### Determinants of workplace exposure and release of ultrafine particles during atmospheric plasma spraying in the ceramic industry

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#### 16 Abstract

#### 17

Atmospheric plasma spraving (APS) is a frequently used technique to produce 18 enhanced-property coatings for different materials in the ceramic industry. This work 19 aimed to characterise and quantify the impact of APS on workplace exposure to 20 21 airborne particles, with a focus on ultrafine particles (UFPs, <100 nm) and 22 nanoparticles (<50 nm). Particle number, mass concentrations, alveolar lung deposited surface area concentration, and size distributions, in the range 10 nm - 20 µm were 23 24 simultaneously monitored at the emission source, in the worker breathing zone, and in 25 outdoor air. Different input materials (known as feedstock) were tested: (a) micro-sized powders, and (b) suspensions containing submicron- or nano-sized particles. Results 26 evidenced significant UFP emissions (up to 3.3x10<sup>6</sup>/cm<sup>3</sup>) inside the projection 27 chamber, which impacted exposure in the breathing zone outside the projection 28 29 chamber (up to 8.3x10<sup>5</sup>/cm<sup>3</sup>). Environmental release of UFPs was also detected and guantified (3.9x10<sup>5</sup>/cm<sup>3</sup>). Engineered nanoparticle (ENP) release to workplace air was 30 also evidenced by TEM microscopy. UFP emissions were detected during the 31 application of both micro-sized powder and suspensions containing submicron- or 32 nano-sized particles, thus suggesting that emissions were process- (and not material-) 33 34 dependent. An effective risk prevention protocol was implemented, which resulted in a reduction of worker UFP exposure in the breathing zone. These findings evidence the 35 potential risk of occupational exposure to UFPs during atmospheric plasma spraying, 36 and raise the need for further research on UFP formation mechanisms in high-energy 37 industrial processes. 38

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Keywords: process-generated particles, engineered nanoparticles, pristine
nanoparticles, mitigation strategies, occupational health, indoor air quality, thermal
plasma spraying.

#### 46 **1. Introduction**

47 Thermal spraying in general, and atmospheric plasma spraying (APS) in particular, are frequently used technologies to produce high-performance surfaces required in 48 industrial processes. APS is used to deposit different coatings on a number of surfaces 49 in order to achieve enhanced properties such as wear, corrosion, electrical insulation or 50 51 heat resistance, while maintaining the structural properties of the underlying material (Fauchais et al., 2014; Rosso et al., 2001; Stöver and Funke, 1999). Atmospheric 52 plasma spraying is commonly employed because of its versatility and wide applicability 53 in diverse technologies such as coating of stainless steel components (e.g., pistons) to 54 55 prevent wear and corrosion in pump applications, coating of metal structures (e.g., turbine engines and blades) to obtain ceramic thermal barriers in aero-spatial and 56 57 energy-generation applications, or rapid manufacturing of metal molds without 58 limitation of pattern size, among others (Carpio et al., 2015a,b,c; Huang et al., 2016; Khor and Gu, 2000; Montanari et al., 2002; Olding et al., 2001; Tamulevičius and 59 60 Dargis, 1998; Zhang et al., 2001).

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During thermal spray deposition, the feedstock (starting material) is molten (or partially 62 molten) and accelerated to impact onto the substrate surface, where the deposited 63 material is cooled forming the coating. In the case of APS, the energetic source is a 64 thermal plasma which achieves high impact velocities and very high temperatures (> 65 10000K). Plasma spraying can provide coatings with varying thickness over a large 66 67 area at high deposition rate, which makes it advantageous with regard to other coating 68 processes such as physical or chemical vapour deposition (Papyrin et al., 2007; Pawlowski, 1995). Another advantage is that the coated surface does not heat up 69 significantly, allowing the coating of flammable substances. Furthermore, the high 70 71 energy density and high temperature of plasma flow facilitate the deposition of coatings 72 of refractory materials which are difficult to melt using other conventional thermal spraying techniques (Fauchais et al., 2014). Coating materials available for plasma 73 spraying include metals, alloys, ceramics, plastics and composites, which are typically 74 75 fed in micro-sized powder form. The use of nanoparticles (<50 nm, NPs) as a 76 feedstock can improve the properties of the coatings (Pawlowski, 2009). However, 77 nanoparticles cannot be injected directly inside the plasma plume because of their poor flowability and low specific weight. For this reason, the injection of suspensions 78 79 (containing submicron- or nano-sized particles) instead of micro-sized powders has been implemented in recent years. This modification is named suspension plasma 80 spraying (SPS) and the phenomena (fusion, evaporation, particle trajectory, etc.) which 81 82 occur inside the plasma plume change significantly with respect to the APS technique. 83 (Pawlowski, 2009).

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85 High-energy industrial processes similar to plasma spraying are known to release NPs 86 and ultrafine (<100 nm, UFP) particles into workplace air (Fonseca et al., 2015, 2016a). These particles are usually referred to as process-generated particles (Broekhuizen et 87 al., 2012), and they have the potential to impact indoor air quality, workplace exposure 88 89 and human health (Li et al., 2016). Studies have shown that one thermal spraying technique similar to plasma spraying, high velocity oxy-fuel (HVOF) spraying, may 90 even generate emissions of large dust particles above 10 µm in size (Huang et al., 91 2016). In addition to process-generated particles, workplace exposure may be affected 92 93 by the unintentional release of engineered NPs (ENPs). The use of nanomaterials in

94 state-of-the-art industrial processes such as APS has increased in recent years, and it 95 is expected that this trend will continue in the near future (Savolainen et al., 2013). 96 Consequently, it is critical to identify any potential risks they may pose to human health in indoor, workplace and outdoor environments in the vicinity of the industrial emission 97 98 source. The evaluation and characterisation of exposure scenarios and risks to 99 airborne UFPs (whether process-generated or engineered) is addressed by the emerging field of research of nanosafety (Savolainen et al., 2013). The need for 100 effective risk governance, which is crucial when developing new technologies and 101 102 industrial processes, has been evidenced (Read et al., 2016).

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104 In this framework, the present work aimed to assess UFP emissions and their potential 105 impact on workplace exposure during atmospheric plasma spraying of ceramic 106 coatings in an industrial setting. UFP release to the environment was also assessed. 107 Moreover, a prevention protocol was implemented and the exposure levels reduction was quantified. Because of the different terminologies used in the air quality and the 108 nanotechnology research fields, for the purpose of this work the following terms will be 109 used: ultrafine particles (<100 nm), and nanoparticles (<50 nm). Particle diameters 110 111 measured in this work are mainly submicron, but given that approximately 80% of particle number concentrations (N) is generally <100 nm, the term UFP will be used as 112 113 equivalent to N even if they are not exactly the same.

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# 2. Experimental setup

116 Atmospheric plasma spraying was carried out in an industrial-scale pilot plant located in the facilities of the Institute of Ceramic Technology (ITC) in Castellón, Spain. The 117 APS system consisted in a monocathode plasma torch (F4-MB, Oerlikon-Metco, 118 119 Switzerland) operated by a six-axes robot arm (IRB 1400, ABB, Switzerland). Due to standard occupational health and safety considerations, plasma spraying in the pilot 120 121 plant is performed inside a closed chamber, with no direct interaction by the worker (Figure S1 in Supporting Information). The projection chamber (3x3x2.5 m<sup>3</sup>) was 122 123 located inside the worker's room (approximate dimensions 6x6x3 m<sup>3</sup>), where the 124 breathing zone was located at approximately 1.5 m from the projection chamber.

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A total of 14 APS processes were carried out, 9 of which using micro-sized powders and 5 using aqueous suspensions containing submicron- or nano-sized particles as feedstock (Table 1). The following particle monitoring instrumentation was deployed:

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- 130 In the worker's room, outside the projection chamber (Figure S1 and S2; Zone • A): a DiscMini particle counter (Testo) monitoring particle number 131 concentrations (N) between 10-700 nm and mean particle diameter ( $D_{0}$ ) was 132 deployed next to the worker's desk, at breathing height. Appropriate conductive 133 tubing (Asbach et al., 2015; Viana et al., 2015) was used. A butanol 134 condensation particle counter (CPC, TSI Model 3775), monitoring particles 135 between 4 and 1500 nm, was also used. Particle mass concentrations were 136 monitored by means of a Grimm 1107 laser spectrometer, measuring  $PM_{10}$ , 137 138 PM<sub>2.5</sub> and PM<sub>1</sub> concentrations. Particle samples for TEM-EDX analysis were 139 collected on Au grids using SKC cassettes attached to a Leland Legacy pump operating at 6 L/min. 140
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Inside the projection chamber (Figure S1 and S2; Zone B): N concentrations 142 were monitored using a second DiscMini unit. Particle number size distributions 143 144 between 10-420 nm were monitored by means of a portable SMPS NanoScan (Fonseca et al., 2016b; Stabile et al., 2014; Tritscher et al., 2013), which was 145 146 placed for safety reasons outside of the projection chamber and connected with 147 conductive tubing (Tygon conductive tubing, 1.5 m in length) to the inside of the chamber. The authors are aware that the length of the tubing may result in 148 149 particle losses and is thus a limitation of the study. Samples for the characterisation of particle morphology and composition by TEM-EDX were 150 collected using a 3-stage rotating impactor (1 - 2.5  $\mu$ m, 2.5 - 10  $\mu$ m, and > 10 151 µm) using Au grids as collection substrates. 152

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Outdoor air: a third DiscMini unit and a second Grimm 1107 unit were deployed • outdoors on the building's roof and at approximately 1 m from the ventilation exhaust originating from the worker's room, to monitor N, D<sub>p</sub>, PM<sub>10</sub>, PM<sub>25</sub> and PM<sub>1</sub>. The instruments were located as close as possible to the exhaust (taking into account the operational limitations) while avoiding interference from other 159 exhaust systems. The inlets were not located inside the exhaust to avoid 160 instrumental failures, since these instrument are not adapted to work in duct 161 streams.

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All DiscMini and Grimm 1107 units were intercompared prior to the experiments at the 163 164 IDAEA-CSIC urban background air quality monitoring station in Barcelona, monitoring outdoor air. One DiscMini was identified as the internal reference, and the other units 165 were corrected (with slope and intercept) with regard to it. Correlation coefficients ( $R^2$ ) 166 167 between the different units were always >0.8. The Grimm 1107 spectrometers were corrected individually by comparison with EU reference high-volume samplers for PM<sub>10</sub> 168 and PM<sub>2.5</sub> mass concentrations. The DiscMini particle counters were also compared 169 170 with a TSI SMPS3080 system coupled with a CPC3772 and showed a R<sup>2</sup>>0.88 correlation with regard to N and a 12-18% relative difference with regard to D<sub>o</sub> (Viana et 171 al., 2015). The particle number concentration data were not corrected with regard to 172 173 the SMPS given the different lower cutoff sizes of the DiscMini units and SMPS 174 system. Finally, the butanol CPC was intercompared with the DiscMini units on site 175 during a non-activity period (night-time), obtaining a correlation of R<sup>2</sup>=0.87. The CPC 176 data were not corrected with regard to the DiscMini units due to their different cutoff 177 sizes, as in the case of the SMPS. The different particle size ranges of the instruments (10-700 nm for DiscMini, 4 nm to 1.5 µm for CPC) should be taken into account when 178 179 intercomparing the different types of instruments.

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Different feedstock types were tested in order to assess their influence on UFP 181 182 emissions (Table 1), including:

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184 Powders:

- 185 Feedstock P1: ceramic glass powders made up of Na<sub>2</sub>O, SiO<sub>2</sub>, CaO, and P<sub>2</sub>O<sub>5</sub>. Its size distribution was micro-sized (<63  $\mu$ m) with 1% of fluidized SiO<sub>2</sub> NPs 186 187 (Cañas et al., 2016).
- Feedstock P2: commercial micro-scaled powder of a Ni-based superalloy 188 189 (AMDRY 997, Oerlikon-Metco, Switzerland) with a mean particle size of 40 µm.

190 Suspensions:

- Feedstock S1: aqueous suspension containing a mix of lab-synthetized nanosized particles of Gd<sub>2</sub>Zr<sub>2</sub>O<sub>7</sub> (60nm) and submicron-sized particles of ZrO<sub>2</sub>-Y<sub>2</sub>O<sub>3</sub>
   (Tosoh TZ-3YS, 400nm).
- Feedstock S2: aqueous suspension containing nano-sized particles (Gd<sub>2</sub>Zr<sub>2</sub>O<sub>7</sub>).
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  - Feedstock S3: aqueous suspension containing submicron-sized particles (ZrO<sub>2</sub>-Y<sub>2</sub>O<sub>3</sub>).
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198 In order to reduce the exposure levels after they were detected (section 3), mitigation 199 strategies were implemented according to a hierarchical prevention protocol:

- Stage 0: The APS system worked as it was set up by the manufacturer. Plasma spraying took place inside a cabin (projection chamber) with an air ventilation system where the air entrance was by a single point from the breathing zone.
- Stage 1: Corrective measures were applied in the emission zone (APS projection chamber; Figure S1 and S2 at Zone B).
  - Stage 2: Corrective measures were applied to the air extraction system.
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 Stage 3: Corrective measures were applied in the breathing zone (Figure S1 and S2; Zone A).

Detailed information of the applied corrective measures is provided in section 3.2. A summary of the APS experiments carried out may be found in Table 1, showing the feedstocks used, the number of replicas available, and the specific characteristics of the experimental setup.

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# 3. Results and discussion

# 3.1. UFP emissions during plasma spraying

Particle number concentrations and size distribution were monitored inside the plasma chamber during the application of different feedstock as coatings. Background UFP number concentrations were representative of typical concentrations in an urban area  $(1.6 \times 10^4/\text{cm}^3; \text{Pérez et al., 2010}; \text{Reche et al., 2011})$ , with D<sub>p</sub> ranging between 40-70 nm characteristic of aged diesel exhaust aerosols (Brines et al., 2016; Dall'Osto et al., 2012). The influence of outdoor aerosols was high given that the doors of the pilot plant were open and connected directly to outdoor air.

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223 Inside the chamber, results evidenced intense UFP emissions (Figure 1) coinciding with the spraying events. Particle number concentrations increased by up to 3 orders of 224 magnitude inside the chamber (in the order of 10<sup>6</sup>/cm<sup>3</sup>) with respect to background 225 concentrations (10<sup>3</sup>-10<sup>4</sup>/cm<sup>3</sup>, Table 2) inside the chamber prior to spraying. This pattern 226 was consistent across the different replicas (Figure 1). In the example shown in Figure 227 1, as the spraying process was initiated (after closing the chamber doors) UFP 228 229 concentrations increased coinciding with the ignition of the plasma plume. During this 230 stage, average 10-second UFP concentrations reached 2.1×10<sup>4</sup>/cm<sup>3</sup> in the projection chamber, to subsequently peak at 6×10<sup>6</sup>/cm<sup>3</sup> with a Dp of 25-30 nm during spraying of 231 232 the feedstock. The emission pattern and measured UFP concentrations and Dp were mostly consistent across replicas. UFP formation may occur during three stages of 233 234 thermal spray: (a) heating and melting of the feedstock, (b) acceleration of the droplets, 235 and (c) impact and deposition stage. During these stages, UFPs are likely to be formed through vaporisation and subsequent nucleation of emission gases, or through 236

mechanical impaction (Huang et al., 2016). They may also be formed by nucleation linked to the emission of sulphur-containing gaseous precursors if these are present in the feedstock (Fonseca et al., 2016a). As shown in Figure 1, the data monitored by the two particle counters deployed inside the chamber (DiscMini and NanoScan) were also consistent, thus confirming the high absolute concentration values measured despite the fact that the peak concentrations were outside the concentration range recommended by the instrument manufacturers (>10<sup>6</sup>/cm<sup>3</sup>).

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245 The results from this study evidence the formation and release of UFPs during plasma spraying. A previous study focusing on a different kind of high velocity spraying (HVOF) 246 reported coarse particle emissions (>10 µm in size) with a unique morphology of 247 248 polygonal or irregular block of crushed powder, and finer dust particles (2.5 µm) in the 249 form of irregular or flocculent agglomerates (Huang et al., 2016). The authors 250 monitored particle mass concentrations (PM, as opposed to N in this work) reaching maximum concentrations of 140 mg/m<sup>3</sup>, with time-weighted average concentrations of 251 252 34 mg/m<sup>3</sup>. In such a highly polluted scenario PM concentration should be the preferred exposure metric. Due to the particle concentration levels monitored in the scenario 253 assessed in the present work, N was considered a more targeted metric for emissions 254 and exposure monitoring (Vogel et al., 2014). To the authors' knowledge, Huang et al. 255 (2016) is the only previous publication available monitoring particle release and 256 257 exposure due to plasma spraying.

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259 The experimental setup described above was replicated for the different kinds of feedstock shown in Table 1, with the results shown in Figure 2 and Table 2. Plasma 260 spraying generated high UFP emission concentrations under all scenarios, which 261 ranged between  $2.6 \times 10^6$  and  $3.3 \times 10^6$ /cm<sup>3</sup> for experiments #1 to #3, and between 262  $1.1 \times 10^6$  and  $2.5 \times 10^6$ /cm<sup>3</sup> for experiments #4 to #7. This relative decrease was probably 263 linked to the implementation of mitigation strategies which will be discussed below. The 264 mean Dp distribution monitored during experiments carried out at different stages of 265 266 the prevention protocol is shown in Figure S3 in Supporting Information, including the 267 following experiments: #2 and #3 (stage 1), #4 (stage 2) and #7 (stage 3). Aside from 268 the differences obtained owing to the mitigation strategies, results evidence that major UFP emissions were generated during the application of both nano- and micro-sized 269 suspensions and powders, thus suggesting that the emissions are related to the 270 process and not only to the grain size distribution of the input material. This is 271 consistent with previous results (Huang et al., 2016). The feedstocks applied during 272 experiments #4, #6 and #7 were characterised by mean  $D_p$  of 60 and 400 nm 273 (Gd<sub>2</sub>Zr<sub>2</sub>O<sub>7</sub>, and ZrO<sub>2</sub>-Y<sub>2</sub>O<sub>3</sub>, respectively), whereas the remaining materials were 274 275 predominantly micro-sized (<63 µm) with only minor contributions (1%) from nanomaterials in the case of ceramic glass powders. As shown in Figure 2, mean D<sub>n</sub> 276 inside the chamber did not vary significantly across experiments and ranged between 277 28 nm in experiment #2 (micro-scaled feedstock) and 45 nm in experiment #6 (nano-278 279 scaled feedstock), and showed no consistent pattern for either type of material. As a result, it may be concluded that UFP emissions from APS are process-related. No 280 statistically relevant conclusions can be drawn with regard to N emitted with the 281 different types of coatings due to the fact that the measurements were carried out 282 under different exhaust ventilation conditions inside the chamber. 283

285 In order to characterise their morphology and chemical composition inside the chamber 286 particles were sampled on TEM grids. It should be noted that TED-EDX results are not quantitative, and refer to single particles identified. As expected, different particle 287 288 morphologies were observed. Figure 3a shows an example of spherical particles 289 originating from evaporation and condensation or fusion of the feedstock, in this case 290 originating from the aqueous suspension containing submicron-sized particles (ZrO<sub>2</sub>-291  $Y_2O_3$ ). In addition, release of the pristine (original) ENPs was also identified (Figure 3b), in the case of the Gd-based ENPs. Mauer et al. (2015) reported the difficulties in 292 coating with Gd<sub>2</sub>Zr<sub>2</sub>O<sub>7</sub> due to the fact that the material is partly decomposed inside the 293 294 plasma plume and the Gd<sub>2</sub>O<sub>3</sub> is evaporated. Thus, this confirms that the ENPs detected by TEM may have been originated due to a partial evaporation of the 295 296 feedstock and subsequent condensation of the vapour. Given the high correlation 297 between particle concentrations in the plasma chamber and in the worker breathing zone (Figure 4), it is expectable that exposures to the ENPs detected inside the 298 299 chamber occur in the breathing zone. Further studies are necessary to confirm this hypothesis. Finally, Ca-rich particles probably sourcing from the feedstock (Figure 3c) 300 301 were also observed. These results are also consistent with the variety of particle 302 morphologies detected in previous studies (Huang et al., 2016).

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### 3.2. Impact on exposure

305 Worker exposure to UFP emissions from the plasma chamber was assessed by 306 placing monitoring instruments on a desk in close proximity to the worker and at 307 breathing height, thus closely simulating breathing zone conditions (Asbach, 2015; Vogel et al., 2014). Plasma spraying activities inside the chamber had an evident and 308 309 statistically significant impact (>background +  $3.\sigma_{background}$ ; Asbach et al. 2012) in the 310 breathing zone (Figure 4). Breathing zone UFP concentrations followed an increasing 311 pattern coinciding with the start of the spraying process, but with a 1-2 minute delay 312 due to transport from the chamber towards the breathing zone. In the example shown in Figure 4, representative of experiments #2 to #3 (stage 1), UFP concentrations 313 increased from  $2.2 \times 10^4$ /cm<sup>3</sup> prior to the spraying activity to  $7.2 \times 10^5$ /cm<sup>3</sup> during and 314 after spraying. Mean  $D_{p}$  increased by 10-20 nm (Table 2) with regard to those 315 316 measured inside the plasma chamber probably due to particle transport and ageing 317 between the two measurement locations (approximately 2 m). The uncertainty of the monitoring instrumentation should evidently be taken into account for this assessment. 318 319 As a result it may be concluded that, for experiments #1 to #3, the exhaust system in 320 place was able to remove between 68% and 91% of the UFP monitored inside the 321 plasma chamber, resulting in significant exposure concentrations in the breathing zone 322 and with potentially health hazardous  $D_{p}$  (33-51 nm; Table 2).

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324 Aside from the actual spraying periods, worker exposures also occurred during cleaning (by using a vacuum cleaner) of the chamber at the end of each spraying 325 process. As expected, this activity impacted PM<sub>10</sub> and PM<sub>2.5</sub> concentrations due to the 326 327 coarser D<sub>p</sub> of the particles re-suspended, with concentrations increasing from 5 to 350 328  $\mu q P M_{2.5}/m^3$  (Figure 5). Conversely, emissions from direct APS had only minor impacts 329 on PM<sub>2.5</sub> mass. Even though these results may seem to contrast with previous studies 330 (Huang et al., 2016), it is probable that the cause are the different concentration and exposure ranges in both plasma spraying scenarios, possibly influenced by the 331 332 different technologies applied (APS vs. HVOF).

In order to reduce exposure concentrations, the prevention protocol described in theMethods section was applied by implementing the following measures:

- Stage 1: Improved air circulation in the plasma chamber using a multi-point system surrounding the plasma flame, as well as a delayed door-opening protocol. The air intake into the plasma room was changed from the breathing zone to directly from outdoor air.
- Stage 2: Enhanced sealing of the extraction system ducts from the chamber to the exhaust, to prevent the flow of emissions towards the breathing zone.
  - Stage 3: Enhanced air exchange rates through forced ventilation in the breathing zone (to approximately 14 air exchanges per hour, in contrast to the original 2 air exchanges per hour).
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346 Reductions in exposure concentrations in the breathing zone are evident after the implementation of the mitigation strategies (Figure 2). The comparison between 347 experiments #1 and #2 evidences a reduction of UFP concentrations of approximately 348 349 70% (from  $8.3 \times 10^5$  to 2.7  $\times 10^5$ /cm<sup>3</sup>, monitored with DiscMini). Likewise, the comparison 350 between experiments #3 and #6 shows a 75% reduction of UFP concentrations between stages 1 and 3 (from 1.8 x10<sup>5</sup> to 4.4x10<sup>4</sup>/cm<sup>3</sup>, monitored with the CPC). Even 351 though the reductions observed are experiment-dependent and data are not available 352 353 from the same instrument for all experiments for direct comparison, these results 354 evidence the benefits of the exposure mitigation protocol implemented. Exposure reductions were monitored in the breathing zone, while concentrations remained 355 356 relatively constant (same order of magnitude) inside the projection chamber.

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Finally, UFP concentrations in the breathing zone after the implementation of the mitigation strategies  $(1.9 \times 10^4 / \text{cm}^3)$ , measured with the CPC) were comparable to those monitored in urban environments in European cities as such as Barcelona, London or Bern  $(1.2 \times 10^4 - 2.8 \times 10^4 / \text{cm}^3)$ ; Reche et al., 2011). However, it should be taken into account that the exposure risk also depends on the coating chemical composition which in some cases may include potentially health hazardous materials.

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## 3.3. Impact on environmental release

In addition to exposure, APS emissions impacted outdoor air. Environmental release of 366 367 UFPs, monitored on the rooftop of the pilot plant (5 m above ground) in the vicinity of the exhaust system (1 m), was evidenced through a 1-order of magnitude increase in 368 UFP concentrations  $(1.7 \times 10^4 / \text{cm}^3 \text{ to } 2.5 \times 10^5 / \text{cm}^3; \text{ Figure 4})$ , which was again observed 369 across all replicas (Figure 1). As in the case of the breathing zone, this increase should 370 also be considered statistically significant (Asbach et al., 2012). However, no 371 environmental or health impacts should be expected from this specific pilot plant due to 372 373 (a) the short temporal impact of the emissions (<2 min), (b) their fast dilution in outdoor air, (c) the fast coagulation/agglomeration of particles and thus their increase in particle 374 diameter, and (d) the chemical composition of the feedstock used in these 375 376 experiments, resembling mineral matter. Despite this, APS may be used to apply a 377 broad variety of coatings which include potentially health hazardous metals (e.g., Cr, 378 Co, W, etc.), in which case environmental release of such metal-rich UFPs should be 379 monitored and prevented (Li et al., 2016) using appropriate gas cleaning systems. 380

## 381 **4. Conclusions**

382 Ultrafine particle emissions and their impact on workplace exposure were monitored during atmospheric plasma spraving (APS) in an industrial-scale pilot plant. Particle 383 384 diameters monitored ranged between 10-700 nm but are reported as UFP given that 385 80% of total N is generally found in the <100 nm size range. UFP emissions were expected due to the high-energy nature of this industrial process. Results evidenced 386 major UFP emissions during APS reaching up to 3.3×10<sup>6</sup>/cm<sup>3</sup> inside the projection 387 chamber with D<sub>p</sub> ranging between 28-45 nm. Breathing zone concentrations reached 388 up to 8.3×10<sup>5</sup>/cm<sup>3</sup> (33-51 nm in diameter). These concentrations were statistically 389 significantly higher than the initial background concentrations of 10<sup>3</sup>-10<sup>4</sup>/cm<sup>3</sup>, and thus 390 evidence the health hazardous potential of this industrial process. The nature of the 391 392 emissions was investigated by testing micro- and nano-sized feedstocks, including 393 engineered nanoparticles (ENPs). The mean D<sub>o</sub> inside the APS chamber did not vary significantly across experiments and showed no consistent differences between the 394 395 different feedstocks. Thus, it was concluded that UFP emissions were detected irrespective of the presence of ENPs in the feedstock, and that they were therefore 396 process-related. In a minor proportion, release of pristine ENPs to the plasma chamber 397 398 air was also evidenced by TEM microscopy. New particle formation originating from the evaporation of the feedstock was also detected. A risk prevention protocol was applied 399 to the studied facility, leading to significant reductions in breathing zone UFP 400 401 concentrations. This work evidences the relevance of process-generated emissions 402 with regard to workplace exposure to nanoparticles, and the need for real-world 403 assessments in order to identify exposure risks and improve indoor air quality in industrial settings by implementing effective prevention protocols. 404

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- 532 533

### 534 **Figure captions**

Figure 1. Top: Particle number concentrations (N, 10-700 nm with DiscMini; 10-420 nm with NanoScan SMPS) monitored during 3 replicas in experiment #2, using a micrometric powder (Na<sub>2</sub>O; SiO<sub>2</sub>; CaO;  $P_2O_5$ ; 1% nano) as feedstock, in the plasma chamber and in outdoor air. Bottom: Particle size distribution monitored during 3 replicas in experiment #2. The plasma spraying activity of each replica is shown as a horizontal line between grey circles.

541

Figure 2. Mean particle number concentrations (N) and particle diameter  $(D_p)$  inside the plasma chamber for each of the experiments performed.

544

Figure 3. TEM images of particles collected on TEM grids inside the plasma chamber. (a) spherical particles originating from evaporation of the feedstock  $(ZrO_2-Y_2O_3)$ nanoparticles), experiment #7; (b) release of pristine Gd-based ENPs, experiment #6; (c) mineral (Ca) particles probably sourcing from the feedstock, experiment #2.

549

Figure 4. Particle number concentrations (N, 10-700 nm with DiscMini; 4 nm to 1.5  $\mu$ m with CPC) monitored during one of the replicas in experiment #2, using a micro-sized powder (Na<sub>2</sub>O; SiO<sub>2</sub>; CaO; P<sub>2</sub>O<sub>5</sub>; 1% nano) as feedstock. Measurements carried out in the plasma chamber, in the breathing zone, and in outdoor air. The plasma spraying activity is shown as a horizontal line between grey circles.

555

Figure 5. Impact of cleaning activities on particle mass concentrations (PM<sub>2.5</sub>) in the plasma chamber. Work activities such as plasma spraying or cleaning are shown as a horizontal line between grey circles.



Figure 1 



- 563
- Figure 2









577 Figu

Table 1. Summary of plasma spraying experiments. Experimental setup: pre- or post-mitigation
 strategies. P: powder. S: suspension

Experiment (date)	Feedstock	Grain size (feedstock)	Composition	Replicas	Setup
#1 (31/10/15)	P1	Micro	Na <sub>2</sub> O; SiO <sub>2</sub> ; CaO; P <sub>2</sub> O <sub>5</sub> (1% nano)	1	Stage 0
#2 (17/12/16)	P1	Micro	Na <sub>2</sub> O; SiO <sub>2</sub> ; CaO; P <sub>2</sub> O <sub>5</sub> (1% nano)	4	Store 1
#3 (17/12/16)	P2	Micro	NiCoCrAITaY	1	Stage 1
#4 (17/12/16)	S1	Submicro + Nano	$ZrO_2$ - $Y_2O_3$ + $Gd_2Zr_2O_7$	1	Stage 2
#5 (08/01/16)	P2	Micro	NiCoCrAITaY	3	
#6 (08/01/16)	S2	Submicro	Gd <sub>2</sub> Zr <sub>2</sub> O <sub>7</sub>	2	Stage 3
#7 (08/01/16)	S3	Nano	ZrO <sub>2</sub> -Y <sub>2</sub> O <sub>3</sub>	2	

588 Table 2. Mean UFP number concentrations and particle diameter inside the plasma chamber and in the breathing zone for each of the experiments 589 performed, prior to and during the spraying activity. Data not available in the breathing zone for experiments #5 to #7 due to instrumental failures.

590

Experiment	Feedstock		UFP (#/cm <sup>3</sup> )							
	Grain size	Catur	Plasma chamber			Breathing zone				
	Setup	Background Plasma spraying		Background		Plasma spraying				
			DiscMini	NanoScan	Discmini	NanoScan	DiscMini	CPC	DiscMini	CPC
#1	Micro	Stage 0	9.0×10 <sup>3</sup>	9.5×10 <sup>3</sup>	2.6×10 <sup>6</sup>	7.9×10 <sup>5</sup>	9.8×10 <sup>3</sup>	N/A	8.3×10⁵	N/A
#2	Micro	Stage 1	1.4×10 <sup>4</sup>	1.6×10 <sup>4</sup>	3.0×10 <sup>6</sup>	2.6×10 <sup>6</sup>	2.2×10 <sup>4</sup>	3.3×10 <sup>4</sup>	2.7×10⁵	2.6×10 <sup>5</sup>
#3	Micro		1.4×10 <sup>4</sup>	2.0×10 <sup>4</sup>	3.3×10 <sup>6</sup>	3.2×10 <sup>6</sup>	2.8×10 <sup>4</sup>	2.6×10 <sup>4</sup>	7.2×10⁵	1.8×10⁵
#4	Submicro + Nano	Stage 2	2.7×10 <sup>4</sup>	2.9×10 <sup>4</sup>	1.3×10 <sup>6</sup>	1.7×10 <sup>6</sup>	2.6×10 <sup>4</sup>	3.2×10 <sup>4</sup>	2.9×10 <sup>5</sup>	5.2×10 <sup>4</sup>
#5	Micro		3.2×10 <sup>4</sup>	N/A	1.8×10 <sup>6</sup>	N/A	N/A	1.8x10 <sup>4</sup>	N/A	4.4x10 <sup>4</sup>
#6	Submicro	Stage 3	7.8×10 <sup>3</sup>	N/A	1.1×10 <sup>6</sup>	N/A	N/A	9.7x10 <sup>3</sup>	N/A	$1.9 \times 10^{4}$
#7	Nano		2.9×10 <sup>4</sup>	2.7×10 <sup>4</sup>	2.5×10 <sup>6</sup>	1.3x10 <sup>6</sup>	N/A	N/A	N/A	N/A
					·	Size (nm)				
			Plasma chamber			Breathing zone				
			Background Plasma spraying		spraying	Background Pla		Plasma	spraying	
			DiscMini	NanoScan	N Discmini	NanoScan	DiscMini	CPC	DiscMini	CPC
#1	Micro	Stage 0	70	64	38	59	61	-	47	-
#2	Micro		34	42	28	47	33		33	
#3	Micro	Stage 1	45	56	35	53	44		45	
#4	Submicro + Nano	Stage 2	52	62	32	48	52		51	
#5	Micro		34	N/A	39	N/A	N/A		N/A	
#6	Submicro	Stage 3	74	N/A	45	N/A	N/A		N/A	
#7	Nano		61	72	37	47	N/A		N/A	

591 N/A: not available

592	
593	Supporting Information
594	
595	Determinants of workplace exposure and release of ultrafine particles during
596	atmospheric plasma spraying in the ceramic industry
597	
598	Viana M. <sup>1</sup> , Fonseca A.S. <sup>2</sup> , Querol X. <sup>1</sup> , López-Lilao A. <sup>3</sup> , Carpio P. <sup>3, 4</sup> , Salmatonidis A. <sup>1</sup> , Monfort
599	E. <sup>3</sup> ,
600	



603 Figure S1. Projection chamber (left) and worker's room (right)





5 Figure S2. Scheme of plasma projection scenario.



606

607 Figure S3. Particle size distribution measured in the plasma chamber during plasma spraying

608 activities: experiment #2 (micro size; mean obtained by 4 replicas for spraying activity),

experiment #3 (micro size; 1 replica), experiments #4 (submicron- and nano-sized; 1 replica),
and experiment #7 (submicron- and nano-sized; mean obtained by 2 replicas for spraying

611 activity). Particle size distribution for experiments #5 and #6 is not available.