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1 **Age structure as an indicator of poaching pressure: insights from rapid**
2 **assessments of elephant populations across space and time**

3

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51 **ABSTRACT**

52 Detecting and monitoring illegal harvesting pressure on wild populations is
53 challenging due to the cryptic nature of poaching activities. Although change in
54 population age structure has been suggested as an indicator of harvesting pressure,
55 few studies have tested its validity when based on short-term field surveys. Using data
56 from rapid demographic assessment surveys carried out in 2009 at six sites in
57 Tanzania, we examined whether African elephant populations experiencing
58 contrasting levels of poaching pressure showed significant differences in their age
59 structure, operational sex ratio (i.e. adult males to adult females), dependent
60 individual to adult female ratio at the group level, and proportion of tuskless
61 individuals. We also compared similar metrics between the population sampled in
62 Ruaha National Park in 2009 and again in 2015 following a suspected increase in
63 poaching. Elephant populations experiencing medium and high levels of poaching in
64 2009 were characterised by fewer calves and old individuals, a reduced number of
65 adult males relative to adult females, and a lower ratio of calves to adult females
66 within groups. We also found a higher proportion of tuskless individuals in poached
67 populations (> 6 %). Changes in age structure in the Ruaha population between 2009-
68 15 were similar to those observed across sites in 2009. Our findings are consistent
69 with previous work documenting how the loss of older individuals – targeted for their
70 larger tusks – decreases recruitment and survival of elephant calves. Illegal killing for
71 ivory is a huge threat to the survival of African elephants. In this context, the present
72 study contributes towards validating the use of age structure as an indicator of
73 poaching pressure in elephant populations, but also in other wildlife populations
74 where illegal offtake is targeted at specific age classes.

75

76 **KEYWORDS:** age structure, operational sex ratio, rapid demographic assessment,
77 Ruaha National Park, Tanzania, tusklessness.

78

79 **1. INTRODUCTION**

80 Illegal harvesting activities affecting wildlife populations can be hard to detect and
81 monitor, especially in populations that are not under close observation (Gavin et al.
82 2010, Liberg et al. 2012). Although numerous indicators have been developed to help
83 track illegal harvesting pressure on wild populations, including interview and market-
84 based metrics (Jones et al. 2008, Harris et al. 2015), forensic observations (Manel et
85 al. 2002, Retief et al. 2014), and behavioural responses (Caro 2005, Goldenberg et al.
86 2017), these often lack clear links to both harvesting and demographic processes. In
87 general, harvesting removes a subset of individuals from a given population, such as
88 those with the brightest colours or largest horns, which can often be defined as
89 belonging to a specific age class (Ginsberg & Milner-Gulland 1994, Pozo et al. 2016).
90 In the case of illegal and poorly regulated legal harvesting, it can be expected that
91 selective over-harvesting of individuals according to age will result in changes to a
92 population's structure, and most notably decreases in the frequency of individuals in
93 the targeted age class.

94 Age structure has been put forward as an indicator with which to monitor
95 populations of large herbivores (Noss 1990, Rughetti 2016). Indeed, age structural
96 changes in wild populations are often investigated as part of long-term, individual-
97 based studies, which typically examine demographic processes such as the survival,
98 recruitment and mortality of study individuals (Langvatn & Loison 1999; Milner,
99 Nilsen & Andreassen 2007; Moss et al. 2011; Wittemyer et al. 2013). Although
100 hugely valuable, such studies are scarce and rarely carried out on populations

101 experiencing varying levels of legal and/or illegal harvesting, thus hindering the
102 assessment of age structure as an indicator of harvesting pressure. When long-term
103 datasets are not available, comparative studies may still be derived from rapid
104 population surveys carried out over short periods of time and across multiple sites, yet
105 indicators based on this approach have rarely been developed and tested (Tella et al.
106 2013).

107 In this study, we compare the age structure and level of tusklessness between
108 African elephant (*Loxodonta africana*) populations experiencing contrasting levels of
109 past and present poaching pressure in Tanzania. The illegal killing of elephants for
110 ivory is leading to population declines across the African continent (Wittemyer et al.
111 2011; Wittemyer et al. 2014; Chase et al. 2016), however, recent censuses have
112 highlighted alarming population declines in Tanzania (Chase et al. 2016), a country
113 shown to be one of the main poaching hotspots in Africa (Wasser et al. 2015;
114 Thouless et al. 2016). In this context, we use a rapid demographic assessment (RDA)
115 method developed by Poole (1989) to quantify the population structure of poached
116 populations. The RDA approach attempts to sex and age as many individuals as
117 possible within a given population, with the overall aim of providing a snapshot of the
118 population structure at a given point in time (Kioko et al. 2013). Despite being
119 logistically more feasible than recently proposed methods based on aerial monitoring
120 (Ferreira & van Aarde 2008), few studies since Poole (1989) have promoted the RDA
121 as a tool to evaluate changes in elephant population structure, and use these as
122 indicators of poaching pressure.

123 Using RDA data on 2,631 elephants, we examine whether elephant
124 populations experiencing low, medium, and high levels of poaching prior to 2009
125 show significant differences in their age structure, operational sex ratio (i.e. adult

126 males to adult females), dependent individual to adult female ratio at the group level,
127 and proportion of tuskless individuals. We then compare similar metrics between the
128 population sampled in Ruaha National Park (hereafter, Ruaha) in 2009 and in 2015
129 following a suspected increase in the level of poaching. Although Ruaha holds one of
130 the largest populations of elephants in Tanzania – estimated at 15,836 in 2015
131 (TAWIRI 2015) – it has been highlighted as a centre for poaching post-2011 (Wasser
132 et al. 2015). Given that poaching targets older bulls and matriarchs for their larger
133 tusks (Poole 1989; Mondol et al. 2014), we expected increased poaching pressure to
134 lead to reductions in the proportion of older individuals, but also to an increase in the
135 proportion of individuals lacking tusks (Chiyo et al. 2015, Raubenheimer & Miniggio
136 2016). Based on previous studies, we also hypothesised that the loss of old individuals
137 – and matriarchs in particular – would lead to reduced calf recruitment and survival
138 (Gobush et al. 2008, Wittemyer et al. 2013, Turkalo et al. 2016), and consequently a
139 reduction in the proportion of young individuals. Based on our findings, we discuss
140 the value of age structure as an indicator with which to monitor poaching pressure
141 across both space and time.

142

143 **2. MATERIALS AND METHODS**

144 **2.1 Study sites**

145 Demographic data were collected on elephant populations in six study sites across
146 Tanzania (Fig. 1). Four out of the six populations were surveyed within national parks
147 (NPs; Tarangire, Serengeti, Ruaha, and Katavi) that permit photographic tourism
148 only, whilst two populations were surveyed within game reserves (GRs) designated
149 for both photographic tourism and trophy hunting (Selous and Ugalla). All study sites
150 are characterised by distinct wet and dry seasons, which generally occur between

151 November-April and May-October, respectively. Annual rainfall across the study sites
152 in 2009 ranged from 439.6 mm in Ugalla GR to 707.6 mm in Selous GR (Fig. 1).

153

154 **2.2 Poaching levels**

155 Historical patterns of poaching intensity across Tanzania are unreliably documented
156 and primarily anecdotal (Mduma et al. 2010). Although all of the elephant
157 populations considered in this study experienced poaching in the 1970s and 80s
158 (Poole & Thomsen 1989), recent and current poaching levels vary considerably from
159 one site to another (Thouless et al. 2016). We classified study populations as
160 experiencing low, medium, and high levels of poaching based on population trends in
161 the three years prior to the 2009 surveys (Fig. 2). Populations in Tarangire and
162 Serengeti were categorised as undergoing low levels of poaching as they
163 demonstrated rapid growth between 2006 and 2009 (Hilborn et al. 2006; Foley &
164 Faust 2010; Fig. 2). In contrast, populations in Ruaha and Katavi were found to be
165 stable between 2006 and 2009, with suspected but unreliably documented poaching
166 occurring at both sites (Martin & Caro 2012; Fig. 2). These populations were thus
167 classified as experiencing a medium level of poaching. Lastly, elephant populations in
168 Selous and Ugalla underwent dramatic declines between 2006 and 2009 (Mduma et
169 al. 2010; Thouless et al. 2016; Fig. 2), due to high levels of illegal killing (Wasser et
170 al. 2009; Wilfred & MacColl 2014).

171

172 **2.3 Data collection**

173 An RDA survey was carried out at each of the six study sites during 2009-10 (Fig. 1)
174 following the method described by Poole (1989). Observers were trained in ageing
175 and sexing elephants on the northern sub-population of Tarangire NP, which has been

176 the focus of a continuous study since 1993 (Foley & Faust 2010). Observer accuracy
177 and inter-observer consistency were tested until they had reached a satisfactory level
178 (>95% accuracy on known individuals). The observers then surveyed each study site
179 for two to four weeks, with the exception of Tarangire NP, where all sub-populations
180 of elephants were surveyed over three days.

181 The primary aim of RDA surveys is to record the age, sex and unique physical
182 attributes of as many different elephants as possible in a given population, as well as
183 record the size of the group they are in (Poole 1989). Selection of survey areas within
184 study sites followed local advice on where elephants were most likely to be
185 encountered. Search area was shifted by at least 10 km each survey day. Surveys were
186 carried out in a motorised vehicle and followed road networks. All recorded
187 individuals were geo-referenced using a Global Positioning System and, whenever
188 possible, portrait photos and/or identification notes were taken. Together these data
189 were used to ensure no double counting of individuals had occurred. In all study sites,
190 a minimum sample size of 300 individuals was sought.

191 Elephants spotted from the road were approached to within 20-50 m so as to
192 maximise viewing quality whilst minimising disturbance. Individuals were sexed and
193 assigned to one of seven age classes (0-4, 5-9, 10-14, 15-19, 20-24, 25-39 and 40+;
194 inclusive of the last age shown) based on shoulder height, back length, head and body
195 shape, and size of tusks (Poole 1989; Moss 1996). Age-assignments were made
196 relative to other individuals in the same population, thereby minimising bias
197 associated with differing height across populations. Individuals under 10 years of age
198 were sometimes difficult to sex, and their gender was recorded as “unknown” when
199 this was the case.

200 Demographic data pertaining to the Ruaha population in 2015 were collated
201 from monthly road transect surveys and opportunistic monitoring carried out between
202 May and October 2015 (Fig. 1). Observers followed the same protocol for
203 approaching and ageing elephants as that used in the 2009 surveys. Data were
204 collected as part of an ongoing elephant monitoring study implemented by the
205 Southern Tanzania Elephant Program, which operates an elephant ID database for
206 Ruaha containing >1 200 individually identified elephants. Each individual is
207 identified from a unique ID code, and its sex, age, and identifying features are known
208 from direct visual observation and portrait photographs. The Ruaha 2015 dataset
209 comprises all unique elephants sighted between May and October 2015 in the same
210 geographic area as surveyed in 2009 (Fig. 1).

211 The analyses described below only consider groups in which every member
212 was assigned to an age class, and all individuals older than 10 years of age were
213 accurately sexed, in order to minimise bias associated with the non-detection of
214 calves, which are more likely to be concealed by vegetation. Furthermore, we wanted
215 to ensure use of a consistent dataset when assessing group and population level
216 patterns. Owing to the uncertainty associated with the sexing of individuals younger
217 than 10 years (especially females), we split all individuals falling into the 0-4 and 5-9
218 age classes according to a 1:1 ratio (Moss 2001; see also Gough & Kerley 2006).

219

220 **2.4 Data analysis**

221 **2.4.1 Sensitivity of age structure to sampling effort**

222 To assess the degree to which the observed age structure of a given population was
223 sensitive to the number of individuals sampled, we performed a subsampling exercise
224 whereby a reduced number of observations – ranging from 1 to the observed sample

225 size – was randomly selected to estimate a “pseudo” age structure. The latter was then
226 compared to the observed age structure using a Pearson’s chi-square test. Resampling
227 was carried out without replacement to simulate the avoidance of double counting.
228 For each level of effort, we produced N = 1000 subsamples, and derived a probability
229 of obtaining an age structure that was significantly different to the observed by
230 dividing the number of iterations resulting in $P < 0.05$ by N. In doing this, we were
231 interested in assessing whether a small reduction in the number of individuals
232 sampled rapidly increased the probability of deriving a different age structure for a
233 given population.

234

235 **2.4.2 Multi-site comparison**

236 For the purpose of this study, we consider the Tarangire NP population in 2009 as
237 relatively undisturbed by poaching, and use it as a reference sample against which to
238 compare the age-class structure of other sampled populations. Following a ban on
239 international ivory trade in 1990, poaching in Tarangire was reduced to a very low
240 level, and the elephant population has since shown a rapid recovery (Foley & Faust
241 2010). We thus compared age class frequencies obtained for the Serengeti, Ruaha,
242 Selous, Katavi and Ugalla populations (hereafter, test populations) to those observed
243 for the reference Tarangire population. For each test population, we performed
244 separate chi-square tests on age-class frequencies derived from all sampled
245 individuals, males only, and females only, and used the proportion of individuals
246 obtained in each age class for the Tarangire population as expected probabilities. For
247 each comparison and age class, we calculated the standardised residual (SR) between
248 the observed (O) and expected (E) frequencies as $SR = (O - E)/\sqrt{E}$. Negative and
249 positive SR values denoted observed frequencies that were less or more than

250 expected, respectively, and we used these to assess age-class specific patterns across
251 sampled populations.

252 For each population, we also calculated the ratio of adult males (individuals >
253 25 years) to adult females (individuals > 10 years) following Poole (1989), which we
254 hereafter refer to as the operational sex ratio. We interpret the latter as the number of
255 adult males available to adult females for mating. We expected the operational sex
256 ratio to decrease with the level of poaching, a pattern that has been highlighted in
257 previous studies (Poole 1989; Poole & Thomsen 1989; Dobson & Poole 1998;
258 Mondol et al. 2014). We also investigated whether the ratio of dependent individuals
259 (individuals < 10 years) to adult females measured at the group-level was affected by
260 the level of poaching experienced by the population. We modelled the number of
261 dependent individuals within a group as a function of study site using a Poisson
262 generalised linear model with the number of adult females as an offset term. We
263 interpret the dependent to adult female ratio as the number of dependents an adult
264 female is able to recruit, and expect a decrease in this ratio with increased poaching.
265 Lastly, we estimated the proportion of all sampled individuals older than 5 years of
266 age that were observed to be tuskless.

267

268 **2.4.3 Temporal comparison in Ruaha**

269 We compared the age class frequencies of the Ruaha elephant population sampled in
270 the dry season of 2009 to that sampled in dry season of 2015 following a suspected
271 increase in the level of poaching (see Wasser et al. 2015 and Table A.1). We used the
272 proportion of individuals obtained in each age class in 2009 as expected probabilities
273 for 2015. We also compared the operational sex ratio, the dependent to adult female
274 ratio, and the level of tusklessness between the two years using the same tests as for

275 the multi-site comparison. All analyses were carried out in R version 3.2.1 (R Core
276 Team 2016), with statistical significance based on an alpha level of 0.05.

277

278 **3. RESULTS**

279 A total of 2,361 elephants were sampled across the six sites for the purpose of this
280 study. Details pertaining to populations sampled in 2009-10, as well as in Ruaha in
281 2015, are summarized in Table 1 and Fig. A1. Only the age structure of the Ugalla
282 population was sensitive to small changes in sample size (Fig. A2). The probability of
283 obtaining a significantly different age structure for this population occurred after
284 removal of only one individual from the observed sample size (n=153; Table 1). In
285 contrast, this probability fell to zero for simulated sample sizes that were much
286 smaller than the observed for all the other study populations (range of observed
287 sample sizes from 329 for Ruaha to 443 individuals for Tarangire) (Table 1, Fig. A2).
288 We view this result as an indication that estimated age structures for all but one of the
289 study populations were not sensitive to the number of individuals sampled.

290

291 **3.1 Multi-site comparison**

292 Comparison of age class frequencies revealed no significant differences between the
293 age structures of the Tarangire and Serengeti populations in 2009-10, regardless of
294 whether all individuals, males or females were considered (Table 2). In contrast,
295 populations experiencing medium and high levels of poaching showed consistent
296 differences in age structure relative to the Tarangire population (Table 2, Fig. 3).
297 These populations showed a lower proportion of calves (aged 0-4) and adults above
298 40 years of age, and a higher proportion of individuals in the 15-19 and 20-24 age
299 classes (Fig. 3a). Other age classes (5-9, 10-14 and 25-39) showed both positive and

300 negative SR values depending on the sampled population. The proportion of males in
301 age classes 15-19 and 20-24 was greater in all sampled populations experiencing
302 medium to high poaching than those with low levels of poaching, with the exception
303 of the Ugalla population, which showed no difference in the proportion of males aged
304 20 to 24 (Fig. 3b). It must be noted that the latter population was also characterized by
305 a small sample size for males ($n = 46$, Table 1). There were also fewer males aged 25
306 to 39 in populations with medium to high poaching. This was not the case for females,
307 which showed higher proportions of individuals in the 20-24 and 25-39 age classes in
308 the same populations (Fig. 3c).

309 The ratio of adult males to adult females was highest in populations
310 experiencing low levels of poaching and lowest in those under high levels of poaching
311 (Fig. 4a). A similar trend was found for the dependent to adult female ratio, with a
312 significant decrease for populations in Katavi, Selous and Ugalla, relative to the
313 population in Tarangire (Fig. 4b, Table 3). Sampled populations in Tarangire,
314 Serengeti and Ruaha did not differ in their dependent to adult female ratio (all were
315 above 1), although the Serengeti population did show a higher ratio than that sampled
316 in Tarangire. Lastly, the proportion of tuskless individuals was higher in populations
317 classified as experiencing medium to high poaching (Ruaha: 7.0 %; Katavi: 6.3 %;
318 Selous: 6.3 %; Ugalla: 9.7 %) relative to those experiencing comparatively low levels
319 of poaching (Fig. 4c).

320

321 **3.2 Temporal comparison in Ruaha**

322 Elephant density in Ruaha-Rungwa ecosystem more than halved between 2009 and
323 2015, decreasing from 0.79 to 0.32 elephants per km^2 (Fig. 2). Comparison of age
324 class frequencies between the two years revealed significantly different age structures

325 ($\chi^2 = 30.7$, $P < 0.001$, Fig. 5a), with the population sampled in 2015 presenting a
326 lower proportion of calves (0-4 years of age). Overall, there was a loss of individuals
327 in older age classes, with lower proportions of females aged 25 and above ($\chi^2 = 15.7$,
328 $P < 0.05$; Fig. 5c) and males aged 40 and above. With the exception of the 10-14 age
329 class, age categories between 5 and 24 years showed increased proportions relative to
330 the population sampled in 2009.

331 The operational sex ratio of the Ruaha population showed a very slight
332 increase between 2009 and 2015 from 0.120 to 0.133. This was the result of a
333 decrease in the number of adult females (from 100 to 90), with the number of adult
334 males encountered remaining the same at 12 individuals. Average dependent to adult
335 female ratio at the group-level did not differ significantly between the two years
336 (1.177 ± 0.093 for 2009 and 1.155 ± 0.125 in 2015, $P = 0.860$), whilst the proportion of
337 tuskless individuals showed a small increase from 7.0 % in 2009 to 7.5 % in 2015.

338

339 **4. DISCUSSION**

340 The present work builds on and corroborates that of Poole (1989) by highlighting
341 clear and consistent differences in the age structure of elephant populations
342 experiencing contrasting levels of poaching pressure in Tanzania. In doing so, we
343 validate the use of the RDA – and the resulting indicators based on age and group
344 structure – to monitor poaching pressure in elephant populations. Our approach is
345 flexible and cost-effective, and has the potential to be used more widely across
346 elephant range states. Importantly, it is also applicable to other wildlife populations
347 where illegal offtake is targeted at specific age classes. Our assessment of age
348 structural changes is reliant on a clear reference, which could be either a different
349 control population, such as those in Tarangire and Serengeti in our case, or previous

350 surveys on the same population carried out in the past (such as in Ruaha). It thus
351 provides a mean to track changes in wildlife population structure across both space
352 and time, while at the same time offering key insights into cryptic poaching pressure.

353 We found that elephant populations classified as experiencing medium and
354 high levels of poaching between 2006 and 2009 were characterised by fewer calves
355 and old individuals, a reduced number of adult males (defined as > 25 years of age)
356 for the number of adult females (> 10 years), and a lower ratio of calves (< 5 years) to
357 adult females within groups. These patterns likely reflect the demographic impacts of
358 poaching highlighted by previous studies, whereby loss of older individuals,
359 particularly males over 25 years, suppresses recruitment into the population (Dobson
360 & Poole 1998; Mondol et al. 2014). The latter may be the result of fewer breeding
361 opportunities for females (Ishengoma et al. 2008) and/or reduced survival of calves
362 owing to disrupted groups with a loss of leadership from older matriarchs and
363 increased stress levels (Dublin 1983; Gobush et al. 2008; Archie & Chiyo 2012). The
364 loss of old and young individuals – and the consequently higher proportions attributed
365 to adolescents and young adults – was also characteristic of the Ruaha population in
366 2015. This pattern mirrors that described by Barnes & Kapela (1991), who noted that
367 intense poaching in the late 1970s and early 1980s had “affected both ends of the age
368 distribution” of the Ruaha population. Furthermore, the reduction in the proportion of
369 individuals younger than five years of age observed in medium and highly poached
370 populations resembles the pattern found in Mikumi NP by Poole (1989), where the
371 elephant population experienced high levels of poaching in the 1980s.

372 The higher proportion of tuskless individuals was another consistent feature of
373 poached populations sampled in 2009. This finding is in agreement with previous
374 studies that have highlighted increased tusklessness in local elephant populations

375 subject to heavy illegal harvesting (Poole 1989; Jachmann, Berry & Imae 1995;
376 Whitehouse 2002), and also concurs with a recent study showing a decline in tusk size
377 in recovering populations (Chiyo et al. 2015). In comparison to the relatively
378 undisturbed elephant population of Amboseli NP, which shows a proportion of
379 tuskless adults of less than 1 % (Poole 1989; Moss, Croze & Lee 2011), a proportion
380 of 6-8 %, as found in populations experiencing medium to high poaching in 2009 and
381 in the Ruaha population in 2015, is unusually high (Poole & Thomsen 1989).
382 Increases in tusklessness and decreases in the size of tusks may well mirror
383 phenotypic and evolutionary changes observed in the size of trophies in harvested
384 ungulate species (Douhard et al. 2016), and as such represent important areas for
385 future research and monitoring.

386 Although poaching levels were based on population trends measured between
387 2006 and 2009, we feel confident they provided a reasonably accurate description of
388 recent illegal harvesting activities. Firstly, such sustained and dramatic population
389 declines as those observed in Selous and Ugalla GRs are unlikely to have been caused
390 by climatic factors (e.g. drought; see Foley et al. 2008) or repeated methodological
391 biases, and likely reflect true population declines as a result of documented poaching
392 (Wasser 2009). Secondly, increases in the proportion of illegally killed elephants
393 (PIKE) among carcasses collected in Ruaha and Katavi NPs between 2006 and 2009
394 highlight significant levels of poaching activity at both sites (Wasser et al. 2015;
395 Martin & Caro 2013). Lastly, our assumption that poaching was less intense in both
396 Serengeti and Tarangire NPs is supported by a prolonged increase in the density of
397 elephants at both sites (Hilborn et al. 2006, Foley & Faust 2010), although it must be
398 noted that the rapid rate of increase in Serengeti is likely also due to migration into
399 the area (Morrison et al. 2017).

400 Like behavioural indicators of anthropogenic pressure (Goldenberg et al.
401 2017), inferences on population structure must be interpreted with the RDA sampling
402 methodology in mind. Age structure indicators are unlikely to be reliable when
403 population density is too low or population size too small to achieve meaningful
404 sample sizes (Rughetti 2016). Although this might have been the case for the Ugalla
405 population in the present study, we view the combined patterns observed for age and
406 group structure, as well as tusklessness, to be reflective of poaching pressure. Other
407 considerations might include if the vegetation is too dense to allow sightings of all
408 individuals in observed groups (for operational sex ratio and dependent to adult
409 female measures especially), or if shyness and flight behaviour in response to
410 observers does not enable good age records to be taken (Graham et al. 2009;
411 Goldenberg et al. 2017). Importantly, RDAs rely on accurate sexing and aging of
412 surveyed individuals, which can only be achieved by suitably trained observers. In
413 our study, the sex-specific frequencies for individuals younger than 10 years of age
414 were dependent on the chosen 1:1 ratio. Moreover, we only considered data from
415 groups for which all individuals were aged and sexed to avoid under-representing
416 individuals that are less likely to be detected (e.g. calves). However, we acknowledge
417 that this might have led to other biases, such as the over-representation of bull herds,
418 for instance. Nevertheless, our approach was applied in the same way to all
419 populations and thus relative comparisons were judged to be reasonable.

420 Surprisingly, the 5-9 age class observed in Ruaha in 2015 showed higher
421 proportions than expected relative to the population sampled in 2009, while the 10-14
422 age class showed lower proportions. This could be due to undocumented historical
423 mortality events (e.g. drought) ten years previously, which would have affected the
424 survivorship of individuals in the 0-4 age class (Foley, Pettorelli & Foley 2008),

425 thereby leading to a lower representation of 10-14 year olds in 2015. In addition, all
426 of the populations considered underwent a period of heavy poaching from the late
427 1970s to the early 80s, the effects of which might still be reflected in age structures
428 observed in 2009 (Shannon et al. 2013). More generally, knowledge of poaching
429 history is important to the interpretation of RDA data, and we recommend that
430 comparisons between populations be assessed with due regards to potential
431 differences in historical poaching levels.

432

433 **5. CONCLUSIONS**

434 The present study contributes towards validating the use of age structure as an
435 indicator of poaching pressure in elephant populations, but also, by extension, in other
436 wildlife populations where illegal offtake is targeted at specific age classes. We
437 further validate the use of RDAs, which could be extended to a wide range of species
438 for which ageing and sexing is feasible in the field. If repeated over time, such
439 surveys could provide valuable insights into demographic processes influencing
440 population growth rates. Not only would such an approach represent a cost-effective
441 alternative to individual-based monitoring programs when funding is limited or
442 uncertain, but also facilitate the monitoring of poorly known populations and provide
443 insights into possible factors that might affect future recovery.

444

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672 **8. TABLES**

673

674 **Table 1.** Number of individuals sampled in each of the six elephant populations
 675 considered. Numbers in parentheses denote the number of individuals as a proportion
 676 of population abundance estimated during the corresponding year.

| Dry season | Population | # individuals sampled | # males | # females | # gender unknown |
|------------|--------------|--------------------------|------------|--------------|---------------------|
| 2009 | Tarangire NP | 443 (0.17) | 85 | 163 | 195 |
| | Serengeti NP | 364 (0.12) | 151 | 213 | 130 |
| | Ruaha NP | 329 (0.01) | 145 | 184 | 114 |
| | Katavi NP | 413 (0.06) | 170 | 243 | 105 |
| | Selous GR | 347 (0.01) | 123 | 224 | 124 |
| | Ugalla GR | 153 (0.15) | 46 | 107 | 39 |
| 2015 | Ruaha NP | 312 (0.02) | 145 | 167 | 33 |

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679 **Table 2.** Age class frequencies for six elephant populations experiencing different levels of poaching pressure. χ^2 and P values relate to Pearson's chi-square
680 tests between age class frequencies of the corresponding population and those of the Tarangire population. Note that the Ruaha population was surveyed in
681 both 2009 and 2015. Numbers of male and female individuals for age classes 0-4 and 5-9 were derived from the number of individuals with unknown gender
682 using a sex ratio of 1:1 (Moss 2001).

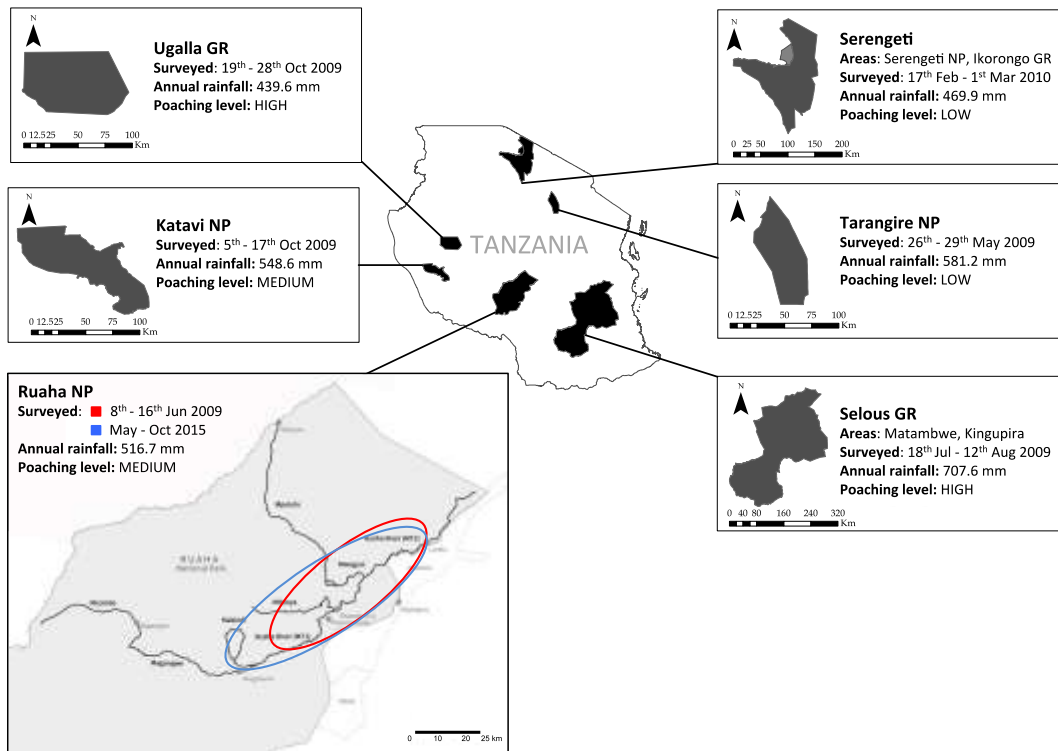
| Population | Individuals | Age class | | | | | | | χ^2 | P-value |
|--------------------|-------------|-----------|-----|-------|-------|-------|-------|-----|----------|---------|
| | | 0-4 | 5-9 | 10-14 | 15-19 | 20-24 | 25-39 | 40+ | | |
| Tarangire NP | All | 181 | 74 | 53 | 20 | 17 | 75 | 23 | - | - |
| | Males | 90 | 37 | 21 | 3 | 4 | 23 | 4 | - | - |
| | Females | 91 | 37 | 32 | 17 | 13 | 52 | 19 | - | - |
| Serengeti NP | All | 143 | 60 | 42 | 19 | 22 | 60 | 19 | 5.3 | 0.502 |
| | Males | 71 | 30 | 18 | 6 | 7 | 17 | 2 | 10.0 | 0.125 |
| | Females | 72 | 30 | 24 | 12 | 15 | 43 | 17 | 2.5 | 0.872 |
| Ruaha NP (2009) | All | 120 | 47 | 48 | 22 | 30 | 56 | 6 | 39.1 | < 0.001 |
| | Males | 60 | 23 | 23 | 13 | 14 | 10 | 2 | 93.5 | < 0.001 |
| | Females | 60 | 24 | 25 | 9 | 16 | 46 | 4 | 15.6 | < 0.05 |
| Ruaha NP (2015) | All | 94 | 60 | 34 | 36 | 40 | 46 | 2 | 123.3 | < 0.001 |
| | Males | 47 | 30 | 16 | 22 | 18 | 12 | 0 | 244.6 | < 0.001 |
| | Females | 47 | 30 | 18 | 14 | 22 | 34 | 2 | 35.9 | < 0.001 |
| Katavi NP | All | 116 | 81 | 67 | 24 | 50 | 69 | 6 | 111.1 | < 0.001 |
| | Males | 58 | 40 | 23 | 10 | 25 | 14 | 0 | 155.8 | < 0.001 |
| | Females | 58 | 41 | 44 | 14 | 25 | 55 | 6 | 38.6 | < 0.001 |
| Selous GR | All | 125 | 28 | 35 | 25 | 70 | 60 | 4 | 276.3 | < 0.001 |
| | Males | 62 | 14 | 14 | 13 | 13 | 6 | 1 | 110.3 | < 0.001 |
| | Females | 63 | 14 | 21 | 12 | 57 | 54 | 3 | 216.1 | < 0.001 |
| Ugalla GR | All | 38 | 15 | 27 | 23 | 23 | 26 | 1 | 111.6 | < 0.001 |
| | Males | 19 | 7 | 10 | 8 | 1 | 1 | 0 | 79.0 | < 0.001 |
| | Females | 19 | 8 | 17 | 15 | 22 | 25 | 1 | 82.0 | < 0.001 |

683 **Table 3.** Differences in the ratio of dependent individuals (< 10 years) to adult
684 females (individuals > 10 years) across the six elephant populations sampled in 2009.
685 Estimates were obtained from a generalized linear model (GLM) with Poisson error
686 structure, the number of dependent individuals as response, and the number of adult
687 females as offset.

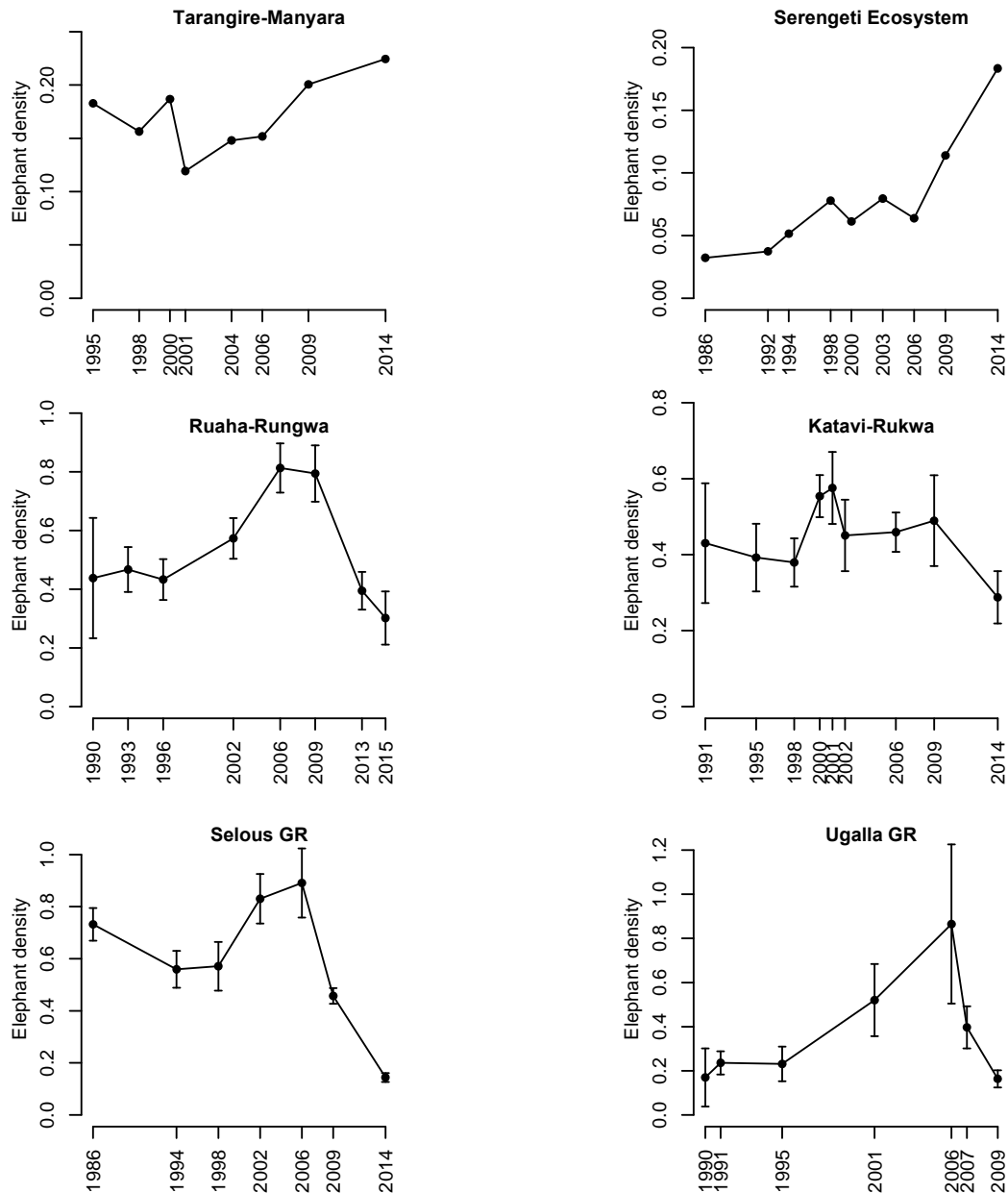
| Population | # Groups sampled | Mean dependent to female ratio | GLM coefficients | | |
|--------------|------------------|--------------------------------|------------------|-------|--------|
| | | | Estimate | SE | P |
| Tarangire NP | 43 | 1.917 | - | - | - |
| Serengeti NP | 24 | 1.981 | 0.032 | 0.095 | 0.733 |
| Ruaha NP | 30 | 1.650 | -0.150 | 0.100 | 0.133 |
| Katavi NP | 34 | 1.361 | -0.343 | 0.095 | <0.001 |
| Selous GR | 46 | 1.048 | -0.604 | 0.102 | <0.001 |
| Ugalla GR | 7 | 0.667 | -1.056 | 0.152 | <0.001 |

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724 **9. FIGURES**

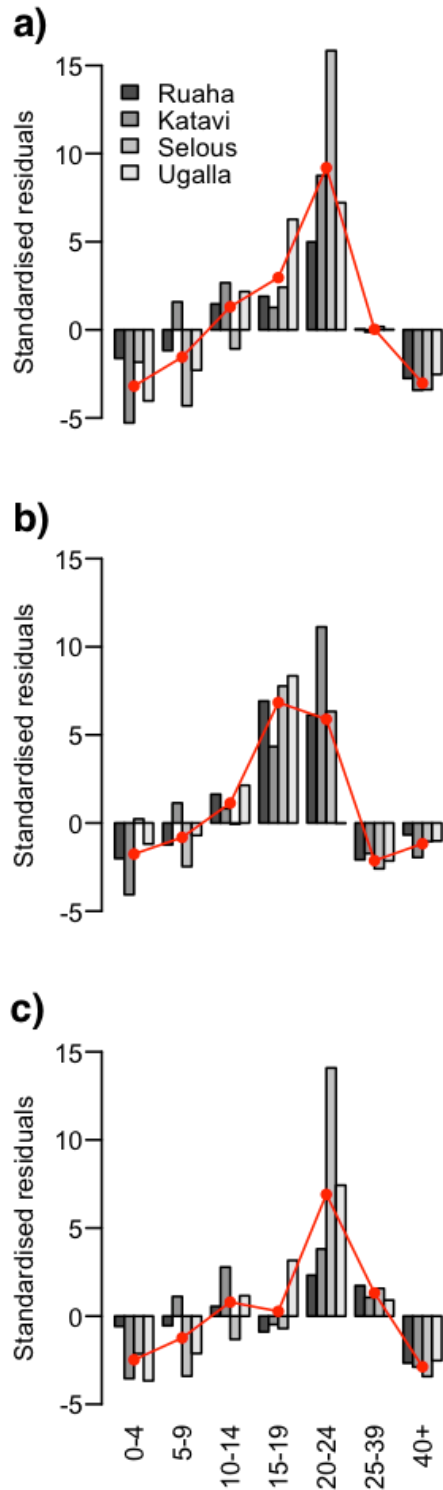


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 726 **Figure 1.** Description of the six study sites showing the areas sampled, survey dates,
 727 the annual rainfall estimate for the year 2009, and the level of poaching. For Ruaha,
 728 areas surveyed within the National Park in 2009 (red) and 2015 (blue) are shown
 729 together with the road network (black lines).
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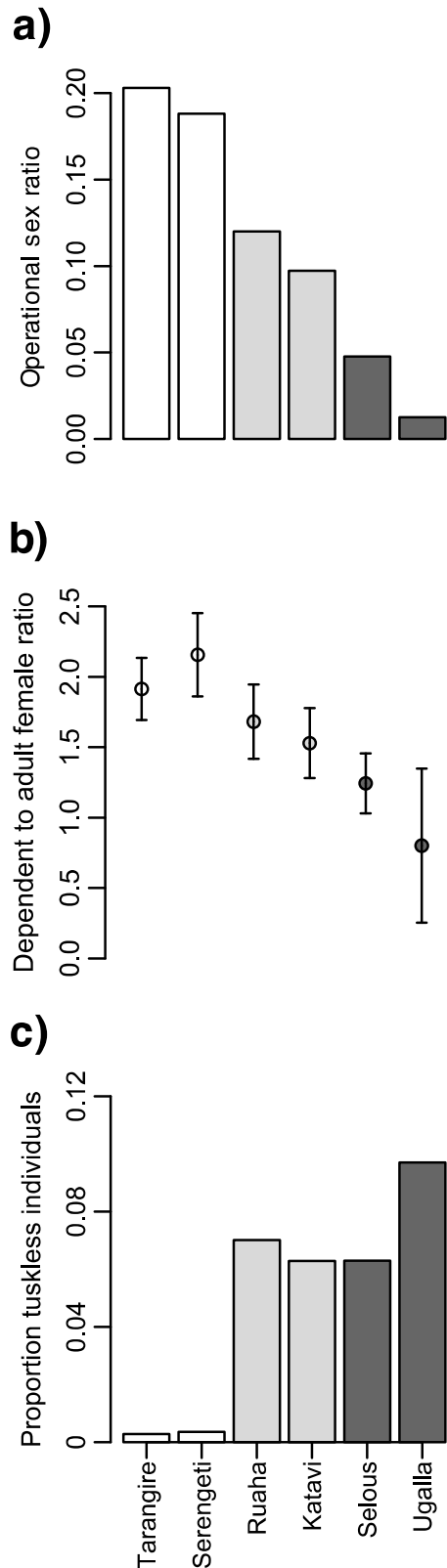


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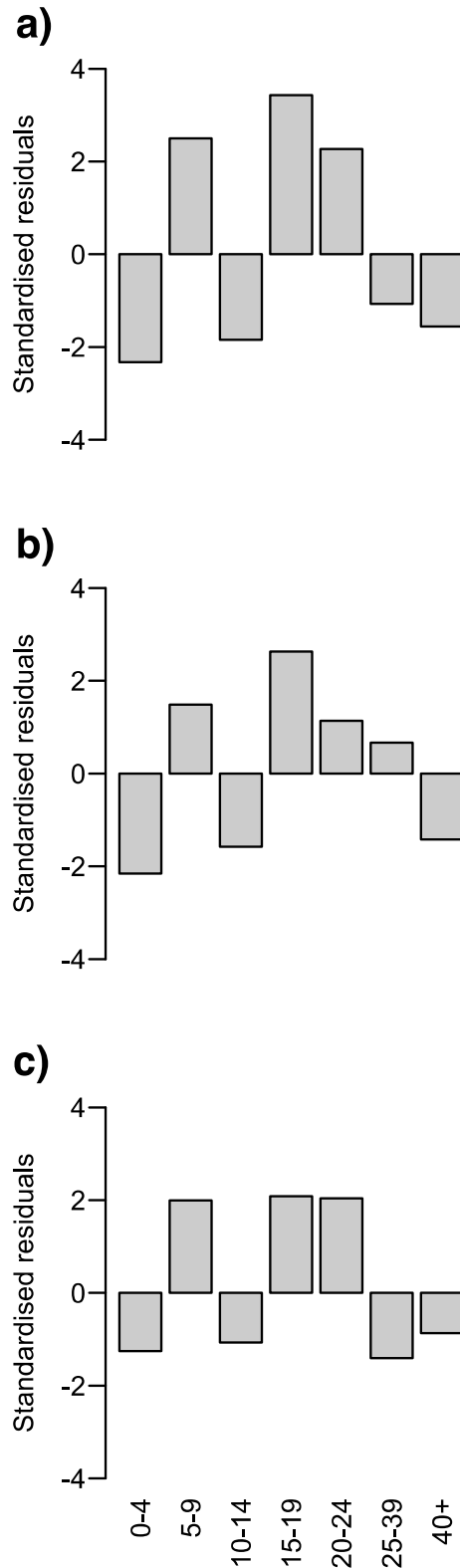
Figure 2. Elephant density trends in six ecosystems containing the sites considered in this study, over the period 1986-2015. For each ecosystem, we collated population size estimates derived from total counts (Tarangire-Manyara and Serengeti) and Systematic Reconnaissance Flight surveys (Ruaha-Rungwa, Katavi-Rukwa, Selous and Ugalla) carried out by the Tanzania Wildlife Research Institute. Density estimates (black dots) and their associated standard errors (error bars) were obtained by dividing population size estimates by the total area surveyed during corresponding flights.



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 741 **Figure 3.** Standardised residuals from chi-square tests comparing the age class
 742 frequencies of four poached elephant populations to that of the population sampled in
 743 Tarangire NP. Age class frequencies were compared based on all sampled individuals
 744 (a), males only (b), and females only (c). Red dots denote mean standardised residual
 745 value across sites for a given age class.
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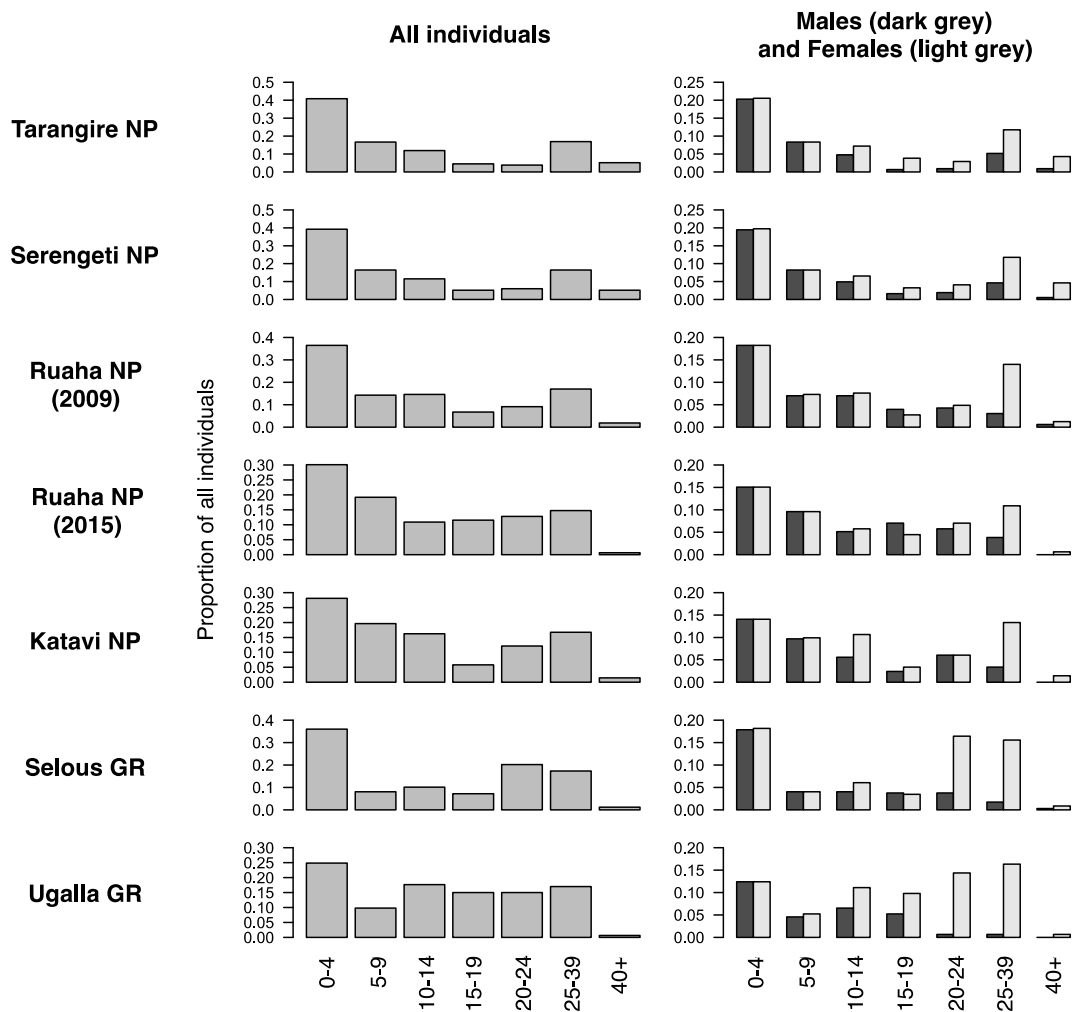


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 748 **Figure 4.** Comparison of the operational sex ratio (a), the ratio of dependent
 749 individuals to adult females in a group (b), and the proportion of tuskless individuals
 750 (c) across six elephant populations sampled using the rapid demographic assessment
 751 method in 2009-10. White, light grey and dark grey colours indicate low, medium and
 752 high levels of poaching (see text).



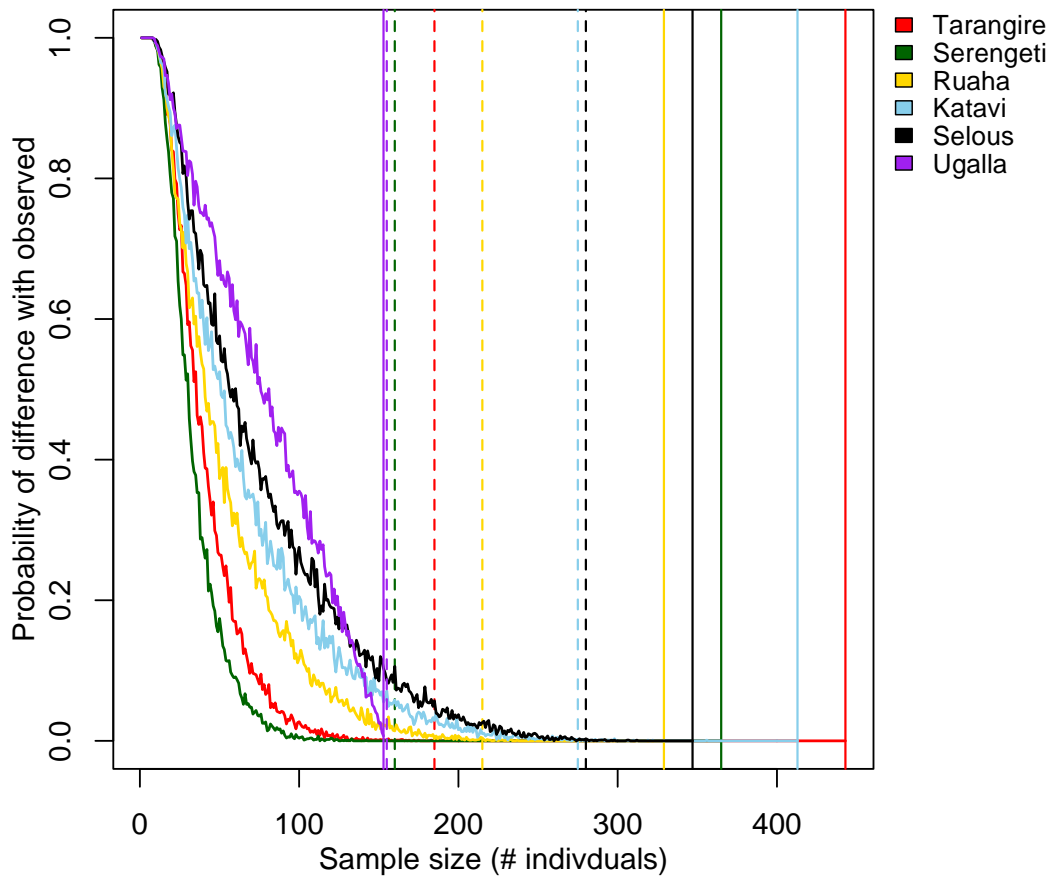
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Figure 5. Comparison of the Ruaha elephant population age structure between the dry seasons of 2009 and 2015, as derived from all sampled individuals (a), males only (b) and females only (c). For each plot, bars represent the standardized residuals obtained from a chi-square test with age class frequencies of 2015 as observed values and age class frequencies of 2009 as expected.



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Figure A.1. Age and sex structures of study populations expressed as proportions of all surveyed individuals. Note that y-axes vary.



766 **Figure A.2.** Sensitivity of observed population age structure in 2009 to changes in
 767 sample size. Here, the observed age structure refers to the age structure estimated
 768 from all sampled individuals at a given site (sample size denoted by full vertical
 769 lines). For each simulated sample size (x axis) individuals in the observed sample
 770 were selected at random to create a subsample. A total of 1000 subsamples were
 771 generated for each hypothetical sample size. Each subsample is used to derive a
 772 pseudo age structure, which is then compared with the observed one. For a given
 773 hypothetical sample size, the P-value represents the proportion of subsamples for
 774 which the resulting age structure was different to that estimated from the observed
 775 sample. Dashed vertical lines mark the hypothetical sample size above which all
 776 probabilities are 0.

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789 **Table A.1.** Number of carcasses reported by the Monitoring the Illegal Killing of
 790 Elephants (MIKE) program in Ruaha-Rungwa between 2007 and 2015, including
 791 total and illegal counts. MIKE records were accessed from
 792 https://cites.org/eng/prog/mike/data_and_reports.
 793

| Year | Number of elephant carcasses | |
|------|------------------------------|---------|
| | Total | Illegal |
| 2007 | 2 | 0 |
| 2008 | 3 | 2 |
| 2009 | 3 | 1 |
| 2010 | 28 | 16 |
| 2011 | 34 | 32 |
| 2012 | 110 | 73 |
| 2013 | 57 | 48 |
| 2014 | 50 | 29 |
| 2015 | 47 | 35 |

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