

Copyright is owned by the Author of the thesis. Permission is given for a copy to be downloaded by an individual for the purpose of research and private study only. The thesis may not be reproduced elsewhere without the permission of the Author.

LOCAL HANDPUMP MANUFACTURE; A DEVELOPMENT OPTION FOR AID AGENCIES: ATTITUDES EXPRESSED BY NEW ZEALAND NGOs.

A thesis

presented in partial fulfilment

of the requirements for the degree

of

Master of Philosophy

in

Agricultural Engineering/Development Studies

at

Massey University, New Zealand

Norman John Lessells

1994

PREFACE

I gratefully acknowledge the following people who have assisted me in completing this thesis:

Professor Gavin Wall, my supervisor, for his aid and direction in the compilation of this thesis.

Associate Professor Croz Walsh, for his advice and support in completing my studies.

The Massey University Institute of Development Studies, for giving me an appreciation of development issues.

Richard Cansdale, for information on the Makeni Handpump Workshop used in the case study.

Andrew Macdonell, for data on the day to day running of the Makeni Handpump Workshop.

The following development agencies for their cooperation in granting me interviews and answering my questions: Caritas, Tear Fund, VSA, Water for Survival, World Vision.

Wateraid Ghana, for information on local handpump manufacture there.

ABSTRACT

A major reason for implementing water supply programmes is their potential contribution to health. The recently concluded United Nations International Drinking Water Supply and Sanitation Decade (1981-1990) attempted to provide access to clean water and sanitation for everyone in the Third World. There are several problems and constraints which preclude success in this area, many of them are sociological factors concerned with the transfer of technology and practices to cultures other than those in which they were conceived.

Projects are implemented, often by outsiders, involving handpumps for water supply mounted on wells or boreholes. Such systems have a poor record with regard to their sustainability; often the handpump breaks down after donor withdrawal and is never repaired. Donor assisted projects often use handpumps sourced from the industrialised countries and paid for in hard currency, usually \$US. When spare parts are needed they too must be sourced from overseas and paid for in scarce foreign exchange. Local inflation and currency devaluation can make these spares prohibitively expensive. The result has been neglected maintenance and breakdowns.

This thesis examined the potential for local handpump manufacture to address operation and maintenance problems and assess the economic contribution local manufacture could make to the local community through employment and income generation.

A case study of the Makeni Handpump Workshop in Lusaka, Zambia was used to compare the cost, landed in Lusaka, to an aid agency of handpumps sourced from the U.K. and from a local manufacturing operation. The provision of employment and income to local people arising from patronising the handpump workshop was also assessed as a 'developmental benefit'. This was over and above the acquisition of handpumps alone; aid money would be spent directly in the community by choosing a local source of equipment. Interviews with selected NGOs in New Zealand were conducted to establish their attitudes to water supply projects in general and to local handpump manufacture specifically.

It emerged that local handpump manufacture could be profitable at the smallscale level of the case study and a viable form of income generation. New Zealand NGOs agreed that there should be more to water supply projects than a welfare consideration alone, an element of development should be included. They were supportive of local handpump manufacture where it existed but did not invest in it as a means of income generation.

TABLE OF CONTENTS

PREFACE i	i
ABSTRACTii	i
TABLE OF CONTENTS	I
LIST OF FIGURES AND TABLES vi	i
ABBREVIATIONS vii	i

CHAPTER 1

INTRODUCTION	 1
1.1 GENERAL INTRODUCTION	 1

CHAPTER 2

LITERATURE REVIEW	6
2.1 INTRODUCTION	6
2.2 VARYING OBJECTIVES OF WATER SUPPLY PROJECTS	6
2.3 RESEARCH DURING THE IDWSSD	7
2.4 SPECIFIC RESEARCH ON LOCAL PRODUCTION	8
2.5 LOCAL ECONOMIC CONDITIONS 10	0
2.6 LOCAL CULTURE 10	0
2.7 STANDARDISATION 1	1
2.8 INSTANCES OF LOCAL PRODUCTION 12	2
SARVODAYA SL5 HANDPUMP 12	2
PREY VENG PUMP 1	3
SHINYANGA PUMP 1	5
INDIA MK2 1	5
AFRIDEV PUMP 1	5
TARA	6
ROWER PUMP 1	6
2.9 LOCAL CAPACITY 1	6
2.10 CONCLUSIONS 1	7

CHAPTER 3

OBJECTIVES OF	THE STUDY		• • •	 	 22
3.1 OBJECTIVES				 	 22
3.2 LIMITATIONS	OF THE STU	DY		 	 22

CHAPTER 4

CASE STUDY OF LOCAL HANDPUMP MANUFACTURE	23
4.1 INTRODUCTION	23
4.2 RESULTS AND DISCUSSION OF QUESTIONNAIRE FROM MAKE	NI
HANDPUMP WORKSHOP	23
4.3 ROWER PUMP SOURCED IN THE UNITED KINGDOM	25

CHAPTER 5

NEW ZEALAND NGO PERSPECTIVE ON LOCAL HANDPUT	MP
MANUFACTURE	29
5.1 RATIONALE BEHIND CHOICE OF NGOs	29
5.2 METHODOLOGY OF SURVEY	31
5.3 RESULTS AND DISCUSSION OF INTERVIEWS	31
5.4 DISCUSSION	37

CHAPTER 6

CONCLUSIONS	CONCLUSIONS	
-------------	-------------	--

CHAPTER 7

RECOMMENDATIONS FOR FURTHER RESEARCH 4	RECOMMENDATIONS	FOR FURTHER 	RESEARCH .		44
---	-----------------	---------------------	------------	--	----

APPENDICES

APPENDIX A	
ZAMBIAN HANDPUMP WORKSHOP QUESTIONNAIRE	46
APPENDIX B	
NGO QUESTIONNAIRE.	47
BIBLIOGRAPHY	51

LIST OF FIGURES AND TABLES

FIGURE

1	Lined Well and Handpump for Source Protection 2
2	Effects of Well Protection on Coliform Count 3
3	Goals for Water Supply Projects 4
4	Summary of the VLOM Concept
5	Returns to Scale of Production 11
6	Definitions of Reliability 14
7	Opportunities for Future Handpump Research

TABLE

1 New Zealand NGOs and their activities		30
---	--	----

ABBREVIATIONS

IDWSSD, (1981-1990).

The United Nations International Drinking Water Supply and Sanitation Decade.

VLOM.

Village Level Operation and Maintenance, later Management of Maintenance. This refers to the suitability of a handpump for repair and maintenance operations to be carried out on it by semi-skilled or unskilled villagers with minimal tools. The idea being that if the pump is easily maintained by the people who depend on it, when it fails it will be more likely that a repair will be made by them. If, on the other hand, repair was dependant on a centralised system of mobile mechanics repairs would be delayed or never undertaken at all.

CHAPTER 1

INTRODUCTION

1.1 GENERAL INTRODUCTION

A major justification for expenditure on water supply projects is their potential to improve health. Water borne and water related diseases are widespread in the Third World. Some are transmitted directly by drinking polluted or contaminated water, others by an inadequate quantity of water being available to maintain levels of hygiene that would prevent their transmission. It is understood that clean water alone has not and will not provide the solution to this complex problem (McGarry, 1977; Black, 1990). Concomitant health education and sanitation are required; there is strong complementarity between these different elements.

The amount of time and effort involved in collecting water, this onerous task usually falling to women and children, should be reduced to help them enhance their lives. At the end of a sixteen hour day, which often entails, collecting water; itself involving a walk of several kilometres carrying a twenty kilogram load, cooking, cleaning, tending livestock, and cultivating the family garden they will have little energy left. When so much time and effort is spent on survival there must seem little opportunity to improve ones lot in life. Development must have a social component, it should contain an element of self development for people; the realisation of their potential when released from oppression in all its forms (Rahman, 1990). Locked into an arduous routine of survival and ill-health this potential can never be realised. The low quality of life experienced by many poor people in the Third World is a symptom of their poverty. Measures taken in the name of development must address their need for income generation as well as welfare provision.

Even after the International Drinking Water Supply And Sanitation Decade, (IDWSSD, 1981-1990), there were in 1990 an estimated 1230 million people without access to safe water (Black 1990). Many of these people live in rural areas where treated, piped water supplies are neither affordable or appropriate. Simple handpumps mounted on hand-dug wells or boreholes offer a means both of extracting ground water and sealing the well head against contamination. This source protection itself goes a long way towards improving water quality. (Morgan, 1990)

potential of The handpumps as a solution to rural water supply problems has been known for some time. Various countries have implemented handpump based water supply programmes over the last several decades. In the 1960s in India a borehole project drilling was initiated in response to a period of drought. Bv 1975 about 150.000 been handpumps had installed. However, it was found in a survey that up 100,000 of these to not handpumps were

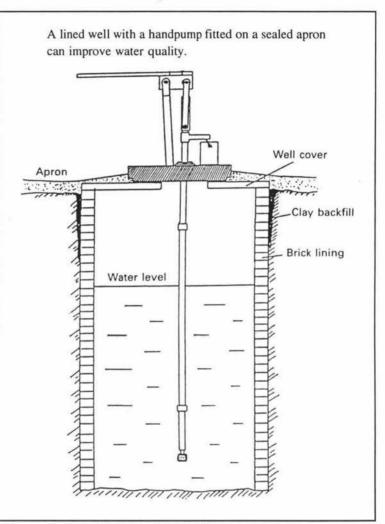


Figure 1 From Morgan, 1990:166

operating at any one time (Pacey, 1983). Initial engineering shortcomings were corrected yet still maintenance problems persisted. These problems were finally identified as administration and management failures coupled with a lack of personal responsibility felt by the villagers for the handpumps.

One aspect hindering the maintenance of any equipment is the availability of spare parts. Many handpump projects have involved the importation of large numbers of handpumps made in the industrialised countries. These handpumps operated

Source	Mean E. coli/100 ml sample	Number of samples
Poorly protected well	266.42	233
Upgraded wells	65.94	234
Bucket Pump (overall)	33.72	338
Blair Pump (tubewells)	26.09	248
Bush Pump (tubewells)	6.27	281

Figure 2 From Morgan, 1990:253

ſ

efficiently for some time with no maintenance, however, once they broke down the problems identified earlier in India compounded by poor spares availability, or lack of foreign currency to buy them, resulted in the handpumps often never being repaired. Sometimes the only recourse was total replacement by a donor agency with a new handpump. The much vaunted goal of sustainability was never attained.

"It is only now that many policymakers are beginning to realise how importing handpumps from the industrial nations can hinder a handpump project, making sustainable operation and maintenance almost impossible without continued donor assistance." (Mtunzi and Lombardi, 1993:5)

Another valuable contribution to water supply project sustainability that local manufacture of handpumps can make is in the area of standardisation. When an aid agency donor undertakes a water supply project in a country it may well import handpumps from its home country. Thus in Africa where many donors from several countries have established projects there is a large variety of handpumps each with specific tools and spares requirements (Mtunzi and Lombardi, 1993). An ideal would be to standardise on one or two types of handpump per country (Wurzel, 1992). Sustainability of water supplies will only be accomplished when all factors militating against it are addressed. Local, standardised manufacture could expedite maintenance.

Further discussion of local manufacture versus overseas procurement requires that the broader issue of development and attitudes towards water supply projects be confronted. In financing a water supply project an aid agency may have one or several objectives: the supply of safe drinking water in adequate quantities at an accessible distance from the dwellings of the users may be the only issue of concern; a welfare issue alone. Alternatively, the water supply may be seen as a vehicle for local community development. Employment and income thereby generated for local people and the local capacity built by their involvement in the scheme could benefit them to a greater extent than the water supply alone would have. A deeper, long-term view beyond welfare is needed to realise the full potential of any water supply project (Pacey, 1977).

Immediate Objectives	Further goals—stage I (these follow as consequences when the immediate objectives have been met)	(these follow from previous stages if complementary inputs are provided)	Further goals—stage III (these are consequences of reaching the previous goals which follow if there are also inputs on many other fronts)
FUNCTIONAL:	HEALTH:	HEALTH:	
to improve the	to reduce incidence	to reduce incidence	
quality, quantity,	of water-borne and	of water-washed infections	
availability and	water-based disease	(inputs required:	
reliability of		improved hygiene,	
the supply	ENERGY/TIME (ECONOMIC):	health education,	to achieve the greater
	to save time and	improved sanitation)	well-being of the people
OTHER:	energy expended in		through:
to carry out this	carrying water	SOCIAL/TECHNICAL:	
improvement in a		to ensure good long-term	(a) social change-greater
manner which	SOCIAL:	maintenance of water supply	self-reliance in the
(a) secures the	to arouse interest	and sanitation facilities	community, better organization
support of users;	in the further health and economic benefits	(inputs required: training, clear allocation of	better deal for the poor,
(b) conserves scarce	which may arise from	responsibility, build-up of	women, etc.
resources (e.g. capital);	the water supply	local maintenance organi-	(b) improved standard of
(c) avoids adverse	the water supply	zation)	living - health, nutrition,
environmental	ECONOMIC:	Lancely	income, leisure
consequences (e.g.	to provide more water	ECONOMIC:	
lowering water tables,	for livestock and	to use energy/time savings	*
encouraging mosquitoes)	garden irrigation;	and increased water availability	
	(water may be used for	to achieve better agricultural	
	this even if it is	output	
	intended solely for	(inputs required: extension	
	domestic supply)	work, fertilizer supply, etc.)	

Figure 3 From Pacey, 1977: 6

Table 1 in figure 3 from Pacey, (1977) shows the potential goals of a water supply project in several stages. Depending on the perspective of the implementing agency the immediate objectives may be the only consideration. Alternatively, further stages may be considered important by an aid agency interested in promoting development as well as welfare. Local manufacture of a VLOM (Village Level Operation And Maintenance) handpump, as defined below in figure 4, would address maintenance issues and provide employment and income. This approach can be a catalyst for social development.

Summary: The VLOM concept The concept of a VLOM handpump has both hardware and software components. The handpump is one element of a system that also includes the borehole, the community relying on the pump, and the availability of local and national manufacturing facilities. In a VLOM handpump installation: The borehole must be designed and constructed in a manner appropriate to the pump and local conditions. Routine maintenance and repair can be done by the user community, which will decide when to carry out repairs, who will do the work, and who will be responsible for paying them. Nonwearing parts of the pump must be durable and reliable, and parts subject to wear must be easy to service and inexpensive. As far as possible, the pump must be suitable for manufacture using existing local industrial resources, or facilities that can be readily established. Imported components are to be used only if they are critical to achieving other VLOM objectives.

Figure 4 from Reynolds, 1992: 4

Simple handpumps for village water supply were seen by international agencies as a potential solution to water quality and availability problems. Shortcomings in operation and maintenance subsequently emerged and were identified as institutional weaknesses requiring the participation of local people (the users) in the design and construction of water supply systems. Given the above background a question arises as to the role of local handpump manufacture in supplying handpumps and spare parts. This would avoid the logistical and economic constraints involved in importing similar equipment. Income generation from employment of local people in handpump manufacture would be a developmental spin-off that importing equipment would not engender. It is understood that local manufacture would only address part of the operation and maintenance problems that have been encountered in community water supply projects and programmes. The sociological component affecting the sustainability of these projects would continue to be a major issue in itself.