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Copyright Year	2018		
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Corresponding Author	Family Name	Placidi	
	Particle		
	Given Name	Luca	
	Suffix		
	Organization	International Telematic University Uninettuno	
	Address	Rome, Italy	
	Email	luca.placidi@uninettunouniversity.net	
Author	Family Name	Barchiesi	
	Particle		
	Given Name	Emilio	
	Suffix		
	Division	Dipartimento di Ingegneria Strutturale e Geotecnica	
	Organization	Università degli Studi di Roma "La Sapienza"	
	Address	Rome, Italy	
	Division	International Research Center for the Mathematics and Mechanics of Complex Systems	
	Organization	Università degli Studi dell'Aquila	
	Address	L'Aquila, Italy	
	Email	emilio.barchiesi@uniroma1.it	
Author	Family Name	Misra	
	Particle		
	Given Name	Anil	
	Suffix		
	Division	International Research Center for the Mathematics and Mechanics of Complex Systems	
	Organization	Università degli Studi dell'Aquila	
	Address	L'Aquila, Italy	
	Division	Civil, Environmental and Architectural Engineering Department	
	Organization	The University of Kansas	

	Address	Lawrence, KS, USA
	Email	amisra@ku.edu
Author	Family Name	Andreaus
	Particle	
	Given Name	Ugo
	Suffix	
	Division	Dipartimento di Ingegneria Strutturale e Geotecnica
	Organization	Università degli Studi di Roma "La Sapienza"
	Address	Rome, Italy
	Email	ugo.andreaus@uniroma1.it

# V

## Variational Methods in Continuum Damage and Fracture Mechanics

- <sup>3</sup> Luca Placidi<sup>1</sup>, Emilio Barchiesi<sup>2,3</sup>,
- 4 Anil Misra<sup>3, $\overline{4}$ </sup>, and Ugo Andreaus<sup>2</sup>
- <sup>5</sup> <sup>1</sup>International Telematic University Uninettuno,
- 6 Rome, Italy

AU1

- <sup>7</sup> <sup>2</sup>Dipartimento di Ingegneria Strutturale e
- 8 Geotecnica, Università degli Studi di Roma "La
- 9 Sapienza", Rome, Italy
- <sup>10</sup> <sup>3</sup>International Research Center for the
- 11 Mathematics and Mechanics of Complex
- 12 Systems, Università degli Studi dell'Aquila,
- 13 L'Aquila, Italy
- <sup>14</sup> <sup>4</sup>Civil, Environmental and Architectural
- 15 Engineering Department, The University of
- 16 Kansas, Lawrence, KS, USA
- 17

#### 18 Synonyms

- 19 Variational approach to damage and fracture me-
- 20 chanics; Variational formulation of damage and
- 21 fracture mechanics

#### 22 **Definitions**

- 23 Damage is defined as the loss of material stiffness
- 24 under loading conditions. This process is in-
- <sup>25</sup> trinsically irreversible and, therefore, dissipative.
- <sup>26</sup> When the stiffness vanishes, fracture is achieved.

In order to derive governing equations, variational methods have been employed. Standard 28 variational methods for non-dissipative systems 29 are here formulated in order to contemplate dissipative systems as the ones considered in continuum damage mechanics. 32

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#### Principle of Least Action for Dissipative Systems

Variational principles and calculus of variations 35 have always been important tools for formulat- 36 ing mathematical models of physical phenomena 37 (dell'Isola and Placidi 2011). Indeed, they are 38 the main tool for the axiomatization of physical 39 theories because they provide an efficient and 40 elegant way to formulate and solve mathematical 41 problems which are of interest for scientists and 42 engineers. If the action functional is well be- 43 having, variational principles always give rise to 44 intrinsically well-posed mathematical problems, 45 allowing also to find straightforwardly boundary 46 conditions that guarantee uniqueness of the so- 47 lution (dell'Isola et al. 2015b, 2016; Carcaterra 48 et al. 2015). Thus, in order to formulate the 49 governing equations of nonstandard models, it is 50 natural to use a variational procedure. 51

However, it is often argued that dissipation 52 cannot be handled by means of a least action 53 principle. Indeed, it is usually pointed out that a 54 limit of the modeling procedure based on varia-55 tional principles consists in their impossibility of 56 encompassing nonconservative phenomena. First 57

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**Author's Proof** 

of all, this is not exactly true, as it is possible
to find some action functionals for a large class
of dissipative systems. This would be enough to
contradict the thesis for that variational principles
can be used only for non-dissipative systems.

Another possibility to answer to this criti-63 cism is to assume a slightly different point of 64 view, usually attributed to Hamilton and Rayleigh 65 (dell'Isola et al. 2009). Once the quantities which 66 expend power on the considered velocity fields 67 are known in terms of the postulated action, 68 a suitable positive definite Rayleigh dissipation 69 70 function is introduced that is related to the first variation of the action functional. 71

In continuum damage mechanics, see, e.g., 72 Chaboche (1988), Misra and Singh (2013, 73 2015), and Poorsolhjouy and Misra (2016), 74 the point of view is different. This is due to 75 the monolateral behavior of damage kinematic 76 descriptors. In general, in order to find a 77 mathematical model for a class of natural 78 79 phenomena by the use of variational principles, the first ingredient is to establish the right 80 kinematics, i.e., the kinematic descriptors 81 modeling the state of the considered physical 82 systems. The second ingredient is to establish 83 the set of admissible motions for the system 84 under description, i.e., to the correct model 85 for the admissible evolution of the system. In 86 standard continuum mechanics, the kinematics 87 is given by a single placement function  $\gamma$  that is 88 defined on the reference configuration  $\mathcal{B}$  and on 89 a given time interval  $\mathcal{I}$ . The simplest way to treat 90 continuum damage mechanics is to complement 91 such a function with a scalar function  $\omega$ , defined 92 on the same reference configuration  $\mathcal{B}$  and on 93 the same interval of time  $\mathcal{I}$ . 94

The set of kinematic descriptors, therefore, does not contain, as usual, the placement field  $\chi = \chi(X, t)$  only, but it also contains the damage field  $\omega = \omega(X, t)$ , see Fig. 1. Thus, the strain energy density reads as

$$\mathscr{E}(u,\omega)\,,\qquad\qquad(1)$$

100 where  $\mathscr{E}$  is the total deformation energy func-101 tional. The damage state of a material point *X* 102 is therefore characterized, at time *t*, by a scalar 103 internal variable  $\omega$ , that is assumed to be within



**Variational Methods in Continuum Damage and Fracture Mechanics, Fig. 1** Basic kinematics in damage mechanics. For each point of the domain, and therefore for each point of the reference configuration  $\mathcal{B}$  and of the time interval  $\mathcal{I}$ , the kinematic is defined by the placement function  $\chi$  and by a scalar function  $\omega$ .  $\chi$  is the placement of each point of the reference domain and  $\omega$  is the state of damage. Herein,  $\omega$  is assumed to be within the range [0, 1] and the cases  $\omega = 0$  and  $\omega = 1$  correspond, respectively, to the undamaged state and to failure

the range [0, 1]. The cases  $\omega = 0$  and  $\omega = 1$  104 are customarily taken to correspond, respectively, 105 to the undamaged state and to failure (Cuomo 106 et al. 2014). Fracture is clearly assumed to be 107 initiated at those points where  $\omega = 1$  (Anderson 108 2017). The material is generally assumed to be 109 not self-healing, and, hence,  $\omega$  is assumed to be 110 a non-decreasing function of time. This implies 111 that the transition from undamaged to damaged 112 states is irreversible and, roughly speaking, the 113 total deformation energy is dissipated as far as the 114 damage increases its value. Thus, if the damage 115  $\omega$  is assumed to be one of the fundamental kine- 116 matic descriptors of the system (first ingredient), 117 the set of its admissible motions (second ingre- 118 dient) is intrinsically nonstandard. Keeping this 119 in mind, the principle of least action should be 120 generalized for those dissipative systems which 121 possess kinematic descriptors with monolateral 122 constraints. First of all, the variation  $\delta \mathscr{E}$  of the total deformation energy functional  $\mathscr{E}$  represented 124 not only as a function of the kinematic descriptors 125  $\chi$  and  $\omega$  but also of their admissible variations  $\delta \chi$  126 and  $\delta \omega$ , i.e.: 127

$$\delta \mathscr{E} (\chi, \omega, \delta \chi, \delta \omega) = A(\chi, \omega) \delta \chi + B(\chi, \omega) \delta \omega,$$
(2)

<sup>128</sup> where it is made explicit that  $\delta \mathscr{E}$  is, by definition, <sup>129</sup> linear with respect to both  $\delta \chi$  and  $\delta \omega$ .

For standard, bilateral, admissible motion, the 130 principle of least action is expressed by impos-131 ing that the variation (2) is zero for any bilat-132 eral, admissible motion. This is made explicit in 133 Fig. 2a, where a bilateral admissible variation of 134 the solution, i.e., of the minimum of the repre-135 sented graphic, gives that the correct minimum 136 condition is a null variation of the functional to be 137 minimized. In the case of monolateral admissible 138 motion, the principle of least action must be 139 140 made explicit differently. In Fig. 2b it is clear, in fact, that monolateral admissible motions do 141 not necessarily imply that the variation of the functional to be minimized must always be as-143 sumed to vanish. In this case, it is better to assume 144 that any admissible variation  $\delta \mathscr{E}(\chi, \omega, \delta \chi, \delta \omega)$ 145 is always greater than (better not lower than) 146 the variation  $\delta \mathscr{E}(u, \omega, \dot{u}, \dot{\omega})$  that is calculated in 147 correspondence of the solution of the problem. 148 Thus, from a mathematical point of view, the 149 principle of least action is expressed by assuming 150 151 that

$$\begin{split} \delta \mathscr{E} \left( u, \omega, \dot{u}, \dot{\omega} \right) &\leq \delta \mathscr{E} \left( u, \omega, \upsilon, \beta \right), \\ \forall \upsilon, \ \forall \beta \geq 0, \end{split} \tag{3}$$

152 where v and  $\beta$  are compatible virtual velocities 153 starting from the configuration  $\chi$  and  $\omega$ , and dots 154 represent derivation with respect to time. Thus,  $\dot{\chi}$ 155 and  $\dot{\omega}$  are, respectively, the standard velocity field 156 and the rate of damage that are calculated on the 157 basis of the solutions  $\chi(X, t)$  and  $\omega(X, t)$  of the 158 problem.

As commented in Marigo (1989), inequal-159 ity (3) says that the true energy release rate 160 (i.e.,  $-\delta \mathcal{E}(u, \omega, \dot{u}, \dot{\omega})$ ) is not smaller than any 161 possible one (i.e.,  $-\delta \mathscr{E}(u, \omega, v, \beta)$ ). It consti-162 tutes, therefore, a kind of principle of maxi-163 mum energy release rate. It is worth to be noted 164 that such a principle was shown also by Hill in 165 1948 (Hill 1948), see also Maier (1970), in order 166 to express a variational principle of maximum 167 plastic work. Among others, it is worth to be 168 mentioned the contributions due to Bourdin et al. 169 170 (2008), Fleck and Willis (2009), Kuczma and Whiteman (1995), Rokoš et al. (2016), and Reddy 171 172 (2011a,b).

#### Reduction to the Standard Variational Principle

In this section it is verified that the variational 175 principle expressed in (3) reduces to the usual 176 one, i.e., to  $\delta \mathscr{E} = 0$ , for arbitrary variations  $\delta \chi$ , 177 when no variation  $\delta$  is considered ( $\delta \omega = 0$ ). 178 Namely, it is checked that 179

$$\delta \mathscr{E} (u, \omega, \delta u, 0) = 0, \qquad \forall \delta u. \tag{4}$$

Let the virtual velocity field v be  $v = \dot{u} + \overline{v}$ , with 180 arbitrary  $\overline{v}$ , and the other virtual velocity  $\beta$  to be 181  $\beta = \dot{\omega}$  in (3). Since  $\beta$  is an arbitrary positive 182 field, the choice  $\beta = \dot{\omega}$  is admissible because 183 also  $\dot{\omega}$  is a nonnegative (nonarbitrary!) field. This 184 yields 185

$$\delta \mathscr{E}(u, \omega, \dot{u}, \dot{\omega}) \leq \delta \mathscr{E}(u, \omega, \dot{u} + \overline{\upsilon}, \dot{\omega}).$$
 (5)

Let now the virtual velocity field v be  $v = \dot{u} - \overline{v}$ , 186 with the same field  $\overline{v}$  of (5), and again  $\beta = \dot{\omega}$  187 in (3). We get 188

$$\delta \mathscr{E}(u, \omega, \dot{u}, \dot{\omega}) \le \delta \mathscr{E}(u, \omega, \dot{u} - \overline{\upsilon}, \dot{\omega}). \tag{6}$$

Since the first variation of a functional is linear 189 with respect to the admissible variations, see the 190 representation (2), inequality (5) implies 191

$$\delta \mathscr{E}\left(u,\omega,\overline{\upsilon},0\right) \ge 0 \tag{7}$$

and inequality (6) implies

$$\delta \mathscr{E}\left(u,\omega,\overline{\upsilon},0\right) \le 0. \tag{8}$$

Combining (7) and (8)

$$\delta \mathscr{E} \left( u, \omega, \bar{\upsilon}, 0 \right) = 0, \qquad \forall \overline{\upsilon} \tag{9}$$

is obtained, which has the same desired form 194 as (4). This is a very important result. It tells 195 that the principle of least action in the form of 196 the variational inequality (3) is a generalization 197 of the same principle that is generally expressed 198 as in (9), for the case of monolateral kinematic 199 descriptors. 200

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Variational Methods in Continuum Damage and Fracture Mechanics, Fig. 2 (a) Bilateral admissible motions imply that the minimum condition is expressed by assuming that the first variation of the functional to be

#### <sup>201</sup> The Derivations of KKT Conditions

The formulation (3) of the principle of least action does not only give back the standard formulation (9), but it also furnishes further conditions, the so-called KKT conditions. In the previous section, we have exploited the cases with the virtual velocity  $v = \dot{u} \pm \overline{v}$ . It is clear that for monolateral admissible virtual velocities, this is not immediately generalizable because the condition  $\beta \ge 0$  must always be satisfied. To do this, the choice  $v = \dot{u}$  and  $\beta = 0$  is firstly used in (3). It yields

$$\delta \mathscr{E} \left( u, \omega, \dot{u}, \dot{\omega} \right) \le \delta \mathscr{E} \left( u, \omega, \dot{u}, 0 \right). \tag{10}$$

<sup>213</sup> A second choice  $v = \dot{u}$  and  $\beta = 2\omega$  has been <sup>214</sup> made in (3). It yields

$$\delta \mathscr{E}(u, \omega, \dot{u}, \dot{\omega}) \le \delta \mathscr{E}(u, \omega, \dot{u}, 2\dot{\omega}).$$
(11)

215 Since the first variation of a functional is linear216 with respect to virtual variations, see the repre-217 sentation (2), the inequality (10) implies

$$\delta \mathscr{E}\left(u,\omega,0,\dot{\omega}\right) \le 0,\tag{12}$$

218 and the inequality (11) implies

$$\delta \mathscr{E}\left(u,\omega,0,\dot{\omega}\right) \ge 0. \tag{13}$$

219 Combining (12) and (13)

minimized vanishes. (b) Monolateral admissible motions do not necessarily imply that the minimum condition is expressed by assuming that the first variation of the functional to be minimized vanishes

$$\delta \mathscr{E}\left(u,\omega,0,\dot{\omega}\right) = 0 \tag{14}$$

is obtained, which is an integral form of the 220 KKT conditions. A suitable localization of (14) 221 gives the KKT conditions in their standard form. 222 However, it is worth to be noted that the for- 223 mulation (14) is different with respect to that 224 represented in (9). In fact, (9) is valid for any 225 admissible virtual velocity  $\overline{v}$ , while (14) is valid 226 only for one single rate of damage  $\dot{\omega}$ . Such a 227 localization can be achieved, therefore, only after 228 a further exploitation of the principle of least 229 action. Thus, the choice  $v = \dot{u}$  in (3) implies 230

$$\delta \mathscr{E}(u, \omega, \dot{u}, \dot{\omega}) \leq \delta \mathscr{E}(u, \omega, \dot{u}, \beta) \ \forall \beta \geq 0.$$
 (15)

By the linear representation in (2), it is easily 231 shown that 232

$$\delta \mathscr{E}(u, \omega, 0, \beta) \ge \delta \mathscr{E}(u, \omega, 0, \dot{\omega}) \ \forall \beta \ge 0.$$
 (16)

Reminding (14) and (16) reads as

$$\delta \mathscr{E} (u, \omega, 0, \beta) \ge 0 \ \forall \beta \ge 0. \tag{17}$$

The integral form (17) is now suitable for lo-  $^{234}$  calization purposes because of the arbitrariness  $^{235}$  of the virtual velocity  $\beta$ . Thus, the so-called  $^{236}$  Karush-Kuhn-Tucker (KKT) conditions for dam-  $^{237}$  age mechanics have been derived simply from  $^{238}$  the principle of least action in the form of the  $^{239}$  variational inequality stated in (3).  $^{240}$ 

In order to get governing equations with this method, this variational principle is generally presented as in Placidi (2015, 2016) in the next section.

# The Definition of the Total Deformation Energy Functional in Nonlocal Continuum Mechanics

<sup>248</sup> The total deformation energy functional  $\mathscr{E}$  is <sup>249</sup> the state function of the problem. It is generally <sup>250</sup> decomposed into an elastic part  $\mathscr{E}_{e}$ :

$$\mathscr{E}_e = \mathscr{E}_e^{\text{int}} - \mathscr{E}_e^{\text{ext}}, \qquad (18)$$

that is decomposed into an internal part  $\mathscr{E}_e^{\text{int}}$ :

$$\mathscr{E}_{e}^{\mathrm{int}} = \int_{\mathscr{B}} U, \qquad (19)$$

<sup>252</sup> due to the material, and an external part  $\mathscr{E}_e^{\text{ext}}$ , due <sup>253</sup> to the interaction with the external world, and a <sup>254</sup> dissipation  $\mathscr{E}_d$  part:

$$\mathscr{E}_d = \int_{\mathscr{B}} w(\omega), \qquad (20)$$

where U is the density of the internal energy and w is the density of the dissipation energy.

Localizations of the deformation process are 257 always preferential from an energetic viewpoint. 258 Accordingly one must introduce some character-259 istic lengths in order to penalize the deformations 260 that are too localized. This leads to the concept of 261 nonlocal damage models. The nonlocal approach, 262 for controlling the size of the localization zone, 263 implies nonlocal terms either in the internal part 264 of the total deformation energy functional or in 265 the dissipated part. 266

Usually, the nonlocal terms are given by the dependence of the density of the total deformation energy functional upon not only the damage  $\nu_{00} \omega$  but also upon the first gradient of it, i.e., of  $\nu_{11} \nabla \omega$ . From this point of view, it is worth to be  $\nu_{12}$  noted, among others, the contributions of the  $\nu_{13}$  group of Marigo (Marigo 1989; Pham et al. 2011;  $\nu_{14}$  Bourdin et al. 2008; Amor et al. 2009; Pham and Marigo 2010a,b), Perego (Comi and Perego 275 1995) and Miehe (Miehe et al. 2016). A fully 276 nonlocal approach (i.e., an integral procedure 277 which is based on integration of the state vari- 278 ables over a typical domain whose size is related 279 to the characteristic length of the localization) is 280 due to the group of Bažant (Pijaudier-Cabot and 281 Bažant 1987; Bažant and Jirásek 2002; Bazant 282 and Pijaudier-Cabot 1988). As commented in 283 dell'Isola et al. (2015a), it is possible to trace 284 back such a fully nonlocal approach to the pio- 285 neering ideas of G. Piola (dell'Isola et al. 2014) 286 that were also exploited in Silling (2000). A 287 micromorphic approach is used by the group of 288 Forest (Forest 2009; Aslan et al. 2011; Dillard 289 et al. 2006). Strain gradient formulation is also 290 used in the literature (Yang and Misra 2012; 291 Yang et al. 2011; Peerlings et al. 2001). In the 292 next section, a strain gradient formulation for 293 damage continuum 1D bodies will be shown as an 294 example of damage continuum mechanics with 295 the variational approach that is here illustrated. 296 The first variational formulation of this kind for 297 strain gradient materials has been presented in 298 Placidi (2015, 2016), from where the notation of 299 the next section has been taken. 300

#### Damage Strain Gradient Formulation 301 for the 1D Case 302

As an example, we consider, in the reference 303 configuration, a body that it is modeled as a 304 *one*-dimensional straight line of length *L*, with 305 an abscissa  $X \in [0, L]$ . Let us further assume 306 the quasi-static approximation. Thus, the inertia, 307 i.e., the kinetic energy, is neglected. Since we 308 deal with infinitesimal deformations, the total 309 deformation energy functional  $\mathscr{E}$  will be now 310 expressed in terms of the displacement field 311  $u(X,t) = \chi(X,t) - X$  and not of the placement 312  $\chi(X,t)$ . 313

An explicit form for the second gradient case 314 of the total deformation energy functional is, 315 therefore, 316

$$\mathscr{E}(u(X,t),\omega(X,t)) = \int_{0}^{L} \left[ K_{0}(X)\omega(X,t) + \frac{1}{2}K(X)\omega(X,t)^{2} \right] dX + \int_{0}^{L} \left[ \frac{1}{2}C(X,\omega(X,t)) \left[ u'(X,t) \right]^{2} + \frac{1}{2}P(\omega(X)) \left[ u''(X,t) \right]^{2} \right] dX$$
(21)  
$$- \int_{0}^{L} \left[ b_{\sigma}(X)u(X,t) + b_{m}(X)u'(X,t) \right] dX - \sigma_{0} u(0,t) - \sigma_{L} u(L,t) - m_{0} u'(0,t) - m_{L} u'(L,t),$$

<sup>317</sup> where K(X) is the resistance to damage that is assumed to be independent of damage,  $K_0(X)$ 318 319 is another independent damage constitutive field that will be interpreted as the initial damage 320 threshold,  $C(X, \omega(X, t))$  is the standard stiff-321 ness (that is assumed to depend on damage), 322 and  $P(\omega(X,t))$  is the second gradient stiffness 323 (that is also assumed to depend on damage). 324 325  $b_{\sigma}(X)$  and  $b_m(X)$  are the distributed external actions that expend work, respectively, on the 326 327 displacement and on the gradient of the displace-328 ment.  $b_{\sigma}(X)$  is also called the distributed external 329 force and  $b_m(X)$  the distributed external double 330 force.  $\sigma_0$ ,  $\sigma_L$ ,  $m_0$ , and  $m_L$  are the concentrated

external actions on the boundaries, X = 0 and  ${}^{331}_{332}$ X = L, of the domain [0, L]:  $\sigma_0$  and  $\sigma_L$  are the  ${}^{333}_{332}$  concentrated external actions that make work on  ${}^{334}_{334}$  the displacement, respectively, on the left- and on  ${}^{335}_{335}$  the right-hand side of the one-dimensional body  ${}^{336}_{336}$  (also called external forces at the boundaries),  ${}^{337}_{337}$  and  $m_0$  and  $m_L$  are the concentrated external  ${}^{338}_{338}$  actions that make work on the gradient of the  ${}^{339}_{349}$  displacement, respectively, on the left- and on the  ${}^{340}_{440}$  right-hand side of the one-dimensional body (also  ${}^{341}_{441}$  called external double forces at the boundaries).  ${}^{342}_{442}$ 

An explicit form of the standard elastic formulation (9) for the strain gradient case expressed 344 in (21) is 345

$$\int_{0}^{L} [\delta u \left( -(\sigma - m' - b_m)' - b_\sigma \right) dX + [\delta u \left( \sigma - b_m - m' \right) + \delta u'm]_{X=0}^{X=L} -\sigma_0 \delta u(0,t) - \sigma_L \delta u(L,t) - m_0 \delta u'(0,t) - m_L \delta u'(L,t), \quad \forall \delta u$$
(22)

346 where integration by parts has been performed 347 and where the contact force  $\sigma$  and the contact 348 double force *m* are involved in the following 349 form:

$$\sigma = C (X, \alpha (X, t)) u' (X, t),$$
  

$$m = P (\alpha (X, t)) u'' (X, t).$$
(23)

<sup>350</sup> The integral form (22) is suitable for the follow-<sup>351</sup> ing localization:

$$\left(\sigma - m' - b_m\right)' + b_\sigma = 0. \tag{24}$$

Insertion of (23) into (24) gives the standard
partial differential equation (PDE) for a second
gradient 1D continuum:

$$\left(Cu' - \left(Pu''\right)' - b_m\right)' + b_\sigma = 0,$$

$$\forall X \in [0, L] \,. \tag{25}$$

Besides, the following duality conditions are derived from (22), i.e.: 356

$$\delta u(L) \left[ C u' - (P u'')' - b_m \right]_{X=L} = \sigma_L, (26)$$
  
$$\delta u(0) \left[ C u' - (P u'')' - b_m \right]_{X=0} = -\sigma_0, (27)$$
  
$$\delta u'(L) P u''(L) = m_L, (28)$$
  
$$\delta u'(0) P u''(0) = -m_0, (29)$$

where the boundary conditions (BCs) can be 357 derived from the explicit form of the constraints, 358 which are assumed to be expressed in terms of the 359 displacement field. 360 Variational Methods in Continuum Damage and Fracture Mechanics

The integral form (14), with the total deformation energy functional (21), has the following

363 explicit form:

$$\int_{0}^{L} \dot{\omega} \left[ K_{0}(X) + K(X)\omega(X,t) + \frac{1}{2} \frac{\partial C(X,\omega)}{\partial \omega} \left[ u'(X,t) \right]^{2} + \frac{1}{2} \frac{\partial P(\omega)}{\partial \omega} \left[ u''(X,t) \right]^{2} \right] dX = 0.$$
(30)

The global form of the KKT (17) has the following other form:

$$\int_{0}^{L} \beta \left[ K_{0}(X) + K(X)\omega(X,t) + \frac{1}{2} \frac{\partial C(X,\omega)}{\partial \omega} \left[ u'(x,t) \right]^{2} + \frac{1}{2} \frac{\partial P(\omega)}{\partial \omega} \left[ u''(X,t) \right]^{2} \right] dX \ge 0, \quad \forall \beta \ge 0.$$
(31)

366 In order to localize (31), let  $\Omega_{\gamma}(X) \subset R$  be a 367 family, parameterized over  $\gamma \in R^+$ , of bounded 368 neighborhoods of  $X \in [0, L]$ , such that their 369 diameters are diam  $\Omega_{\gamma}(X) = \gamma$ . Besides, let 370  $\beta_{\gamma}$  :  $[0, L] \rightarrow R^+$  be a family of functions, 371 parameterized over  $\gamma \in R^+$ , defined as

$$\beta_{\gamma}(X) = \begin{cases} 0 & \text{if } X \notin \Omega_{\gamma}(X) \\ 1 & \text{if } X \in \Omega_{\gamma}(X). \end{cases}$$
(32)

Clearly, for each  $\gamma \in R^+$ ,  $\beta_{\gamma}$  defined in (32) 372 fulfills the positive definiteness required to  $\beta$  373 in (31). Hence, (31), with the specification of  $\beta$  374 as in (32), yields 375

$$\int_{0}^{L} \beta_{\gamma} \left[ K_{0}\left(X\right) + K\left(X\right)\omega(X,t) + \frac{1}{2} \frac{\partial C(X,\omega)}{\partial \omega} \left[u'\left(X,t\right)\right]^{2} + \frac{1}{2} \frac{\partial P(\omega)}{\partial \omega} \left[u''\left(X,t\right)\right]^{2} \right] dX = 0, \quad \gamma \in \mathbb{R}^{+}.$$
(33)

and, letting  $\gamma \to 0^+$ , we finally get,  $\forall X \in [0, L]$ 

$$K_{0}(X) + K(X)\omega(X,t) + \frac{1}{2}\frac{\partial C(X,\omega)}{\partial \omega} \left[u'(X,t)\right]^{2} + \frac{1}{2}\frac{\partial P(\omega)}{\partial \omega} \left[u''(X,t)\right]^{2} \ge 0.$$
(34)

Since by hypothesis we have  $\dot{\omega} \ge 0$ , keeping in mind (34), in order to fulfill the relation (30) we have that,  $\forall X \in [0, L]$ ,

$$K_{0}(X) + K(X)\omega(X,t) + \frac{1}{2}\frac{\partial C(X,\omega)}{\partial \omega}\left[u'(X,t)\right]^{2} + \frac{1}{2}\frac{\partial P(\omega)}{\partial \omega}\left[u''(X,t)\right]^{2} = 0, \quad (35)$$

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380 and/or

$$\dot{\omega} = 0, \quad \forall X \in [0, L]. \tag{36}$$

<sup>381</sup> The combination of (35) and (36) gives, <sup>382</sup>  $\forall X \in [0, L]$ , the desired localform of the

$$\dot{\omega}\left(K_0(X) + K(X)\omega(X,t) + \frac{1}{2}\frac{\partial C(X,\omega)}{\partial \omega}\left[u'(X,t)\right]^2 + \frac{1}{2}\frac{\partial P(\omega)}{\partial \omega}\left[u''(X,t)\right]^2\right) = 0 \quad (37)$$

that has been derived simply from the variationalinequality given in (3).

According to previous results in the literature, see, e.g., Yang and Misra (2012), the stiffness  $C(X, \omega(X, t))$  is generally assumed to decrease with damage growth. The most simple relation of this kind that fulfills this condition is the linear one, i.e.:

$$C(X, \omega(X, t)) = C_0(X)(1 - \omega(X, t)). \quad (38)$$

so-called Karush-Kuhn-Tucker (KKT) conditions 383 for damage mechanics 384

Besides, also the most simple constitutive relation 393 for the second gradient stiffness  $P(\omega(X, t))$  is of 394 linear type: 395

$$P(\omega(X,t)) = P_0(1 - n\omega(X,t)), \quad (39)$$

where, on the one hand, n = 1 indicates that P = 3960 at the failure condition  $\omega = 1$  and, on the other 397 hand, n = -1, as proposed in Placidi (2015), 398 indicates that the micro-structure represented by 399 second gradient terms in (21) is enlarged by the 400 presence of damage. By insertion of (38) and (39) 401 into (37), 402

$$\dot{\omega}\left(K_0(X) + K(X)\omega(X,t) - \frac{1}{2}C_0(X)\left[u'(X,t)\right]^2 - \frac{1}{2}nP_0\left[u''(X,t)\right]^2\right) = 0.$$
(40)

403 Assuming K(X) > 0, (40) is rewritten in another 404 form: where the damage threshold  $\omega_T(X, t)$  has been 405 defined as follows: 406

$$\dot{\omega} \left( \omega(X,t) - \omega_T(X,t) \right) = 0. \tag{41}$$

$$\omega_T(X,t) = -\frac{K_0(X)}{K(X)} + \frac{C_0(X)}{2K(X)} \left[ u'(X,t) \right]^2 + \frac{nP_0}{2K(X)} \left[ u''(X,t) \right]^2. \tag{42}$$

407 Equation (42) is of interest. It gives an analyti-408 cal expression of the damage evolution that has 409 been derived from the variational inequality (3). 410 Because of the local form (41) of the KKT 411 conditions, the damage field  $\omega(X, t)$  is given 412 by its threshold in (42) only if the condition 413  $\dot{\omega} \ge 0$  is satisfied. Otherwise, the (41) implies 414  $\dot{\omega} = 0$ . It is worth to be noted that if an initial 415 undamaged condition, i.e.,  $\omega(X, 0) = 0$ , with 416 no displacement field in an unstressed reference 417 configuration, i.e.,  $u(X, 0) = 0 \forall X$ , is selected, 418 then, since  $K_0(X) > 0$  and K(X) > 0, the threshold  $\omega_T(X, 0)$ , from (42), is negative. Thus, 419 in order to fulfill condition (41), the rate of 420 damage, and therefore also damage, must be zero 421 before time  $t = t^*$ , when the condition 422

$$\omega_T(X, t^*) = 0 \tag{43}$$

is satisfied. This means that damage starts to increase its value from the condition  $\omega = 0$  only if 424 the displacement field guarantees the occurrence 425 of (43), i.e., 426

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$$K_{0}(X) = \frac{1}{2}C_{0}(X) \left[ u'(X, t^{*}) \right]^{2} + nP_{0}\frac{1}{2} \left[ u''(X, t) \right]^{2}.$$
 (44)

<sup>427</sup> Such a condition gives a clear interpretation of  $\overline{AU2}$  <sup>428</sup> the constitutive function  $K_0(X)$ .

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