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1 2

The prognostic significance of specific *HOX* **gene**

4 expression patterns in ovarian cancer

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25 Novelty and impact statement

This is the first comprehensive analysis comparing *HOX* gene expression in ovarian cancer to normal ovarian and fallopian tube tissue. *HOX* expression has been analysed along with the clinico-pathological features of each patient, identifying the significance of specific *HOX* genes relating to poor overall survival. This is the first study to analyse changes in *HOX* expression with the development of platinum resistance, finding increased expression of specific genes after resistance occurs, identifying them as potential therapeutic targets.

32 Abstract

33 HOX genes are vital for all aspects of mammalian growth and differentiation, and their dysregulated expression is related to ovarian carcinogenesis. The aim of the current study 34 was to establish the prognostic value of HOX dysregulation as well as its role in platinum 35 36 resistance. The potential to target HOX proteins through the HOX/PBX interaction was also explored in the context of platinum resistance. HOX gene expression was determined in 37 ovarian cancer cell lines and primary EOCs by QPCR, and compared to expression in 38 normal ovarian epithelium and fallopian tube tissue samples. Statistical analysis included 39 40 one-way ANOVA and t-tests, using statistical software R and GraphPad. The analysis identified 36 of the 39 HOX genes as being overexpressed in high grade serous EOC 41 compared to normal tissue. We detected a molecular HOX gene-signature that predicted 42

43 poor outcome. Overexpression of HOXB4 and HOXB9 was identified in high grade serous cell lines after platinum resistance developed. Targeting the HOX/PBX dimer with the HXR9 44 peptide enhanced the cytotoxicity of cisplatin in platinum-resistant ovarian cancer. In 45 conclusion, this study has shown the HOX genes are highly dysregulated in ovarian cancer 46 47 with high expression of HOXA13, B6, C13, D1 and D13 being predictive of poor clinical outcome. Targeting the HOX/PBX dimer in platinum-resistant cancer represents a 48 potentially new therapeutic option that should be further developed and tested in clinical 49 50 trials.

51 Keywords: Ovarian cancer, *HOX* genes, survival, prognosis, targeted treatment

52

53 Introduction

Ovarian cancer is the 5th leading cause of cancer death in women in the western world and it 54 is estimated there were 22,280 new cases and 15,500 deaths due to the disease in the US 55 56 in 2012 (1). It is the most lethal of the gynaecological malignancies largely due to late diagnosis. Standard treatment involves debulking surgery followed by a combination of 57 taxane and platinum-based therapy. Initially most women respond to platinum-based 58 59 therapy, but the majority suffer disease recurrence due to drug resistance. It is therefore 60 essential to introduce new therapeutic approaches to improve treatment at diagnosis and/or 61 provide an effective second line treatment.

There are different types of ovarian cancer classified by the cell type they originate from. The most common form, accounting for more than 90% of ovarian cancers, is epithelial ovarian cancer (EOC), and the high grade serous (HGS) subtype accounts for approximately 80% of EOC cases.

The epithelial ovarian tumours undergo Müllerian differentiation, which suggests that
 differentiation-regulatory factors may contribute to their progression. This mechanism has

68 been shown to involve homeobox (HOX) genes (2, 3) which play important roles in tissue differentiation during embryonic development. The HOX genes constitute a family of 69 transcription factors, and in mammals 39 HOX genes have been identified. They are 70 71 organised into 4 paralogous clusters (A, B, C and D) located on 4 different chromosomes. 72 During development of the female reproductive system four HOX genes, HOXA9, HOXA10, 73 HOXA11, and HOXA13 are expressed uniformly along the Müllerian duct axis. HOXA9 becomes expressed in the fallopian tubes, HOXA10 is expressed in the developing uterus, 74 75 HOXA11 in the lower uterine segment and cervix and HOXA13 in the upper vagina (4). It is thought that inappropriate expression of these genes is an early step in epithelial ovarian 76 77 neoplasia as they induce aberrant epithelial differentiation. Studies which have analysed 78 HOX gene expression in ovarian cancer cell lines and a small number of tumours have 79 found dysregulated expression of certain HOX genes compared to normal tissue (5). 80 Numerous studies have also demonstrated dysregulated HOX gene expression in other cancers such as lung, prostate, breast, colon and bladder cancer (6-9). The recent genomic 81 82 analysis of HGS ovarian cancer (HGS-OvCa) by the Cancer Genome Atlas (TCGA) 83 researchers found a number of somatic copy number alterations with three members of the 84 HOXB family, HOXB2, B5 and B8 among the focally amplified regions. The group divided HGS ovarian cancer into four expression subtypes 'immunoreactive', 'differentiated', 85 'proliferative' and 'mesenchymal' on the basis of gene expression, and high expression of 86 87 HOX genes was a characteristic of the mesenchymal subtype (10). High expression of HOX genes makes them a potential target for therapeutic intervention. One possible method is the 88 89 use of a peptide that disrupts the interaction between HOX proteins and co-factor PBX. HXR9 is a small peptide designed to mimic the hexapeptide sequence found in HOX 90 proteins of paralogue groups 1-9 (11), therefore acting as a specific competitive inhibitor of 91 the HOX/PBX interaction preventing the subsequent binding of the HOX/PBX dimer to target 92 DNA sequences. This in effect inhibits the transcription of target genes. Previous studies 93 94 have shown that HXR9 is capable of blocking this interaction in vitro and in vivo (11-13) and 95 antagonising the HOX/PBX interaction induces apoptosis (11-15).

The role of aberrant *HOX* dysregulation in EOC is not yet understood. The aim of the current study was to establish the prognostic value of *HOX* dysregulation as well as its role in developing platinum resistance. The potential to target *HOX* function through the HOX/PBX interaction was also explored in the context of platinum resistance (13).

100

101 Material and methods

102 Cell lines and reagents

103 The human ovarian adenocarcinoma-derived HGS cell line SKOV-3, clear cell carcinoma 104 derived cell line TOV-21G and the endometrioid carcinoma derived cell line TOV-112D were 105 obtained from the American Type Culture Collection (LGC Promochem, Teddington, UK). The SKOV-3 cell line has since been reclassified as an endometrioid subtype due to the lack 106 107 of a p-53 mutation and the presence of the endometrioid associated ARID1A mutation (16). 108 Therefore, the SKOV-3 cell line will be considered as an endometrioid cell line in this paper. SKOV-3 cells were cultured in McCoys's 5A modified medium (Sigma, Poole, UK) 109 supplemented with 10% (v/v) heat-inactivated foetal bovine serum (FBS) (Invitrogen Ltd, 110 Paisley, UK). TOV-112D and TOV-21G cells were cultured in 1:1 mixture of MCDB 105 111 112 medium (Sigma) supplemented with 1.5 g/L sodium bicarbonate and Medium 199 (Invitrogen), with 15% heat-inactivated FBS (Invitrogen). The epithelial HGS carcinoma cell 113 line derived from peritoneal ascites COV-318 and the paired HGS ovarian carcinoma cell 114 lines PEO1, PEO4, PEO14 and PEO23 were obtained from the HPA Cell Culture Collection 115 116 (HPA, Salisbury, UK) (17). These cell lines were authenticated by either STR profiling (DDC Medical, OH, USA) or LCG Standards (Middlesex, UK). COV-318 cells were cultured in 117 DMEM medium (Sigma) with 10% heat-inactivated FBS and 2mM glutamine (Sigma). PEO 118 cell lines were maintained in RPMI1640 media with 10% heat-inactivated FBS. All media 119 was supplemented with 1% penicillin (10,000 U/ml) / streptomycin (10 µg/ml) (Sigma). Cell 120

cultures were maintained at 37°C in a humidified, 5% CO₂ incubator. Cisplatin sensitivity of
 cell lines was verified by MTS assay after 72 hour cisplatin treatment.

123 RNA isolation, cDNA production and quantitative Real Time PCR (qRT-PCR)

124 Two total RNA samples from normal human ovarian tissue were purchased from OriGene (Cambridge, UK). All cell lines were grown in normal growth medium in 6-well plates at a 125 126 density range to ensure overnight growth resulted in until 80% confluency before RNA extraction took place. RNA was isolated from cell lines using the RNeasy[®] Plus Mini Kit 127 (Qiagen Ltd, Crawley, UK) following the manufacturer's instructions. This included the use of 128 gDNA eliminator columns to remove genomic DNA contamination. Total RNA extracted from 129 20–30mg ovarian tumour or ovarian normal tissue stored in RNA*later*® (Sigma) was isolated 130 using the gentleMACS dissociator followed by RNA extraction using the RNeasy[®] Plus Mini 131 Kit (Qiagen). RNA purity was verified by the 260nm/280nm absorbance ratio, measured 132 using the Nanodrop (Thermo Fisher, MA). Ratios of 1.9-2.0 were considered 'pure' RNA as 133 134 described by manufacture. cDNA was synthesised from RNA using the Cloned AMV First 135 Strand Synthesis Kit (Invitrogen) following the manufacturer's protocol. qRT-PCR was performed using the Stratagene MX3005P Real Time PCR machine (Agilent Technologies 136 UK Ltd, Stockport, UK) and SYBR[®] Green JumpStart[™] Tag ReadyMix[™] (Sigma). 137 138 Oligonucleotide primers were designed to facilitate the unique amplification of β-actin and each HOX gene. Melt curves and gels were run originally to validate the primers and check 139 for single bands of the correct product size. Relative expression was calculated using the 140 Livak comparative Ct method (18). 141

142 Synthesis of HXR9 and CXR9 peptides

HXR9 is an 18 amino acid peptide consisting of the previously identified hexapeptide
sequence that can bind to PBX and nine C-terminal arginine residues (R9) that
facilitate cell entry (11). The N-terminal and C-terminal amino bonds are in the _Disomer conformation, which has previously been shown to extend the half-life of the
peptide to 12 h in human serum (11). CXR9 is a control peptide that lacks a

functional hexapeptide sequence but still includes the R9 sequence. All peptides
were synthesized using conventional column based chemistry and purified to at least
80 % (Biosynthesis Inc., USA).

151

152 Analysis of cell death and apoptosis

153 Cells were plated in flat bottomed 96-well plates and incubated for 24 hours until 70% confluent. Cells were treated with HXR9 or CXR9 at a range of dilutions for 2 154 hours. Cell viability was measured via the MTS assay (Promega, Southampton, UK) 155 according to the manufacturer's instructions. To detect morphological changes 156 consistent with apoptosis, cells were plated in 24-well plates and incubated overnight 157 to reach 70% confluency. Cells were then treated for 2 hours with 2% FBS media, 158 the control peptide CXR9 or the active peptide HXR9 at the IC₅₀ (Concentration of 159 drug needed to induce 50% cell death, as determined by the MTS assay) and double 160 the IC₅₀. Cells were then harvested by incubating in trypsin-EDTA (Sigma) at 37° C 161 until detached and dissociated. Apoptotic cells were identified using a Beckman 162 Coulter Epics XL flow cytometer (argon laser, excitation wavelength 488 nm, FL-2 163 and FL-4 detectors) and the Annexin V-PE apoptosis detection kit (BD Pharmingen) 164 as described by the manufacturer's protocol. Caspase-3 activity was measured using 165 the EnzCheck Caspase-3 Assay Kit (Molecular Probes), using the protocol defined 166 by the manufacturer. 167

168

169 Calculating synergy

To measure synergistic interaction between HXR9 and cisplatin, cells were plated in 170 a 96-well plate and treated with either HXR9 or cisplatin alone or in combination at 171 concentrations of the drugs IC_{50} and ± 2 -, 4- and 8- fold this concentration. Cell 172 viability was then measured by the MTS assay (as described above) and the 173 presence of synergy was analysed based on the Chou-Talalay method using 174 CalcuSyn version 2.0 software (Biosoft, Stapleford, UK) (19). The interaction 175 between HXR9 and cisplatin was quantified by determining the combination index 176 (CI). Using this method, CI < 1 indicates synergism, CI = 1 indicates an additive 177 effect antagonism (CI > 1) between drugs. 178

180 Clinical data

181 A cohort of 99 patients with corresponding age, stage, time to progression (TTP), overall 182 survival (OS), histology and chemotherapy information was used in the analysis of primary ovarian tumours (Supplementary Table 1). Fresh biopsy tissue specimens were obtained 183 during surgery from human subjects with ovarian cancer or other gynaecological conditions 184 from the Royal Surrey County Hospital, Guildford following informed consent and ethical 185 186 approval. Samples were immediately stored in RNAlater® and stored at -20°C for later use. Each biopsy was confirmed by a pathologist to be either cancerous of ovarian origin or 187 normal ovarian tissue. OS and TTP were measured from the date of diagnosis. The duration 188 189 of OS was measured up to the date of death or, for patients still alive the 1st October 2012, 190 when statistical analysis was performed. The duration of TTP was the minimum amount of 191 time until clinical progression, or death. Only cases where causes of death were due to disease were used to calculate OS. HOX gene expression was obtained by gRT-PCR and 192 values were normalised to house keeping gene β -actin. All sample and data collection 193 194 received an ethical approval by the institutional ethics committee (MREC-09/H1103/50).

195 Mouse in vivo study

196 All experiments were conducted in accordance with the United Kingdom Co-ordinating Committee on Cancer Research (UKCCCR) guidelines for the Welfare of Animals in 197 Experimental Neoplasia (20) and were approved by the University of Surrey Ethics 198 199 Committee. The mice were kept in positive pressure isolators in 12 hour light/dark cycles 200 and food and water were available ad libitum. Six-8 week old female balb/C NUDE mice (Charles River, Kent, UK) were inoculated subcutaneously with a suspension 100µL Hanks 201 media (Sigma) containing 10⁶ SKOV-3 cells in 50% matrigel (BD Bioscience). Once 202 tumours reached a volume of approximately 100mm³, mice were randomised into 4 203 treatment groups, each containing 10 mice: PBS alone, Cisplatin alone, HXR9 alone, 204 Cisplatin and HXR9 in combination. Mice in the HXR9 group received an initial dose of 205

100mg/kg HXR9 intratumorally (IT), with subsequent dosing of 10 mg/kg twice weekly. The
cisplatin treatment group received a weekly dose of 3mg/kg via intraperitoneal injection (IP).
PBS was used as a control. Drug concentrations were used based on previous experiments
(13). The mice were monitored carefully for signs of distress, including behavioural changes
and weight loss.

211 Statistical analysis

All data analysis and manipulation of primary ovarian tumours were performed using R (an integrated set of software tools for data manipulation, calculation and graphical display).

214 Four test statistics were used to evaluate the change of gene expression. For variables with 215 two groups (i.e. Age, OS and chemotherapy) the t-test was used for parametric analysis and the Mann-Whitney test was used as a non-parametric analysis. For variables with three or 216 217 more groups (i.e. TTP and Stage) the one-way ANOVA was used for parametric analysis 218 and the Kruskal-Wallis was used as a non-parametric analysis. Differential expression and 219 interactions based on ANOVA. The Benjamini and Hochberg and the Bonferroni correction 220 was applied to cell line data and ovarian tumour data, respectively, to account for multiple 221 testing. Principle component analysis (PCA) was performed and the first two principle 222 components are plotted. The heatmaps include row Z-score transformation (genes), and are plotted in red-blue colour scale with red indicating high expression and blue indicating low 223 expression. Analysis of OS was calculated using the Kaplan-Meier method using GraphPad 224 225 PRISM Version 5.0 (GraphPad Software). Hazard ratio (HR) and confidence intervals (CI) 226 were calculated using the Log rank model.

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229

231 **Results**

HOX gene expression in ovarian cancer cell lines and normal ovarian and fallopian tube tissue

In order to evaluate the changes in HOX gene expression in EOC we compared the relative
expression of all 39 HOX genes in normal ovarian and fallopian tube tissue to a number of
ovarian cancer cell lines.

The *HOX* expression profile was analysed in a panel of 5 HGS ovarian cancer cell lines, 2 endometrioid cell lines and 1 clear cell carcinoma cell line and compared with 10 normal ovarian and 3 fallopian tube tissue samples. A highly dysregulated pattern of *HOX* gene expression was found in the EOC cell lines whereas normal tissue showed very little or no *HOX* gene expression (Figure 1).

The HGS cell lines showed marked dysregulation but this varied significantly across the panel. The COV-318 (HGS) cell line showed two *HOX* genes, with *HOXA9*, being significantly upregulated, whilst the PEO14 (HGS) cell line had 23 *HOX* genes that were significantly upregulated when compared to normal tissue.

246

247 HOX expression in platinum sensitive and resistant ovarian cancer cell lines

To evaluate differences in HOX expression between platinum sensitive and resistant EOC, 2 248 paired HGS cancer cell lines derived from patients with platinum sensitive and resistant 249 disease were analysed. Each pair was acquired from separate patients at the time when the 250 251 tumour was deemed clinically sensitive to platinum and at a later time-point after developing platinum resistance. PEO1 and PEO14 - platinum sensitive cell lines, were compared with 252 PEO4 and PEO23, platinum resistant cell lines, respectively (21). We found significant 253 differences in the HOX expression profile in platinum resistant and platinum sensitive cell 254 lines. The PEO4 (platinum resistant cell line) showed a significant increase of HOXB3 and 255 HOXB4 gene expression compared to its paired sensitive cell line, PEO1. PEO23 (platinum-256

resistant) also has a relatively higher expression of *HOXB4* when compared to its platinumsensitive couterpart-PEO14, and in addition, elevated expression of *HOXB9*. Cell line gene expression data was pooled according to platinum sensitivity status and the resistant cell lines showed an overall higher *HOX* expression compared to normal and sensitive cell lines (Figure 2).

262 HOX expression in primary EOC

To comprehensively evaluate HOX gene expression profiles in clinically relevant HGS EOC 263 we analysed tumours from a cohort of 73 HGS ovarian cancer patients and compared it to 264 10 normal ovarian and 3 fallopian tube tissue samples (patients' characteristics are 265 266 summarized in Table 1). HGS ovarian tumours exhibited a significant upregulation in the expression of 36 of the 39 HOX genes when compared to their expression in normal tissue 267 samples (Figure 3). The strongly overexpressed genes included HOXA9 ($p = 1.86 \times 10^{-8}$), 268 previously reported to be related to the HGS histotype (3), however, HOXA3 was expressed 269 to a far higher level, ($p = 9.55 \times 10^{-10}$). 270

There were significant differences in *HOX* expression profiles between the HGS and
endometrioid histological subtypes with up-regulation of *HOXA7, A9, A10, A13, B1, B4, B5, B13, C9, C13, D9* and *D10* in the endometrioid samples. *HOXB2* was the only gene to show
a significant difference between HGS and clear cell carcinomas, although this might reflect
the small sample size.

276 A 5-HOX gene signature predicts poor OS

The *HOX* expression profile in HGS EOC was subsequently correlated with clinical
characteristics such as age, stage, TTP and OS.

The HOX expression profile in EOC also correlated with OS. We found that 5 HOX genes:

280 HOXA13, B6, C13, D1 and D13 were expressed significantly more strongly in the tumours of

281 patients with poor survival with higher expression of these genes found in all deceased

patients. Each of these genes were individually analysed by the Kaplan-Meier method andthe result from the analysis are summarised in Table 1.

284 Targeting the HOX/PBX dimer in platinum-resistant EOC

285 The aberrant HOX expression found in EOC makes them a potential therapeutic target. As the function of HOX genes is partly based on the binding of HOX proteins to the PBX and 286 287 MEIS co-factors, targeting these co-factors could impair the oncogenic potential of HOX. PBX and MEIS proteins are present in both the nucleus and cytoplasm in ovarian 288 289 carcinomas, however only MEIS is expressed in normal ovarian epithelia (22). These co-290 factors are important for ovarian carcinogenesis, most likely through potentiating the function 291 of HOX proteins. A peptide called HXR9 has been designed to target the interaction between HOX proteins (members of paralogue groups 1-9) and PBX (11). This drug has been shown 292 previously to induce apoptosis in cancer cells with highly dysregulated HOX expression 293 294 profiles (11, 12, 14, 15), including the ovarian cancer cell line SKOV-3 (13). SKOV-3 is 295 platinum-resistant, although its origin has recently been questioned (16).

296 In view of the gross HOX dysregulation pattern seen in platinum-resistant tumours we have 297 used HXR9 alone and in combination with cisplatin to evaluate its efficacy in this setting. 298 HXR9 and its control peptide –CXR9 (which has an identical polyarginine cell penetrating sequence to HXR9 but has a single alanine substitution in its hexapeptide sequence that 299 renders inactive) have been described previously (11). All cell lines treated with HXR9 300 301 demonstrated an increase in *cFOS* expression, which is thought to be at least partly 302 responsible for HXR9-induced cell death (data not shown) (11). When analysed with flow cytometry for Annexin-V-PE there was a significant increase in the number of cells in late 303 apoptosis after HXR9 treatment compared to untreated cells (Figure 4a, b). Previous 304 publications have also demonstrated the apoptosis inducing capacity of HXR9 in ovarian 305 cancer cell lines showing PARP cleavage and caspase-3 activity in treated cancer cells (11). 306 The in vitro experiments showed that HGS cell lines were all sensitive to HXR9 treatment but 307

308 not to CXR9 and when combined with cisplatin there was synergy between HXR9 and cisplatin as shown in Supplementary Table 2. There was also enhanced cell killing in vivo 309 using a combination of HXR9 and cisplatin over each drug used alone when treating mice 310 bearing SKOV-3 tumours (Figure 5). Despite a good synergy effect seen in vitro, the effect in 311 312 vivo was not as powerful and the combination of HXR9 and cisplatin was only marginally 313 more active than HXR9 alone. This however may be cell line dependent. Combined HXR9 and cisplatin provided a survival advantage, with a hazard ratio of 1.98 (95% CI, -0.88-6.58; 314 315 p = 0.098) determined by the Log-rank model.

316 Discussion

This study confirms that *HOX* genes are highly dysregulated in ovarian cancer and that targeting the HOX/PBX interaction in platinum resistant tumours is of therapeutic value. Little to no *HOX* expression was found in normal ovarian tissue, whereas increased expression of certain groups of *HOX* genes was found in the majority of ovarian cancers.

The HGS carcinoma subtype shows the highest degree of heterogeneity in *HOX* expression for both cell lines and primary tumours, whereas endometrioid subtypes show a very distinct *HOX* expression profile. The HGS histological subtype is known to have a very heterogeneous nature, exhibiting a wide range of underlying genetic alterations, which may explain this variation. However, the functional redundancy between *HOX* genes may mean the net effect of *HOX* overexpression is similar even in cells expressing different sets of *HOX* genes (23).

Previous studies have shown that the over-expression of specific *HOX* genes determines the histological subtype, with *HOXA9* being overexpressed in HGS subtypes, *HOXA10* in endometrioid and *HOXA11* in mucinous (3). In this study we found that *HOXA9* is overexpressed in only 3 of the 8 HGS cell lines, but is also expressed in the clear cell and endometrioid cell lines. With regards to the primary tumours, *HOXA9* is significantly overexpressed in the HGS samples; however *HOXA10* and *HOXA11* are also expressed at

high levels in HGS tumours, which has not been previously reported. The endometrioid cell
lines show an overall higher level of *HOX* expression than the HGS cell lines, including *HOXA9* and *HOXA10*.

HOXA7 has been previously reported to play a role in the differentiation of ovarian surface 337 epithelia (OSE) into EOC (24). We found that HOXA7 is overexpressed in the HGS cancers 338 as well as in the endometrioid carcinomas compared to normal ovarian tissue. In addition, 339 340 HOXA13 is overexpressed in the endometrioid tumours. This suggests that the HOXA genes play a role in the determination of histological subtypes, but the differences in expression are 341 not as clear as previously suggested by Cheng et al. The high expression of HOXA10 in the 342 endometrioid cell lines and primary tumours does support a role for this gene in the 343 differentiation of endometrioid tumours; however the high level of heterogeneity in cancer 344 calls for caution in the interpretation of the results as the level of gene expression may differ 345 in individual tumour samples. 346

Although the function of the *HOX* genes in cancer remains unclear, there have been reports that they act as tumour suppressor genes or oncogenes. In ovarian cancer both *HOXB7* and *B13* expression has been linked to the invasive characteristics of ovarian cancer cells (25), and *HOXB7* has been shown to be a regulator of bFGF- a potent mitogenic and angiogenic factor (26) and involved in double strand break repair (27), whereas *HOXB13* promotes cell proliferation (28).

We found that 9 out of the 10 *HOXB* genes were upregulated, the most significant being *HOXB4*, *B5*, *B7* and *B13*. *HOXB4* upregulation has been shown to be associated with the development of platinum resistance in cell lines, and its over-expression in ovarian cancer has been reported previously in a relatively small study using only 4 cell lines and 7 ovarian cancer tumour samples (29), but no oncogenic function for this gene has been proven. *HOXB4* has been implicated as a cancer-related gene in other malignancies, including breast cancer, leukaemia and lung cancer (30-32). The recent genomic analysis of HGS

ovarian cancer by the Cancer Genome Atlas (TCGA) researchers found a number of
 somatic copy number alterations and three members of the *HOXB* family, *HOXB2*, *B5* and
 B8 were among the focally amplified regions (33) further supporting a possible oncogenic
 role of *HOXB* genes in ovarian cancer and emphasising the overlapping functions which
 exist between *HOX* genes (34).

Significant differences in *HOX* gene expression were found between platinum sensitive and resistant cell lines. Platinum-resistant cell lines show upregulation of *HOX* genes from the *HOXB* cluster. Although there was a difference between the three paired cell lines tested, *HOXB4* and *HOXB9* overexpression was common in two of the three cell lines (when compared to the platinum-sensitive counterpart). These results therefore demonstrate that *HOXB* genes are likely to play a role in developing platinum resistance; although further work is needed to understand the mechanism of this interaction.

Survival analysis revealed a cluster of 5 HOX genes, HOXA13, B6, C13, D1 and D13, that 372 373 was strongly associated with a poor OS in HGS patients. HOXA13 is usually expressed in 374 the upper vagina (4) playing a role in Müllerian duct differentiation during development, but has been reported to be overexpressed in ovarian cancer cell lines (25). HOXA13 was 375 376 linked to poor OS in oesophageal squamous cell carcinoma patients, and the same study 377 found its expression in cell lines enhanced tumour growth in vitro and in vivo (35). Highthroughput microarray analysis of gastric cancer patients revealed HOXA10 and A13 over-378 379 expression with HOXA13 upregulation significantly associated with an aggressive 380 phenotype, and a prognostic marker for poor OS (36). Highly deregulated expression of the 381 HOXA cluster has also been found in hepatocellular carcinoma (HCC), in particular HOXA13 382 (37).

Up-regulation of *HOXB6* has also been reported in ovarian cancer before, in addition to
 HOXB7 (38). Data from this study and previous reports of high *HOXB* expression in ovarian
 cancer suggests that the *HOXB* gene products play a role in ovarian tumourgenesis.

HOXC13 has a role in DNA replication (39), supporting an oncogenic function. A role in
human cancer has also been reported with overexpression found in metastatic melanoma
(40) and fusion with NUP98 has been associated with acute myeloid leukaemia (AML) (41).
The HOXD1 gene appears to be involved in cell differentiation (42), whereas HOXD13 is
deregulated in breast and cervical cancer and melanoma (43-45). A large HOXD13
expression analysis by Cantile and colleagues in 79 different tumour types also supports its
role in neoplastic transformation (46).

Determination of HOX gene dysregulation may be undertaken routinely in the clinical setting 393 394 using fresh or archived patient tissue and such information could be used for stratifying 395 patients in terms of prognosis. Furthermore, we have shown that our novel agent HXR9, a peptide capable of disrupting HOX gene function by inhibiting HOX binding to its co-factor, 396 PBX, has significant anti-tumour efficacy (11-15), which is increased when used in 397 combination with cisplatin. This synergy could be explained due to the role of HOX genes in 398 399 DNA repair pathways (27) but further work investigating this is needed. HOX gene 400 dysregulation therefore represents a potential ovarian cancer target with a low likelihood of cross resistance to conventional chemotherapeutic agents. Both HXR9 and small molecule 401 402 inhibitors of the HOX/PBX dimer are currently being evaluated as novel cancer agents in 403 preclinical models.

404 Conclusion

This comprehensive analysis of *HOX* gene expression in ovarian cancer cell lines and primary ovarian tumours demonstrates that these genes are profoundly dysregulated compared to normal ovary. Increased expression of *HOXA13*, *B6*, *C13*, *D1* and *D13* in EOC patients is associated with a poor prognosis and a more aggressive malignancy. It is possible to target HOX function by disrupting its binding to PBX, and further development of therapeutic compounds to achieve this is warranted.

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416 **Conflicts of interest statement**

- 417 The authors report no conflicts of interest.
- 418
- 419 Figure legends
- 420 Figure 1
- 421 HOX expression in ovarian cancer cell lines of high grade serous (HGS), endometrioid

422 and clear cell carcinoma subtypes and normal ovarian and fallopian tube tissue. Heat

- 423 map showing differential *HOX* expression between 5 HGS, 2 endometrioid and 1 clear cell
- 424 ovarian carcinoma cell lines and 10 normal ovarian and 3 fallopian tube tissue samples.
- 425 Expression of each gene was determined by quantitative PCR (qRT-PCR) from at least

426 three independent experiments and expression is relative to the house keeping gene β -actin.

427



- 432 HOX gene expression of paired platinum sensitive and platinum resistant ovarian cancer
- 433 **cell lines.** The comparison of *HOX* gene expression profiles between patient derived cell
- 434 lines before (PEO1 and PEO14) and after (PEO4 and PEO23) developing platinum resistance.
- 435 Heat map shows differential *HOX* expression between platinum sensitivity statuses of cell
- 436 lines.



HOX gene expression in high grade serous ovarian tumours. a) Heat map showing 450 451 differentially expressed HOX genes between high grade serous (HGS) ovarian tumours and normal ovarian and fallopian tube tissue. HOX gene expression data for HGS tumours 452 (yellow) were compared to 10 normal ovarian tissues (green) and 3 fallopian tube sample 453 454 (purple) to find upregulation of 36 genes in the HGS tumours. HOX expression profiles were 455 determined by quantitative PCR (qRT-PCR) and normalised to housekeeping gene β -actin. Each column represents a gene and each row represents a sample. Column-wise z-score 456 transformation (genes) was used. Red colour for a gene indicates expression above the 457 458 median and blue indicates expression below the median.



HXR9 induces apoptosis in ovarian cancer cell lines. Ovarian cancer cell were assessed 467 for apoptosis or necrosis through annexin/propidium iodine staining after HXR9 treatment. a) 468 The bargraphs show the percentage of cells in early apoptosis, late apoptosis, and necrosis, 469 as well as viable cells, when untreated, treated at the HXR9 IC₅₀ dose or double the IC₅₀ 470 471 dose for each cell line or equivalent CXR9 dose. Error bars show the SEM. P-values < 0.05 are denoted as *, <0.005 ** and < 0.001 as *** with respect to untreated cells. Example flow 472 cytometry plots for untreated; CXR9 25µM; CXR9 50µM; HXR9 25µM and HXR9 50µM 473 treated SKOV-3 cells 474







In vivo combination study of HXR9 and cisplatin. Antitumor activity of HXR9 and cisplatin 483 alone or in combination against ovarian cancer (SKOV-3) xenografts. Nude female mice 484 were inoculated SC with 1 x 10⁶ SKOV-3 cells (Day 0). Treatment was initiated when 485 tumours reached an approximate volume of 100m³. An initial dose of HXR9 was given IT at 486 487 100 mg/kg, followed by twice weekly doses at 10 mg/kg. Cisplatin was administered IP at 3 488 mg/kg weekly. Combinational studies consisted of both treatments; PBS was used as a 489 control. Arrows indicates drug administration. A minimum of 6 mice in each group was set as 490 the cut-off point for each curve.



495

497 **Table 1**

Kaplan-Meier analysis of the 5-*HOX* gene prognostic signature showing the median overall
survival for patients who do not express the gene as compared to patients whose tumours
show expression of the genes listed below. Hazard ratios and Confidence intervals were

501 calculated using the Log rank model

Gene	Median overall survival (months)	No. of patients	p values	Hazard ratio	95% Confidence interval
HOXC13	36	37	0.0128	8.264	1.396–12.75
HOXB6	36	36	0.0145	8.286	1.365–14.67
HOXA13	44	39	0.0317	4.508	1.145–12.17
HOXD13	36	37	0.0308	6.834	1.153–12.79
HOXD1	36	37	0.025	4.692	1.206–11.61

502 503

504 Supplementary Table 1

Patient characteristics. Tumour samples and patient information was obtained from the
 Royal Surrey Hospital, Guildford.

507 Supplementary Table 2

Synergy between HXR9 and cisplatin in ovarian cancer cell lines. Cells plated in 96-well 508 plates were exposed to graded concentrations of HXR9 or cisplatin either alone or in 509 510 combination. Cells were treated with cisplatin for a total of 72 hours and with HXR9 for 2 hours. Cell viability was then measured by the MTS assay and the presence of synergy was 511 analysed based on the Chou-Talalay method using CalcuSyn version 2.0 software (Biosoft, 512 Stapleford, UK) (19). The interaction between HXR9 and cisplatin was quantified by 513 determining the combination index (CI) at ED50, ED75 and ED90 (doses which produce the 514 cell kill in 50, 75 and 90 per cent of a population, respectively), where CI < 1 indicates 515 synergism, CI = 1 indicates an additive effect antagonism (CI > 1) between drugs. 516 517

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