| 1 | Ortervirales: A new viral order unifying five families of reverse-transcribing viruses |
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66 Reverse-transcribing viruses, which synthesize a copy of genomic DNA from an RNA template, are 67 widespread in animals, plants, algae and fungi (1, 2). This broad distribution suggests ancient origin(s) 68 of these viruses, possibly concomitant with the emergence of eukaryotes (3). Reverse-transcribing 69 viruses include prominent human pathogens, such as human immunodeficiency viruses 1 and 2 (HIV-70 1/2) and hepatitis B virus, as well as plant pathogens that cause considerable economic losses (4). 71 The International Committee on Taxonomy of Viruses (ICTV) traditionally classified reverse-72 transcribing viruses into five families: Caulimoviridae, Hepadnaviridae, Metaviridae, Pseudoviridae, 73 and Retroviridae (5). In 2018, the ICTV recognized an additional family, Belpaoviridae, which 74 contains the genus Semotivirus (previously included in Metaviridae (6)). The infection cycles, nucleic 75 acid types, genome organizations, and virion morphologies of these viruses are very diverse. Indeed, 76 reverse-transcribing viruses are distributed between two Baltimore Classes of viruses. Belpaoviruses, 77 metaviruses, pseudoviruses — better known as Bel/Pao, Ty3/Gypsy, and Ty1/Copia retrotransposons, 78 respectively (1, 7) — and retroviruses typically have single-stranded RNA genomes (Table 1) and 79 frequently integrate into the host genomes as part of their replication cycles (Baltimore Class VI). In 80 contrast, members of the families Caulimoviridae and Hepadnaviridae, often referred to as 81 "pararetroviruses" (8), encapsidate circular double-stranded DNA genomes and do not actively 82 integrate into host chromosomes (Baltimore Class VII). However, capture of pararetroviral DNA in 83 host genomes, presumably by illegitimate recombination, is commonplace, particularly in plants, 84 giving rise to the corresponding endogenous elements (9, 10).

85 Mechanistic studies on the replication cycles of reverse-transcribing viruses of different 86 families have revealed many similarities that have been reinforced by comparative genomics of the 87 viral reverse transcriptases (RTs), the hallmark enzymes encoded by all reverse-transcribing viruses. 88 Indeed, phylogenetic analyses support the monophyly of all viral RTs, to the exclusion of those 89 encoded by non-viral retroelements from both eukaryotes and prokaryotes (11, 12). In addition to the 90 evidence from the RT phylogeny, belpaoviruses, caulimoviruses, metaviruses, pseudoviruses, and 91 retroviruses share several conserved features that hepadnaviruses lack (Table 1). In particular, the 92 polymerase (Pol) polyproteins of belpaoviruses, metaviruses, pseudoviruses, and retroviruses possess 93 similar domain architectures. These Pol polyproteins contain an aspartate protease, which is 94 responsible for the processing of viral polyproteins, and an integrase of the DDE recombinase 95 superfamily. The genomes of these viruses also share long terminal repeats (LTRs) (13). Within 96 certain clades, Pol polyproteins of retroviruses and metaviruses share additional features, such as a 97 dUTPase domain (14-16) and the GPY/F subdomain of the integrase (17, 18). Caulimoviruses also 98 possess a homologous aspartate protease domain in their Pol polyprotein (19), but lack an integrase 99 and LTR. However, RT-based phylogenies consistently place these plant-infecting viruses as a sister 100 clade to the metaviruses (Figure 1), suggesting that among "pararetroviruses", encapsidation of a DNA 101 genome is a homoplasious character and therefore not a reliable criterion for classification. The basal 102 branches of the RT tree are not resolved and are presented as a multifurcation in Figure 1. This 103 topology is at least compatible with placing the *Hepadnaviridae* clade outside the viral group that 104 includes belpaoviruses, caulimoviruses, metaviruses, pseudoviruses, and retroviruses. 105 Belpaoviruses, caulimoviruses, metaviruses, pseudoviruses, and retroviruses share not only

homologous proteins involved in genome replication and polyprotein processing, but also the two
principal protein components of the virions, namely, the capsid and nucleocapsid proteins/domains
(20-22), although the nucleocapsid domain appears to be absent in spumaretroviruses (family *Retroviridae*; Table 1). By contrast, hepadnaviruses encode an unrelated capsid protein (23). These
findings suggest that belpaoviruses, caulimoviruses, metaviruses, pseudoviruses, and retroviruses have
evolved from a common viral ancestor, rather than from distinct capsid-less retrotransposons (20).

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112 Finally, similarities between belpaoviruses, caulimoviruses, metaviruses, pseudoviruses, and 113 retroviruses extend to the mechanism of replication priming. All these viruses utilize host tRNA 114 molecules as primers for genome replication by reverse transcription (24), whereas hepadnaviruses use 115 a specific protein priming mechanism mediated by the polymerase terminal protein domain (25). 116 Taken together, the common complement of proteins required for genome replication, 117 polyprotein processing, and virion formation, the topology of the RT phylogenetic tree. and 118 mechanistic similarities in genome replication present strong evidence that belpaoviruses, 119 caulimoviruses, metaviruses, pseudoviruses, and retroviruses share a common evolutionary origin. The 120 hepadnaviruses, which typically branch out at the base of the viral RT clade (Figure 1), possess a 121 unique capsid protein and employ a distinct replication mechanism, appear to be more distantly related 122 to all these virus families. In recognition of these relationships, the ICTV has recently regrouped the 123 families Belpaoviridae, Caulimoviridae, Metaviridae, Pseudoviridae and Retroviridae into an order 124 Ortervirales (orter: an inversion of retro, which was derived from reverse transcription; virales: suffix 125 for an order). This change in taxonomy acknowledges and formalizes the long-proposed evolutionary 126 relationship among most groups of reverse-transcribing viruses (26). We note that although 127 hepadnaviruses are not included in the order, they might be unified with other reverse-transcribing 128 viruses at a higher taxonomic level in the future. 129 130 131 Funding 132 This work was supported in part through Battelle Memorial Institute's prime contract with the US 133 National Institute of Allergy and Infectious Diseases (NIAID) under Contract No. 134 HHSN272200700016I (JHK). EVK is supported by intramural funds of the US Department of Health 135 and Human Services (to the National Library of Medicine). SS acknowledges support from SRI Funds 136 from Mississippi Agriculture and Forestry Experiment Station of Mississippi State University.

137 **Table 1.** Features shared by reverse-transcribing viruses.

| Family | | Retroviridae | | Metaviridae | Pseudoviridae | Belpaoviridae | Caulimoviridae | Hepadnaviridae |
|-------------|-----------|-------------------|-------------------|-------------|---------------|---------------|----------------|----------------|
| Subfamily | | Orthoretrovirinae | Spumaretrovirinae | - | | | | |
| | RT-RH | + | + | + | + | + | + | + |
| Pol | Protease | + | + | + | + | + | + | - |
| | Integrase | + | + | + | + | + | - | - |
| Ga | CA/CP | + | + | + | + | + | + | - |
| g | NC | + | - | + | + | + | + | - |
| LTR | | + | + | + | + | + | _\$ | _# |
| Priming | | tRNA | tRNA | tRNA | tRNA | tRNA | tRNA | TP |
| Genome type | | ssRNA | ssRNA/dsDNA* | ssRNA | ssRNA | ssRNA | dsDNA | dsDNA |

138 * - members of the subfamily Spumaretrovirinae contain both ssRNA and dsDNA in extracellular particles and reverse transcription occurs

139 during virus assembly and disassembly; \$ – In the genus *Petuvirus (Caulimoviridae)* an inactivated integrase-like domain and quasi (long)

140 terminal repeats have been identified (27, 28), suggesting that certain ancestral elements have been lost during the evolution of

141 caulimoviruses. # – upstream of the capsid protein gene, hepadnavirus genomes contain a sequence showing similarity to the U5 region of the

142 retroviral LTR (29). Abbreviations: CA/CP, capsid protein; Gag, group-specific antigen; LTR, long terminal repeats; NC, nucleocapsid

143 protein; RH, RNase H; RT, reverse transcriptase; Pol, polymerase polyprotein; TP, terminal protein.

144 Figure legend

Figure 1. Maximum likelihood phylogeny of viral reverse transcriptases. The tree includes sequences of
290 viruses belonging to all ICTV-recognized genera of reverse-transcribing viruses. The phylogeny was

- 147 inferred using PhyML (30) with the LG+G+F substitution model and is rooted with sequences from non-
- 148 viral retroelements (bacterial group II introns and eukaryotic LINE retroelements). Genomic organizations
- 149 of selected representatives of reverse-transcribing viruses are shown next to the corresponding branches.
- 150 Long terminal repeats (LTR) are shown as black triangles. Note that members of the virus families display
- 151 considerable variation in gene/domain content (5), which is not captured in this figure. Abbreviations: 6,
- 152 6-kDa protein; ATF, aphid transmission factor; CA/CP, capsid protein; CHR, chromodomain (only
- 153 present in the INT of particular clades of metaviruses of plants, fungi and several vertebrates); gag, group-
- 154 specific antigen; *env*, envelope genes; SU, surface glycoprotein; TM, transmembrane glycoprotein; INT,
- 155 integrase; MA, matrix protein; NC, MP, movement protein; nucleocapsid; nef, tat, rev, vif, vpr, and vpu,
- 156 genes that express regulatory proteins via spliced mRNAs; TP, terminal protein domain; TT/SR,
- 157 translation trans-activator/suppressor of RNA interference; P, polymerase; *pol*, polymerase gene; PR,
- 158 protease; PreS, pre-surface protein (envelope); PX/TA, protein X/transcription activator; RH, RNase H;
- 159 RT, reverse transcriptase; VAP, virion-associated protein.

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