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How humans transmit language:

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Horizontal transmission matches word

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frequencies amongst peers on Twitter

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8

1. Abstract

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Language transmission, the passing on of language features such as words between

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people, is the process of inheritance that underlies linguistic evolution. To understand

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how language transmission works we need a mechanistic understanding based on

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empirical evidence of lasting change of language usage. Here, we analysed 200

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million online conversations to investigate transmission between individuals. We

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find that frequency of word usage is inherited over conversations, not just the binary

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presence or absence of a word in a person's lexicon. We propose a mechanism for

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transmission whereby for each word encountered there is a chance that it will be

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used more often. Using this mechanism, we measure that one word in around every

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hundred someone encounters will be used more often. Since more commonly used

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words are encountered more often, this means that it is the frequencies of words

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which are copied. Beyond this, our measurements indicate that this per-encounter

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mechanism is neutral and applies without any further distinction as to whether

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22 a word encountered in a conversation is commonly used or not. An important
23 consequence of this is that frequencies of many words can be used in concert to
24 observe and measure language transmission, and our results confirm this. These
25 results indicate that our mechanism for transmission can be used to study language
26 patterns and evolution within populations.

27 **2. Keywords**

28 *Language transmission; Linguistic evolution; Evolution of language; Mathemati-*
29 *cal model; Moran process; Horizontal transmission; Iterated transmission; Word-*
30 *heritability.*

31 **3. Introduction**

32 Language use is constantly in flux and language evolution can happen at many spatial
33 and temporal scales. Historical evidence shows how population groups experience
34 wholesale changes in word usage and language syntax across many generations
35 (Bloomfield 1933; Dunn *et al.* 2005; Lieberman *et al.* 2007; Gray *et al.* 2009; Pagel
36 2009). A broad theoretical background has been developed which explains how these
37 large-scale and dynamic language patterns can be generated by language change
38 at the individual level (Dunn *et al.* 2005; Lieberman *et al.* 2007; Gray *et al.* 2009;
39 Pagel 2009; Nowak *et al.* 2001, 2002; Steels & Kaplan 2002; Castellano *et al.* 2009;
40 Chater & Christiansen 2010; Kirby *et al.* 2014; Eisenstein *et al.* 2014). These studies
41 assume that language elements are repeatedly transmitted between individuals
42 in a population, and then use mathematical models or computer simulations to
43 show that a macroscopic language pattern is generated from iterations of this
44 individual behaviour. This makes it plausible that macroscopic changes follow from
45 an accumulation of individual transmission events. However, these are ‘plausibility
46 arguments’ (Castellano *et al.* 2009) and most theoretical efforts to explain language
47 evolution suffer from not having been confronted with data, and are often unverifiable

48 (Hauser *et al.* 2014). The origins and mechanism of the evolution of language –
49 arguably the most distinctive form of human behavior – remain a mystery.

50 Darwin already noted the similarity between biological and linguistic evolution
51 (Darwin 1883). This similarity inspired Labov (Labov 2001, 2010) in explaining
52 linguistic change. Whereas the similarity in homology of descent between the
53 two processes is similar, in biological evolution the mechanism of descent is the
54 transmission of genetic material. The mechanism of linguistic change is much harder
55 to pinpoint. Of course children acquire their first language from parents or caretakers,
56 but in a later phase children’s language use diverges from that of their original,
57 and adults change their language use, indicating transmission of language elements
58 between speakers (Labov 2001, 2010). It has been posited that words transmit like
59 alleles (Real & Griffiths 2010), but evidence for this hypothesis has so far been
60 scarce.

61 At an individual level, we adopt elements of our language throughout our lives.
62 As children we acquire the majority of our language from our parents, but as we
63 grow older we increasingly pick up language from our peers (Bloomfield 1933; Labov
64 2001, 2010). This form of cultural transmission between peers is called horizontal
65 transmission (Cavalli-Sforza & Feldman 1981). While language acquisition early in
66 life (known as vertical transmission) can be easily observed, the effect of horizontal
67 transmission later on is more subtle and more difficult to detect. It has been known
68 for several decades that word-usage patterns, as well as other linguistic variables,
69 are imitated between interlocutors (Brennan 1996; Pickering & Garrod 2004; Gallois
70 *et al.* 2005; Danescu-Niculescu-Mizil *et al.* 2011; Tamburrini *et al.* 2015). This
71 imitation can be transient or reflective. This is due to people mirroring language
72 while conversing or talking about similar conversation topics. To look for lasting
73 changes we need to look for iterated transmission where people adopt words and use
74 them in other conversations, which has been observed under laboratory conditions
75 (Kirby *et al.* 2014). How language elements transmit in a lasting way between peers

76 in natural situations is hard to measure, in part because there is a weak effect per
77 conversation.

78 A possible clue to the mechanism of language element transmission lies in
79 the observation that speakers often demonstrate probability matching: if different
80 variants of a word or phoneme exist in a population, learners tend to match the
81 frequency of these variants in their language use (Labov 2010). This indicates that
82 the process of transmission does not just involve the adding of words to a lexicon, but
83 the frequency with which these words are used is somehow stored and internalized.

84 Here, we will provide evidence of horizontal language element transmission. Our
85 method detects lasting changes in language due to conversations between online indi-
86 viduals. However, to eliminate transient effects that can happen within conversations,
87 we detect transmission by looking for changes in language sent to third parties which
88 were not involved in the original conversations. To detect this weak signal, we need
89 to use a large corpus of online conversations. The transmission of language elements
90 is often assumed to be analogous to the spread of genetic traits (Pagel 2009). We
91 therefore use techniques from the toolbox developed within evolutionary biology, on
92 the interface between population genetics and linguistics (Cavalli-Sforza & Feldman
93 1981; Wang 1976). We study horizontal language transmission by investigating the
94 change in the use of words following exposure to the language of other people. This
95 assumes that, beyond simply having a lexicon, we have some internal language
96 representation which influences which words we choose and how often we use them
97 (Wang 1976). We cannot directly observe this representation, but we can infer it
98 from word usage frequencies in a person's outgoing communication (Pagel *et al.*
99 2007; Labov 2010; Michel *et al.* 2011; Newberry *et al.* 2017). We will show here how
100 it is possible to identify a change in the representation over time and then show,
101 using advanced statistical methods, that this change happens due to conversations
102 with another individual.

103 We will use a simple model for the internal representation of language which
104 incorporates transmission of language between individuals. Because our aim is to

105 study how word frequencies change, this highly simplified internal representation
106 does not place any specific importance on grammar, syntax or word order. We
107 simply treat communication as a multiset or a ‘bag of words’ (Salton & McGill
108 1983): how often a person uses a word is reflected by the number of copies of the word
109 in their bag. Word instances received from conversation partners can occasionally
110 replace other words in the bag, changing the internal representation and allowing
111 the frequency of stored words to change in response to conversation (see figure
112 1). This model forms a Moran process and can be analysed using well understood
113 techniques (Blythe 2012). Our analysis of the model (see electronic supplementary
114 material) shows how the word frequencies used will equilibrate over time towards
115 the frequencies received from conversation partners in a way that is very similar
116 to osmosis (figure 1). The model predicts that an individual’s word-usage patterns
117 change through conversations with others and that this change will manifest itself
118 in the word frequencies that the individual then uses to other people. Although in
119 this model language changes in response to all language received, the effect of a
120 conversation with a particular conversation partner will leave its mark, even if this
121 conversation is only a relatively small part of all their conversations.

122 4. Results

123 We first show that word frequencies used by an individual change in response to the
124 language used by a conversation partner, as predicted by our model. We studied
125 a data set of conversations formed from a sample of 200 million messages sent
126 publicly between users of the Twitter web site (Bryden *et al.* 2013) (see Methods).
127 To eliminate any transient imitation that others have found in online communication
128 (Danescu-Niculescu-Mizil *et al.* 2011; Tamburrini *et al.* 2015), we excluded any
129 mutually directed messages between a pair being studied in our analysis. Motivated
130 by the result from our model that the difference between users is important, we
131 looked at the influence that the difference between a focal user and their partner’s
132 early usage of a word has on any later change of the focal user’s usage of the word.

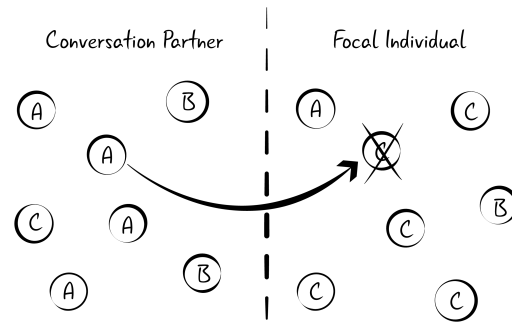


Figure 1. **An osmosis-like process for horizontal language transmission used in our model.** The two halves of the diagram show the internal language representations of two individuals as bags of words. The figure shows how an individual in our framework copies and stores a word from their conversation partner; an instance of word A is incorporated, replacing an instance of word C. The number of instances of a particular word defines how likely someone is to use the word in a given situation. In our model of this process, each bag contains s words; user i sends a word to user j at a rate r_{ij} and the recipient replaces a randomly chosen word in their bag with a received word with incorporation rate α . Since the likelihood of a word being replaced depends on its frequency in the bag, word frequencies change similarly to osmosis in that over time the frequencies of words in both halves will tend to equilibrate.

133 Since this is mathematically related to the heritability of genetic traits (Falconer
 134 & Mackay 1995) we dub this *word-heritability*. Over the 1,000 words tested (see
 135 Methods), we found that mean word-heritability was significantly greater for pairs
 136 of users that had sent each other messages than for control-pairs that had not (see
 137 figure 2). This indicates that an individual changes their word-usage toward that
 138 used by their conversation partner.

139 Within our model, when a focal individual encounters word instances used by an-
 140 other individual, a proportion of these incoming word instances will be incorporated
 141 replacing word instances within the focal individual's internal representation. We
 142 dub the proportion of word instances incorporated as the *incorporation rate* (α), and
 143 have developed a method to measure this rate. To do this, we implemented the model
 144 as a stochastic process. Focussing on an individual's usage of a word, we maintain a
 145 probability distribution of the word's frequency in the bag of words. We update this
 146 distribution with input received by the user according to the incorporation rate α ,
 147 and then maximise the likelihood of produced frequencies of the word with respect to
 148 α and the input received (see electronic supplementary material for precise details).

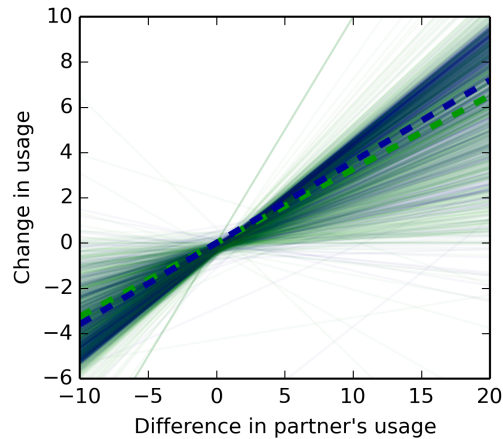


Figure 2. **Word heritability between conversing partners is greater than that for non-conversing partners.** For each test word, we plot regressions (see Methods) for data from conversing partners (blue solid lines) and non-conversing partners (green solid lines). The regression lines were superimposed by translucently plotting lines for each regression, interleaving between the two data sets. We found relatively high levels of word-heritability in non-conversing partners due to word-usage changing at population levels. A Mann-Witney U test indicated that the slopes for conversing partners tend to be steeper than those of non-conversing partners ($p_{\text{MW}} < 9.5 \times 10^{-10}$). The two dashed lines (same colours) are slopes regressed over data collected for all of the words, the difference between these values was $W = 0.0340$ which is a measurement of word-heritability due to Twitter conversations. We tested that $W > 0$ using a bootstrap ($p_{\text{B}} < 0.001$, see Methods).

149 We tested 1,000 different words (see Methods) and found the most likely value of α
 150 for each word.

151 It is important to find out if the incorporation rate of a word is dependent in any
 152 way on the frequency of usage of a word (Church 2000). If the relationship is neutral,
 153 then studies of language change can make measurements over many words in concert.
 154 Given the heavy tailed distributions of word usage characterised by Zipf's Law, one
 155 might expect that instances of more commonly used words are more likely to be
 156 incorporated than those less commonly used. Interestingly, we found that the rate
 157 of a word instance being taken up in our model is independent of word frequency
 158 across a wide range of word frequencies (see figure 3). This indicates that we are
 159 as likely to adopt an instance of a frequent word as much as we are to adopt an
 160 instance of an infrequent (and therefore conversation specific) word. This suggests
 161 that we have found a perspective whereby word transmission is a neutral process; a

162 view consistent with some models that generate the heavy tailed distributions of
 163 word frequencies predicted by Zipf's Law (Blythe 2012).

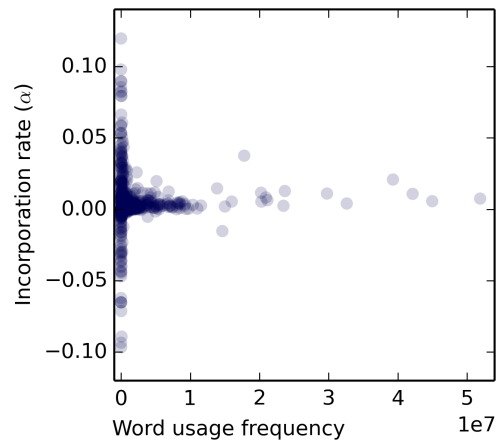


Figure 3. **The rates with which words are incorporated is independent of usage frequency.** Each circle is a word's incorporation rate (circles have translucency of 30%). Linear regression finds no correlation between the word's usage frequency (over the whole sample) and the incorporation rate (two-tailed Pearson's: $r^2 = 0.00040$, $p = 0.54$). The mean value of the word incorporation rate α is 0.0043, which we found significantly greater than zero ($p = 0.0083$, bootstrapping with 10,000 resamples of 100 values, and calculating the proportion of resamples with mean greater than zero). The high variance for very low frequencies is due to sampling effects.

164 Our finding that the incorporation rate of a word is not dependent on the word's
 165 usage frequency means that we can study transmission of many words in concert. We
 166 can therefore investigate the prediction, by our model (Equation 1 in Section 3 of the
 167 electronic supplementary material) and others which use a Moran process (Blythe &
 168 McKane 2007), that the frequencies of usage of two communicating individuals will
 169 converge exponentially over time. We did this by investigating if the Bray-Curtis
 170 similarity (Bray & Curtis 1957) of pairs of users increases over time according to
 171 the number of messages sent between the two users. We found a highly significant,
 172 positive correlation between the change in the proportion of word instances shared
 173 between two users and the number of messages sent between them; as well as a close
 174 quantitative fit with our model (see Experimental Procedures) and the data (figure
 175 4). We tested our transmission model against a null model ($\alpha = 0$) using the Akaike

176 Information Criteria finding essentially no support for the null model compared
 177 with the transmission model (Burnham & Anderson 2002, see Methods). The value
 178 of the word incorporation rate, α , found was 0.01, a similar order of magnitude to
 179 the mean incorporation rate found in figure 3. These measurements indicate that
 180 we subconsciously incorporate approximately one in every 100-200 words that we
 181 experience.

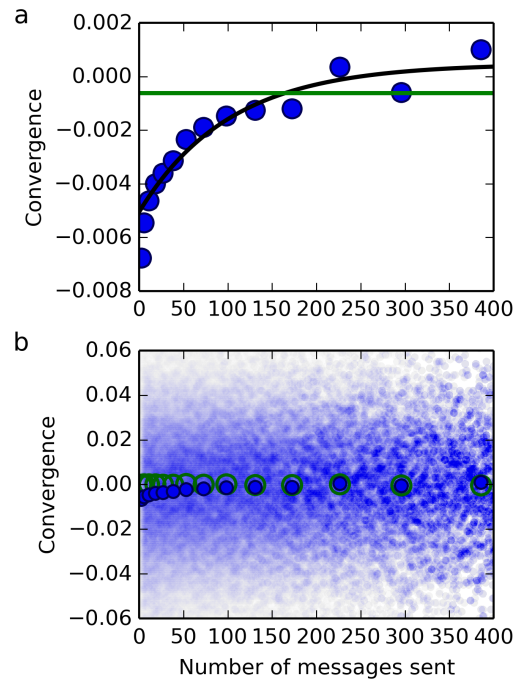


Figure 4. **The more messages were sent between two users, the more their language converged.** (a) Plot of the means of bins of conversation pairs (binned along the x -axis showing x , y means of each bin) and fitted models (black line is transmission model, green dashed line is null model, see Methods). The fitted line of our model crosses zero at approximately 310 messages sent. (b) Illustration of the large variance in the data (unbordered translucent circles which are superimposed). The convergence of 500 conversation pairs (sampled with replacement) are plotted per bin on the x -axis (bordered blue circles). Control values are also shown (bordered green circles).

5. Discussion

Our results demonstrate that humans adopt lasting changes in their language usage upon conversation. These changes are consistent with the existence of an internal representation of word frequencies, where words are incorporated in a Moran process. We found that the per-encounter rate at which words are incorporated is independent of how commonly the word is used. We also found that this per-encounter rate is greater than zero, rejecting the null-model where the per-encounter rate is equal to zero. This means that we have developed a method whereby transmission can be detected and measured on changes of individual word frequencies, or many words in concert. Put together, this means that the more two individuals converse, the more they will use similar language outside their conversations. A corollary of this is that the word usage of two isolated, or weakly connected groups, will drift apart on this time scale.

The use of large quantities of data, gleaned from online conversations, allows us to detect evidence for an underlying process of language transmission. Through identifying this process, we fill a gap in our understanding of how language is shaped and evolves (Croft 2000; Pagel *et al.* 2007; Pagel 2009; Chater & Christiansen 2010; Hauser *et al.* 2014). We demonstrate a process which has subtle effects at the individual level (see figure 4b). However, when this process is iterated many times within a population, large scale social patterns can develop. For instance, it follows from our results that groups which interact more with one another will share similar and distinctive language patterns; which is borne out by evidence from online conversations (Bryden *et al.* 2013). The relatively high level of word-heritability amongst non-conversing partners (see figure 2) indicates that iterated transmission happens at a large scale in populations, which may explain increased regularisation of language found amongst larger populations (Kam & Newport 2005; Lupyan & Dale 2010; Dale & Lupyan 2012) while smaller populations are the most susceptible to language change (Trudgill 2005, 2011). Furthermore, our model and methods can

210 be applied to date changing language usage of groups. This can make inferences
211 as to dynamical changes in population structure and where possible linking these
212 changes to genetic changes, especially regarding whether groups have become more
213 integrated or more isolated, and make future predictions (Barbujani *et al.* 1994;
214 Hunley & Long 2005; Hunley *et al.* 2007; Lieberman *et al.* 2007; Hunley *et al.* 2008;
215 Kutanan *et al.* 2014; Longobardi *et al.* 2015; Srithawong *et al.* 2015; Creanza *et al.*
216 2015; Karafet *et al.* 2016).

217 The process of transmission demonstrated here, being peer-to-peer in nature,
218 forms a basis for horizontal transmission (Cavalli-Sforza & Feldman 1981). Indeed,
219 our results reject a model that human language use can solely be explained by
220 vertical transmission as we have shown that horizontal transmission does take place.
221 Furthermore, the mechanism of lasting transmission we have identified can go beyond
222 horizontal transmission and may underlie vertical transmission whereby children
223 acquire vocabularies from their parents, and oblique transmission whereby children
224 acquire vocabularies from older generations. From this perspective, we propose that
225 vertical transmission can work in much the same way as horizontal transmission
226 but with an inequality between parents and children whereby parents are much less
227 likely to pick up words from their children than *vice versa*. With an understanding of
228 both forms of transmission, the model and evidence that we have presented can be
229 applied to understand how word frequencies can change across several generations
230 of a population.

231 Language transmission is a cognitive process with an underlying neurological
232 mechanism. Our evidence that word frequencies are transmitted from person-to-
233 person points to insights which can inform neuroscience about the sorts of brain
234 structures, mechanisms and memory that are necessary for language uptake and
235 storage, and may be awaiting discovery. For example, an internal, mutable represen-
236 tation of word frequency suggests a reinforcement process and directs neuroscientists
237 towards plasticity theories; a conclusion supported by various studies showing a role
238 for plasticity and/or Hebbian learning in language therapy (Sarasso *et al.* 2014),

239 acquisition (Kim *et al.* 1997) and processing (Chee *et al.* 2002; Wennekers *et al.*
240 2006).

241 There are no genes for words, or other specific language features, yet languages
242 change in a way that is very reminiscent of biological evolution. This suggests that
243 there is something which is inherited and which is passed on like a gene, even if
244 we do not know what this something is. Here we show how word frequencies can
245 be stored and passed on. This forms a quantifiable basis for studying descent with
246 modification of language: a requirement for language evolution.

247 6. Methods

248 (a) *Data acquisition*

249 We used conversations between users recorded on the social networking site
250 Twitter. Online conversations on social networks allow the observation of natural,
251 everyday language within its social context in a way that more formal, written
252 media does not. The informal style of this language, and its short, back-and-forth
253 nature, makes it much closer in form and appearance to spoken language than
254 most other forms of written language. Communication on Twitter replicates the
255 heterogeneity in usage that is found in spoken language (Eisenstein *et al.* 2014;
256 Tamburrini *et al.* 2015; Bryden *et al.* 2013). The ubiquity of the use of online social
257 media for human interaction allows the gathering of this data at a large scale and
258 in quantities that are not normally achieved for spoken language. While there are
259 likely to be differences, Twitter conversations are more like regular conversations
260 than other, written forms of communication.

261 The data were recorded from the Twitter website during December 2009. A
262 snowball sampling process was used to gather users as follows: for each user sampled,
263 all their tweets that mentioned other users (using the ‘@’ symbol) were collected
264 directly from their profiles, meaning that we hopefully had a full history of their
265 tweets. Any newly referenced users were added to a list of users from which the

266 next user to be sampled was picked. Starting from a random user, conversational
267 tweets (time-stamped between January 2007 and November 2009) were sampled,
268 yielding over 200 million messages from over 189,000 users. We ignored messages
269 that were copies of other messages (so called retweets, which are identified by a
270 case-insensitive search for the text ‘RT’).

271 *(b) Test words*

272 The following tests were done using a list of 1,000 different test words. These
273 words were selected randomly from the complete collection of all text in the sample.

274 *(c) Word heritability analysis*

275 Messages were temporally split into ‘early’ and ‘late’ halves around the median
276 time. An ‘early sample’ was created by randomly sampling 1,000 words from the
277 amalgamated early tweets. This was repeated with the amalgamated late tweets to
278 create a ‘late sample’.

279 Word heritability was measured by regressing over a series of points: each
280 calculated on the basis of a single given word, and a randomly shuffled pair of users.
281 For the first axis of the regression, we recorded the difference of the first user’s
282 usage of the word compared with that of the other user during the two early halves.
283 For the second axis, we recorded the amount which the first user changed their
284 usage of that word over time between their early and late halves. Two regressions
285 were plotted for each word: one for conversing partners and one for non-conversing
286 partners.

287 To test for significance, we did a bootstrap by generating two resamples of 500K
288 points from the conversing and non-conversing data sets and regressed a line through
289 each sample. We then measured the difference between the two slopes and recorded
290 the proportion (reported in the main text as p) of the 1,000 bootstrap resamples
291 for which the slope for non-conversing individuals exceeded the slope for conversing

292 individuals. To test that we had used enough resample points, we confirmed that
 293 similar results could be achieved with smaller resample sizes.

294 In all we recorded approximately 500 million data points between conversing
 295 partners. To generate controls, we randomly generated pairs of users and checked
 296 that they had never sent one-another messages in our data set. We used 9 million
 297 pairs for our control which was sufficient to capture its distribution for our bootstrap
 298 and for the Mann-Whitney U test.

299 *(d) Convergence analysis*

300 The convergence analysis required a method that calculates how similar the
 301 language is of a pair of users. The Bray-Curtis similarity measure (Bray & Curtis
 302 1957) was used because it takes frequency into account rather than simply binary
 303 presence/absence. Words are converted to lower case and stripped of punctuation
 304 (see Wright 2017, for more information). We divided each of the two users' language
 305 into early and late time periods and sampled 1,000 words (with replacement) from
 306 each time period. To measure convergence data points, we calculated the Bray-
 307 Curtis similarity between the samples from the late time periods and subtracted
 308 the Bray-Curtis similarity between the samples from the early time periods. For
 309 the control data points we took the early and late samples from the complete time
 310 period without division.

The transmission model fitted to the convergence data points was Eq. (1) from
 the Supplemental Material:

$$y = c_1 + c_2 e^{-\alpha x}$$

The null model was with $\alpha = 0$ which was simply

$$y = c_3 .$$

Fitting was done against the points sampled for display in figure 4 using a least
 squares method. The values found were: $c_1 = 0.000478$, $c_2 = -0.00552$, $\alpha = 0.00982$

and $c_3 = -0.000617$. The Akaike Information Criteria was calculated as:

$$AIC = 2k - 2 \sum_i \ln [pdf_norm(y_i; \mu_i, \sigma^2)]$$

311 where k is the number of parameters in the model, y_i are the model predictions
312 and μ_i are the corresponding data points, σ^2 is the variance of the data points and
313 pdf_norm is the probability distribution function of the normal distribution. We
314 found $AIC_{transmission} = 1535774$ and $AIC_{null} = 1536263$ which means there is
315 essentially no support for the null model in light of the transmission model.

316 7. Author Contributions

317 All of the authors contributed equally to the work.

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