

Effect of episodic rainfall on aqueous metal mobility from historical mine sites

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Environmental context. Episodic extreme rainfall events may affect metal dynamics in rivers flowing within historical metal mining areas. This study provides an analysis of the water chemistry and geochemical processes associated with mobilisation of metals during episodic rainfall events. Findings could be used to assess the environmental quality of streams draining spoil waste areas with similar geochemical conditions, and thereby be used to guide future management strategies.

Abstract. The increasing frequency and magnitude of episodic rainfall events may affect historical metal mining areas by remobilisation and deposition of metal-rich sediments and enhancing metal-rich run off, impacting river water quality. This study assesses the effects of episodic rainfall in a Carboniferous headwater catchment contaminated by historical Pb and Zn mining. Comprehensive hourly water chemistry measurements combined with modelling using *PHREEQC*, WHAM/Model VII and WHAM-*F*_{TOX} were used in this assessment. For the episodic event, we measured flow increases from a baseline of 0.05 to 2.12 m³ s⁻¹ at peak flow. Changes in metal concentration were most marked for ephemeral tributary, with Pb increasing from a baseline concentration of 55 µg L⁻¹ to a peak of 576 µg L⁻¹. Behaviour for Pb showed great affinity to form organic complexes or bind to colloidal Al and Fe oxides, whereas for Zn and the tributary flowing subsurface a more complex behaviour was observed. For example, the dissolution of secondary metal carbonate minerals (e.g. smithsonite (ZnCO₃)) is likely constrained by higher concentrations of carbonate and bicarbonate derived from increased bedrock weathering under flow conditions induced by episodic rainfall. The abundance of secondary mineral sources and circumneutral pH present during episodic rainfall are important factors controlling the mobilisation of Pb and Zn. Furthermore, episodic rainfall events could enhance metal toxicity but there are aggravating and mitigating factors that depend on site-specific chemical changes. Overall, this study highlighted the complexity of metal mobility and toxicity during these events.

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Changes in climate affect the hydrological cycle (either from natural variability or anthropogenically induced changes). Extreme events like droughts and floods may have significant impacts on the quantity and quality of water bodies, with direct or indirect effects on ecosystems.^[1–3] In river systems, headwater streams, ephemeral ponds and ditches are most sensitive to climatic variations because severe alterations in temperature and precipitation could affect evapotranspiration, flow, soil moisture and groundwater recharge.^[4–6] Floods can be effective agents of contaminant dispersal by triggering primary pollution or remobilisation of deposited material.^[7] Primary pulses are produced by major rainstorms after periods of extended drought, when soluble salts concentrated on the surface of mine wastes and spoils are quickly dissolved and flushed into receiving surface waters.^[8] Runoff from watersheds draining metal-mining areas is considered an acute problem as exposed tailings produce metal-rich

overflow that is often redistributed downstream.^[9,10] Once in sediments, metals can remain in floodplains for decades to centuries until their remobilisation by erosion, creating a long-lived contamination problem.^[11]

For the UK, there is good evidence that the frequency of longer (5–10 days) extreme rainfall events is increasing (e.g. Fowler and Kilsby^[12] and reference therein); this is supported by modelling predictions of record regional winter rainfalls in future years.^[13] Additionally, recent years have seen new 24- and 48-h rainfall records being established for the British Isles.^[14,15] In terms of shorter timescale and higher-intensity episodic events, there is some evidence of increasing frequency;^[16] however, there is an urgent need for more detailed meta-analyses. Anecdotally, there is evidence to suggest an increase in such events, such as water flowing from a cliff in the UK Yorkshire Dales for ‘the first time in living memory’.^[17]

In the UK, the floods of autumn 2000 provided clear evidence of the potential effects of extreme weather events on diffuse pollution in formerly mined river catchments.^[18,19] The excessive flux of metals generated by the legacy of metal mining has significantly increased the levels of metal pollution in many catchments.^[20] Consequently, these catchments represent a challenge in achieving ‘good ecological and chemical status’ as required by the European Union Water Framework Directive (WFD) or other national legislation. In addition to climate-influenced factors, the dispersal of metals depends on the dynamics of each catchment.^[21] For example, where rivers flow over limestone bedrock, the pH is buffered, exerting controls over mineral solubility, metal transport and bioavailability.^[22,23] However, rainfall (e.g. acid rain) may produce shifts in the pH, affecting the buffering capacity of the river chemistry, allowing desorption of metals from sediments or soils.^[24] Mitigating the impact of metals on water quality requires knowledge of the biogeochemistry of metal in solid and solution phases, as well as a local understanding of major sources of pollutant metals.^[2,25–28]

The limited primary data about episodic high rainfall limits our understanding of its effect on metal dynamics. Thus, the present study seeks to evaluate the effects of extreme episodic rainfall on Pb and Zn dynamics at differing points within a catchment. Results are compared with those obtained from a previous comprehensive annual analysis of monthly surveys under non-episodic conditions.^[22,23] From this assessment, the mobilisation and potential toxicity of dissolved metals under flow conditions derived from episodic rainfall will provide important information regarding key rainfall-induced processes in the behaviour of pollutant metals, supporting future risk mitigation strategies in similar catchments.

This study focusses on the Hebden Beck catchment in northern England, where the underlying geology consists of a succession of sandstone and mudstone (Millstone Grit) and carboniferous limestone (Fig. S1, available as Supplementary material to this paper). This headwater stream is affected by metal contamination derived from historical lead mining, where galena (PbS), sphalerite (ZnS) and barite (BaSO₄) were the profitable minerals. The catchment chemistry has previously been characterised^[22] and assessed with respect to water quality and ecotoxicology under seasonal conditions.^[23] Three sampling stations were selected for the present study: an ephemeral tributary (ET) located in the most upstream zone, draining an area of mine spoil wastes; a perennial tributary (PT) of an underground mine channel located in middle of the stream; and a site located downstream on the main channel (MC) 2.27 km from the River Wharfe confluence and adjacent to a flow gauging station (Table S1, available as Supplementary material). Higher metal concentrations in upstream reaches may tend to be diluted by inputs from non-affected tributaries lower down the catchment; however, inputs from mine adits will also have an effect, depending on their chemical characteristics.

UK Meteorological Office daily rainfall data^[29] were obtained for Pateley Bridge Ravens Nest (54°04′01.2″N 1°46′01.2″W) in order to present local seasonal drought and rainfall events for the month of August 2016 (>12 mm during high-rainfall days) (Fig. S2). The sampling campaign began at 1230 hours on 19 August and ended after a maximum of 96 h at 1250 hours on 23 August 2016. Automated water samplers (model 6712, Teledyne-ISCO, Lincoln, Nebraska, USA) were set to collect at 1- or 2-h intervals in each site. Subsamples from each time interval were filtered in the field using syringe filters

(0.45 µm, polyethersulfone – hydrophilic, Sartorius) for metals (Pb, Ba, Cd, Sr, As, Zn, Cu, Co, Ni, Fe, Mn, Al), and major ions (Ca²⁺, Mg²⁺, Cl⁻, NO₃⁻, SO₄²⁻) and for dissolved organic and inorganic carbon (DIC and DOC) (0.45-µm nylon–polypropylene, Avonchem). Sample handling and in situ water quality measurements (temperature and pH) followed previously used methods described in Valencia-Avellan et al.^[22] (detailed in the Supplementary material). Hourly rainfall data from Grimwith reservoir (code: 62046; 54°04′16.4″N 1°54′47.7″W; 3 km east of Hebden Beck) and flow data from a gauging station in the main river channel (code: F1960; 54°04′27.8″N 1°57′48.5″W) were obtained from the UK Environment Agency for the dates 18 to 23 August (Fig. S3). Several flow stages were identified; low-flow (LF), base-flow (BF), peak-flow (PF) and post peak-flow (PPF). Full details are in the Supplementary material. In ET, the first four measurements were under stagnant conditions, with the sampler positioned in a small pool near the confluence with the main channel. In PT and MC, data were collected from well-mixed areas with continuous flow. For assessing the influence of flow in the sampling sites, flow measurements from MC were used for ET and PT. Metal analysis was conducted via Inductively coupled plasma mass spectrometry (Thermo Fisher iCAPQc) using Certified Reference Material (SLRS-5, National Research Council, Canada) as a quality control and with specific limits of detection (Pb: 0.47 µg L⁻¹, Ba: 1.45 µg L⁻¹, Cd: 0.03 µg L⁻¹, Sr: 1.09 µg L⁻¹, As: 0.02 µg L⁻¹, Zn: 2.28 µg L⁻¹, Cu: 0.05 µg L⁻¹, Co: 0.014 µg L⁻¹, Ni: 0.06 µg L⁻¹, Fe: 1.43 µg L⁻¹, Mn: 0.15 µg L⁻¹, Al: 1.77 µg L⁻¹). In addition, two replicates per site and four field blanks were taken for procedural quality control. Activity of metals and solubility of relevant mineral phases were calculated from saturation of mineral forms through dissolution reactions using *PHREEQC* (version 3)^[30] and the *WATEQ4F* database^[31] where site-specific chemical data and major physicochemical parameters were considered as input data. Changes in DOC concentrations during episodic events may influence the concentration of metal–organic complexes; therefore, to investigate chemical speciation, we applied the Windermere Humic Aqueous Model (WHAM/Model VII).^[32] Both this model and *PHREEQC* are used independently to evaluate different aspects of the water chemistry. In addition, toxicity of metal mixtures including protons (H⁺) and metals (Al³⁺, Zn²⁺, Pb²⁺ and Cu²⁺) was estimated using *WHAM-F_{TOX}*.^[33] Procedures for WHAM/Model VII and *WHAM-F_{TOX}* were followed as described in Valencia-Avellan et al.,^[23] detailed in the Supplementary material.

Results indicated that the catchment hydrology responded rapidly to rainfall. Flow levels started to increase within 2 h after the first period of rain (5 mm h⁻¹), indicating that runoff processes are likely occurring at the surface (overland flow) and subsurface (interflow) (Fig. S3). This rapid response has been reported when rainfall exceeds the infiltration capacity of the soil, especially in peat soils where the water infiltration is low.^[34]

Contrasting responses were identified for Pb and Zn under increasing flow conditions (Fig. S4). Concentrations of Pb are directly influenced by flow variations, showing a peak concentration of 690.3 µg L⁻¹, corresponding with peak flow in ET. Contrary to ET, a slow rise in concentrations was evident in PT, with maximum values (211.7 µg L⁻¹) reached at PPF. The delayed response to episodic rainfall in PT could be due to the subsurface runoff (interflow) percolating through mine channels.^[35] Peak Pb concentrations in MC (153.7 µg L⁻¹) showed similar responses to ET but were lower in magnitude (Fig. 1a). Zinc concentrations showed an inverse relationship with

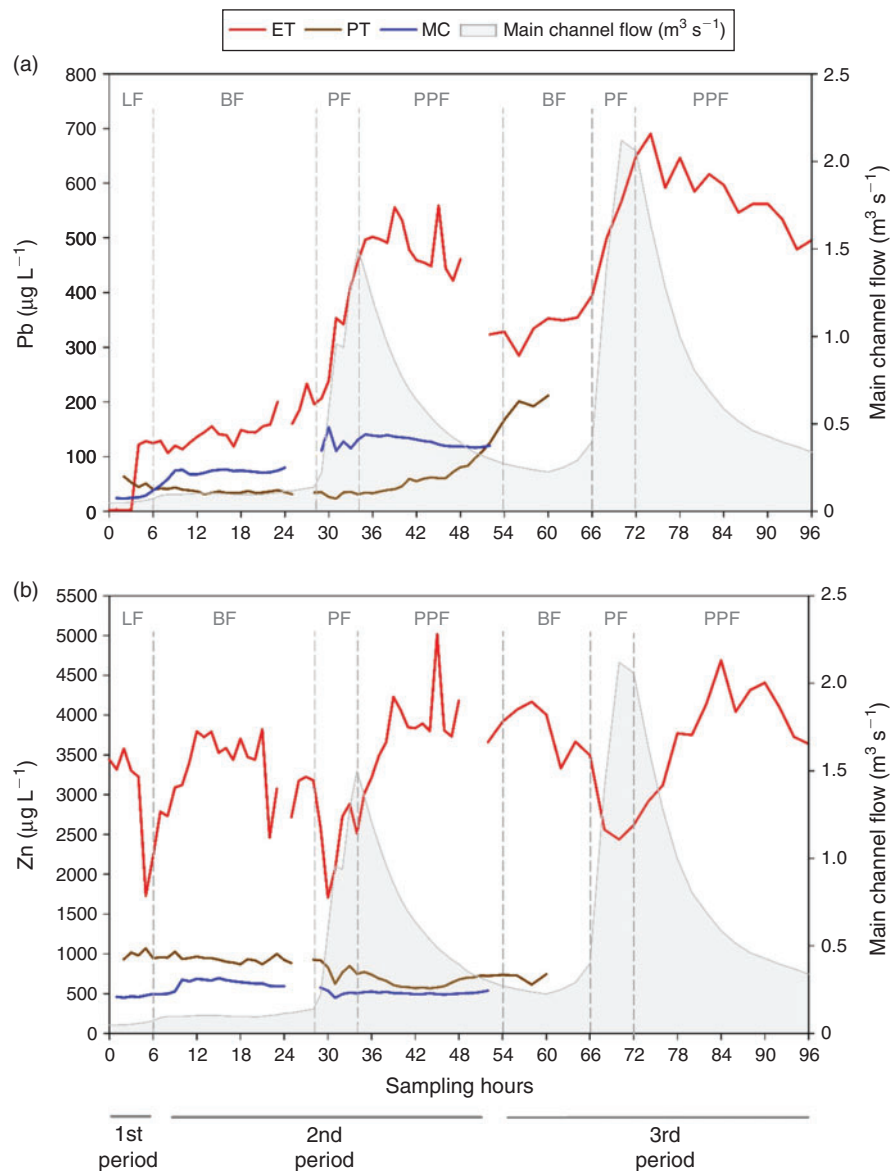


Fig. 1. Trends of metal concentrations ($\mu\text{g L}^{-1}$) at different sites during three rainfall periods. Panel (a) shows trends of Pb concentrations, and Zn concentrations are indicated in panel (b). The secondary y axis shows flow data collected at the gauging station in the main channel. Solid lines represent sampling sites and shaded area flow data. Perpendicular dotted lines indicate the flow stages at different rainfall periods (ET, ephemeral tributary; PT, perennial tributary; MC, main channel).

episodic rainfall and flow variations (Fig. 1b), although concentrations in ET showed mixed patterns. For instance, in ET at PF, concentrations immediately decreased (55%) but increased at PPF (194%). This can be associated with large masses of mine wastes exposed to water producing high concentrations of dissolved Zn.^[36] Less marked dilutions (47 and 35%) were identified in sites PT and MC, perhaps due to discrete rainwater inflow in PT, and MC having the lowest concentrations of the three sites. Maximum Zn concentrations were measured in ET ($5017 \mu\text{g L}^{-1}$) at PPF, followed by lower concentrations in PT ($1069 \mu\text{g L}^{-1}$) at low and base flow, and in MC ($694 \mu\text{g L}^{-1}$) at BF. The observed trends suggest that metal dilution is occurring during episodic rainfall as metal concentrations reported by Valencia-Avellan et al.^[22] from a non-impacted site and MC reflected lower concentrations than ET. In addition, results revealed greater relative increases in concentrations of

dissolved Pb than dissolved Zn. Furthermore, kinetics factors are likely to influence metal behaviour, and this will be related to specific mineral dissolution kinetics and other water chemistry variables such as DIC, which will directly affect the saturation indices of the minerals and will be site-specific.

Runoff did not alter the typical circumneutral conditions of the catchment (pH 7–8.1). Thus, geochemical signatures of each tributary are controlling their major ion chemistries (e.g. SO_4^{2-} , DIC and DOC)^[22,37] Correlation analysis showed diverse relationships at different flow conditions. Generally, stronger positive correlations were present in ET between Pb, Zn and SO_4^{2-} , likewise in PT, where positive correlations were identified mainly between Zn, DIC and SO_4^{2-} , whereas in MC, Pb showed strong correlations with DOC (Table S3).

Runoff has an effect on weathering processes such as erosion of spoil heaps, size sorting of spoil particles and promoting

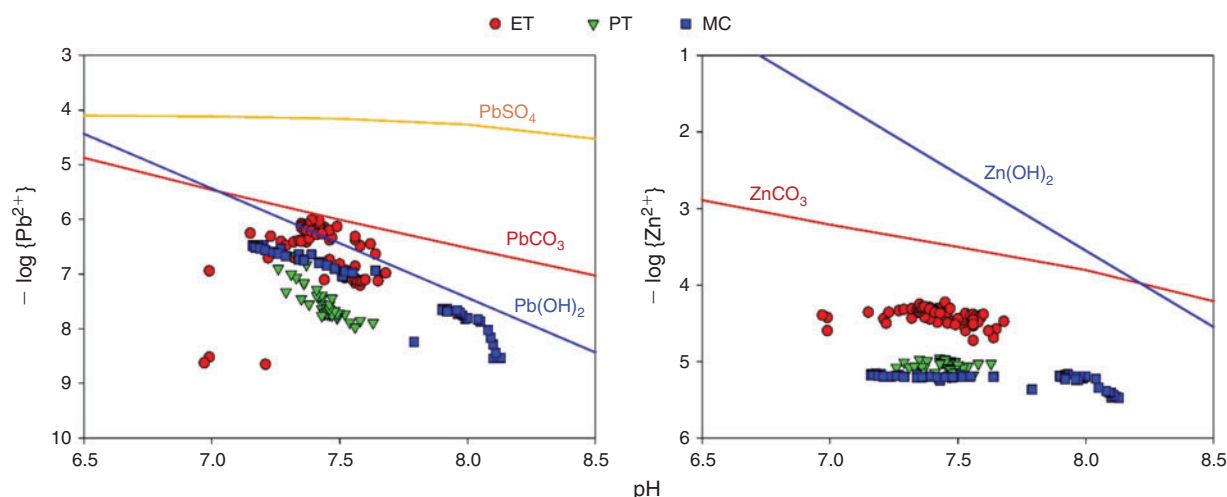


Fig. 2. Lead and zinc activity as a function of pH and site-specific conditions of spoil waste areas. Solid lines represent the theoretical saturation of mineral forms through dissolution reactions. Calculations used $p\text{CO}_2 = 0.0012$ atm (121.6 Pa) or site-specific averaging of SO_4^{2-} (ephemeral tributary (ET): $13\,090\ \mu\text{g L}^{-1}$; perennial tributary (PT): $10\,970\ \mu\text{g L}^{-1}$; main channel (MC): $6\,280\ \mu\text{g L}^{-1}$), Cl^- (ET: $3\,600\ \mu\text{g L}^{-1}$; PT: $5\,960\ \mu\text{g L}^{-1}$; MC: $5\,080\ \mu\text{g L}^{-1}$) and temperature (ET: $16.9\ ^\circ\text{C}$; PT: $9.5\ ^\circ\text{C}$; MC: $14.0\ ^\circ\text{C}$). The variability between sites was a maximum of 0.05; thus, lines correspond to median values. The calculated activity of Zn from equilibrium with ZnSO_4 was $>1\ \text{mol L}^{-1}$. Note that the y axes have different scales.

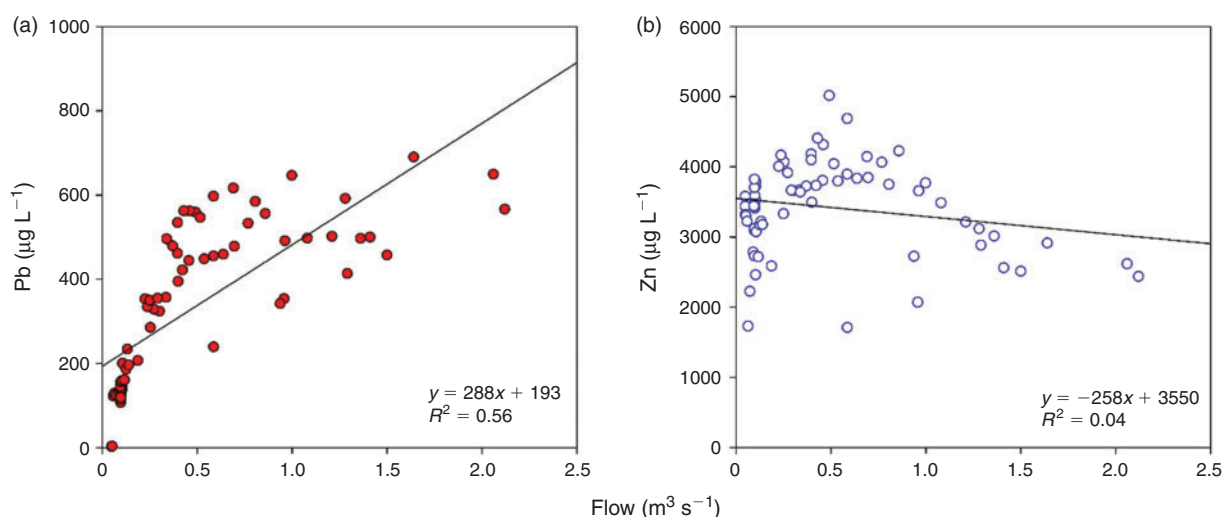


Fig. 3. Relationships between metal concentrations ($\mu\text{g L}^{-1}$) and flow ($\text{m}^3\ \text{s}^{-1}$) across all three rainfall episodes in the ephemeral tributary. Note that y axes have different scales.

mineral dissolution through exposure to undersaturated solution. Our results suggest that Pb and Zn concentrations are regulated by the presence and dissolution kinetics of cerussite (PbCO_3) and smithsonite (ZnCO_3), and dilution by water not contacting soluble minerals. Fig. 2 shows closer saturation of Pb than Zn, particularly in ET^[22] and MC. This may be due to the slower dissolution kinetics of the smithsonite versus cerussite.^[38] Previous work in the catchment from Valencia-Avellan et al.^[22] indicated that sites with longer residence times (e.g. source pond) showed free ion concentrations closer to the theoretical saturation levels. Results agreed with prior studies^[22,23,39] and emphasise the importance of smithsonite and cerussite in controlling metal mobility and transport.^[40]

The ET data highlight the significance of extensive open spoil as a metal source during episodic flow. Relationship between flow and Pb concentrations appears initially to be

linear but is less clear at flows $>0.5\ \text{m}^3\ \text{s}^{-1}$. For Zn concentrations, the behaviour was different, potentially owing to kinetic factors (Fig. 3). This suggests a bimodal response of metals to flow, possible related to the exponential fall of DIC concentrations with increasing flow in ET and MC (Fig. S5), influencing the relative saturation of the secondary minerals, principally for cerussite. Further evidence is given in Fig. S6a as the saturation index (SI) of cerussite increased with flow. Figs S6b and S7 show that the SI of smithsonite decreased when flow increased. The behaviour of smithsonite in PT agreed with studies from Pokrovsky et al.^[38] regarding geochemical processes under circumneutral conditions, indicating that high flow may increase the concentrations of carbonate and bicarbonate ions, which act as inhibitors of smithsonite dissolution, reducing the equilibrium activity for Zn (Fig. S5 and Fig. 2). Although this behaviour is observed for PT potentially owing to its subsurface

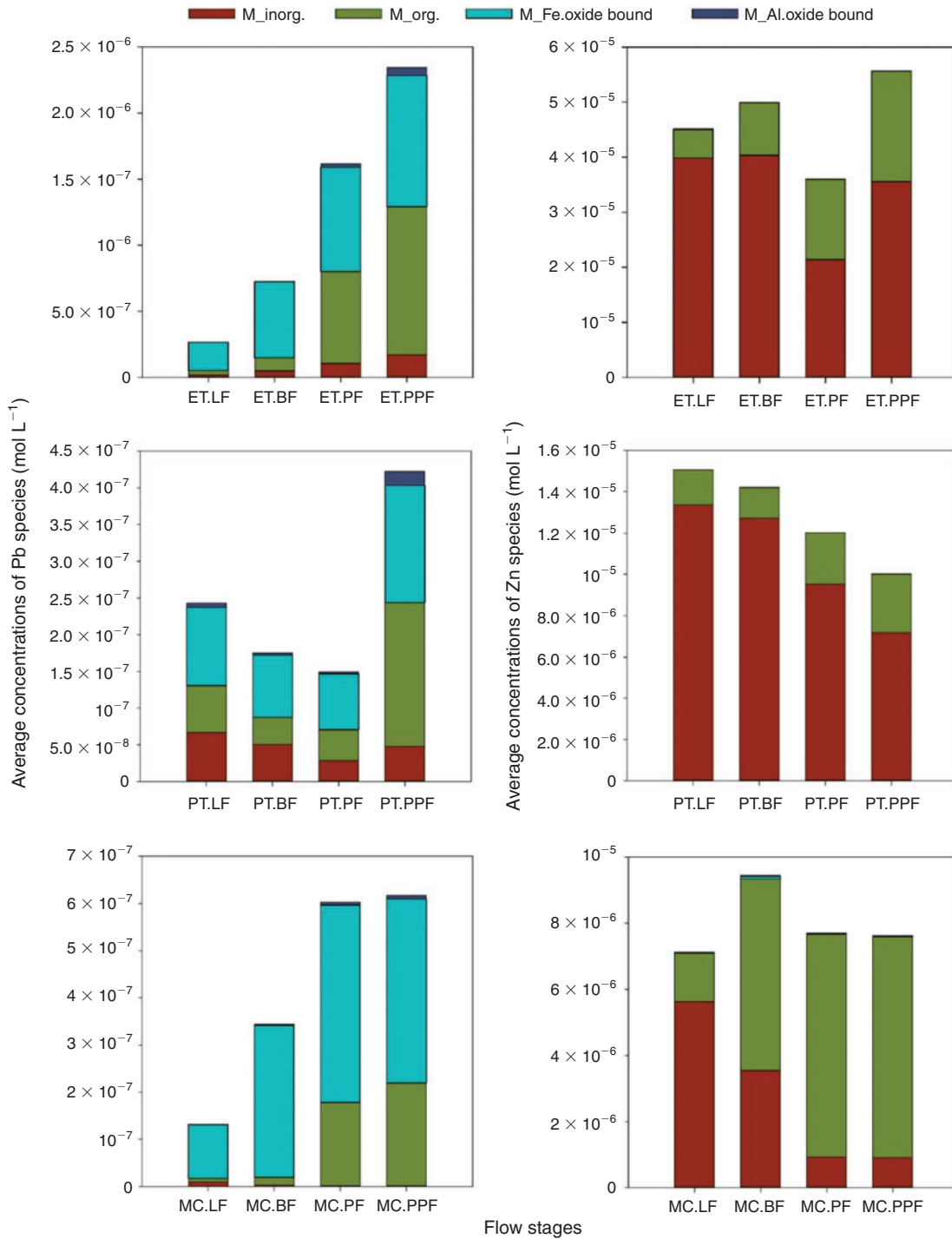


Fig. 4. Metal concentrations (mol L⁻¹) in the presence of surface-active oxide precipitates (Fe and Al oxides) calculated by WHAM/Model VII at different flow stages in all sampling sites. Left-hand-side panels show average concentrations of Pb. Right-hand-side panels show average concentrations of Zn species. Note y axes have different scales (LF, low-flow; BF, base-flow; PF, peak-flow; PPF, post peak-flow).

nature, the other sites (ET and MC) presented a reduction in the DIC with flow, coupled with an associated decrease in the SIs of smithsonite (Figs S5 and S6b). Carrol et al.^[41] also stated the relevance of carbonate minerals in the sorption of metals as they function as long-term sinks, competing with other reactive minerals such as iron oxyhydroxides. Previous study of metal speciation in this catchment has identified that Pb is strongly associated with both particulate and colloidal Fe and Al oxides,

whereas Zn is present mainly as inorganic complexes.^[22,23] Thus, during high flood periods, the resuspension of sediments may increase the total concentrations of Pb (from particulate forms, and thus potentially available for the dissolved phase), whereas this will be a minor potential source of Zn.^[42,43]

At all sites, the highest concentrations of Pb-organic complexes and oxide-bound Pb were calculated to occur at PPF. This could be explained by increased concentrations of

DOC, and colloidal Fe and Al oxides (as predicted by WHAM/Model VII) at peak and post peak flow (Table S2). Nordstrom et al.^[44] indicated that sorption isotherms of metals onto freshly formed Fe and Al oxides at circumneutral pH occurred in the following sequence: Pb > Cu > Zn. This low affinity of Zn for surface sorption to oxide minerals is predicted here from the abundance of Zn inorganic complexes (Fig. 4). In addition, estimations in the absence and presence of oxides precipitated show similar concentrations for Zn complexes (inorganic and organic) whereas Pb has a large tendency to be bound to DOC and Al and Fe oxides (Fig. S8) and agreeing with speciation of Zn and Pb reported by Valencia-Avellan et al.^[23]

The impacts of climatic events on contaminant transport and water quality are complex because of localised effects. Current results are consistent with previous research on the transport and pollution of Pb occurring through particulate material, enhanced by episodic rainfall.^[45] Likewise Gozzard et al.^[46] reported the attenuation effect on Zn pollution during peak rainfall. Comparison of metal mobilisation under episodic rainfall conditions revealed that local conditions such as the abundance of secondary mineral sources and circumneutral pH are key factors controlling the mobilisation of Pb and Zn, whereas flow variations could be an enhancing factor, particularly for increasing the concentration of dissolved Pb. Thus, these results provide insight into other catchments where streams drain mine spoil under similar conditions.

The potential adverse effects of episodic-related chemistry changes in macroinvertebrate species was estimated using WHAM- F_{TOX} , a model that assumes (based on evidence) that humic acid can be used as a proxy for organism metal binding. The model relates this metal binding to toxicity with parameterised values for the toxic potency of each metal and is based on field macroinvertebrate species data.^[33] We considered two previously fitted conditions for calculating total toxicity function values (Total- F_{TOX}): (i) Total- $F_{TOX} \leq 2.33$, no toxic effects occur; and (ii) Total- $F_{TOX} > 2.33$, toxicity reflects a risk of diminished macroinvertebrate species diversity, until no species are predicted to be present at a value of 5.20. Under flow conditions induced by episodic rainfall, toxicity function was calculated in ET showing values from ~ 3.2 to ~ 3.6 that would reflect a reduction in species diversity. Lower toxicity function values predicted in PT (≤ 2.1) and MC (≤ 1.7) suggest no toxic effects from dissolved metals (Figs S9, S10, S11). For ET, calculations during episodic flow showed that short-term fluctuations in metal concentrations are slightly reflected in changes to the predicted acute toxicity to aquatic organisms. This will be due to several factors that have contrasting effects on the F_{TOX} value, including those that would be expected to reduce the value, such as increases in DOC and a decrease in Zn concentrations, and those that may increase the value, such as higher Pb, lower Ca and Fe (competing ion) concentrations and lower concentrations of other ligands (DIC and SO_4^{2-}) (Table S2). Future work would be better focussed on sites with predicted ecologically harmful levels of metal and perennial tributaries from spoil runoff areas, including a better understanding of climate variability during seasonal as well as episodic flow conditions.

In conclusion, episodic rainfall events are not altering the circumneutral conditions of the catchment. Concentrations of Pb showed a greater relative response to flow changes than Zn. The effect of surface and subsurface flow in the transport of metal-organic complexes and the dissolution of metal carbonate minerals will likely regulate the mobilisation of Pb and Zn. Metal toxicity can be influenced by site-specific chemical interactions occurring during episodic events.

Supplementary material

Supplementary material and data in support of this paper can be found on the journal's website.

Conflicts of interest

The authors declare no conflicts of interest.

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