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Effect of carboxymethylcellulose on potassium bitartrate crystallization on model solution and white wine

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ABSTRACT

Instability in bottled wines refer to tartaric salts crystallization such as potassium bitartrate (KHT). It is not desirable as consumers see the settled salts as an evidence of a poor quality control. In some cases, it causes excessive gushing in sparkling wine. We investigate the effect of two oenological carboxymethyl-cellulose (CMC) for KHT inhibition in a model solution of white wine by studying the impact of some properties of CMC such as the degree of polymerization, the degree of substitution, and the apparent dissociation constant determined by potentiometric titration. Polyelectrolyte adsorption is used for determining the surface and total charge and for providing information about the availability of CMC charged groups for interacting with KHT crystal faces. The inhibitory efficiency of CMC on model solution is evaluated by measuring the induction time with the help of conductimetric methods. Crystals growth with and without CMC are studied by observation with MEB and by thermal analysis using DSC. The results confirm the effectiveness of CMC as an inhibitor of KHT crystallization in a model solution. The main hypothesis of the mechanism lies in the interaction of dissociated anionic carboxymethyl groups along the cellulose backbone with positively charged layers on KHT faces like the {0 1 0} face. Key factors such as pH, CMC chain length and total charge are discusses.

1. Introduction

Potassium bitartrate (KHT) develops naturally in wine causing settled salts in wine bottle. Besides, an excessive gushing when the bottle is opened with a sparkling wine can occur since endogenous tartrate crystals entrap gas pockets and thus start the bubble production as nucleation sites [1]. Both phenomena are badly considered by consumers. To avoid crystallization of these salts, several chemical and physical methods are possible including the most commonly used but expensive cold stabilization. It consists in a cooling down to lower the saturation temperature noted T_{sat} followed by filtration. Other alternatives exist such as using additives. The use of molecules from the carboxymethylcellulose family is authorized by the OIV (Organisation Internationale de la Vigne et du Vin) since 2009 with a maximal dosage at 100 mg/l. It is considered less expensive, efficient for white wine and quick to add to the winemaking process.

The crystallization of potassium hydrogen tartrate is controlled by solid-liquid equilibrium of the solute species K^+ with the dissociated species TH^- from L(+)-tartaric acid in wine according the following equation:

$$K^+_{sol} + C_4H_5O_{6_{sol}}^- \rightleftharpoons KC_4H_5O_6 \tag{1}$$

Equilibrium is not reached during the bottling process. The complexity and diversity of wines make it difficult to assess the mechanisms of crystallization inhibition in situ and we use in this work a model solution, instead.

Carboxymethylcellulose (CMC) is a derivative of cellulose and is widely used as a food additive so called E466 in concentrations up to several g/l in dairy products. For wine, carboxymethylcellulose is used as a sodium salt CMC-Na. The polymers are obtained by mercerization in sodium hydroxide followed by etherification with monochloroacetic acid to change primary or secondary alcohol groups of β-D glucose units on cellulose chains to sodium acetate groups (Fig. 1). Carboxymethylcellulose is an ionic cellulose ether with a non-uniform distribution of substitution of the hydroxyl groups on the backbone. CMC consists of unsubstituted (D-glucose), monosubstituted (2-, 3- and 6-mono-carboxymethyl-D-glucose), disubstituted (2,3-, 2,6- and 3,6-di-O-carboxymethyl-D-
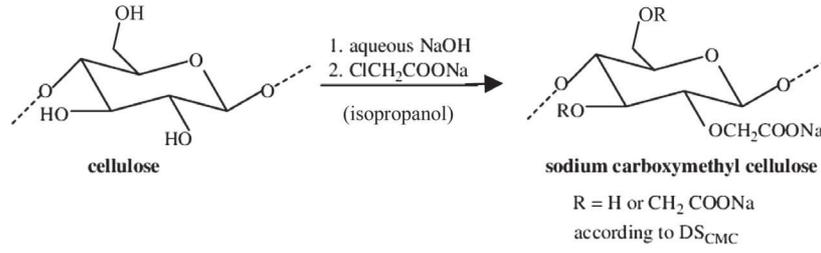
Fig. 1. Carboxymethylcellulose structure from cellulose with chemical reaction.

glucose), and trisubstituted (2,3,6-tri-O-carboxymethyl-D-glucose) units (Fig. 1).

Both the degree and the type of substitution influence the solubility of the polymer and its ionicity [2]. If the inhibitory effect of CMC on KHT crystallization is undisputed [3–6], its mechanisms are not well understood. This is our main goal. In several studies [3–6], it has been postulated that CMCs may interact with their negative charge with the positive charge on the crystal surface of KHT caused by potassium layers [7–9].

In this paper, we study in detail the effect of CMC on KHT crystal in a model solution rather than wine in order to avoid interaction with others wine components like polyphenols and other forms of colloidal materials. Those wine components have been showed to be natural inhibitors of KHT crystallization affecting both nucleation and crystal growth processes [10].

Our work aims at providing a sounder understanding of the interaction mechanisms between CMC and KHT. After a presentation of the theoretical background for the preparation of a model solution and induction time measurements (Section 2), we report results for two oenological carboxymethylcelluloses noted CMC A and CMC B. The results are then discussed. Then, we present experimental studies (Section 3) aiming at determining properties of the CMCs like the total charge with the help of adsorption of cationic polyelectrolyte [11] and their influence on the growth morphology of KHT crystals obtained from model solution and in white wine. Inhibitory mechanisms are inferred from these results and discussed all along.

2. Theoretical procedure

2.1. Supersaturation determination for a model solution

2.1.1. Characterization of model solutions and physico-chemistry

Model solutions were prepared to match a white sparkling wine before wine stabilization [12,13]. The supersaturation S_{KHT} in the model solution is dependent on the temperature conditions and other thermodynamic properties such as pH, temperature, ethanol content, solubility product, the ionic strength, density of solution [8,14,15]. Supersaturation can be computed by solving the thermodynamic equations depending on the salt and organic acid dissociation equilibria, taking into account activity coefficients and molality of each species [16,17].

The alcohol content for this study is set to 12.5% (v/v) and the pH to 3.25. The saturation temperature T_{sat} is 18.6 °C. Under these conditions, the density is 982.3 kg/m³, the acid dissociation constants of the tartaric acid are $pK_{a1}(TH_2) = 3.24$ and $pK_{a2}(TH_2) = 4.59$ [14] and the solubility product K_{ST} (KHT) is $8.3 \cdot 10^{-5}$ mol²/kg². The dissociation of tartaric acid enables to evaluate the percent of each dissociated species in the model solution without taking into account the KHT complexes [8]. The total molality of the tartaric species is given by Eq. (2) as follows:

$$m_{TH_2,total} = m_{TH_2} + m_{TH^-} + m_{T^{2-}} \tag{2}$$

With:

m_{TH_2} Molality of non-dissociated tartaric acid species (mol/kg of solvent)

m_{TH^-} Molality of tartrate ion (mol/kg of solvent)

$m_{T^{2-}}$ Molality of bitartrate ion (mol/kg of solvent).

By using the usual expression for acid dissociation equilibria [10], one can predict the molality of TH^- as follow. The activity coefficient of non-dissociated TH_2 is set equal to one. The other activity coefficients are defined by Eqs. (3) and (4).

$$\gamma^2_{\pm,H:TH} = \gamma_{H^+} \cdot \gamma_{TH^-} \tag{3}$$

$$\gamma^3_{\pm,H_2:T} = \gamma^2_{H^+} \cdot \gamma_{T^{2-}} \tag{4}$$

Molalities of tartrate species are obtained from Eqs. (5) and (6) with $K_{a1} = 10^{-pKa1}$ and $K_{a2} = 10^{-pKa2}$.

$$m_{TH^-} = \frac{m_{TH_2,total}}{1 + \frac{K_{a2}\gamma^2_{\pm,H:TH}}{m_{H^+}\gamma^3_{\pm,H_2:T}} + \frac{m_{H^+}\gamma^2_{\pm,H:TH}}{K_{a1}}} \tag{5}$$

$$m_{T^{2-}} = \frac{K_{a2}m_{TH^-}\gamma^2_{\pm,H:TH}}{m_{H^+}\gamma^3_{\pm,H_2:T}} \tag{6}$$

The distribution of the tartaric acid species at different pH is represented in Fig. 2. The percent of TH^- for the model solution with a pH of 3.25 is 48.12%.

In Eqs. (3) and (4), the activity coefficient in KHT solution is computed by using the extended Debye Hückel law. This model is valid in case of a weak ionic strength noted I, equation (7), lower than 0.1 mol kg⁻¹ [18]. It depends on the charges z_i of the dissolved species:

$$I = \frac{1}{2}\sum_i m_i z_i^2 \tag{7}$$
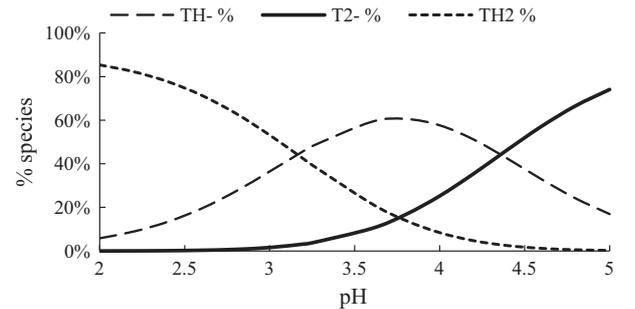
Fig. 2. Percent of tartaric acid dissociation in model solution at different pH with the qqsolution at T_{sat} = 18.6 °C and EtOH of 12.5% (v/v) at 25 °C.

$$\log_{10}(\gamma_{\pm}) = -A_{DH}z_+|z_-| \cdot \frac{\sqrt{I}}{1 + B_{DH}\alpha\sqrt{I}} + C_{DH}I \tag{8}$$

With the constants:

$$A_{DH} = \frac{1}{4\pi\ln(10)}\left(\frac{e}{\sqrt{\varepsilon kT}}\right)^3\sqrt{\frac{\rho_0 N_A}{2}} \tag{9}$$

$$B_{DH} = \sqrt{2\frac{e^2 N_A \rho_0}{\varepsilon kT}} \tag{10}$$

$$C_{DH} = 0.055 \tag{11}$$

with

- α The shortest approach distance close to hydrated cation ($0.4 \cdot 10^{-9}$ m for H^+; $0.5 \cdot 10^{-9}$ m for K^+)
- ε The product of relative dielectric relative constant of the solvent r and the Permittivity, $\varepsilon = \varepsilon_0\varepsilon_r$
- ε_0 Permittivity ($8.854187 \cdot 10^{-12}$ F m^{-1})
- e Electron charge ($1.602177 \cdot 10^{-19}$ C)
- k Constant of Boltzmann ($1.380658 \cdot 10^{-23}$ J K^{-1})
- N_A Avogadro's Number ($6.022136 \cdot 10^{-23}$ mol^{-1})
- ρ_0 Density of solvent (kg m^{-3})

Our model solution contains at first a mixture of water and 12.5 vol% ethanol as the solvent, and tartaric acid and potassium salt as K_2SO_4 as solute along with Na brought by sodium hydroxide to set the pH constant. The potassium salt is considered completely soluble in the solvent. Recombination of sulfate in sulfuric acid is taken into account with pKa$_{1,H2SO4}$ = -3 and pKa$_{2,H2SO4}$ = 1.93 [8]. Hence, the ionic species present are H^+, K^+, Na^+ for cations and HSO_4^-, SO_4^{2-}, TH^- and T^{2-} for anions. Because of the low concentration of the CMC additives, their ionic contribution is considered insignificant. The calculation of the molality of all species proceeds iteratively by initializing in the first place a molality of H^+ and an ionic strength to solve the equation of the electro neutrality as follows in Eqs. (12) and (13):

$$\sum(z_i m_i)^{cations} = \sum(z_i m_i)^{anions} \tag{12}$$

$$m_{H^+} + m_{K^+} + m_{Na^+} = \frac{10^{-14}}{\gamma_{H^+}^2 \cdot m_{H^+}} + m_{HSO_4^-} + 2m_{SO_4^{2-}} + 2m_{T^{2-}} + m_{TH^-} \tag{13}$$

With the molality of the species depending on the molality of H^+, the equation has one unknown, which is m_{H^+}. The proton H^+ activity coefficient is computed using a mean activity coefficient equation like Eq. (3) with McInnes convention [8].

In Eq. (13), only the free potassium ions are considered. Nevertheless, the complexation of salts KHT exist in hydro-alcoholic media [8,19]. Hence, by using the KHT complexes dissociation constant $K_d(KHT)$ = 0.05 mol/kg of solvent [8] one can evaluate the precise amount of fully solvated potassium cations as follows. In Eq. (14) the molality of potassium ion is determined based on K_2SO_4 salts introduction with m_{K+} equal to $2m_{K2SO4}$.

$$m_{KHT} = \frac{m_{K^+} \cdot m_{TH^-} \cdot \gamma_{\pm,H:TH}^2}{K_d(KHT)} \tag{14}$$

2.1.2. Supersaturation

The supersaturation ratio noted S_{KHT} is expressed in term of activities using the molal scale [20]. S_{KHT}, Eq. (15), is defined as a ratio between K_a the product of activities of free ions and K_{ST} the thermodynamic solubility product of the salt.

$$S_{KHT} = \sqrt{\frac{K_a}{K_{ST}}} = \sqrt{\frac{(\gamma_{\pm,H:TH}^2 m_{TH} - m_{K^+})}{K_{ST}(KHT)}} \tag{15}$$

By definition, the supersaturation is equal to one at the so-called saturation temperature noted T_{sat}.

2.2. Induction time

The induction time noted t_{ind} is the time necessary for crystals to appear. It is affected by many factors. The induction time delay can be due to a change of the equilibrium solubility or the solution structure by adsorption or chemisorption on nuclei or also by the formation of complex in solution [21]. Other important factors are the level of supersaturation, the state of agitation, the presence of impurities, the viscosity, etc. [21].

The induction time can be divided in different time lags: there is the relaxation time called t_r that is required for this system to achieve a quasi-steady-state distribution of molecular clusters. Time is also required for the formation of a stable nucleus called t_n and finally the time for the nucleus to grow to a detectable size is noted t_g. They all sum up to give the induction time according to Eq. (16) [21]:

$$t_{ind} = t_r + t_n + t_g \tag{16}$$

It is difficult to separate each contribution and we are only interested on the induction time. The presence of impurities or seed crystals can reduce considerably the induction time when they induce secondary nucleation, usually much faster than primary nucleation. For the sake of a fair comparison of the induction time delay induced by several additives, we maintain similar conditions during the experiments: a strong mixing, the same volume of reactor, careful monitoring of the temperature, a closed reactor to avoid any introduction of other impurities.

The induction time is a measure of the nucleation event under the assumption that the induction time is inversely proportional to the rate of nucleation according to Eq. (17) [21]:

$$t_{ind} \propto J^{-1} \tag{17}$$

According to Mullin, the classical nucleation relationship may be written and has been simplified according to the Eq. (18) as follows [22]:

$$t_{ind} = \frac{k}{A_0}\exp\left(\frac{B}{\ln^2(S)}\right) \tag{18}$$

$$B = \frac{16\pi\sigma^3 V_m^2}{3k^3T^3} \tag{19}$$

With k being the Boltzmann constant, the molecular volume V_m in m^3 and σ interfacial tension in J m^{-2}.

A plot of $\log(t_{ind})$ versus $(\log S)^{-2}$ regressed with a straight line allows to evaluate the interfacial tension σ. The value of the interfacial tension is then used as an indicator of the ability of the solute to be crystallized in the solution spontaneously. The higher the value, the more difficult it is for the solute to crystallize.

2.3. Dissociated groups in cellulose derivative

The adsorption of cationic polymers with a high charge density is a powerful method to determine the availability of ionized groups on macromolecules such as CMC. Cationic polymers with a low molecular weight have more easily access to all charges on the surface because of the conformation of the chain in solution. Alternatively, cationic polymers with a high molecular weight in the medium tend to fold and then, more time is necessary to have

a complete determination of charges [23]. Knowledge of the amount of cationic polymer enables to determine by extrapolating the plateau value of adsorption reaching 0 mV, the isoelectric point. Then, the total charge is supposed to be proportional to the number of available ionized group on the cellulose [24].

3. Materials and methods

3.1. Model solution

Model solutions were prepared by dissolving 1.2 g/l of potassium salt K_2SO_4 (Sigma Aldrich) and 3.0 g/l of tartaric L(+) acid (Sigma Aldrich) into water with 12.5% (v/v) of ethanol (ethanol absolute anhydrous Carlo Erba). Then, pH was adjusted by using a solution of NaOH at 5 M to obtain a value of 3.25 within the pH range of wine and to have a sufficient amount of TH^- to favor the KHT crystallization (see Fig. 2). Knowing the concentration of species, equations from Section 2.1 enable to compute the activity coefficient (Table 1) and the molality of different ions (Table 2). The ionic strength of model solution is $I = 0.033$ mol kg^{-1} and $T_{sat} = 18.6$ °C.

3.2. Carboxymethylcellulose

3.2.1. Solution of carboxymethylcellulose

For this study, we choose two oenological CMC previously washed with acetone and ethanol. For induction time measurements, solutions of CMC A and CMC B were prepared as follows: adding 1 g of each polymer powder into 100 ml in vigorously stirred deionized water and further stirring for 30 min at 30 °C for a better dissolution. The solutions were refrigerated overnight to ensure complete hydration of polymer.

3.2.2. Degree of substitution

The degree of substitution measurements were done by NMR to determine the average distribution of groups on a glycoside unit. The protocol was as follows [25]: 150 mg of the CMC sample was placed in a beaker then adding 1 ml of D_2O. Then 1 ml of (50:50) (v/v) $D_2O + D_2SO_4$ was slowly added to the previous mixture to disperse the CMC completely. The mixture was heated to 90 °C for at least 30 min and up to 2 h with steady agitation to help break the gel effect that often happens when dissolving CMC. Once the hydrolysis was complete, the solution obtained was homogeneous and fluid and displayed a pale yellow color. Approximately 0.4 ml of solution was save by a syringe filter for NMR analysis.

The method above allows the complete depolymerization of the CMC unsubstituted unit [26]. The determination of the mole fractions is carried out by an addition of the different areas from NMR spectrum of the hydrolysate of CMC sample. The NMR analyses of CMC A (1) and CMC B (2) are shown in Fig. 3.

3.2.3. Degree of polymerization

The degree of polymerization and the molar mass were determined by viscosimetry following the ASTM D2515 protocol. Viscosimetric measurements were carried out with an automatic viscoclock SI Analytics capillary Ubbelohde tube at 25 °C and the

Table 1
Calculation of activity coefficient.

Activity coefficient	
$\gamma(H^+)$	0.840
$\gamma(K^+)$	0.833
$\gamma \pm (K:HT)$	0.836
$\gamma \pm (H_2:T)$	0.703

Table 2
Calculation of molality of each species in model solution.

Molality	mol/kg of solvent
$m(H^+)$	6.63E−04
$m(K^+)$	1.22E−02
$m(Na^+)$	1.23E−02
$m(TH_2)$	7.98E−03
$m(TH^-)$	9.82E−03
$m(T^{2-})$	7.71E−04
$m(OH^-)$	1.78E−11
$m(HSO_4^-)$	1.85E−04
$m(SO_4^{2-})$	6.83E−03

viscosity constant K was about 0.01 mm^2/s^2. Intrinsic viscosities of CMC were obtained by dissolving the sample at different concentrations in 0.5 M NaOH. The concentration range investigated was from 1 to 6 g/l. The correction of the kinematic viscosity was obtained by the following Eq. (20) with the different parameters: K for the constant equal to 0.01 mm^2/s^2, then t for the time measured in s with the device and y the Hagenbach correction time.

$$\nu_c = K(t - y) \tag{20}$$

With the kinematic viscosity and the density of the solution, the intrinsic viscosity ν_c is given by:

$$\eta = \rho \nu_c \tag{21}$$

The molecular weights of the CMC were calculated by determination of the intrinsic viscosity and by using Huggins and Kramer Eqs. (22) and (23) [27]:

$$\frac{\eta_{sp}}{c} = [\eta] + k_1 [\eta]^2 c \tag{22}$$

$$\frac{\ln(\eta_r)}{c} = [\eta] + k_2 [\eta]^2 c \tag{23}$$

where $\eta_{sp} = (\eta - \eta s)/\eta_s$ and $\eta_r = \eta/\eta_s$ with η_s and η the viscosities of the solvent and the solution respectively. The intrinsic viscosity equals 10 times the ordinate at the intersection with the x-axis origin. Then, the molecular weight was determined using the corresponding Mark-Houwink Eq. (24) [28]:

$$[\eta] = 5.37 \cdot 10^{-4} M_w^{0.73} \tag{24}$$

To finalize, the degree of polymerization DP in Eq. (25) was obtained for each CMC depending on the degree of substitution noted DS:

$$DP = \frac{M_w \times 1000}{162 + (80 \times DS)} \tag{25}$$

3.2.4. Characterization of carboxymethylcellulose

After analysis of the NMR data in Fig. 3, calculation of the peak areas gives access to the fraction of carboxymethyl groups in each position O-2, O-3 and O-6 (Table 3). DP, DS and M_w of CMC A and CMC B are also summarized in Table 3.

Table 3 shows that CMC A and CMC B have the same DS but a DP that is different. The distribution of the substitutes is important for understanding the acting mode of CMC particularly because of steric hindrance and winding shape of CMC under set conditions (pH in particular). ^{1}H NMR spectroscopy results in a direct information on the substituent distribution within at the O-2, O-3 and O-6 atom. From Table 3, it is noticed that the distribution of substitutions along the chain for both CMCs is ranked in the order O-2 > O-6 > O-3. It is also evident that different distribution can be obtained for the same DS. Hence, DS information alone does not discriminate CMCs.
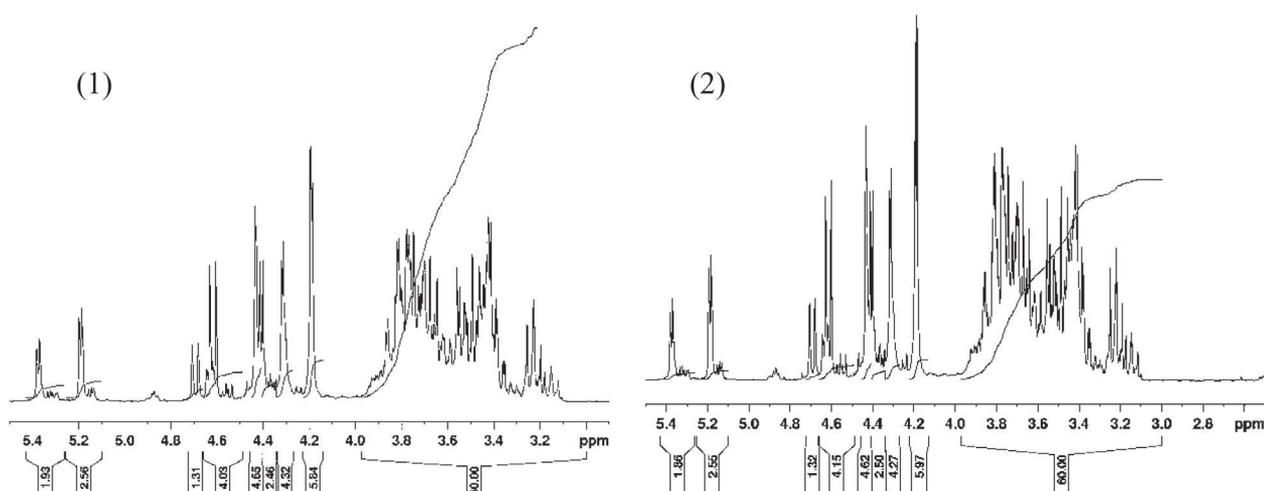
Fig. 3. ^{1}H NMR spectra of CMC A (1) and CMC B (2).

Table 3
Degree of substitution DS of carboxymethylcellulose (CMC) determined by NMR spectroscopy, Degree of polymerization DP of CMC determined by viscosimetry method and molecular weight M_w.

Samples	Mole fraction of carboxymethyl groups			DS	DP	M_w (kg/mol)
	O-2	O-3	O-6			
CMC A[a]	0.344	0.236	0.296	0.93	238	59.8
CMC B[a]	0.363	0.285	0.315	0.93	51	13.0

[a] Oenological carboxymethylcellulose (food grade).

3.2.5. Degree of dissociation by potentiometric methods

To measure the total charges along the chain, solutions of CMC were prepared at 0.025% (w/w) in deionized water. Poly(dimethyl-diallylammonium) chloride (PolyDADmac) ($M_w > 100,000$) was used for the surface charge determination. All acid groups of the CMC were converted to the form CMC-H with HCl. The pH was adjusted to 2.5 with 0.1 M HCl. The suspension was stirred to reach adsorption equilibrium at each pH value. pH was recorded with a pH-meter Thermo Scientific (ORION VersaStar) and increased by adding NaOH (0.1 M). Each measure was done three times.

Once pH was set, 10 ml of solution of CMC was titrated with PolyDADMAC using a MUTEK PCD-02 particle charge detector to detect the zero potential point. The amount of charge adsorbed with the polymer at this point was taken as the charge of the CMC solution. The charge density was determined by the following Eq. (26):

$$q = C(V_{polyDADMAC} - V_{blank})\frac{1000}{w} \qquad (26)$$

where V_{blank} and $V_{polyDADMAC}$ are titration volumes in ml of poly-DADMAC for the blank sample and the CMC sample, respectively. C is the weight concentration of cationic polymer, 1000 is the ratio of the total volume of filtrate and the volume of filtrate suspension taken to the titration, and w is the dry mass of the sample in g.

The plots in Fig. 4 show that the adsorption of CMC on cationic polymer increases with pH and, at some point, it reaches a constant value. This point is considered as the apparent pK_a value. For pH < pK_a, CMC exhibits predominantly the form CMC-OCH$_2$COOH. When the pH increases, more carboxymethyl substitutes dissociate and the volume of cationic polymer needed to do the titration increases. After the pK_a value, CMC is completely dissociated as CMC-OCH$_2$COO$^-$. Both CMCs display the same trend with pK_a values close to each other but CMC B has a higher total charge (Table 4).
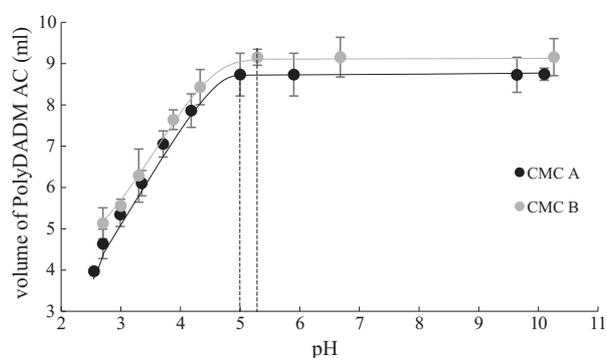
Fig. 4. Polyelectrolyte adsorption of CMC samples at 0.025% in deionized water as a function of pH (lines are for guidance only).

Table 4
pK_a dissociation value estimated by adsorption and total charge at pH 9.

Sample	Apparent pK_a value	Total charge (mmol/kg) at pH 9
CMC A	5.0	218.25 ± 6.13
CMC B	5.3	226.42 ± 3.82

It was observed that the titration duration was longer for CMC A compared to CMC B. This could be explained by the longer chain of CMC A (larger DP) that would cause folding. This phenomenon influences the accessibility of the surface sites for adsorption with the cationic polymer, and more time is needed to reach the titration equilibrium at each pH value.

The results at any pH, and in particular at the value used in the induction time measurements (pH = 3.25) show that the CMC B curve lies above that of CMC A on average. We may conclude that it has more anionic sites.

3.3. Induction time

All induction time experiments start with 100 ml of model solution in the double-walled Pyrex® reactor thermostated at 25.0 ± 0.1 °C by liquid circulation through a constant temperature bath (LAUDA Ultra Kryomats RUK 90 SW) with a temperature Pt100 external sensor. Equal volume of model solution were added in each reactor, tightly closed to minimize the introduction of impurities and stirred with a magnetic rod at a constant rotation speed of 500 rpm.

To estimate the induction time, the conductimetric method was used. The signal output of the conductivity electrodes (Radiometer Analytical SA; XE100) was monitored by a setup from LABMATION. An electrode was immersed in a reference vessel containing 100 ml of 0.1 M KCl to control the calibration and follow exactly the variation of temperature during the experiment. The temperature was set at 25 °C at the beginning and once the solution temperature became steady and the conductivity remained constant, the experiment was deemed ready to proceed. The temperature was reduced from 25 °C until a desired one to set the supersaturation with a temperature ramp of temperature set at 0.62 °C/min. For each series of experiments 15 replicates (3 × 5 reactors at the same time) were performed. The experiment was repeated with CMC A and CMC B in 1.5 mg/l concentration.

The first series of experiment with CMC A and CMC B carried out at 7 °C aimed at comparing the inhibitory efficiency of both carboxymethylcellulose. The second series of experiments was done at several temperatures to evaluate the interfacial tension crystal growth without additive and with the most efficient CMC.

3.4. Crystal analysis

3.4.1. Thermal analysis of KHT from model solution

Potassium salts crystals were prepared from pure KHT solutions (99.5%, Sigma Aldrich), ethanol (anhydrous Carlo Erba) to 12.5% (v/v) in deionized water at 40 °C in a bottle of 1 L. The solutions were then cooled down at 5 °C without stirring for one week without and with 7.5 mg/l of carboxymethylcellulose. No stirring enables to have larger crystal and observe their face easily. The crystals were vacuum filtered on glass filters with retention of particles less than one micron and then dried in the open air to avoid deterioration of crystal and expected CMC adsorbed on the surface.

The thermal properties of the KHT crystal were determined using a differential scanning calorimeter (Q2000 TA Instrument). For the measurement, a sample (10–15 mg) was placed in aluminum pans and hermetically sealed. An empty aluminum pan was used as reference. The samples were heated to 300 °C at 2 °C/min from 30 °C under N_2 (50 ml/min). The thermal analysis was conducted on two types of samples previously grinded because of their large size reaching 0.9 mm: crystals of KHT growing without additive and those grown with CMC B.

3.4.2. Morphological analysis of KHT from model solution and wine

The same samples than the one used in the thermal analysis were analyzed by Scanning Electron Microscopy (FEG-SEM). As usual, gold was deposited on the samples to prevent damaging the crystals and to enhance the surface conductivity.

To obtain KHT crystals in wine, we proceeded as follow: 200 ml of white wine (12.2% of EtOH, pH = 3.09, tartaric acid 4.3 g/l, potassium 563 mg/l and T_{sat} measured by CheckStab = 20.7 °C) was cooled down at −5 °C for two weeks without agitation and with 0.5 mg/l of CMC. Crystals were filtered by using filters with retention of particles less than one micron and then the crystals were dried in the open air.

4. Results and discussion

4.1. Induction time

Induction times of KHT measured at 7 °C in the 100 ml reactor are reported in Table 5.

CMC B is more efficient regarding KHT inhibition than CMC A. We recall that CMC B has more negative charges along the chain (Table 4) at all pH values and is shorter (Table 3) than CMC A. Then, we propose as a first explanation for the inhibition of KHT nucleation that it is related to the available charge density along the chain of the polymer.

Then, the reproducibility of measurements with CMC A is limited as evidence by the large standard deviation and we may attribute it to its bigger DP (Table 3) which may favor folding. It is another possible factor not favorable for inhibiting nucleation, compared to CMC B.

Regarding the information about the DS or the repartition of substitutes in position O-2, O-3 or O-6 (Table 3), we find no correlation with the trend on the influence of the CMC on the induction time.

We can draw the partial conclusions:

1. The lower the DP of the CMC, the better the adsorption efficiency and the accessibility of charges sites along the chain to interact with sub-critical nuclei and prevent them to become crystals.
2. The higher the total charge at the operating pH, the most efficient is the CMC in terms of KHT crystal inhibition.

4.2. Interfacial tension

The interfacial tension was determined for the most efficient CMC, namely CMC B. Experiments were done at different supersaturation depending on the temperature. Supersaturation S at each temperature was calculated according to the procedure in Sec-

Table 5
Induction time without additive and with 1.5 mg/l of CMC A, CMC B at 7 °C.

Sample	t_{ind}
Blank	50 min 33 s ± 4 min 50 s
CMC A	4 h 40 min 35 s ± 36 min 36 s
CMC B	7 h 34 min 40 s ± 3 min 34 s

Table 6
Induction time at different temperature with calculated supersaturation with CMC and Blank.

Temperature (°C)	S	t_{ind} (s)	
		Blank	CMC B
2.3	1.793	–	605
2.5	1.781	–	750
3.0	1.750	–	775
3.3	1.732	–	912
3.5	1.700	–	1828
4.0	1.690	–	2166
6.3	1.559	2052	12,122
6.5	1.548	2366	15,618
7.0	1.521	2833	27,262
7.3	1.505	3420	–
7.5	1.494	4987	–
8.0	1.468	6578	–
8.5	1.442	8436	–
9.0	1.416	14,233	–

tion 2.1. The temperature range was 2–7 °C with respectively a range of supersaturation S from 1.781 to 1.521 with CMC B and was varied from 6 °C to 9 °C without additive with S from 1.559 to 1.416. The experiment at each temperature was doubled. The results of induction time are reported in Table 6.

The logarithm of induction times as a function of equation $B/\ln^2(S)$ was reported in Fig. 5 to obtain the slope giving directly the interfacial tension value according to Eqs. (18) and (19).

By setting the molecular volume of KHT at 3.3 Å^3 [8] the interfacial tension equals 58.13 ± 3.93 mJ/m^2 (with $R^2 = 0.9923$) without additive and 76.86 ± 3.12 mJ/m^2 ($R^2 = 0.9701$) with CMC B. These results show that the presence of additive increases the interfacial tension during the nucleation and reduced KHT ability to crystallize.

4.3. Crystal analysis

4.3.1. Thermal analysis of KHT from model solution

The DSC profile is shown in Fig. 6.

The DSC profile for the KHT sample shows an endothermal peak at 258.31 °C without additive and at 258.33 °C in the presence of CMC B. But with CMC B, a second exothermic peak at 202.33 °C was observed in more than half the crystals grown in the presence of additive. The origin of this second peak is unclear, but it might result from the traces of additives on the crystal surface as microscopic observations hinted at that (see Section 4.3.2). Notice that the amount of additive was negligible compared to the mass of crystals: 5 g of dry crystals and a mere fraction of the 7.5 mg/l of additive added in the preparing solution.

Fig. 5. Determination of interfacial tension in model solution with (CMC B) or without additive at different supersaturation.

Fig. 6. Thermal analysis by DSC of two types of crystals without additive (1) and with CMC B (2).

Fig. 7. Crystal of KHT without additive (1) with CMC (2) [with permission from Ref. [8]].

The high exothermic peak around 250 °C is attributed to the KHT crystal itself. It is more chaotic in the presence of the CMC. But, it is unsafe to conclude regarding a possible inclusion of CMC B in the structure that would explain the DSC profile variation between 258 °C and 262 °C. Subsequent analysis of the fusion temperatures of the two types of crystals and background results of X-ray analysis (XRD) of the same crystals showed that the two crystal structures are similar with the same faces present (not shown).

4.3.2. Morphological analysis of KHT from model solution and wine

The crystal structure was modelled in [8] and display of the main faces were then published. It consists of a superposition of a network tartrate ion TH⁻ connected by hydrogen bonds in the
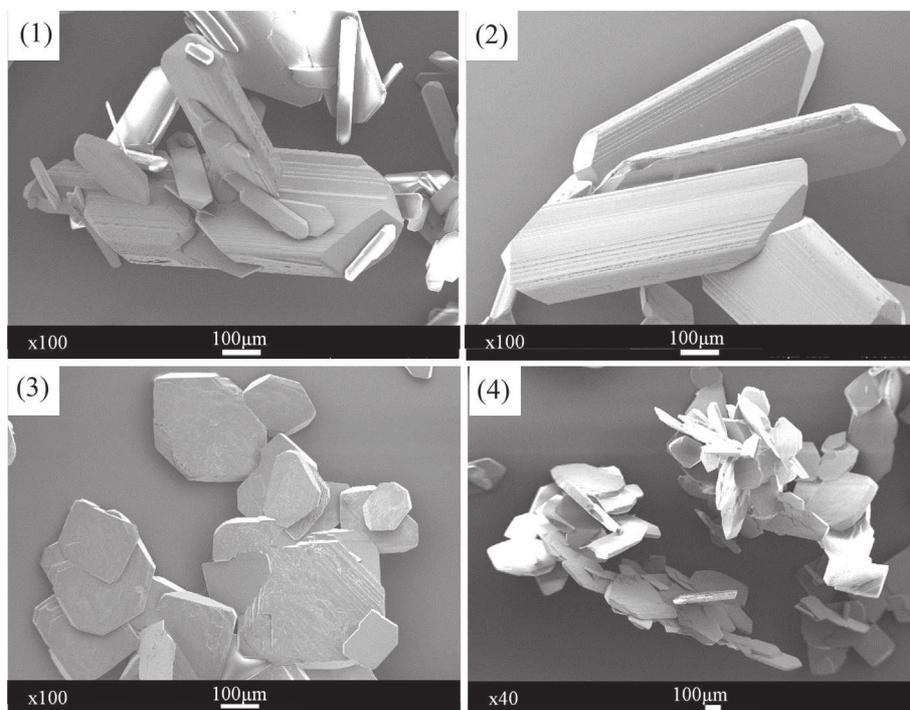
Fig. 8. Microscopic analysis at room temperature of KHT crystals from model solution without additive (1) and (2) and with the presence of CMC B (3) and (4).
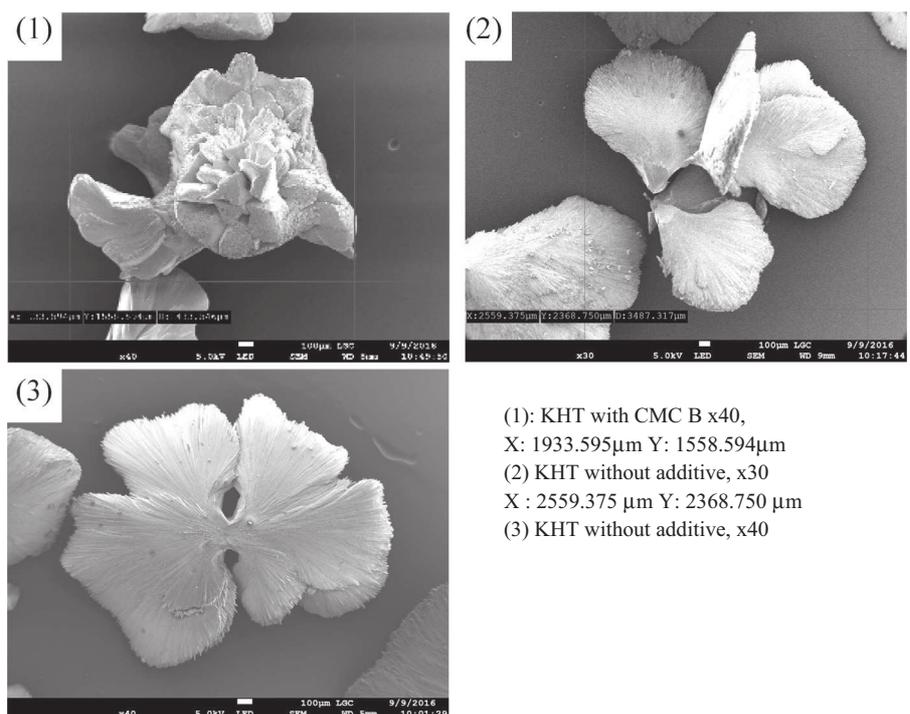
(1): KHT with CMC B x40,
X: 1933.595μm Y: 1558.594μm
(2) KHT without additive, x30
X : 2559.375 μm Y: 2368.750 μm
(3) KHT without additive, x40

Fig. 9. Microscopic analysis of KHT crystals. White wine with CMC B (1) and without additive (2) and (3).

form of a layer followed by another layer of K$^+$ cations perpendicular to the [010] direction. Crystal growth occurs predominantly following an accumulation of positive and negative charges in the direction [010] and continues with parallel macro-steps in the [100] on the face {010}. Other facets have been identified in the literature according to the Fig. 7 [8] and the morphology of crystals in the wine is not the same as in the model solutions because of the presence of colloids or impurities, which block the adsorption of growth sites on the surface giving a more rounded form to the crystal [7].

Crystals observation by MEB are displayed in Fig. 8 with crystals from model solution used for thermal analysis. Fig. 9 gives results from MEB for crystals from white wine. X and Y given above indicate the maximal size of crystal.

A qualitative observation of the crystals habit (Fig. 8) hints at a preeminence of the {010} face in the presence of additive. This was already noticed in the literature [3,10]. Despite the same supersaturation and growth time without any agitation, KHT crystals without additive have an average size of 870 µm (Fig. 8-1) that is smaller and KHT crystals with CMC B have an average size of 500 µm (Fig. 8-4).

Without additive, the surface of the crystal also presents parallel layers. On the other hand, the crystal surface with CMC B presents macro steps, which hint at the inclusion of impurities or additives on the surface [20,21].

The crystals obtained from wine show a very different habit in the shape of flowers (Fig. 9). That irregularity has to do with presence of many components, incl. macromolecules in wine. Without additive, the shape of the crystal is always the same. When additives as CMC B is added, the shape of the KHT crystal is completely unrecognizable due to the sites blocked by CMC.

In summary, the conclusions of this Section 4.3 are:

1. CMC seems to be included in the structure of the KHT crystal but the amount could not be quantified because of the low concentration.
2. CMC is adsorbed on the surface created random macro steps on the crystal surface, likely because of growth sites blocking induced by the interaction of anionic charges of CMC with the K$^+$ positive dominant layers on the main faces like {010}. As a consequence, the growth in the [010] direction is slowed down and the crystal habit shows a preeminent {010} face.
3. CMC has effect on crystal growth on model solution as well as on wine by blocking growth sites and reducing crystals size.

5. Conclusion

We have confirmed the effectiveness of two oenological carboxymethylcellulose as inhibitors of KHT crystallization in a model solution where they increase the induction time by a fivefold to eightfold factor. Interfacial tension estimation confirmed that CMC reduces the ability of KHT to crystallize. The main hypothesis of the mechanism lies in the interaction of dissociated anionic carboxymethyl groups along the cellulose backbone with positively charged layers on KHT faces like the {010} face. This mechanism is postulated regarding sub-nuclei but it was evidenced for larger KHT crystals where CMC inclusion and/or adsorption is suspected based on miscrocopic observations that showed a flattening of the KHT crystal habit.

We have investigated some of the CMC properties, in particular their degree of substitution DS and of polymerization DP, the distribution of carboxymethyl groups on the cellulose backbone and their total charge at different pH. We have found that the apparent dissociation constant of a weak polyelectrolyte such as carboxymethylcellulose is around 5.0–5.3. The same experiment allowed to The most inhibiting CMC showed also the largest total

charge, determined by polyelectrolyte adsorption, and the smallest DP.

The solution pH affects the inhibition of potassium hydrogen tartrate KHT by CMC in several ways. First, it influences the KHT supersaturation and consequently the risk of nucleation as the tartaric acid dissociation is pH dependent. Second, it affects the CMC dissociation and the number of -COO$^-$ charges. This degree of dissociation of the CMC also depends on the DS and the polymer charge density in solution.

The length of the CMC chain (DP) has an important influence on time induction measurement regarding its the variability. It was attributed to the CMC conformation, which is more likely to fold a larger DP and to reduce the accessibility of the CMC to surface sites, being polymer in the polyelectrolyte titration or the KHT crystal surface. The working condition as pH are also known to influence folding of macromolecules.

Further work is in progress regarding the salt content (higher ionic strength), ethanol content on polyelectrolyte adsorption.

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