

Rethinking the use of audit to drive improvement

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Audit of clinical practice is considered a cornerstone of assuring the quality of infection prevention and control practice and yet how often do practitioners stop to consider the purpose of the audit and its efficacy in achieving this goal? One of the key challenges is exemplified by the comment made to me recently by an Infection Prevention & Control Practitioner (IPCP) that '*the audit scores completed by clinical staff were always 100%*', although the IPC team were well aware that this misrepresented the true situation. This drive to achieve results that avoid negative attention rather than reflect reality not only damages efforts to improve quality of care but also wastes valuable resources in generating fictional data.

This is not to say that audit is not a useful tool, just that sometimes the underpinning principles are forgotten. Clinical audit is a 'quality improvement process that seeks to improve patient care and outcomes through systematic review of care against explicit criteria and the implementation of change.' (NICE 2002). Rather than designing audit to address and improve specific processes in a planned way, all too often it becomes a routine 'monitoring tool' that is not explicitly linked to driving improvement.

A key feature of designing an effective audit is the definition of explicit criteria that can be measured. Whilst there may be many aspects of IPC practice that we consider important, unless they can be measured they are not suitable criteria for audit. In addition, measurement must be linked to a clear standard that defines the level of care to be achieved. For example, best practice suggests that when a patient has a urinary catheter, it must have a documented indication. This makes for clear and measurable criteria as the indication is either documented or not. A criteria that expects a management plan for the urinary catheter is more problematic as there are a range of possible components to a plan and the presence of a plan does not mean that it is an appropriate one. If a management plan is to be audited, it is likely that several different criteria will have to be measured in order to determine the level of care achieved.

The paper by Upadhyaya *et al* in this issue of JIP is a good example of some of the issues surrounding audit. The authors describe the introduction of a 'sticker' that enabled clinicians to document the criteria of the High Impact Intervention care bundle for peripheral intravenous catheter. These criteria would not otherwise be routinely documented and audit would depend on observing practice. Of course, this also highlights another aspect of audit, the reliability of self-reporting. The sticker developed by the authors provides a useful prompt to the good practice outlined in the HII and does encourage documentation of criteria useful for audit. However, whether a clinician inserting a PVC would document non-compliance with any of the criteria listed on the sticker is less certain.

This highlights the challenge of developing both criteria that are measurable and reliable methods of measuring adherence. Just because a particular IPC practice is considered important does not make it amenable to reliable measurement. The purpose of the measurement is to generate data that can be used to provide feedback on performance. If feedback is to be effective the clinicians concerned must have confidence that the data is a reliable representation of practice. Many healthcare workers will have a high level of cynicism about the reliability of routine infection control audit data such as hand hygiene compliance, and this immediately reduces its impact as a quality improvement tool.

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3 A systematic review of the effectiveness of audit and feedback on professional practice concluded
4 that although it was associated with important improvements in practice, the effect was small and
5 most marked when the baseline performance was low. Interestingly, audit and feedback was most
6 likely to be effective when it included explicit targets and an action plan and was communicated on a
7 regular basis by a more senior colleague (Jamtvedt *et al* 2012). In the case of IPC audit an additional
8 factor is that reports are generally directed at wards or units rather than individuals and the impact
9 of such data on the behaviour of individuals may be further reduced.
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11 Measurement is both challenging and resource intensive and in the context of limited resources, the
12 efficiency and effectiveness of specific audit programmes in achieving clearly defined improvement
13 goals is of paramount importance. This is where the concept of Care Bundles developed by the
14 Institute for Healthcare Improvement is helpful. They define a care bundle as “small set of evidence-
15 based interventions for a defined patient population and care setting that, when implemented
16 together, will result in significantly better outcomes than when implemented individually” (Resar *et*
17 *al* 2012). The key features of a care bundles are that:
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- 19 • They include three to five interventions (elements), with strong evidence/clinician
20 agreement.
- 21 • The bundle elements are relatively independent.
- 22 • They are used with a defined patient population in one location
- 23 • The bundle is developed by the multidisciplinary team
- 24 • Compliance with bundles is measured using all-or-none measurement, with a goal of
25 95% or greater
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29 A central assumption is that all components are required and equally important. The bundle cannot
30 include elements that are not specific, cannot be easily measured or are optional, otherwise it is not
31 possible to generate meaningful compliance scores. Care bundles therefore need to be focused on a
32 small number of elements for which there is robust evidence for a strong link between compliance
33 and improved outcomes, rather than a long list of expected practice or the whole process of care.
34 Since care bundles measure care received by the patient, the patient must be the denominator for
35 each element of the bundle. General IPC procedures such as hand hygiene are not appropriate for
36 care bundles as they are not specific to single processes.
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38 The power of the simple, targeted approach to clinical audit provided by well designed care bundles
39 is clearly demonstrated by the work of Daniel *et al* (2015) on reducing the incidence of ventilator-
40 associated pneumonia (VAP) in two intensive care units in Scotland. A specific goal was defined of
41 reducing VAP to zero or 300 calendar days between events. A VAP Prevention bundle, together with
42 a VAP surveillance system, were implemented to drive improvement towards achieving this goal.
43 Adherence to the bundle was increased from 35% to 80% and the incidence of VAP reduced from
44 approximately 7 to 1 per 1000 ventilation days. This study clearly illustrates the power of audit used
45 effectively: clearly communicated purpose and goals, adaption of evidence to the local context,
46 simple defined standards of practice and effective and reliable systems for measuring and feeding
47 back outcomes with clear relevance to patients and clinicians.
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50 The fundamental mechanism by which the implementation of care bundles improves performance is
51 not the measurement itself but the impact that it has on changing how work is done through
52 collaboration and teamwork (Resar *et al* 2012). Perhaps its time to evaluate ICP audit programmes
53 to ensure that they are more clearly located within the Model for Improvement (Nolan & Berwick
54 2006) and constructed around the three key questions: What are we trying to accomplish? How will
55 we know change is an improvement? And what changes can we make that will result in
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