University of Naples Federico II



Department of Biology

Ph.D. IN BIOLOGY XXIX CYCLE

Genomics, transcriptomics and CRISPR/CAS9 gene editing approaches to investigate embryonic sex determination in insect pest *Ceratitis capitata*.

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Riassunto

Durante il mio dottorato di ricerca ho condotto studi di genomica e trascrittomica in un organismo non modello Ceratitis capitata. Ceratitis capitata (Diptera, Tephritidae), anche detta "medfly", è un dittero fitofago diffuso ormai in tutti i continenti e altamente dannoso per la coltivazione di molte specie vegetali che forniscono frutta per alimentazione umana. La sua vastissima diffusione, unita al fatto che si tratta di una specie polifaga, nonché le difficoltà riscontrate nel suo controllo ed eradicazione locale, hanno fatto di C. capitata una delle specie più dannose all'agricoltura a livello globale e quindi ad una significativa parte delle economie nazionali e di quelle internazionali. La riproduzione e la determinazione del sesso sono aspetti cruciali per capire la biologia degli insetti e bersagli ottimali per limitare la loro crescita e diffusione. Nel laboratorio in cui ho svolto la mia tesi di dottorato, da oltre vent'anni si è cercato di capire la cascata dei geni della determinazione del sesso e di caratterizzarli a livello molecolare in Ceratitis capitata. Uno studio evolutivo del pathway genetico che controlla la determinazione del sesso di Ceratitis, usando il sistema modello Drosophila, ha permesso di isolare quattro omologhi dei principali geni che determinano la scelta del sesso in D. melanogaster: Sex-lethal (Sxl), transformer (tra), transformer-2 (tra-2) e doublesex (dsx). Anni di studi hanno portato a dimostrare che i segnali primari della determinazione del sesso noti in Drosophila sono differenti in C. capitata e che il gene Sex-lethal non è coinvolto nel determinare la femminilità in Ceratitis. Il Male Determining Factor (Fattore M) localizzato sull'Y di Medfly ha un ruolo chiave nella determinazione del sesso, ma non è stato ancora isolato. Il progetto generale del mio Dottorato consiste in due linee di ricerca parallele e sinergiche: 1) approcci trascrittomici (Illumina) e genomici (PacBio) alla identificazione di nuovi geni di Medfly legati al cromosoma Y potenzialmente coinvolti nella determinazione del sesso maschile e di geni del cromosoma X; 2) sviluppo di una potente tecnica di gene editing, la CRISPR/Cas9, per studiare queste nuove funzioni geniche.

In questa tesi di dottorato mi sono focalizzata su due aspetti della ricerca genetica in *Ceratitis capitata* che hanno ramificazioni anche in campo applicativo:

- Il problema dell'identificazione di geni embrionali ad espressione maschio-specifica, tra i quali ci si aspetta di identificare il segnale primario mascolinizzante della determinazione del sesso, cioè il fattore M legato al cromosoma Y. A tal fine, abbiamo pensato di mettere a punto una tecnica poco utilizzata ma molto interessante: il "metabolic labelling" di trascritti di nuova sintesi in embrioni XX ed XY, per poterli purificare distinguendoli dai trascritti materni.
- La caratterizzazione del cluster di geni per le ceratotossine sul cromosoma X, molto eterocromatico.
 Il cromosoma X di *Ceratitis* è ritenuto essere povero di geni da precedenti studi genetici e citogenetici e ne è stata data conferma dall'analisi del suo genoma (Papanicolaou et al., 2016).
- Il problema della difficoltà di condurre studi genetici funzionali (inclusi i geni della determinazione del sesso) in un insetto non modello come la *Ceratitis*. La RNAi sia transiente che mediata da transgeni funziona bene in *Ceratitis*, ma è applicabile in genere su geni ad espressione embrionale (in transiente), e presenta difficoltà quando applicata con transgenesi perché la produzione di ceppi

transgenici è molto più laboriosa che in *Drosophila*, anche per il suo ampio genoma, che risulta ricco di zone eterocromatiche, le quali reprimono l'espressione del transgene. Abbiamo pensato di utilizzare la CRISPR/Cas9 per avere a disposizione un innovativo metodo di "genome editing" che permetta di indurre mutazioni ereditabili in specifici geni. Ho inoltre stabilizzato in laboratorio l'espressione batterica e la purificazione di Cas9 con his-tag. Infine, ho bersagliato con successo due geni in *Ceratitis* e ho contribuito allo sviluppo della CRISPR/Cas9 anche nell'altro dittero *Musca domestica*.

Summary

During my PhD, I have carried out studies of genomic and transcriptomic in the non-model system *Ceratitis capitata*. *C. capitata* (Diptera, Tephritidae), also called "medfly", is an insect pest that spread over almost every continent and represents a great danger to the cultivation of fruits for human alimentation. Its vast diffusion, together with its ability to parasitize many fruit hosts and the difficulties humans encountered when trying to control and eradicate it from local areas, made *C. capitata* one of the most dangerous species to agriculture on a global scale, and therefore, to a significant portion of national and international economies. The reproduction and the sex determination are two crucial aspects to understand the biology of insects and represent optimal targets to limit their growth and diffusion.

In the laboratory where I've conducted my PhD thesis, the identification and molecular characterization of the genes involved in the sex determination of *Ceratitis capitata* has been one of the main topics of research for more than 20 years. An evolutionary study of the sex determination pathway in *C. capitata* using the model system *Drosophila melanogaster* allowed to isolate four orthologues of the main genes involved in the sex determination pathway in *D. melanogaster*: *Sex-lethal (Sxl), transformer (tra), transformer-2 (tra-2)* and *doublesex (dsx)*. Years of research have brought to demonstrate that the primary signals of sex determination known in *D. melanogaster* are different in *C. capitata* and that the *Sex-lethal* gene is not involved in the female sex determination in *C. capitata*. The male determining factor (M factor) localized on the Y chromosome in "medfly" has a key role in male sex determination, but still awaits to be molecularly isolated yet. The project of my PhD consists into two parallel and synergic research lines:

- transcriptomic (Illumina[®]) and genomic (PacBio[®]) approaches to the identification of novel Y-linked genes potentially involved in male sex determination, and X-linked genes in "medfly";
- 2) the development and perfecting of a powerful technique of gene editing, the CRISPR/Cas9, to study these novel gene functions.

In this PhD thesis, I focused on three aspects of genetic research in *Ceratitis capitata*, which also have ramifications in the applicative field:

I focused on the identification of embryonic genes with male-specific expression, amongst which it is expected to be found the primary masculinization signal of sex determination, namely the Ylinked M factor. For this purpose, I employed a novel and, I believe, promising technique: the metabolic labelling of newly transcribed RNA in XX and XY embryos to purify and distinguish them from maternal transcripts. I have identified some hundreds of *Ceratitis* genes which seem to have zygotic expression during 5-6 hours of embryogenesis.

- I defined the genomic characterization of a gene cluster: *ceratotoxins*, on the X chromosome. Interestingly, in the medfly genome project, it has been shown from genetic and cytogenetic analyses that the X chromosome is highly heterochromatic and has only few genes (Papanicolaou et al., 2016).
- I approached the problem of conducting functional genetic studies, including on genes involved in the sex determination pathway, in a non-model system such as *C. capitata*. Transient as well as transgene-mediated RNA interference works in *C. capitata*, but it's generally applicable on genes with embryonic (transient) expression, and has some difficulties also when applied by transgenesis, because the production of transgenic flies in *C. capitata* is more laborious than in *D. melanogaster*, also for its larger genome, which is rich of heterochromatic regions, often repressing the expression of the integrated transgenes. I therefore applied CRISPR/Cas9 an innovative technique of gene editing that can allow to induce heritable mutations in specific genes and also, I established in the lab a Cas9-his tagged bacterial expression and purification method. I successfully targeted 2 *Ceratitis* genes and I contributed to CRISPR development also in the other dipteran *Musca domestica*,

INDEX

1 Introduction

- 1.1 Premise: Why study Insect pests?
- 1.2 Insect of interest: the agricultural pest Ceratitis capitata.
- 1.3 Ceratitis capitata life cycle
- 1.4 Sex determination in Drosophila melanogaster and Ceratitis capitata
- 1.5 Sex determination in other insects
- 1.6 Aim

2 Technique "metabolic labelling"

- 2.1 New molecular approach to isolate zygotic newly transcribed RNA
- 2.2 Results
- 2.3 Method
- 2.4 References

3 Technique CRISPR-CAS9

- 3.1 Highly efficient DNA-free gene disruption in the agricultural pest *Ceratitis capitata* by CRISPR-Cas9 RNPs. (paper in submission)
- 3.2 CRISPR-Cas9 targeted disruption of the *yellow* ortholog in the housefly identifies the *brown body* locus. (paper in submission)

4 Conclusions

1 Introduction

1.1 Premise: Why study Insect pests?

Insects are among the largest animal taxonomic group on Earth. Insects, as the majority of invertebrates, have a life strategy based on reproduction. They manage to completely colonize an ecosystem in a relatively short time. Insects have a critical role in both ecology and human society. In some cases, their interactions with humans can be harmful, and knowledge of the life cycle of insects that are vectors of disease and agricultural pests opens to new possibilities for developing eco-friendly alternatives to pesticide control strategies. Reproduction and sex determination are crucial aspects to understand the biology of insects and optimal targets for limiting their growth and dissemination at the same time. I decided to focus my PhD project on a major agricultural pest, *Ceratitis capitata* (Wiedemann, 1824), also called Mediterranean fruit fly ("medfly"), a widespread Diptera pest present in all continents and highly detrimental to crops of many species (Saccone et al., 2011). In particular, I have tried to fulfil some major gaps in the understanding of the genetics of sex determination in *Ceratitis* and in the reverse genetics techniques available to study *in vivo* gene functions.

1.2 Insect of interest: the agricultural pest Ceratitis capitata.

Ceratitis capitata is a highly invasive species to a wide range of cultivated and wild fruit, and has a huge economic impact. It has managed to adapt itself to vastly different conditions, and therefore occupy many ecological niches (to see distribution <u>http://www.cabi.org/isc/datasheet/12367</u> Fig.1). The harmfulness of this species lies in the behaviour of females during reproduction. After the mating, they perforate the skin of many fruits with their extensible ovipositor. They prefer fruit with soft pulp, high sugar content and low acidity, to lay their eggs.

The eggs are slender, curved and shiny white (approximately 1.0 x 0.2 mm), and develop into larvae within 2-4 days in optimal conditions of temperature and humidity (Fig.2A). Larval development is divided into three stages and lasts for 4-6 days. The first stage larvae are less than half a millimetre long (Fig.2B); the last stage larvae (the third) are 7-9 mm long, and are also called "jumping" larvae (Fig.2C). The ability to jump allows the larvae to get out of the host fruit and pupate into the soil (Fig.2D). The pupae stage lasts for 6-11 days, and after that, the adults emerge (Fig.2E). *C. capitata* completes its life cycle in 21-30 days and the adults of *C. capitata* live for about 30 days.

The adult is 4-6 mm long and its body is coloured of shades ranging between grey and yellow. The head is yellow with red and iridescent eyes. The thorax is black with white spots features, while the abdomen is of a yellow-brown colour with dark streaks in the third and fifth abdominal segments. The wings show a characteristic colour in some areas, with one longitudinal and two transverse

bands of brown colour. Sexual maturity develops about two days after the eclosion, and mating of mature individuals follows a circadian cycle. The adults of *C. capitata* show an evident sexual dimorphism (Fig.3). Males and females are different at both morphological and physiological levels. The ovipositor is a peculiar characteristic of adult females, which are also bigger in size than the males. The males, instead, can be distinguished for the presence of a pair of supra-front-orbital bristles.

1.3 Ceratitis capitata life cycle

Ceratitis capitata is a holometabolous insect, with four life stages: embryo, larva, pupa and adult. The life cycle is the period of time from the oviposition to the adult stage, and in *Ceratitis capitata*, it lasts from 21 to 30 days in optimal temperature and climate conditions. The female lays from 1 to 10 eggs at a time in a 1 mm deep cavity, and can lay up to 22 eggs per day and 800 eggs in its entire life cycle. Females do not lay when the temperature is lower than 16 °C and the development at embryonic, larval, and pupal stages stops when temperature is lower than 10 °C.

The eggs hatch in 1.5-3 days in optimal temperature conditions. The length of the embryonic stage increases considerably with the lowering of the temperature. After the hatching, there are three larval stages, which can last up to 6-10 days when temperature ranges from 25-26.1 °C. Once matured at the 3rd instar larval stage, most of the larvae leave the fruit at dawn or just after dawn, and pupate into the ground, forming a brownish capsule in which metamorphosis will occur. The pupal stage lasts from 6-13 days when the mean temperature is between 24.4-26.1 °C. Most of the adults emerge from pupae in early morning, when temperature starts rising. They can fly for short distances, but can be transported by wind for kilometers of distance. Copulation can occur at any time of the day. The adults are not sexually mature at the moment of emersion from pupae; the males start showing sexual activity after 4 days from the emersion, whilst the females are ready to mate after 6-8 days from the emersion. The adults die in 4 days if they can't find any food. Usually, up to 50% of the flies dies in the first two months after the emersion. However, some adults may survive up until 6 months in conditions of optimal availability of food and water, and at cool temperatures.

1.5 Sex determination in Drosophila melanogaster and Ceratitis capitata

Sexual dimorphism in insects is a developmental output of two distinct processes: sex determination and sexual differentiation. Sex determination is controlled by genes that establish either the male or female sex during embryonic stages. These key genes, which represent the primary signals or respond to them, regulate a cascade of other genes composing the so-called sex determination pathway, that acts through the expression of the sex-specific cytodifferentiation genes promoting the formation of male or female morphological structures and the development of sex-specific behaviours.

The sex determination pathway in Ceratitis capitata has been elucidated after evolutive and comparative studies conducted on Drosophila melanogaster, a model system for this kind of studies. In D. melanogaster, the master gene in the sex determination pathway is Sex lethal (Sxl), which codes for a splicing regulator protein. Transcription of Sxl is regulated in a sex-specific manner, dependent on the X:A ratio. Females, which have a double copy of the X chromosome, are homozygotes for four X-linked genes, called X-linked signalling elements (XSEs), whilst males are hemizygote for such genes. Sxl has two promoters: an early promoter, activated in females, and a late promoter, activated in males (Salz et al., 1989). This allows for the production of an inactive form in males and an active form in females of the SXL protein, respectively. At the blastodermal stage, the primary signal is no longer needed and the activity of SXL is maintained by autoregulation (Cline, 1984). The male transcript includes a male-specific exon, which contains an internal stop codon, resulting in the production of a truncated, non-functional SXL protein. The female transcript undergoes an event of alternative splicing, which splices out the male-specific exon, resulting in a functional SXL protein (Bell et al., 1988; Bopp et al., 1991) (Fig.4). In females, the functional SXL protein regulates the splicing of the downstream transformer gene (tra), allowing the production of a functional TRA protein. The tra pre-mRNA has two 3' splice sites: a female-specific splice site, and a non-sex-specific splice site. About 50% of the tra pre-mRNA undergoes a female-specific splicing event in the females. The female-specific splicing of the premRNA splices out an exon containing a stop codon, thus allowing the production of a functional, full length TRA protein. In males, only the non-sex-specific splicing event occurs, producing a truncated, non-functional TRA protein (Boggs et al., 1987; Belote et al., 1989; Válcarcel et al., 1993) (Fig.5).

The TRA protein, expressed only in XX *Drosophila* embryos, continues the sex-determination pathway in a female-specific way. In a complex with the TRA-2 protein, coded by the autosomal gene *transformer-2*, TRA determines the activation of the downstream genes in the pathway: *doublesex* and *fruitless*, which are expressed in two different isoforms in the two sexes due to alternative splicing events. TRA and TRA-2 recruit general splicing factors, such as the splicing regulator proteins (SR), onto regulative elements, named *dsx* repeat elements (DsxRE). The DsxRE are TRA/TRA-2 binding elements repeated 6 times in the *dsx* pre-mRNA; they are highly conserved, 13 nt sequences located downstream of a *dsx* female-specific 3' splice site (Tian and Maniatis, 1993). In the absence of TRA, the splicing produces a male-specific DSX isoform

(DSXM) (Burtis and Baker, 1989), which represses the expression of the genes that direct sexual development in a female-specific way, both in morphology and behaviour. At the same time, DSXM activates the expression of the male-specific downstream genes, which direct the sexual development in a male-specific way. *Vice versa*, the female-specific DSX isoform (DSXF) represses the expression of the genes that induce male-specific sexual determination and activates the expression of the genes that induce female-specific sexual determination. *Fruitless (fru)* is another gene that contains a TRA/TRA-2 binding element, which is regulated by the TRA/TRA-2 complex in a sex-specific way during splicing. The male isoform of *fruitless* is responsible in conjunction with DSXM for the sexual development of the central nervous system (Rideout et al., 2007) (Fig.6).

A series of studies showed that sex determination in medfly is different from sex determination in *Drosophila*. The identification of fertile XXX females and fertile XXY males in a wild population of medfly showed that the presence of the Y chromosome is determining for male sex determination (Saccone et al., 2002). The analysis of the progeny generated from an autosome-Y reciprocal translocation T(Y;2) has allowed the prediction of the existence of one or more than one male determining factors on the long arm of the Y chromosome (Robinson, Franz and Fisher, 1999). Using a series of deletions on the Y chromosome, the male determining factor has been located in a region of the long arm, near the centromere, representing about 15% of the entire Y chromosome. Moreover, this study showed that the remaining 85% of the Y chromosome does not contain genes involved in sex determination, testis development and male fertility (Willhoeft and Franz, 1996). The Y chromosome of medfly also contains a repetitive element, which was identified by techniques of molecular subtraction (Anleitner and Haymer, 1992). The positional information obtained by deletion analysis of the Y chromosome could be a useful and necessary preliminary step to clone the male determining factor(s) by microdissection (Willhoeft & Franz, 1996).

A comparative molecular study has been carried out to identify genes involved in sex determination in *Ceratitis* using *Drosophila* genes as probes for cDNA and genomic libraries of medfly. This approach has brought to the isolation of orthologues of *Sxl* and *dsx* in medfly (Furia et al., 1992; Saccone et al., 1996; Saccone et al., 1998). In contrast with *Drosophila*, the orthologue of *Sxl* (*CcSxl*) expresses the same mRNA and the same protein isoforms in both XX and XY individuals, independently from the primary sex determination signal (Saccone, 1997; Saccone et al., 1998). Moreover, experiments involving two inducible transgenes showed that the product of *CcSxl* does not have significant effects on sex determination when expressed in *Drosophila* (Saccone et al., 1998) (Fig.7).

The dsx gene in medfly, Ccdsx, produces sex-specific transcripts through alternative splicing,

similarly to *Drosophila*, suggesting its functional conservation as regulator in sex determination (Saccone et al., 1996). Indeed, the ectopic expression of the male-specific isoform of CcDsx (CcDsxM) in *Drosophila* transgenic strands has induced a partial masculinization of XX flies, supporting the idea that *dsx* controls the sex determination in medfly, similarly to *Drosophila* (Saccone et al., 2008). Moreover, the sex-specific splicing regulation of the *Ccdsx* pre-mRNA seems to be homologous to that of *dsx* in *Drosophila*.

The orthologue of *dsx* in medfly shows two conserved characteristics:

- the 3'-UTR of the female-specific exon in medfly has conserved short sequences made up by five fragments of 13 nucleotides each, corresponding to the TRA/TRA-2 repeated elements (dsxRE; also known as Tra/Tra-2 binding sites), identified in *Drosophila*. The evolutionary conservation of these elements in medfly inside the female-specific non-coding 3' region suggests the existence of a positive selection for the specific binding of trans-agent factors homologous to TRA and TRA-2 (Saccone, 1997; Saccone et al., 2002);
- 2. as in *Drosophila*, the female-specific exon in medfly is preceded by a 3' splice site, which has the characteristics of a suboptimal splice site (Saccone et al., 1996). The conservation of these cis-agent regulatory elements suggests that the regulation of the sex-specific splicing of the *dsx* gene in medfly is homologous to that in *Drosophila* and that, as in *Drosophila*, the male-specific splicing is the default splicing and the female-specific *dsx* splicing needs TRA/TRA-2 bound to the cis regulatory elements to enhance it.

Considering these data, a model for sex determination in medfly can be proposed, in which only a part of the sex determination pathway of *Drosophila* is evolutionarily conserved. Possibly, *CcSxl* can play only, if any, auxiliary roles in sex determination in medfly, as TRA-2 does in *Drosophila*, which, although expressed constitutively in a non-sex-specific way, works in conjunction with the female-specific isoform of TRA. On the other hand, *Ccdsx* shows evolutionary conservation not only in its nucleotide and amino acid sequences, but also in its sex-specific regulation, indicating its involvement in the control of sex differentiation. The female-specific splicing control of the *Ccdsx* pre-mRNA is exerted by homologous TRA/TRA-2 protein in medfly (CcTRA/CcTRA-2), which bind to dsxRE, a conserved splicing enhancer region. The discovery of dsxRE elements inside and in proximity of male-specific exons of *Cctra* has showed that the *CcTra* gene, in contrast to *tra* in *Drosophila*, is capable of auto-regulation (Pane et al., 2002).

It's known that in *Ceratitis*, the male-specific splicing of *tra* starts to occur at about 6-7 hours after oviposition in XY embryos. Its pre-mRNA comprises 5 exons (while only 3 exons in *Drosophila*), three of which (the first, the fourth and the fifth) are included in the mature transcript in both sexes, whilst the other two are only included in the male-specific transcript. In *Ceratitis*, its regulation is

based on the alternative splicing by exon skipping (of male exons in females) and on a differential and combined use of alternative regulative sites, 5' donor and 3' acceptor splice sites, which are present in the initial part of the gene. In XY medfly embryos a male-specific splicing produces two main transcripts in which two exons containing stop codons are retained, thus generating truncated proteins. Instead, in XX individuals, the alternative splicing excludes the second and the third exons, which are male-specific, from the mature transcript, allowing the production of a full-length protein, which is 429 amino acid long and called CcTRAF (Pane et al., 2002). The sex determination model in medfly, based on the key function of Cctra, supports that the femalespecific CcTRA protein, in conjunction with CcTRA-2 (expressed constitutively in both sexes), acts as a splicing factor binding the Cctra-dsxRE, determining the inhibition of the male-specific splicing of *Cctra* and the regulation of the downstream genes beginning with *Ccdsx* (Pane et al., 2002). CcTRA acts on the pre-mRNA of Ccdsx, activating the female-specific splicing and generating two sex-specific protein isoforms (DSXF and DSXM), structurally and functionally conserved in comparison with Drosophila melanogaster (Saccone et al., 2010). Ultimately, it is possible to propose that in embryonic stages sex determination in *Ceratitis* is directed at the male sex through the action of the male determining factor, which promotes or simply allows the inclusion of the male-specific exons, probably by blocking the action of the CcTRA maternal protein (and possibly of CcTRA-2). Female sex determination relies on the action of a splicing complex which promotes an exon-skipping and is maintained by an epigenetic auto-regulative mechanism sustained by CcTRA activity. This mechanism can be suppressed by removing, even temporarily, mRNA coding CcTRA or CcTRA-2 protein with transient embryonic RNAi (Pane et al., 2002; Salvemini et al., 2009). A transient interference in the expression of these two genes in XX embryos determines the complete sexual transformation (at both the germinal and somatic levels), obtaining pseudo-male fertile XX adult flies. The positive outcome of the utilization of RNA interference against the tra gene in Ceratitis capitata obtained by microinjection of doublestranded RNA molecules in embryos at the very first developmental stages has given the clear confirmation of the role of *Cctra* as key gene in sex determination and has also sustained the model based on positive feedback (Pane et al., 2002). Indeed, an RNAi-induced temporary absence (for about 24 hours) of the *tra* transcripts both in XY male embryos and XX female embryos, causes an irreversible change in the splicing of Cctra, which becomes male-specific in the latter. This shift, that happens only in XX individuals, brings to the development of XX male adult flies, which have also happened to be fertile (Pane et al., 2002). The male determining factor could inhibit the activity of CcTRA and/or CcTRA-2 in males both at the level of transcription, or at the post-transcriptional level, determining the male-specific splicing of *Ccdsx* indirectly. In this case, we would expect the

sex-specific regulation of *Cctra* to be exerted by the male determining factor, and not by *CcSxl*, as a unique property of medfly. Alternatively, the male determining factor could also bind the *Ccdsx* premRNA and directly influence its splicing, competing with TRA/TRA-2 for the binding with the splicing enhancer dsxRE. Ultimately, other genes could be interposed between the male determining factor and *Ccra/CcTra-2*, or even in parallel, increasing the complexity of the sex determination pathway in medfly. The isolation in medfly of the Y-linked male determining factor could help elucidate the validity of this model and localize the points of molecular divergence between the sex determination pathways in *Drosophila* and medfly.

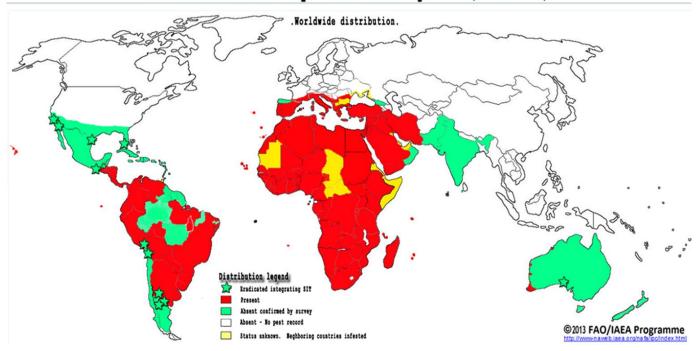
As a novel method of sexing, alternatively to the female-specific lethality of the TSL strain, a method based on the transformation of females into males at the embryonic stage has been obtained with the utilization of RNAi targeted against the *Cctra* gene and a transgene stably inserted into the medfly genome (Saccone et al., 2007). In this strain, a transgene allows the production of dsRNA molecules specific for the *Cctra* gene during oogenesis (enhancer effect in proximity of the site of integration of the transforming vector; Petrella, 2014), so that in female transgenic embryos the repression of the *transformer* gene occurs, thus provoking a male-specific *Cctra* splicing in XX embryos, which is irreversible until adulthood, and inducing masculinization of XX individuals. Interestingly, one transgene copy of the construct producing *Cctra*-specific dsRNA in the mothers crossed with non-transgenic fathers is sufficient for masculinization of all embryos, including also the non-transgenic ones.

It's interesting to note that the XX male obtained with RNAi in this genetic sexing strain of *Ceratitis* are able to compete with wild males and their progeny is fertile when they are crossed with XX females (Petrella, 2014). The progeny of the cross between XX males and females is exclusively made up of XX embryos, which will develop into adult females. The fertility of XX males has been previously utilized to produce a progeny of only XX female embryos in order to carry out: 1) studies of molecular subtraction of male-specific transcripts using RNA from XX embryos alone and RNA from mixed XX/XY embryos (Salvemini et al., 2014); 2) transcriptome analysis on XX and XX/XY embryos (Salvemini, Nagarju, Arunkumar, Robinson, and Saccone, 2010-2017, unp. Res.).

1.5 Sex determination in other insects

Sex determination in insects is classified into three main categories depending on the different primary sex determining signals: 1) zygotic, 2) maternal, or 3) environmental signals (Sánchez, 2008). In *Drosophila melanogaster*, the primary signal is based upon the quantity of the product of four X-linked genes: the X-linked signalling elements (XSEs) (Erickson & Quintero, 2007). In

some species (apparently most of them), in which the female is the homomorphic sex (XX) and the male is the heteromorphic sex (XY), the primary signal is a male-determining factor, coded by a gene located on the Y chromosome. These species include the tephridites (Ceratitis, Bactrocera and Anastrepha). However, in Musca domestica a candidate M-factor has recently been located on an autosome. In species where the homomorphic sex is the male (ZZ) and the heteromorphic sex is the female (ZW), the primary signal for sex determination varies. In some Lepidoptera species (butterflies and moths), the primary signal depends on a Z-counting mechanism, similarly to the Xcounting mechanism of Drosophila. In the silkworm, the primary signal is a Fem factor located on the W chromosome (Traut et al., 2007). In Apis, instead, the sex determination relies on a different mechanism: the females are diploid and the males are haploid. Finally, in some insect species sex determination depends on environmental factors, such as the temperature during the embryonic development. This mechanism has been found in some Sciara species (Ruiz et al., 2005). It's interesting to note that in the last few years, primary signals of sex determination have been identified in other species of insects: in Drosophila, the XSE (X-linked signaling elements, transcriptional factors which are present in XX embryos and able to activate the early transcription of Sxl from an alternative promoter, thus allowing its female-specific splicing); in Apis mellifera, CSD (Complementary Sex Determination, a gene with many alleles, which codes for a serine and arginine rich protein, similar to CcTRA protein (but not DmTRA protein); in Bombyx mori, the fem gene codes for a W-linked piRNA, which acts on the Masculinizer (Masc) gene, to promote femaleness in ZW individuals. In Aedes aegypti, the nix gene (Nix codes for a potential splicing factor related to tra-2, and the absence of Nix shifts the alternative splicing of dsx and fru towards female-specific isoforms); in Anopheles gambiae, the Y-linked Yob gene codes for a novel short protein with no conserved domains; Yob is expressed exclusively in male embryos within 2 hours from oviposition, and its transcription is maintained for the rest of the life. If Yob is injected in early embryos before two hours, only XY male mosquitos will be born, because the presence of Yob is lethal for female embryos, most likely for dosage compensation unbalance.



Mediterranean Fruit Fly - Ceratitis capitata (Wiedemann)

Fig.1 Distribution map of the Mediterranean fruit fly Ceratitis capitata (Wied.) (Designed by

FAO/IAEA, December 2013)

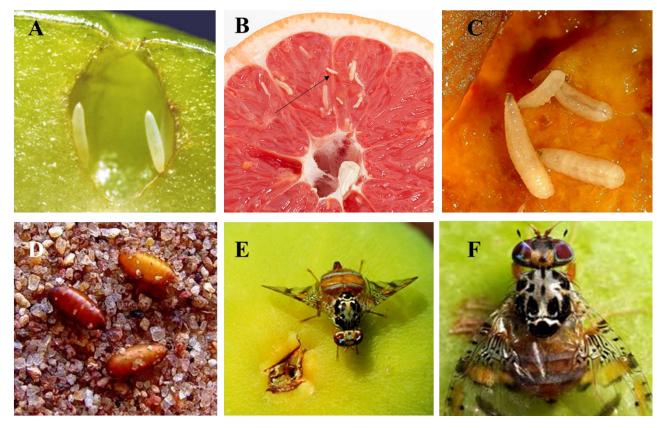


Fig.2 Eggs of *Ceratitis capitata* (A); larvae of the first stage (B); "jumping" larvae (C); the pupal stage (D); adult female (E); adult male (F).

Ceratitis capitata

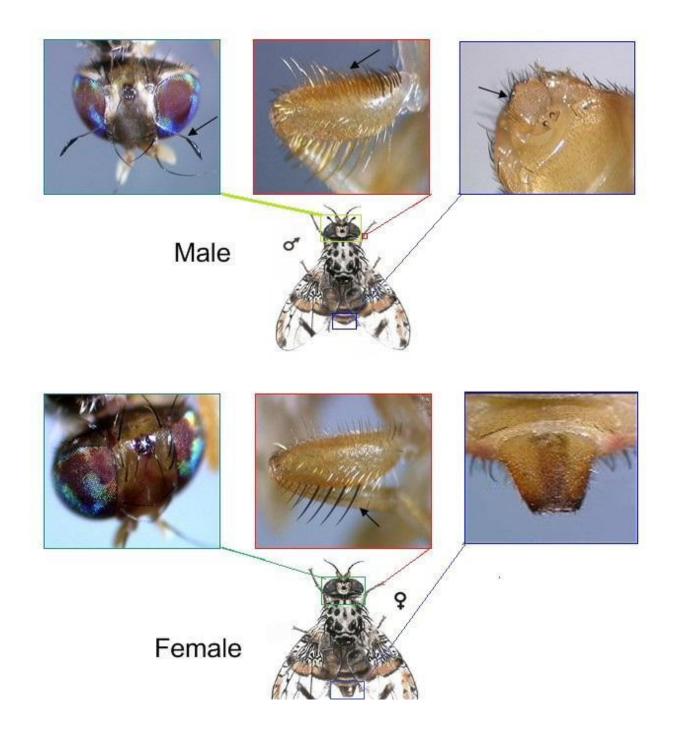


Fig.3 Sexual Dimorphism of Ceratitis capitata

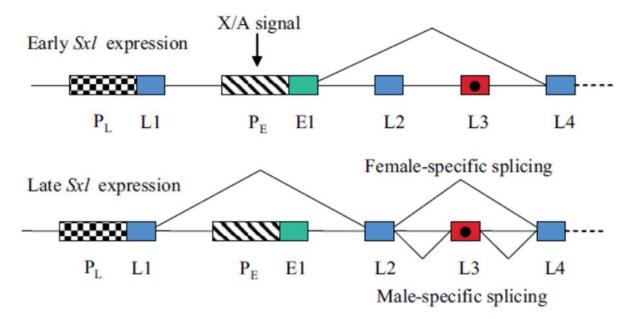


Fig.4 Gene *Sex lethal* (*Sxl*). The image shows the two sex-specific splicing events that occur in the *Sxl* gene, following the activation of the early promoter in the female and the late promoter in the male. Adapted from Sex-determining mechanisms in insects (Sánchez, 2008).

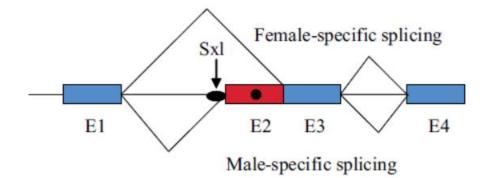
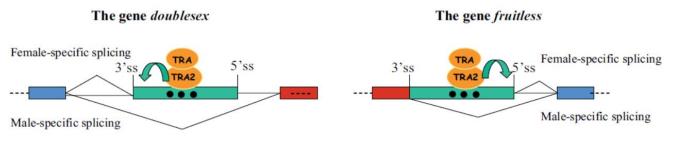


Fig.5 Gene *Transformer (Tra)*. The image shows the female-specific splicing, which allows the production of a full length TRA protein, and the non-sex-specific splicing, which produces a truncated protein. The non-sex-specific splicing is the only splicing event that occurs in males (Sánchez, 2008).



Activation of the 3'ss of female-specific exon

Activation of the 5'ss of female-specific exon

Fig.6 On the left: the gene *doublesex*. On the right: the gene *fruitless*. The image shows the female-specific splicing and male-specific splicing, respectively (Sánchez, 2008).

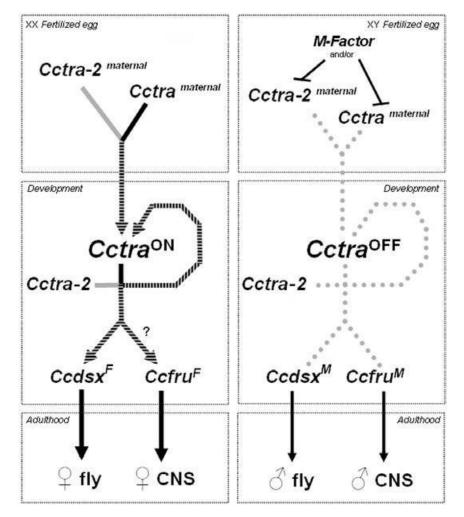


Fig.7 Sex determination mechanism in Ceratitis capitata.

1.6 A premise to my Aims

In the laboratory where I've conducted my PhD thesis, the genes of the regulative pathway of sex determination in insects have been studied for more than twenty years; they've been characterized at a molecular level in Ceratitis capitata (Medfly), an insect pest dangerous to agriculture. Years of studies have brought to demonstrate that the primary signals of sex determination known in Drosophila are different in C. capitata and that the Sex lethal gene is not involved in the female sex determination in Ceratitis. The male determining factor (M factor) located on the Y chromosome in Medfly has a key role in sex determination, but it hasn't been isolated yet. The vast majority of the analysis carried out in this direction, over the last twenty years, hasn't brought to definitive results. Only the functional investigations carried out in three fly strains, bearing translocations of parts of the Y chromosome on an autosome, have shown that a region of the long arm of the Y chromosome in Ceratitis is essential for the determination of the male sex (Zapater & Robinson, 1986), and have subsequently defined a region, representing the 15% of the entire chromosome, in which is located the M factor in Medfly (Willhoeft & Franz, 1996). Both sex chromosomes of *Ceratitis* (X and Y) are highly heterochromatic and seem or are expected to contain only few genes. Novel DNA sequencing technologies such as "PacBio", which lead to obtain single long reads of 10-20 Kb, are opening novel opportunities to assemble and analyze such difficult genomic regions.

To better understand medfly sex chromosome and sex determination, I have studied few known Xlinked and I have isolated novel Y-linked genes by genomics/transcriptomics approaches. I have structurally characterized a cluster of X-linked paralogous genes, the *ceratotoxins* (*ctx*) as my contribution to the study of the medfly genome, which has been recently published (Papanicolaou et al., 2016). I have also developed a cost-effective CRISPR/Cas9 protocol, based on the expression/purification of His-tagged Cas9 protein, *in vitro* transcription of small guide RNAs (sgRNAs), and microinjections of pre-loaded Cas9 ribonucleoproteins with sgRNAs into insect embryos, including *Musca domestica* and *Ceratitis capitata*. One study has been submitted (Heinze et al., 2017) and the second one is going to be submitted within the next few weeks (Meccariello et al., 2017).

In my PhD project, I've used the transgenic *Ceratitis* sexing strain (see introduction; *in vivo* RNAi against *Cctransformer* to masculinize XX individuals) to produce a progeny of only XX female embryos in order to obtain female embryo transcriptomes and compare them with XX and XY mixed embryos. In particular, I've focused on an earlier period of *Ceratitis* development (0-6 hours from oviposition) with the respect of previously produced transcriptomes in our lab (4-8 h; 8-10h; 23-25h; Salvemini, Arunkumar, Robinson, Petrella, Saccone, unp. Res.); furthermore, I've prepared

total RNA from embryos instead of polyadenylated RNA, and I've tried to enrich the samples with transcripts produced in the zygote and developing embryo, at the expense of maternal transcripts. The reason why to enrich genes with zygotic transcription is that Y-linked genes can be expressed in embryos only at a zygotic level (obviously with no maternal contribution), whereas X-linked and autosomal genes can contribute maternally in the developing egg. For example, in *Drosophila* over 50% of the genes (about 7,000 of 15,000) contributes with maternal transcripts, whereas newly formed zygotic transcripts are produced of some hundreds within the first hours AEL (after egg laying). Therefore, it's not easy to discriminate zygotic transcripts from maternal ones, if not with molecular techniques that enrich the former.

It would be desirable to isolate the M factor, not only to understand the molecular identity of a primary Y-linked signal of male sex determination and deepen our understanding of its evolution, but also to develop a transgenic strain in which is possible to masculinize females expressing the M factor conditionally (eg. with a heat-shock promoter). The isolation of the M factor has been attempted in medfly using molecular subtraction analysis applied to RNA samples extracted from XX only, and XX/XY mixed embryos, respectively (Salvemini et al., 2014). From the comparison, a few number of putative Y-linked genes, which are transcribed early in XY embryos, have been identified, although their function is still in course of definition (Tu, J, Brantley, Salvemini and Saccone, unpub. Res.). Transcriptome profiling using RNAseq and differential expression analysis have been carried out and are still in course on XX and XX/XY embryos, to conduct other experiments of molecular subtraction, this time *in silico* (Salvemini et al., 2014).

My aims

The project of my PhD consists in the genetic study of sex determination in *Ceratitis* at embryonic stages, using innovative transcriptomic techniques (such as Illumina sequencing), genomic techniques (PacBio sequencing), as well as gene editing techniques (CRISPR/Cas9). I've developed my project within a web of international collaborations which includes for the most part the work the Zurich University (Prof. Daniel Bopp and Prof. C. Mosimann for CRISPR/Cas9 in medfly and *Musca domestica*; Prof. Mark Robinson for *PacBio genome sequencing and Illumina sequencing*), and also the FAO/IAEA Pest Control laboratory (Dr. Kostas Bourtzis; Seiberdorf, Austria) and the CDFD (Centre for DNA Fingerprinting and Diagnostics; Dr. Arunkumar) in Hyberabad (India). My thesis project is focused on two main aspects of genetic research in *Ceratitis capitata*, which also have ramifications in the applicative field:

- the problem of identification of embryonic genes with male-specific expression, among which it is expected to find the Y-linked M factor. For this purpose, we started to set up in the lab a relatively novel but complex technique: the metabolic labelling of newly transcribed RNAs in XX and XY embryos to distinguish them from maternal transcripts.
- 2) the problem of conducting functional genetic studies (including genes involved in the sex determination) in a non-model insect system such as *Ceratitis*. Transient embryonic RNAi worked efficiently in *Ceratitis* but only for few genes with embryonic expression and involved in autoregulatory mechanisms. Transgenesis is possible in the medfly but the production of transgenic strains is much more elaborated than in *Drosophila*, also because of the larger genome, which is enriched in heterochromatic regions and hence because there is the need to screen large number of transgenic lines to find expected expression. The insertions of transgenes in the medfly genome often present problems with transgene expression. I proposed to establish CRISPR/Cas9 as an innovative method of gene editing that allowed us to induce gene-specific and heritable mutations.

2 Technique: "metabolic labelling"

2.1 New molecular approach to isolate zygotic newly transcribed RNA

The development of whole-transcriptome by next-generation sequencing is revolutionizing our understanding of the complexity of developmental gene expression during early embryogenesis of insects (Jiménez-Guri et al., 2013). Such complexity could be partially reduced by distinguishing newly zygotic transcripts from maternally deposited mRNAs (Paris et al., 2015). This could help to identify key master genes for sex determination such as the Y-linked M factor of medfly.

It's been known for more than 30 years that nucleotides containing thiolic groups, such as **4-thiouridine (4sU),** can be introduced in salvage pathways in eukaryotic cells, allowing the metabolic labeling of newly transcribed RNA (Melvin et al. 1978). These can be used to separate newly transcribed RNA from total RNA using mercury affinity chromatography (Melvin et al. 1978; Woodford et al. 1988) or thiol-specific biotinylation followed by purification with streptavidin-coated magnetic beads (Cleary et al. 2005) as eukaryotic mRNA do not normally contain thiolic groups.

Cleary et al. 2005 used **thio-substituted compound 2,4-dithiouracil (DTU)** which is a substrate for *Toxoplasma gondii* salvage enzyme uracil phosphoribosyl transferase (UPRT) in assays using cell lysates: indeed in *Toxoplasma gondii* cells expressing uracil-phosphoribosyltransferase (UPRT), newly transcribed RNA can be metabolically labeled using **4-thiouracil (4tU)**. This 4TU/UPRT-based biosynthetic labeling was defined as "TU-tagging" by the authors.

Although some methods to purify tissue-specific RNA are already available (Roy et al., 2002; Tanke et al., 1993; Doyle et al. 2008; Heiman et al., 2008), each of them has its own restrictions and researchers are often confronted with these limitations when purifying RNA from cell types of interest. UPRT can be used to label biosynthetically newly transcribed RNA *in vivo* (Cleary et al., 2005). In natural conditions, UPRT couples the ribose 5-phosphate to the N1 nitrogen of uracil to form uridine monophosphate (UMP), which is subsequently incorporated in RNA. When given a uracil analog such as 4tU as substrate, UPRT incorporates it in the RNA, and this has little effects on cell physiology (Cleary et al., 2005). RNA resulting from the labeling with thiol nucleotides can be labeled and purified using commercially available reagents. For its ability to isolate newly synthetized RNAs from stable cell RNAs, this method has been used to measure the rate of synthesis and decay of RNA (Cleary et al., 2005; Dolken et al., 2008). Restricting the spatial expression of UPRT in select tissues, 4tU is modified and subsequently incorporated into newly synthetized RNA only in cells that express UPRT. This way, RNA from cells expressing UPRT can

be recovered purifying labelled RNA from a total RNA extraction of the whole organism. This method could be used to isolate RNA from cell types that are difficult to separate by dissection or dissociation, such as neurons o glial cells in the central nervous system (Miller et al., 2009). Mammals lack this enzyme activity and thus only their protozoan parasite incorporates chemically modified uracil into its nascent RNAs. Very interestingly, transgene expression of UPRT under the control of a tissue-specific promoter could allow purification of RNA from specific cells or tissues in transgenic animals, either vertebrates or invertebrates, fed with the DTU. An important characteristic of **TU labelling** is that the percentage of labelled RNA over total RNA depends on labelling time. Short labelling times can be used to detect changes in genetic expression over time in specific cell types. The control of spatial expression of UPRT in vertebrates can be obtained utilizing transgenes, through retroviral activity, electroporation, or mRNA injection. The tissue-specific expression of UPRT combined with 4tU administration can be utilized to purify tissue-specific RNA from complex intact tissues in *Drosophila melanogaster*.

To evaluate the capacity to label RNA biosynthetically in *Drosophila*, 4tU has been supplied and its incorporation into RNA has been monitored by total RNA extraction, binding of the thiol nucleotide to biotin, and streptavidin to detect labelled RNA. Wild-type or UAS-UPRT adults and larvae fed upon 4tU showed very low levels of labelled RNA. In contrast, larvae and adults fed upon 4tU and containing both transgenes Gal4 and UAS-UPRT expressed UPRT in select cell types and showed high levels of labelled RNA. Similarly, embryos immerged in a medium containing 4tU showed high levels of labelling only when the transgenes UAS-UPRT and Gal4 were both present (Miller et al., 2009). In conclusion, the combination of UPRT and 4tU can be utilized to label RNA biosynthetically in embryos, larvae and adults of *Drosophila*. Once the newly transcribed RNAs are separated from non-labeled pre-existing mRNA, it is possible to conduct comparative analysis with microarray or sequencing of newly transcribed RNA, pre-existing RNA or total RNA.

Metabolic labelling with **4tU** (**4-thiouracile**) has been utilized to observe the alterations of synthesis and decay of RNA following physiologic and pathologic events, such as cellular response to treatment with type I and type II interferons (Platanias, 2005). The mRNA levels of specific genes in a precise instant are the result of a balance regulated by *de novo* transcription and transcript decay (Guhaniyogi and Brewer 2001; Fan et al. 2002; Jing et al. 2005). Microarray analysis on total RNA can provide a measuring of the abundance of mRNA, but cannot determine whether the changes over time are caused by alterations in synthesis or decay. A series of attempts have been conducted to elude this problem. Decay rates have been determined by blocking transcription (eg. using actinomycin D), assuming that decay rates remain unchanged (Frevel et al., 2003; Yang et al.,

2003; Bernstein et al., 2004; Raghavan and Bohjanen, 2004). However, this method is invasive for cells and cannot be combined with essays for the measuring of *de novo* transcription. The low temporal resolution is another limitation of genic expression profiling using total RNA. This is particularly true for mammalian cells, due to the long half-life of their mRNA (Yang et al. 2003).

As a very relevant alternative, metabolic labeling with 4-thiouridine (4sU) doesn't need the expression of UPRT and hence it can be used for example directly into developing non transgenic embryos. The incorporation of 4sU into newly transcribed RNA has been studied cultivating different cytotypes in presence of concentrations of 4sU ranging from 100 mM to 5 mM for an hour. Following total RNA extraction and thiol-specific biotinylation, the RNA labeled with 4sU has been specifically detected and quantified through dot blot assay. The labeling of newly transcribed RNA with 4sU can be applied to a vast diversity of cell types and organisms, including human, mice and plants, as well as Drosophila. It can be both used for in vitro and in vivo studies, as it is well tolerated by mice following intravenous injection (Kenzelmann et al. 2007). Metabolic labeling of newly transcribed RNA with 4sU has little to no side effects on genetic expression, RNA decay, protein stability and viability of cells (Melvin et al. 1978; Woodford et al. 1988; Ussuf et al. 1995; Kenzelmann et al. 2007). The transcriptional profile of three biologic replicas of murine cells treated with 200 mM 4sU for an hour has been compared with that of untreated cells and no significant transcriptional level alteration attributable to treatment with 4sU has been detected (Dolken et al., 2008). The efficiency of isolation can be confirmed combining the 4sU labeling with the cytidine-³H labelling of newly transcribed RNA for 15, 30 and 60 minutes. Following thiolspecific biotinylation, up to 90% of RNA labelled with cytidine-³H has been co-purified with the newly transcribed RNA. (Dolken et al., 2008).

Another application of newly transcribed RNA labelling with **4sU** (4-thiouridine) was aimed at the isolation of the zygotic genes of *Danio rerio* (*zebrafish*) and the subsequent characterization of the zygotic transcripts (Heyn et al., 2014). The maternal to zygotic transition of the control over development is fundamental for the cell cycle of every multicellular organism. Zygotic genomes are transcriptionally inactive up until zygotic genomic activation (ZGA), which starts after a fixed number of cell divisions (Baroux et al., 2008; Tadros and Lipshitz, 2009). The early expressed genes most probably support the rapid cell divisions that precede morphogenesis, and take place into the mechanisms of sex determination. In *zebrafish*, transcription of early genes begins at the 64 cell stage (Giraldez et al., 2006; Lindeman et al., 2011; Mathavan et al., 2005; O'Boyle et al., 2007). Through 4-thiouridine metabolic labelling of newly transcribed RNA in zebrafish embryos, it has

been possible to isolate the early zygotic transcripts. The results showed little to no contamination from maternal RNA and an efficient recovery of newly transcribed RNA, verified on previously known zygotic genes (Heyn et al., 2014). Embryos injected with 4-thiouridine triphosphate (4-sUTP) at the single cell stage have been cultivated until 128, 256 and 512 cell stages prior to the extraction, biotinylation and purification of labelled RNA, which has been subsequently sequenced. These experiments showed that the pool of genes expressed solely in the zygote does not contain genes essential to life (Heyn et al., 2014). Moreover, no orthologues of *Drosophila* have been detected among zygotic genes in zebrafish, indicating that early arthropod and vertebrate zygotic genes are completely different and can serve to species-specific functions, in contrast with maternal genes, which are highly conserved and serve to essential functions (Heyn et al., 2014).

For my PhD project, I adapted the technique from Dolken et al. (2008), which was based on the injection of 4-thiouridine (4sU) in murine cells and also from Heyn et al., (2014). This allowed me to avoid the need of the UPRT enzyme, for the labelling of newly transcribed RNA.

2.2 Results

Ceratitis male sex determination of medfly occurs at 5-6 hours from oviposition in XY developing embryos, by a Y-linked M factor still to be identified (Gabrieli et al., 2010). While in *Drosophila* cellularization occurs at 2-3 hours, in *Ceratitis* it occurs after 9 hours. So, male sex determination occurs during mitotic divisions of nuclei and prior their migration to the periphery of the egg.

The Y chromosome of *Ceratitis* is highly heterochromatic and full of repetitive elements. Little is known about Y-linked genes and their early transcription in *Ceratitis* as, in general, in many other invertebrate and vertebrate species. However novel DNA sequencing technologies such as PacBio are offering novel opportunities to obtain useful sequence information from heterochromatic chromosomes full of repetitive sequences.

One of the aims of my PhD was the isolation of Y-linked genes of *Ceratitis* expressed during the first hours of embryogenesis. These Y-derived transcripts are expected to have 2 characteristics: 1) expressed only in the zygotes, as no maternal contribution is possible from Y-linked genes, and 2) obviously expressed as RNA in XY but not in XX embryos. In order to isolate them, I focused on the study of a novel molecular approach, called metabolic labelling, aimed to isolate and identify newly transcribed zygotic transcripts (after the fecundation and during the first stages of development) at the embryonic stages of *Ceratitis* and discriminate those present only in XY embryos. I expected to find within the male-specific zygotic transcripts, one or few potentially candidates corresponding to the M masculinizing factor. I focused on the 5-6 hours embryonic developmental window.

I have conducted bibliographical research on studies concerning metabolic labeling of newly synthetized RNA through the incorporation of a thiol nucleotide. Particularly, I have found two very interesting studies, in which the authors have used 4-thiouridine (4sU) in murine cells (Dolken et al., 2008) and 'zebrafish' embryos (Heyn et al., 2014). Following injections of 4sU, the newly transcribed RNAs have incorporated this modified nucleotide and they can be isolated from the maternal RNAs, by affinity chromatography. We then adapted this technique, applying it for the first time in embryos of a non-Drosophilidae species, such as *Ceratitis*.

As preliminary step, I injected 150 embryos (Benakeion strain; XX/XY mixed embryos from a normal cross between XY and XX flies) with 10 mM 4sU and 150 embryos with injection buffer as control (see table 1). I collected for 1 h the embryos and I let them develop for 5 hours, to cover a developmental window of 5-6 hours.

These data from the 2 experiments showed similar survival rate of larvae from injected embryos in both parallel experiments (48% and 51%, respectively). Also the 2 survival rates of adults were

similar (23% and 28%, respectively). I then concluded that 4sU is not particularly toxic for *Ceratitis capitata*.

I carried out another test to evaluate if the micromanipulations and the injection of the chemical 4sU into the embryos could alter transcriptional metabolism, for example slowing down the developmental rate, and related processes such as including the sex-specific splicing of *Cctra*. For example, it has been previously observed that metabolic labeling of RNA by 100 mM 4sU triggers a nucleolar stress response in cell lines (Burger et al., 2013).

In particular, the embryos manipulation and injection consisted of 1) manual dechorionation, 2) sticking with double-sided tape on slides, 3) addition of mineral oil to avoid dehydration, 4) microinjection with 4sU after some hours (at least 6) from oviposition, 5) washes with heptane to detach from the double-sided tape, thus from the slide, 6) washes with PBS 1X, 7) total RNA extraction.

For this reason, we verified if there was an eventual delay in the male-specific splicing of *Cctra* in pools of XX female only embryos and XX/XY mixed embryos as well as we verified if a known Y-linked transcribed pseudogene is also expressed in treated embryos. The special XX female only unisexual progeny was obtained by crossing XX males (which are fertile in medfly) with XX females, taking advantage of the *Ceratitis* transgenic line provoking masculinization of XX individuals by a maternal RNAi against *Cctra*. The fertile XX males have been obtained by a transgenic line in which maternally driven *in vivo* RNAi against the *Cctra* gene leads to depletion of maternal *Cctra* mRNAs in eggs from transgenic mothers and hence *Cctra* default male-specific splicing in XX embryos which develop as fertile males (see introduction).

The XX female embryos and XX/XY mixed embryos were treated in the following way leading to 10 different samples (5 for XX and 5 for XX/XY):

- UE = Untreated Embryos: Pool of 50 embryos harvested after an hour and left in H₂O for 5 hours (H);
- EG = Embryos + Glue; Pool of 50 embryos harvested after an hour and left on a slide with glue (G), in mineral oil, from which have been then eluted with heptane after 5 hours, and washed with PBS 1X (ND);
- EGD = Embryos + Glue + dechorionation; Pool of 50 embryos harvested after an hour, dechorionated (D), and left on a slide with glue, in mineral oil, eluted from the slide with heptane after 5 hours, and washed with PBS 1X (D);

- EGDM = Embryos + Glue + dechorionation + microinjection of buffer; Pool of 50 embryos harvested after an hour, dechorionated (D), and left on a slide with glue, in mineral oil, microinjected (M) with injection buffer, eluted from the slide with heptane after 5 hours, and washed with PBS 1X;
- 5) EGDM-4sU = Embryos + GLUE + dechorionation + microinjection of 4SU; Pool of 50 embryos harvested after an hour, dechorionated (D), and left on a slide with glue, in mineral oil, microinjected (M) with 4sU, eluted from the slide with heptane after 5 hours, and washed with PBS 1X.

Total RNA has been extracted from each of the 10 samples, with Trizol[®] and an RT-PCR has been conducted. I have found that sex-specific splicing pattern of the Cc*tra* gene, was not affected by the treatments and male-specific splicing of *Cctra* as expected, started to appear in XX/XY embryos, but not in XX only embryos.

After carrying out these preliminary tests, I injected a 10 mM 4sU solution in embryos derived from the following 2 crosses, to produce respectively XX female only embryos and XX/XY mixed embryos.

Cross 1Cross 2 $\eth XX + \heartsuit XX$ $\eth XY + \heartsuit XX$

2,783 XX (future XX females), and 2,617 XX/XY embryos have been injected over the course of 4-5 days. In total, the embryos were grouped in 9 samples, 5 samples for XX and 4 samples for XX/XY (table 2). The embryos were injected one hour after deposition (in a room at 18 °C) and have been left to develop for 5 hours, (in total 6 hours from deposition), have been detached from the slide and homogenized in Trizol[®] to conduct the following total RNA extraction. The 9 RNA samples (each sample: 70-130 micrograms of total RNA) were analyzed by gel electrophoresis (Fig.8) and by measuring quantity with Nanodrop[®] (table 3).

The 9 samples have been processed in parallel as following: the RNA has been subjected to thiolspecific biotinylation, with following purification using streptavidin coated magnetic beads (Cleary et al. 2005). Since eukaryotic RNA do not contain thiol groups, only newly synthetized zygotic RNA that incorporated 4sU are subject to biotinylation.

Our main concern was that the various invasive procedures (dechorionation, injections, removal of embryos with chemicals) could delay the development of the treated embryos. Male-specific splicing of *Cctra* was detected in all tested XX/XY samples, but absent in XX samples (Fig. 10);

similarly, I detected transcripts of the Y-linked *CcLap* pseudogene only in XX/XY but not in XX samples (Fig. 11), indicating that Y-linked transcriptional units are active in the treated embryos.

The 9 total RNA samples were incubated with a thio-reactive biotinylation reagent and streptavidinmagnetic beads were used to isolate 4sU-labeled RNAs by affinity chromatography. Each RNA labelled sample consisted of 0.300-0.200 micrograms extracted from a starting 70-130 micrograms total RNA.

From the eight samples I finally obtained by pooling, 4 samples, named as zygo: XX-only zygo embryos samples in 2 replicas (A and B); XY/XX mixed zygo embryos in 2 replicas (C and D). The XX sample n. 5 has been used only as a control experiment to evaluate the presence of nuclease activity during the procedure (for a schematic representation, see Fig.12).

The 4 zygo RNA samples has been sequenced using Illumina-HiSeq® technology at the C-CAMP institute (in collaboration with Dr. Arun Kumar K. P., head of Laboratory of Molecular Genetics Centre for DNA Fingerprinting & Diagnostics, Nampally, Hyderabad, India). 66 million paired-end 100 nucleotides-long reads of XY/XX sample and 60 million reads of XX-only sample were produced. The data obtained from the sequencing have been processed in silico (in collaboration with Dr. Marco Salvemini) to produce 3 in silico assembled zygo transcriptomes: XX-only and XX/XY corresponding zygo transcriptomes and a third zygo assembly using all reads from XX and XX/XY. The assembly of reads for each sample was done, using Trinity® software (Haas et al., 2013; Petrella et al., 2015), and resulted in 243,943 transcripts and 239,914 transcripts from XY/XX and XX-only samples, respectively. The unique zygo transcriptome resulted into 326,178 transcripts. The large number of transcripts suggested that the purification of only zygotically expressed genes was ineffective, as we expected a much lower transcript number and much less complexity. Indeed, we expected to assemble few hundreds of genes based on the observation of Heyn et al., in zebrafish (2014). However, we asked if an enrichment of zygotically expressed genes was present in the zygo samples. A comparative differential expression analysis was performed by Salvemini using my zygo samples as well as other mRNAseq unpublished data from XX and XX/XY embryos at different time points (4-8 hours; 8-10 hours; 22-24 hours). The 5-6 hours zygo samples were clearly enriched for hundreds of transcripts, which are currently under additional bioinformatic analysis. Within the first 10 most expressed zygo transcripts we have found for example the Ceratitis orthologue of the Drosophila zyvgotic gene slam which is expressed in all somatic cells of the blastoderm embryo, but is excluded from germline cells (Acharya et al., 2014).

In *Drosophila*, *slam* transcript is first detected at embryonic cycle 13 and remains detectable through the slow phase of cellularization and fades away during the fast phase of cellularization.

A new RNA sequencing using Illumina-HiSeq[®] on unfertilized eggs to conduct an *in silico* subtraction from the zygotic transcriptome is currently also in progress to further enrich the zygo samples with transcripts exclusively produced during first hours of Ceratits embryogenesis. Furthermore, we expect that the Y-linked M factor transcript should be absent also in the unfertilized eggs.

A differential expression analysis of my zygo samples comparing XY/XX versus XX by was performed in collaboration with Mark Robinson (UNIZH) and 200 putative male-specific transcripts were identified using EdgeR analysis (Robinson et al., 2010).

I took advantage of transcriptomics and genomics medfly database containing other published and unpublished data which is accessible in our lab (Salvemini, unpub. res) and I performed an extensive BLASTn analysis to filter and select the best male-specific candidates from the 200 ones. A first idea to filter them, was to select those transcripts present in the "zygo" and other XX/XY embryonic transcriptomes but completely absent in XX ones; a second idea was to use novel PacBio genome sequencing data on XY individuals, performed in collaboration with Prof. Mark Robinson on a special medfly strain, FAM18, which bears a shorter Y chromosome, which is still able to determine maleness (Saccone, Robinson, Salvemini, pub. Res). I used the putative malespecific transcripts by BLASTn to search for the presence of putative Y-linked genes in PacBio genomic reads and in a first Canu Assembly (Robinson, M. and Schmeing, S.; unpub. Res.), both available in our lab restricted database. I have also searched by FlyBLASTx protein homology in *Drosophila* database.

However, I performed a "one by one" BLAST analysis of the first 100 transcripts to find those present also in other embryonic transcriptomes at later stages of development (8-10 hours; 0-48 hours) and possibly also in adult flies transcriptomes. I have confirmed by BLAST that only 6 of them are exclusively present in XX/XY transcriptomes and hence absent in XX-only transcriptomes. In particular, 2 transcripts (*CczygoY1 CcYzygo2*) showed by BLASTx in Flybase some scattered and weak similarity to *H2B* histone and to *zero population growth* proteins, respectively. Interestingly, these transcripts seem to correspond to truncated Y-linked paralogous sequences derived by partial duplication and mobilization from *Ceratitis* orthologues, localized on autosomes. However, it is presently unclear whether they can play any function, and functional studies are required and are underway to investigate the problem.

I have also used *CczygoY1 CczygoY2* sequences in BLASTn to search the published medfly genome (Baylor college Genome project) and I have found no corresponding scores. This is expected for genomic sequences derived from highly repetitive regions such as the Y chromosome, which are very difficult to be assembled *in silico* from short Illumina reads. The novel PacBio DNA

sequencing technology helps to overcome this problem, because it can lead to long single DNA molecules reads up to 20 Kb and then use such long reads for a more accurate assembly especially for highly repetitive regions. A special strain of medfly, called Fam18 (bearing a shorter Y chromosome), has been used to extract genomic DNA and perform both PacBio (only from males) and Illumina sequencing (from males and females) (Mark Robinson, Saccone, G., and Salvemini, M., UNIZH, unpub. Data). I prepared genomic DNA from the FAM18 strain (2 samples: from males and from females), while I was in the Bopp lab in 2015, and the samples were used for Illumina sequencing and PacBio. The PacBio sequencing technology has a limitation of 10-15% DNA sequencing error rate; however, the introduced errors are usually insertions or deletions o single nucleotides which can be corrected in various ways. Our collaborator Mark Robinson (UNIZH) has used Illumina reads from males and from females to perform a correction of the PacBio reads. Hence, I have used a local database to search by BLASTn the database including the "corrected" PacBio reads, using *CczygoY1* and *CcYzygo2* sequences and I have found various corresponding sequences, confirming their presence in the FAM18 strain. Hence the 2 genes are present on the deleted Y chromosome, which contains also a functional M factor.

I am presently developing a Cas9 and an embryonic RNAi approaches to target the 2 Y-linked genes and evaluate by RT-PCR on injected XX/XY embryos a change in the *Cctra* sex-specific splicing pattern. We expect to observed in case of a reduction of the male determining M activity caused by RNAi in XY, a concomitant reduction of the male-specific spliced products in favour to the female-specific ones.

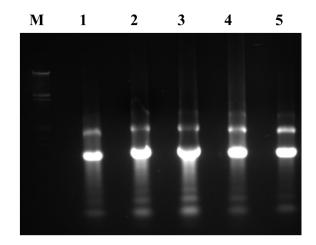
4sU	Buffer	Embryos	Larvae/embryos	Pupae/larvae	Adults/pupae	Adults/embryos
10 mM						
0	+	150	51%	59%	95%	28%
			(76/150)	(45/76)	43/46	(43/150)
+	0	150	48%	50%	97%	23%
			(72/150)	(36/72)	(35/36)	(35/150)

Table 1: Survival rates of the embryos injected with 4sU 10 mM and embryos injected with buffer only.

Samples	Microinjected embryos XX		
1	487		
2	442		
3	574		
4	565		
5	715		
Total	2,783		

Samples	Microinjected embryos XX/XY		
6	602		
7	712		
8	624		
9	679		
Total	2,617		

Table 2: Embryos injected with 4sU.



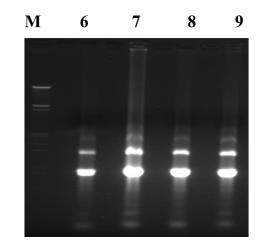


Fig. 9 total RNA extraction from the 9 samples. Samples 1-5 are XX only embryos; samples 6-9 are XY/XX embryos; M is the Lambda DNA/EcoRI plus HindIII Marker (*Thermo Fisher Scientific*®).

Samples	ng/µL	260/280	260/230	Total RNA in V _F
1	3863	2.26	1.70	96 μg in 25 μL
2	4729.7	2.23	1.90	118 μg in 25 μL
3	6323.1	2.24	1.90	128 μg in 25 μL
4	3557.2	2.22	1.62	89 μg in 25 μL
5	3523.8	2.29	1.90	88 µg in 25 µL
6	2281.6	2.20	1.76	57 μg in 25 μL
7	4385.8	1.90	1.19	109 µg in 25 µL
8	3457.7	2.25	2.18	86 µg in 25 µL
9	4261.9	2.21	2.02	106 μg in 25 μL

 Table 3: Spectrophotometrical analysis of the 9 RNA samples conducted with Nanodrop® 2000 (Thermo Scientific).

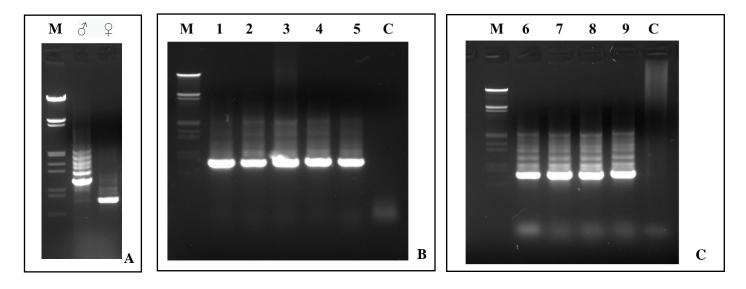


Fig. 10: Gel electrophoresis analysis of the RT-PCR of the *Transformer* gene. A: adult flies; B: XX only embryos; C: XY/XX embryos.

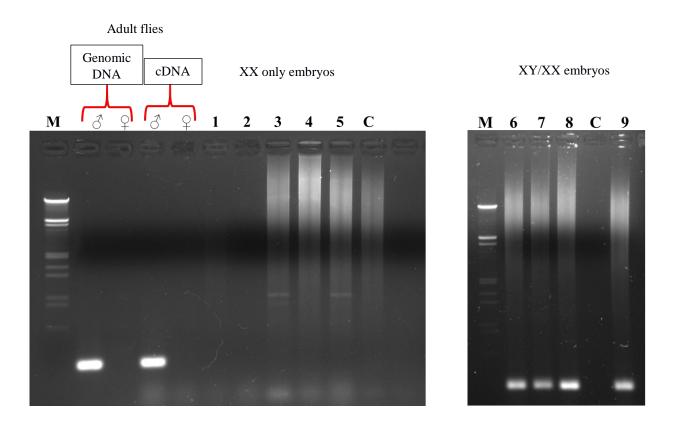


Fig. 11: RT-PCR of *CcLap* Y-linked pseudogene.

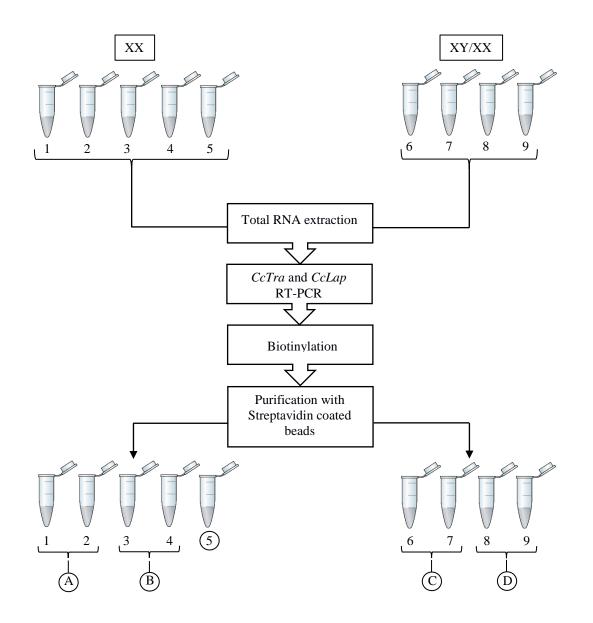


Fig. 12: Schematic of the treatments carried out on the 9 embryos samples. XX only samples 1-2 and 3-4 were grouped into the biological replicates A and B, respectively. XY/XX samples 6-7 and 8-9 were grouped into the biological replicates C and D, respectively. Sample 5 was used as control.

2.3 Method

Rearing of the C. capitata strain

The *C. capitata Benakeion* strain are reared in standard laboratory conditions at 25°C and 12:12 h light-dark regimen. Adult flies are fed yeast/sucrose powder (1:2). Eggs are collected in water dishes and transferred to larval food (30 g soft tissue paper, 30 g sugar, 30 g yeast extract, 10 ml cholesterol stock, 2 ml HCl stock, 8.5 ml benzoic stock, water 400 ml). Pupae are collected and stored in Petri dishes until eclosion.

Microinjection of 4sU into Ceratitis capitata embryos

Embryos were collected 1 hour after egg laying (AEL), hand dechorionated and injected with 10 mM TriLink® 4-Thio-UTP. In parallel, I also injected a pool of embryos with injection buffer 1X (5 mM KCl, 0,1 mM PO₄) as control. The microinjected embryos were left to develop at room temperature for 5 hours before being detached from the slide with 750 μ L Heptane PESTANAL® (Fluka). After the detachment, the embryos were washed five times with PBS 1X before being homogenized in Trizol® (Invitrogen).

RNA and genomic DNA extraction

Total RNA from embryos and single adult of the *C. capitata* was extracted using Trizol reagent (Invitrogen) following the modified protocol described by Chomczynski and Mackey (1995). Genomic DNA extraction from adult of *C. capitata* (male and female) was performed with "Holmes-Bonner" buffer according to Maniatis et al. (1982).

Reverse Transcription PCR

RT-PCR was performed using the EuroScript M-MLV Reverse Transcriptase (Euroclone, Pero, IT). Starting from a maximum concentration of 4 ug of RNA from each sample, add 1 μ l of oligo (dT), incubate the sample at 65°C for 5 min. then the sample is mixed with 5X Reaction Buffer, dNTP mix, RNase inhibitor, MMLV reverse transcriptase in the total volume of 20 μ l. The mixture was incubated in a thermal cycle at 42 °C for 1h and 70 °C for 10 min.

A PCR was conducted the primers Sod+/Sod- as positive control. The following PCR cycles were performed: 5 min at 94 °C, 35 cycles with 30 secs at 94 °C, 30 secs at 60 °C, 45 secs at 72 °C, 10 min at 72°C. RT-PCR products were analysed by 1% agarose gel electrophoresis. *Cc*SOD-TGCTCCGAGAACGTTCACG; *Cc*SOD-TCATCGGTCAATTCGTGCAC.

The RT-PCR expression analysis on *Cclap-ps* transcripts was performed with the Y2 primer pairs (Y2+: 5'-AAGGACTTGTGATTGGATTG-3'; Y2-: 5'-ATGCCGTCGTCCAACATC-3'). The RT-

PCR analysis of the *Cctra* clone was performed with the following primers: *Cctra* 180+ (located in *Cctra* exon 1), 5'- ATAGAGCGCAGTGTCAATCC- and 3'; *Cctra* 920- (located in *Cctra* exon 2) 5' -TCCTGTTCTTCCGATCTGTG- 3'.

Biotinylation and purification of 4sU-labeled RNA

I adapted the biotinylation and purification of 4sU-labeled RNA techniques from Dölken et al., 2008. For the biotinylation of 4sU-labeled RNA EZ-Link Biotin-HPDP (Pierce®) was dissolved in dimethylformamide (DMF) at a concentration of 1 mg/mL and stored at 4°C. Biotinylation was carried out in 10 mM Tris (pH 7.4), 1 mM EDTA, and 0.2 mg/mL Biotin-HPDP at a final RNA concentration of 100 ng/µL for 1.5 h at room temperature. A quantity of total RNA ranging from 70 µg to 130 µg was used for the biotinylation reaction. Unbound Biotin-HPDP was removed by chloroform/isoamylalcohol (24:1) extraction using Phase-lock-gel (Heavy®) tubes (Eppendorf®). A 1/10 volume of 5 M NaCl and an equal volume of isopropanol were added and RNA was precipitated at 20,000 g for 20 min. The pellet was washed with an equal volume of 75% ethanol and precipitated again at 20,000 g for 10 min. The pellet was resuspended in 20 µL RNase-free water. After denaturation of RNA samples at 65° C for 10 min followed by rapid cooling on ice for 5 min, biotinylated RNA was captured using µMACS streptavidin beads and columns (Miltenyi®).

Up to 20 μ g of biotinylated RNA were incubated with 20 μ L of μ MACS streptavidin beads with rotation for 15 min at room temperature. The beads were transferred and magnetically fixed to the columns. Columns were washed three times with 1 mL 65° C washing buffer (100 mM Tris-HCl, pH 7.4, 10 mM EDTA, 1 M NaCl, 0.1% Tween20) followed by five washes with room temperature washing buffer. Labelled RNA was eluted by the addition of 100 μ L of freshly prepared 100 mM dithiothreitol (DTT) followed by a second elution round 5 min later. RNA was recovered from the washing fractions and eluates using the RNeasy MinElute Spin columns (Qiagen®) following its protocol.

2.4 References

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3 Technique CRISPR-CAS9

3.1 Highly efficient DNA-free gene disruption in the agricultural pest *Ceratitis capitata* by CRISPR-Cas9 RNPs. (paper in submission)

3.2 CRISPR-Cas9 targeted disruption of the yellow ortholog in the housefly identifies the brown body locus. (paper in submission)

Highly efficient DNA-free gene disruption in the agricultural pest *Ceratitis capitata* by
 CRISPR-Cas9 RNPs

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- 30 running head: efficient Cas9 gene disruption in the medfly
- 31 Key words: Cas9, white eye, paired, Ceratitis capitata, Tephritidae, agricultural pest,
- 32 genetics, gene editing,

34 ABSTRACT

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36 The Mediterranean fruitfly *Ceratitis capitata (medfly)* is an invasive agricultural pest of 37 high economical impact and has become an emerging model for developing new genetic 38 control strategies as alternative to insecticides. Here, we report the successful adaptation of 39 CRISPR-Cas9-based gene disruption in the *medfly* by injecting *in vitro* pre-assembled Cas9 40 ribonucleoparticles (RNP) loaded with gene-specific sgRNAs into early embryos. When 41 targeting the eye pigmentation white eye (we), a high rate of somatic mosaicismwas 42 observed in surviving G0 adults. Germline transmission of mutated we alleles was found 43 in the progeny of more than 70% of G0 flies. Large deletions were recovered in the we 44 gene when two sites were simultaneously targeted by duplex sgRNAs. CRISPR-Cas9 45 targeting of the Ceratitis ortholog of the Drosophila segmentation paired gene (Ccprd) gene 46 caused segmental malformations in late embryos and irregular movements in first instar 47 larvae. The observed mutant phenotypes are consistent with presence of non-homologous 48 end joining (NHEJ) lesions in the targeted genes. This simple, fast and highly effective 49 method for gene-specific mutagenesis together with the availability of genome sequences 50 and transcriptomes, will significantly facilitate future studies of gene functions and gene 51 regulation in *Ceratitis* as well as advance the design and development of new effective 52 strategies for pest control management. 53 54

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61 The Mediterranean fruitfly *Ceratitis capitata* (medfly) is an economically relevant 62 agricultural pest infesting more than 260 crop species, including fruits, vegetables, and 63 nuts¹, Wild populations can be contained by the Sterile Insect Technique (SIT), an 64 eradication strategy based on the repeated release of large numbers of laboratory-grown 65 sterile males into infested areas^{2,3}. *Ceratitis capitata* was the first non-Drosophilidae insect 66 species in which transposon-mediated germline transformation was established^{4,5}. Also 67 embryonic RNA interference was successfully applied to study in vivo functions of 68 *Ceratitis* genes controlling female sex determination during^{6,7}. Various *Ceratitis* transgenic 69 strains have been developed, aiming to improve SIT and other pest control strategies⁸⁻¹⁶. 70 Nonetheless, a more comprehensive study of gene functions in *Ceratitis* will be needed to 71 further improve existing control strategies. To generate long-lasting and hereditable 72 changes in gene function, the CRISPR-Cas9 system with its modular and simple 73 components provides a promising tool for insects^{17,18}. Furthermore, transgene-based 74 CRISPR-Cas9 can be used to produce homozygous loss-of-function mutations as well as a 75 novel gene drive system for insect population control^{19,20}.

76 Various teams reported the successful use of the Cas9 system to mediate genome 77 modifications in Drosophila melanogaster based on injecting different combinations of its 78 components into embryos such as DNA plasmids expressing Cas9 protein plus single-guide RNA (sgRNA)¹⁹, in vitro-transcribed Cas9 mRNA plus sgRNA^{21,22}, and sgRNA into 79 80 transgenic flies which express Cas9 in the germ line^{23,24}. Lee et al., 2014²⁵ injected purified 81 Cas9 protein preloaded with 2 RNAs, trRNA (transactivating RNA) and gene-specific 82 crRNA (CRISPR RNA), into Drosophila embryos and observed a high rate of Cas9induced genetic lesions. As Basu et al., 2015²⁶ reported that the use of a single sgRNA 83 84 molecule, including both trRNA and crRNA, is more effective than the trRNA/ crRNA 85 dual system, we opted for this technical improvement²⁷.

86	Altogether, we decided on the strategy of injecting Cas9 ribonucleoprotein (RNP)
87	complexes into insect embryos for the following reasons: 1) preloaded Cas9 complexes
88	should act immediately without delay following injection; 2) it has higher efficiencies
89	compared to other approaches; 3) potentially less off-target events ^{28,29} ; and, 4) Cas9 protein
90	is more stable than Cas9 mRNA.
91	Here, we show that injecting in vitro generated Cas9-sgRNA RNPs into Ceratitis
92	capitata embryos is very effective at inducing mono- and bi-allelic lesions of the targeted
93	genes in both somatic and germline cells.
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95	RESULTS
96	
97	Cas9-induced somatic disruption of the we gene
98	To test the feasibility of Cas9-mediated gene disruption in Ceratitis, we targeted the white
99	eye (we) gene, a locus required for eye pigmentation ^{$4,5,30$} . The Ceratitis we gene is the
100	ortholog of the X-linked white (w) gene in $Drosophila^5$ and is located on the <i>Ceratitis</i> fifth
101	chromosome ^{31,32} . The we gene is an ideal target to test Cas9-mediated disruption for the
102	following reasons: 1) lack of eye pigmentation is an easily scored phenotype; 2) a we-
103	mutant Ceratitis strain is available to test new loss-of-function alleles for complementation,
104	and 3) we function is cell-autonomous and hence somatic mutant cells can be readily
105	detected in the adult eye.
106	CHOPCHOP was used to identify potential Cas9 target sequences in we^{33} and to design
107	three sgRNAs, we-g1, we-g2, and we-g3 (Fig. 1A). Injections with unloaded recombinant
108	Cas9 protein caused an almost twofold lower survival rate (15-19%) compared to buffer
109	alone injections (30%), suggesting a measurable level of toxicity of Cas9 protein in
110	Ceratitis embryos (Tab. 1).
111	We next loaded recombinant Cas9 protein with individual sgRNAs in vitro and injected the
112	RNP complexes into early syncytial embryos of the wildtype Benakeion strain. We aimed

113 at targeting syncytial nuclei to maximize the efficiency of inducing NHEJ lesions. A

114 biallelic hit at this early stage is expected to produce large clones of mutant tissue in injected individuals^{19,28}. In this first round we injected the Cas9/we-g1 in a buffer 115 116 containing 300 KCl mM to improve solubilization of Cas9^{28,34}. Of 240 injected embryos, 117 64 larvae hatched and 6 survived to adulthood (Tab. 2). Three displayed a mosaic pattern 118 of *white* unpigmented ommatidia surrounded by wild-type pigmented ommatidia (Fig. 1B, 119 **C** and **D**). One of the two eyes of one individual was completely white, suggesting that a 120 biallelic gene disruption event occurred at a very early stage in the primordial lineage (Fig. 121 **1C**). Two additional rounds of embryos injections were performed with we-g2 and we-122 $g_2/we-g_3$ RNPs, respectively (**Tab. 2**). In these experiments the KCl concentration in the 123 buffer was reduced to 150 mM²⁵ and a lower percentage of adults displayed eve 124 pigmentation mosaicism (4% for we-g2 and 23% for we-g1/we-g2; Table 2).

125 The presence of mutations was confirmed by sequencing of PCR products spanning the 126 cleavage sites. Genomic *we* PCR products were obtained from pools of injected larvae or 127 single adult flies (see Supp data). Indels - mostly deletions- of variable length, were 128 detected, consistent with previous studies¹⁸.

129 Sequencing of amplified genomic DNA showed heterogeneity in nucleotide calls around 130 the cleavage site close to the protospacer-adjacent motif (PAM) site, consistent with a range 131 of different NJEH-induced alterations. Sequencing of cloned PCR products showed NHEJ 132 deletions, ranging from 2-21 bp, relative to the PAM site of we-g1 and we-g2. However, 133 duplex Cas9 targeting did not produce any deletions between the two targeted sites (Fig. 134 1E, we-g2: 4-6). On the other hand, when injecting we-g2 and we-g3 RNPs in early 135 embryos, we were able to recover deletions spanning the 2 targeted sites ranging from 355 136 bp to 673 bp (Fig. 1F).

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144 To test for germline transmission of Cas9-induced we alleles, 9 injected G0 red eyed flies 145 (3 we-g1/RNP flies and 6 we-g2 RNP flies; **Tab. 3**) were individually crossed with we 146 mutant partners (carrying a frameshift mutation in the $6^{th} exon^{30}$). Seven injected flies sired 147 G1 progeny, six of which gave rise to mutant white eve flies (Fig. 2A), with a highly 148 variable transmission rate (1.5% - 100% (Tab. 3). Non-complementation of the CRISPR-149 Cas9-induced mutations confirms that they are allelic to the original we mutation (Fig. 2A). 150 Of the three *we*-g1 injected individuals, two males sired small batches of progeny in which 151 100% (we-g1#2; 6 flies) and 45% (we-g1#3; 10 out of 22), respectively, displayed the 152 mutant phenotype (**Tab. 3**). Of the six we-g2 injected individuals, 4 males produced various 153 proportions of G1 white-eyed mutant progeny. Remarkably, the we-g1#2 and we-g2#4 lines 154 gave rise to 100% and 99% G1 white-eyed offspring, respectively (Tab. 3). Thus, our 155 results demonstrate that Cas9 activity is highly effective in the germ line, producing mostly 156 mutant primordial germ cells.

157 We have randomly chose 4 mutant we-g1 targeted (two from we-g1#2 cross and two from 158 we-g1#3 cross) and 2 mutant we-g2 targeted G1 flies (one from we-g2#3 line and one from 159 we-g2#4 line)(Tab. 3). The 4 mutant G1 flies bore both one common allele from the we 160 strain and 3 novel Cas9-induced we alleles inherited from the injected fathers. An identical 161 we deletion of 14 bp (we-g1/l allele) was found in 2 white eye flies from the line we-g1#2, 162 suggesting that both flies inherited the same mutation from their common male founder 163 (Fig. 2B). Mutant G1 flies of the *we-g1#3* line bore two different alleles (4 bp and 10 bp 164 deletions; we-g1/2 and we-g1/3, respectively). All three CRISPR-induced alleles are small 165 deletions, causing frame-shifts in the exon 2 we coding region, and did not complement the 166 original we mutation.

167 Two *we-g2* targeted G1 mutant flies bore two novel alleles, one with a 4 bp deletion and168 one with an unusually long 84 bp deletion (Fig. 2B).

To study genes for which no mutant alleles are available, it would be useful to screen for mutant phenotypes by *inter se* crossings of G0 individuals in which the germ line has been targeted by CRISPR-Cas9. To test this possibility, we injected *we-g3* or *we-g2+g3* RNPs All of the surviving G0 flies, 20 and 38 respectively, did not show somatic mosaicism in the eyes (**Tab. 4**). When crossed *inter se* (**Tab. 5**), we recovered two mutant individuals out of 26 G1 flies (8%) from the *we-g3* cross and three out of 184 flies (2%) from the *we*g2+g3 cross, demonstrating the feasibility of the crossing strategy.

Sequencing of the targeted regions in 2 flies of the *we-g3* cross identified, as expected, 4
novel *we* alleles. 2 out of 3 tested flies of the *we-g2+we-g3* cross bore all an identical 9
deletion *we* allele at *we-g3*, most likely derived from the same G0 injected parent (Fig. 2C).
One of these 3 flies carried a large deletion of 650 bp provoked by duplex targeting with *we-g2+we-g3*.

181 This experiment showed that a cross of 20 and 38 adult G0 flies from injected 182 CRISPR/Cas9 embryos can lead to 2-8% of mutant G1 flies bearing heteroallelic loss of 183 function combinations within two medfly generations (less than 2 months).

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185 Cas9-induced somatic disruption of the *Ceratitis paired* gene

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187 The Drosophila paired gene (prd) is zygotically expressed and required for proper 188 segmentation of the developing embryo³⁵. Drosophila embryos homozygous for loss-of-189 function alleles lack every other segment and die before hatching (pair-rule phenotype). As 190 early segmentation events are well conserved amongst higher dipterans³⁶, it can be assumed 191 that an ortholog of *prd* is also present in *Ceratitis*. If we can induce mutant somatic clones 192 of this ortholog by Cas9-sgRNA injections, we should observe segmental defects in 193 Ceratitis embryos. BLAST searches identified a candidate prd gene in Ceratitis with 66% 194 amino acid identity over the N-terminal half of the protein containing the PRD domain and 195 the PRD homeobox and 37% identity over the C-terminal half (*Ccprd* XP_004524654.1; 196 Bopp et al., 1986). CHOPCHOP was used to select two target sequences in this candidate

197 prd gene, Ccprd (Ccprd-g1 and Ccprd-g2), in a region encoding the conserved PRD 198 domain (green box; Fig. 3A). Two injections series with sgRNA-Ccprd1 and one with 199 sgRNA-Ccprd2 resulted in a survival rates of hatching embryos of 35%, 24%, and 26%, 200 respectively (Tab. 1 and 6).—Approximately 1-5% of the injected embryos showed either 201 delayed development (late hatching rate) or arrested at late stages of embryogenesis. This 202 was not observed when injecting Cas9 alone. Some lethality was observed at larval stages, 203 with few individuals showing impaired locomotor activity and abnormal cuticular 204 morphology. 5 to 11% of injected individuals developed to adulthood (**Tab. 6**) with a delay 205 of 1-2 days compared to flies injected with buffer alone or with white targeting RNPs.

206 Almost all of the embryos that failed to hatch appeared to have disorganized cuticular 207 structures. Some were up to 50% shorter in size compared to control embryos and displayed 208 a reduced number of segments (Fig. 3 B). This phenotype is reminiscent of the *pair rule* 209 phenotype described for *Drosophila*^{35,37}. Interestingly, we observed a late phenotype in 210 larvae that were able to hatch. They showed irregular movements in different segmental 211 compartiments suggesting aberrations affecting muscular contractions (Supp. data 212 videos). Similar lethality and abnormalities were observed in embryos and larvae following 213 Ccprd-2 sgRNA RNP injections. These embryos are most likely mosaics with variable 214 proportion of normal cells (Ccprd⁺/Ccprd⁺ or Ccprd⁺/Ccprd⁻) and mutant cells (Ccprd⁻ 215 /Ccprd) Because of the mosaic nature of Cas9-induced mutagenesis events in a single 216 individual and that *Ccprd* acts cell-autonomously, we did not expect a complete pair rule 217 phenotype. The morphological and structural deviations in those regions are consistent with 218 the occurrence of large mutant clones caused by biallelic somatic clones arising from gene 219 targeting. Sequencing of the targeted regions from surviving larvae injected with Ccprd1 220 led to the identification of lesions in the locus (Fig. 3D). Surprisingly, efforts to identify 221 gene targeting events caused by *Ccprd*-g2 failed. All 60 different plasmid clones from the 222 corresponding region showed only wild type sequence. We conclude that *Ccprd1* targeting 223 was effective in inducing indels which correlate with embryos/larval malformations most 224 likely due to impaired segmentation.

225 **DISCUSSION**

226 Over the last two decades novel genetic strategies in pest insect management have been 227 developed to improve their effectiveness in the field. Genetic technologies used thus far in 228 the medfly have been based on the random integration of transposable elements into the 229 genome⁴, site-specific modification of the randomly integrated transgene¹² and embryonic 230 or transgene-mediated RNA interference (RNAi)^{6,15}. The disadvantage is that such 231 genetically modified medfly must be continuously tested with respect to fitness and 232 competitiveness as well as to stability and expression of the transgene³⁸. The CRISPR-Cas9 233 technology offers the possibility to avoid random integration of exogenous DNA but 234 instead provides a more robust and controlled approach to introduce new genetic features, 235 which can be either addition of exogenous DNA or nucleotides changes in specific genes. 236 Here we explored the use of Cas9-sgRNA RNP complexes, avoiding plasmid-based or 237 DNA-mediated delivery of Cas9. This DNA-free (plasmid or integrated transgene) method 238 may allow to circumvent existing regulatory restrictions which are in effect in most nations 239 concerning the use of GM organisms in the field. This "green" editing technology may 240 facilitate global acceptance not only for plants or fungi, but also for insect pest control³⁹.

241 The use of preloaded Cas9-sgRNA complexes is becoming a successful approach for 242 targeted disruption of genes in a growing number of species, including Drosophila 243 *melanogaster*²⁵, Aedes aegypti²⁷ and, recently the zebrafish Danio rerio^{28,40}. To our 244 knowledge, this is the first report showing that the same approach can be used to effectively 245 mutate genes in a major agricultural pest insect such as Ceratitis capitata, with up to 100% 246 mutagenesis rate in the germ line. As observed by Lee et al. (2014)²⁵, Cas9-sgRNA 247 complexes act immediately but are rapidly degraded, often within few hours after 248 administration; similarly Kim et al. (2014)¹⁷ reported that Cas9 protein is degraded within 249 24 h after being applied to cultured human cell lines. As the short-lived activity of Cas9 250 prevents the induction of late mutational events, this may help to reduce off-target effects 251 and mosaicism of mutant and wild type tissues in the injected individuals.

252 We report here that Cas9-mediated NJEH events generates lesions in the *Ceratitis we* and

253 Ccprd genes. Targeting the we gene caused red-white eye mosaicism in up to 50% of 254 injected invididuals, indicating a very high rate of somatic bi-allelic hits. Transmission of 255 we mutated alleles to G1 progeny was found to be highly effective being close to 100%. 256 Lee et al., (2014)²⁵ observed a 5-time lower germline transmission rate (20%) when 257 targeting Drosophila genes using RNPs. One possible explanation is that the higher 258 concentration of KCl (300 mM) used in our study increases Cas9 stability or solubility²⁸. 259 Injecting RNP complexes in Aedes aegypti, Basu et al., (2015)²⁶ found a germline 260 transmission rate of induced mutations up to 90%. Yet this rate was determined with high-261 resolution melt analysis (HRMA) rather than phenotypic analysis of G1 adults, as we used 262 in our study. Gilles et al., (2015)⁴¹ observed up to 100% of mutant progeny, by co-injecting 263 Cas9 mRNA and sgRNA-producing DNA plasmid into the coleopteran Tribolium embryos. 264 However, the author targeted a single copy dominant marker transgene, rather than 2 alleles 265 of an endogenous gene.

266 Several studies have reported that the simultaneous use of 2 Cas9-sgRNAs is an effective 267 means to generate deletions of sequences between two targeted sites^{27,42}. While *we*-g1 and 268 we-g2 RNPs were individually effective in gene editing, the absence of a 96bp long deletion 269 spanning the two targeted sites suggests that steric hindrance between two adjacent Cas9-270 sgRNA complexes could have caused the cut of only one of the two. In contrast, the use of 271 we-g2 and we-g3 RNPs, simultaneously targeting two sites more distant of each other 272 (489bp) was effective in both somatic (G0) and germ-line cells (G1). On the other side, the 273 use of the single we-g2 RNP provoked a 84bp long deletion in germ line cells (Fig. 2).

Hence it is feasible that a multiplex CRISPR-Cas9 system can be adapted to specifically remove exons also in the medfly. This feature could be used to test the function of protein domains encoded by single exons. We can envision many more uses for generating precise deletions such as the analysis of *in vivo* putative gene *cis*-regulatory elements, or to investigate potentially redundant functions of duplicated genes, or to remove long noncoding RNAs or miRNAs.

280 With 2 sgRNA/RNPs, we targeted the Ceratitis zygotic gene *Ccprd* potentially involved in 281 embryos segmentation. We observed mutant phenotypic effects (embryos and larval 282 malformations, impaired larval motility, delayed development) and mutated sequences at 283 one of the 2 targeted sites. The lack of DNA sequence changes for the *Cc-prd*-g2 and the 284 observed phenotypic effects seems to be inconsistent, but this could be due to different 285 reasons: 1) the lower efficiency of this second sgRNA and the lower representation in the 286 somatic larval clones of the mutated sequence with the respect of the wild type one; 2) Cc-287 prd RNPs could interfere also with early prd transcription delaying and/or lowering its 288 zygotic gene expression; and, 3) off target effects impairing related gene(s) encoding PRD 289 box domain. Additional investigations will be required to understand these potential 290 problems.

291 CRISPR-Cas9 will be helpful in investigating natural traits of this major agricultural pest, 292 for example invasiveness and host adaptation, reproduction, olfaction (fruit seeking 293 behavior), chemoreception, toxin and insecticide metabolism⁴³. The recent availability of a 294 medfly genome draft combined with the successful implementation of CRISPR genome 295 editing technology, as reported here, opens the road to transfer basic knowledge to applied 296 research. Next challenges for the CRISPR-Cas9 technology in *Ceratitis* will be to exploit 297 homology-directed recombination for genome editing, either to insert transgenes in specific 298 regions or to replace DNA sequences with slightly mutated ones.

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300 Methods

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Rearing of *Ceratitis capitata*. The *C. capitata* wildtype strain were reared in standard
laboratory conditions at 25 °C, 70% relative humidity and 12 : 12 h light–dark regimen.
Adult flies were fed with yeast/sucrose powder (1 : 2). Eggs were collected in water dishes,
and transferred to larval food (soft tissue paper 30 g, sugar 30 g, yeast extract 30 g,
cholesterol stock 10 ml, HCl stock 2 ml, Benzoic stock 8,5 ml, water 400 ml). Pupae were
collected and stored in Petri dishes until eclosion.

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309 Strain of Ceratitis capitata

The wild-type eye color strain Benakeion was originally established in the
 laboratory by P. A. Mourikis (Benakeion Instirescue of Phytopathology, Athens,
 Greece).

313 2. The strain *we/we* Benakeion³⁰ was kindly provided by Prof. Kostas Bourtzis (*Pest*314 *Control of* FAO/IAEA, Seiberdorf, Austria)

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316 **Cas9 purification**. We produced our own supply of Cas9 endonuclease by expressing HIS 317 tagged protein in bacteria²⁵ and following the purification protocol described in Monti et 318 al.⁴⁴ and Dathan et al.⁴⁵.The *pET* plasmid that encodes His-tagged Cas9 was transformed 319 into BL21(DE3). The recombinant protein expression was induced in the presence of 0.5 320 mM isopropyl-β-D-thiogalactopyranoside (IPTG) for 16 h at 22 °C. A 100 mL pellet was 321 re-suspended in 10 mL of cold lysis buffer (50 mM Phosfate, 500 mM NaCl, 10 mM 322 Imidazole, 1 mM DTT, pH 8) supplemented with protease inhibitor mixture (1 mM PMSF 323 and 1.0 mg/mL of lysozyme) and incubated at room temperature for 30 min. Cells were 324 disrupted by sonication on ice with 10 s on/10 s off cycles for a total of 10 min on. After 325 centrifugation at 14,000 rpm for 30 min at 4 °C, the supernatant was purified on an ÅKTA 326 FPLC chromatography system using a 1 mL HisTrap HP. The column was washed with 327 lysis buffer and bound protein was eluted using a gradient of 10 mM-500 mM imidazole. 328 Protein elution was monitored by measuring absorbance at 280 nm and the resulting 329 fractions were analyzed by SDS-15% PAGE. The eluted fractions were dialyzed against 330 buffer (20 mM HEPES, 150 mM KCl, 1 mM DTT, 10% glycerol, pH 7.5). Analysis of the 331 protein by gel-filtration was compared with a calibration obtained with marker proteins run 332 on the column under the same conditions. Gel-filtration analyses were carried out on a 333 Superdex 200 GL column.

335 sgRNA Design and Synthesis. sgRNA were designed using CHOPCHOP
336 https://chopchop.rc.fas.harvard.edu/³³. CHOPCHOP lists the Target Sequence (including
337 the PAM), the genomic location of the target, the strand (- or +), the GC content of the
338 guide and the Off-targets. Following templates for sgRNA production were performed,
339 with minor modifications, as described by Bassett et al (2013). We selected the target of
340 interest, for *white eye* we used three target site sgRNAs_

341 g1: 5' GAGTAAGTGAGATTATCCG 3';

342 g2: 5' GCTGGTGAATCGTGTGAAGG 3' ;

343 g3: 5' CGGGTGAAGGGTTTATCGGG 3'

344 with respectively, protospacer-adjacent motif (PAM): CGG; GGG; TGG. For paired we 345 had two target site sgRNA Prd1: 5' GGTCGCGTCAATCAATTAGG 3' with PAM, TGG 346 and sgRNA Prd2: 5' AGAATCCCAGCATATTTTCG 3' with PAM, TGG. We added to 347 the tagert sequence to the CRISPR_F sequence as shown below:5'-348 GAAATTAATACGACTCACTATAGG[20nt of CRISPR target same strand as PAM 349 sequence]GTTTTAGAGCTAGAAATAGC-3'. We used seme sgReverse 350 (PAGEpurified):5'AAAAGCACCGACTCGGTGCCACTTTTTCAAGTTGATGGACT 351 AGCCTTATTTTAACTTGCTATTTCTAGCTCTAAAAC AAC. The PCR was 352 performed using Q5® High-Fidelity DNA Polymerase (NEB). The DNA template was 353 extract over 400 µL phenol:chloroform (1:1), followed by extraction over 400 µL 354 chloroform. To the final upper layer (~ 200µL), add 20 µL 3M NaOAc (pH 5.2) and 400 355 µL 100% EtOH and precipitate at -20°C for at least 1 h. Pellet the DNA at 14,000 rpm 15 356 min 4° C; Wash the pellet in 70% EtOH (3x), allowing for each washing step to spend at 357 least one hours at -20°C. Air dry and resuspend the pellet in ~40µL ddH2O. In vitro 358 transcription of sgRNAs we followed the instructions of Megatranscript T7 kit (Ambion) 359 using 400 ng of target template with reaction run at 37°C over night. After this step at 360 reaction was added 2 uL of Turbo DNase and incubate for an additional 15 min at 37°C to 361 remove the DNA template.

363 The assembly of complex RNP and the injection mix, The reaction of binding was 364 prepared with 1.8 μ g of Cas9 protein, 1 μ g of sgRNA and was added KCl according to the 365 protocol proposed by Burger et al.²⁸, and incubation for 10 min at 37°C. Embryos were 366 collected 1 hour AEL (after egg laying), hand-dechorionated and microinjected.

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368 Genomic DNA extraction and molecular analysis. DNA extraction was performed, with 369 minor modifications, according to the protocol proposed by Holmes and Bonner [1973]⁴⁶. 370 Each larvae and adult were placed in a 1.5 ml Eppendorf tube was crushed by a pestle in 371 200 µl Holmes Bonner buffer (urea 7 M, Tris-HCl 100 mM pH 8.0, EDTA 10 mM pH 8.0, 372 NaCl 350 mM, SDS 2%). Subsequently, the DNA was purified with one phenolchloroform 373 extraction, followed by one chloroform extractions and then an ethanol precipitation. The 374 precipitated pellet was resuspended in 30 µl or 100 µl water containing RNaseA. Genomic 375 DNA (from single adult fly or larae or poll of larvaes or G1 mutants) was used as the 376 template for to amplify the sgRNA target sites. We used as follow for gene white (F, 377 forward primer; R, reverse primer): 378 F_g1_g2_g3- 5'GCCCTACGAGCAATCCTCT 3';

- 379 R_g1_g2- 5'TCTGCAATGAGCGTCATATAC 3';
- 380 R_g2_g3- 5'TTCTGCGATAGCTTTTTCAACA 3'.
- 381 For gene Paried we used
- 382 F_Prd_1_2- 5'CTTCGACACACACCGTGTG 3' and
- 383 R_Prd_1_2- 5'AGAATGCTTGTGGGAATGTTCT 3'.

We followed these PCR using DreamTaq (Life Technologies) according to the manufacturer's instructions. The PCR products were purified with StraPrep PCR Purificaton Kit (Agilent Techologies) and and sub-cloned using with StrataClone PCR cloning Kit (Agilent Techologies) and the positive clones were sequenced using the plasmid primers T7 and T3 and analyzed on an ABI 310 Automated Sequencer (Applied Biosystems).

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403

404 Contributions: A.M. and G.S. designed the experiments. A.M. and S.M.M expressed and 405 purified the CAS9, sgRNAs design and selection was performed by A.M. and M.R., A.M. 406 produced sgRNAs, M.R. and HL analyzed induced indels. D.B. hosted in his lab (Zurich 407 Univ) A.M. and G.S. to establish CAS9 technology in the medfly and in *Musca domestica*; 408 the purified CAS9 was tested in zebrafish by C.M., which together with AB provided also 409 a lab protocol and technical tips concerning MOOPs gel analysis of sgRNAs, and KCl 410 concentration to improve Cas9 stability/efficiency (Zurich Univ.); GS wrote the manuscript 411 with major inputs by DB and contributions, by A.M. and C.M. A.M. supervised 4 master 412 students (R.C., M.G.I., P.P., G.D.C) over a 2 years long work, which contributed to the this 413 study, with medfly rearing, crossing flies, PCR analysis, plasmid cloning and DNA 414 sequencing. E.C. contributed with sequencing and analysis of 20 plasmid clones from 415 Cas9/sgRNA injected G0 individuals (we and Ccprd). MS contributed to the DNA 416 sequence analysis of mutant alleles. A.Ra. and G.I. helped with the embryos micro-417 injections set up and larvae video recording. A.M. and S.M.M. contributed in the Cas9

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420

421 Competing financial interests statement

- 422 The authors declare no competing financial interests.
- 423

424 Additional information

- 425 Tables
- 426 **Table 1** Survival rate after Cas9 alone injections
- 427 Table 2 Statistics of G0 we somatic CRISPR mutations
- 428 Table 3 Statistics of G1 Germline transmission of CRISPR-induced we mutations with
- 429 crosses of G0 single flies.
- 430 **Table 4** Injections data of *we*-g3 and *we*-g2+g3 RNPs in early embryos.
- 431 Table 5 G1 Germline transmission of CRISPR-induced we mutations from pooled G0
- 432 injected flies (*we*-g3 and *we*-g2+g3).
- 433 **Table 6** Statistics of G0 *paired* CAS9 targeting
- 434

435 **Figure legends**

Figure 1 CRISPR-Cas9 targeting of *we* gene: (A) A scheme of the *we* gene and the 3
sgRNAs targeting conserved Drosophila WHITE regions in Ceratitis. (B) wild type and
mutant strain with white eye flies. (C) and (D) examples of G0 somatic mosaics. €
Sequences of *white eye* gene edited alleles in G0 somatic mutant individuals. (F) Sequences
of *white eye* alleles in G0 somatic mutant individuals, targeted with 2 RNPs.

- 443 complementation of CRISPR-Cas9 mutated we alleles in G1 mutant flies in heterozygotes,
- 444 carrying one original allele from the *we* medfly strain (3 wg-1 and 2 wg-2 induced alleles
- 445 in 5 flies; vertical blu bar). Heteroallelic combinations of novel Cas9-mutated we alleles in

⁴⁴² Figure 2 we mutants from G1 progeny were analyzed by DNA sequencing. Absence of

5 G1 individuals (11 alleles; vertical green bar). In G1 alleles, the g2 in parenthesis indicate that a second RNP was coinjected but no corresponding mutations were found in each individual. In the fifth mutant fly, 3 different mutated alleles (*we*-g3(g2)/3a, b and c) were surprisingly found. This could be due to 2 sperm fertilization, following the first division of the haploid egg.

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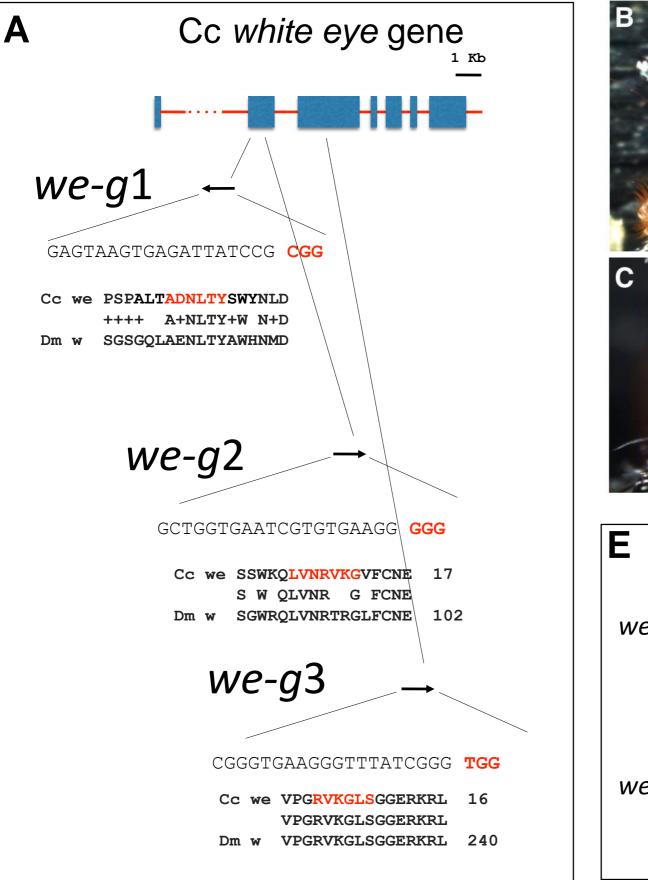
Figure 3 CRISP-Cas9 targeting of *Ccprd.* (A) A scheme of the *Ccprd* gene and 2 sgRNAs targeting conserved *Drosophila* PRD in *Ceratitis.* (B) Comparison of wt and injected embryos, showing shorted lenght and a reduction in the segment number. (C) 2 injected larvae: larvae 1 shows incomplete/malformed development with the respect of larvae appeaaring wild type. (D) Sequences of gene edited *Ccprd* alleles from G0 larvae injected with RNP containing *Cc-prd1* sgRNA.

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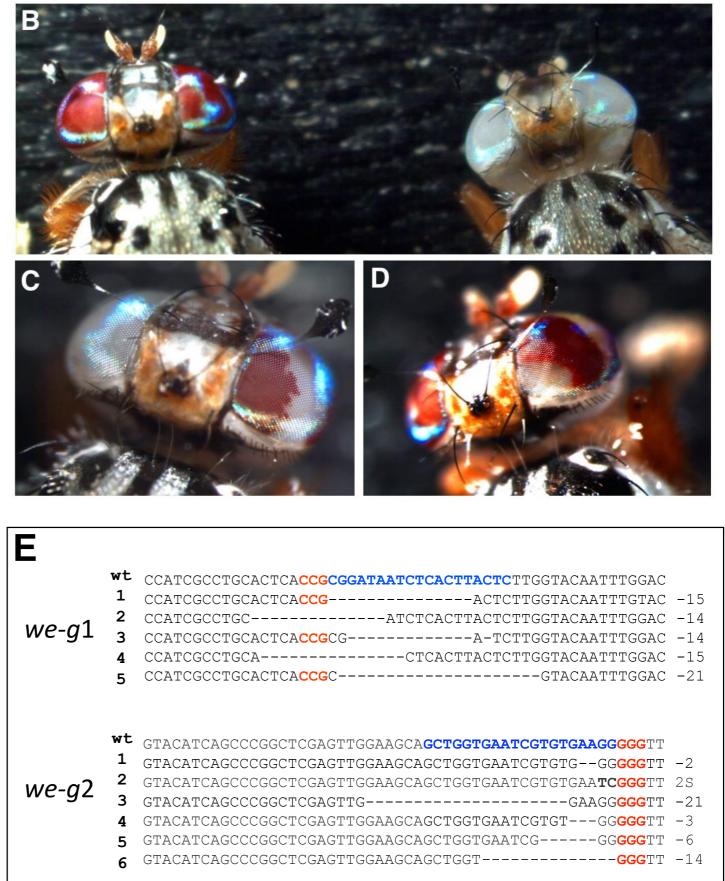


Fig. 1

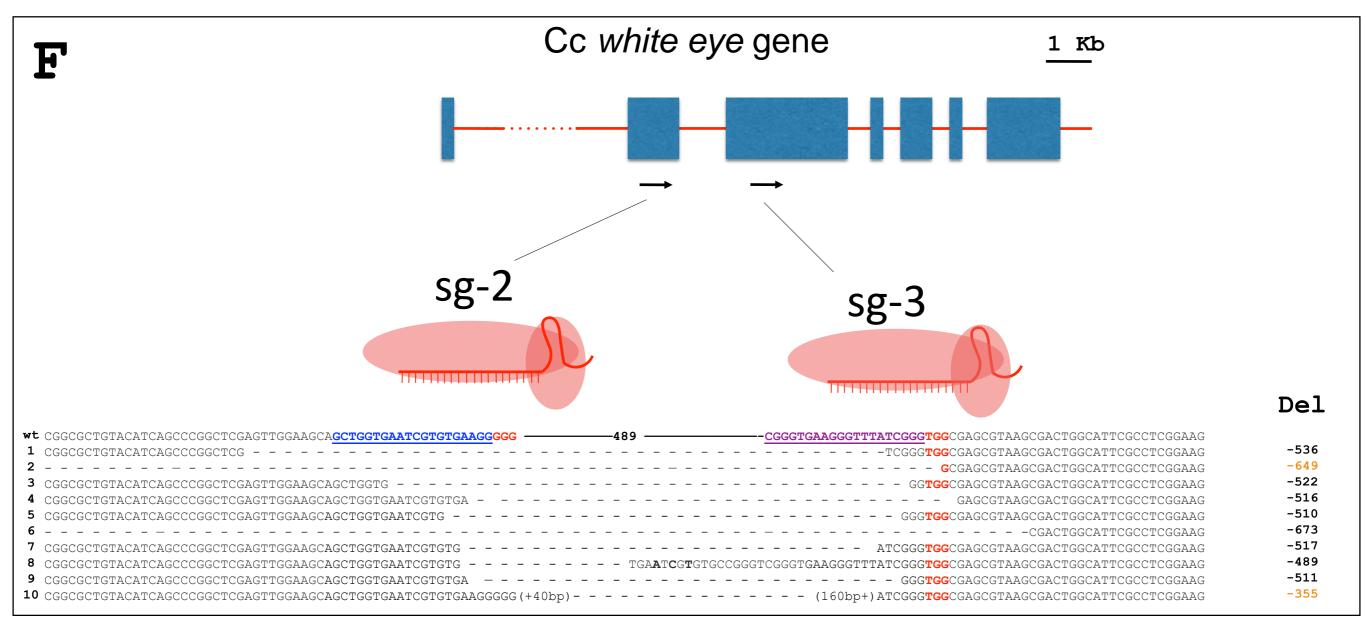


Fig. 1: CRISPR/Cas9 targeting of *we* gene: A) A scheme of the *we* gene and the 3 sgRNAs targeting conserved Drosophila WHITE regions in Ceratitis. B) wild type and mutant strain with white eye flies. C and D) examples of G0 somatic mosaics. E) Sequences of *white eye* gene edited alleles in G0 somatic mutant individuals. F) Sequences of *white eye* alleles in G0 somatic mutant individuals, targeted with 2 RNPs.

Fig. 2

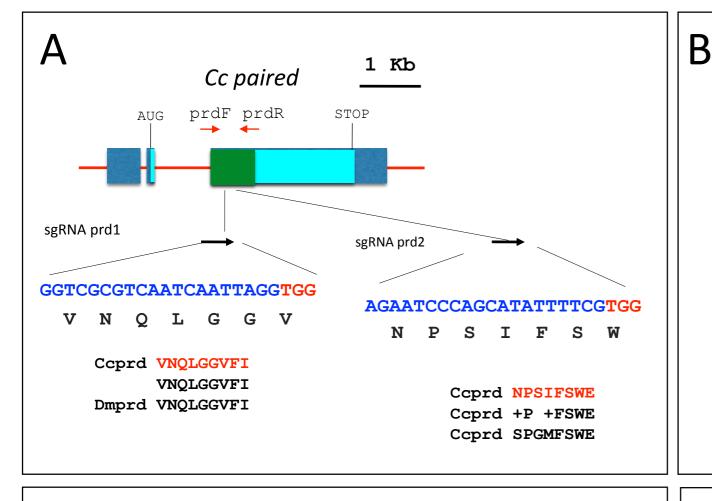


we+ we-g1/1 we-g1/2 we-g1/3	AAGAGTAAGTGAGATTATCCGCGGTGAGTGCAGGCGATGGCGGTGAGAGTG wt AAGAGTAAGTGAGATGCAGGCGATGGCGGTGAGAGTG -14 AAGAGTAAGTGAGACCCGCGGTGAGTGCAGGCGATGGCGGTGAGAGTG -4 AAGAGTAAGTGAGGTGAGTGCAGGCGATGGCGGTGAGAGTG -10	G1
we+ we-g2/1 we-g2/2	ACCGCGGATAATCTCAC(64bp)GCTGGTGAATCGTGTGAAGGGGGGTTTTC wt ACCGCGGATAATCTCAC(84bp)GGTTTTC -84 ACCGCGGATAATCTCAC(64bp)GCTGGTGAATCGTGTGGTTTTC -4	

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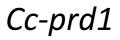
we+ we-g3/1a we-g3/1b we-g3/2a we-g3/2b we-g3(g2)/1a we-g3(g2)/1b we-g3(g2)/2a we-g3(g2)/2b we-g3(g2)/2b	ATACGTTGATTGGCGTGCCGGGTCGGGTGAAGGG ATACGTTGATTGGCGTGCCGGGTCGGGT	GTGGCGAGC -16 TTTAGCGAGGGTGGCGAGC +2 CTGGTGGCGAGC -34 GGGTGGCGAGC -9 GGGTGGCGAGC -9 GGGTGGCGAGC -29 CTGGTGGCGAGC -34 GGGTGGCGAGC -9	G1
5 5			
we-g3(g2)/3b	ATACGTTGATTGGCGTGCCGGGT		•
we-g3+g2/3c	(650 bp)	6CGAGC -650	

Fig. 2: Absence of complementation of CRISPR/Cas9 mutated *we* alleles in G1 mutant flies in heterozygotes, carrying one original allele from the *we* medfly strain (3 wg-1 and 2 wg-2 induced alleles in 5 flies; vertical blu bar). Heteroallelic combinations of novel CRISPR/Cas9 mutated *we* alleles in 5 G1 individuals (11 alleles; vertical green bar). In G1 alleles, the g2 in parenthesis indicate that a second RNP was coinjected but no corresponding mutations were found in each individual. In the fifth mutant fly, 3 different mutated alleles (*we*-g3(g2)/3a, b and c) were surprisingly found. This could be due to 2 sperm fertilization, following the first division of the haploid egg.









D

Wt	AG GGTCGCGTCAATCAATTAGGTGG TGTGTTCATAAA	wt
	AGGGTCGCGTCAATG TGG TGTGTTCATAAA	-7
	AGG TGG TGTGTTCATAAA	-19
	AGGGTCGCGTCAATCAATTG TGG TGTGTTCATAAA	-2
	AGGGTCGCGTGTGTTCATAAA	-16

Fig. 3 in green PRD-pax6 domain

Fig. 3: CRISP/Cas9 targeting of *Cc-paired*. A) A scheme of the Cc-prd gene and 2 sgRNAs targeting conserved Drosophila PRD in Ceratitis. B) Comparison of wt and injected embryos, showing shorted lenght and a reduction in the segment number. C) 2 injected larvae: larvae 1 shows incomplete/malformared development with the respect of larvae appeaaring wild type. D) Sequences of gene edited Cc-prd alleles from G0 larvae injected with RNP containing Cc-prd1 sgRNA.

Survival rate after Cas9 alone injections

Cas9 µg/µl	Injected embryos	Larvae/ Embryos	Pupae/ Larvae	Adults/Pupae	Adults/ Embryos
0	114	0.56 (64/114)	0.84 (54/64)	0.66 (36/54)	0.31 (36/114)
0.9	150	0.59 (88/114)	0.37 (33/88)	0.82 (27/33)	0.18 (27/150)
1.8	110	0.57 (63/110)	0.49 (31/63)	0.68 (21/31)	0.19 (21/110)
3,6	134	0.51 (69/134)	0.41 (28/69)	0.71 (20/28)	0.15 (20/134)

Supplementary data: Tab 2 G0 $w \in somatic CRISPR mutations$

Cas9 µg/µl	sgRNA 0.2 µg/µl	Injec ted embry os	Larvae/embryo s		Adults/pu pae	somatic mosaics	Adults/embry os surv
1.8	we-gl	240	56% (134/240)	33% (34/103*)	18% (6/34)	50% (3 /6)	3% (6/209)
1.8	we-g2	180	44% (80/180)	36% (29/80)	83% (24/29)	4% (1 /24)	13% (24 /180)
1.8	we- g1/we-g2	240	43% (104/240)	44% (44/99*)	95% (42/44)	23% (10/42)	17% (42/240)

In bold adult flies, which were crossed to produce G1.

G1 Germline transmission of CRISPR-induced $w \in mutations$ with crosses of G0 single flies

Line	Sex of the founder	we flies	we ⁺ flies
we-g1#1	F ^{\$}	0	0
we-g1#2	М\$	6	0
we-g1#3	М\$	10*	12
we-g2#1	F	0	55
we-g2#2	М	0	0
we-g2#3	М	53**	34
we-g2#4	М	113**	2
we-g2#5	М	1	68
we-g2#6	М\$	14	10

Injections data of $w \in \mathbf{-g3}$ and $w \in \mathbf{-g2+g3}$

Cas9 µg/µl	sgRNA 0.2 µg/µl	Injected embryos	Larvae/embryo s	Pupae/larva e	Adults/pu pae	somatic mosaics	Adults/embry os surv
1.8	we-g3	200	46% (92/200)	34% (31/92)	64% (20/31)	0	10응 (20 /200)
1.8	<i>we-</i> g2+g3	250	49% (123/250)	53% (58/110*)	65% (38/58)	0	16% (38 /237)

Supplementary data: Tab 5

G1 Germline transmission of CRISPR-induced $w \in mutations$ from pooled G0 injected flies

sgRNAs	GO injected parents	we ^{CRISPR} flies	we ⁺ flies	Total adult G1 flies
we-g3	8 M x 12 F	2*	24	26
<i>we</i> -g2+g3	18 M x 20 F	3**	181	184

*= 4 we-g3 mutant alleles sequenced

**= 5 we-g2+g3 mutant alleles sequenced

Lethality of paired CAS9 editing

Cas9 µg/µl	sgRNA µg/µl	embryos	larvae/embyo s	pupae/larvae	adults/pupae	adults/embr yos
0	0	172		74% (59/80)		
1.8	prd1 0.2	164	35% (58/164)	17% (10/58)	80응 (8/10)	
1.8	prd1 0.2	244	24% (58/244)	50% (29/58)		
1.8	prd2 0.2	150	26% (40/150)		88% (15/17)	10% (15/150)

1	CRISPR-Cas9 targeted d	lisruption o	of the yellow ortholog in the housefly			
2	identifies the <i>brown body</i> le	ocus				
3						
4	Svenia D. Heinze ^{1†} , Tea Kohl	lbrenner ^{1†} , Do	omenica Ippolito ¹ , Angela Meccariello ² , Alexa			
5	Burger ¹ , Christian Mosimann ¹ , C	Giuseppe Sacco	one ² , and Daniel Bopp ^{1*}			
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10	[†] these authors contributed equall	y to this work				
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26	running head: the yellow locus in	the housefly				
27						
28	keywords: CRISPR-Cas9, brown	ı body, yellow,	Musca domestica, genetics, genome editing,			
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- 37 ABSTRACT
- 38

39 The classic brown body (bwb) mutation in the housefly Musca domestica impairs 40 normal melanization of the adult cuticle. In Drosophila melanogaster, a reminiscent 41 pigmentation defect results from mutations in the *yellow* gene that encodes the dopachrome 42 conversion enzyme (DCE). Here, we demonstrate that the bwb locus structurally and 43 functionally represents the *yellow* ortholog of *Musca domestica*, *MdY*. In Musca strains with 44 bwb phenotype, we identified two mutant MdY alleles that contain reading frame-disrupting 45 lesions predicted to result in premature truncation of the MdY open reading frame. To 46 independently confirm that mutations in MdY correspond to the bwb locus, we targeted 47 wildtype *MdY* by injecting preassembled sgRNA and Cas9 ribonucleoprotein complexes 48 against the MdY locus into early syncytial embryos. We successfully recovered new mutant 49 MdY alleles, and non-complementation of predicted frame-shift MdY alleles and the original bwb alleles confirmed that our Cas9-induced MdY mutations generated allelic variants of the 50 51 same locus. We further found evidence for CRISPR-mediated interchromosomal recombination 52 between wildtype and mutant *bwb* alleles. Our work resolves the molecular identity of the *bwb* 53 mutation in Musca domestica as lesions in the MdY gene and establishes the conserved 54 involvement of DCE activity in pigment formation in Musca. Our results further establish the 55 feasibility and impact of Cas9-mediated genome editing in the Musca model.

56

57 Introduction

58

59 The so-far unidentified brown body (bwb) locus in the housefly Musca domestica was named 60 after a recessive loss-of-function phenotype in which the adult cuticle manifests in a brown 61 color rather than the wildtype black pigmentation (Fig. 1a). The absence of black coloration in 62 mutant Musca has been proposed to result from impaired synthesis and incorporation of the 63 black pigment melanin during pupal stages [1]. In insects, melanization of the cuticle is widely 64 common and contributes to the diverse coloration patterns that are the most visible features of 65 the outer morphology. Most insights into the pathway that produces and incorporates melanin into the insect cuticle comes from studies in *Drosophila melanogaster* [2] [3] [4]. The melanin 66 pathway starts with conversion of tyrosine to Dihydroxyphenylalanine (DOPA) by tyrosine 67 68 hydroxylase (TH). DOPA in turn is converted to dopamine by dopa carboxylase (DDC). Both 69 substrates are used as precursors for production of black melanin. In Drosophila, the 70 dopachrome conversion enzyme (DCE) catalyzes steps downstream of TH and DCC in melanin 71 production; however, whether DCE is involved in only in DOPA, only in dopamine conversion, 72 or in both, remains unclear. Loss of function in the yellow gene (y) that encodes Drosophila 73 DCE causes a lack of melanin incorporation and results in a yellowish overall appearance of 74 the cuticle. Similar phenotypes have been observed in other insects such as the lepidopteran 75 Bombyx mori, Papilio xuthus, and the coleopteran Tribolium castaneum [5] [6] [7] [8] [9]. Loss of activity of the corresponding yellow orthologs in these species drastically lowers the 76 77 synthesis of melanin, causing regions of the body that are normally black to display a lighter 78 coloration.

In recessive *bwb*-mutant houseflies, the loss of normal black coloration affects all body parts. This phenotype closely resembles the phenotype observed in *yellow*-mutant *Drosophila*. As no other gene in the network of melanin genes is known to manifest this phenotype, we sought to investigate whether the *bwb* gene in the housefly is the structural and functional homolog of the *yellow* (*DCE*) gene in *Drosophila*. In addition to the correspondence in phenotypes, the *bwb* locus has been mapped to Chromosome III in Musca which corresponds to Muller element A, the X chromosome in Drosophila that harbors the *y* locus [10,11].

Here, we identified the locus mutated in *bwb* as the *Musca domestica* ortholog of the *Drosophila* gene *yellow* and refer to the gene as *MdY*. The *MdY* gene shows a high degree of similarity at the level of both protein sequence and gene structure. We find three mutant alleles of the *MdY* gene in *bwb*-mutant flies: the alleles MdY^{a2} and MdY^{b} feature sequence disruptions of the coding sequence, while a third allele MdY^{a1} is a compound allele featuring the coding

lesion of MdY^{a2} and an additional 1.5 kb sequence insertion in the 5' UTR. Using CRISPR-91 92 Cas9, we generated a series of *de novo* loss-of-function alleles of *MdY* that all fail to 93 complement original *bwb* alleles; these experiments mark, to our knowledge, the first report of 94 the successful application of Cas9-based mutagenesis in Musca domestica. Altogether, we 95 conclude that the *bwb* phenotype in Musca is caused by a lack of DCE activity normally 96 provided by the MdY gene. Our findings clarify the molecular lesions in the classic bwb 97 mutation and further underline the notion that *yellow* plays a conserved role in the melanin 98 production pathway in dipteran species.

- 99
- 100
- 101 Results
- 102

103 **1. Characterization of the** *yellow* **ortholog in** *Musca domestica*

104

105 Based on phenotype resemblance and mapping position, we hypothesized that *bwb* 106 affects the so-far undescribed DCE ortholog in Musca domestica. To identify sequences 107 homologous to the Drosophila yellow gene in Musca, we performed BLAST searches against 108 the recently published genome of the multi-marked *aabys* strain that shows the *bwb* phenotype 109 [12]. We recovered an annotated mRNA sequence (NCBI RefSeq XM 011292650.1) with a 110 high degree of sequence similarity to Drosophila vellow (Supplementary data Fig. 1). 111 Annotation of the *aabys* genome called this gene a pseudogene based on the lack of an intact 112 open reading frame. Indeed, we detected a frame-shift starting 67 codons downstream of the 113 first AUG start codon (Fig. 1b) [12]. Hence, the molecular nature of this allele already 114 suggested that the bwb-mutant aabys strain carries a non-functional yellow variant. The 115 mutated putative Musca yellow gene, which we named MdY, is located on Scaffold18750 (502 116 kb) and is composed of two exons separated by a 35.6 kb-spanning intron (Fig. 1b). A Musca 117 homolog of the acheate (ac) gene is present on the same scaffold separated by 156 kb, 118 revealing a relatively close linkage of *yellow* and *ac* orthologs in *Musca*. This coupling is 119 conserved in Drosophila melanogaster and sibling species, and previous work proposed that 120 evolutionary variation of the *y*-ac region is reduced due to the selective fixation of one or more 121 advantageous mutations in this region [13]. We next isolated *MdY* sequences from a wildtype 122 strain *Siat* that displays a normal melanization pattern; the *MdY* sequence in *Siat* has an intact ORF of 522 amino acids, markedly lacking the 4 bp insertion that causes a frame-shift in the 123 124 aabys-derived allele (Fig. 1b and d). The predicted MdY protein shares a high level of similarity with YELLOW proteins of other dipterans (Supplementary Figure 2a): as expected, *MdY* shares the highest level of identity (88%) with the predicted YELLOW protein in *Stomoxys calcitrans*, a close relative of the same family *Muscidae* (Supplementary data Fig.
2b).

To extend our analysis of mutant *bwb* alleles, we included the M^{III} strain that carries the 129 130 male determining factor on Chromosome III tightly linked to wildtype alleles of bwb and 131 pointed wings (pw), while females of this strain are homozygous mutant for bwb and pw (Fig. 1a). Analysis of MdY sequences isolated from M^{III} females unveiled that again the bwb allele 132 that contains a 4 bp insertion at amino acid position 67. Unexpectedly, we also reproducibly 133 134 detected a second mutant MdY allele in this strain, a nonsense mutation at amino acid position 65 (Fig. 1d). Therefore, the M^{III} laboratory strain carries two different loss-of-function alleles 135 of *MdY*. 136

137 When mapping wildtype genomic *MdY* fragments against the corresponding *aabys* 138 genomic sequences that harbor the 4 bp insertion in the coding sequence, we additionally 139 identified a structural difference in the 5' UTR region upstream of the putative start codon (Fig 140 **1b**). Sequence analysis revealed the presence of a 1.5 kb insertion in the *aabys*-derived allele 141 that is absent in the wildtype Siat strain (Fig 1b and c). A BLAST search against the Musca 142 genome shows that this aabys-specific insertion shares 77% of sequence identity to an 143 incomplete gene complement of the nicotinic acetylcholine receptor subunit-encoding Mdalpha2 [14]. This 5' UTR insertion in the mutant MdY allele of the aabys strain 144 145 consequently represents a third, compound mutant allele of MdY.

Altogether, we identified two distinct coding-frame alleles in *bwb*-mutant *Musca domestica* strains, and a third compound lesion that introduced a 1.5kb insertion into the 5' *UTR* of one of the putatively inactive *MdY* loci. We refer to the original *aabys*-derived compound allele as MdY^{al} , and to the two M^{III} -derived alleles as MdY^{a2} and MdY^{b} (Fig. 1d, Supplementary data Fig. 3). From our analysis of these mutants, we conclude that the *bwb* phenotype is associated with nonsense mutations in the *MdY* gene. These observations support the notion that the housefly DCE homolog is involved in the melanin production pathway.

153

154 2. CRISPR-Cas9-mediated disruption of *MdY* confirms causative association with *bwb*. 155

We next performed targeted disruption of the wildtype MdY locus to confirm its predicted role in melanization of the housefly cuticle and to corroborate whether the *bwb* mutations are indeed loss-of-function alleles of MdY. To this end, we selected two target sites

159 in the second exon of *MdY* for non-homologous end joining (NHEJ)-mediated disruption by the 160 CRISPR-Cas9 system. Preassembled ribonucleoprotein complexes (RNPs) composed of purified Cas9 protein loaded with two different sgRNAs (sgY2 and sgY3) were injected into 161 162 early syncytial embryos. Both sgY2 and sgY3 target two different sites in coding exon 2 separated by 340 bp, (Fig 2a). As host strain, we used the M^{III} strain that carries the male 163 164 determining factor on the chromosome III linked to wildtype alleles of *bwb* and *pw*. Females of 165 this strain are homozygous mutant for both markers and are brown coloured with pointed-166 wings, while males are heterozygous and phenotypically wildtype for both markers ([15,16]) (Fig. 2b). This genetic background facilitates detection of possible somatic effects of MdY 167 168 disruption in males that carry only one wildtype allele of *bwb* (Fig. 1a). The two sgRNAs were preloaded separately on purified recombinant Cas9 protein (A.M. and G.S. unpublished results) 169 and a 1:1 mix was micro-injected into 1 h old embryos of the M^{III} strain[17]. Of 2565 embryos 170 171 injected with a 1:1 mix of solubilized Cas9 RNPs containing sgY2, and sgY3, we recovered 188 172 surviving adult houseflies. While 106 of these adults were males, none of the males displayed 173 any patches of brown coloration, indicating that our targeting procedure does not introduce 174 significant somatic mutation mosaicism. We proceeded with crossing these injected G0 males to *bwb*-mutant females of the same M^{III} strain (2 males and 6 females per cross) to screen for 175 possible germline effects. Screening the F1 generation, we observed 17% of crosses that 176 produced brown-colored $M^{III} pw^+$ males that can only arise from paternal transmission of a 177 178 mutant bwb allele (Tab. 1, Fig. 2C). This observation reveals that our Cas9 RNP-mediated 179 mutagenesis protocol introduced *bwb* mutations by targeting the *MdY* gene in germ cells of the 180 syncytial embryo. Of note, the injected males vary greatly in the proportion of potentially MdY-181 disrupted F1 individuals they sire (Tab. 1), revealing variable germline mosaicism resulting 182 from Cas9 mutagenesis akin to observations in other model organisms [17].

183 To characterize the putative lesions induced in the MdY gene by sgRNAs sgY2 and sgY3, we PCR-isolated genomic sequences of exon 2 from brown-bodied $M^{III} pw^+$ F1 males by 184 185 PCR and examined the targeted sites in sub-cloned and Sanger-sequenced fragments using 186 CrispRVariants [18]. Overall, the position and extent of the induced lesions vary between 187 individual lines but show a clear preference for lesions at the sgY3 target site (Table 2, 188 Supplementary Fig. 4). In seven lines (MdY#2, MdY#9, MdY#10, MdY#13, MdY#16, MdY#33, 189 MdY#36, and MdY#38) we found small indels exclusively in the target site of sgY3 (Table 2). In 190 contrast, only line MdY#14 carries an allele of MdY with a lesion (2 bp deletion) in the sgY2191 site (Table 2, Supplementary Fig. 4). In line MdY#19, we found evidence that both sites were 192 targeted (Supplementary Fig. 4). The absence of a deletion spanning the two sites suggests that 193 two dsDNA break events in our recovered alleles must have occurred at different times or with 194 different kinetics, allowing the cellular repair system to independently join the breaks. In 195 contrast, line *MdY#40* carries a large deletion of 1038 bp which removes both target sites and 196 sequences downstream of *sgY2*; *MdY#40* likely had both sites simultaneously targeted by Cas9 197 and repaired to result in a larger deletion. Taken together, these data establish functional 198 CRISPR-Cas9-mediated mutagenesis targeting the *MdY* locus in *Musca domestica* using *in* 199 *vitro*-assembled Cas9-sgRNA RNPs.

200 To test for additional complementation, we crossed brown males of lines MdY#10 201 which carries a 15 bp deletion in the sgY3 site of MdY and MdY#16 containing a frame-shifting 10 bp deletion at the same site with brown females of the M^{III} strain carrying the MdY^{a2} and 202 MdY^{b} alleles and the *aabys* strain, homozygous for the MdY^{al} allele. In all crosses, all progeny 203 displayed bwb phenotype. Lack of melanization in these animals is consistent with our 204 205 hypothesis that MdY is required for proper pigmentation of the cuticle. Furthermore, non-206 complementation of the Cas9-induced MdY alleles with all mutant bwb alleles of the aabys and M^{III} strains confirms our initial hypothesis that *bwb* corresponds to the *vellow* ortholog of 207 208 Musca domestica.

209 Two lines, MdY#29 and MdY#40, were of particular interest, as we did not detect any 210 sequence modifications at the two target sites in exon 2 (Table 2). Nonetheless, both these lines produced both brown $M^{III} pw^+$ males and also pw females with normal melanization. The 211 reciprocity of these sex-specific phenotypes suggests Cas9-mediated double-strand breaks in 212 MdY induced an intragenic recombination event between the bwb^+ allele on the M^{III} 213 chromosome and the mutant *bwb* allele on the corresponding homolog. This event may have 214 215 created a recombinant MdY allele with abolished activity on the chromosome that contains the M factor. In line with this hypothesis, we found brown males in line MdY#29 that are 216 unaffected at the two Cas9 target sites, but homozygous for the MdY^{b} signature (translational 217 218 stop at position 65) (Fig. 3a). These sequences are likely the products of a reciprocal 219 recombination event that may also have resulted in a reconstitution of a wildtype MdY allele on 220 the non-*M* chromosome in females. Indeed, we found that melanized females are heterozygous for the MdY^b signature in exon 1. Moreover, in the mutant bwb males, we detected 221 222 heterozygosity for allele-specific polymorphisms just downstream of the target site sgY3 that 223 correspond to the paternal genotype (Fig. 3a). We interpret these observations as evidence for 224 Cas9-mediated DNA double-strand breaks at, or upstream of, the sgY3 site that induced an 225 intragenic recombination event between the wildtype MdY allele and the MdY^{b} allele *in trans* in germ cells of Cas9 RNP-injected heterozygous males (Fig. 3b). This data indicates that Cas9-226

mediated mutagenesis in *Musca domestica* can lead to break-point-guided recombination in
 injected germ cells.

- 229
- 230

231 Discussion

232

233 Our work reveals that the classic *bwb* phenotype in houseflies is caused by mutations in 234 the Musca homolog of the DCE gene, which encodes the enzyme that in Drosophila has been 235 implicated in the process that converts DOPA and/or dopamine into black melanin. Functional 236 studies in Drosophila have provided evidence that the DCE-encoding gene yellow is involved 237 in global body pigmentation [19], whereas in the coleopteran Tribolium castaneum the loss of 238 *vellow* only affects pigmentation of the hindwing [5]. Also, in the hemimetabolous *Oncopeltus* 239 fasciatus, silencing of *yellow* affects only specific body parts such as abdomen and hindwings 240 [20]. These observations led to the proposition that *yellow* belongs to a network of melanin 241 synthesis genes which by differential deployment can generate a wide range of colors and 242 spatial patterns [20]. Here, we present genetic evidence that, as in Drosophila, the Musca DCE 243 homolog *MdY* is required for melanin production in the whole body. We base our conclusion of two major lines of evidence: First, complete absence of melanization found in two different 244 bwb strains (M^{III} and *aabys*) correlates with homozygosity for predicted nonsense alleles of 245 *MdY*. In these strains, we identified three mutant alleles MdY^{a1} , MdY^{a2} and MdY^{b} , all of which 246 result in premature stop codons. We note that MdY^{a1} and MdY^{a2} only differ with regard to a 1.5 247 kb insertion which is present specifically in the 5' UTR of MdY^{al} . It is thus possible that these 248 249 two alleles, both of which carry the same 4 bp insertion downstream of codon 67, have a 250 common origin and that the 1.5 kb insertion has been acquired or lost later during a secondary 251 mutational event. Second, we generated a set of new MdY alleles by adapting the CRISPR-Cas9 252 mutagenesis for disrupting the coding region in MdY exon 2. All of the new alleles have 253 confined lesions at least in one of the two targeted sites. The majority of these mutations are 254 deletions that remove parts of the coding sequence and generate frame-shifts. We hence 255 consider these allelic variants to be enzymatically non-functional, if not null alleles of MdY. 256 These Cas9-based *MdY* mutants fail to complement, and thus behave allelic to, the previously 257 identified *MdY* variants found in *bwb*-mutant backgrounds.

258

The CRISPR-/Cas9 protocol using solubilized RNPs used in our study appears to be highly effective in the *Musca* germ line given that at least 1 of 6 RNP-injected males 261 transmitted an MdY allele with a defined lesion at one of the targeted sites. Nonetheless, none 262 of these F0 males displayed patches of non-melanized cuticle indicative of somatic mutation 263 mosaicism. In Drosophila, y mutations behave cell-autonomously and even small mutant 264 clones can be readily detected in the cuticle: when Cas9 mRNA was injected with sgRNA 265 targeting y in Drosophila has strong somatic effects (86%) not only in hemizygous males with 266 one wildtype target, but even in females with two wildtype copies of y [21]. This study pointed 267 out that the efficiency of inducing somatic clones not only depends on the concentration of 268 sgRNA injected, but more importantly on the selection of the site in the y gene that was 269 targeted. It is thus conceivable that the sgRNAs used in our work were able to efficiently 270 disrupt the gene in the germline cells but not in somatic cells. Germline transmission is a 271 prerequisite for the investigation of any new mutation generated and, in this regard, our main 272 objective was to recover fertile adults transmitting mutant MdY alleles. The lack of somatic 273 effects in G₀ adults can be a beneficial feature to avoid sterility that may be inflicted by the 274 presence of mutant somatic tissue.

275

276 Recent work proposed that the CRISPR-Cas9 system can be used for genetic mapping 277 by inducing targeted recombination events in meiotic and mitotic cells [22]. Our finding of an 278 intragenic recombination event in line MdY#29 suggests that Cas9 induced breaks allows 279 recombination between homologs in the germ line of housefly males. This observation suggests 280 a possible Cas9-mediated strategy for male meiotic mapping in future studies. In addition, 281 homologous recombination (HR)-mediated repair of Cas9 induced double-strand breaks in 282 Musca offers the opportunity to attempt template-based editing of genomic sequences for 283 targeted knock-ins in the future.

284

285 To our knowledge, our study is the first report showing that Cas9 can be effectively 286 deployed for NHEJ mediated-disruption of genes in Musca domestica, an important addition to 287 the toolkit of molecular methods that have already been established in Musca for gene function 288 analysis. Together with transient RNAi-based gene silencing [23,24] and stable germline 289 transformation [16,25], this new genome editing system provides a means to investigate 290 evolutionary diversification of developmental pathways such as the polymorphic sex 291 determination system of the housefly [15,26]. Our successful attempt promises that this genome 292 editing technology can be used in the housefly to study the function of any candidate gene of 293 interest.

294

- 295 Methods
- 296

297 Rearing of houseflies

Rearing of larvae and adult flies has been described in [27,28]. Since low density of larvae on standard medium can cause substantial decrease in survival rates, we reared injected embryos and the surviving larvae on porcine manure. To dispose of mites and other parasites and to avoid contamination with eggs or larvae from wild-type populations, manure was stored at -70° C for at least two weeks prior to use.

303

304 Strains of Musca domestica

- 305 (1) wildtype strain was collected in Siat, Switzerland: females X/X; bwb^+/bwb^+ and males 306 X/Y; bwb^+/bwb^+
- 307 (2) multi-marked strain *aabys*: females X/X; *ac/ac*; *ar/ar*; *bwb/bwb*; *ye/ye*; *snp/snp* and males
 308 X/Y *ac/ac*; *ar/ar*; *bwb/bwb*; *ve/ve*; *snp/snp*; [12]
- 309 (3) autosomal M^{III} strain: females X/X; *pw*, *bwb*, *w*/*pw*, *bwb*, *w* and males X/X; *pw*⁺, M^{III} , 310 *bwb*⁺, *w*/*pw*, *bwb*, *w* [16]
- 311

312 Genomic DNA extraction

313 For genomic DNA extraction a single fly was collected in a 1.5 ml tube, frozen in liquid

- nitrogen and ground in 1 ml of extraction buffer (0.1 M Tris-HCl, pH 9; 0.1 M EDTA; 1% SDS
- and 1% of DMDC added freshly). After 30 min incubation at 70 °C, 140 µl of 8M potassium
- acetate was added and sample was gently inverted and incubated for 30 min on ice. After 15
- 317 min of centrifugation at 4°C at 13'000 rpm, supernatant was transferred to a new tube, and 550
- 318 µl of isopropanol was added. The mixture was centrifuged at RT for 5 min at 14'000 rpm and
- the supernatant was removed. The pellet was washed with 500 μl of 70% EtOH (-20 °C) and
- 320 centrifuged at RT for 2 min at 14'000 rpm. The DNA pellet was finally dissolved in 30 or 50 μl
- 321 of 10 mM Tris and 1 µl of RNaseA (10mg/ml) to remove RNA. Amplifications for sequence
- 322 analysis were performed with following primers. For exon 1 we used forward
- 323 Y-ORF-F3 (5'-TGCTGTGGACATTGGCAAGA -3') and reverse RE1: (5'-
- 324 TCTCATTCACATCCACACCGT-3').
- 325 For exon2 we used forward FE4 (5'-CAGGTATACCAGCCACATTGA-3') and reverse Y-
- 326 ORF-R5 (5'-CTAATGATGGGCGGATGTGGA-3').

- 327 For insertion we used flanking primer forward Y-GAP1-F1 (5'-
- 328 GGCCGAAGTGAGACAGAGAA-3') and Y-EXON1-R (5'-
- 329 CTAGTGGC<u>G</u>AAAAACCATTAA-3').
- 330

331 sgRNA synthesis and RNP complex assembly

- 332 sgRNA were designed using MultiTargeter Website (<u>http://www.multicrispr.net</u>). Possible
- 333 OFF-target sites were excluded with the program Cas-OFFinder (http://www.rgenome.net/cas-
- 334 <u>offinder/</u>) and by directly BLASTing selected sequences against the published housefly genome
- 335 sequence [12]. Following templates for sgRNA production were generated:
- 336 sgY2: 5'-GAAATTAATACGACTCACTATA GGCTTTGTCGCCCATTCGTT
- 337 GTTTTAGAGCTAGAAATAGC-3'
- 338 sgY3: 5' -GAAATTAATACGACTCACTATA GGCATAGGGACAGGGGTTGG
- 339 GTTTTAGAGCTAGAAATAGC-3'
- 340 Common sgReverse (PAGE purified): 5'AAAAGCACCGACTCGGTGCCACTTTTCAA
- 341 GTTGATGGACTAGCCTTATTTTAACTTGCTATTTCTAGCTCTAAAAC AAC
- 342 For synthesis of sgRNA we followed the instructions of Megatranscript T7 kit (Ambion) using
- 343 400 ng of target template with a 5' flanking T7 promoter as starting material. After RNA
- 344 synthesis template was removed by incubating with TurboDNase (Mmessage Mmachine T7
 345 Ultra Kit, Ambion) for 15 min at 37 °C.
- Cas9 was expressed as an His-tagged protein and purified from bacteria (A.M. and G.S. unpublished results). The injection cocktail was prepared by mixing 1.5 μ l purified Cas9 protein (9 mg/ml) with 2 μ l of sgRNA in 1.36 μ l of 2M KCl in a total of 10 μ l. Prior injection the mix was incubated for 10 min at 37°C [17]. For injection we prepared a 1:1 mix of *sgY3*preloaded Cas9 RNPs and *sgY2*-preloaded Cas9 RNPs.
- 351

352 Microinjection of sgRNA-Cas9 complexes

353 Embryos of the M^{III} host strain were collected 1 hour after egg lay and chorion membrane was 354 removed by incubating embryos in 3% sodium hypochlorite solution (NaOCl) for 1.5 min. 355 Dechorionated embryos were then rinsed thoroughly with water and Ringer's solution. 356 Embryos were aligned on a cover slip with posterior ends pointing to injection site, dehydrated 357 for 4 min in a silicagel chamber and then covered with 3S /10S (1:4) Voltalef oil (Prolabo). A 358 glass needle was filled with the preloaded sgRNA-Cas9 mix which was injected into the 359 posterior end of 0 to 1 hour old embryos. After injection excess Voltalef oil was carefully 360 removed and cover slip were put on an agar plate overnight at 25°C. Surviving larvae were

- 361 transferred after 24 hours to small beaker filled with porcine manure. G₀ male individuals were
- 362 collected shortly after eclosing and crossed with untreated virgin females of the M^{III} strain.
- 363

364 Genomic analysis of CRISPR-Cas9 mediated lesions in *MdY*

- 365 To examine for the presence of lesions in *MdY* caused by NHEJ, genomic DNA of *bwb* mutant
- 366 F1 males was extracted following the protocol described above. The region encompassing the
- 367 two target sites was amplified with the following primer:
- 368 forward primers FE3: TCTGGCAAACCACAAGT or F4
- 369 CAGGTATACCAGCCACATTGA; reverse primers Y-ORF-R1:
- 370 GACGAATGCCAACAACCCAC or Y-ORF-R5 CTAATGATGGGCGGATGTGGA
- 371 PCR products were purified with the Wizard® Genomic DNA Purification Kit (Promega),
- 372 subcloned in the pGEM®-T Easy Vector (Promega) and sent for Sanger sequencing (GATA
- 373 BIOTECH). CrispRVariants and the generation of panel plots was performed from primary
- 374 sequencing data as previously described. [17,18]
- 375

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469

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- 479

480 Authors contributions statement

482 DB. and GS conceived the experiments, SDH, TK, DI, AM. and AB. conducted the 483 experiment(s). All of the authors discussed the data and helped manuscript preparation. DB,

- 484 GS, and CM wrote the manuscript with intellectual input from all authors.
- 485

481

486 **Competing financial interests statement**

487 The authors declare no competing financial interests.

488 FIGURE LEGENDS

489

490 Figure 1. *bwb* phenotype is associated with nonsense mutations in *MdY*

491 Phenotypes are displayed from left to right: multimarked *aabys* fly, *bwb* mutant female from M^{III} strain and *bwb* wildtype male from M^{III} strain. Genotypes are indicated; *bwb*: brown body, 492 493 pw: pointed wings (notches along the edge of the wing, see arrow), w: white eyes. (B) schematic drawing of the MdY locus in the bwb mutant aabvs strain and the Siat bwb wildtvpe 494 strain. The aabys allele, MdY^{a1} , contains a 1.5 kb insertion in the 5' UTR and an additional 4 bp 495 insertion in the ORF of exon 1. This frame-shift leads to a premature TAA stop in the 5' end of 496 497 exon 2. The Siat MdY allele has an intact ORF (boxed yellow). (C) Genomic amplification with 498 flanking primers Y-GAP1-F1 and Y-EXON1-R show that the 1.5 kb insertion is present in 499 males and females of the *bwb* mutant aabys strain, but not in the *bwb* wildtype Siat flies (D) 500 Affected part of the coding region (position 63 to 80) of the nonsense alleles of MdY. 501 Deviations from the wildtype sequence (Siat) are marked in red and translational stops in bold. 502 Location of intron is indicated with a triangle.

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505 Figure 2. Strategy for CRISPR/Cas9 mediated disruption of MdY

506 (A) A schematic of the MdY gene showing the positions of the two target sites in exon 2. 507 Sequences used for the design of the two sgRNAs, sgY3 and sgY2, are indicated. Both 508 sequences are flanked by a PAM motif (in red) and separated by 343 bp. (B) Crossing scheme 509 for screening mutational events affecting melanization. Injected G₀ males (with a mix of sgY3-510 CAS9 and sgY2-CAS9) are crossed with bwb females and F1 is examined for occurrence of 511 bwb males. (C) Left a bwb mutant F1 male from line MdY#16 which is heterozygous for a 512 CRIPSR induced 10 bp deletion in sgY3 over MdY^b . Right an unaffected *bwb* wildtype F1 male from the same line with the paternal genotype $(MdY^+ \text{ over } MdY^b)$ 513

514

515 Figure 3. Intragenic recombination in *MdY* mediated by CRISPR/Cas9

516 (A) Excerpts of chromatograms of exon 1 and 2 showing allele-specific polymorphisms. 517 Mutant F1 *bwb* males are homozygous for the two variants in exon 1 (MdY^b genotype) 518 amplified with primers Y-ORF-F3 and RE1, but heterozygous for the three variants in exon 2 519 like in the paternal wildtype *bwb* G₀ male amplified with primers FE4 Y-ORF-R5. Arrows 520 point to the allele-specific polymorphisms examined. (B) This sequence analysis suggests that 521 an intragenic recombination occurred downstream of the MdY^b specific TGA stop codon in

- exon 1 and upstream of polymorphisms examined in exon 2. Locations of the SNPs between
 the two target sites (sgY3 ad sgY2) are indicated with short arrows.
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526 Table 1. Lines with *bwb* males in F1 generation

From a total of 43 crosses with 2 injected G_0 males each, 14 lines with *bwb* mutant F1 males were recovered. MdY#29 and MdY#40 also produced *bwb*⁺ wildtype females. The numbers of F1 flies with different phenotypes are shown for each line. Presence of M^{III} indicates a male phenotype.

531

532 Table 2. Overview of *MdY* lesions detected in *bwb* males

533 14 CRISPR lines are listed with lesions detected in the target sites, Δ bwb sg3 and Δ bwb sg2. 534 The majority of mutations caused by NHEJ occurred in the Δ bwb sg3 region ranging in size 535 from 1 bp insertion to 174 bp deletions. In line MdY#38 sequence changes in and downstream 536 of Δ bwb sg3 but we were unable to determine the extent of this putative lesion (nd). In lines 537 MdY#29 and MdY#40 none of the isolated sub-clones harbored a visible lesion in the region of 538 the two target sites. Since both lines also produced recombinant pw, bwb^+ females, it is likely that the pw^+ , bwb males are products from a recombinant event rather than from a NJEH 539 540 induced lesion.

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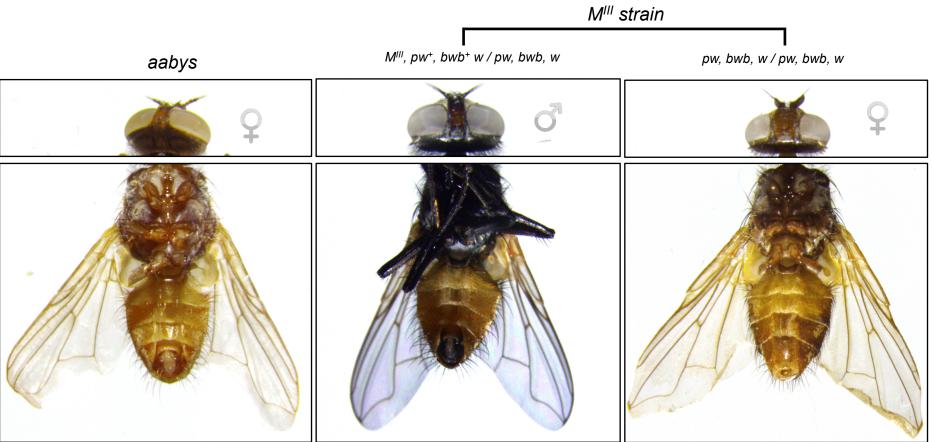
544 Highlights

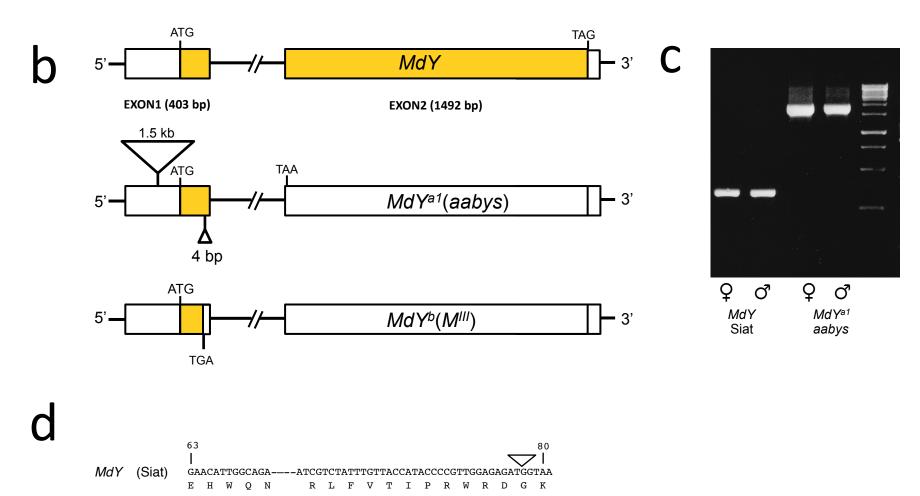
- The *brown body* locus of Musca encodes the dopachrome conversion enzyme *yellow*
- CRISPR-Cas9 technology is highly efficient in generating new loss-of-function alleles in
- 547 Musca
- CRISPR-Cas9 can be used for interchromosomal recombination in male houseflies

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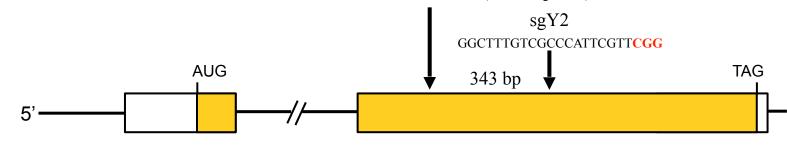
MdY ^{a2} (M ^{III})	GA	ACA	TTG	GCA	GAA	TCC	ATC	CAC	TAT	TTG	TTA	CCA	TAC	ссс	GTT	GGA	GAG	ATG	G TAA
	Е	Η	W	Q	N	Ρ	S	т	Ι	С	Y	Η	т	Ρ	\mathbf{L}	Е	R	W	*

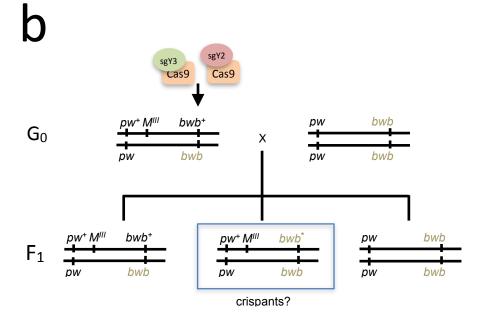
 $MdY^{b}(M^{\prime\prime\prime})$ gaacat **tga**caga----atcgtctatttgttaccataccccgttggagagatggtaa E H *



С

GGCATAGGGACAGGGGTTGGTGG (non-coding strand)

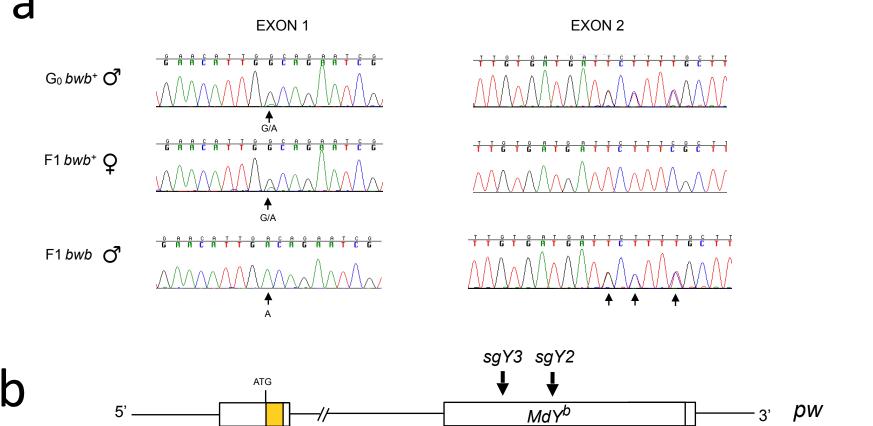




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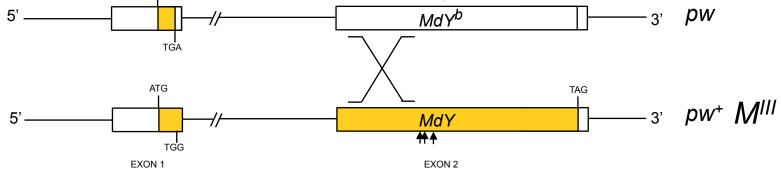


Figure 3 Heinze et al.

	<i>M^{III} pw</i> ⁺ <i>bwb</i> ⁺	pw bwb	<i>M^{III} pw</i> ⁺ <i>bwb</i>	pw bwb +
MdY#2	198	484	18	0
MdY#4	212	183	1	0
MdY#7	136	150	1	0
MdY#9	152	136	3	0
MdY#10	28	86	151	0
MdY#13	110	124	12	0
MdY#14	139	55	1	0
MdY#16	179	174	21	0
MdY#19	147	115	13	0
MdY#29	142	136	68	10
MdY#33	257	211	7	0
MdY#36	163	138	4	0
MdY#38	194	171	5	0
MdY#40	81	62	2	1

	Δ bwb sg3	Δ bwb sg2			
MdY#2	1 bp insertion	-			
MdY#9	174 bp	-			
MdY#10	15 bp	-			
MdY#13	11 bp	_			
MdY#14	-	2 bp			
MdY#16	10 bp	-			
MdY#19	14 bp	11 bp			
MdY#29	-	-			
MdY#33	12 bp	-			
MdY#36	43 bp	-			
MdY#38	extent not defined				
MdY#40	1038 bp				