² m ² /N]					Piezoelectric strain constants, <i>d_{ij}</i> [10 ⁻¹² C/N]		
	S_{11}	S_{12}	S_{13}	S_{33}	S_{44}	d_{x5}	d_{z1}	d_{z3}
PZT-5H ^[8]	16.5	-4.78	-84.5	20.7	43.5	741	-274	593
Silicon ^[8]	7.68	-2.14	NA	NA	12.5	NA	NA	NA
$HfO_{2}^{[10]}$	5.27	-1.21	NA	NA	11.4	NA	NA	NA
TiN ^[11]	1.73	-0.34	NA	NA	5.88	NA	NA	NA

Table 1. The elastic compliance constants S_{ij} and the piezoelectric strain constants d_{ij} of the materials for the strain and stress calculation.