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#### Leukemia & Lymphoma 1 $\mathbf{2}$ 3 Clinical, histopathological, and molecular features of mucosa-associated 4 lymphoid tissue (MALT) lymphoma carrying the $\mathbf{5}$ t(X;14)(p11;q32)/GPR34-immunoglobulin heavy chain gene 6 Takashi Akasaka<sup>a</sup>, Yin-Fai Lee<sup>b</sup>, Anne J. Novak<sup>c</sup>, Gen Honjo<sup>d</sup>, Kayo Takeoka<sup>e</sup>, $\overline{7}$ Fumiyo Maekawa<sup>e</sup>, Katsuhiro Fukutsuka<sup>e</sup>, Masahiko Hayashida<sup>e</sup>, and Hitoshi 8 Ohno<sup>a,e</sup> 9 <sup>a</sup>Department of Hematology, Tenri Hospital, Tenri, Japan; <sup>b</sup>School of Pharmacy, 10 University of Bradford, Bradford, UK; <sup>c</sup>Division of Hematology, Mayo Clinic, 11 12Rochester, MN; <sup>d</sup>Diagnostic Pathology, Tenri Hospital, Tenri Japan; and <sup>e</sup>Tenri Institute of Medical Research, Tenri, Japan 1314Correspondence to: Takashi Akasaka, MD, PhD, Department of Hematology, 15Tenri Hospital, 200 Mishima, Tenri, Nara 632-8552, Japan 16Phone: +81-743-63-5611; Fax: +81-743-63-1530 17E-mail: akasaka@tenriyorozu.jp 181920Running title: MALT lymphoma with t(X;14)/GPR32-IGH Key words: t(X;14)(p11;q32) translocation, MALT lymphoma, GPR34 gene, IGH 21somatic mutations 22Word counts: Text, 1,499 2324

Recurrent chromosomal translocations have been identified in MALT lymphoma, 1 t(11;18)(q21;q21), t(14;18)(q32;q21),  $\mathbf{2}$ including t(1;14)(p22;q32), and t(3;14)(p14;q32).[1] The former three translocations lead to the generation of the 3 BIRC3-MALT1 chimeric protein or deregulated expression of MALT1 or BCL10 4 under the control of the juxtaposed immunoglobulin heavy chain gene (IGH),  $\mathbf{5}$ and finally activate the nuclear-factor  $\kappa B$  pathway.[1] t(X;14)(p11;q32) is the fifth 6 translocation in MALT lymphoma involving the parotid gland or lung.[2, 3] The 7translocation results in the juxtaposition of the G protein-coupled receptor 8 (GPCR) 34 (GPR34) gene at Xp11.4 to the IGH gene at 14g32, and 9 t(X;14)-MALT lymphoma was found to have the highest GPR34 mRNA levels 10 11 among B-cell lymphoma subtypes.[2] However, it remains unclear whether t(X;14) characterizes a subgroup of MALT lymphoma that shows a particular 12cytomorphology and clinical behavior. We herein describe two cases of MALT 1314 lymphoma that carried the t(X;14).

15The first case (case 1) was a 66-year-old female who presented with tumors within the left parotid gland. She had been diagnosed with Sjögren syndrome 16and immune thrombocytopenia 6 years earlier. Sjögren antibody SS-A/Ro index 17was 105 (reference value, <25.0), SS-B/La was 32 (<25.0), anti-nuclear antibody 18was x160 (<x40.0), and rheumatoid factor (RF) was 16.9 IU/mL. Although no 1920residual tumor was detected after superficial lobectomy of the parotid gland, she relapsed 6.5 years after the initial presentation; the disease involved the left 21palatine tonsil and ileocecum with regional lymph nodes (Supplementary Figure 22S1A). She received 6 cycles of chemotherapy consisting of rituximab, 23cyclophosphamide, doxorubicin, vincristine, and prednisolone (R-CHOP), 24

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leading to a complete response. The second cases (case 2) was a 66-year-old 1 male who presented with symmetric enlargement of the bilateral parotid glands  $\mathbf{2}$ (Supplementary Figure S1B). He had been treated for rheumatoid arthritis with 3 prednisolone and methotrexate followed by intravenous tocilizumab. His lactate 4 dehydrogenase (LDH) level was 265 IU/L, soluble interleukin-2 receptor (IL-2R)  $\mathbf{5}$ was 2,037 U/mL, RF was 519.9 IU/mL, and matrix metalloproteinase-3 was 6 218.7 ng/dL (reference range, 36.9 to 121). He was treated with 6 cycles of 7R-CHOP, excluding prednisolone because of seropositivity for the hepatitis B 8 virus surface antigen, and achieved a complete response. Informed consent was 9 obtained from the patients. This study was approved by the Institutional Review 10 11 Board.

Examination of histopathological specimens obtained from the parotid gland 12disclosed salivary gland tissues diffusely infiltrated by CD20<sup>+</sup> lymphoma cells, 1314 and groups of these cells infiltrated into the glandular epithelium to generate the lymphoepithelial appearance (Supplementary Figure S2). The cells were 15medium to large in size with a moderate amount of cytoplasm, and CD79a<sup>+</sup>, 16BCL2<sup>+</sup>, CD5<sup>-</sup>, CD10<sup>-</sup>, and cyclin D1<sup>-</sup>. The Ki-67 proliferation index was 17approximately 50%. The cell surface immunoglobulins were  $\mu$ -heavy and  $\kappa$ -light 18 chains, and the  $\delta$ -heavy chain was expressed at low levels (Supplementary 19Figures S3 and S4). 20

G-banding of metaphase spreads obtained from the specimens revealed the t(X;14)(p11;q32) in both cases, and fluorescence *in situ* hybridization using the *IGH* break-apart probe confirmed the translocation. Karyotypes according to the ISCN (2013) were 47,X,t(X;14)(p11;q32),+18,add(22)(p11)[9]/46,XX[1] in case 1

and 47,Y,t(X;14)(p11;q32),+21[8]/46,XY[2] in case 2 (Supplementary Figure S5). 1 To clone the t(X;14)(p11;q32) junction, we designed primers for the Ca  $\mathbf{2}$ constant gene of IGH and for the upstream sequence of the GPR82 gene, which 3 is another GPCR gene and is localized 27-kb downstream of GPR34 (Figure 1A). 4 Genomic DNA from the biopsies was subjected to long-distance polymerase  $\mathbf{5}$ 6 chain reaction (LD-PCR) under the conditions for a long DNA target, resulting in the amplification of 3.6 and 6.0-kb of DNA, respectively (Figure 1B). We then  $\overline{7}$ cloned the LD-PCR products into the plasmid and performed Sanger sequencing 8 (Figure 1C). Breakpoints were located at intron 1 (case 1) and the coding region 9 of GPR82 (case 2), and those at the IGH gene were within the switch region 10 associated with IGH C $\alpha$ 2. As a result of translocation, GPR34 and IGH C $\alpha$ 2 11 were aligned in the same transcriptional orientation (Figure 1A). 12

We next performed reverse-transcriptase (RT-) PCR to investigate the mRNA 1314 levels of GPR34 and GPR82, the expression of which delineates a unique molecular subset of marginal zone lymphoma.[2] As shown in Figure 1D, the 15level of GPR34 mRNA in case 1 was significantly higher than those in various 16hematological tumor cell lines. On the other hand, the expression of GPR82 17mRNA was detected in case 1, while similar levels of RT-PCR products were 18generated in cell lines from B-cell precursor leukemia, follicular lymphoma, and 1920Burkitt lymphoma. Since t(X;14) disrupted the genomic structure of GPR82 and the primers were designed for exons 1 and 3 (Figure 1A), GPR82 mRNA 21expression in case 1 theoretically represented the transcriptional activity of the 22non-translocated homologue at normal chromosome X. 23

We finally isolated the  $V_{H}$ - $D_{H}$ - $J_{H}$  sequences in the current two cases in

addition to the Mayo clinic case by the inverse PCR strategy to characterize the 1 IGH repertoire in t(X:14)-MALT lymphoma (Supplementary Table SI). In the 3  $\mathbf{2}$ cases, DNA fragments including the entire length of the  $V_H - D_H - J_H$  segments were 3 successfully amplified, and nucleotide sequencing revealed a single  $V_H - D_H - J_H$ 4 rearrangement in frame without stop codons. The closest germline  $V_{H}$ ,  $D_{H}$ , and  $\mathbf{5}$ 6  $J_H$  genes were identified in each case and the sequence identities of the  $V_H$ genes were 95.1%, 86.5%, and 96.5%, respectively, providing evidence for a 7somatic hypermutation (Table I). We then calculated the excess of replacement 8 (R) mutations over silent (S) mutations in the complementarity determining 9 regions (CDRs) and the scarcity of R mutations in the framework regions 10 11 (FWRs). As shown in Table I, we found no preferential clustering of R mutations indicative of positive selection in CDRs, while a decrease in R mutations in 12FWRs was found in all cases and negative selection in the Mayo clinic case was 1314 significant with a P value of <0.05.[4, 5] The CDR3 sequences of the 3 cases 15were characterized by the GC-rich alignment, particularly in the N sequences, and the CDR3 length was 17 or 16 at the amino acid level (Supplementary Table 16SII). A database search revealed a similarity of the CDR3 sequence of cases 1 17with that of an IgM RF derived from healthy immunized donors (Supplementary 18Table SIII). 19

In line with the general concept of the development of MALT lymphoma, both patients had chronic autoimmune disorders and showed serological evidence of each underlying disease at presentation. By comparison with the typical MALT lymphoma cytomorphology, lymphoma cells in the current two cases were larger and had rounder nuclear contours, more vesicular chromatin, and more

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conspicuous nucleoli, representing the features of diffuse large B-cell lymphoma 1  $\mathbf{2}$ (DLBCL). Nevertheless, marginal zone infiltrates of reactive follicles and lymphoepithelial lesions were in agreement with the histopathological criteria of 3 MALT lymphoma. On the other hand, the palatine tonsil and ileocecum involved 4 in case 1 at relapse are unusual sites of involvement of MALT lymphoma, but  $\mathbf{5}$ 6 preferentially affected by DLBCL. In case 2, the marked enlargement of both parotid glands and elevated LDH and sIL-2R levels initially indicated aggressive 7lymphoma. Therefore, the current two cases appear to be characterized by 8 intermediate features in cytomorphology and clinical behavior between 9 low-grade MALT lymphoma and DLBCL. 10

11 GPR34 is the first GPCR gene that is affected by chromosomal translocation in B-cell tumors. Since potent enhancer complexes are localized downstream of 12the two *IGH* C $\alpha$  genes.[6] and since t(X:14) does not interrupt the coding region 1314 of GPR34, t(X;14) theoretically promotes the expression of GPR34, and we confirmed the strong expression of GPR34 mRNA in case 1. GPCRs, including 15class A GPR34, are integral membrane proteins containing 7 putative 16transmembrane domains and mediate signals to the interior of the cell via the 17activation of heterotrimeric G proteins. A large number of GPCRs are 18overexpressed in various cancer types, and contribute to tumor cell growth when 1920activated by circulating or locally produced ligands.[7] Lysophosphatidylserine, which is an endogenous lipid mediator generated by the hydrolysis of the 21membrane phospholipid phosphatidylserine, has been proposed to be the ligand 22of GPR34.[8] Since the current two cases developed in the setting of chronic 23autoimmune disorders, the potential link between the underlying inflammatory 24

disease and occurrence of lymphoma may be attributed to ligands being steadily
 generated in salivary gland tissues affected by chronic inflammation, which, in
 turn, stimulate GPR34-overexpressing cells resulting from t(X;14), thereby
 leading to the development of florid lymphoma.

We showed that  $V_H$  genes were variable among the 3 cases. Of the 3  $V_H$  $\mathbf{5}$ genes,  $V_H 1-2$  has been reported to be preferentially used in splenic marginal 6 zone lymphoma,[9] while its usage is infrequent in MALT lymphoma that  $\overline{7}$ develops in the stomach, lung, and parotid gland.[10-12] In contrast,  $V_H$ 1-69 is 8 involved in not only cases of B-cell chronic lymphocytic leukemia, but also 9 salivary gland MALT lymphoma at a frequency of 8 of 14 (57%).[13, 14]  $V_{H}$ 3-7 10 11 appears to be preferentially used in gastric MALT lymphoma.[10-12] On the other hand, a statistical analysis of the distribution of R and S mutations within 12CDRs or FWRs provided no significant evidence for antigen selection, whereas 1314 negative selection for R mutations in FWRs in order to maintain the configuration 15of the IGH molecule appeared to have been operating. Taken together with the long CDR3 length, t(X;14)-MALT lymphoma may arise from B cells that 16recognize self-antigens.[15] 17

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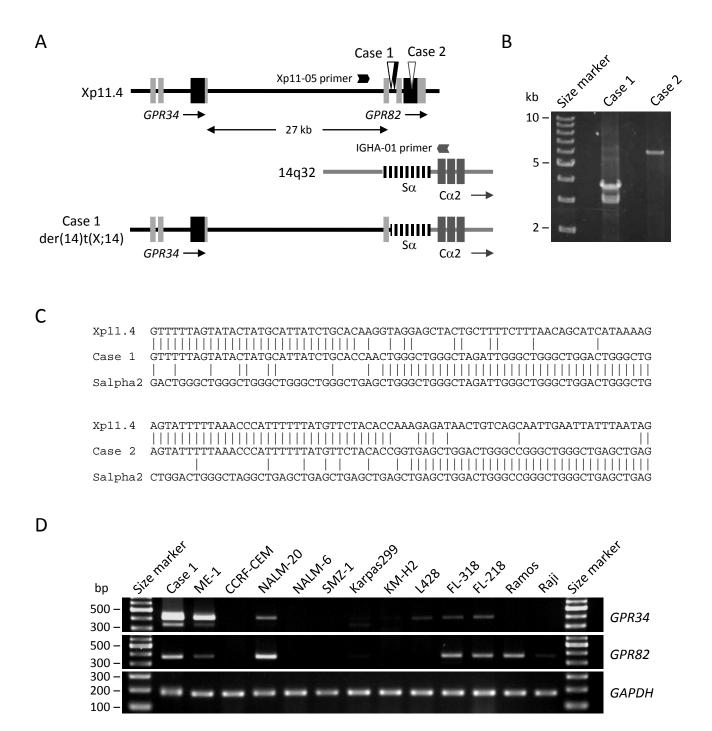
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#### 1 Figure legends

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**Figure 1.** Molecular cloning of the t(X;14)(p11;q32)/*GPR34-IGH* junction. (*A*) 3 Schematic presentation of the anatomy of t(X;14)(p11;q32). The coding exons of 4 GPR34 and GPR82 are intron-less (rectangles filled with black). Breakpoints in  $\mathbf{5}$ 6 case 1 and case 2 (open triangle) as well as that in the Mayo clinic case (closed triangle) [2] are distributed within the GPR82 gene. (B) Ethidium bromide-stained 7gel electrophoresis of LD-PCR encompassing the t(X14)(p11;q32) junction. The 8 positions of the primers for LD-PCR are indicated in A. (C) Nucleotide 9 sequences of the t(X;14)(p11;q32) junction in case 1 and case 2. Vertical lines 10 11 indicate nucleotide identity. (D) RT-PCR for the expression of GPR34 and GPR82 mRNA. The hematological tumor cell lines used were: ME-1, acute 12myeloid leukemia; CCRF-CEM, T-cell acute lymphoblastic leukemia; NALM-20 1314 and NALM-6, B-cell precursor leukemia; SMZ-1, peripheral T-cell lymphoma; Karpas299, anaplastic large cell lymphoma; KM-H2 and L428, Hodgkin 15lymphoma; FL-318 and FL-218, follicular lymphoma; and Ramos and Raji, 16Burkitt lymphoma. RT-PCR for GAPDH is shown in the bottom to confirm that 17similar amounts of mRNA were loaded. The sequences of the primers for LD-18and RT-PCR are described in Supplementary Table SI. 19

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Case	V <sub>H</sub> gene	% identity	Observed mutations				Expected				Focused test*			
			CDR		FWR		CDR		FWR		Selection value (Σ)		P-value**	
			R	S	R	S	R	S	R	S	CDR	FWR	CDR	FWR
Case 1	V <sub>H</sub> 3-7	95.1%	4	1	5	4	0.2	0.05	0.56	0.2	0.00553	-0.823	0.492	-0.0872
Case 2	V <sub>H</sub> 1-2	86.5%	5	2	20	12	0.14	0.05	0.61	0.2	-0.38	-0.547	-0.227	-0.0571
Mayo	V <sub>H</sub> 1-69	96.5%	2	1	2	5	0.17	0.06	0.57	0.21	-0.543	-1.77	-0.240	-0.0059
clinic														
case														

Table I. Somatic mutation analysis of the *IGH* gene in MALT lymphomas with t(X;14)(p11;q32)

Abbreviations: R, replacement; S, silent; CDR, complementarity determining region; FWR, framework region.

 $\Sigma$  and *P* values were calculated using the Focused-Z test to detect selection.[4, 5]

\*\*A negative sign indicates negative selection. *P* values < 0.05 were considered to be significant.