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1 **Special feature title**

2 Towards a mechanistic understanding of global change ecology

3

4 **Title**

5 Towards the general mechanistic prediction of community dynamics

6

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11

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17 **Abstract**

- 18 1. “What controls the distribution and abundance of organisms”? This question,
19 at the heart of the dynamics of ecological communities, would have been
20 familiar to the earliest ecologists. Having lain effectively abandoned for many
21 years, community dynamics today is a vibrant research topic of great
22 conceptual interest with practical import for conservation, ecological
23 management, ecosystem services and the responses of ecological
24 communities to climate change.
- 25 2. We describe how modern coexistence theory can be applied to predict
26 community dynamics through the use of demography. We explore the
27 challenges that limit the deployment of this demographic framework, and the
28 tools from phylogenetic and functional ecology that have been used to
29 surmount them.
- 30 3. Finding existing tools not altogether sufficient, we propose the use of ‘hard’
31 functional traits and physiological tolerances of environmental conditions and
32 low resource availability to extend the demographic framework so that the
33 dynamics of a broader range of ecological communities can be accurately
34 predicted.
- 35 4. We illustrate these new approaches with two case studies. Given the urgent
36 need to accurately forecast the dynamics of ecological communities, we hope
37 that many ecologists will adopt these tools.

38 **Objective**

39 The objective of this contribution is to outline the most promising techniques, as we
40 see them, to predict community dynamics in the medium term. By community
41 dynamics, we mean changes in species composition and relative abundance through
42 time or over environmental gradients. By medium term, we mean 5-10 generations
43 into the future, a timeframe that varies enormously among organisms. It represents
44 an important frontier to advance, however, as it is the scale at which extrapolations
45 from current community structure tend to break down, and yet, given anthropogenic
46 effects on global climate and to environments around the globe, it is relevant to
47 conservation, restoration and ecological management (Agrawal *et al.*, 2007; Clark *et*
48 *al.*, 2001). Notably, ecologists already have predictive ability over such scales in a
49 few circumstances. Following decades of research, we can make strong, empirically
50 grounded predictions about the dynamics expected, for example, upon the
51 abandonment of agricultural land (Horn, 1974; Norden *et al.*, 2015). Even so,
52 ecologists' ability to accurately predict community dynamics remain frustratingly
53 limited. For example, we currently have little ability to predict changes in tree relative
54 abundance over 200 years in tropical rain forests, or invertebrate species
55 composition over five years in temperate vernal pools.

56 Improving our predictive ability would be of great conceptual interest, yielding
57 insight into long-standing questions in community ecology. For example, many
58 ecological communities are puzzlingly rich in competing species despite a lack of
59 obvious niche partitioning at the scale of individual patches, resulting in what
60 Hutchinson (1961) famously described as a "paradox of the plankton". Conceptual
61 gaps also remain in understanding how the strength and nature of interspecific
62 interactions vary over space and time and thereby generate variation in community

63 structure (Agrawal *et al.*, 2007; Chamberlain, Bronstein, & Rudgers, 2014; Hairston,
64 Smith, & Slobodkin, 1960). These classic problems deserve general answers.

65 We begin by outlining the most-promising current approach, based on the
66 estimation of demographic parameters relating to stabilising and equalising
67 coexistence mechanisms (Chesson, 2000b), which has scored notable successes
68 (Adler, Ellner, & Levine, 2010; Kraft, Godoy, & Levine, 2015; Levine & Hille Ris
69 Lambers, 2009). Essential to any accurate prediction is the identification and
70 minimization of uncertainties (Clark *et al.*, 2001), so we next explore the challenges
71 that limit the current approach. We discuss the tools derived from phylogenetic and
72 functional ecology that have been used to surmount these challenges, and their
73 limitations. We conclude by presenting two ongoing investigations that employ
74 complementary techniques, ‘hard’ functional traits and physiological tolerances, to
75 further extend the demographic approach. We see them as offering the most
76 promise to generalise the demographic framework, thus facilitating accurate
77 predictions of community dynamics of a wide variety of ecosystems.

78

79 **Background**

80 25 years ago, Pianka (1992) declared that “Community ecology... remains a
81 primitive and embryonic science”. He was correct in many ways. Ecologists have
82 debated the controls on population sizes and community structure since the dawn of
83 ecology as a science, and conceptual approaches to community dynamics had
84 proliferated (Fig. 1). Nevertheless, their application stalled because most of the
85 underlying theory, with the exception of limiting similarity (MacArthur & Levins, 1967)
86 was qualitative. The lack of mechanistic quantitative theory precluded accurate
87 predictions of community dynamics (Vellend, 2016).

88 Two publications re-founded community ecology on a more rigorous basis at
89 the turn of the millennium. The first, Hubbell's 'neutral' theory (2001), set forth a
90 model of community dynamics and biogeography of extreme parsimony. Though its
91 assumptions of species equivalence were derided as unrealistic (McGill, Maurer, &
92 Weiser, 2006), it was simultaneously lauded for its capacity to accurately describe
93 aspects of community structure, such as relative abundance distributions, with a
94 scant handful of parameters (Volkov *et al.*, 2007). As deriving similar predictions for
95 species-rich communities from traditional niche-partitioning theory would require
96 unmanageably many parameters to be estimated (Chase & Leibold, 2003;
97 Silvertown, 2004), neutral theory led ecologists to radically reconsider their approach
98 to community dynamics. The previous year, Chesson (2000b) had synthesised niche
99 theory with an earlier formulation of neutral theory (Hubbell, 1997). He categorised
100 coexistence mechanisms as either equalising, which minimise average fitness
101 differences between species, or as stabilising, which intensify negative relationships
102 between population density and per-capita population growth rate. Chesson's
103 synthesis was highly influential because of its generality. For the first time, the
104 dynamics of any community could be investigated on the basis of the demography of
105 its component populations, so long as the growth rate of each population and the
106 intensities of interactions between them could be estimated.

107 Chesson's (2000b) synthesis, now referred to as "modern coexistence theory"
108 (Letten, Ke, & Fukami, 2017) is general, in the sense that it applies to all ecological
109 communities. Even so, its relatively abstract mathematical formulation limited its
110 application. Initial attempts to distinguish the relative strength of stabilising and
111 equalising mechanisms were based on the study of macroscopic patterns observed
112 in ecological communities. Niche-based and neutral models can generate very

113 similar relative abundance distributions, however, rendering such pattern-matching
114 exercises uninformative (Chave, Muller-Landau, & Levin, 2002; McGill, Maurer, &
115 Weiser, 2006). A new opportunity for predicting community dynamics was presented
116 when Adler *et al.* (2007) proposed a mechanistic operationalisation of Chesson's
117 synthesis.

118 To predict community dynamics from demography, first quantify the intrinsic
119 population growth rate of each target species, and the effects of those species'
120 abundances on the population growth rates of the other species of interest (Adler,
121 HilleRisLambers, & Levine, 2007). These are traditionally written as λ_i and α_{ij} , with i
122 and j representing distinct species. With estimates of population growth rates and
123 interaction coefficients in hand, build a demographic model including stabilisation
124 terms and fitness difference terms for each species (Adler, HilleRisLambers, &
125 Levine, 2007; Chesson, 2000b). Doing so is conceptually interesting, as it gives
126 insight into the mechanisms of coexistence operating in the community. The specific
127 form of the demographic model will depend on the life history of the organisms
128 examined and the nature of the interspecific interactions. Although originally
129 proposed to evaluate the importance of neutral- and niche-based coexistence
130 mechanisms, the Chesson-Adler demographic framework can be applied to predict
131 various aspects of community structure and dynamics. For example, Adler *et al.*
132 (2010) built a spatially explicit individual-based model to predict times to extinction
133 for each of their studied species, as well as a multi-species integral projection model
134 to predict the dynamics of population growth rates and asymptotic population sizes.

135

136 **Challenges to apply the demographic framework**

137 As Adler *et al.* (2007) note, the demographic framework "...require[s] considerable
138 data on species' performance and interactions across wide ranges of biotic and
139 abiotic variabilities, and also sophisticated quantitative techniques." In this section,
140 we elaborate on this comment, detailing the challenges that most strongly limit the
141 deployment of the demographic framework in the prediction of community dynamics.

142 First, and most simply, estimating population sizes of organisms that are shy,
143 nocturnal, fossorial, or otherwise difficult to detect is challenging. Moreover, the great
144 majority of species in any community are rare, complicating the estimation of their
145 population sizes (Rabinowitz, 1981).

146 Second, the life history of some organisms makes it difficult to estimate their
147 population growth rates and the sensitivity of their population growth rates to intra-
148 and interspecific density. Making observations over the complete lifespan of long-
149 lived individuals is often infeasible (Clark *et al.*, 2010). In such cases, it can be
150 profitable to separately assess vital rates such as recruitment, individual growth,
151 survival and fecundity for each target species. Moreover, many species have
152 multiple pathways to fitness. For example, many species reproduce both clonally and
153 sexually, either sequentially, as in aphids (Aphidoidea, Hemiptera), or
154 simultaneously, as in many plants. In the rare systems in which genets are easily
155 tracked, multiple fitness pathways cause little problem, but more often, only data on
156 ramets is easily available. Matrix population models are the traditional technique to
157 integrate vital rates over the lifecycle and estimate population growth rates (Caswell,
158 2001). They have been widely criticised recently, in favour of integral projection
159 models, which avoid the issue of into how many stages should the lifecycle be
160 divided (Ellner, Childs, & Rees, 2016). Long or complex life histories can be

161 accommodated through careful model construction, but only with detailed knowledge
162 of the target species.

163 Third, estimating interaction coefficients among co-occurring species can be
164 challenging. To quantify the density dependence of population growth rates
165 rigorously, it is important that the intensity of interactions among species be
166 integrated across life stages, as stabilising processes operating on one life stage can
167 be offset by destabilising effects at others (Adler, HilleRisLambers, & Levine, 2007).
168 For sessile organisms, especially plants, methods for estimating interaction
169 coefficients are well established. The degree to which number, size and distance to
170 neighbouring plants affect plant performance is the subject of a well-established
171 literature (Canham, LePage, & Coates, 2004). These methods have been further
172 refined through the inclusion of functional similarity and phylogenetic relatedness
173 among neighbours (Fortunel, Valencia, et al., 2016; Uriarte et al., 2010). With
174 extensive fieldwork, it is possible to estimate interaction coefficients observationally
175 (Clark et al., 2010; Purves et al., 2008). For mobile organisms, including most
176 animals, on the other hand, it can be challenging to model interactions between
177 individuals. Most mobile species face constraints in reproduction, however, which
178 can lead to breeding aggregations on localised limiting resources, such as flies
179 gathering on dung pats and explosively breeding frogs gathering in temporary pools.
180 Such aggregations offer opportunities to measure the strength of inter- and intra-
181 specific interactions, which may be negligibly weak at other stages of the lifecycle
182 (see *Sepsidae case study*, below).

183 Moreover, interaction coefficients need to be assessed across the natural
184 range of population density. A challenge in using observational data to do so is that
185 most species tend to be either common or rare throughout a study system

186 (Rabinowitz, 1981). Thus, regressions of observed population growth rates against
187 observed frequency often lack sufficient statistical power to yield satisfactory
188 estimates of interaction coefficients. An alternative is to generate experimental
189 communities at a range of densities, and to estimate interaction coefficients from
190 them (Levine, Adler, & Hille Ris Lambers, 2008; Levine & Hille Ris Lambers, 2009).
191 In species-rich communities, this approach can be simplified by modelling one target
192 species in competition with the aggregate community, essentially turning a many-
193 species community into a series of two-species systems, and repeating the process
194 for each species in the community (Adler, HilleRisLambers, & Levine, 2007; Kraft,
195 Godoy, & Levine, 2015). Data obtained from artificial communities can be used to
196 parameterise multi-species integral projection models that integrate over the
197 lifecycle, then estimate interaction coefficients and population growth rates for each
198 species (Adler, Ellner, & Levine, 2010).

199 Finally, incorporating environmental heterogeneity into the demographic
200 framework remains a challenging and open area of research (Agrawal *et al.*, 2007;
201 Maron, Baer, & Angert, 2014). The degree to which population growth rates vary
202 along environmental gradients is little studied, and even less is known about
203 environmental effects on interaction coefficients. Should we expect uncorrelated
204 changes in community interaction coefficients along environment gradients, or can
205 we expect the rank order of interaction coefficients among pairs of species to remain
206 consistent? Although the degree of context dependence in interaction coefficients
207 has begun to be explored (Chamberlain, Bronstein, & Rudgers, 2014), general
208 answers to such questions remain unclear, and to our knowledge, no study has
209 investigated the environmental dependence of population growth rates and
210 interaction coefficients in species-rich communities (but see Griffiths, Warren, &

211 Childs, 2015). Fortunately, from the perspective of tractability, pairwise interaction
212 coefficients appear to be little affected by the presence of other species
213 (Chamberlain, Bronstein, & Rudgers, 2014). Acquiring data suitable for testing the
214 context dependence of interaction coefficients will require careful experimental
215 design (Levine *et al.*, 2017; Maron, Baer, & Angert, 2014; see Two Ways Forward,
216 below)

217 Given this list of challenges, it is perhaps unsurprising that relatively few
218 investigators have employed the demographic framework to predict community
219 dynamics. Nor is it surprising that many researchers have turned to tools from
220 phylogenetic and functional ecology to overcome them.

221

222 **Addressing challenges through shared evolutionary history**

223 With access to phylogenetic data, it is possible to estimate the degree to which
224 shared evolutionary history structures ecological communities (Webb *et al.*, 2002).
225 Access to these data has been facilitated by inexpensive sequencing and tree-
226 building (The Angiosperm Phylogeny Group, 2016; Webb, Ackerly, & Kembel, 2008).
227 Nevertheless, phylogenetic tools are not generally sufficient to generate clear
228 predictions of community dynamics (Gerhold *et al.*, 2015). For example, mortality
229 rates of seedlings in a French Guianan rain forest increased with increased
230 phylogenetic relatedness of neighbours (Paine *et al.*, 2012), even as the opposite
231 pattern was observed in a Panamanian forest (Lebrija-Trejos *et al.*, 2014). More
232 importantly, phylogenetic information is generally the wrong tool to assess
233 interactions between species, as organisms can't detect each other's evolutionary
234 history. Rather, they detect only the present-day phenotype (Paine *et al.*,
235 2012). When ecologists observe phylogenetic community structure, they learn that

236 something is going on, but it is rarely clear what that thing is (Castillo, Verdú, &
237 Valiente-Banuet, 2010). Phylogenetic community structure is particularly difficult to
238 interpret without estimates of trait conservatism over the phylogeny. And if measures
239 of trait conservatism are available, then functional traits must also have been
240 measured. So why not use them directly? Thus, phylogenetic data is unlikely to
241 provide deep insight into community dynamics, unless interspecific interactions are
242 mediated by shared natural enemies. Since the natural enemies may have co-
243 evolved with the plants they consume, it is reasonable to think that they would, to
244 some degree, respond to shared evolutionary history (Paine *et al.*, 2012).

245

246 **Addressing challenges through functional traits**

247 Functional traits, morphological or physiological attributes of organisms that affect
248 population growth rate through their relationships with vital rates (Violle *et al.*, 2007),
249 hold great promise in the prediction of community dynamics (McGill *et al.*, 2006).
250 Functional traits can be used to reduce the dimensionality inherent to species-rich
251 communities, as one can parameterise models on the basis of functional groups
252 rather than species (Laughlin, 2014). Alternatively, one can assess the degree to
253 which functional traits are associated with vital rates, then use integral projection
254 models to predict population growth rates (Adler *et al.*, 2014). Their use by ecologists
255 has been facilitated by the standardisation of sampling techniques (Pérez-
256 Harguindeguy *et al.*, 2013), and the development of global databases (Kattge *et al.*,
257 2011). Functional traits frequently vary within, as well as among, species,
258 contributing to variance in vital rates and thus population growth rates (Bolnick *et al.*,
259 2011). Albert *et al.* (2011) therefore suggest that intraspecific trait variation should

260 assessed and incorporated in regional- or local-scale studies of community
261 assembly.

262 Functional traits can be considered to lie along a continuum, from so-called
263 'soft' traits, which are easily measured but distal to vital rates and therefore to
264 population growth rates, to so-called 'hard' traits, which are more indicative of
265 physiology and therefore more directly associated with vital rates (Díaz *et al.*, 2004;
266 Lavorel & Garnier, 2002; Weiher *et al.*, 1999; Table 1). Soft traits indicate potential,
267 rather than realised vital rates, whereas 'hard' functional traits predict vital rates and
268 population growth rates more accurately, but are often are more time-consuming to
269 measure and require more-expensive equipment (Díaz *et al.*, 2004; Pérez-
270 Harguindeguy *et al.*, 2013). For example, leaf chlorophyll concentration is associated
271 with the potential growth rate of plants, given the ideal availabilities of light, water
272 and nutrients, and can be estimated in less than a second using the difference in
273 optical density at a pair of wavelengths (Coste *et al.*, 2010). Photosynthetic rate, on
274 the other hand, indicates the effective net rate of photosynthate production, but takes
275 longer and requires a much more expensive infrared gas analyser (Table 1). So what
276 are the costs and benefits of 'soft' and 'hard' functional traits?

277 Like evolutionary relatedness, 'soft' functional traits are generally insufficient
278 to predict community dynamics. Vital rates are only moderately associated with 'soft'
279 functional traits (Paine *et al.*, 2015; Wright *et al.*, 2010). These weak relationships
280 occur, in part, because the trait-vital rate relationships are affected by environmental
281 conditions, which are rarely taken into account. For example, chlorophyll
282 concentration may misrepresent a plant's growth rate when its access to light or soil
283 resources varies. In such cases, photosynthetic rate would be a better indicator of
284 growth rate. Finally, and most damningly, the interpretation of functional traits is

285 complicated by inter-correlations among traits (Díaz et al., 2004, 2015), and their
286 potential for simultaneous associations with stabilising or equalising differences
287 between species (Kraft, Godoy, & Levine, 2015).

288

289 **Two ways forward**

290 *'Hard' functional traits*

291 We see the use of 'hard' functional traits and of physiological tolerances as
292 complementary methods to extend the demographic framework (Craine et al., 2012;
293 Violle et al., 2007). With hard traits, one can predict population growth rates and
294 interaction coefficients among species. They are a useful substitute in the many
295 cases when demographic data are not available or too costly to collect, and they can
296 be parameterised in models to predict community dynamics. The strength of this
297 approach is proportional to the strength of the relationship between the functional
298 trait(s) assessed and vital rates. Thus, we echo the advice of Díaz et al. (2004) for
299 investigators to use functional traits as mechanistically related as possible to vital
300 rates.

301 The use of hard functional traits come with two caveats. First, as they are
302 associated with actual, rather than potential vital rates, environmental conditions
303 influence their expression more than soft traits. To extend the previous example, the
304 soft functional trait of chlorophyll content is relatively independent of abiotic
305 conditions at the time of measurement, whereas photosynthetic rate is highly
306 context-dependent (Pérez-Harguindeguy et al., 2013). Thus, the signal that 'hard'
307 traits provide can be noisy, and can only be interpreted in the context of
308 environmental data. Thus, using them effectively requires not only their
309 quantification, but also determining the extent of their variation over relevant

310 environmental gradients. Moreover, as they are usually tissue specific, rather than
311 integrating over the entire organism, functional traits may trade off among the tissues
312 of an organism, obscuring their interpretation (Kraft, Godoy, & Levine, 2015).

313

314 *Physiological tolerances*

315 We further suggest the use of whole-organism physiological tolerances (also
316 referred to as physiological traits; Baltzer *et al.*, 2008) to predict community
317 dynamics. A physiological tolerance is defined as the critical level of a particular
318 resource or environmental condition at which an organism can survive for an
319 extended period (Craine *et al.*, 2012). In other words, it is the whole-organism
320 compensation point for that resource or condition. The relevant tolerances to assess
321 will vary depending on the organisms of interest and the environmental context. They
322 have been intensively investigated for certain taxonomic groups, such as lizards and
323 other ectothermic vertebrates (Buckley & Jetz, 2008; Kearney & Porter, 2009),
324 whereas as other taxa such as plants have received less attention (but see, for
325 example Koehler, Center, & Cavender-Bares, 2012).

326 Physiological tolerances differ from functional traits in that they integrate the
327 response of the entire organism to their environment, rather than being specific to a
328 particular tissue (Craine *et al.*, 2012). For example, one can measure drought
329 tolerance as the difference in times to death between field capacity and un-watered
330 conditions (Kursar *et al.*, 2009), rather than as the tissue-level functional traits of leaf
331 area, leaf water potential or stem vulnerability to embolism (Pérez-Harguindeguy *et al.*
332 *et al.*, 2013). Physiological tolerances do not, however, indicate the organ-level
333 mechanism(s) by which low-resource conditions are tolerated. Therefore, it can be

334 useful to measure them in conjunction with functional traits to infer the mechanisms
335 underlying changes in vital rates and therefore population dynamics.

336 A great advantage of studying physiological tolerances is that they explicitly
337 link vital rates to environmental conditions, making it possible to predict how
338 community dynamics will vary over environmental gradients. The most-relevant
339 environmental gradients over which population growth rates and interaction
340 coefficients vary will generally also be those most relevant for the assessment of
341 physiological tolerances (Kearney & Porter, 2009). One can investigate physiological
342 tolerances to the low availability of resources such as light or nutrients, or to abiotic
343 conditions, such as cold temperatures, flooding duration, or fire intensity. The
344 interpretation of such tolerances differs, in that the former are reduced by
345 competitors, whereas the latter are independent of the presence of competitors.
346 Tolerance can be evaluated as a change in individual performance along a gradient
347 of resource availability, even as interaction coefficients can be inferred as the degree
348 to which an individual reduces the availability of a resource to other individuals.
349 Interaction coefficients measured through the assessment of physiological
350 tolerances thus are closely related to the “effect traits” of Lavorel & Garnier (2002).
351 One can simultaneously estimate physiological tolerances and assess how
352 interaction coefficients vary over environmental gradients by exposing individuals of
353 a focal species to a range of resource availabilities, while simultaneously varying the
354 density of the aggregate community around each focal individual and monitoring the
355 availability of the relevant resource. No such study has been performed, to the best
356 of our knowledge, as the logistical challenges involved in doing so would be
357 substantial. Note that tolerances of abiotic conditions, such as temperature, would be
358 less uninformative in this regard, as they are rarely affected by the presence of

359 competitors. Exceptions could include cases in which competitors limit the access of
360 organisms to refuges or microclimates, for example, safe shelters from elevated
361 temperatures. Physiological tolerances of low resource availability therefore
362 complement the use of multiple functional traits by linking performance of whole
363 organisms to population growth rates and interaction coefficients over environmental
364 gradients.

365 Physiological tolerances can be assessed observationally or in field-based
366 experiments, for example using common gardens or reciprocal transplantations
367 (Craine *et al.*, 2012). Purely observational studies can be stymied by atypical climatic
368 conditions, however. For example, flood tolerance may be assessed by transplanting
369 tree seedlings into a floodplain, but floods vary in size and duration, potentially
370 clouding the relationship between vital rates and particular environmental conditions
371 (Fortunel, Paine, *et al.*, 2016). Thus, physiological tolerances are more informatively
372 assessed in experimental settings such as laboratories, controlled environment
373 facilities, or glasshouses, depending on the target organisms. Regardless of the
374 setting, the conditions and resources evaluated must be relevant to field conditions,
375 ideally spanning the entire natural range. The assessment of physiological
376 tolerances is hampered by a lack of standard protocols, which has led to much
377 debate about measurement techniques (for shade tolerance, see Valladares &
378 Niinemets, 2008). Establishing a consensus around experimental protocols for
379 estimating physiological tolerances should be a top priority.

380 A final challenge for the use of both physiological tolerances and hard
381 functional traits is that their measurement is typically labour-intensive, limiting the
382 number of species that can be feasibly studied. This issue can be addressed in three
383 ways. First, the careful selection of study species can yield community-level insight.

384 In species-rich communities, it is advisable to study relatively common species, as
385 they represent the majority of the individuals present and are the species most likely
386 to interact. Interactions among rare species are by definition rare, outside of
387 specialised host-parasite or mutualistic relationships. It is also advisable to select
388 species with typical functional traits, as these are most representative of the
389 community as a whole. A second way to address this issue is to assess soft
390 functional traits together with hard traits or physiological tolerances. This requires
391 little additional effort and allows the relationships among traits, and between traits
392 and tolerances, to be assessed. Thereby, the enormous amount of soft trait data
393 already available can be leveraged to yield further insight into community dynamics
394 (Kattge *et al.*, 2011). Third, the use of standard measurement protocols would
395 facilitate sharing data among studies (Craine *et al.*, 2012). Additionally, depending
396 upon study design, certain species, for example invasive or endangered ones, may
397 need to be included. Regardless, logistical constraints will rarely permit all co-
398 occurring species to be investigated, imposing an inevitable loss of precision in
399 predictions of community dynamics.

400 The beginning of the physiological tolerance approach is illustrated by
401 Maynard *et al.* (2015), who correlated the distributions of three termite species with
402 climatic variables across the eastern United States. They demonstrated dramatic
403 interspecific variation in thermal tolerances, then mined the ecological literature to
404 determine the abiotic and biotic predictors of each species' distribution. Maynard *et al.*
405 (2015) identified the primary correlates of termite distribution and abundance,
406 illuminating the gaps in knowledge and setting the stage for experimental studies to
407 predict the dynamics of temperate termite communities. Engelbrecht and colleagues,
408 on the other hand, illustrate an end of the process, by predicting tropical forest

409 community composition on the basis of drought tolerance. As forests on the Isthmus
410 of Panama span a strong rainfall gradient, Engelbrecht *et al.* (2007) assessed the
411 drought sensitivity of tree seedlings in dry and irrigated plots in a common garden.
412 They coupled these observations with data on species distributions and soil moisture
413 availability. Drought sensitivity predicted species distributions at regional
414 (Engelbrecht *et al.*, 2007) and local scales (Comita & Engelbrecht, 2009), owing to
415 interspecific variation in mortality rates during droughts (Kursar *et al.*, 2009). Thus,
416 changes in soil moisture induced by global climate change are likely to alter tree
417 distributions and community dynamics.

418

419 **Case studies**

420 *Community dynamics on ephemeral patches: a case study of Sepsidae (dung flies)*

421 Although most recent empirical work on community dynamics has focused on plants,
422 there is considerable scope for extending the demographic framework to predict the
423 dynamics of animal communities. Among the most tractable of animal communities
424 are those comprised of short-lived species that complete their life cycles on discrete
425 ephemeral patches of organic matter (Horn & MacArthur, 1972). Ephemeral patch
426 communities encompass a high proportion of global biodiversity and include species
427 that rely on carrion, dung, deadwood, plant tissue, fungi, fruit, flowers, short-lived
428 water bodies, or host organisms to complete their development. Such communities
429 are often highly species-rich despite strong competition and a lack of obvious niche
430 partitioning within patches, making species coexistence especially intriguing (Finn,
431 2001). They are often highly amenable to experimentation, as the rapid turnover of
432 patch resources facilitates community manipulation, sampling, and replication, and
433 the patch environment itself can typically be controlled and measured during

434 observation. Obtaining population size estimates for ephemeral patch competitors in
435 the field, on the other hand, is often prohibitively difficult.

436 The coexistence of competitors in ephemeral patch communities is widely
437 assumed to be dominated by the stabilising mechanism of intraspecific versus
438 interspecific aggregation (Chesson, 2000a; Duthie, Abbott, & Nason, 2014, 2015). In
439 general, when conspecifics aggregate within or among patches, intraspecific
440 competition increases relative to interspecific competition, facilitating coexistence.
441 Mechanisms causing conspecific aggregation include the behaviour of females
442 ovipositing onto patches in clutches (Takahashi, 2007), and variation among species
443 in patch attractiveness or accessibility, which generate spatial and temporal
444 heterogeneity in species distributions (Chesson, 2000a; Duthie, Abbott, & Nason,
445 2014; Heard, 1998). The mechanisms modulating aggregation may be directly linked
446 to hard functional traits, such as individual longevity, wing loading, egg load and
447 larval feeding rate, enabling the prediction of community dynamics (e.g., Duthie,
448 Abbott, & Nason, 2015).

449 Black scavenger flies are a family of flies (Sepsidae, Diptera) especially
450 amenable to a trait-based approach to predict community dynamics. Sepsids occur
451 worldwide, and 10 species that occur in Scotland form the basis of this case study.
452 Flies are easily located and collected while mating on dung in the field and can be
453 maintained in large numbers under laboratory conditions. We measure traits
454 hypothesised to affect aggregation, and therefore competition, under controlled
455 conditions. The malleability of dung pats and short generation time of flies facilitate
456 the estimation of population growth rates and interaction coefficients through
457 pairwise invasion experiments across a density gradient of resident species (Fig. 2).
458 Unhatched eggs and larvae are collected from dung to measure the sensitivity of

459 each developmental stage to competition (Blanckenhorn *et al.*, 2013). Moreover, we
460 measure dung mass before and after the invasion experiments to estimate
461 decomposition rates, thereby linking species composition and functional traits to a
462 key ecosystem function. Consequently, Sepsids are a promising model system to
463 address fundamental questions in community dynamics and ecosystem functioning.

464 We use Sepsidae to extend the demographic framework as well. Following
465 the approach of Kraft *et al.* (2015), the set of functional traits measured on each
466 species is conceptualised as points in multidimensional trait space. Then,
467 competition coefficients are correlated in multidimensional trait space with niche
468 differences and fitness differences between species pairs. This approach can identify
469 the functional traits that are most relevant to coexistence (Table 1), and provides a
470 whole-organism perspective that is critical to consider, because it cannot be
471 assumed that species are simply the sum of univariate traits (Kraft, Godoy, & Levine,
472 2015). Rather, combined effects of traits might be critical for predicting both niche
473 differences and fitness differences between species. By adopting this whole-
474 organism perspective, it should be possible to predict the recruitment of Sepsidae
475 species from field populations of ephemeral patch competitors.

476 The manipulability of this system also offers a promising way forward to
477 predict community dynamics under changing environmental conditions using the
478 demographic framework, and for linking demography to functional traits. The short
479 generation time of Sepsids enables multiple invasion experiments to be performed
480 simultaneously under controlled environmental conditions (Fig. 2). Though time-
481 consuming, invasion experiments to estimate all intrinsic population growth rates and
482 interaction coefficients can tractably be replicated across an environmental gradient.
483 For example, temperature strongly affects the functional traits of Sepsids, including

484 body size and development time (Blanckenhorn et al., 2013), and could therefore
485 modulate their population growth rates and interaction coefficients. Measuring these
486 traits and parameters across a range of temperature permits us to estimate the
487 sensitivity of community dynamics to environmental change. Such knowledge would
488 be valuable for better understanding and predicting the resilience of communities to
489 environmental change.

490

491 *Controls on distribution: a case study of tropical trees*

492 In tropical forests, water and light are two of the most important resources
493 shaping the vital rates of individual trees, and thus their distributions along
494 environmental gradients and community dynamics (Baltzer et al., 2008; Engelbrecht
495 et al., 2007; Valladares & Niinemets, 2008; Wright et al., 2010). As habitat
496 fragmentation, drought frequency and intense rainfall are set to increase in tropical
497 regions, a mechanistic understanding of the degree to which water and light
498 availability interact to control community dynamics will be essential for forest
499 managers to mitigate potential biodiversity loss.

500 Seeking strong predictors of vital rates and species distributions, we focus on
501 whole-plant tolerances to low resource availability and extreme environmental
502 conditions (Craine et al., 2012). We aim to predict vital rates, and thus community
503 dynamics, in areas for which demographic data is unavailable. More specifically, the
504 aim of this case study is to assess the degree to which physiological tolerances
505 predict observed spatial patterns of vital rates and community compositional
506 turnover. We hypothesise that integrating physiological tolerances with
507 environmental data will explain variation in species distributions at the landscape

508 level, as well as predict changes in species distributions under different climate
509 scenarios.

510 The rain forests of the Paracou Research Station, French Guiana, provide an
511 ideal situation to assess the influences of light and water availability on the
512 community dynamics of tropical trees. Permanent plots have been established in
513 which all trees > 10 cm diameter at breast height have been censused every 1-2
514 years since 1984. Hydrology & light availability also have been characterised in
515 these plots (Wagner *et al.*, 2012). The survival and growth of saplings of 25 common
516 tree species have been monitored since 1992 in subplots nested within the tree plots
517 (Fig. 3a, b). This abundance of data allows us to characterise resource availability,
518 species distributions, and the vital rates of individual trees across the landscape.
519 From observation alone, however, the relative importance of these factors in
520 determining the distribution and relative abundance of each species is not evident
521 (Fig. 3b). Stated more precisely, the observed turnover in species composition
522 between floodplain and plateau forests could occur because floodplain-associated
523 species cannot tolerate the intensity of seasonal drought on the plateaux, or plateau-
524 associated species may be intolerant of the flooding regime in the floodplain
525 (Fortunel, Paine, *et al.*, 2016).

526 We established a shadehouse experiment to assess the physiological
527 tolerances of tree seedlings to drought, flooding and shading (Fig. 3c). We work on
528 seedlings because of their experimental tractability, and also because seedlings are
529 expected to be more sensitive to fluctuations in soil water availability than adult
530 trees. We use shade-cloth to vary light availability over the range found in the forests
531 and impose three levels of water availability: drought (no watering since the
532 commencement of the study), flooding (water maintained above the soil surface) and

533 watering to field capacity, in a factorial split-plot design. For each of 11 species,
534 growth and survival are monitored at least weekly. We generate indices of tolerance
535 to flooding, drought and shading based on the differential survival and growth of
536 individuals in the various experimental treatments (Engelbrecht *et al.*, 2007; Kursar
537 *et al.*, 2009). We also measure a set of functional traits associated with the tolerance
538 of drought, flooding and shade, to assess their associations with the relevant
539 physiological tolerances (Table 1). The location of each individual in the shade
540 houses is mapped, allowing us to assess the effects of neighbourhood composition
541 on individual performance. Even so, our estimates of interaction coefficients between
542 species are weak, because the study does not include variation in neighbourhood
543 density. Including systematic variation in neighbourhood composition and density in
544 the experimental design would be more logistically challenging but would provide
545 stronger estimates of interaction coefficients.

546 We will predict the vital rates of growth and survival observed in the field as a
547 function of topographic position and physiological tolerance indices. We expect
548 statistical interactions between topographic position and physiological tolerances.
549 For example, if drought is the primary mechanism generating species turnover, then
550 we would expect elevated mortality risk for drought-intolerant species, but only on
551 the plateaux, which are relatively dry (Fig 4d). Once such relationships are
552 characterised for flooding, shading and drought, we will build individual-based and
553 integral projection models to predict the dynamics of our focal species under
554 scenarios of changing precipitation (Adler, Ellner, & Levine, 2010).

555

556 Conclusions

557 Our motivation is to enhance the generality and tractability of predicting community
558 dynamics, especially for species-rich communities, on the basis of the Chesson-
559 Adler demographic framework. Although powerful, this framework is difficult to
560 operationalise in its raw form, and has thus primarily been applied to low-diversity
561 annual plant communities (but see Adler, Ellner, & Levine, 2010). Phylogenetic data
562 and soft functional traits have been useful for the analysis of community structure but
563 are less informative for the prediction of community dynamics. Instead, we advocate
564 the use of hard functional traits and physiological tolerances, as they provide
565 opportunities to predict community dynamics without a complete reliance on
566 demographic data. Moreover, they provide a mechanistic way to incorporate the
567 variation imparted to ecological communities by environmental gradients, over which
568 population growth rates and interaction coefficients vary. Incorporating
569 environmental variation is essential for making predictions in the face of
570 anthropogenic effects, especially over large spatial or temporal scales. Given the
571 urgent need for ecological forecasting (Clark *et al.*, 2001), we hope that ecologists
572 will adopt these approaches, extending the range of ecosystems for which accurate
573 predictions of community dynamics are possible.

574

575 Author contributions

576 CETP conceived the ideas for this review and lead the writing of the manuscript. AD
577 and ABD contributed the case studies. All authors contributed critically to the drafts
578 and gave final approval for publication.

579

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584

585 **Data Accessibility**

586 This paper does not include any data

587 **References**

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 826

827 **Table**

828 **Table 1. Hard versus soft traits.** Examples of ‘hard’ and ‘soft’ functional traits
 829 associated with key vital rates for the animals and plants used in the case studies. In
 830 general, it is easier to measure hard functional traits and vital rates on animals than
 831 on plants. For example, fecundity estimates are relatively easy to measure for many
 832 insects (as egg load), but obtaining the equivalent data for long-lived trees may
 833 require decades of field observations of seed production and complicated modelling
 834 (Clark *et al.*, 2010; Purves *et al.*, 2008).

	Dung flies		Tropical rain forest trees	
Vital Rate	Hard trait	Soft trait	Hard trait	Soft trait
Survival	Life span	Ovigeny index	Life span	Wood density
Growth	Ingestion rate	Body size	Photosynthetic rate	Foliar chlorophyll concentration Wood density Maximal stature
Fecundity	Egg load	Abdominal mass	Seed production	Above-ground biomass

835

836 **Figures**

837 **Figure 1. Milestones in the prediction of community dynamics.** Each milestone
838 is indicated by a key reference in the development of that concept or technique,
839 rather than its first mention in the literature. See also Figure 3.6 in Vellend (2016).

840

841

842

843 **Figure 2. Overview of the dung fly case study.** We study 10 species of Sepsidae
844 co-occurring in Scotland to link species functional traits to biodiversity and the
845 ecosystem function of decomposition. Key functional traits are measured for A) fly
846 eggs, B) larvae and C) adults. D) We perform invasion experiments in which a mated
847 female of species i (black) oviposits on dung patches that vary in the density of
848 ovipositing females of species j (red). We repeat this experiment for all combinations
849 of species i and j (including $i = j$) over a temperature gradient. Intrinsic growth rates
850 (λ_i) can be calculated from the number of offspring that eclose from empty patches,
851 and competition coefficients can be calculated by estimating how the eclosion rate is
852 reduced by increasing conspecific (α_{ii}) or heterospecific (α_{ij}) density. Values of λ_i , α_{ii} ,
853 and α_{ij} can then be used to calculate niche differences and fitness differences. Dung
854 decomposition can be estimated from the difference in dung mass from oviposition to
855 eclosion. Differences in trait values between all pairwise combinations of species i
856 and j will be calculated. Univariate correlations between each of these trait value
857 differences and stabilising niche and average fitness differences can be estimated,
858 and model selection can be used to identify combinations of traits that best describe
859 niche and fitness differences (Kraft *et al.* 2015). Similarly, trait values and differences
860 can be associated with decomposition rates. Artwork by A. C. Duthie.

861

862 **Figure 3. Overview of the tropical trees case study.** A) The abundance of
863 saplings of 25 common canopy trees has been monitored eight times since 1992 in
864 960 permanent plots at Paracou Research Station, French Guiana. The sapling plots
865 are distributed in an 8x8 grid in each of 12 6.25 ha permanent tree plots, in which
866 light availability, soil moisture and flooding frequency have also been assessed.
867 Point types and colours indicate the topographic position of each plot. B) The
868 abundance of one species (*Virola michelii*, Myristicaceae), is proportional to the size
869 of the black circles. C) Methods for physiological tolerance testing, in which 11 target
870 species (indicated by varying point types) are factorially exposed to varying water
871 and light availabilities. D) Prediction of field mortality risk from estimated species
872 physiological tolerances. The hypothetical result shown in panel D would indicate
873 that interspecific variation in drought tolerance contributes far more to spatial
874 variation in species composition than does variation in the tolerance of flooding or
875 shading, as drought intolerant species suffer elevated mortality rates, but only on the
876 relatively dry plateaux.