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GENETICS OF IMMUNOGLOBULIN A DEFICIENCY

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Cover Image: solution structure of human secretory IgA1. Sequence from PDB ID: 3CHN (Bonner, A., Almogren, A., Furtado, P.B., Kerr, M.A., Perkins, S.J. (2009) Mucosal Immunol 2: 74-84) and edited with Molsoft-ICM Software (Molsoft LLC, CA, USA)

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Genetics of Immunoglobulin A Deficiency THESIS FOR DOCTORAL DEGREE (Ph.D.)

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To my dearest family

It's not that I'm so smart, it's just that I stay with problems longer

- Albert Einstein

人生在勤,不索何获

Sedikit-sedikit, lama-lama menjadi bukit

ABSTRACT

Immunoglobulin A deficiency (IgAD) is the most common primary immunodeficiency in Caucasian populations. It is defined as a serum IgA level below 0.07 g/L with normal IgM and IgG levels in an individual older than four years of age. Approximately one-third of these patients present with recurrent respiratory and gastrointestinal tract infections, allergic disorders and autoimmune manifestations. High familial clustering and prevalence variation by ethnicity both suggest the existence of a strong genetic component of the disease. Traditionally, IgAD has been reported as permanent, and sub-normal IgA levels remain static and persist after 20 years of observation. However, a few cases of reversion have been observed. We thus investigated the frequency of reversal in children and more than one-fifth (>20%) of Swedish children who were diagnosed before 10 years of age, reversed their IgAD status. Our observation suggests that the diagnosis of IgAD should not be made before the early teens using a cutoff level of 0.07 g/L of IgA in serum.

After suggesting improved diagnostic guidelines, we investigated the role of genetics in IgAD in a Swedish Twin cohort. Surprisingly, the prevalence of IgAD was found to be markedly increased in a twin cohort as compared with the normal Swedish adult population. Although the MHC is the main genetic factor associated with IgAD development, the MHC haplotypes were not the primary factor causing the differences observed. Nonetheless, risk-conveying MHC haplotypes including *HLA-A*01*, *HLA-B*08* and *HLA-DRB1*01* were found to be associated with significantly lower serum IgA concentration in the twin cohort. On the contrary, individuals who carried the protective HLA alleles *B*07*, *DRB1*15* and *DQB1*06* were found to have significantly higher mean IgA concentration.

We then performed a comprehensive analysis within the MHC region in order to identify the potential susceptibility genes/loci within the MHC region. In our large-scale case-control study, we identified an independent MHC haplotype (*HLA-DPB1*1301*) in the class II region associated with IgAD. In addition, MHC recombination analysis suggested a region around 110 Kbp which may contain a portion of the ancestral block. However, verification using complete sequencing did not identify any differences. Nonetheless, identification of 4310 new variants from ancestral 8.1 haplotypes will provide valuable information for the investigation of other MHC associated diseases. We also identified novel genes/variants within the MHC class III region including *AGER* (rs1800625), *RNF5* (rs3130349), *BTNL2* (rs1980493) and *HCG23* (rs3117097) that are associated with IgAD risk.

Subsequently, we investigated the association of non-MHC genes using different MHC risk haplotypes as category factors. In total, 14 different genes/loci were identified as potentially associated with IgAD in individuals carrying different MHC risk alleles, including one from *HLA-B*0801-DRB1*0301-DQB1*0201* (ancestral haplotype), three from the *HLA-DRB1*0701-DQB1*0202* cohort, two from *HLA-DRB1*01-DQB1*0501* and seven from patients who do not carry any susceptibility MHC allele. These findings suggest that the development of IgAD may be variable depending on the presence of potentially different genes within selected MHC susceptibility haplotypes that interact with the respective disease-causing non-MHC genes. Understanding the interaction between MHC and non-MHC genes and proteins may facilitate identification of the IgAD etiology.

In summary, this thesis not only helped to identify the genetic basis of IgAD, but also improved the current diagnostic definition of the disease. Further work, including proteinprotein interaction investigations, gene knock-in/out and expression analyses are required to validate the functional role of the novel associations described in this thesis. As IgAD has been shown to be markedly overrepresented among patients with autoimmune diseases, further potential studies will aim to identify the link between IgAD and autoimmunity which may ultimately result in improved patient care.

LIST OF SCIENTIFIC PAPERS

- I. Reversal of Immunoglobulin A Deficiency in Children LIM CK, Dahle C, Elvin K, Andersson BA, Rönnelid J, Melén E, Bergström A, Truedsson L, Hammarström L. *J Clin Immunol.* 2015 Jan;35(1):87-91.
- II. The higher frequency of IgA deficiency among Swedish twins is not explained by HLA haplotypes
 Frankowiack M, Kovanen RM, Repasky GA, LIM CK, Song C, Pedersen NL, Hammarström L.
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- III. Fine Mapping and Deep Sequencing of the Major histocompatibility complex identifies susceptibility loci/variants for Immunoglobulin A Deficiency Lim CK, Varadé J, Abolhassani H, Zhang T, Zhang Y, Fang M, Goh YT, Cao H, Xu X, Behrens TW, Hammarström L. Manuscript
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- II. Next Generation Sequencing Data Analysis in Primary Immunodeficiency Disorders - Future Directions Fang M, Abolhassani H, Lim CK, Zhang J, Hammarström L. *J Clin Immunol*. 2016 May;36 Suppl 1:68-75.
- III. Novel genetic loci associated HLA-B*08:01 positive myasthenia gravis Varade J*, Wang N*, Lim CK*, Zhang T, Zhang Y, Liu X, Piehl F, Matell R, Cao H, Xu X, Hammarström L. J Autoimmun. 2017 Oct 13 [Epub ahead of print]
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- V. Single Center Experience in Targeted Next-Generation Sequencing for Genetic Diagnosis of Primary Immunodeficiency in China Xia Y, He T, Luo Y, Li C, Lim CK, Abolhassani H, Yang J and Hammarström L. *Manuscript in Submission*
- VI. Tuberculosis and impaired IL-23-dependent IFN-γ immunity in humans homozygous for a common *TYK2* missense variant

Boisson-Dupuis S^{*}, Ramirez-Alejo N^{*}, Zhi L^{Ψ}, Patin E^{Ψ}, Rao G^{Ψ}, Kerner G^{Ψ}, **Lim CK**^{Ψ}, N. Krementsov D^{Ψ}, Hernandez N, S. Ma C, Zhang Q, Markle J, Martinez-Barricarte R, Fish R, Deswarte C, Halpern J, Itan Y, Boisson B, Checchi A, Jabot-Hanin F, Bouaziz M, Cobat A, Pekcan S, Caliskaner Z, Inostroza J, Muller-Fleckenstein I, Fleckenstein B, Puel A, Ciancanelli M, Condino-Neto A, Strickler A, Abarca K, Teuscher C, D. Ochs H, Reisli I, H. Sayar E, El-Baghdadi J, Bustamante J, Hammarström L, G. Tangye S, Pellegrini S, Quintana-Murci L, Abel L and Casanova JL. *Manuscript in Submission*

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LIST OF ABBREVIATIONS

AA	Amino Acid
ANOVA	Analysis of variance
APC	Antigen presenting cell
BAMSE	Children, Allergy, Milieu, Stockholm, Epidemiological Survey
Вр	Base pair(s)
BWA	Burrows-Wheeler Aligner
CLEC16A	C-type lectin domain family 16A
CNV	Copy number variant
CVID	Common variable immunodeficiency
DNA	Deoxyribonucleic acid
dNTP	Deoxynucleotide
DZ	Dizygotic
ELISA	Enzyme-linked immunosorbent assay
GCTA-GREML	Genome-wide complex trait analysis- restricted maximum likelihood
GD	Graves' disease
GWAS	Genome-wide association study
HLA	Human leukocyte antigen
HWE	Hardy Weinberg equilibrium
IBD	Identity by descent
IFIH1	Interferon-induced helicase C domain-containing protein 1
Ig	Immunoglobulin
IgAD	Selective immunoglobulin A deficiency
IgAN	Immunoglobulin A nephropathy
InDel	Insertion/Deletion
ITP	Immune thrombocytopenic purpura
JRA	Juvenile rheumatoid arthritis
kbp	Kilobase pairs
LD	Linkage disequilibrium

Mbp	Megabase pairs
MCMC	Markov chain Monte Carlo
MG	Myasthenia gravis
MHC	Major histocompatibility complex
MS	Multiple sclerosis
MSH5	mutS homolog 5
MZ	Monozygotic
OR	Odds ratio
PBS	Phosphate buffered saline
PCR-SSP	Polymerase chain reaction-single specific primer
QC	Quality Control
RA	Rheumatoid arthritis
SBT	Sequence-based technique
SD	Standard deviation
SLE	Systemic lupus erythematosus
SNP	Single nucleotide polymorphism
T1D	Type 1 diabetes
TACI	Transmembrane activator and calcium modulator and cyclophilin ligand interactor
TNFRSF13B	Tumor necrosis factor receptor superfamily member 13B

1 INTRODUCTION

1.1 Immunoglobulin A

Immunoglobulin A (IgA) is the predominant antibody class in mucosal secretions and is the second most prevalent antibody in serum after IgG (1). IgA comprises at least 70 % of all immunoglobulins produced in the human body and plays a key role in immunity.

There are two IgA subclasses in humans, which are encoded by two functional genes, resulting in two IgA subclasses (IgA1 and IgA2, Figure 1). The length of the hinge region is the major difference between IgA1 and IgA2 (1, 2).

Whilst serum IgA predominantly consists of monomeric IgA1, secretory IgA (S-IgA) (Figure 1) is chiefly polymeric, comprising mainly dimeric forms, with an increased proportion of IgA2 (2). Serum IgA is produced by B-lymphocytes in the bone marrow while S-IgA is synthesized locally at the mucosal surfaces (2). There is a strong correlation between serum IgA concentrations and mucosal IgA concentrations (3).

The function of S-IgA is to protect the lining of the respiratory, gastrointestinal and genitourinary tracts from invading pathogens by neutralizing antigens as well as preventing the adherence of bacteria (2, 4, 5). On the other hand, the function of serum IgA is less clear, although there are reports of its involvement in triggering effector functions (2, 6).

In Swedish adults (>20 years old), the normal range of serum IgA levels for adults is 0.88-4.5 g/L (reference range at the Karolinska University Hospital Clinical Immunology/Transfusion Medicine Laboratory, Sweden).



Figure 1. Monomeric forms of human IgA1, IgA2 and dimeric form of dIgA1, secretory S-IgA1. Constant regions of the heavy chain are shown in red and the variable domains of the heavy chain in pink. For the light chains, constant regions are shown in mid-blue and variable regions in pale blue. J chain is shown in yellow and secretory component in purple. On the IgA1, O-linked sugars on the IgA1 hinge are shown as green circles, while N-linked oligosaccharides are shown in dark blue. For clarity, the oligosaccharide moieties of dIgA and S-IgA1 are not shown.

This figure was adapted from Woof JM. and Kerr MA., Journal of Pathology 2006 Jan 2082: 270-82, with permission from John Wiley and Sons

1.2 IMMUNOGLOBULIN A DEFICIENCY (IGAD)

Immunoglobulin A deficiency (IgAD) is the most common human primary antibody deficiency in Caucasian populations. It is defined as a serum IgA level below or equal to 0.07 g/L in the presence of normal levels of other immunoglobulin isotypes in an individual older than four years of age (7-9).

IgAD comprises a heterogeneous group of disorders, ranging from asymptomatic (incidentally noted in healthy blood donors) to symptomatic patients presenting with different clinical phenotypes, including recurrent infections of the gastrointestinal and respiratory tracts, allergic disorders and autoimmune diseases of variable severity (10-14). In addition, recent reports have shown that patients with IgAD have significantly poorer physical health and an increased risk of early death (15, 16).

The prevalence of IgAD is variable in different populations, ranging from 1:143 in Saudi Arabia (17) to 1:18500 in Japan (18). However, the prevalence may be underestimated because some individuals with IgAD are asymptomatic, and established routine screening programs for IgAD are rare, particularly in Asia. Up to 40% of IgAD patients develop anti-IgA antibodies (19). From a transfusion medicine perspective, the presence of anti-IgA antibodies in an IgA deficient recipient is a possible cause of anaphylactic transfusion reaction. Approximately 20% of anaphylactic transfusion reactions in Western populations are associated with anti-IgA antibodies in IgA deficient recipients (20).

Since the prevalence varies widely between different populations, this supports the view that genetic factors play an essential role in the pathogenesis of the disease. In addition, the observation of familial clustering, as well as associations with known genetic loci have provided clinical evidence for the genetic predisposition to IgAD. However, the exact etiology of IgAD remains unclear.

1.3 MAJOR HISTOCOMPATIBILITY COMPLEX (MHC)

The major histocompatibility complex (MHC) locus is an extremely polymorphic region and has been one of the most intensively investigated areas in the human genome. In humans, the MHC is called the human leukocyte antigen (HLA) as the gene products were initially identified using alloantibodies against leukocytes (21). This genomic region is located on chromosome 6p21 and spans approximately 3.6 megabase pairs (Mbp) and encodes over 200 genes, many of which have a defined immunological function (22). An extended MHC of 7.6 Mb comprising more than 400 annotated genes and pseudogenes has also been described more recently (23).

The MHC complex contains two primary classes, the MHC class I and MHC class II gene clusters. The MHC class I molecules are expressed on the surface of all nucleated cells, whereas the expression of MHC class II molecules is restricted to antigen presenting cells, such as B cells and dendritic cells. The MHC class II molecules are responsible for presenting peptide antigens to T cells, thereby initiating the adaptive immune responses. In addition, there is an MHC class III region which spans the region between the MHC class I and MHC class II gene clusters. The MHC class III region contains genes that are involved in immunity, such as the complement genes and genes encoding inflammatory cytokines (24).

Given the central role of the MHC in immune function, in addition to its level of diversity, the involvement of MHC in disease susceptibility is not surprising. The importance of this locus to the pathogenesis of human disorders is proven by the reported association of polymorphisms in the HLA region with over two hundred diseases, including autoimmune diseases, primary immunodeficiency diseases, susceptibility to infections, malignancies and psychiatric conditions (25-28) (Figure 2).



Figure 2. The MHC, disease association, and immune function. The MHC shows associations with almost all known autoimmune diseases as well as many inflammatory and infectious diseases. Major disease associations are listed by trait. Examples of the role of MHC genes in immune function are illustrated, including the key role in antigen presentation, inflammation, the complement cascade, and stress response.

This figure was adapted from Trowsdale J. and Knight JC. Annu Rev Genomics Hum Genet. 2013;14:301-23, with permission from ANNUAL REVIEWS.

1.4 MAJOR HISTOCOMPATIBILITY COMPLEX (MHC) ASSOCIATIONS OF IGAD

The MHC was first identified as a risk locus for IgAD through the association with HLA Class I and Class II markers and ascribed to specific conserved haplotypes (29-32). Most notably, the HLA-B*08-DRB1*0301-DQB1*02 haplotype (ancestral 8.1) has been identified as the most significant genetic risk factor for IgAD in Northern European populations (33, Thirteen percent of homozygous individuals with HLA-B*0801-DRB1*0301-34). DOB1*0201 have been estimated to be IgA deficient (32), although this might be overestimated due to publication bias (35). Conversely, the HLA-DRB1*1501-DQB1*06 haplotype has been shown to confer substantial protection against IgAD, with homozygous individuals showing virtually complete protection from the disease (33, 34). Additionally, susceptibility has also been shown to be associated with two other haplotypes, i.e., HLA-B*14-DRB1*0102-DOB1*05 and the HLA-B*44-DRB1*0701-DOB1*02 haplotypes (33, 34). Interestingly, a recent study from China showed that the ancestral 8.1 haplotype (HLA-B*08-DRB1*0301-DQB1*02) is also associated with the disease in the Chinese population (36, 37). Despite the strong association with the HLA locus, there has been no consensus as to the precise location of the causal variants. Some research groups have suggested that the location of the susceptibility area for IgAD is the telomeric region of MHC Class II (38-40), while others have proposed the centromeric region of MHC Class III (40-43). In addition, others have suggested a susceptibility locus in a region of MHC Class III that encodes cytokines needed for immunoglobulin production, which may also be associated with other forms of immunodeficiency, such as common variable immunodeficiency (CVID) (32). A subgroup analysis has also proposed the haplotype MSH5-85F-DRB1*0102 at the telomeric end of MHC class II as a potential susceptibility region for IgAD (44). Furthermore, a lack of diversity of MHC Class II, and an amino acid (AA) substitution at position 57 of the HLA-DQB1 chain may also be associated with the pathogenesis of IgAD (33).

1.5 NON-MHC ASSOCIATIONS OF IGAD

In addition to linkage to the HLA region, IgAD has been found to be associated with variants within the Interferon-induced helicase C domain-containing protein 1 gene (*IFIH1*) as well as variants within the C-type lectin domain family 16 gene (*CLEC16A*). These genes have also been implicated in the susceptibility to a variety of autoimmune disorders including type 1 diabetes (T1D), systemic lupus erythematosus (SLE) and celiac disease (CD) (45). Furthermore, recent findings show that common variants at *PVT1*, *ATG13-AMBRA1* and *AHI1* are associated with IgAD. These variants overlap with autoimmune markers and

correlate with 21 putative regulatory variants, such as DNase hypersensitivity sites in FOXP3⁺ regulatory T cells (46).

1.6 CYTOGENIC DEFECTS IN IGAD

Cytogenetic defects and chromosomal abnormalities have frequently been reported in patients with IgAD. Significant anomalies were reported involving chromosomes 16 and 18 (47-52), while defects in other chromosomes have also been observed, including 4p monosomy, trisomy 10p, and translocation of 10q to 4p (53, 54). Long or short-arm deletion and ring formation have been described in some patients who exhibit additional dysmorphic features and are intellectually disabled (49). However, there are conflicting findings concerning the presence or absence of substantial chromosomal abnormalities in IgAD. A study based on asymptomatic IgAD individuals has not identified any chromosomal abnormalities (55). This observation suggests that cytogenic defects are probably not relevant to asymptomatic IgA deficient patients.

1.7 MONOGENIC MUTATIONS ASSOCIATED WITH IGAD AND ASSOCIATION WITH OTHER PRIMARY IMMUNODEFICIENCY DISEASES

The first significant monogenic mutation to be identified in IgAD was a mutation in the tumor necrosis factor receptor superfamily member 13B (*TNFRSF13B*) / transmembrane activator and calcium modulator and cyclophilin ligand interactor (TACI), which mediates isotype switching in B cells. TACI was expressed in B cells from these patients but they did not produce IgA and IgG in response to the TACI ligand, suggesting impaired isotype switching (56, 57). TACI mutations have been seen both in patients with CVID and in those with IgAD, however, are only present in a small subset of patients with each disease (56, 57). Some IgAD cases may progress to CVID (58, 59). One study suggests that individuals with a C104R, A181E or ins204A variant in the TACI gene may be at risk for disease progression from IgAD to CVID (60). Nonetheless, it is not clear whether these mutations are causative, or there may be additional defects that have not yet been identified.

Advancement of technologies such as next-generation sequencing (NGS), coupled with enhanced algorithms for bioinformatics has enabled a much broader approach to interrogate multiple genes simultaneously through one single reaction. This strategy has proven to be a practical approach by which to identify the genetic basis of Mendelian diseases in the clinical research setting. Molecular diagnostic testing using whole exome sequencing (WES) or whole genome sequencing (WGS) (61, 62) has greatly enhanced the discovery of new monogenic defects in Primary immunodeficiency diseases (PID). Seven IgAD patients with a hypomorphic mutation in *JAK3* and *ARTEMIS (DCLRE1C)* have been reported (63, 64). In addition, Kato et al. identified a young IgAD patient with RAG1 deficiency (65) and Akhairy et al. recently identified two cases of IgAD progressing to CVID due to a mutation in the *LRBA* and *CD27* genes, respectively (66, 67).

In addition, some monogenic mutations in PID genes are associated with IgAD, including genes that are associated with combined immunodeficiency (*ATM, CHD7, DNMT3B, DKC1, MLH1, MECP2, NBS1, RAD50, PMS2, PNP, RMRP, RNF168, TINF2, TTC7, WAS* and *ZBTB24*), antibody deficiencies (*BTK, CARD11, PIK3R1, MSH2, MSH6, TACI* and *TWEAK*), defects in intrinsic and innate immunity (*CXCR4, IL12RB1* and *STAT1*) as well as genes associated with phagocytic abnormalities (*CYBB, NCF1, RAC2* and *SBDS*) (68, 69).

Furthermore, loss of function mutations in several non-MHC genes have been found in families with IgAD in which progression occurs from a normal immunologic state to IgA deficiency, with or without IgG subclass deficiency or CVID (70-73). IgAD is also reported to be associated with IgG2 subclass deficiency (74) and ataxia telangiectasia (75). Furthermore, Smith *et al.* reported a 13% (OR = 14.20) prevalence of IgAD in patients with DiGeorge syndrome (76).

1.8 RELATIONSHIP TO AUTOIMMUNITY

Various autoimmune diseases are known to be associated with IgAD, including juvenile idiopathic arthritis (JIA), dermatomyositis, sarcoidosis, Sjögren syndrome, Evans syndrome, isolated hemolytic anemia, pernicious anemia, rheumatoid arthritis (RA), SLE, Graves' disease (GD), T1D, CD, immune thrombocytopenic purpura (ITP), Hashimoto's thyroiditis, pulmonary hemosiderosis, Addison's disease, chronic nephritis, Henoch-Schonlein purpura and myasthenia gravis (MG) (77).

The same MHC haplotypes seen in patients with IgAD were found to be associated with selected autoimmune disorders, including T1D, SLE, CD, RA (12) where IgAD is markedly overrepresented (up to 30 fold). A recent study by Abolhassani *et al.* showed that autoimmune diseases were documented in approximately 30% of IgAD cases (78). This observation suggested a genetic overlap between IgAD and autoimmune disorders.

Furthermore, genome-wide association studies (GWAS) have revealed an association between IgAD and genetic variants in the gene for *IFIH1* and *CLEC16A* (45). Mutations in these genes are also associated with autoimmune diseases, which further suggest a connection between IgAD and autoimmune disease. The prevalence of autoimmune disorders has been

observed to be increased among first degree relatives of patients with IgAD, also supporting this hypothesis (79). Alternatively, the other hypothesis is that the autoimmune diseases occur as a result of recurrent infections and multiple exposures to foreign antigens that should have been "neutralized" by IgA. This hypothesis is partly demonstrated by a report which showed the presence of antibodies against milk in patients with IgA deficiency correlated with an increased frequency of serum autoantibodies (80). However, the exact pathogenetic mechanisms remain unclear.

2 AIMS

2.1 GENERAL AIM

The aim of this thesis was to study the genetic risk factors associated with IgAD in humans.

2.2 SPECIFIC AIMS

- To investigate the frequency of IgAD reversal in a large cohort of children and teenagers in order to evaluate the present definition of IgAD.
- To characterize the occurrence and concordance of IgAD in MZ and DZ twins and to identify MZ twin pairs discordant for IgAD for future functional studies.
- To fully characterize the sequence of the MHC region in order to search for mutations/variants which cause susceptibility to IgAD.
- To define etiological subgroups of IgAD using HLA risk alleles as categorical factors in order to identify potential causative non-MHC region markers involved in the pathogenesis of IgAD.

3 MATERIALS AND METHODS

3.1 SAMPLE COLLECTION

The recruitment and participation of the described Swedish patients and controls included in this thesis was approved by Regionala Etikprövningsnämnden i Stockholm (the regional ethical review board in Stockholm).

3.1.1 Children with IgAD

3.1.1.1 Symptomatic children

Between 1992 and 2012, 654 children aged from 4 to 13 years who were referred for serological testing for investigation of gastrointestinal symptoms were identified from laboratory data at five university hospitals in Sweden (283 from Karolinska Hospital in Stockholm, 78 from Sahlgrenska hospital in Gothenburg, 149 from the University Hospital in Lund, 86 from the University Hospital in Linköping and 58 from the Academic Hospital in Uppsala) and were selected for follow up analysis. Data from patients with multiple laboratory records with a minimum interval of 90 days was collected. The age of IgAD reversal was defined at the date of the first IgA value over 0.07 g/L or the first medical report indicating that IgA was detected. The follow-up concluded on 31 Jan 2014.

3.1.1.2 BAMSE follow up study

Pediatric samples from a previous study (the Children, Allergy, Milieu, Stockholm, Epidemiological survey [BAMSE] during 1994-1996) (81) were included. In total, out of 2423 children, 14 were identified to have IgAD at the age of 4 years (13) and this group had subsequently been followed up at the age of 8 years and were assessed again at 16 years of age.

3.1.2 Twin samples

For paper II, a total of 12 613 individuals (3130 MZ twins and 9483 DZ twins) were included in the study. Samples were obtained from the TwinGene project, a population-based study of Swedish twins of European ancestry born between 1911 and 1958, which included serum and DNA samples collected between 2004 and 2008 (82). For paper III and IV, 9741 Swedish twin samples (including MZ twins: 4063, one per family; DZ twins: 5678, two per family) from paper II were included, where 39 were identified as having IgAD (serum IgA < 0.07 g/L) (83).

3.1.3 Swedish IgAD patients and controls

For papers III, IV, a total of 767 Swedish IgAD patients referred to the Karolinska University Hospital in Stockholm were included in the studies. In addition, 485 healthy Swedish controls, recruited from a previous study (34) were included.

3.1.4 Multi-case family samples

For paper IV, a total of 73 Swedish multi-case families including 162 sibling pairs who had been followed up in Karolinska University Hospital in Stockholm were included in the study. Their year of birth ranged from 1910 to 2002.

3.2 SERUM IGA MEASUREMENT

3.2.1 Nephelometry

For papers I, III and IV, serum levels of IgA, IgG and IgM from the samples and controls were determined by nephelometry at the Karolinska University Hospital Clinical Immunology Laboratory, Sweden. IgAD was diagnosed if the serum IgA level was ≤ 0.07 g/L with normal serum levels of IgG and IgM.

3.2.2 Reverse-phase protein microarray

For Paper II, the serum IgA concentration was measured by a reverse-phase protein microarray as described previously (84). Briefly, diluted serum samples were spotted onto epoxy-coated microarray slides (Corning, USA) using the 2470 Arrayer Microarray Printing Platform (Aushon Biosystems, USA). Rabbit anti-human IgA (dilution 1:100 000, DakoCytomation, Denmark) and Alexa Fluor 555-conjugated goat anti-rabbit antibodies (dilution 1: 60 000, Molecular Probes, USA) were used to detect the level of IgA. Images were generated using a high-resolution microarray scanner (Agilent Technologies, USA) and subsequently analyzed by GenePix Pro 7 (Molecular Devices, USA).

3.2.3 Enzyme-linked immunosorbent assay (ELISA)

For paper I (follow up samples) and paper II (all samples), Serum IgA levels were also determined by sandwich ELISA using polyclonal rabbit anti-human IgA antibodies (DAKO, Denmark) and alkaline phosphatase-conjugated rabbit anti-human serum IgA antibodies (Jackson ImmunoResearch Laboratories, USA). Briefly, polystyrene plates were coated overnight at room temperature with 100 μ l per well of the primary antibody diluted (final concentration: 1.2 mg/l) in carbonate-bicarbonate buffer (0.05 M). The plates were washed four times with phosphate-buffered saline (PBS) with 0.5% Tween20 between the incubations. All samples were titrated against a six-fold serially diluted standard, ranging

from 3.125µg/l to 100µg/l. The samples, the standard dilutions and a blank (PBS with 0.5% Tween20) were added in duplicate and incubated overnight at room temperature. The alkaline phosphatase-conjugated antibodies (final concentration: 0.3mg/l) were added and incubated for 2 hours. p-Nitrophenyl phosphate dissolved in Diethanolamine buffer (Sigma-Aldrich, USA) was used to develop the plates and the absorbance was read at 405 nm on an ELISA microplate reader (Molecular Devices, USA).

3.3 HLA TYPING

3.3.1 HLA imputation using high-resolution SNP

Imputation of HLA alleles was performed using high-resolution SNP data and two independent imputation pipelines, HLA*IMP02 (85) and SNP2HLA(86). HLA*IMP02 was carried out using a European reference panel and absolute posterior probability (Q2) ≥ 0.7 was used as the cut off for the HLA- B, HLA-DRB1 and HLA-DQB1 analyses.

For SNP2HLA, the Type 1 Diabetes Genetics Consortium dataset reference panel was used to perform the imputation of HLA types, polymorphic amino acid positions and SNPs. The minor allele frequency cut off was set at 0.5% and info score at \geq 0.5. In total, 8404 variants, including 7125 SNPs, 1042 amino acid polymorphisms, one hundred and fortyfive 4-digit resolution HLA alleles and ninety-two 2-digit resolution HLA alleles were retained for further analysis.

3.3.2 Molecular-based HLA typing

For verification of imputation results, a total of 767 Swedish IgAD samples were typed for HLA-B, HLA-DR and HLA-DQ using PCR-SSP (87) in 2-digit resolution according to the manufacturer's instructions (Olerup SSP AB, Stockholm, Sweden).

In addition, 150 IgAD samples and 25 control samples were typed at a 4-digit resolution using sequence-based typing (SBT) method as described previously (88).

3.4 EXOME SEQUENCING

Exome sequencing and the pipeline for analysis were performed as described (89). In brief, captured libraries were generated by ligation-mediated PCR. The fragments were hybridized using the Agilent SureSelect Human All Exon 50 Mb Kit (Agilent Technologies Incorporated, USA). Subsequently, each captured library was loaded onto an Illumina Hiseq2000 sequencer (Illumina Incorporated, USA) according to the manufacturer's

protocol. The high-throughput sequencing was performed to acquire the desired average sequencing depth.

3.5 GENOME-WIDE ASSOCIATION STUDY

All of the genotyping arrays were carried out using the Omni chips developed by Illumina, Inc. (Illumina Incorporated, USA) according to the manufacturer's instructions. IgAD cases were genotyped on the Omni1-Quad and Omni2.5 chips in Genentech Inc, CA, USA and the Mutation Analysis Core Facility at the Karolinska University Hospital, Stockholm, Sweden. Swedish controls were genotyped on Omni-Quad 1 (45) and the Swedish twinGene controls (82) were genotyped using the Omni Express chip. All SNPs were mapped to build hg19 coordinates using liftOver and the strand, alleles and positions were updated according to the strand data mapped to hg19 (90).

3.6 SEQUENCING OF THE MHC REGION

In order to search for new polymorphisms associated with IgAD development in the MHC region, we sequenced ten samples (5 IgAD patients and 5 controls) who carried the whole ancestral 8.1 haplotype in a homozygous form, by high-throughput sequencing, according to the protocol described previously (88). To obtain the complete MHC sequence of these samples, those regions with an average depth lower than four reads were defined as gaps and re-sequenced by Sanger sequencing. For Sanger sequencing, specific primers pairs were designed by using PRIMER3 Input version (0.4.0) software (http://frodo.wi.mit.edu/).

One hundred and fifty ng of genomic DNA was added to a final volume of 30µl of reaction mix containing the primers (0.4 mM), dNTPs (250uM), MgCl2 (2.5 mM) and 0.8 units of enzyme (GoTaq® DNA Polymerase, Promega). In order to amplify the intergenic regions, a total of 3 different PCR programs were performed according to the DNA sequence characteristics (%AT or %GC): a) Standard PCR (94°C for 2 minute (min); 30 cycles: 94°C for 15 second (s), 60°C for 30 s and 72°C for 1 min, final elongation: 72°C for 4 min; b) Touch-Down PCR (94°C for 5 min; 30 cycles: 94°C for 30 s, 66°C for 30 s and 58°C for 12 min, final elongation: 58°C for 30 min), the annealing temperature was decreased 0.3 °C every cycle. c) High GC content – Touch down modified PCR (94°C for 5 min; 40 A cycles: 94°C for 30 s, 70°C for 30 s and 72°C for 40 s; 15 B cycles 94°C for 30 s, 58°C for 30 s and 72°C for 40 s, final elongation: 58°C for 5 min, the annealing temperature was decreased 0.3 °C every A cycle, the annealing ramp was set to 33% of the speed and the elongation ramp was adjusted to 30% of the speed. The size of all fragments was confirmed by electrophoresis in 0.8% agarose gel and the specific band was sliced follow by extracted with Qiaquick gel

extraction kit (QIAGEN, Hilden, Germany) and subsequently sent for Sanger sequencing to Macrogen Inc. All the sequences were aligned to the COX ([RRID: CVCL_E534], being homozygous for *HLA-B*0801-DRB1*0301-DQB1*0201* (91)) published sequence using ClustalW software (http://www.ebi.ac.uk/Tools/msa/clustalw2) (92, 93).

3.7 BIOINFORMATICS AND STATISTICAL ANALYSIS

3.7.1 Sub-classification of population cohort and association analysis

The analysis was initiated by comparison of individuals carrying at least one MHC risk allele (*HLA*B0801-DRB1*0301-DQB1*0201* or *HLA-DRB1*0701-DQB1*0202* or *HLA-DRB1*01-DQB1*0501*) with individuals lacking a risk allele. The significantly different variants in the sample cohort were then filtered with the control cohort and only unique variants in the case comparison were considered to have an association with IgAD. The same strategy was applied in order to investigate and verify the signal by using the cohort with at least one *HLA*B0801-DRB1*0301-DQB1*0201* risk haplotype (54% of IgAD individuals). The analysis was first performed by comparing all individuals without *HLA*B0801-DRB1*0301-DQB1*0201*, followed by a comparison of individuals lacking all risk alleles.

In the subsequent analysis, cohorts homozygous for *HLA*B0801 HLA-DRB1*0301 HLA-DQB1* 0201*(68 IgAD and 123 controls), *HLA-DRB1*0701 HLA-DQB1*0202* (7 IgAD and 30 controls) and *HLA-DRB1*01 HLA-DQB1*0501* (34 IgAD and 68 controls) were selected. In addition, we also investigated cohorts homozygous for a single allele, i.e., *HLA*B0801*, *HLA-DRB1*0301*, *HLA-DRB1*0701* and *HLA-DQB1*0501*.

 χ^2 tests of association for genotypes in each cohort were performed independently, using only variants that overlapped between the arrays. Variants reaching genome-wide significance (*P* < 5x10⁻⁸) were considered as being significantly associated with IgAD. In addition, variants with *P* < 2x10⁻⁷, and FDR< 0.05 were considered to show suggestive association with IgAD.

3.7.2 Gene-based association analysis in different subgroups

The GCTA-fastBAT software was used to analyze gene-based associations (94). A total of 24125 genes including 1522 miRNA genes from the hg19 reference were included in the analysis. MHC genes were excluded to prevent an LD effect. The gene region was set at + 50 kb from both 3' and 5' UTR of the genes. The LD cut off was set at 0.9. Non-MHC genes that had a minimum five SNPs within the regions with a total $P < 2 \times 10^{-6}$ were considered to be significant; while a total of $P < 2 \times 10^{-4}$ were regarded as showing a suggestive association with IgAD.

3.7.3 Linkage disequilibrium proxy analysis of associated variants

LD proxy analysis was performed using LDlink software (95), and only the European population (EUR) was selected for the analysis. $R^2 > 0.9$ and D' > 0.9 were used as cut-offs.

3.7.4 Pre-imputation filtering

Prior to imputation, we used Genotype Harmonizer (96) to standardize the strand alignments, as well as the format for all arrays using the 1000 Genomes Project Phase 3 integrated variant set as a reference. In addition, variants with a genotyping rate <97% and evidence of deviation from Hardy-Weinberg equilibrium (HWE) in controls ($P < 1 \times 10^{-6}$) were removed.

3.7.5 Imputation of missing genotypes in the MHC region and association analysis

MHC region imputation was performed using Impute2 (97). Only samples and controls with a high confidence HLA classification proceeded to imputation of MHC regions from chr6: 28.3 Mb to chr6: 33.8 Mb (total 180,123 SNPs) using the 1000 Genomes Project Phase 3 integrated variant set release in NCBI, with build hg19 coordinates used as the reference panel. Cases and controls were imputed together; using only genotyped variants that overlapped across all the arrays. Genotypes were imputed in 1 - 1.5 MB chunks with the effective size of the population of 20,000. Additionally, 80 haplotypes as templates when phasing observed genotypes were used. A total of 30 Markov chain Monte Carlo (MCMC) iterations were performed where the first 10 MCMC iterations were discarded as burn-in. The quality control (QC) was set as MAF > 0.5%, info score > 0.5 and calling threshold >0.9; a total of 44857 variants passed the filtering stage and were included in the analysis. The thresholds for variant calling for each were set at 97%. In addition, the evidence of deviation from HWE ($P < 1 \times 10^{-6}$) in controls was set. A logistic regression model was applied to test for the association and significantly associated HLA types were used as covariates in the conditional analysis (Plink (1.07 (98)). Variants reaching genome-wide significance (P < 5×10^{-8}) were considered as being significantly associated with IgAD.

3.7.6 Family-based association analysis

Family association analysis was performed to assess susceptibility to IgAD using a familybased association test (FBAT) (99, 100). Only HLA alleles with a minimum of ten informative families without Mendelian errors were included. Since our dataset contains multiple siblings in a family as well as various families in a pedigree, the test statistics were computed using the empirical variance, as described in Lake *et al.* (101). The single-locus analysis was conducted to test the association of IgAD with the classical HLA alleles using the additive genetic model. In addition, the multiple marker haplotype test was performed for tightly linked HLA-DR and HLA-DQ loci. The direction and frequency of transmission were indicated by the Z statistic (Z score) and p-values.

3.7.7 Estimation of increased risk over population prevalence to siblings due to disease susceptibility HLA genes

The attributed risk analysis due to specific HLA alleles for IgAD development was based on the formula developed by Risch (102). The identity-by-descent (IBD) of all sibling pairs were calculated using the GENIBD program in the Statistical Analysis for Genetic Epidemiology (S.A.G.E) package (103). The allele frequency in the Swedish population was extracted from an allele frequency database (104). A lack of MHC recombination between HLA-DR and HLA-DQ was assumed in the calculation. The recurrence risk for siblings with IgAD was set at 50 according to a previous report (105).

3.7.8 MHC recombination analysis

The MHC region of six crossover samples, including three *HLA-DRB1*0301-HLA-DQB1*0201* samples without *HLA-B*0801* and three samples with *HLA-B*0801* only (without *DRB1*0301-HLA-DQB1*0201*) were sequenced using high-performance deep sequencing. The possible crossover layover in the class III region (the region between HLA-B and HLA-DRB1) were examined against COX, *HLA-B*0801 DRB1*0301-HLA-DQB1*0201* homozygous control and *HLA-DRB1*0301-HLA-DQB1*0201* heterozygous control using fine mapping SNP examination.

Sequencing reads of 6 crossover samples and 10 homozygous samples (including COX) were aligned to hg19 using the Burrows-Wheeler Aligner (BWA ver: 0.7.10). The bam files from 6 crossover samples were then used as input by samtools (version 1.2) phase to link heterozygous SNPs to haplotypes. Homozygous regions within these heterozygous haplotypes were also regarded as haplotypes. Ten homozygous samples were used as the control to call SNPs using Samtools software (ver1.2). The haplotypes of 6 crossover samples were filtered out if their SNPs were inconsistent with the controls, or if their length was less than 5 Kbp. Only the haplotype from the ancestral COX haplotype remained after the filtering process. Subsequently, all the samples were linked to construct the crossover regions. The final region which contained the portion of the ancestral haplotype was determined as the shared region of these areas.

3.7.9 MHC segment-based analysis

The GCTA-fastBAT analysis was performed to investigate the segment based association (94). The MHC region chr6: 28.3 – chr6: 33.8 was analyzed in 100 Kbp interval segments. In total, 242 MHC genes, including 12 miRNA genes from hg19 reference genes were included in the region. LD cut-off of 0.95 was set.

3.7.10 Heritability estimation

The genome-wide complex trait analysis-restricted maximum likelihood (GCTA-REML) software (106) was used to estimate variance explained within the MHC region using the IgAD cases and controls. The heritability of IgAD within the MHC was estimated in the Swedish IgAD cohort (n=44857 imputed genotypes) (106), using the first 10 eigenvectors as covariates in the model and adjusted for the disease prevalence in Sweden (1/600).

3.7.11 Statistical Analyses

All the statistical analyses were performed using Microsoft Excel 2010 (Microsoft, USA) and GraphPad Prism software (GraphPad, USA). Fisher exact tests and one-way analysis of variance (ANOVA) were used in paper I and paper II.

4 **RESULTS**

4.1 REVERSAL OF IGAD IN CHILDREN

4.1.1 Frequency of reversal of IgAD based on clinical record review

A total of 654 children diagnosed with IgAD between 1992 and 2012 at one of five participating university hospitals were identified. The children were aged between 4 and 13 years. Of these, 232 had follow-up testing results available (minimum interval 90 days) up to 31 January 2014. In order to assess the validity of using 4 years of age as the minimum age at which to reliably diagnose IgAD, the children were subdivided into three age groups (A: 4–4.99; B: 5–9.99; C: 10–12.99) based on the age at which they were first diagnosed with IgAD.

Nine out of thirty-nine (23.1%) children who were identified to have IgAD at 4 years of age had a serum IgA level above 0.07g/L upon re-testing. The average age of reversal was 9.53 ± 2.91 years. Additionally, 30 of the 131 (22.9%) children with IgAD identified between 5 and 9.99 years of age had a serum IgA level which had returned to the normal range at an average age of 12.21 ± 3.43 years of age. However, only 4 out of 62 (6.5 %) children who were diagnosed at 10 - 12.99 years of age showed a reversal of IgAD during the follow-up period. The frequency of reversal was significantly higher for children who were identified to have IgAD prior to the age of 10. No significant differences in gender were observed in IgAD reversal at any age of diagnosis.

4.1.2 BAMSE follow up study

From previous findings, five out of the ten children with IgAD had normal serum IgA levels at 8 years of age (13). Fourteen serum IgA levels from the 16-year old follow up study were analyzed using ELISA and 8 of the 14 children (57.1%) showed an increase in serum IgA above the cutoff level. Out of the eight children, four children were found to have a normalized IgA level (serum IgA over 0.7 g/L), while four remained partially deficient (serum IgA level 0.07 – 0.7 g/L), giving a prevalence of IgAD in the BAMSE cohort of 1:404 at 16 years of age.

4.2 THE HIGHER FREQUENCY OF IGA DEFICIENCY AMONG SWEDISH TWINS IS NOT EXPLAINED BY HLA HAPLOTYPES

4.2.1 Distribution of IgA concentration

The mean IgA concentration was 2.38 g/L (Standard Deviation (S.D) = 1.03) for MZ twins and 2.56 g/L (S.D=1.17) for DZ twins. The difference was significant for the mean concentration (t = -7.8, P= 9.9 x10⁻¹⁵) and the effect size ($\eta 2 = 0.0047$) was extremely small. The sex-stratified means were 2.53 g/L for male MZ twins and 2.26 g/L for female MZ twins, as compared with 2.71 g/L for male DZ twins and 2.43 g/L for female DZ twins. By using one-way ANOVA, the mean serum IgA concentrations for male and female twins were found to be significantly different (P= 1.43 x10⁻⁴³). However, no significant difference in the concentration was observed in a time-of-sampling-dependent manner.

4.2.2 Influence of HLA alleles on IgAD and IgA concentration

4.2.2.1 Influence on IgAD

Comparison of HLA haplotypes was made between the twin study population (based on imputation of HLA types from high-resolution SNP data) and data from approximately 40 000 individuals from the National Swedish Bone Marrow Donor Registry (Tobias Registret http://www.tobiasregistret.se). There was no difference in the frequency of HLA alleles in the twin cohort compared with a national population cohort. In addition, the HLA types of the MZ IgAD twins did not show significant differences when compared with the DZ twins.

4.2.2.2 IgA concentration

ANOVA was used to study the influence of individual HLA alleles on the IgA concentration. For MZ twins, IgA concentrations from only one twin from each twin pair were used, while IgA concentrations from both DZ twins were used. The IgAD associated HLA alleles A*01, B*08, B*13, B*14, DQB1*05, DRB1*01 and DRB1*07 were investigated. In addition, the protective alleles HLA B*07 and DRB1*15 (34) were also studied. From the analysis, individuals with the HLA alleles A*01 (p =0.019), B*08 (p=0.003), B*14 (p=0-042), DRB1*01 (p<0.001), DRB1*03 (p=0.011) and DQB1*05 (0.038) were found to have a significantly lower mean IgA concentration. On the other hand, individuals who carried the HLA alleles B*07 (p=0.001), DRB1*15 and DQB1*06 (0.01) were found to have an increased mean IgA concentration. There was no significant difference observed between individuals with or without the HLA alleles B*13, DQB1*02 and DRB1*07.

4.2.3 Exome sequencing of selected discordant MZ twins

Exome sequencing was performed on two selected MZ twin pairs discordant for IgAD. However, no mutations or structural variants were found in the IgA deficient twin as compared to their sibling.

4.3 FINE MAPPING AND DEEP SEQUENCING OF THE MAJOR HISTOCOMPATIBILITY COMPLEX IDENTIFIES SUSCEPTIBILITY LOCI/VARIANTS FOR IMMUNOGLOBULIN A DEFICIENCY

4.3.1 Estimation of heritability MHC effect

The genome-wide complex trait analysis-restricted maximum likelihood (GCTA-REML) software (106) was used to estimate variance explained within the MHC region using a large-scale case-control study (636 IgAD patients and 7798 controls). The heritability of IgAD in the Swedish patients in the MHC region was estimated to be 46% (standard error [S.E.] =2.9% with the first 10 eigenvectors as covariates in the model and adjusted with the disease prevalence in Sweden [0.167%]).

4.3.2 Family association analysis and estimation of attributed risk due to disease susceptibility HLA genes

Seventy-three multi-case families including 162 sibling pairs who had been followed up since 1999 were included in the analysis. Strong associations were observed in *HLA-B**08 (Z: 2.60; p=0.0094), *HLA-DR**03 (Z: 2.98; p=0.0029) and *HLA-DQ**02 (Z: 3.91; p=0.0001) while the strongest protection was seen in the *HLA-DQ6* (Z:-2.69; p=0.0072) positive individuals, which was in agreeance with a previous finding (32). We next determined the attributed risk due to this specific HLA ancestral allele for IgAD development using the identity-by-descent (IBD) of all sibling pairs based on the formula developed by Risch (102). Based on a total of 31 affected sibling pairs where at least one sibling carried the risk haplotype (*HLA-B*08-DRB1*03-DQB1*02*), the genetic effect due to this risk haplotype was 34.6%.

4.3.3 MHC fine mapping and Haplotype association analysis in a large-scale casecontrol study

Three class I HLA genes (*HLA-A*, *HLA-B* and *HLA-C*) and five class II HLA genes (*HLA-DRB1*, *HLA-DQA1*, *HLA-DQB1*, *HLA-DPA1* and *HLA-DPB1*) were examined. Twenty-six 4-digit HLA alleles were significantly associated with IgAD ($p < 5 \times 10^{-8}$) (Figure 3). The strongest association signal was detected from *HLA-DQB1*0201* (OR = 3.35, *P*= 1.13 × 10^{-78}) whereas the highest odds ratio (OR= 7.78, CI 95%, 5.335 – 11.34) was derived from *HLA-DRB*0102*. In addition, the multiple haplotype effect of the *HLA-B*0801-DRB1*0301-DQB1*0201* allele (OR = 3.59, *P* = 3.17 × 10^{-82}) showed the strongest association with IgAD, while the secondary signal came from *HLA-DRB1*0701-DRB1*0202* (OR = 1.84, *P* = 1.19×10^{-9}). These results were consistent with previous findings (34, 107).

Because of the extensive LD nature and complexity of the MHC region, a conditional analysis was used to identify independent MHC haplotypes that may drive IgAD risk. The most significant associated alleles from *HLA-B*, *HLA-DRB1* and *HLA-DQB1* were in the model as covariates. If any HLA alleles remained significantly associated, it would be included in the next model as a covariate until no HLA alleles would reach the stringent significant threshold ($p < 5x \ 10^{-8}$). Firstly, we used multiple logic regression analysis conditioned on the strongest risk haplotype in *HLA- B*, *HLA-DRB1* and *HLA-DQB1* alleles. The *HLA-DPB1*1301* allele (OR = 1.84, $P = 2.20 \times 10^{-9}$) showed an independent risk separate from all known associated alleles. Further conditional analysis using *HLA-DPB1*1301* as a covariate did not show any other significant associated alleles. In addition, linkage graph analysis using the Disentangler software confirmed that the *HLA-DPB1*1301* signal is independent of the currently known susceptibility haplotypes for IgAD.



Figure 3. HLA alleles reaching significance in single locus association analysis. The positions of HLA genes show the physical order of the genes in chromosome 6 (reference: hg19). The susceptibility HLA haplotypes were highlighted in red boxes and the protection haplotypes were highlighted in blue boxes.

4.3.4 Long-range haplotype analysis

One common long-range haplotype spanning the entire HLA class I and class II regions that was in strong association with IgAD risk in the Swedish population was observed, i.e. *HLA-A*0101-C*0701-B*0801-DRB1*0301-DQA1*0501-DQB1*0201-DPA1*0201-*

*DPB1*0101* (F case = 0.091, OR = 4.07, P= 1.71×10^{-31}). Further analysis of this longrange haplotype showed that only in the presence of *HLA-A*0101* (*OR=0.488*, *P*= 4.56 × 10^{-4}), *HLA- A*0101-C*0701- B*0801*(*OR= 0.985*, P= 0.957) or *HLA-DRB1*0301-DQA10501-DQB1*0201* (*OR= 1.03*, P= 0.913) was this haplotype not associated with IgAD.

4.3.5 MHC recombination analysis

The MHC region of crossover samples from six patients, including three patients heterozygous for *HLA-DRB1*0301-HLA-DQB1*0201* but without *HLA- B*0801*, and three samples heterozygous for *HLA-B*0801* alone but without *DRB1*0301-DQB1*0201*, where the second haplotype has been shown to be neutral with regard to IgAD were examined. The possible crossover site was located in the class III region (between *HLA-B* and *HLA-DRB1*) and was analyzed using the COX cell line (91) as a control. The haplotype blocks (5 kbp/blocks) that were homozygous in COX, but heterozygous in the samples were considered "ancestral blocks." A region of approximately 110 kbp in length, which may contain the shared ancestral block for all the samples was identified. The chromosome is located at chr6:31997601-32107851, within the MHC class III region. The genes located within this region are *C4B, CYP21A2, TNXB, ATF6B,* and *FKBPL*.

4.3.6 Complete sequencing of the MHC region

The MHC region was completely sequenced and aligned to the COX cell line using the ClustalW software. In total, 473 SNPs and 3837 InDels variants were identified. Thirty-nine of these were located in exons, 74 in UTR's, 1562 in introns and 2635 in the intergenic regions which have not been listed in either the dbSNP150 database (February 03, 2017 release) or in the 1000 Genomes Project database (September 14, 2014 release) or the NHLBI-ESP project with 6500 exomes (June 07, 2013 release). There was no significant difference observed in the new variants between IgAD patients and controls.

4.3.7 MHC segment analysis and identification of the independent variants associated with IgAD

A 100K bp segment-based analysis with an LD cut-off set at 0.95 was performed. The analysis included 8434 individuals in order to investigate the strongest association region for

IgAD within the MHC region. Interestingly, the strongest association was detected in the class III region, chr6: 32.1 - 32.2, $P = 8.34 \times 10^{-110}$ whereas rs1800625 produced the strongest signal ($P_{\text{nominal}} = 4.80 \times 10^{-104}$). The stepwise conditional analysis allowed us to identify three independent signals, including *RNF5* (rs3130349), *BTNL2* (rs1980493), and *HCG23* (rs3117097) within the MHC region class III region that were associated with IgAD.

4.4 DISTINCT NON-MHC GENE ASSOCIATIONS OF IGAD PATIENTS CARRYING DIFFERENT MHC RISK ALLELES

4.4.1 MHC association analysis and multiple haplotype association investigations

8,434 samples (636 IgAD and 7798 controls) passed QC and were included in the analysis. In the MHC haplotype analysis, the HLA-B*0801-DRB1*0301-DQB1*0201 haplotype showed the strongest association with IgAD (OR = 3.59, $P = 3.17 \times 10^{-82}$). The HLA-DRB1*0701- DRB1*0202 (OR = $1.84, P = 1.19 \times 10^{-9}$) and HLA-DRB1*0101-DQB1*0501 (OR = 1.41, $P = 1.31 \times 10^{-4}$) showed a weaker association with IgAD. The effect of HLA-DRB1*0102-DQB1*0501 was not possible to determine due to the low frequency in controls (F < 0.01). Therefore, combined signals of HLA-DRB1*0101 DQB1*0501 and HLA-DRB1*0102-DQB1*0501 were investigated and showed a strong association signal *HLA-DRB1*01-DQB1*0501* (OR = 1.84, $P = 3.90 \times 10^{-14}$). However, the presence of *HLA-B*0801* (OR = 1.32, $P = 1.17 \times 10^{-1}$) alone or *HLA-DRB1*0301*-DQB1*0201 (OR = 1.32, $P = 7.24 \times 10^{-2}$) alone was not sufficient to confer susceptibility to IgAD. Similarly, the presence of the HLA-DRB1*0701 (OR = 1.06, $P = 7.16 \times 10^{-1}$) alone was not associated with IgAD. Since the HLA-DOB1*0202 is in complete linkage disequilibrium (LD) with HLA- DRB1*0701, it was therefore not possible to investigate the effect of HLA-DQB1*0202 without the presence of HLA- DRB1*0701. Similarly, the effect of HLA-DRB1*01 or HLA-DQB1*0501 alone could not be determined due to the low number of cases and controls.

Haplotype linkage analysis showed that *HLA-DQB1*0201* was in complete LD (100%) with *HLA-DRB1*0301* and vice versa and *HLA-DQB1*0202* had a 100% association with *HLA-DRB1*0701*. On the other hand, 74.7% of *HLA-DRB1*0701* was linked to *HLA-DQB1*0202*, whereas 24.7% was associated with *HLA-DQB1*0303*. In addition, 72% of the *HLA-DRB1*0101* and 22.8% of the *HLA-DRB1*0102* alleles were associated with *HLA-DQB1*0501*, while the remaining 5.2% were mainly associated with *HLA-DRB1*0103* and *DRB1*1001*.

4.4.2 Analysis of the influence variants outside of the MHC region in IgAD patients homozygous for high-risk HLA alleles

Based on the cross-comparison strategy, only one significantly associated non-MHC variant, rs4097492 (OR = 0.23, $P= 7.63 \times 10^{-9}$), an intronic variant of *STXBP6* on chromosome 14 was detected in the IgAD cohort alone. Next, we analysed the individuals expressing at least one *HLA*B0801-DRB1*0301-DQB1*0201* and compared these with individuals who do not carry *HLA*B0801-DRB1*0301-DQB1*0201* (but we did include individuals carrying other risk haplotypes), and did not detect any significant variants. However, if we only compared these with individuals not carrying a risk haplotype, the identical non-MHC variants, rs4097492 (OR = 0.22, $P= 4.01 \times 10^{-8}$) passed the genome-wide threshold ($P < 5.0 \times 10^{-8}$).

In the *HLA-DRB1*0701-DQB1*0202* homozygous cohorts, we identified one significant ($< 5x \ 10^{-8}$) and two suggestive variants ($< 2 \ x \ 10^{-7}$; FDR ≤ 0.05). The peak novel variant was rs2133282 (OR = 33, *P*= 3.97 x 10^{-8} ; FDR= 0.02), an intergenic variant located between *NOX3* and *ARID1B* on chromosome 6. Rs3917325 (OR = 59, *P*= 1.57 x 10^{-7} ; FDR< 0.05), an UTR3 variant of *IL1R1* on chromosome 2 and rs257945 (OR = 38.67, *P*= 1.14 x 10^{-7} ; FDR= 0.05), an intergenic variant located between *NEDD1* and *RMST* on chromosome 12 were found to be suggestively associated.

For the *HLA-DRB1*01-DQB1*0501* homozygous individuals, rs10399952 (OR= 15.4, P=5.05x10⁻⁹), a variant of *FMO1* on chromosome 1 was found to be significantly associated with IgAD. However, we did not detect any strong signal ($< 5x 10^{-8}$ or $< 2 x 10^{-7}$) based on the analysis method in the *HLA-B*0801-DRB1*0301-DQB1*0201* homozygous cohort.

4.4.3 Analysis of influence genes using gene-based analysis in different subgroups

In order to enhance the detection power, the data was investigated using a gene-based association analysis. With the enhanced detection method, CD40 ($P = 6.89 \times 10^{-5}$) on chromosome 20, with 29 SNPs in the analyzed gene region, was found to be potentially associated with IgAD in patients homozygous for HLA-B*0801-DRB1*0301-DQB1*0201. DHX38 ($P = 8.60 \times 10^{-5}$), a novel inhibitor of protein phosphatase 4 (108) located on chromosome 16 with a total of 14 SNPs in the analyzed region, was shown to be weakly associated with IgAD patients homozygous for HLA-DRB1*01-DQB1*0501.

A total of 7 gene regions were identified, showing suggestive association with IgAD in the patients who did not carry any of the major MHC susceptibility alleles. Most (6 out of 7) of these genes were associated with DNA repair or autoimmune disease. The associated genes

included *B3GNT6* ($P = 2.09 \times 10^{-6}$), *TNFRSF13B* (TACI) ($P = 1.12 \times 10^{-4}$), *GIMAP5* ($P = 1.9 \times 10^{-4}$), *SFPQ* ($P = 1.00 \times 10^{-4}$), *OXA1L* ($P = 1.18 \times 10^{-4}$), *TFAP2E* ($P = 6.99 \times 10^{-5}$), and *ZMYM4* ($P = 1.07 \times 10^{-4}$). The locations of all the identified genes identified by two different methods are shown in the chromosome ideogram according to the MHC susceptibility groups (Figure 4).



Figure 4. Chromosome ideogram for all identified susceptibility genes/locus in the patients with different MHC risk alleles. Chromosome ideogram was generated using the Phenogram software (109). Blue circle: location of genes/locus associated with the HLA-B*0801-DRB1*0301-DQB1*0201 homozygous cohort; Green circle: location of genes/locus associated with the DRB1*01-DQB1*0501 homozygous cohorts; Red circle: location of genes/locus associated with the DRB1*0701-DQB1*0202 homozygous cohorts; Black circle: location of genes/locus associated with patients carrying no MHC susceptibility genes. Pink circle: location of genes/locus associated with patients carrying at least one MHC susceptibility genes. The MHC region is highlighted in purple box. Coloured region indicated the cytogenetic band on each chromosome according to the predefined setting, based on ideogram documented in the UCSC database (110).

5 DISCUSSION AND FUTURE PERSPECTIVES

Overall, this thesis aimed to identify the genetic basis of the most common primary immunodeficiency disorder in the world. Before commencing the genetic analysis, we first verified and fine-tuned the current definition of IgAD in order to have a better sampling criteria for subsequent projects. To date, several studies have shown that compared to adults, there is a relatively high proportion of IgAD in pediatric patients that are transient in children over the age of four (72, 111-115). In paper I, our study was the first to evaluate reversal of IgAD among children. We showed that around 22.9% (39 out of 170) children who were diagnosed as having IgAD prior to 10 years of age had an increase in their serum IgA level with increased age. The reversal was highly significant as compared to those diagnosed after 10 years of age. This observation indicated that more than one-fifth of Swedish children might have delayed ontogeny of their IgA system. Hence, it may be too early to establish a diagnosis of IgAD using a serum level of 0.07g/L as a cutoff in children. In addition, in the BAMSE follow up study, more than 57.1 % (8 out of 14) of the children had reversed their IgAD status during a follow-up period of 12 years. The percentage of reversal was more than twice as high (57.1% vs. 22.9%) in this cohort as compared to children with a suspected disease based on gastrointestinal symptoms, celiac disease, etc. Since the BAMSE cohort represents a "healthy" control group, this observation indicated that reversal of the serum IgA level may potentially be higher in asymptomatic individuals.

Next, we initiated a twin study (**paper II**). Twins, in particular MZ twins discordant for a given disease, provide a unique opportunity to study the complex interplay of genes and environment in disease susceptibility (116). To date, there are six studies evaluating serum IgA concentrations in twins, of which three studies were case reports (117-119). Two studies quantified and compared the level of serum IgA in MZ and DZ twins (42, 43). The first paper suggested a genetic influence on serum IgA concentrations (120) whereas the second study showed that the serum IgA concentration was influenced by a combination of genetic and environmental factors (121). The last report focused on susceptibility genes for immunoglobulin deficiencies in MZ twins discordant for type 1 diabetes (122). Pairwise concordance for IgA was 50% in the MZ twins, thus markedly higher as compared with randomly paired controls.

We reported that the prevalence of IgAD was 1:241 in MZ twins and 1:198 in DZ twins, which was markedly higher than that in the healthy Swedish adult population (1:600). However, the associated HLA haplotypes were not significant in comparison with 40 000 Swedish healthy controls. This observation suggested that the genetic contribution by the

MHC region might be similar. The risk-conveying HLA alleles A*01, B*08, B*14, DRB1*01 and DQB1*05 were associated with significantly lower serum IgA concentrations in the twin cohort. Our report is the first to describe the disease risk and protective MHC alleles that influence serum IgA levels. In addition, exome sequencing from two MZ twin pairs discordant for IgAD revealed no differences between the siblings. Furthermore, the heritability of 35% for IgAD suggests a genetic influence.

We further investigated the role of genetics in IgAD by performed a comprehensive examination within the MHC region to study the IgAD risk (**paper III**) and studied the association/interaction of the non-MHC genes in individuals who carry different MHC haplotypes (**paper IV**). The majority of the twin cohort participants (77.2%) and more than 1100 IgAD patients and controls were also included in the study using fine-mapping strategies.

In general, fine-mapping strategies have confirmed the major associated locus reported by serotype analysis within a particular MHC locus in most autoimmune disorders. In addition, the strategies have provided the opportunity to investigate and determine specific allelic variants as well as independent variants in different HLA classes that are associated to the diseases. For example, for multiple sclerosis (MS), SLE and T1D, the strongest association was detected within with the MHC class II locus. However, there are also weaker associations within the class I as well as class III regions being fine-mapped (123-125). In addition, *HLA-DPB1*17*, in MHC class II, was identified being the most significant haplotype associated with dermatomyositis in Asian population (126).

By applying similar strategies, we confirmed the primary association signal from the *HLA-B*0801-DRB1*0301-DQB1*0201* haplotype (OR = 3.59, $P = 3.17 \times 10^{-82}$) and a secondary signal was observed from the *HLA-DRB1*0701- DRB1*0202* haplotype (OR = 1.84, $P = 1.19 \times 10^{-9}$). This data is in agreement with previous findings (32, 34). In addition, *HLA-DPB1*1301* (OR = 1.84, $P = 2.20 \times 10^{-9}$) was shown to be an independent risk signal and concurred with previous observations (107).

Extensive LD has been a significant obstacle to the investigation of causal genes within the MHC region. The recombination rate within the MHC is lower than the genome-wide rate determined by sperm typing (127). The rate was predicted to be less than 1 % per Mega basepair (bp) in each meiosis (128, 129). The same report showed that the recombination rate within the class II region was 0.74% and 0.94% within the class III region (128). However, only one unique case of recombination within the *DRB1-DQA1-DQB1* locus has been

observed (130). Investigation of the recombination between loci may provide clues to the location of the IgAD predisposing genes. We thus examined the MHC region in crossover samples from patients, and identified a region approximately 110 kb in length in MHC class III as shared ancestral block. However, further analysis with controls carrying the ancestral 8.1 haplotype did not show any differences. In addition, there was no significant difference noted after verification with complete sequencing of the regions (including 91 newly identified variants in the shared ancestral block). This observation suggested that the ancestral block may not contain independent IgAD predisposing genes. The MHC class I and II genes themselves may carry the susceptibility. Since not all individuals who carry MHC risk haplotypes suffer from IgAD, susceptibility to IgAD may be due to a combined effect owing to an interaction within MHC genes, as well as the interaction of an MHC-encoded susceptibility allele with a disease contributing non-MHC gene.

Fine mapping strategies have identified multiple MHC Class III variants significantly associated with autoimmune diseases, including the association to variant rs2516489 which is located between the *MICB* and *LST1* genes in MS (125). An independent association signal located at the upstream of *NOTCH4* in MHC class III region was determined by a stepwise meta-analysis of sizeable European SLE cohort (131). Additionally, an association signal of *SKIV2L* in the MHC class III region has also been suggested involving in susceptibility to the pathogenesis of SLE (132).

In addition, Goudey et al. reported 20 significant epistatic signals within the MHC which contribute to the genetic architecture of celiac disease (133). The majority of the strongest signal was located in the MHC class III region, over 1Mb upstream of the *HLA-DQA1* and *HLA-DQB1* risk loci, whereas the strongest signal corresponded to genes in the MHC class III region, in particular, *PRRC2A* and *GPANK1/C6orf47* (133). Celiac disease has been reported to be strongly associated with IgAD, with up to a 15-fold increase in the prevalence of IgAD observed among both children and adults with CD (12). The identification of strong association regions within MHC class III that likely play a role in the genetic epistasis in IgAD suggests a potentially shared genetic predisposition with celiac disease.

By using segment-based analysis in the fine-mapping strategies, the strongest association was detected in the class III region, which contains 14 genes, with rs1800625 producing the strongest signal ($P = 4.80 \times 10^{-104}$). The variant, rs1800625 is located in the promoter region of the advanced glycosylation end product-specific receptor (*AGER*). The gene is involved in innate immune mechanisms as well as mediating interactions of advanced glycosylation end

products which play a crucial role in regulating the production/expression of TNF, as well as oxidative stress (134, 135). TNF has previously been shown to be involved in the regulation of IgA production (136, 137). In addition, three independent signals including variants in *RNF5* (rs3130349), *BTNL2* (rs1980493), and *HCG23* (rs3117097) within the MHC class III region has been identified. Rs3130349 has been reported to be linked to age-related macular degeneration (AMD) (138, 139). Interestingly, in AMD patients, overactive IgA responses and increased levels of serum IgA have been observed (140). The *BTNL2* variant rs1980493 has previously been shown to be associated with autoimmune diseases including autoimmune thyroid disease, T1D and SLE (141-143) and IgAD is overrepresented among all these autoimmune disorders(12). This observation provides another piece of evidence of a potential shared genetic predisposition between IgAD and autoimmune diseases.

In addition to identifying independent variants, GWAS and MHC region fine-mapping studies allowed the analysis of epistatic interactions between genes. Multiple studies have reported the epistatic interactions between MHC and non-MHC alleles in autoimmune diseases, including T1D (144-146), GD (147-150), RA (151), SLE (146), ankylosing spondylitis (AS) (152), psoriasis (153) and MG (154). For example, the most significant epistatic interaction signal was identified between the MHC region and cytotoxic T lymphocyte antigen 4 (CTLA4) in the European SLE patients (155). CTLA4 gene is upregulated in activated T cells (interacting with antigen-presenting cells (APCs)) and transmits an inhibitory signal to T cells (156). This finding indicated that proper antigen presentation and T cell activation is essential in the pathogenesis of SLE (155). In addition, the epistatic effects of MHC and non-MHC loci may also help to elucidate the mechanistic basis of the disease. For instance, individuals who carry variants in ERAP1 showed an increased risk of psoriasis when they also carried a HLA-C risk allele (153). Similarly, epistatic effects were also observed for ankylosing spondylitis (AS), where loss-of-function variants of ERAP1 reduced the risk of AS in individuals who carried the HLA-B*27 and HLA-B*4001 haplotypes, but not in individuals carrying other risk haplotypes (152). Animal studies have shown that ERAP1 regulates the cleavage of relevant epitopes so that the epitopes can be presented by the HLA-B*27 molecule (152). This observation suggested that specific epitope have to be cleaved by ERAP1 in order to be efficiently presented. This step may be critical for identification of specific triggers for autoimmune diseases.

Our study is the first to investigate the interaction between the MHC alleles with non-MHC genes in IgAD. Altogether, we identified 14 variants/genes that are potential susceptibility loci for IgAD in different cohorts, including one for all major risk alleles, one from *HLA*-

*B*0801-DRB1*0301-DQB1*0201*, three from the *HLA-DRB1*0701-DQB1*0202* cohort, two from *HLA-DRB1*01-DQB1*0501* and seven in the patients who do not carry any susceptibility MHC alleles. The majority of the identified genes and variants were associated with an immune function or autoimmune disease, including the known IgAD associated genes, *TNFRSF13B/TACI* (56, 57). *TACI* gene was detected in patients who did not carry any risk alleles. On the other hand, our results show that *CD40*, which is involved in the regulation of IgA class switching (157), is suggestively associated with IgAD in the patients who are homozygous for the *HLA-B*0801-DRB1*0301-DQB1*0201* haplotype. We also identified a UTR variant in the *IL1R1* gene which was associated with the IgAD in the *HLA-DRB1*0701-DQB1*0202* cohort. Interestingly, multiple loci in *IL1R1* have been reported to be associated with IgA nephropathy (IgAN) (158), a disease which is linked to overproduction of IgA. This finding suggests that *IL1R1* may potentially play a role in IgA production.

As the clinical presentation of IgAD varies, ranging from asymptomatic to highly symptomatic patients, observation of differences in the non-MHC association in IgAD depending on the MHC risk alleles is not unexpected. The findings open up interesting perspectives for future research.

GWAS has been highly successful in the identification of genetic variants associated with complex human disorders. However, GWAS generally captures only a few percents of the estimated heritability for these complex diseases (159). Application of a conservative threshold for the association may miss some causal SNPs. In addition, it has been postulated that the missing heritability could partially be explained by epistasis or rare variants (160). A well-selected cohort may improve the discovery of missing causal signals (160). In our study, selected patients and controls enabled us to identify novel association signals within and outside the MHC regions. A similar approach has been adopted previously in other autoimmune diseases including AS and psoriasis, where the interaction between the *HLA-B*51* allele and *HLA-C* loci and *ERAP1* and *HLA-B*27*, respectively were identified (161-163). In contrast, these different strategies missed the detection of several genes which were previously implicated in the susceptibility to IgAD, including *PVT1*, *ATG13-AMBRA1*, *AHI1*, *CLEC16A* and mir-6891 (46, 164). In addition, the relatively smaller sample size in our selected cohort also limited our detection power in genes with a modest effect, although multiple strategies were employed.

In summary, this thesis has helped to advance our knowledge of the genetics of IgAD, the most common primary immunodeficiency disorder in the western world. In addition, this

study has also helped to refine the diagnostic definition of IgAD. Given the variable clinical presentation of IgAD, our findings, including 14 associated variants/genes in different cohorts outside the MHC region, four genes in the MHC class III region and three major MHC haplotypes, suggests that in contrast to other monogenic primary immunodeficiency diseases, IgAD is most likely to be a multi-complex rather than a pure monogenic disease, further evaluation of which represents the "next generation" in the genetics of immunodeficiency diseases. Further advancement in genetic studies may lead to a new definition of the disease based on the genetics of the individual. In addition, sub-categories of the disease may be identified. Currently, screening and evaluation for IgAD in the Asian population is ongoing to verify whether Caucasian haplotypes are the major risk factors for the disease. Additionally, whole genome sequencing of multi-case families is progressing in order to further enhance our understanding of the genetics of the disease. In addition, further work such as proteinprotein interaction investigation, gene knock-in/out and expression testing are planned to validate the functional role of the novel associations described in the present thesis. It may also form the basis for understanding the cellular pathways involved in the pathogenesis of the disease, paving the way for potential supportive or even curative therapy for affected patients.

6 CONCLUSIONS

- In **Paper I**, the rate of reversal of IgAD in children who were diagnosed before the age of 10 is significantly high. The minimum age of four years to make a diagnosis of IgAD with a 0.07 g/L as cutoff may need to be altered to prevent a premature diagnosis of IgAD. A definitive diagnosis of IgAD should not be made before the early teens.
- In **Paper II**, the MHC haplotypes were shown not to be the factor responsible for the increased frequency of IgAD in twins. However, the MHC haplotypes may play a role in regulating serum IgA concentrations in the twin cohort. A heritability of 35% was demonstrated and suggested that genetic influences are important for IgAD.
- In **Paper III**, novel independent HLA haplotypes, as well as independent gene variants associated with IgAD disease risk within the MHC were identified. Complete sequencing of the ancestral 8.1 haplotypes identified a large number of novel genetic variants and may provide valuable information for the investigation of other MHC associated diseases.
- In **Paper IV**, the development of IgAD may be variable depending on the presence of different genes within selected MHC susceptibility haplotypes which interact with the potential disease-causing non-MHC genes. Understanding the interaction between MHC and non-MHC genes/protein may facilitate future identification of the etiology of IgAD.

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