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Title: Different temperature dependence of the bacterial brGDGT isomers in 35 Chinese lake sediments compared to that in soils

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Keywords: MBT'; isomer brGDGTs; temperature calibration; lakes; soil.

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Abstract: During the last decade, the distribution of branched glycerol dialkyl glycerol tetraethers (brGDGTs) in lacustrine sediments has been widely used to reconstruct past variations in lake temperature. A prerequisite for the application of brGDGTs to lacustrine paleoclimate reconstructions is to understand the sources of brGDGTs in lake systems and the processes that influence their distribution. In this study, we investigated the distribution of brGDGTs in core-top sediments from 35 lakes across China, with a broad mean annual air temperature (MAAT) range but a constrained pH range, to explore the effect of temperature. The results reveal a contrasting response of MBT'5ME and MBT'6ME to temperature in lake environments compared to that in soils. The sedimentary distributions of 5- and 6-methyl brGDGTs exhibit different relationships with temperature, with most of the latter being correlated to MAAT while the former responding to temperature by only hexamethylated compounds. In both global and Chinese soils, most 6-methyl brGDGTs have no relationship with MAAT but the distribution of 5-methyl brGDGTs is correlated with MAAT. The different behaviors suggest that both 5- and 6-methyl brGDGTs-producing communities might be different in lakes and soils. In addition, in lakes from cold regions (MAAT < 5 °C), the brGDGT distribution correlates only with warm season temperatures (April to October) but exhibits no correlation with cold seasons, suggesting a seasonal bias in brGDGT production in these lakes. This bias towards the warm season is not found in lakes from warmer regions (MAAT > 5 °C). Based on these results we propose new temperature calibrations for paleotemperature reconstructions in Chinese alkaline lakes.

Highlights

5- and 6-methyl brGDGTs measured in 35 Chinese lakes

Seasonal bias towards warm months in cold region lakes

Different responses to temperature between lakes and soils

1 Different temperature dependence of the bacterial brGDGT isomers in 35 Chinese
2 lake sediments compared to that in soils

3

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15

16

17 **ABSTRACT**

18 During the last decade, the distribution of branched glycerol dialkyl glycerol
19 tetraethers (brGDGTs) in lacustrine sediments has been widely used to reconstruct
20 past variations in lake temperature. A prerequisite for the application of brGDGTs to
21 lacustrine paleoclimate reconstructions is to understand the sources of brGDGTs in
22 lake systems and the processes that influence their distribution. In this study, we
23 investigated the distribution of brGDGTs in core-top sediments from 35 lakes across
24 China, with a broad mean annual air temperature (MAAT) range, but a constrained pH
25 range, to explore the effect of temperature. The results reveal a contrasting response
26 of MBT'_{5ME} and MBT'_{6ME} to temperature in lake environments compared to that in
27 soils. The sedimentary distributions of 5- and 6-methyl brGDGTs exhibit different
28 relationships with temperature, with most of the latter being correlated to MAAT
29 while the former responding to temperature by only hexamethylated compounds. In
30 both global and Chinese soils, most 6-methyl brGDGTs have no relationship with
31 MAAT but the distribution of 5-methyl brGDGTs is correlated with MAAT. The
32 different behaviors suggest that communities producing 5- or 6-methyl brGDGTs
33 might be different in lakes and soils. In addition, in lakes from cold regions (MAAT <
34 5 °C), the brGDGT distributions correlate only with warm season temperatures (April
35 to October) but exhibit no correlation with cold seasons, suggesting a seasonal bias in
36 brGDGT production in these lakes. This bias towards the warm season is not found in
37 lakes from warmer regions (MAAT > 5 °C). Based on these results we propose new
38 temperature calibrations for paleotemperature reconstructions in Chinese alkaline
39 lakes.

40

41 *Keywords:* MBT'; isomeric brGDGTs; temperature calibration; lakes; soil

42

43 **1. Introduction**

44 Lacustrine sediments are useful archives for continental paleoclimate
45 reconstruction, with the preferable preservation of organic matter in lakes being
46 particularly beneficial for the application of organic proxies ([Castañeda and Schouten,](#)

47 2011). One of the most important proxies applied to lacustrine sediments is based on
48 the distribution of branched glycerol dialkyl glycerol tetraethers (brGDGTs, see Fig. 1
49 for structures) sourced from unknown bacteria (Weijers et al., 2006; Sinninghe
50 Damsté et al., 2011; 2014). The degrees of cyclization and methylation of brGDGTs,
51 expressed as the CBT and MBT indices, are correlated with environmental factors in
52 soils (pH and both pH and mean annual air temperature, MAAT, respectively), which
53 led to the establishment of a quantitative temperature calibration based on MBT-CBT
54 (Weijers et al., 2007). This calibration was later extended and modified by Peterse et
55 al. (2012), yielding the MBT'-CBT index and can also be applied to lacustrine
56 sediments that receive substantial soil inputs.

57 However, the application of the soil-based calibrations is not straightforward. An
58 increasing number of studies have found evidence for in situ production of brGDGTs
59 in lakes, either in the water column or sediments (e.g., Tierney and Russell, 2009;
60 Blaga et al., 2010; Tierney et al., 2012; Wang et al., 2012; Buckles et al., 2014;
61 Loomis et al., 2014; Weber et al., 2015; Li et al., 2016), and/or seasonal variability in
62 brGDGT production (e.g., Sun et al., 2011; Shanahan et al., 2013; Loomis et al., 2014;
63 Hu et al., 2016). This has led to a variety of lake-specific brGDGT-based temperature
64 calibrations (e.g., Tierney et al., 2010; Zink et al., 2010; Pearson et al., 2011; Sun et
65 al., 2011; Loomis et al., 2012; Foster et al., 2016), and thus necessitates more
66 exhaustive studies of the processes that influence the brGDGT distribution within
67 lakes before their application to paleoclimate reconstruction.

68 Furthermore, recent work revealed the existence of a series of structural isomers
69 (Fig. 1), the 6-methyl brGDGTs in which methyl groups occur at the $\omega/\alpha 6$ position,
70 that co-elute with 5-methyl brGDGTs (with methyl groups at the $\omega/\alpha 5$ position) using
71 traditional analytical methods (De Jonge et al., 2013). 6-Methyl brGDGTs are
72 widespread in peat (De Jonge et al., 2013; Naafs et al., 2017b), rivers (De Jonge et al.,
73 2014b), lakes (Weber et al., 2015; Ding et al., 2016) and soils (De Jonge et al., 2014a;
74 Naafs et al., 2017a). Using improved analytical methods it was shown that in both
75 soils and peat, these two isomers exhibit different relationships with environmental
76 factors. In general, the distribution of 5-methyl brGDGTs (represented by the

77 MBT'_{SME} index) is correlated to temperature whereas the relative abundance of
78 6-methyl isomers is correlated to pH in both soils and peat deposits (De Jonge et al.,
79 2014a; Naafs et al., 2017a; 2017b).

80 However, up to now there are only a few investigations of 5- and 6-methyl
81 isomers in lake systems (De Jonge et al., 2015; Weber et al., 2015; Ding et al., 2016;
82 Dang et al., 2016a; Russell et al., 2018), and the environmental controls (especially
83 temperature control) on these isomers in Chinese lacustrine environments are yet to be
84 deciphered. In particular, it is unknown if the relationships between the 5- and
85 6-methyl brGDGT isomers with environmental factors are the same in lakes as
86 observed for soils. The difference of the temperature dependence of brGDGTs
87 between soils and lakes has been discussed, but this difference was assigned to in situ
88 production overprinting on the original distribution pattern of brGDGTs (e.g., Tierney
89 and Russell, 2009; Tierney et al., 2010; Loomis et al., 2011; Sun et al., 2011) rather
90 than to the differential temperature response strategies of brGDGT isomers (i.e. 5- and
91 6-methyl brGDGT isomers).

92 As many environmental factors would affect brGDGT distributions (e.g., Tierney
93 et al., 2010; Dang et al., 2016a), especially pH, we targeted 35 alkaline lakes in China
94 that span a broad temperature gradient (from -0.2 to 17.2 °C MAAT) to explore the
95 quantitative relationship between brGDGT distributions and temperature. We further
96 compare those Chinese lacustrine distributions and relationships to those from the
97 global soil dataset (De Jonge et al., 2014a) and Chinese soil dataset (Ding et al., 2015;
98 Yang et al., 2015; Lei et al., 2016; Wang et al., 2016); this reveals distinct behaviors
99 for 5- and 6-methyl brGDGT between lakes and soils, recognition of which will be
100 conducive to the development of more accurate temperature calibrations.

101

102 **2. Materials and methods**

103 *2.1. Sampling and environmental parameters*

104 We augment a previously published 17-sample lake brGDGT dataset (Dang et al.,
105 2016a) with an additional 22 new surface sediment samples. Thirty-nine surface
106 sediments were collected from the center of 35 Chinese lakes (Fig. 2; Supplementary

107 [Table S1](#)). All lake surface sediments were obtained using a Peterson MY-051
108 portable grab sampler and sampling depth was 0 to < 3 cm. Each sample consisted of
109 a homogenized mixture of three subsamples that were collected from each individual
110 lake, wrapped in combusted aluminum foil, and then stored in a sealed bag. All
111 samples were put into incubators with dry ice, transported to the laboratory, and then
112 stored at $-20\text{ }^{\circ}\text{C}$ until further analysis.

113 The MAAT and mean monthly air temperature (MMAT) for the sites of all lakes
114 were obtained from the nearest meteorological station of the Chinese Meteorological
115 Data Sharing Service System, which spans the period from 1970 to 2000. Average
116 values were calculated if the meteorological data consisted of more than one station
117 (Supplementary [Table S1](#)). Surface water pH, oxidation-reduction potential (ORP),
118 dissolved oxygen (DO) and conductivity (cond) were measured using a
119 multi-parameter digital analyzer (HQ30d) at the time of sampling (June to September).
120 Each parameter was recorded as an average value of three replicates. The reported
121 depth of each sample was the sampling depth from the water surface and was
122 measured by the grab sampler.

123

124 *2.2. Lipid extraction*

125 The extraction method followed [Dang et al. \(2016a\)](#). After freeze drying, the
126 samples were ground into powder and ultrasonically extracted with
127 dichloromethane:methanol (9:1, v:v) five times. The total extracts were condensed
128 and base hydrolyzed in 1M KOH/methanol solution (5% H₂O by volume). The
129 neutral fractions were then separated into apolar and polar fractions using silica gel
130 columns. The polar fractions were concentrated and passed through 0.45 μm PTFE
131 syringe filters and dried under N₂. These fractions were stored at $-20\text{ }^{\circ}\text{C}$ until
132 analysis.

133

134 *2.3. GDGT analysis and proxy calculation*

135 BrGDGTs were analyzed using an Agilent 1200 series high performance liquid
136 chromatography–atmospheric pressure chemical ionization–mass spectrometry

137 (HPLC–APCI-MS). The GDGTs were separated using two silica columns in tandem
 138 (150 mm × 2.1 mm, 1.9 μm; Thermo Finnigan, USA), maintained at 40 °C (Yang et
 139 al., 2015). The elution gradients were 84% *n*-hexane (A): 16% EtOAc (B) for 5 min,
 140 84/16 to 82/18 A/B for another 60 min, then to 100% B in 21 min and kept for 4 min,
 141 followed by a return to 84/16 A/B for 30 min. The flow rate was 0.2 mL/min. The
 142 APCI-MS conditions were: vaporizer pressure 60 psi, vaporizer temperature 400 °C,
 143 drying gas flow 6 L/min and temperature 200 °C, capillary voltage 3500 V and corona
 144 current 5 μA (~3200 V). Selected ion monitoring (SIM) was used, monitoring at *m/z*
 145 1050, 1048, 1046, 1036, 1034, 1032, 1022, 1020 and 1018. Each sample was run once
 146 and a replicate sample was run between every 10 samples to test the reproducibility.

147 The CBT and MBT' indices were calculated as the following equations. The
 148 roman numerals denote the abundance of corresponding brGDGT structures shown in
 149 Fig. 1 (Weijers et al., 2007; Peterse et al., 2012):

$$150 \text{ CBT} = -\log [(Ib+IIb+IIb')/(Ia+IIa+IIa')] \quad (1)$$

$$151 \text{ MBT}' = (Ia+Ib+Ic)/(Ia+Ib+Ic+IIa+IIa'+IIb+IIb'+IIc+IIc'+IIIa+IIIa') \quad (2)$$

152 MBT'_{5ME} and MBT'_{6ME} were based only on either 5- or 6-methyl brGDGTs and
 153 calculated as below (De Jonge et al., 2014a):

$$154 \text{ MBT}'_{5ME} = (Ia+Ib+Ic)/(Ia+Ib+Ic+IIa+IIb+IIc+IIIa) \quad (3)$$

$$155 \text{ MBT}'_{6ME} = (Ia+Ib+Ic)/(Ia+Ib+Ic+IIa'+IIb'+IIc'+IIIa') \quad (4)$$

156 The relative amount of 6- vs. 5-methyl brGDGTs was calculated according to De
 157 Jonge et al. (2015):

$$158 \text{ IR}_{6ME} = (IIa'+IIb'+IIc'+IIIa'+IIIb'+IIIc')/
 159 (IIa+IIa'+IIb+IIb'+IIc+IIc'+IIIa+IIIa'+IIIb+IIIb'+IIIc+IIIc') \quad (5)$$

160 The fractional abundance of each 5-methyl (or 6-methyl) compound to the
 161 combined amounts of 5-methyl (or 6-methyl) brGDGTs and I series-GDGTs was
 162 calculated as:

$$163 [x]_{5ME} = x/(IIIa + IIIb + IIIc + IIa + IIb + IIc + Ia + Ib + Ic) \quad (6)$$

$$164 [y]_{6ME} = y/(IIIa' + IIIb' + IIIc' + IIa' + IIb' + IIc' + Ia + Ib + Ic) \quad (7)$$

165 The “x” denotes individual 5-methyl and I series brGDGTs and the “y” represents
 166 individual 6-methyl and I series brGDGTs.

167

168 2.4. Statistical analysis

169 Canoco (v. 4.5) software was employed to determine the relationship of
170 environmental factors with the distribution of brGDGTs. The correlation analysis and
171 linear regressions were performed using the SPSS (v. 19.0) software. A p value < 0.05
172 indicates a significant correlation.

173

174 3. Results

175 3.1. Environmental parameters

176 Lakes involved in this study spanned a substantial range of mean annual air
177 temperature (MAAT) from -0.2 °C to 17.2 °C, and also wide gradients of
178 oxidation-reduction potential (ORP), dissolved oxygen (DO) and conductivity
179 (Supplementary [Table S1](#)). The pH range of these lakes is relatively narrow (7.8 to
180 9.5), which should enable us to exclude the effect of pH and investigate the
181 relationship between the brGDGT distribution and temperature.

182 Following previous studies ([cf. Tierney et al., 2010](#)), the air temperature was
183 used in this study, because the lake temperatures measured in the field are transient,
184 and the surface water temperature generally tracks the air temperature variation in
185 most lakes ([Livingstone et al., 1999](#); [Loomis et al., 2014](#); [Magee et al., 2016](#)). Even in
186 a relatively deep lake with ice cover during winter, both the epilimnetic and
187 hypolimnetic temperatures were correlated with the air temperature ([Magee et al.,](#)
188 [2016](#)). For these reasons, MAAT was used here for the statistical analysis.

189

190 3.2. Distribution of brGDGTs

191 All the known brGDGTs were present in the surface sediments of the 35 Chinese
192 lakes (Supplementary [Table S2](#)). The pentamethylated brGDGTs (i.e. series II
193 brGDGTs) were dominant (49% of the total brGDGTs), followed by hexamethylated
194 (i.e. series III; 32%) and tetramethylated (i.e. series I; 19%) brGDGTs. The 6-methyl
195 brGDGTs dominated in abundance over 5-methyl isomers in 20 of the 35 lakes and
196 the isomer ratio (IR_{6ME}) varied from 0.35 to 0.88. The MBT' index varied between

197 0.09 and 0.47, and CBT varied between -0.17 and 0.76 . In addition, the C5, 6-methyl
198 hexamethylated brGDGTs (III' isomers; [Weber et al., 2015](#)) were found in some of
199 these samples, but appear in only trace amount in most samples. The 7-methyl
200 brGDGTs, initially identified by [Ding et al. \(2016\)](#), can also be observed in almost all
201 samples.

202

203 3.3. Temperature dependence of brGDGTs

204 MBT' exhibits a linear relationship with MAAT ([Fig. 3a](#)), but the nature of that
205 relationship differs markedly between lakes with $MAAT < 5\text{ }^{\circ}\text{C}$ (cold regions) and
206 those with $MAAT > 5\text{ }^{\circ}\text{C}$ (warm regions). The same was observed for MBT'_{6ME} ([Fig.](#)
207 [3c](#)). In contrast, MBT'_{5ME} showed no relationship with MAAT ([Fig. 3b](#)). In lakes from
208 cold regions, the correlations between both MBT' and MBT'_{6ME} with mean monthly
209 air temperature (MMAT) were significant from April to October, a period when the
210 MMAT is generally above $0\text{ }^{\circ}\text{C}$, but insignificant from November to March when the
211 MMAT is generally below $0\text{ }^{\circ}\text{C}$ ([Table 1](#)). In contrast, in lakes from warm regions,
212 both MBT' and MBT'_{6ME} correlated significantly with MMAT for each month of the
213 whole year ([Table 1](#)). To explore whether these two responses could be rationalized,
214 we assumed that the growth temperature is the MAAT for warm-region lakes but the
215 mean April to October temperature for cold-region lakes; although that is a somewhat
216 crude assumption, those average growth temperatures are strongly correlated to
217 MBT'_{6ME} and MBT' across the entire dataset ([Fig. 3d, f](#)).

218 To further evaluate the temperature effect on each GDGT compound, we
219 performed a RDA on fractional abundances of individual brGDGTs from a subset of
220 the lakes from warm regions ($n = 27$) where most environmental variables are
221 available ([Fig. 4](#)). The cumulative percentage variances of the first two axes were
222 69.8% for the brGDGT distribution data and 97.8% for the relationship between
223 fractional abundances and environmental variables. MAAT primarily loaded on RDA
224 axis 1 which alone explained 66.8% of the brGDGT distributions and 93.7% of the
225 relationship between fractional abundances and environmental variables. The
226 significance test of the forward selection indicated that only MAAT passed the test (p

227 = 0.001), whereas pH (due to the narrow pH range), depth, DO, ORP and conductivity
228 were insignificant factors in affecting brGDGT distributions ($p = 0.22\text{--}0.96$), which
229 was also proved by partial RDA results ($p = 0.19\text{--}0.60$). In fact, pH was found to
230 show no substantial impact on the cyclization ratios of brGDGTs in high pH lakes
231 (Schoon et al., 2013).

232

233 **4. Discussion**

234 *4.1. Origin of brGDGTs in lacustrine sediments*

235 Because this study focuses on brGDGTs in lake sediments and lacks data on
236 corresponding catchment soils, it is difficult to directly test whether the former derive
237 from in situ production or from surrounding soils via erosion and runoff. However,
238 the distributions of brGDGTs are different in these lakes from global soils (De Jonge
239 et al., 2014a). This can be also observed in other studies focusing on lakes (e.g.,
240 Tierney et al., 2010; Zink et al., 2010; Pearson et al., 2011; Sun et al., 2011; Loomis et
241 al., 2012), i.e., a relatively high abundance of III and/or II series of brGDGTs in lakes
242 as opposed to a high abundance of I series of bGDGTs in soils. When comparing the
243 global soil database of De Jonge et al. (2014a) to those in a relatively limited
244 compilation of lakes, IR_{6ME} values are found to partly discriminate lacustrine from
245 soil origins (Fig. 5). This could be further supported by studies of specific lake
246 catchments. For example, mean IR_{6ME} of the soils around Lake Qinghai in northwest
247 China is ~ 0.80 (Dang et al., 2016b), which is different from that of lake sediments
248 (~ 0.68). Also, in the watershed of Lake Baikal, the IR_{6ME} is lower in suspended
249 particulate matter than in its inflow river (De Jonge et al., 2015). More importantly,
250 the contrasting behaviors of 5- and 6-methyl brGDGT in our lakes compared to soils
251 (discussed below) further demonstrate that at least some of the brGDGTs are
252 produced in situ.

253

254 *4.2. The influence of seasonality on brGDGTs in Chinese lakes*

255 On the basis of the sampling design (targeting the alkaline lakes to reduce the
256 covariance of pH), MAAT is the most important environmental variable controlling

257 the brGDGT distributions in the sediment of these alkaline Chinese lakes. The robust
258 relationship between MBT' and MAAT (Fig. 3) also verifies this. However, the linear
259 relationships between MBT' (or MBT'_{6ME}) and MAAT are different for lakes from
260 cold and warm regions (Fig. 3), with the former evidently reflecting April to October
261 temperatures (when air temperature is above freezing) and the latter reflecting MAAT
262 (Table 1). Salinity is unlikely to account for the difference between cold and warm
263 lakes because only 3 of 8 cold lakes are saline lakes. The water depth might induce
264 this difference, as most cold lakes in this study are deep-water lakes. The bottom
265 water temperature of deep-water lakes generally keeps near 4 °C all the year round
266 (e.g., Fang and Stefan, 1994; Skowron and Piasecki, 2014). If the water depth was the
267 reason for the lack of correlation between winter temperature and brGDGTs in cold
268 lakes, the brGDGTs should have exhibited no relationship with warm season
269 temperatures as well, but this is not the fact. Moreover, Lake Daihai and Lake Chagan
270 share similar lake depths (~7.9 m and 5.6 m respectively), but have different
271 behaviors. So, the water depth is also unlikely to account for the difference between
272 cold and warm lakes. A possible explanation is the increased seasonal production of
273 brGDGTs in cold lakes, which records the temperatures of warm months. It suggests
274 that the lake GDGT distributions actually reflect growing season temperature; indeed,
275 all 35 lakes are characterized by the same growth temperature vs MBT' (or MBT'_{6ME})
276 relationship (Fig. 3d and 3f). Our finding in Chinese lakes is consistent with many
277 other studies inferring a seasonal bias towards warm months in mid to high latitude
278 lakes (e.g., Pearson et al., 2011; Sun et al., 2011; Shanahan et al., 2013; Foster et al.,
279 2016).

280

281 *4.3. Differential strategies of bacterial brGDGT methylation in response to* 282 *temperature between lakes and soils*

283 Numerous studies have shown the difference in temperature calibrations between
284 lakes and soils, and an application of the soil MBT-CBT or MBT'-CBT calibration to
285 lakes will lead to an underestimation of temperature (e.g., Tierney and Russell, 2009;
286 Blaga et al., 2010; Tierney et al., 2010; Zink et al., 2010; Loomis et al., 2011; Sun et

287 al., 2011; Kaiser et al., 2015). This difference was believed to be mainly caused by the
288 different distribution pattern of brGDGTs in lakes and soils, i.e. the in situ production
289 of higher proportions of II and/or III series brGDGTs in lakes (e.g., Tierney and
290 Russell, 2009; Tierney et al., 2012; Buckles et al., 2014; Loomis et al., 2014; Weber et
291 al., 2015), which causes a systematically low MBT. However, the role of 5- and
292 6-methyl isomers in this difference is unclear, and whether these isomers show a
293 similar behavior in lakes and soils remain unknown.

294 Our results show that the relationships between the methylation index of 5- and
295 6-methyl brGDGTs and temperature are different in lake sediments compared to soils
296 and peat. In soils and peat, MBT'_{5ME} is strongly correlated with temperature while
297 MBT'_{6ME} is primarily related to pH (De Jonge et al., 2014a; Yang et al., 2015; Naafs et
298 al., 2017a, 2017b). However, in Chinese lakes, MBT'_{6ME} , rather than MBT'_{5ME} , shows
299 a significant correlation with temperature. This differs from the performance of 5- and
300 6-methyl brGDGTs in East African lakes, where MBT'_{5ME} strongly correlates with
301 temperature (Russell et al., 2018). This regional difference suggests that local
302 calibration of brGDGT temperature proxy will be more feasible for the reconstruction
303 of temperature than the global calibration.

304 The aforementioned differences between Chinese lakes and soils are only based
305 on MBT' index, the lack of correlation between MAAT and MBT'_{5ME} in lakes does not
306 mean that the 5-methyl brGDGTs would not respond to temperature. BrGDGTs can be
307 divided into 3 series according to the number of methyl, i.e. the hexamethylated III
308 series (IIIa, IIIb, IIIc and IIIa', IIIb', IIIc'), the pentamethylated II series (IIa, IIb, IIc
309 and IIa', IIb', IIc') and the tetramethylated I series (Ia, Ib and Ic). In Chinese lakes, the
310 relative abundances of the C-5 methylated III series ($III\%_{5ME}$, i.e. the proportion of
311 C-5 methylated III series in the sum of 5-methyl brGDGTs and I series; equation
312 shown in Table 2) and the ratios related to III_{5ME} (i.e. III_{5ME}/II_{5ME} and III_{5ME}/I ;
313 equations are shown in Table 2) exhibit significant correlations with temperature
314 (Table 2), while the relative abundances of C-5 methylated II series ($II\%_{5ME}$; equation
315 shown in Table 2) and the ratio of II_{5ME} to tetramethylated compounds (II_{5ME}/I) show
316 weak or no correlation with temperature (Table 2). This suggests that 5-methyl

317 brGDGT-producing bacteria in Chinese lakes respond to temperature solely by
318 regulating the abundance of III_{5ME} series. However, in global or Chinese soils, the
319 II_{5ME} and I series brGDGTs exhibit correlations with MAAT better than the III_{5ME}
320 series brGDGTs (Table 2). Especially, the ratios related to I (II_{5ME} /I and III_{5ME} /I)
321 exhibit moderate correlations with temperature whilst the correlations between
322 III_{5ME}/II_{5ME} and MAAT are relatively weak. This means that the 5-methyl brGDGTs in
323 soils may respond to temperature by changing the relative abundance of II_{5ME} or
324 III_{5ME} to I series. Therefore, the MBT'_{5ME} index, which is mainly governed by
325 variations in the proportion of series I brGDGTs (I%_{5ME}), is sensitive to temperature
326 in soils but is not influenced by MAAT in lacustrine environments.

327 On the contrary, the 6-methyl brGDGTs in Chinese lakes behave differently from
328 the 5-methyl compounds. The relative abundance of each 6-methyl brGDGT series
329 (i.e. III%_{6ME} and II%_{6ME}; equations shown in Table 2) and ratios including III_{6ME}/II_{6ME},
330 III_{6ME}/I and II_{6ME} /I in these lakes correlate significantly with temperature (except
331 II%_{6ME} in cold regions; Table 2), indicating that the responding mechanism of
332 6-methyl brGDGTs to temperature may have no selectivity of this compound series.
333 In both global and Chinese soils, however, none of the 6-methyl brGDGT series show
334 a strong correlation with temperature (Table 2).

335 Overall, 5-methyl brGDGTs may use solely III_{5ME} to respond to temperature in
336 Chinese lakes, while adapt to MAAT by regulating (III_{5ME} + II_{6ME})/I in soils. The
337 6-methyl bGDGTs may adapt to temperature with no selectivity of compound series
338 (using all series) in Chinese lakes, but do not respond to temperature in soils. The
339 reason for these four different behaviors in response to temperature is still uncertain
340 due to the unknown brGDGT producers. One possible explanation is the brGDGT
341 producers can adapt to temperature via different ways of methylation of 5- and
342 6-methyl isomers under different environmental conditions, if they can operate such
343 complicated response strategies. However, the structures of 5- and 6-methyl brGDGTs
344 are too similar for the same bacteria to make a difference on the fluidity or stability of
345 cell membranes (De Jonge et al., 2014a). The different performance of 5- and
346 6-methyl isomers is more likely a result of in the change of the microbial community,

347 and so both of the 5- and 6-methyl brGDGT-producing communities may differ, at
348 least partly, between lakes and soils.

349

350 *4.4 New temperature calibration for Chinese alkaline lakes*

351 Based on the previous discussion, we developed a new temperature calibration
352 for Chinese alkaline lakes, using a multiple linear regression with the fractional
353 abundance of the compounds that pass the significance test ($p < 0.05$) for the
354 correlation with temperature. The abundances of each compound are calculated based
355 on equations 6 and 7.

$$\begin{aligned} 356 \text{ Growth Temperature} = & -29.73 \times [\text{IIIa}]_{5\text{ME}} + 91.97 \times [\text{IIIb}]_{5\text{ME}} - 551.02 \times [\text{IIIc}]_{5\text{ME}} + \\ 357 & 22.65 \times [\text{IIb}]_{5\text{ME}} + 3.19 \times [\text{Ib}]_{5\text{ME}} - 4.23 \times [\text{IIIa}']_{6\text{ME}} - 147.28 \times [\text{IIIb}']_{6\text{ME}} + 460.10 \times \\ 358 & [\text{IIIc}']_{6\text{ME}} - 14.59 \times [\text{IIa}']_{6\text{ME}} + 40.02 \times [\text{IIb}']_{6\text{ME}} - 230.78 \times [\text{IIc}']_{6\text{ME}} + 7.54 \times [\text{Ia}]_{6\text{ME}} + \\ 359 & 29.48 \times [\text{Ic}]_{6\text{ME}} + 12.73 \end{aligned}$$

360 ($r^2 = 0.91$, RMSE = 1.10 °C, $n = 39$; [Fig. 6](#))

361 This r^2 and RMSE are improved compared to that of the original MBT' ($r^2 = 0.70$,
362 RMSE = 1.96 °C, $n = 39$; [Fig. 3d](#)) and the MBT'_{6ME}-based calibration ($r^2 = 0.75$,
363 RMSE = 1.78 °C, $n = 39$; [Fig. 3f](#)). However, as the distribution of brGDGTs is also
364 affected by some other environmental factors ([Tierney et al., 2010](#); [Dang et al.,](#)
365 [2016a](#)), in particular the water pH, more lakes with variable pH are needed in the
366 future for developing a calibration applicable to lakes with a broad range of water pH.

367

368 **5. Implications and conclusions**

369 The investigation of 35 Chinese alkaline lake sediments further verifies an
370 autochthonous production of brGDGTs in lakes. A seasonal bias towards warm
371 months exists in the Chinese lakes from cold regions, suggesting the application of
372 brGDGT-based calibrations to cold lakes should be treated with caution. After
373 separating 6-methyl brGDGTs from the original 5-methyl counterparts, a different
374 response of MBT'_{5ME} and MBT'_{6ME} to temperature in lake environments and soils was
375 identified. When delving deep into the variations of each compound series, four
376 different behaviors of brGDGTs in response to temperature were found in soils and

377 lakes. These different response strategies imply that the brGDGT producers may
378 change the ways of methylation of 5- and 6-methyl isomers depending on the
379 environmental conditions to adapt to temperature or that both 5- and 6-methyl
380 brGDGT-producing communities may be different, partly if not wholly, between lakes
381 and soils. In addition, this study attempts to establish a preliminary temperature
382 calibration for Chinese alkaline lakes, which could help refine the application of
383 brGDGTs to lacustrine palaeoclimate records. We also highlight the importance of
384 separating 5- and 6-methyl isomers and the need of more lacustrine samples in future
385 studies for improving the accuracy of the calibrations.

386

387

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396

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398

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571

572 **Figure and table captions**

573 **Fig. 1.** The structures of bacterial branched glycerol dialkyl glycerol tetraethers
574 (brGDGTs), adapted from [Yang et al. \(2015\)](#).

575

576 **Fig. 2.** Locations of the 35 Chinese lakes and their nearby meteorological stations.

577

578 **Fig. 3.** Plots of MAAT versus MBT' (a), MBT'_{5ME} (b) and MBT'_{6ME} (c), and of the
579 average growth temperature versus MBT' (d), MBT'_{5ME} (e) and MBT'_{6ME} (f). The
580 growth temperature is the MAAT for warm-region lakes (red dots; n = 27) but is the
581 mean temperature of the period from April to October for cold-region lakes (blue dots;
582 n = 12).

583

584 **Fig. 4.** RDA of the lakes from warm regions showing the relationships of
585 environmental variables with brGDGTs. The conductivity (cond), dissolved oxygen
586 (DO) and oxidation-reduction potential (ORP) are standardized logarithmically.

587

588 **Fig. 5.** IR_{6ME} of lakes compared with that of global soils (grey dots; De Jonge et al.,
589 2014a), adapted from Dang et al. (2016a). The lakes are data in this study (sediments,
590 black dots) and Lake Hinterburg [sediment, triangle; IR_{6ME} value is from Weber et al.
591 (2015) and the pH value is from Blaga et al. (2010)], Lake Baikal (suspended
592 particulate matter, circles; De Jonge et al., 2015) and the average value of 102
593 Chinese lakes (sediments, cube; Ding et al., 2016). The data without pH values are
594 excluded.

595

596 **Fig. 6.** Scatterplots of (a) residual values and (b) estimated temperature versus
597 measured mean air temperature. Residuals (a) show the offset between measured and
598 calculated temperature values, based on calibration in Section 4.4.

599

600 Table 1. Correlation coefficients between MBT'_{6ME} and mean monthly air temperature
601 (MMAT)

602

603 Table 2. The correlation coefficients between different indices and temperature,
604 showing different ways of methylation of brGDGTs responding to temperature. The
605 5-methyl brGDGTs use solely III_{5ME} to respond to temperature in Chinese lakes, but
606 adapt to MAAT by regulating (III_{5ME} + II_{6ME})/I in soils. The 6-methyl bGDGTs adapt
607 to temperature with no selectivity of compound series (using all series) in Chinese
608 lakes, but do not respond to temperature in soils. Soil data without MAAT or ratio
609 values are excluded.

610 “a” Soil data from De Jonge et al. (2014a) and the data without MAAT values are
611 excluded (n = 237).

612 “b” Chinese soils (n = 240) from Yang et al. (2015), Ding et al. (2015), Lei et al.
613 (2016) and Wang et al. (2016).

614 “c” Subset of soils (n = 95) with comparable pH range (pH = 7.8–9.5) of lakes in this
615 study.

616

Figure 1
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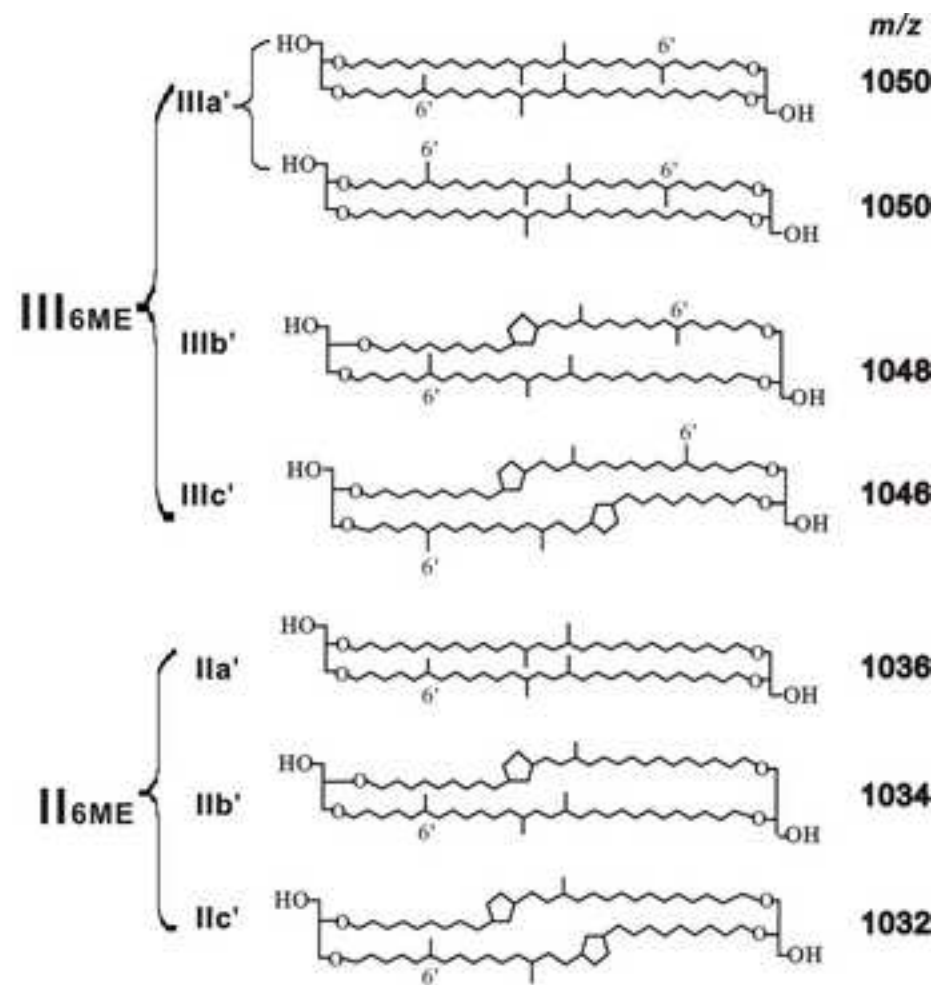
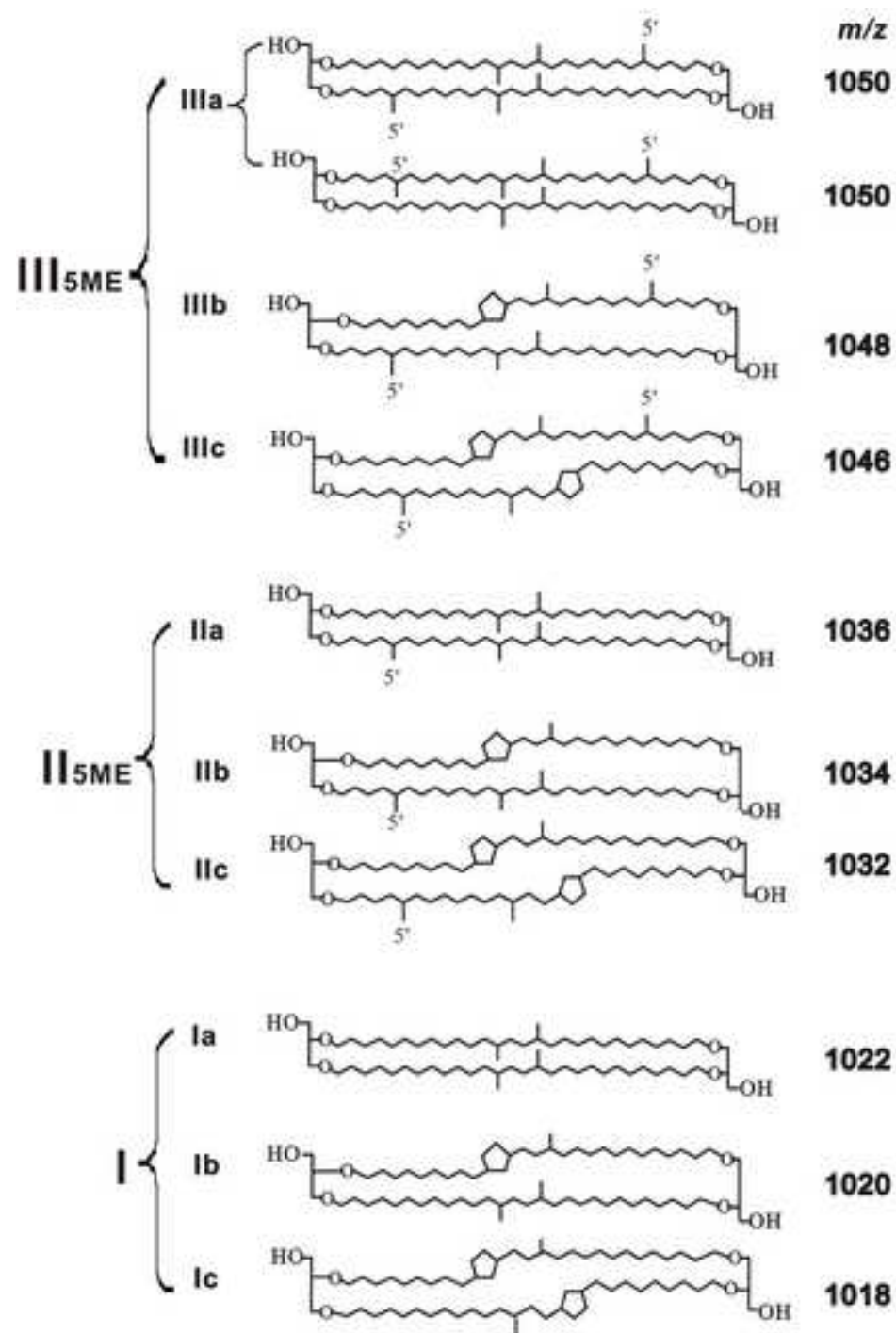


Figure 2
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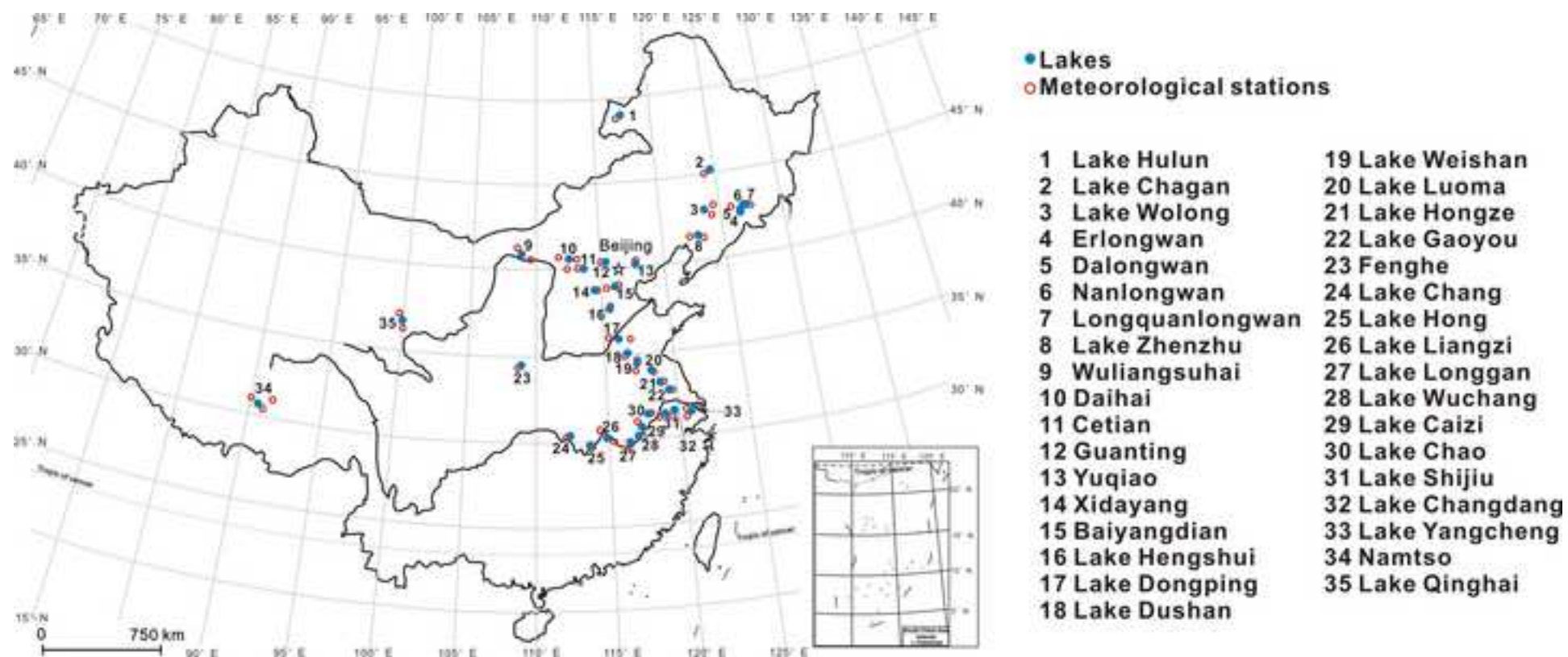


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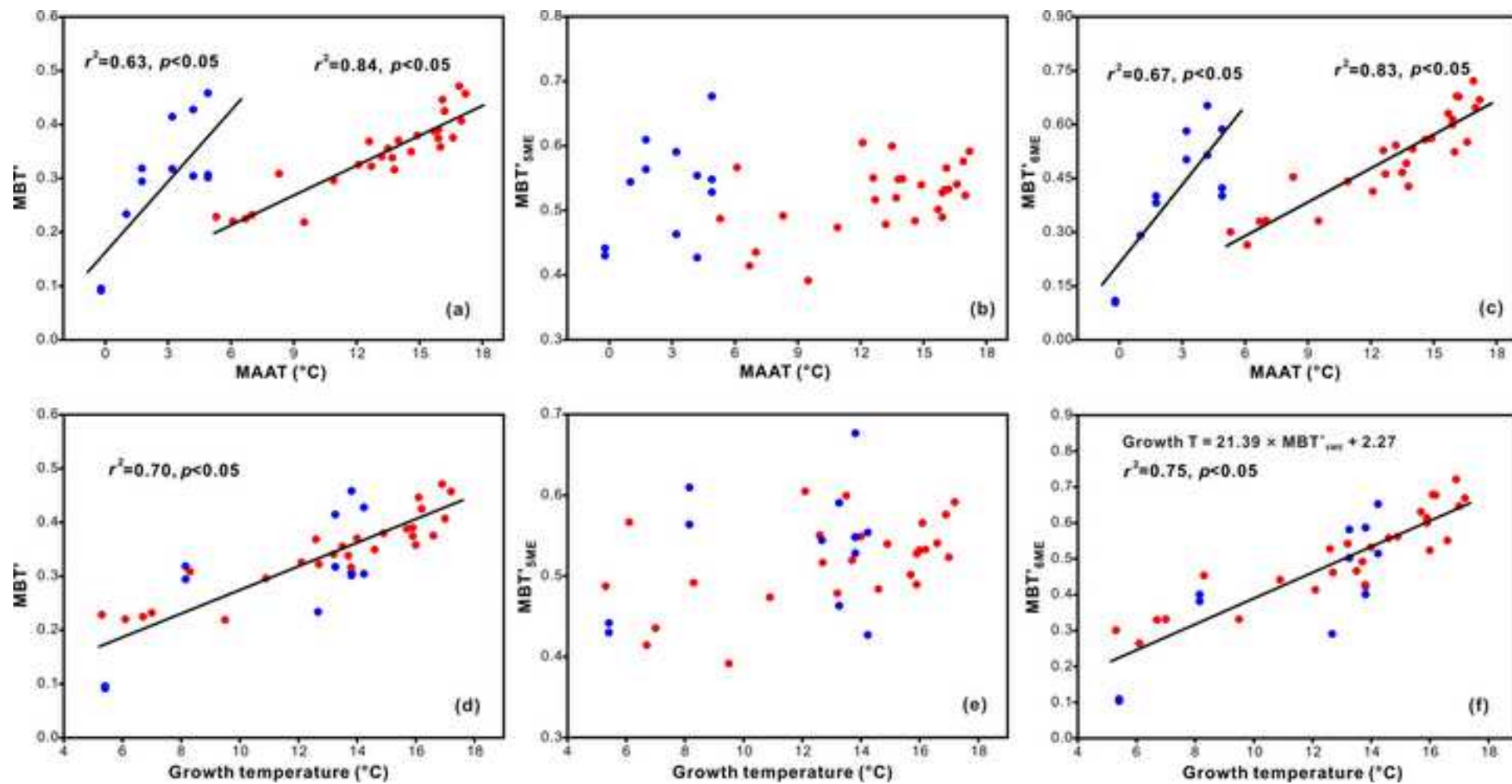


Fig. 4

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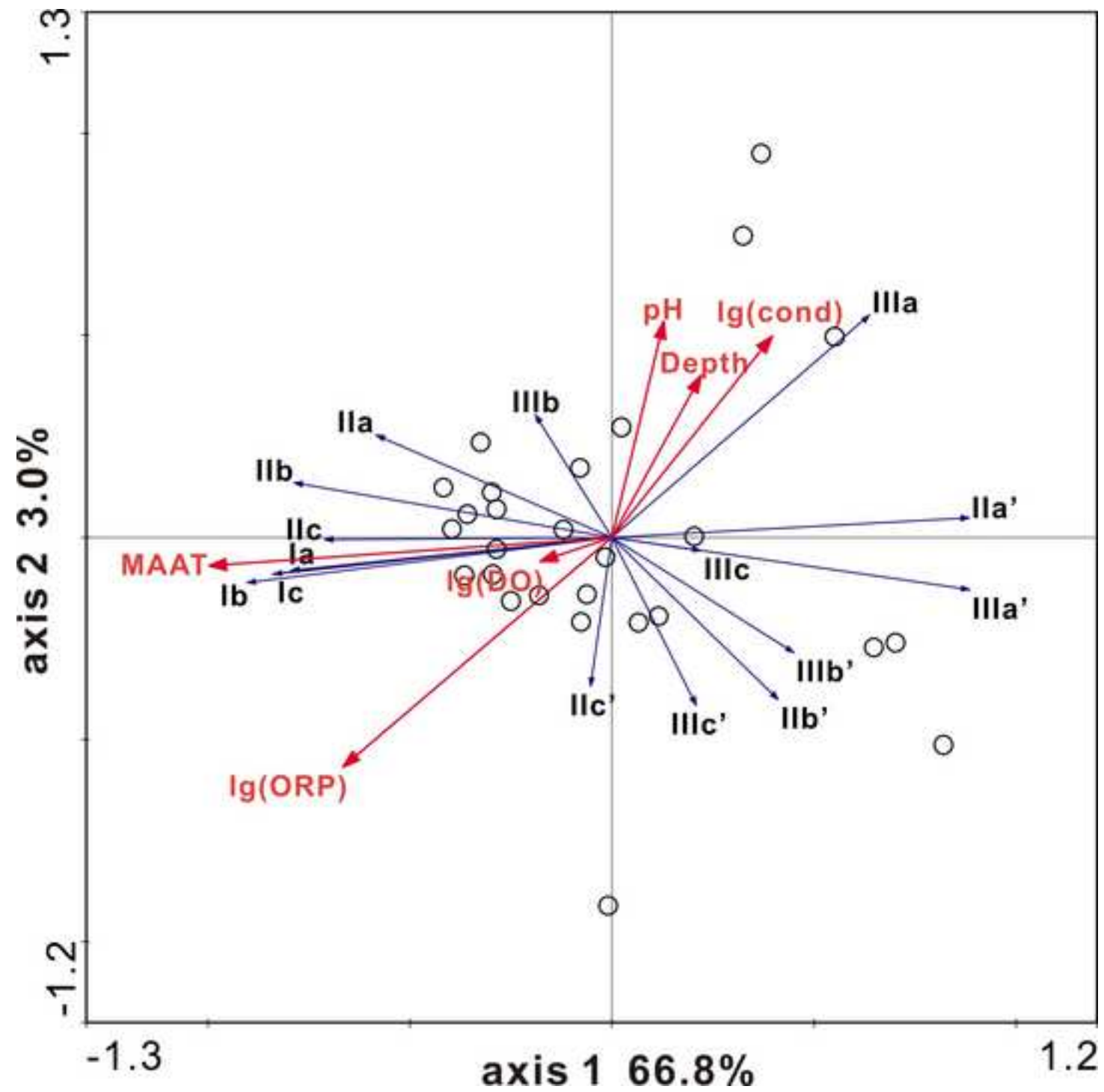


Fig. 5

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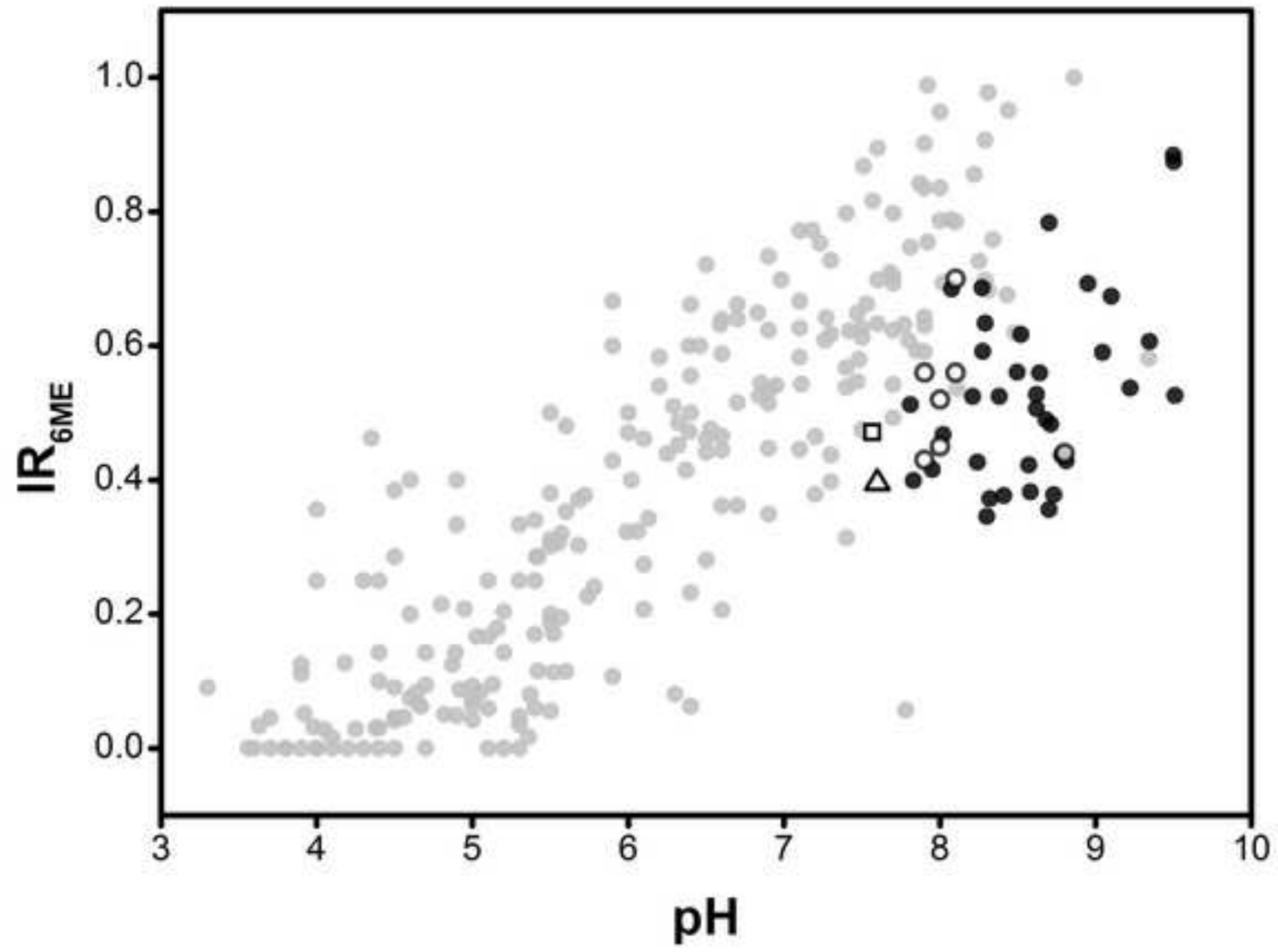


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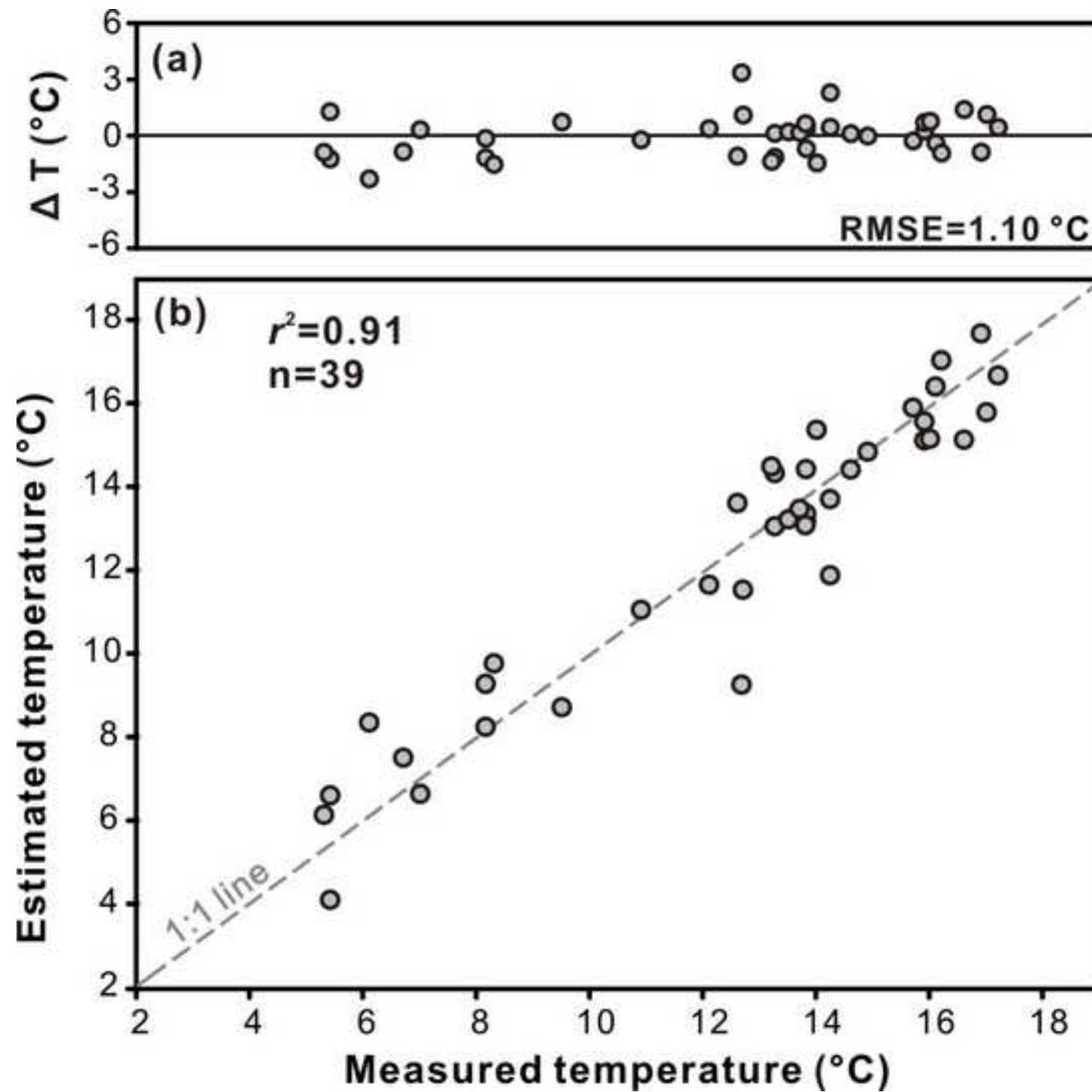


Table 1

			Jan.	Feb.	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sep.	Oct.	Nov.	Dec.	
MBT _{6ME}	Cold regions	<i>r</i>	-0.41	-0.32	0.33	0.88	0.81	0.72	0.78	0.81	0.77	0.87	0.56	-0.22	
		<i>p</i>	0.18	0.31	0.30	0.00	0.00	0.008	0.00	0.00	0.00	0.00	0.00	0.06	0.48
	Warm regions	<i>r</i>	0.89	0.88	0.87	0.83	0.78	0.72	0.87	0.89	0.91	0.91	0.91	0.91	0.90
		<i>p</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

The bold type denotes $p < 0.01$

Table 2

			5-methyl brGDGTs						6-methyl brGDGTs					
			$\text{III}_{5\text{ME}}/\text{II}_{5\text{ME}}$	$\text{III}_{5\text{ME}}/\text{I}$	$\text{II}_{5\text{ME}}/\text{I}$	$\text{III}\%_{5\text{ME}}$	$\text{II}\%_{5\text{ME}}$	$\text{I}\%_{5\text{ME}}$	$\text{III}_{6\text{ME}}/\text{II}_{6\text{ME}}$	$\text{III}_{6\text{ME}}/\text{I}$	$\text{II}_{6\text{ME}}/\text{I}$	$\text{III}\%_{6\text{ME}}$	$\text{II}\%_{6\text{ME}}$	
Chinese Lakes	Growth T	<i>r</i>	-0.85	-0.79	-0.02	-0.85	0.40	0.41	-0.63	-0.58	-0.72	-0.77	-0.72	
		<i>p</i>	0.00	0.00	0.89	0.00	0.01	0.01	0.00	0.00	0.00	0.00	0.00	
	MAAT	Cold regions	<i>r</i>	-0.85	-0.78	0.03	-0.83	0.38	0.43	-0.78	-0.77	-0.79	-0.83	-0.43
			<i>p</i>	0.00	0.00	0.92	0.00	0.22	0.16	0.00	0.00	0.00	0.00	0.17
		Warm regions	<i>r</i>	-0.92	-0.81	-0.21	-0.88	0.26	0.50	-0.58	-0.90	-0.93	-0.87	-0.89
			<i>p</i>	0.00	0.00	0.30	0.00	0.19	0.01	0.00	0.00	0.00	0.00	0.00
Global Soils ^a	MAAT	<i>r</i>	-0.44	-0.53	-0.73	-0.63	-0.80	0.81	-0.32	-0.26	-0.26	-0.27	-0.28	
		<i>p</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Chinese Soils ^b	MAAT	<i>r</i>	-0.15	-0.54	-0.79	-0.54	-0.86	0.84	-0.29	-0.15	-0.07	-0.16	0.00	
		<i>p</i>	0.02	0.00	0.00	0.00	0.00	0.00	0.00	0.02	0.28	0.01	0.99	
Soils ^c (pH=7.8-9.5)	MAAT	<i>r</i>	-0.27	-0.63	-0.66	-0.60	-0.54	0.64	-0.34	-0.51	-0.43	-0.53	-0.09	
		<i>p</i>	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.39	

$$\text{III}_{5\text{ME}} = \text{IIIa} + \text{IIIb} + \text{IIIc}$$

$$\text{III}_{6\text{ME}} = \text{IIIa}' + \text{IIIb}' + \text{IIIc}'$$

$$\text{III}\%_{5\text{ME}} = \text{III}_{5\text{ME}} / (\text{III}_{5\text{ME}} + \text{II}_{5\text{ME}} + \text{I})$$

$$\text{III}\%_{6\text{ME}} = \text{III}_{6\text{ME}} / (\text{III}_{6\text{ME}} + \text{II}_{6\text{ME}} + \text{I})$$

$$\text{II}_{5\text{ME}} = \text{IIa} + \text{IIb} + \text{IIc}$$

$$\text{II}_{6\text{ME}} = \text{IIa}' + \text{IIb}' + \text{IIc}'$$

$$\text{II}\%_{5\text{ME}} = \text{II}_{5\text{ME}} / (\text{III}_{5\text{ME}} + \text{II}_{5\text{ME}} + \text{I})$$

$$\text{II}\%_{6\text{ME}} = \text{II}_{6\text{ME}} / (\text{III}_{6\text{ME}} + \text{II}_{6\text{ME}} + \text{I})$$

$$\text{I} = \text{Ia} + \text{Ib} + \text{Ic}$$

$$\text{I}\%_{5\text{ME}} = \text{I} / (\text{III}_{5\text{ME}} + \text{II}_{5\text{ME}} + \text{I})$$

$$\text{I}\%_{6\text{ME}} = \text{I} / (\text{III}_{6\text{ME}} + \text{II}_{6\text{ME}} + \text{I})$$

Dear editors,

Thank you for the evaluation of the manuscript. Careful revision was made in the text, tables and supplemental materials on the basis of your comments. Point-by-point reply was shown below.

The highlights are too long (each can be up to 85 characters including spaces). Please rewrite them (you can have up to 5).

Reply: Revised.

Use 12 point font throughout.

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Cite authors in date order within the text.

Reply: Revised.

List Fig. and Table captions last (after References).

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Remove the colour from the Table.

Reply: Revised.

Use the proper symbol for ' in MBT'.

Reply: Revised.

Line 48: no brackets.

Reply: Revised.

Comma after e.g.

Reply: Revised.

Space before and after an = sign (including captions to Tables).

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Shucheng Xie

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Figure 2

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