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Forensic Analysis of Linux Physical Memory: Extraction and Resumption of Running Processes

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A thesis submitted to the Graduate Faculty of

JAMES MADISION UNIVERSITY

In

Partial Fulfillment of the Requirements

for the degree of

Master of Science

Department of Computer Science

May 2012

#### DEDICATION

To Thee for every drop—

The bitter and the sweet.

To Thee for the desert road,

And for the riverside;

For all Thy goodness hath bestowed,

And all Thy grace denied.

To Thee for both smile and frown, And for the gain and loss; To Thee for the future crown And for the present cross.

To Thee for both wings of love Which stirred my worldly nest; And for the stormy clouds which drove Me, trembling, to Thy breast.

Adapted from "I Thank Thee" – Jane Crewdson (1850)

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#### ABSTRACT

Traditional digital forensics' procedures to recover and analyze digital data were focused on media-type storage devices like hard drives, hoping to acquire evidence or traces of malicious behavior in stored files. Usually, investigators would image the data and explore it in a somewhat "safe" environment; this is meant to reduce as much as possible the amount of loss and corruption that might occur when analysis tools are used. Unfortunately, techniques developed by intruders to attack machines without leaving files on the disks and the ever dramatically increasing size of hard drives make the discovery of evidence difficult. These increased interest in research on live forensics (attempting to obtain evidence while the system is running) and on volatile memory forensic analysis. Because of the important role they play in computing systems, volatile memory is a source of information about running processes, network connections, opened files and/or loaded kernel modules that might be valuable to forensic investigations. In this thesis we show that when provided with an image of the physical memory of a

Linux system, it is possible to extract data about a specific running process, enough to be able to resume its execution on a prepared environment. We also describe two proof-ofconcept tools *gettsk* and *memexec* developed for this purpose. This would allow investigators to not only obtain information about a suspicious running task from a RAM dump, but also to perform further inquiry through techniques such as malware analysis.

#### **1. INTRODUCTION**

*Digital forensic investigations* aim at establishing the patterns, causes and consequences of attacks on a computer system. Investigators attempt to determine the nature and chronology of events that might have caused system failure or loss of valuable assets. They seek evidence of changes in configurations and any artifact that could alter the behavior of the system or put it in an undesirable state. This is done mainly by capturing and analyzing storage devices for threats and exploited vulnerabilities. In some cases, malicious software (or malware) are responsible for the misbehavior, in which situation suspected software programs are identified and studied through a set of activities called malware analysis. These techniques help determine what damages the malware can expose the system to, thus allowing investigators to take appropriate decisions and measures to mitigate and ultimately annihilate its effects. Because of the danger they represent, it is commonly recommended to perform forensic analyses of malicious programs in a non-production and heavily controlled environment.

Historically, only permanent storage media such as hard disk drives were examined by investigators who hoped to find evidence in files on disk. Since they do not lose their contents when the machine is powered down, researchers have been able to develop methods to analyze files and their associated metadata for knowledge valuable to forensic investigations. However, they do not constitute the only sources of information; a considerable amount of data about computer systems can be obtained by exploring the contents of volatile media amid the primordial role they play in performing operating systems tasks. It is possible, for example, to obtain information about the processes running on a host at a given time, as well as associated objects such as opened/mapped files and network connections, by examining the physical memory. However, the volatile nature of the memory and the fact that their use is highly operating system-dependent make the acquisition and analysis of its contents challenging. Even when the RAM is successfully acquired and enough process-specific data retrieved, it is still difficult to perform an effective malware analysis.

In this document, we describe ways to collect information about a running process, when provided with an image of a Linux 2.6 physical memory, and resume its execution on another host. This will permit investigators not only to examine the data for evidence, but also to perform further malware analysis in a controlled environment.

#### 1.1. Background, Overview and Problem Statement

Computer-related attacks against individuals and companies referred to as *cybercrime*, have been on the rise for the past decades. Various types of cybercrime exist depending on parameters such as the target and the techniques used to execute the attack; they are often classified as follows with respect to the crime perpetrated [16]:

- *Identity theft*: Access to and misuse of personal information for fun or profit.
- *Cyber harassment*: Use of computer systems to threaten or stalk another person.
- Unauthorized access to computer systems or data: Also known as computer crime.
- Fraud: Bank and other financial fraud, data piracy.

- *Non-access computer crimes*: causing damage without gaining control of the computer system. This includes for example denial-of-service and virus attacks.

The consequences of these attacks on personal and group welfare are considerable as shown by an Identity Theft Resource Centre (ITRC) report, which states that 662 major data breaches were identified in 2010, exposing more than 16 million personal records [29]. The U.S. department of Justice also reported from a survey that 67% of participating businesses have been victims of at least one cybercrime in 2005, with a total in losses estimated at \$867 million [38]. In a similar survey, the Computer Security Institute (CSI) found out that the average loss per business in 2007 was around \$350,000, nearly the double of the previous year results [39]. Cybercrime has escalated to introduce the concept of cyber warfare, which refers to actions that a country/nation or governmental organization takes to compromise the computing systems of other countries'/nations' in order to disrupt, create damage or obtain secret information. A recent example of such actions is the Stuxnet worm that spread around the world and targeted specific industrial control systems [45]. Therefore, the need for digital forensic investigations and malware analysis techniques, to better understand these attacks and reduce the effects of cybercrime, is growing very fast and so is research in these areas.

Early digital forensics efforts were focused on permanent media storage, since the filesystem can be preserved and studied to detect anomalies [8]. Their contents are imaged or copied into other devices and generally attached to different machines for further investigation. Unfortunately, intruders have developed techniques to hide tracks of their mischief such as deleting compromising evidence, and performing their attacks without leaving files on the disks. Also, it is difficult to obtain information about the

programs running on the system, the network connections opened, the loaded kernel modules or other process and operating system-related artifacts at the time of acquisition, as they are generally stored on main memory. This increased the interest in *live forensics* (attempt to obtain evidence while the system is running) and in the analysis of volatile media such as the Random Access Memory or RAM. The storage acquisition and analysis methodologies as well as their limitations are developed in Section 2.1.2.

The main memory plays an important role to the effective functioning of a computing system, as it is used to keep track of the interactions between the OS, the applications running on the machine, the filesystem and the hardware (CPU). Therefore, harvesting the contents of the RAM is of undeniable value to a forensic investigation, especially if the acquisition is done correctly, at the right time and can be parsed for evidence. For instance, some passwords and encryption keys can be found in memory [25, 30], as well as structures holding important information about running processes [43]. Fortunately, in the past decade, major progress in documenting what kind of data can be extracted from an image of RAM and how to obtain it have been made (discussed in Section 2.3). However the resulting tools and techniques are mostly operating systemdependent, because of the differences in the way each uses the memory. Furthermore, investigators do not have a complete understanding of what incidents might have happened on the system just from a memory analysis, since the results are mainly presented in the form of lists (such as list of running processes, open files and network connections) and represent the state of the machine at a specific moment (they do not include an evolution of the situation over time).

In light of all the above, two questions concerning the forensic analysis of physical memory come to mind. First, when provided with the RAM dump of a machine, what kind of data can be extracted? Second, how can we make the obtained information more useful to investigations?

Taking into consideration the fact that most of the incidents happening on computers are linked to applications, our approach is concentrated on acquiring knowledge about specific processes being run on the machine when the RAM was captured and restoring their execution state. Is all the data necessary to bring a process back to life available from the memory image? Assuming the answer is affirmative, how hard is it to resume a process? What kind of environment is required? These are some of the questions that will be considered in this thesis.

#### **1.2.** Purpose and Motivation

The purpose of our work is to determine to what extent it is possible to collect data about a specific process running on the machine and resume its execution on another "safe" environment, from an image of the memory of a Linux 2.6 kernel.

The immediate benefit that such a capability offers is bringing together the advantages of both "dead" and "live" forensic analysis techniques (described in Section 2.1.1). Indeed, obtaining an image of the RAM allows the investigation to be performed with minimum corruption of the state of the original machine; Meanwhile gathering the context and environment of a process and resuming its execution on a prepared host gives more confidence in the integrity of the live tools used [35]. It would also permit

investigators to have a repeatable analysis process (which is of great value when evidence is presented in a court of law) and give them the ability to perform additional inquiries on extracted artifacts without risk to production systems.

An important aspect of digital forensics and incident response is the study of malicious software or malware analysis in order to determine their purpose, stop their progression and/or protect against their propagation (See Section 2.2). One of the techniques used here is *dynamic malware analysis*, which involves running the malware to observe and monitor its interactions with the system rather than attempting to determine the malware execution path without actually running it. Our work could allow investigators to perform dynamic malware analysis on a suspicious program rebuilt from an image of the RAM. A more detailed description of the potential benefits of our work can be found in Section 2.5.

#### **1.3. Document Structure**

This document is divided in six parts. Following this introduction, Chapter 2 gives a general overview of the field of digital forensics and presents prior work related to the analysis of physical memory; the contributions that our study bring are also discussed. Chapter 3 documents what relevant information about a running process is available in a Linux RAM and where to locate it in the 2.6 kernel. Chapter 4 and Chapter 5 describe in detail, the activities to perform in order to acquire and store process specific data, as well as ways to transport the execution of the process onto another host. They both include a proof-of-concept experiment showing results produced by applying the

described methods. We conclude with a summary of the thesis, a statement of the major problems encountered during research as well as future work.

#### 2. DIGITAL FORENSICS BACKGROUND, RELATED WORK AND CONTRIBUTION

This chapter presents a general view of what digital forensics and malware analysis are about, followed by a review of major developments in the forensic analysis of physical memory. We close the chapter with an enumeration of the potential benefits that our research can bring to the forensics community.

#### 2.1. Overview of Digital Forensics Process and Practices

According to Wiles [51], digital forensics can be defined as "*the preservation, identification, extraction, interpretation and documentation of computer evidence*". This definition suggests that to get the best results acceptable in a legal system, investigations require a variety of activities (preservation, extraction, interpretation and documentation). Over the years, researchers have proposed different processes and codes of conduct to perform these various tasks. The following sections describe general digital forensics investigation process models as well as the major activities they comprise.

#### **2.1.1. Digital Forensics Methodologies**

A main concept in defining digital forensics models, consists in following existing procedures used for physical crime scenes, and includes technology-specific guidelines. Carrier and Spafford proposed a model of digital investigation, admissible in a court of law, which easily integrates with refined law enforcement methodologies for a mutual benefit [11]. The result of such collaboration is a link between human suspects and digital evidence. Carrier summarizes the process into three general stages [7]:

- *Preservation*: Collect and copy the digital data in its entirety and integrity.
- Search and Analysis: includes examination, interpretation and recovery of the acquired data.
- *Reconstruction*: report and documentation of events leading to the crime.

In practice however, applying these recommendations is not a simple issue; factors surrounding the investigation such as the state of the machine at different stages of the process have to be taken into account.

In the early years of digital forensics, "*dead*" acquisition was used. This method requires investigators to power down the machine(s) to be examined to avoid potential loss or modification of data on the system. Afterwards, media such as hard drives and other data-persistent devices are harvested for analysis (see Fig. 1).

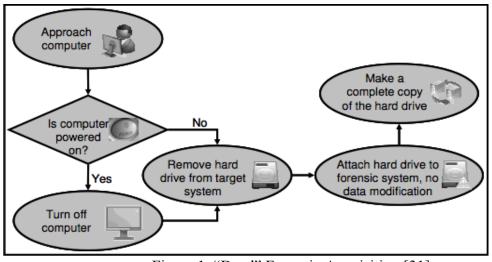
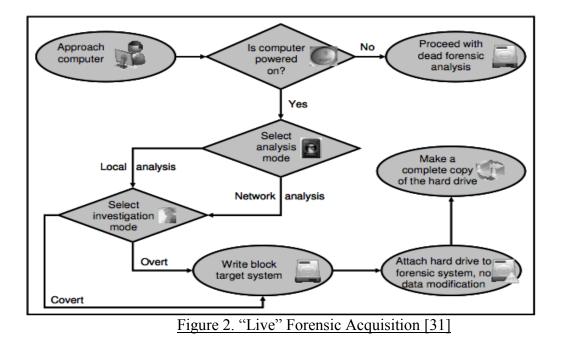


Figure 1. "Dead" Forensic Acquisition [31]



More recently, new techniques emerged to acquire and analyze data while the system is still running. They form what is called "*live*" forensic analysis (see Fig. 2). It is worth noting that both Figure 1 and Figure 2 do not address acquiring volatile data, illustrating the fact that it was not appropriately considered in early forensic investigations.

Lessing and Von Solms published a comparative study of both frameworks ("dead" and "live" forensic analysis) [31]. According to their observations, "dead" analysis procedures are straightforward and more or less platform independent. Also, chances that evidence are corrupted are very small since the computer is not running. However, dead forensic analysis presents some limitations, which include:

- Volatile data (data that exist when the machine is running and is destroyed after a shutdown) such as network information and physical memory are difficult to acquire, even impossible when the system is already shut down.

- With the increasing size of hard drives, investigations become timeconsuming and valuable evidence could be missed as a consequence of the large amount of information.
- It is now common practice to use encryption on disks for protection against attackers who have physical access to the system. When the machine is powered off, the encryption keys (usually residing in memory) necessary to access the contents of the storage device are not available [25, 26]. In such situations, the investigator may not be able to analyze the collected data.

Live forensic analysis on the other hand allows analysis of volatile data through techniques such as "trusted" command shells (local analysis) or remote connections (network analysis). The investigators interact directly with the underlying operating system and therefore have access to the memory; with the appropriate credentials, disk encryption is not an issue anymore [26]. Live analysis however can be associated with shortcomings that can diminish the value of forensic evidence in court [31, 9, 26]:

- Evidence can be altered. Because of the interaction with the operating system, tools or mistakes from the investigator might modify files and/or memory locations on the system and potentially affect evidence.
- The tests performed during live forensics are generally not repeatable, since the state of the system changes.
- The tools are platform dependent. Every system is different, so the tools must be tailored to fit specific platforms: what works for one might not be usable in the other.

- The presence of a kernel rootkit (malicious software running on a system, at the kernel level and with privileged permissions while at the same time hiding its existence to users by changing the normal behavior of the operating system) could provide investigators with erroneous, incomplete or no information at all.

An alternative to both "dead" and live analysis would be to complementarily combine them during a unique investigation process. Mrdovic et al. [35] claim that such a combination is feasible with the use of memory imaging/dumping and virtualization. Indeed, both persistent and volatile data could be imaged/copied and then the studied machine powered down. Finally, a virtual environment could be created to mimic the behavior of the investigated computer. At this point, some "live" interaction could happen without significant impact on the integrity of the collected evidence. Although most of the shortcomings of dead analysis do not apply when this technique is used and the process is repeatable, the live interaction in the virtual machine is still operatingsystem based and thus subject to evidence manipulation.

#### **2.1.2. Digital Forensics Activities**

#### 2.1.2.1. Acquisition and Preservation

It is crucial for investigators to correctly collect and preserve data from machines; any mistake could lead to loss of evidence or rejection from court. Carrier indicates that a great deal of information pertaining to a digital forensic investigation can be obtained from files in external storage media like hard drives [8]. He also describes general techniques used to acquire data from these devices, such as the *dd* command in UNIX systems, which performs a byte-to-byte copy from one medium to the other, and the concept of hashing to verify the integrity of copied data.

Unfortunately, in addition to the disk encryption discussed earlier, hard drives wear out over time or can be damaged; also, attackers have developed ways to hide their tracks through *data destruction* (deleting files potentially containing evidence of intrusion) [23] and *data contraception* (performing attacks without writing to any file on disk, also referred to as *memory resident malware*) [24]. Therefore, the interest in obtaining other sources of data such as volatile storage media grew significantly.

Because of the transient nature of volatile data, its acquisition is challenging and time sensitive. Methods and tools exist to efficiently dump the RAM, classified by Burdach in two major groups [6]: Software and hardware-based methods.

- Software-based memory acquisition consists of utilities loaded on the live system to dump the RAM on an external device. Generally, the effects of running the toolkit on the system are known to the investigator and are considered during analysis. However, if the machine is infected, there is a chance that the tool used to image the memory gets compromised by the malicious program and that misleading evidence is produced. Moreover, it is difficult to verify that the imaging operation was performed correctly, since the memory is constantly changing. These integrity and verifiability issues are not a concern in a virtual environment as most of the virtualization toolkits (such as VMware and Xen) provide mechanisms to acquire a snapshot of the RAM (pause the execution of the host and collect the memory) [47]. The contents of memory are constantly changing and thus data collected by the software tool might be inconsistent.

Hardware-based methods implant devices on the computer to bypass the Operating System. They use DMA (Direct Memory Access) to access the RAM and copy its contents to external storage media. Unfortunately, attackers might be able to detect the devices and as a result, provide erroneous information. An example of such hardware components includes a hidden PCI card that would be visible to the machine only when enabled by an investigator; however, this method is impractical as it requires the PCI card to be installed and configured on the machine before the incident occurs [10]. Also, a firewire device (IEEE 1394) that accesses the physical memory independently of the CPU can be used [34]. This firewire acquisition technique can slightly modify the state of the machine (in case the operating system has to activate the port) and, if not properly performed, crash the system.

Contrary to popular belief, some of the RAM hardware on the market does not immediately lose their contents when the system is powered down; there is a small time window of data persistence (several seconds), which could be significantly extended by freezing the RAM chips [25]. This retention capability could be exploited by investigators to copy the contents of the memory by rapidly restarting the machine after a shutdown; the full operating system is not loaded, rather, a specially crafted program installed on a USB drive or attached to a network/firmware boot is used to start the machine and dump the contents of the RAM [25]. This method however presents a timing challenge, and can be tried only once: in case of failure, everything will be lost.

#### 2.1.2.2. Data Analysis

Much information can be obtained by examining the data acquired from a hard drive for a forensic investigation: deleted files can be restored; log files, browsing history and more can help support or refute theories about the incidents [8]. The investigators typically have to rebuild the volumes and partitions from the image, based on the specific type of file systems in use, and then search through the files for tangible evidence. The rapid increase in hard-drive size, the proliferation of file types/formats and the rise of storage management technologies such as RAID and LVM have complicated the investigators' ability to discover evidence. In such situations, information gathered by analyzing the physical memory can be particularly useful since they might provide preliminary answers and help the investigator prioritize on the type of information to look for in the filesystem. Also, as researchers are developing more ways to extract, parse and study the contents of memory, some investigators have started to give a lot more value to the data from the RAM.

Despite the importance of the information that could be found in the RAM, research in forensic analysis of memory is relatively young (no more than a decade old). Initially, investigators derived clues by searching for strings on the binary dump of the memory, hoping to uncover sequences of characters that might have a meaning such as the name of a process or a loaded kernel module. Unfortunately, this method is not efficient because of the important number of strings that can be found in a memory dump. It was not until the release of the 2005 *Memory Analysis Challenge* for the 5<sup>th</sup> edition of

the *Digital Forensics Research Workshop* (DFRWS) [2, 17], that an active community began making progress on answering the question of what can be discovered given a snapshot of memory (more details in Section 2.3).

#### 2.2. Overview of Malware Analysis

In the aftermath of a computer attack, or after a security incident has been detected, a set of activities, referred to as *incident response*, is generally performed to identify the threats on the system, reduce potential damages and ultimately prevent the attack to happen again in the future. The SANS (SysAdmin, Audit, Networking and Security) Institute proposed a standard approach to incident response composed of six consecutive steps [15]: Preparation, Identification, Containment, Eradication, Recovery and Lessons Learned.

*Malware Analysis*, the study of an unwanted and potentially malicious piece of software (such as virus, Trojan, spyware, etc...), is an inherent part of the incident response process and is usually associated with the identification phase. Indeed, malware analysis activities attempt to determine exactly what actions the malware performs and to some extent, how it was introduced into the system. This permits the responders to efficiently recover from incidents and strengthen their system against similar infections.

The traditional methodology in performing malware analysis involves collecting all the available artifacts (such as code and/or executable files) of the malicious software and transporting them into a contained (i.e. cannot infect production machines) host specifically prepared for observation and analysis. Depending on whether the malware is

actually run or not, there exist two different types of malware analysis techniques: *static* and *dynamic* analysis [15, 1].

#### 2.2.1. Static Malware Analysis

Static analysis refers to the set of techniques used to determine how a piece of malware works without executing it on a machine. This usually involves reverse engineering the software and/or examining its code/binaries to understand what it is supposed to do. The most common tools range from the advanced decompilers and disassemblers to the simple source code viewers and string matching utilities [40]. Static analysis permits investigators to walk through the malicious code and immediately identify the weaknesses exploited by the malware and therefore plan for effective defense mechanisms to be implemented on the system. Also, most or all of the execution paths of the malicious software can be covered through this analysis method and it is usually faster than dynamic analysis [15, 1, 17]. However, static analysis has a few limitations:

- For large and complex code, it may be difficult to completely predict what the malware does; Investigators sometimes have to approximate the overall behavior.
- *Obfuscation* techniques have been developed to make the disassembly and reverse engineering process more difficult. Obfuscation is concerned with modifying the structure and syntax of a program so that it would be hard to dissect through code analysis, while at the same time conserving its

functionality and efficiency. These techniques make static analysis harder, not impossible.

- Sometimes the code examined during static analysis is not necessarily the code executed on the machine; *metamorphic code* (code that reprogram itself) and *polymorphic code* (different code at each execution, though having the same functionality) are examples of such programs and thus static analysis may not be accurate in these cases.
- It is also possible that the malware will respond differently depending on an input provided. In some cases for example, that input represent additional code that the attacker sends over the network and thus is not necessarily available to the investigator.

#### 2.2.2. Dynamic Malware Analysis

Dynamic malware analysis techniques, contrary to static analysis, attempt to determine the interactions between the malware and the system, by actually running the program and analyzing its behavior. Safety restrictions are more important in these situations, since running the unknown piece of software could be disastrous for the host: The malware could destroy key functionalities, break loose and potentially harm other machines and networks. It is therefore imperative for the investigator to prepare a contained and asset-free environment in which to launch the execution of the malware for analysis. The common activities in dynamic analysis include monitoring the system and

library calls made by the program or examining each machine instruction with the help of debuggers or machine emulators [1, 17].

The major benefit of dynamic analysis over static analysis is the assurance that the machine instructions being analyzed are the ones that the program actually executes; i.e. it is not affected by techniques like obfuscation and polymorphic code. On the other hand, dynamic analysis has some drawbacks:

- For complex and large programs, it is difficult to run through all their execution paths and thus predictions on their behavior in a random setup are difficult to make.
- In dynamic analysis, there is a limited view of the internals of the program. Unless using a virtual machine (VM) coupled with introspection techniques (monitoring and inspecting guest operating systems in a virtual environment from the outside to observe behavior [36]), one can only observe input/output and library/system calls.
- The analysis environment is not invisible to the malware. As mentioned in the previous paragraph, the safety measures taken in building the analysis environment are of crucial importance. Malicious software can be tailored to behave differently depending on the underlying configurations of the system. For example, to ensure absolute containment, investigators can build a VM similar the one infected, without network connections; however, it is possible to determine that the program is running on a VM by checking the hardware configurations that virtualization software usually utilize, through techniques such as timing attacks on virtual and emulated environments. An attacker

could then program the software not to execute properly when such properties are detected.

#### 2.3. Advances in Forensic Analysis of Physical Memory

Numerous utilities have been developed to help investigators perform memory analysis. Most of these tools are however platform dependent, due to the unique way each operating system (and even specific versions) handles the RAM. We now present some of these tools and related developments, organized with respect to the underlying family of operating systems.

#### 2.3.1. RAM Analysis on Microsoft Windows

The DFRWS challenge, mentioned in Section 2.1.2.2 above, provided contestants with a memory dump from a Windows 2000 machine to be analyzed. From this emanated two research results:

First, Betz studied the way the Windows 2000 kernel uses the memory, and designed a command line tool called *Memparser* that produces a list of running processes on the system, even the ones that were hidden to the operating system [2].

Garner and Mora developed *KnTList* (part of the now commercial toolset *KnTTools*), which could list the running and hidden processes on the machine, as well as access some other system's information such as time parameters and ARP cache [19].

In 2005, Burdach published a guide to discovering Windows 2000 and Windows XP kernel data structures. He developed a follow-up tool *WMFT* (Windows Memory

Forensics Toolkit), which can enumerate active processes and loaded modules as well as other kernel structures such as driver objects when provided with their addresses in memory.

A year later, Schuster created the tool *PTfinder* which is capable of discovering, from an image of the RAM, all processes and threads running on Windows NT systems (including ones that were potentially deleted by kernel attacks or persisted through a reboot). This utility also provided users with a graphical and hierarchical view of the processes discovered, so investigators could easily determine parental relationships between the threads [42].

In 2007, Walters and Petroni introduced a set of tools to analyze memory dumps from Windows XP SP2 systems: *volatools*. The suite evolved to become *The Volatility Framework*, one of the most used open source toolkits for Windows memory forensic analysis. It provides important functionalities, such as [50]:

- Information on running processes and threads (list of open files, addressable memory, loaded DLLs)
- Information on network connections
- Support for different types of memory dumps (Windows crash dumps, hibernation)
- Information about the registry and loaded kernel modules

Moreover the framework can be extended through plug-ins and a beta version to support Linux operating systems is currently in development [14].

Another well-known tool is *Memoryze*, developed by the company MANDIANT in 2008, which has support for a variety of versions of the Microsoft Windows Operating System.

Memoryze can allow its users to acquire an image of the RAM as well as perform the analysis on both on live systems and RAM images. It provides the following, among other features [33]:

- List the virtual address space of a given process including:
- List all network sockets that the process has open, including any hidden by rootkits.
- Specify the functions imported and exported by the EXE and DLLs.
- Verify the digital signatures of the EXE and DLLs. (This is disk based.)
- Output all strings in memory on a per process basis.

#### 2.3.2. RAM Analysis on OSX

Research on memory analysis on OSX systems is still rudimentary: we were not able to find any tool to perform analysis on OSX RAM. However, Suiche published a paper describing the kernel internals of this operating system [43]. He laid out details on memory management of processes, files and other kernel structures, necessary to retrieve valuable information from MAC OSX memory dumps. Because OSX is a Unix-like system and is largely based on BSD (Berkeley Software Distribution), it has similarities with Linux kernel structures, such as the existence of a doubly-linked list object to keep track of processes running on the machine (see Chapter 3).

#### 2.3.3. RAM Analysis on Linux

Various utilities for the forensic analysis of Linux RAM have been developed. One of the earliest is Burdach's *iDetect*, a tool that can provide information about usermode processes running on the system, as well as files mapped into memory. It was designed for Linux 2.4-x and uses the System.map file (symbol table used by the kernel) to obtain the address of important kernel structures.

Urrea developed a proof-of-concept for what he called "the basis of later research in RAM forensics" [46]. He focused his efforts on kernel 2.6 and described means to obtain information on running processes and recover files loaded in physical memory. He also discussed ways to explore potential swap space, to complete the memory analysis.

In 2008, Case et al. proposed a framework, called *FACE*, for digital forensic investigation that finds and correlates evidence from multiple sources (e.g. disk images, memory images and network capture) and multiple targets [12]. Their framework included *Ramparser*, a tool that analyzes Linux 2.6-x memory dumps and provides information on running processes, network connections and loaded kernel modules. In an attempt to reduce the platform dependency of Linux memory analysis tools, *Ramparser* was later improved to support a variety of kernel versions [21]. This was achieved by dynamically reverse engineering core kernel functions for each version, to obtain data structures' offsets necessary for a deep memory analysis and save them in an extensible database.

*SecondLook*, a commercial tool from *Pikewerks Corporation* was recently introduced [37]. It provides users with a GUI interface for ease of use as well as a command line interface for custom analysis. Running processes, network connections and loaded kernel modules are some of the information the tool provides to investigators.

Kollar developed a tool called *Foriana* that, when provided with a memory dump, attempts to determine the corresponding operating system by using pattern matching and performing a string search on the image (hoping to identify the name of a known standard process such as "init" for example for Linux systems) [30]. The tool uses heuristics to find kernel structures in memory, and then generates a list of loaded modules and running processes.

A more recent project started by Girault resulted in *volatilitux* [20]. *volatilitux* can extract memory-mapped files from the RAM, output the list of running processes as well as produce process-specific information such as the list of open files and memory mappings.

#### 2.4. Work Related to Process Resumption

Resuming the execution of a process loaded in memory is closely related to the way it has been extracted. Most of the efforts realized in this prospect, were directed towards dumping the binaries of the process. The *procmemdump* and *procexedump* plugins of the Volatility tool are prime examples [50]: they permit investigators the obtain executables from the memory of Windows systems. We could not find any similar work for the analysis of an image of the Linux RAM. However, for live Linux systems, Ilo presented a proof-of-concept tool to extract the binaries of a running process [28]. Although his primary goal consisted in building a single binary file from the artifacts collected from the memory about a running process, he also proposed a sequence of steps that one could take in order to recover the state of the process on another host or at another time. His proposal included the following:

- Get the files used by the process, place them in the appropriate location and open them.
- Create a new process
- Copy process segments and registers in the appropriate locations
- Launch the execution of the process

An area of research related to our project is *process migration* or process *checkpoint/restart* techniques on Linux systems, which is concerned with saving the state of a running process from a live machine in order to resume its execution on another host or for rollback purposes. Linking checkpointing and digital forensics is not a completely new initiative, it was proposed by Foster and Wilson in their introduction to process forensics [18]. They argue that checkpointing can be a powerful tool in the arms of the digital forensic investigator or to the incidence response team, since crucial evidence can be obtained from processes running on a host.

One approach to checkpointing consists in loading a kernel module into the system and using it to save the state of a chosen process onto a file and restart its execution through the same module. CRAK is an example of a tool that uses the Linux Kernel Module (LKM) option to restart a previously saved Linux process or group of processes [52].

Currently, we did not find any work that extracts a running process from an image of memory and resume its execution.

#### 2.5. Contributions of our Work

Many advantages can be associated with the recovery of the context of a running process from a memory image as well the resumption of its execution in another environment. We now present and discuss a non-exhaustive list of them in detail.

#### Benefits to General Forensic Investigations:

- We cannot stress enough the importance to a case, of the information that is available when analyzing non-volatile storage. It is possible, for example to determine what kind of machine it was in the first place (version of operating system and underlying hardware characteristics in case this was not known), what modules were loaded on the system and even find some password and encryption keys that could help break a case. With the list of running processes that our work provides, investigators might be able to identify unknown, unexpected and/or potentially dangerous programs that were on the machine at the time of acquisition of the RAM image. They could then take a closer look at the type of resources that the process was accessing such as the user running the process, the opened file descriptors (includes files, network connections and ports) and the memory mappings.
- The fact that we are provided with an image of the memory would normally imply the use of "dead" analysis techniques, meaning that there would be no live interaction and the observation of the evolution of the system over time is not an option. As indicated in Section 2.1.1, it is possible to combine both "live" and "dead" analysis on one case, with the use of memory dumping and virtualization. This is one of the main ideas behind our study, as the resumption component

gives "life" to the data obtained from the RAM and thus allows for "live" analysis to occur without significant changes to the integrity of the collected evidence. Moreover, the problems of live techniques are minimized as the process is repeatable and the impact of tools is limited.

#### Benefits to Malware Analysis:

After an investigator has detected a potentially harmful process running on a machine, the next step is usually to perform malware analysis.

- The CPU state (register set), at the moment of acquisition of the memory, of the process of interest is available and can be taken into account when reverse engineering is performed. Moreover, the code section of the memory mappings associated with the application can be dumped into binaries that can use for static malware analysis.
- When provided with an image of RAM, being able to resume the execution of a process so that dynamic analysis can be performed is already an important contribution. More so, if the resumption phase can be done in a safe environment built for the purpose of observation, as production systems will be compromised. Our approach suggests building a virtual machine, similar to the original system (which is actually a common malware analysis technique), in which the execution of the program will continue. Most virtualization software provide additional monitoring capabilities through VM introspection that could be useful to an investigator.

- A legitimate question to ask is why not dump the binaries of the process from the memory and launch its execution from the beginning? This method has been used before (see Section 2.4) and would certainly allow for malware analysis to take place. The problem with this approach is that most attackers are aware of the latest forensic techniques such as studying the binary in a virtual environment. To work around such techniques, they tend to perform VM detection and/or check for other configurations and change the behavior of the program accordingly. These checks generally occur when the program starts its execution. Thus, if the process is resumed from where it stopped when the memory image was collected, there is a decent chance that this detection phase is over.

## **3. THE LINUX KERNEL AND PROCESS INFORMATION IN MEMORY**

Before we describe how to obtain relevant information about a running process from a RAM dump and resuming its execution, it is primordial to understand how the Linux kernel manages the memory, especially process-specific data. Indeed, to maintain control over the execution flow on the machine, the kernel must keep track of process activity by storing various attributes such as the process' state, children and CPU registers. A look into the C source code of the kernel reveals that this information is organized in memory through a number of data structures—There are many ways to access the source code of the Linux kernel such as looking at location /usr/src/linuxheaders-x/ ('x' represents the kernel version) on the local machine (if the source files are installed) or browsing online repositories [32]—The following subsections will describe some of these data structures and their relationships, for the kernel version 2.6.35 on x86 systems, as well as present the memory management mechanisms used in Linux.

### **3.1. Linux Memory Management**

## 3.1.1. The Virtual Memory

To ensure that CPU multithreading and time-sharing (i.e. the possibility to have more than one process use the same system resources over a certain period of time) are efficient, it is crucial to share the physical memory between processes. Because of the constraints and differences that may exist between the actual size of the physical memory and the amount of space needed by the all the programs running on the system, the Linux operating system implements *virtual memory*, which is a layer of abstraction of the RAM to processes and users.

On a 32-bit x86 system for example, the whole  $2^{32} = 4$  GB addressable space, ranging from 0x0000000 to 0xFFFFFFFF, is considered available to each user process and each address is referred to as *linear address* or *virtual address*. The kernel, also uses a virtual address space but splits it into two parts at the PAGE\_OFFSET (macro defined as 0xC000000) mark: The first part (of size 3GB) is mapped to the currently running process and changes at every context switch, while the second part is fixed and used for other kernel operations [22]. As a result of this split, every user process reserves 1GB in its linear address space mapped to the kernel's first virtual memory zone and used when the process in running in kernel mode (See Fig. 3). The rest of the process' virtual space is directly addressable and is divided into *pages* of size PAGE\_SIZE (can be 4KB, 2MB or 4MB on x86. In practice, it is typically 4KB).

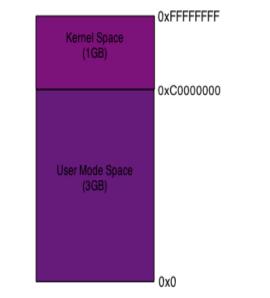


Figure 3. Virtual Memory Layout for User Mode Process

## 3.1.2. From Virtual to Physical Memory: Translation and Paging

The actual physical memory does not follow the same scheme as its virtual counterpart. Rather, *physical addresses* are used to map the memory cells in memory chips and operating systems use a number of mechanisms to translate between the physical and linear addresses.

Similar to the virtual address space of a user process, the RAM is divided into sets of contiguous addresses called *page frames*. These frames are grouped into zones with specific functions. In Linux for instance, there are three physical memory zones [22]:

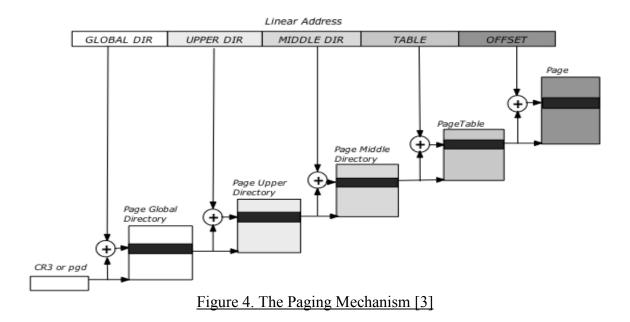
- *ZONE\_DMA*: From 0 to 16 MB, used for Direct Access Memory (DMA) page frames.
- *ZONE\_NORMAL*: Ranges from 16MB to 896MB, contains non-DMA pages with virtual memory mapping.
- *ZONE\_HIGHMEM* (if applicable): 896MB and onwards and is not directly mapped to the kernel.

At boot time, Linux directly maps physical address 0 with the kernel virtual address PAGE\_OFFSET (0xC000000). Therefore, when faced with a kernel virtual address, its physical equivalent is obtained simply by subtracting PAGE\_OFFSET. For example, linear kernel address 0xc0342FF4 corresponds to actual physical memory location 0x00342FF4. The conversion process is completely different for user mode virtual addresses. In this case, the Linux operating system uses *virtual memory paging* to map the virtual pages to physical page frames in memory. The paging mechanism consists in dividing the linear address in parts, used as offsets in mapping structures called *page tables*. In its version

2.6.35, the kernel implements four levels (splitting up the linear address in up to five parts) of page tables:

- Page Global Directory (PGD)
- Page Upper Directory (PUD)
- Page Middle Directory (PMD)
- Page Table (PTE)

These levels are linked to each other (in the order cited) and each table contains addresses to a set of tables on the next level (see Fig. 4). This scheme was built to fit the 32-bit and 64-bit architectures, as well as the Physical Address Extension or PAE (support for some Intel processors with 36 address pins, i.e. can address up to 64 GB of memory with three page levels) and extended paging (translation for systems with 4MB page sizes with just one page level) [3].



For Intel x86 32-bit systems, the virtual address is divided in two parts which serve as offsets in the only two levels of page tables implemented (here, PUD and PMD do not exist).

### **3.2. Process-Related Kernel Data Structures**

## **3.2.1.** The Process Descriptor

Probably the most important process bookkeeping kernel artifact, the *process descriptor* is the entry point in memory to all the available information about a specific process or *task* (as commonly referred to in the kernel jargon). It is represented by the *task\_struct* (cf. Fig. 5) data structure defined in *include/linux/sched.h* (from the top level directory of the kernel source code), whose fields are used to identify, store and track the various aspects of a task including but not limited to [3, 40]:

- *Process State*: Through the state field in the task\_struct, the execution status (running, stopped, interruptible or not, etc...) of the task is saved.
- Process Id and Name: Mostly used for identification, the id and name of the process are respectively kept in the pid and comm attributes in the task\_struct.
- *Credentials*: These represent the permissions that are associated with the process and are used to determine what operations the task is (or is not) allowed to perform. It is represented in the process descriptor by an attribute of type cred, a kernel structure defined in *include/linux/cred.h*. This

structure contains information such as the user (uid) and group (gid) ids associated with process.

- *Scheduling Properties*: For a more efficient use of the CPU execution cycles and control over the process switching and scheduling activities, the kernel stores via the process descriptor a number of values such as the process priority and scheduling queue.
- Process Address Space: User space tasks require memory areas to store segments (code, data, stack, etc...) or to map files. The set of virtual addresses reserved for a process form its address space. The kernel keeps track of these memory locations through the mm field of type mm\_struct (more details in Section 3.2.2).
- Process Relationships: The process descriptor provides a link to the parent, children and siblings processes (using respectively the parent, children and sibling fields) of a task.
- *Filesystem Properties*: With the files and fs variables in task\_struct, the kernel can keep track of the files opened by a process as well as the current working directory (more details in 3.2.3).
- Process CPU-related information: The process descriptor, through a variable of type thread\_struct, also gives access to information about relations between the process and the CPU, such as the address of the Kernel Mode Stack of the process (location where the CPU registers of the process are stored in memory).

	include/linux/sched.h					
1168 struct 1169	<pre>task_struct { volatile long state; /* -1 unrunnable, 0 runnable, &gt;0 stopped*/</pre>					
1170 1171 1172	void *stack; atomic_t usage; unsigned int flags; /* per process flags, defined below */					
1182 1183 1184 1185 1186 1187 1188	<pre>int prio, static_prio, normal_prio; unsigned int rt_priority; const struct sched_class *sched_class; struct sched_entity se; struct sched_rt_entity rt;</pre>					
1221	struct list_head tasks;					
1224	<pre>struct mm_struct *mm, *active_mm;</pre>					
1243 1244  1257	<pre>pid_t pid; pid_t tgid; struct task_struct *real_parent; /* real parent process */ struct task_struct *parent;</pre>					
 1261 1262	<pre>struct list_head children; /* list of my children */ struct list_head sibling;</pre>					
 1298	const struct cred *cred;					
1305	char comm[TASK_COMM_LEN]; /* executable name excluding path*/					
1320 1321/* file 1322 1323/* open	<pre>specific state of this task */ struct thread_struct thread; system information */ struct fs_struct *fs; file information */</pre>					
1324 1325/* name	<pre>struct files_struct *files; spaces */</pre>					
<pre>1325/* namespaces */ 1326 struct nsproxy *nsproxy; 1327/* signal handlers */</pre>						
1328 1329 1330	<pre>struct signal_struct *signal; struct sighand_struct *sighand;</pre>					
1331 1332 1333	<pre>sigset_t blocked, real_blocked; sigset_t saved_sigmask; struct sigpending pending;</pre>					
1502};	Serve Stypenarity penarity,					
Figure 5 Excernt of task at must						

Figure 5. Excerpt of task struct

The kernel also keeps track of all the processes currently running on the machine by maintaining a circular doubly linked list of process descriptors, through the tasks field (of type list\_head, the kernel's implementation of a circular doubly linked list) in each task\_struct. Therefore, it is possible to obtain a list of all running tasks by traversing the linked list (See Appendix B), potentially starting from the kernel address of the init\_task process (named *swapper* and first item in the doubly linked list). This address can be obtained in various ways such as looking into the kernel symbol table file /boot/System.map-x ('x' represents the kernel version) [46] and through heuristics-based search performed on the memory image [20].

## 3.2.2. Process Address Space

The Linux kernel uses a set of related data structures to manage the virtual memory space needed by a process. As stated in the previous subsection, each process descriptor has a field of type mm\_struct dedicated to that purpose. The mm\_struct data structure sometimes referred to as the *memory descriptor*, is defined in *include/linux/mm\_types.h* and retains among others, the following information (See Fig. 6):

- Page Global Directory: The pgd field in mm\_struct stores this value, which represents the entry for this process' memory areas in the Page Global Directory (see Section 3.1.2).
- *Memory Segments*: The kernel also uses the mm\_struct to monitor process' segments in memory. Thus the start\_code and end\_code attributes refer to the limits of the text/code segment of a task, start\_brk and brk to the growing heap, start\_data and end\_data to the data section containing initialized static variables, start\_stack to the start of the stack region,

arg\_end and arg\_start to the command line arguments, env\_start and env\_end to the environment variables.

Memory Regions: These are non-overlapping sets of adjacent virtual addresses that map into the various memory segments of a process. The mmap field (of type vm\_area\_struct) of mm\_struct points to the first of these regions. A memory segment is actually a group of memory regions serving the same purpose.

include/linux/mm types.h

```
222 struct mm struct {
223
           struct vm area struct * mmap;
                                                    /* list of VMAs */
224
           struct rb_root mm_rb;
. . .
236
           pgd t * pgd;
           atomic t mm users;
237
                                      /* How many users with user space? */
           atomic t mm count; /* How many references to "struct mm struct"
238
                                               (users count as 1) */
239
           int map count;
                                                    /* number of VMAs */
. . .
254
           unsigned long start_code, end_code, start_data, end_data;
255
           unsigned long start_brk, brk, start_stack;
256
           unsigned long arg_start, arg_end, env_start, env_end;
257
. . .
313 };
```

### Figure 6. Excerpt of mm\_struct

For every task, the kernel maintains a linked-list of its associated memory regions. Therefore, each vm\_area\_struct (defined in *include/linux/mm\_types.h*) includes a vm\_next field pointing to the next region on the list, as well as a link (through the vm\_mm attribute) back to the memory descriptor describing the address space they belong to. The structure also has self-describing elements such as vm\_start, vm\_end and vm page prot, respectively representing the beginning address, end address and access permissions of the region. In case the  $vm\_area\_struct$  describes a non-anonymous memory-mapped disk file or shared library, the  $vm\_file$  field is non-null and points to a file structure (see Section 3.2.3), while  $vm\_pgoff$  represents the offset of the region in the mapped file in question. Fig. 7 gives a sample overview of the kernel structures related to a task's address space and their relationships.

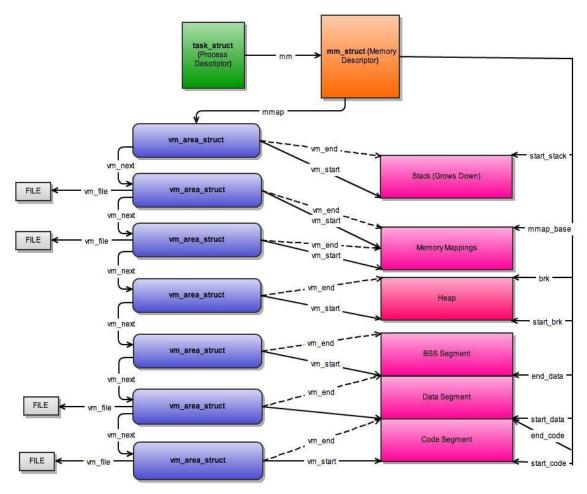


Figure 7. Process Address Space Structures

## 3.2.3. Processes and Filesystem Kernel Structures

Processes in Linux constantly interact with files on disks; they are either mapped in memory for easier access or opened for basic input/output operations. However, regular named disk files are not the only type of files in the Linux system: *directories, symbolic links, device files, sockets and pipes* are all considered files [3, 40]. The Linux kernel uses a number of structures to store and maintain data on the relationships between process and files:

- fs\_struct: Defined in include/linux/fs\_struct.h, it is accessed from the process descriptor of a task through the fs field and keeps information on the current working directory (pwd attribute), the root directory (root attribute) and their associated mounted filesystem. The same fs\_struct can be shared between different processes that are executing from the same location; the field count is used to store the number of process descriptors linked to this structure.
- *files\_struct*: also accessible from a process' task\_struct through the files attribute, it is defined in include/linux/fdtable.h and is sometimes referred to as the *open file table structure* to describe the type of information it holds. The fdt field of files\_struct is of type fdtable (also called *file descriptor table*) that keeps, among other data, the maximum number of files that a process can have opened (max\_fds field) and the array

of file descriptors currently opened by the task. In Linux, the first three entries are stdin, stdout and stderr.

- file (see Fig. 8): defined in *include/linux/fs.h* and referred to as *file descriptor*, it is the main structure used by the kernel to get information about the relationship between a process and the file, such as the process access mode (attribute f\_mode), the current offset (f\_pos) and a pointer to the file operations table (f\_op; the table stores pointers to functions that are associated with the file). The structure also holds general file data including the user credentials (f\_cred) as well as the directory entry (from which the pathname of the file can be constructed), and its associated mounted filesystem metadata, both accessible through the f\_path field.

include/linux/fs.h

```
917 struct file {
918
            \star fu_list becomes invalid after file_free is called and queued via
919
920
            * fu rcuhead for RCU freeing
            */
921
922
           union {
923
                   struct list head
                                            fu list;
924
                   struct rcu_head
                                            fu rcuhead;
925
           } f u;
926
           struct path
                                    f path;
                          f_path.dentry
f_path.mnt
927 #define f dentry
928 #define f vfsmnt
929
           const struct file operations
                                            *f op;
930
                                   f_lock; /* f_ep_links, f_flags, no IRQ */
           spinlock t
931
           atomic long t
                                    f count;
           unsigned int
                                   f flags;
932
933
           fmode t
                                    f mode;
934
           loff t
                                    f_pos;
935
           struct fown struct
                                    f owner;
936
           const struct cred
                                    *f cred;
950
           struct address space
                                    *f mapping;
954 };
```

Figure 8. Excerpt from file

Although the concepts and design remain the same for subversions of Linux 2.6, there might exist some slight differences in the code of the described structures. The disparities become greater when compared with older versions such as Linux 2.4. For example, rather than having an entire structure to handle process credentials (i.e. the field struct cred in the process descriptor), Linux 2.4 and even early Linux 2.6 versions just had extra fields added to the task\_struct (uid, gid, suid, etc...). This is one of reasons why most the tools developed to analyze the RAM are operating system-dependent. To this effect, our experiment was entirely performed on machines running the same Linux distribution, on top of the same kernel version 2.6.35, even though it might work on different versions.

#### 4. EXTRACTING PROCESS INFORMATION FROM THE RAM (Gettsk)

Chapter 3 gives an overview of how the Linux operating system manages the memory and keeps track of the tasks running on the machine. Now, we discuss the mechanisms necessary to retrieve process-related information, when provided with an image of the RAM of a Linux system. We start by describing the environment setup to carry out our experiment; then we document what specific data is extracted as well as how do to it. We conclude the chapter by presenting the results and discussing the limitations of our approach on the proof-of-concept.

### 4.1. Initial Setup and Image Acquisition

In order to perform the procedures described in the next sections, we need the image of the physical memory acquired from a Linux machine. To that end, a virtual environment was built with the following specifications:

- Virtualization Software: VMware Workstation 6.5.7
- Operating System: 32-bit Linux Ubuntu 10.10 Kernel 2.6.35.22
- Amount of RAM: 512 MB
- Hard Drive: 20 GB, with no swap space.

These configurations were not selected randomly. Indeed, the virtual setup would allow us to easily obtain the contents of the RAM, as virtualization software such as VMware use a file on the disk of the host computer (physical machine on which the environment is built) to simulate the memory. When a snapshot of the virtual machine is taken, or when it is pause at some point in time, that file (with *.vmem* extension if VMware is used) can be copied and will represent an image of the Ram at that exact moment [47]. On the other hand, obtaining the image of the memory from a running full blown system can be tedious as discussed in Section 2.1.2.1.

Ubuntu is one of the most used distributions of Linux; version 10.10 (also called *Maverick Meerkat*) was the latest release at the beginning of our project and is based on the 2.6.35 kernel.

The size of the RAM was chosen to avoid the complexities that a ZONE\_HIGHMEM would introduce to the virtual to physical address translation (see Section 3.1.2 and [5]), thus it was kept below 896MB. The size of the virtual hard drive was chosen arbitrarily, but we opted for no swap space. The swapping mechanism uses some preconfigured space on disk to extend the available physical memory by moving some pages from the RAM to the allocated area on hard drive. The complexity associated with this practice will be ignored.

After building the virtual environment, the next activity involves creating a process and running it, at which point the VM can be paused and a snapshot taken so that an image of the memory can be copied. Because the created process serves as basis to the proof-of-concept implementation and is central to the rest of the experiment, it should be specially crafted to be simple and flexible. The following source code is the C program (test.c) that we used to create such a process:

```
#include <stdio.h>
#include <unistd.h>
#include <fcntl.h>
int main()
{
    float i, j;
    for (i=0; i<500000; i++)
        for(j=0; j<50000; j++);
        int fd;
        fd = open("sample.txt", O_RDWR|O_CREAT);
        write(fd, "Done.\n", 6);
        close(fd);
        return 0;
}</pre>
```

This program executes a nested for loop, then opens a file called sample.txt (creates it if it does not exist) in which it writes the string "Done.". The file is then closed and the program exited. The purpose of the nested for loop is to give us enough time to take a snapshot of the VM and even get some information about the process for verification purposes in future stages. The constant values were chosen to ensure that the wait time was sufficient. A simple call to the sleep() function would have been more predictable, unfortunately returning from system calls during the resumption phase is problematic (see Chapter 5).

Following is the source code to a second C program (*test2.c*) on which the experiment was also performed. This program was designed to verify if data (variables in this case) are preserved through the procedure:

```
#include <stdio.h>
#include <unistd.h>
#include <fcntl.h>
int main()
{
```

```
float i, j;
int fd, value;
char buf[5];
int k=4;
static char dec[] = "0123456789";
value = 12 + 32;
for (i=0; i<500000; i++)
     for (j=0; j<50000; j++);
value += j;
do {
                         /* Implementation of itoa()* /
      buf[k--] = dec[value %10];
      value /= 10;
} while(value);
fd = open("sample.txt", O RDWR|O CREAT);
write(fd, buf, sizeof(buf));
write(fd, "\nDone.\n", 8);
close(fd);
return 0;
```

The variable value is modified before and after the nested loop is executed, and the final value (50044) is to be printed into the "sample.txt" file. The do..while statement is used to convert value into a string buffer to be written in the output file.

Both test.c and test2.c were compiled using the following gcc commands (which imply the default level of optimization - level 0):

```
gcc -Wall -o test test.c
gcc -Wall -o test2 test2.c
```

}

The binaries were then run, a snapshot of the VM was taken while the nested loop was being executed and the memory images collected.

## 4.2. Extracting Process Information

When an investigator is provided with a snapshot of memory for analysis, after ensuring the integrity and preservation of the image, there are a number of activities that she or he can perform, using the tools and methods described in Section 2.3., to obtain information about the state of the machine at acquisition time. The following is a general sequence of steps that can be followed in case the examiner wishes to retrieve processspecific data from an image of RAM in order to resume its execution. For each of these steps, we will also present and explain how they were applied to the memory image and the test and test2 processes of Section 4.1 by the tool gettsk. Written in Python, gettsk takes as input the image file and the task's process ID and produces the artifacts related to the specified process (The source code for Gettsk is included in Appendix C).

### 4.2.1. Identifying the Process to be Saved

The first activity is concerned with determining what processes were running on the machine at the moment of acquisition of the RAM, and choosing among them which one might be of interest. As mentioned in Section 3.2.1, the Linux kernel maintains a circular doubly linked list of all the processes loaded in memory. Therefore, by traversing the structure, we can obtain the list of running tasks. One of the difficulties here lies on finding a starting point. There are two approaches to solve this issue:

- If the filesystem of the machine is available, the address of the process descriptor (Section 3.2.1) for the swapper process can be retrieved from the

kernel symbol table file /boot/System.map-x ('x' being the kernel version) [46].

- If the investigator does not have access to files, it is still possible to find the address of the task\_struct of the swapper process, by combining search and known facts about the way Linux manages the memory. For example, one can start with searching the image for strings such as "swapper" or "init" (first two kernel tasks, that are always running on a well-functioning Linux system), then use the fact that their PID is respectively 0 and 1 and that every kernel address is greater than PAGE\_OFFSET (equivalent of 0xc000000) to build a heuristic algorithm that would find the address to a process' task\_struct as well as offsets to some of its fields.

For the purpose of our study, we used the filesystem of the virtual machine, specifically the file /boot/System.map-2.6.35-22-generic to read the linear kernel address of the init\_task: **0xc07c76e0**. The actual address in memory is obtained by subtracting PAGE\_OFFSET (see Section 3.1.2).

Having the starting point is not enough for the traversal; we need to be able to move from one task to the next. The kernel uses the tasks field of the process descriptor for each process to link it to its neighbors in the list.

The offsets to fields in kernel data structures are derived manually by navigating through the source code for the structure, accounting for the size of each field (taking into consideration the storage size of basic types, for example one byte for long and pointers, etc...). Automating the computation of these offsets is problematic because of C preprocessor conditional groups defined in the kernel source code. For example, #ifdef statements are common and add or remove fields to kernel structures depending on certain macros. However, the value of these macros cannot be obtained from the RAM image alone.

Using this procedure, the offsets for the tasks, comm and pid fields of the task\_struct can be found and used to walkthrough and list the processes running on the machine from the memory image (Appendix A contains all the offsets used during our experiment; these values are very specific to our environment and apply only to the kernel version 2.6.35.22). This is exactly what the program ps.py (whose Python source code is in Appendix B) does on our proof-of-concept image.

# 4.2.2. Retrieving the Process' Artifacts and Metadata

The previous subsection explains how to obtain the list of running processes from the memory image. The investigator can now identify a specific task that could be of interest to the case and proceed to extract the data related to that process from the RAM.

# General Information:

These refer to the data that is used for identification or to determine the basic status of the process and include:

- *The Process ID*: Integer value uniquely assigned to tasks running on a machine. It is mainly used for identification purposes. It is the pid field of the task\_struct for the process. It is passed as input to the gettsk tool.

- *The Process' name*: String also used for identification, excluding the execution path. It is the comm field of the process descriptor. In our illustrative examples, "test" and "test2" would represent the name.
- *The Execution State*: The field state of the task\_struct describes the running status of the program. If the value is negative, the process is unrunnable, runnable/running if it's 0, or can take the values showed in Fig.

include/linux/sched.h

182	#define	TASK RUNNING	0	
183	#define	TASK INTERRUPTIBLE	1	
184	#define	TASK UNINTERRUPTIBLE	2	
185	#define	TASK STOPPED	4	
186	#define	TASK TRACED	8	
191	#define	TASK DEAD	64	
192	#define	TASK WAKEKILL	128	
193	#define	TASK WAKING	256	
194	#define	TASK STATE MAX	512	

Figure 9. Possible values of the execution state

- Process Flags: Provide more details on the status of the task. They can take a wide range of values such as PF\_STARTING when the process is being created, PF\_KTHREAD for kernel threads, PF\_VCPU if run on a virtual CPU or PF\_SUPERPRIV if used super-user privileges. The list of all the possible options can be found in include/linux/sched.h.
- *Process Credentials*: As mentioned in Section 3.2.1, they refer to permissions associated with the process. A pointer to a cred structure can be found in the task\_struct. We can thus obtain the pairs (UID, GID), (SUID, SGID),

<sup>9.</sup> 

(EUID, EGID) and (FSUID, FSGID) which denote the real, saved, effective and filesystem-related user and group ID.

The following table summarizes what general information does the gettsk tool extract, as well as actual values for our test and test2 processes when statically compiled (More in Section 5.2.3).

Data Structures	Fields	Values for	Values for
		test.c	test2.c
task_struct	pid	21526	14475
task_struct	comm	test	test2
task_struct	state	0	0
task_struct	flags	0x0000078	0x0000078
cred	uid, gid,	1000 (same	1000 (same
	euid, egid,	value for all of	value for all of
	suid, sgid,	them)	them)
	fsuid,		,
	fsgid		
	task_struct task_struct task_struct task_struct cred	task_struct pid task_struct comm task_struct state task_struct flags cred uid, gid, euid, egid, suid, sgid, fsuid, fsgid	task_structpid21526task_structcommtesttask_structstate0task_structflags0x0000078creduid, gid, 1000 (same euid, egid, sgid, fsuid, sgid, 1000 (them)

Table 1. General Information extracted by gettsk

# Process Address Space:

The memory segments and mappings are core components to Linux processes. We first collect structural information from the memory descriptor, that is, start and end virtual addresses to memory segments (code, data, stack, heap, arguments and environment variables). They can be found in the mm struct of the process.

To ease the collection of data from memory, Gettsk implements a generic data structure (called structure) to mimic the different structures used by the Linux kernel to maintain process information:

For example, the following vmstruct is used to store information from the memory descriptor of a process in the kernel.

Using the starting address of the task\_struct and the configured offsets, gettsk can access the data in the RAM image and copy them into the built structures .

Next, we extract the memory regions' metadata and their contents. The Linux kernel maintains a linked list, sorted by starting virtual address, to keep track of memory areas. The memory descriptor of each process has a field mmap of type vm\_area\_struct that points to the first element of the list of regions. Each vm\_area\_struct or region descriptor has a field vm\_next pointing the current area to the next (and a field vm\_prev pointing to the previous). These can be used to navigate the list of region and extract the self-descriptive data for each: vm\_start, vm\_end, vm\_prot, vm\_flags, vm\_pgoff and vm file defined in Section 3.2.2. In case the value of vm file is not null, it points

to a file structure from which the pathname of the file can be obtained (refer to Section 3.2.3).

For anonymous memory areas, that is, regions that are not mapped to a file on disk (vm\_file is null), gettsk dumps their contents, page by page, from the memory image. This is done by following the following pseudo-algorithm, where PAGE\_SIZE represents the size of a page frame (4KB on x86 Linux systems):

```
start
vaddress = vm_start
while (vaddress <= vm_end )
      Convert vaddress to physical address
      Dump page at physical address
      vaddress = vaddress + PAGE_SIZE
end</pre>
```

# Machine-dependent data:

The CPU registers associated with the running task also have to be saved, as they are part of the process state when it is paused. When performing context switching, the Linux kernel saves the values of the general registers at the bottom of the Kernel Mode Stack of the process [3]. In older versions of Linux, the location of task\_struct was always right below the Kernel Mode Stack of the process, so the register set could be obtained using the following formula (in the case of a 8KB kernel stack, with p representing the address of the process descriptor) [52]:

struct pt\_regs \*regs = ((struct pt\_regs \*)(2\*PAGE\_SIZE + (unsigned long)p)) - 1.

However, this setup posed a security risk, so, since version 2.6, the Kernel Mode Stack is randomly allocated. Luckily, the kernel stores its address in memory. Indeed, the field thread.sp0, where thread is a field of task\_struct (of type thread\_struct - structure storing CPU specific data for the process), contains a pointer to the bottom of the Kernel Mode stack right where the register set is saved.

For 32-bit Linux Kernel 2.6 systems, the register set structure (pt\_regs) is defined in the source code as follows (location arch/x86/include/asm/ptrace.h):

```
struct pt regs {
         unsigned long bx;
         unsigned long cx;
         unsigned long dx;
         unsigned long si;
         unsigned long di;
         unsigned long bp;
         unsigned long ax;
         unsigned long ds;
         unsigned long es;
         unsigned long fs;
         unsigned long gs;
         unsigned long orig ax;
         unsigned long ip;
         unsigned long cs;
         unsigned long flags;
         unsigned long sp;
         unsigned long ss;
};
```

The size of this structure is 68 bytes (8 \* 17 fields) and thus, the following formula can be used to obtain the registers set (See Fig. 10):

struct pt\_regs \*regs = ((struct pt\_regs \*)((unsigned long)(p->thread.sp0) - 68)

With the address of the top of the Kernel Mode Stack, gettsk copies the process registers in a byte stream.

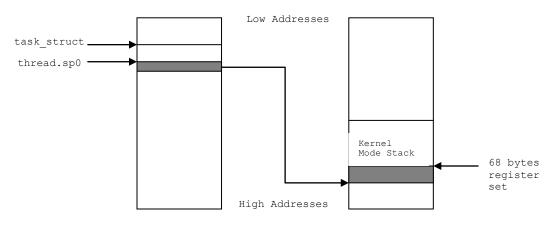


Figure 10. Finding the Process Register Set

# Filesystem information:

The interaction between tasks and the filesystem is fundamental to the functioning of a computing system. In Linux, files can be of different types: Regular named files, directories, symbolic links, device files, sockets or pipes.

As mentioned in Section 3.2.3, the kernel manages an array of file descriptor numbers (integers uniquely associated with files used by a task), through the file descriptor table, that keeps track of all the files currently opened by the process. Each file descriptor number refers to a structure of type file (see Fig. 8), which holds data about the file in question and its relationship with the process.

The tool gettsk does not fully support extracting filesystem information from memory. It only collects the pathname of regular files on disk and does not deal with either pipes or sockets (See Section 4.3).

## Child Processes Information:

It is possible that the process to be extracted had child processes also running on the machine. The kernel keeps track of all the children of a process through a circular doubly linked list accessed through the children field of the task\_struct. Their information should also be retrieved and their hierarchy (child processes might also have children) preserved. It would allow investigators to have a more accurate depiction of their effects on the system resources, as well as the communication between them.

Because each of the threads in the hierarchy also has their execution state saved in memory, the procedure described so far to retrieve information about a task can be repeated for all the threads and saved in a tree-like structure.

Gettsk does not support retrieving child processes data. This is not problematic for our proof-of-concept since out test and test2 processes do not have child processes.

#### 4.3. Saving the Obtained Results

All the artifacts extracted in the previous steps are to be saved in a simple, efficient and portable format. Indeed, the size of the information collected can be considerable, especially if the contents of memory regions are dumped. Also, it should be simple enough for the investigators to be able to decipher the data and find evidence. One of the goals of our exercise is to determine if it is possible to resume the execution of the saved process, potentially on a different host. Therefore, the requirement that the output should be portable plays a special role in these circumstances.

The Gettsk tool organizes the retrieved data in an XML (eXtensible Markup Language) file. The XML language was chosen because it is standardized widely supported and

defines rules for building files that are both human and machine readable. Moreover, it is customizable, as users can construct and implement specific formats that valid files have to follow: they are called schemas. Appendix D contains the XML schema (defined using XML Schema Definition or XSD language) used by gettsk to store the recovered data. The following is an excerpt of the resulting file for out test process, displaying how the name, pid, credentials and register set are stored:

```
<?xml version="1.0" ?>
<process arch="x86" kernel version="2.6.35" magic number="FFC0">
     <pid>
           21526
     </pid>
     <name>
           test
     </name>
     <state>
           0
     </state>
     <flags>
           0x00000078
     <flags/>
     <credentials egid="1000" euid="1000" fsgid="1000" fsuid="1000" gid="1000"</pre>
sgid="1000" suid="1000" uid="1000"/>
     <reg set encoding="binary-base64">
     AACAgAAACGcv3sAAAAAAAAA
     </reg_set>
```

For binary streams that are dumped in the output, such as CPU registers or the contents of memory areas, base64 encoding is used to transform the data in textual form. This was used first to accommodate XML standards that require the use of Unicode encoding. Base64 is also a very common encoding/decoding scheme widely used to transfer data on the Internet.

### 4.4. Limitations of Gettsk

The gettsk implementation described in the previous section is developed as a proof-of-context for extracting process information from an image of RAM. There are still a lot of unexplored or unsupported features that could be of interest to an investigator. They include:

- Determining offsets to fields of kernel structures in memory: This is probably the most important issue the tool still faces. The offsets and configurations displayed in Appendix A were obtained by hand and are specific to a version of the Linux Kernel. Therefore, for an investigator to use this tool on another Linux platform, these values have to be reexamined and reevaluated. This shortcoming is tied to the fact that the tool does not have much room for extension to different versions of the kernel.
- *Files*: We mentioned in Section 4.2.2 that gettsk does not support extracting information about pipes and sockets (although they might contain knowledge valuable to investigators such as external IP addresses and/or inter-process communication data). They require information about other processes or external entities that we could not obtain (although they may exist) from the memory image. For files on disk, only their pathnames is saved to allow the investigator to at least have a list, which can be examined further for potential evidence. Our proof-of-concept processes do not have any open file, therefore the outcome of our experiment is not compromised, but in case a task of interest has open files, more information about them should be extracted

including the file descriptor number, the current position in the file, its permissions and open mode (read, write, etc...). To prepare for the resumption phase, these files should be packaged together with the XML output file and transported to the host machine.

- *Process Hierarchy*: Gettsk also does not store any information about parent and child processes. In an ideal situation, every task that is linked to the process passed as input should be extracted and saved following the same hierarchy (i.e. with details about their relationships with each other).
- Processes executing a system call: It is possible that at the moment the RAM was collected, a process of interest was waiting on a system call (this would have been the case for our test process if the sleep() system call was used, refer to Section 4.1). In such a case, in addition to the process information, the state of the kernel should be captured. Gettsk does not explore this option.
- *Inter-Process Communication (IPC)*: Tasks often cooperate with each other through techniques such as message passing, synchronization or Remote Procedure Calls (RPC). In this Chapter, apart from sockets for networking and pipes, we did not discuss what other kernel objects are required to perform IPC. They include signals, message queues, held semaphores, shared memory metadata and of course the other processes involved in the transactions.

#### 5. RESUMING THE EXECUTION OF AN EXTRACTED PROCESS (MEMEXEC)

We have successfully extracted process information from an image of the RAM of a Linux system. We now focus on the question of whether it is possible to resume the execution of the task using the data collected. It turns out that under certain circumstances the answer is affirmative. This chapter will first describe what type of configuration is required from the machine in which the resumption will occur, and then will follow a discussion on how this can be actually be performed. A tool, memexec built to implement these recommendations on our proof-of-concept experiment will also be presented and explained, as well as its results and limitations.

#### 5.1. Requirements and Design Options

Earlier in this thesis, we mentioned the need for the environment in which malware analysis is performed to be contained. This is one of the main pillars of our approach to resume a process obtained from a memory image. However, the process cannot just be transported onto any machine and revived; there is a very good chance that it will not work at all. To ensure that the resources and configurations are the ones expected by the task, the host should be as close as possible to the initial system. Ideally, the machine from which the RAM was acquired would be the primary choice for resumption. Unfortunately, it is usually a production system which might be compromised in an unknown manner, and thus is susceptible to produce manipulated evidence, misguide the investigator and/or propagate malware to other computers. The following are some of the most important configurations that the host machine should have:

- The underlying hardware of the host must be the same as the original. Processes are built and compiled for a specific machine and are highly dependent on the CPU architecture.
- Similarly, it is preferable for the operating system of the receiving machine to match with the initial computer. However, this requirement is weaker than the restriction on the hardware: It is possible that the resumption process will work on different versions of the same operating system, especially for Linux if they are from the same patch level (version 2.6.34 and 2.6.35 for example) or if the new kernel is a recompile of the original.
- Unless it was (or will be) used by the saved process, a file on disk does not need to be replicated in the host machine. However, because the pathname of opened and mapped files depend on the filesystem of the original machine (root directory and current working directory), it might be necessary for some files to exist in specific locations. It is possible to work around this requirement, by manually renaming the files.
- Similarly to files on disk, the users and groups existing in the original system are not necessary to the resumption of the task. But, in case the owner of the initial process needs to be restored, the permissions of the user/group in question should be the same in the two machines, especially on resources accessed by the saved task.

After the data has been extracted from the memory image and the receiving machine is adequately configured, the resumption can begin. The general idea behind resuming the execution of a process involves moving the saved information into the appropriate locations and launching its execution. For the Linux kernel, this activity can be performed either from User Space or from Kernel Space.

From User Space, this can be achieved by using the tracing capabilities of Linux (with the ptrace() call for example) and other system calls such as mmap() to recreate memory mappings or file operations (open(), write(), etc...) for opened files. This would allow for a cross-version solution, since most system calls are kept across kernel changes. This method might work for very simple processes, but issues will quickly arise for more complex tasks, as system calls do not permit users to change specific values in kernel data structures, which might be desirable or required in some cases. Furthermore, it would be difficult to modify some process metadata such as name, pid or execution state from user space without hacking the kernel.

The alternative solution involves restoring the saved artifacts while running in kernel mode. This gives direct access to kernel data structures and thus all the changes necessary for process resumption can be made. However, this technique is very kernel-dependent, thus the solution might not work in different kernel versions. Here, two options are available: Either patch the kernel or use a Loadable Kernel Module (LKM). Patching the kernel implies creation of new system calls and/or modification of existing kernel code that would allow the user to carry out their task. The kernel has to be recompiled and the

machine restarted every time a new functionality has to be added or modified, which can become problematic and cumbersome for large project.

Using a loadable kernel module offers more flexibility and is much easier on the developer. Indeed, a kernel module is a set of functionalities that can be added and removed from the kernel (using the insmod and rmmod commands respectively) without rebuilding it. They are particularly useful when creating drivers for devices and adding them to the kernel.

The kernel module approach is the one used for our experiment and the toolset memexec includes the memlkm program implementing a pseudo device driver (/dev/memexec), which will permit us to execute customized code in kernel mode.

### 5.2. Restoring Process Artifacts

#### 5.2.1. General Methodology

In Section 4.2, we described ways to retrieve information about a running process from memory and prepare a package that would help reconstruct the extracted process and resume its execution on a similar environment. We now discuss how to achieve such reconstruction. Two basic methods can be considered here:

- Create a new kernel task from scratch containing only information from the saved process and launch its execution.
- Use a process already running on the host and modify its behavior and artifacts to mimic the saved process.

The first approach is similar to the proposal made by Ilo [28] (see Section 2.4), which involves building a process from the ground up (implies creating a new task\_struct and a new address space), insert data saved during the extraction stage and schedule its execution. This would be ideal from a forensic perspective, given that all the information about the revived process will be known to the investigator. But, this turns out to be a daunting task without the help of the operating system because it requires accounting for every single kernel structure and performing the appropriate initialization for each of them. If even one variable or structure is not correctly instantiated, a system crash or an unwanted behavior can happen.

The second method on the other hand, is closer to the restart component of the CRAK process migration tool [48], where the program that parses the "checkpoint" file (file containing information about a saved process) is the one being modified to resemble the original task. This approach is simple since the operating system already built the foundation for the preexisting process and so, only the insertion of saved information (such as memory regions and the register set) is to be performed. However, artifacts associated with the modified task remain in memory and might have effects on the resumed task. But, since the process is chosen, its impact is known to the investigator. This method is the one used during our experiment.

The following steps give a general overview of what activities should be done to resume the execution context of a saved process:

- 1. Create a new process: This is optional depending on the method used.
- 2. Modify the process to insert information gathered from memory.
- 3. Launch the execution.

The next subsections will illustrate how these activities were achieved during our proofof-concept experiment, giving details on the inner-workings of the memexec toolset.

### **5.2.2. Implementation (Memexec)**

Memexec, written in C (see Appendix E), is divided in two components: a loadable kernel module (memlkm) that will be used to restore the artifacts of the saved process and a User Space program that parses the input files and launches the kernel mode operations. After the kernel module is loaded into the system, memexec takes as input an XML file following the format described in Chapter 4, and performs the following activities:

### 1. <u>Parsing the XML file into data variables</u>

Using the *libxml2* library (see Makefile in Appendix E), the input file is parsed and the information is saved in a memproc data structure, storing all the process artifacts contained in the file. The structure is defined as follows:

```
struct memproc {
    unsigned long old_pid, state; /* PID and state */
    int flags; /* Process Flags */
    int seg_num; /* Number of Segments */
    int file_num; /* Number of Open Files */
    char * regs; /* Bytes holding the register set */
    char name[16]; /* Name */
    struct credentials memcred; /* process user credentials */
    struct vmstruct vm_map; /* Memory descriptor info */
    struct segments * seglist; /* Memory regions */
    struct open_file * filelist; /* List of open files */
```

It is also at this stage that the base64 encoded data in the XML input file is transformed back into binary streams.

## 2. Switching to Kernel Mode and restoring extracted artifacts

After parsing the data from the XML file, the next step involves rebuilding the saved process. Memexec then opens the device file /dev/memexec and through the device's Input/Output Control (ioctl), it can execute kernel mode instructions. The variable of type memproc containing the saved information is passed to the ioctl call. As we mentioned earlier, the tool does not create a new process, rather it modifies the program used to open the device file (also called memexec in our case). Next the task's metadata is restored as follows:

## General Information:

When in Kernel Mode, the address of the task\_struct of the currently running process can be obtained using the macro current. Therefore it is simple to access and replace fields of the process descriptor. For example, the name of the process (which is the comm field of task\_struct) is accessed with: current->comm.

Therefore, the name, process flags and execution state can all be restored by replacing the value appropriate fields in the process descriptor by the corresponding data from the saved process.

Concerning the process ID, the saved value must not be in use in the current system and must not be larger than a maximum (can be found in /proc/sys/kernel/pid\_max). Thus, before the PID is replaced, some tests for availability must be made. Memexec does

not support restoring the PID of the process. This does not affect the resumption of our test and test2 processes, but it might be problematic to a forensic investigation if the task of interest uses its PID during its execution.

We mentioned in Section 5.1 that if the credentials associated with the task extracted from memory should be restored, the corresponding users and groups (at least their IDs) must exist on the host machine and have the same privileges on the resources used by the resumed process. If this is satisfied, a new credentials data structure can be created with the values obtained from the extracted process. Memexec does not support restoring the credentials.

## Process Address Space:

First we have to restore the virtual memory structure. Each segment attribute has to be replaced with its saved counterpart. The memory descriptor of the process can be directly accessed through the mm field of the process descriptor. Therefore, the following line of code will successfully change the address of the beginning of the code section for the process (tmp holds vm\_struct data for the current region as defined in Section 4.2.2). This can be repeated with the other segments to reconstitute the virtual memory structure of the saved task:

#### current->mm->start\_code = tmp.start\_code;

Each memory area is either mapped to a file or anonymously. In the first case, the region can be restored by remapping the file in question. Of course, the requirement that files on disk to be restored must exist on the host machine (see below and Section 5.1) applies in this case. The following code carries out the task, using the kernel function do mmap pgoff():

do\_mmap\_pgoff(f, vm\_start, size, mmap\_prot, mmap\_flags, pgoff);

The argument f is of type file \*, and represents the file to be mapped; vmstart is the saved stating virtual address for the region; size is the amount of space to be mapped (obtained by subtracting the saved start virtual address from the end address of the memory area); mmap\_prot designates the protection flags for the frames of the region; mmap\_flags specify what kind of region is mapped (for example, because stack regions grow downwards, the macro VM\_GROWSDOWN is used); pgoff is the offset at which the file should be mapped.

For anonymously mapped regions, the do\_mmap() kernel function first allocates the needed amount of space, then the contents of the original memory area can be copied into the reserved space using the function copy\_to\_user() (This function permits to copy data from kernel space into a virtual memory location of the current process).

Memexec creates these new mappings without getting rid of the existing ones on the task being modified. Every time this was attempted, the process was killed by the Operating System. Thus the memory regions of the modified process are still present in memory after the address space is restored, except those that occupied virtual addresses used by the extracted process (they were replaced by memexec).

### CPU Registers:

The Linux kernel provides a convenient function to locate the register set (from the kernel mode stack) of the currently running process: task\_pt\_regs(current), defined in the source file arch/x86/include/asm/processor.h. Thus, the following lines of C code will be enough to restore the CPU registers:

## Filesystem and Child Process Information:

To recover the file table of the process extracted from a RAM image, all the regular files must be reopened on the host machine and their position pointer moved to the saved location. More importantly, because it is used by the task to refer to the file, their file descriptor number must be restored as well. This can be done either by using both the open() and dup() system call from User Space or by directly manipulating the file table data structure in kernel mode [52].

As we mentioned in Section 5.1, the file in question must exist on the new system and have the same pathname and permissions as in the initial machine. If for some reason the pathname requirement is not an option (for example, subdirectories might not exist or security concerns might exist), the investigator can manually change the location of the file in the input data to suit his configurations.

Our experimental tool memexec does not support restoring the file table. Also, pipes and sockets were not explored: they imply communications with other processes or other machines, while our study was focused on the extraction and resumption of a single process.

Similarly, reviving a group of potentially related tasks is not included in our proof-ofconcept. Therefore child processes were not considered. The general idea behind resuming the execution of a group of processes involves resuming each thread separately and reconstructing the links between them through kernel objects (parents, siblings, and children fields of the task\_struct for example).

### **5.2.3. Results**

After the process artifacts are restored, the kernel module can exit and yield the execution back to User Space. At this point, the next instruction will be fetched from the Instruction Pointer register and executed in the new context, which in theory will resume the execution of the original process in the new host.

During our experiment, the process was successfully revived. Indeed, when memlkm returned with no errors, rather than the next instruction of memexec (closing the device file), the nested loop in our test and test2 processes was executed.

The rest of the program behaved differently depending on the initial compilation method:

### **5.2.3.1 Results for Dynamic Compilation:**

In our first attempt at the experiment, the test program was compiled dynamically, the RAM acquired, the metadata of the test extracted with gettsk and the process resumed.

In the original machine, while the nested loop of test process was being executed, we were able to print its memory mappings using the Linux's /proc pseudo-filesystem as seen in Fig. 11.

The top 4 lines on the picture represent the last lines of a *ps* command run on the system to get the PID of test; then the */proc/PID/maps* command was run to display the mappings. The first column in the output represents the start and end virtual addresses used by the region. The second shows the set of permissions associated with frames of the area (read, write, execute and private). The third column is the offset in the file (if

any). The last three are respectively the device major and minor, the inode and the pathname of the file mapped, if any.

airness	20672	Θ.	0 0	.6	6548	3260	pts/0	Ss	14:33	0:00	bash
airness	21344	96.	2 0	.0	1684		pts/0	R+	14:56	0:23	./test
airness	21351	2.	5 0	.6	6504	3096	pts/1	Ss	14:56	0:00	bash
airness	21369	Θ.	0 0	.2	4592	1092	pts/1	R+	14:57	0:00	ps aux
airness@u	buntu:	~/[	)eskt	op\$	cat /	proc/2	1344/maps	5			
00112000-	002690	000	r-xp	00	000000	08:01	921748	/1	lib/libc-	2.12.	l.so
00269000-	0026a0	000	p	00	157000	08:01	921748	/1	lib/libc-	2.12.1	l.so
0026a000-	0026c0	000	rp	00	157000	08:01	921748	/1	lib/libc-	2.12.1	l.so
0026c000-	0026d0	000	rw-p	00	159000	08:01	921748	/1	lib/libc-	2.12.1	l.so
0026d000-	002700	000	rw-p	00	000000	00:00	Θ				
00f01000-								/	lib/ld-2.	12.1.9	50
00f1d000-	00fle0	000	rp	00	01b000	08:01	921741	/1	lib/ld-2.	12.1.9	50
00fle000-								/1	lib/ld-2.	12.1.9	50
00fae000-								[\	/dso]		
08048000-	080490	000	r-xp	00	000000	08:01	917600	/ł	nome/airn	ess/De	esktop/test
08049000-	0804a0	000	rp	00	000000	08:01	917600	/ł	nome/airn	ess/De	esktop/test
0804a000-	0804b6	000	rw-p	00	001000	08:01	917600	/ł	nome/airn	ess/De	esktop/test
b7713000-	b77140	000	rw-p	00	000000	00:00	Θ				
b7721000-											
bfc4a000-	bfc6b6	000	rw-p	00	000000	00:00	Θ	[5	stack]		
airness@ubuntu:~/Desktop\$ clear											

Figure 11. Original Mappings for the Dynamically Compiled test Program

The same procedure was repeated when the process was resumed and it resulted in Figure 12. The bold lines show the mappings restored from the original test process. The other mappings are associated with the process launched by memexec that were not deleted. This picture also demonstrates that the name of the process was successfully modified. Unfortunately, after the nested loop, the process threw a segmentation fault while executing the open() function. We later discovered that every instruction that did not involve a system call can be successfully run, suggesting that the problem was associated with the dynamic linking of the shared libraries. We therefore opted to do the same experiment with a statically compiled binary.

<b></b>					
airness@ubuntu:~/1	Deskt	op/Code/Me	emexec	\$ ps aux	grep test
airness 17908 0	.0 0	.2 5192	1280	pts/0	D+ 07:14 0:00 ./test
airness 17912 0	.0 0	.1 4012	760	pts/1	S+ 07:14 0:00 grep test
					t /proc/17908/maps
00110000-00112000	-				/lib/libdl-2.12.1.so
00112000-00269000	r-xp	00000000	08:01	921748	/lib/libc-2.12.1.so
0026a000-0026c000	rp	00157000	08:01	921748	/lib/libc-2.12.1.so
0026c000-0026d000		00159000	08:01	921748	/lib/libc-2.12.1.so
0026d000-00270000		00000000	00:00		[heap]
00610000-00767000					/lib/libc-2.12.1.so
00767000-00768000					/lib/libc-2.12.1.so
00768000-0076a000					/lib/libc-2.12.1.so
0076a000-0076b000					/lib/libc-2.12.1.so
0076b000-0076e000					[heap]
00823000-00847000					/lib/libm-2.12.1.so
00847000-00848000					/lib/libm-2.12.1.so
00848000-00849000					/lib/libm-2.12.1.so
00974000-00990000	1				/lib/ld-2.12.1.so
00990000-00991000					/lib/ld-2.12.1.so
00991000-00992000					/lib/ld-2.12.1.so
00b58000-00b59000					[vdso]
00d2f000-00d42000					/lib/libz.so.1.2.3.4
00d42000-00d43000					/lib/libz.so.1.2.3.4
00d43000-00d44000	1				/lib/libz.so.1.2.3.4
00d82000-00ea4000					/usr/lib/libxml2.so.2.7.7
00ea4000-00ea8000					/usr/lib/libxml2.so.2.7.7
00ea8000-00ea9000	-				/usr/lib/libxml2.so.2.7.7
00ea9000-00eaa000					[heap]
00f01000-00f1d000		0000000	08:01	921741	/lib/ld-2.12.1.so
00f1d000-00f1e000	rp	0001b000	08:01	921741	/lib/ld-2.12.1.so
00f1e000-00f1f000		0001c000	08:01	921741	/lib/ld-2.12.1.so
00fae000-00faf000		00000000	00:00	0	[heap]
08048000-08049000	r-xp	0000000	08:01	919388	/home/airness/Desktop/test
08049000-0804a000	rp	00000000	08:01	919388	/home/airness/Desktop/test
0804a000-0804b000		00001000	08:01	919388	/home/airness/Desktop/test
0804b000-0804c000	-				/home/airness/Desktop/Code/Memexec/memexec
0804c000-0804d000	-				/home/airness/Desktop/Code/Memexec/memexec
09b00000-09b63000					[heap]
b7713000-b7714000		00000000	00:00	0	
b7721000-b7723000		0000000	00:00	0	
b77c4000-b77c6000					
b77d2000-b77d5000	-				
bfc4a000-bfc6b000		00000000	00:00		[stack]
bfdf2000-bfe13000	rw-p	00000000	00:00	0	

Figure 12. Restored Mappings for the Dynamically Compiled test Process

# 5.2.3.2 Results for Static Compilation:

When a program is built statically, the needed libraries are merged into the binary which thus becomes a standalone executable. The resulting binary is obviously larger than its dynamic counterpart, but the dynamic loading mechanism is removed. Thus, the memory mappings do not include shared libraries anymore (see Fig. 13 for the mappings of a test process run after a static compilation).

21526 93.8 0.0 airness 824 4 pts/0 R+ 16:37 0:06 ./test airness 21528 0.0 0.2 4592 1092 pts/1 R+ 16:38 0:00 ps aux airness@ubuntu:~/Desktop\$ cat /proc/21526/maps 009ea000-009eb000 r-xp 00000000 00:00 0 [vdso] 08048000-080cd000 r-xp 00000000 08:01 917600 /home/airness/Desktop/test 080cd000-080cf000 rw-p 00084000 08:01 917600 /home/airness/Desktop/test 080cf000-080d1000 rw-p 00000000 00:00 0 099b9000-099db000 rw-p 00000000 00:00 0 [heap] bf9a2000-bf9c3000 rw-p 00000000 00:00 0 [stack]

Figure 13. Original Mappings for the Statically Compiled test Process

With a statically compiled test process, the resumption procedure worked correctly. Indeed, all the instructions following the nested loop were successfully executed: the file "sample.txt" was created and the string "Done." written into the file.

Similarly, for the test2 example, the resumption worked and the correct value (50044) stored in the value variable was also printed into the output file, demonstrating that program data were successfully preserved the procedure.

The only hiatus happened when the task was being terminated. The following errors were displayed for the test process (a similar message was output for the test2 task):

\*\*\* glibc detected \*\*\* ./test: free(): invalid pointer: 0x080cee20 \*\*\*
Segmentation fault

This error message is usually thrown when an unallocated resource is being deleted, suggesting that this might be a consequence to the data of the memexec process still remaining in memory.

## 5.2.4. Limitations of Memexec

Although memexec illustrates that it is possible to revive a process extracted from an image of memory, there are restrictions, unsupported features and unexplored possibilities still associated with this work. Some of them are listed below:

- *Dealing with dynamic loading*: The fact that memexec fails to execute system calls at the resumption phase when the program is dynamically compiled is an important shortcoming. Investigators will be faced, more often than not, with dynamically-linked malware which are bound to make system calls to access resources.
- Deleting unused data from the memexec process: As mentioned in Section 5.2, the memory mappings of the process being modified by memexec are not all expunged and their effects on the final result are unknown. This may be problematic to a forensic investigation, as the evidence discovered using our method might be rejected in court.
- Unsupported process artifacts: A number of process information is not restored the tool such as open files, pipes and sockets, process ID, user credentials and child processes data.
- *Restrictions on the host system*: The requirements that the host machine should have, as described in Section 5.1, do not give much flexibility to the investigator. For example, the memexec tool does not provide an alternative to restoring the memory mappings when the Filesystem is not available, even though their contents are saved in RAM.

## **6.** CONCLUSION

In this thesis, we demonstrated that given an image of the RAM of a Linux machine, it is possible to extract enough information about a specific running process to be able to resume its execution on another environment. We also presented two proof-of-concept tools gettsk and memexec that respectively retrieve process artifacts from the memory image and use the saved data to revive the program.

Obtaining the memory image of a running machine in the first place is not easy. Although researchers developed both hardware and software methods to acquire the RAM, the constantly-changing nature of non-volatile memory pose a challenge to investigators. However, most virtualization software allows users to take a snapshot of a virtual machine and collect the contents of the memory from a file on disk. We took advantage of this feature to create memory images of Linux systems that we used to perform our experiments.

When the memory image is available, the first step involves identifying which of the tasks running on the machine, at the moment of capture, is of interest. This is done by parsing the RAM for the circular doubly-linked list of process descriptors maintained by the Linux kernel (from which all the other process-related kernel structures can be obtained as described in Chapter 3), in order to get the list of all the tasks loaded in memory. At this point, accessing the data is a question of determining the offsets of specific fields in the kernel data structures. The gettsk tool proceeds to store the obtained information in a file using the flexible and widely used XML format.

To be able to resume the process extracted from the RAM, the receiving system should be prepared adequately (see Section 5.1): the operating system, the underlying hardware as well as other resources such as the filesystem (especially those used by the task) should be similar to their equivalent in the original machine, to ensure a proper continuation of the execution of the task. It is also an imperative that the host be separate from production systems, so that potential infection is contained.

The actual resumption procedure can be performed in Linux either form User Space or Kernel Space, but making changes while in kernel mode allows direct access to the data structures that need to be restored for the execution to continue. That's the reason why the memexec toolset includes a loadable kernel module (memlkm) which does the actual work of placing the saved process' artifacts in their appropriate locations.

Although our experiment worked for our proof-of-concept configurations, both tools gettsk and memexec fail to consider some process-related data that could come in handy for an investigation such as open files and the ability to complete the resumption when the initial process is dynamically compiled (see Sections 4.4 and 5.2.4). This work is designed for a single process at the time and thus Inter Process Communications as well as network connections are not supported. Moreover, the implementation is highly dependent on the version of the kernel used during the experiment (Linux kernel 2.6.35) and will not function correctly on different platforms.

### Future Work:

Our work described how a number of process-specific information can be retrieved from a memory image and how they can be used to continue the execution of the task on another host. This will increase the chances for an investigator to discover and/or consolidate evidence, from a forensic analysis of the contents of the RAM. But as the previous paragraph suggests, there is still room for improvement, including the following:

- Dynamically compiled binaries and system calls: As discussed in Sections 5.2.3.1 and 5.2.4, our proposed solution does not work for dynamically compiled programs, probably due to the remnants of the process being modified during the resumption phase. This issue might be solved by restoring the information of the extracted process using a task created from scratch. It is worth noting that failures specifically occur when system calls are being executed, which suggest discrepancies with the process' libraries and/or the state of the kernel (which is not extracted by gettsk).
- *Extract and restore the files opened by the process*: Regular files, network sockets and pipes (see Section 4.4). This category can also include providing alternatives to recovering memory mappings. Our proposal requires that the files mapped in memory be present at the same location in the host system, but this might be difficult to fulfill, especially for included libraries. Since their contents are actually stored in RAM, they could be harnessed at the extraction phase to alleviate the requirement.
- Extend support to groups of tasks, their relationships and transactions between them: Neither child, sibling, nor parent processes are taken into account in our project, although they might be of use to a forensic investigation. Extracting from memory and resuming the execution of a group

of running processes and their relationships is thus a desirable feature that could be pursued in the future. Similarly, if the tasks of interest are involved in inter-process communications, they should be captured and revived with their transactions preserved.

Extend support to different kernel versions and different platforms: Both tools introduced in this document are very kernel-dependent, primarily due to differences in data structures between versions of the Linux Kernel. A first step towards solving this issue could be automating the determination of fields' offsets in kernel structures, as discussed in Section 4.4. A more long term solution could involve a method used by Volatility [50], which allows the users to create and add profiles for specific kernel versions, thus providing more flexibility and control to investigators.

## **APPENDIX A: OFFSETS AND OTHER CONFIGURATIONS**

The following Python declarations (*config.py*) represent the offsets of elements in the kernel structures used during the experimental phase of this project. It also includes hard coded configurations such as PAGE\_OFFSET and the address of init\_task found in the System.map symbol table.

```
init task = 0xc07c76e0 # "Swapper" address obtained from System.map
kernel_start = 0xc0000000  # PAGE_OFFSET
page_size = 0x1000  # Size of a
                            # Size of a Page: 4KB
page mask = 0x00000fff
                   # size of register set
regs size = 68
sig_action_size = 1280  # size of signal handler structure
.....
***** Task struct Offsets: see include/linux/sched.h *******
.....
state_offset = 0
stack offset = 8
flags offset = 24
task offset = 432
comm_offset = 752
pid_offset = 508
mm_offset = 460
parent offset = 524
cred offset = 724
sp0_offset = 808
fs_offset = 908
files_offset = 912
sighand offset = 924
sigpending offset = 952
sigblocked offset = 928
.....
****** mm struct Offsets: see include/linux/mm_types.h
                                                       *******
.....
mmap offset = 0
pgd offset = 40
vmstruct_offset = 124
                              # Offset for memory mappings of vm structures
                        # such as start_code, start_data, start_stack, etc...
.....
***** vm area struct Offsets : see include/linux/mm types.h *****
```

.....

```
vm_mm_offset = 0
vm_start_offset = 4
vm_end_offset = 8
                  = 8
vm_next_offset
                  = 12
vm_prev_offset
                  = 16
vm_pgprot_offset
                  = 20
vm_pgoff_offset
vm_flags_offset
                  = 72
                  = 20
                  = 76
vm_file_offset
.....
 Files_struct, fdtable, file, dentry and inode Offsets:
   see: include/linux/fdtable.h
          include/linux/fs.h
           include/linux/dcache.h
.....
fdt offset = 4
                        # File Table offset
fd_{offset} = 4
                        # Array of file descritpor (fd) offset
dentry_offset
                   = 12
d_parent_offset
name_len_offset
                   = 28
                               # Dentry's parent offset
                   = 36
d name offset
                   = 40
                               # Dentry's Name offset
```

## **APPENDIX B: LISTING RUNNING PROCESSES**

Source code in Python of *ps.py* that lists the running processes from a Linux kernel 2.6.35 memory dump.

```
#!/usr/bin/python
import os
import sys
import struct
from config import *
                                   # See Appendix A: config.py
def usage(arg):
         print ""
         print " Usage: %s <memory_file>" % (os.path.basename(arg))
         print ""
def printline(addr, f):
                                                # Print process info
         current = addr + pid_offset
         f.seek(current)
         ret, = struct.unpack('<L', f.read(4))</pre>
         print '%4d'.ljust(10) % ret ,
         current = addr + parent_offset
         f.seek(current)
         ret, = struct.unpack('<L', f.read(4))</pre>
         current = (ret - kernel start) + pid offset
         f.seek(current)
         ret, = struct.unpack('<L', f.read(4))</pre>
        print '%4d'.ljust(10) % ret,
         if (debug):
                  current = addr + cred_offset
                  f.seek(current)
                  current, = (struct.unpack('<L', f.read(4)))</pre>
                  current = current - kernel_start + 4
                  f.seek(current)
                  print '%4d'.ljust(10) % (struct.unpack('<L', f.read(4))),
print '0x%8x'.ljust(15) % (addr + kernel_start),</pre>
         current = addr + comm offset
         f.seek(current)
         print '%16s'.ljust(25) % (f.read(16))
debug = 0
line = ""
if (len(sys.argv) != 2):
         usage(sys.argv[0])
         sys.exit(0)
fields = "PID ".ljust(15) + "PPID".ljust(10)
                                                  # print headings
if (debug):
         fields += "PID".ljust(10)
         fields += "ADDRESS(Hex)".ljust(20)
fields += "NAME".ljust(25)
print fields
init = init task - kernel start
dumpfile = open(sys.argv[1], "rb")
current task = init
printline(current_task, dumpfile)
dumpfile.seek(current_task + task_offset)
val, = struct.unpack('<L', dumpfile.read(4))</pre>
current_task = (val - kernel_start) - task_offset
while (current_task != init):
         printline (current task, dumpfile)
         dumpfile.seek(current_task + task_offset)
val, = struct.unpack('<L', dumpfile.read(4))</pre>
         current_task = (val - kernel_start) - task_offset
```

```
dumpfile.close()
```

### **APPENDIX C: GETTSK SOURCE CODE**

This is the source code for the gettsk tool, made up of three files (the two files

structures.py and gettsk.py printed here, plus config.py in Appendix A).

```
import os
import sys
import struct
from config import *
# from gettsk import *
class Structure.
                      # Collection of items to simulate kernel structures
_(self, **kwds):
         def __init_
                  self.__dict__.update(kwds)
         def __str__(self):
_______state = ["%s = 0x%08x" % (att, value)
                            for (att, value)
                            in self.__dict__.items()]
                   return '\n'.join(state)
class AddresSpace:
                                                # mm_struct in Unix
         def __init__(self, address):
                   self.base_addr = address - kernel_start
                   self.mmap_addr = self.base_addr + mmap_offset
self.pgd_addr = self.base_addr + pgd_offset
         def getVmStruct(self, f): # Get vm structure for each Process Address Space
                   f.seek(self.base_addr + vmstruct_offset)
                   start_code, = struct.unpack('<L', f.read(4))</pre>
                   end_code, = struct.unpack('<L', f.read(4))
start_data, = struct.unpack('<L', f.read(4))</pre>
                   end_data, = struct.unpack('<L', f.read(4))
start_brk, = struct.unpack('<L', f.read(4))</pre>
                   brk, = struct.unpack('<L', f.read(4))</pre>
                   start_stack, = struct.unpack('<L', f.read(4))</pre>
                   arg_start, = struct.unpack('<L', f.read(4))</pre>
                   arg_end, = struct.unpack('<L', f.read(4))
env_start, = struct.unpack('<L', f.read(4))</pre>
                   env_end, = struct.unpack('<L', f.read(4))</pre>
                   vmstruct = Structure(start code = start code, end code = end code,
                                             start_data = start_data, end_data = end_data,
                                             start brk = start brk, brk = brk,
                                             arg_start = arg_start, arg_end = arg_end,
                                             start stack = start stack,
                                             env_start = env_start, env_end = env_end)
                   return vmstruct
         def getAllVmAreas(self, f):
                                                      # A list of all Memory Regions
                   seglist = []
                   f.seek(self.mmap_addr)
                   address, = struct.unpack('<L', f.read(4))
                   vm = VmArea(address)
                   check addr = address - kernel start
                   vmarea = vm.getVmArea(f)
stop = True
```

```
while stop or vmarea.addr != check_addr :
                    seglist.append(vmarea)
                    vm = vm.getNext(f)
                    if vm == None :
    break
vmarea = vm.getVmArea(f)
                    stop = False
          return seglist
def getPgd(self, f):
          pgd_addr = self.pgd_addr
          f.seek(pgd_addr)
          pgd, = struct.unpack('<L', f.read(4))</pre>
          return pgd
                                                   # vm_area_struct in Linux
def __init__(self, address):
          self.base_addr = address - kernel_start
          self.next = None
          self.prev = None
def getNext(self, f):
                                                             # Get next VmArea : vm_next
          f.seek(self.base_addr + vm_next_offset)
addr, = struct.unpack('<L', f.read(4))</pre>
          if addr == 0:
                    return None
          self.next = VmArea(addr)
          return self.next
def getVmArea(self, f):
                                                                        # Get a memory region
          f.seek(self.base_addr + vm_start_offset)
          vm_start, = struct.unpack('<L', f.read(4))
vm_end, = struct.unpack('<L', f.read(4))</pre>
          f.seek(self.base addr + vm pgprot offset)
```

```
vm_pgprot, = struct.unpack('<L', f.read(4))
vm_flags, = struct.unpack('<L', f.read(4))
f.seek(self.base addr + vm pgoff offset)</pre>
```

return vmarea

```
class File:
```

class VmArea:

```
f.seek(dentry + name_len_offset)
                 size, = struct.unpack('<L', f.read(4))
name_addr, = struct.unpack('<L', f.read(4))
name_addr -= kernel_start</pre>
                 f.seek(name_addr)
                 name = f.read(size)
                 if name == "" :
                         return ""
                 while name != "/" and name != "anon_inode:":
                         if filename == "" :
                                  filename = name
                         else:
                                  filename = name + '/' + filename
                         if len(filename) > 100:
                         break
dentry = parent - kernel_start
                         f.seek(dentry + d_parent_offset)
                         parent, = struct.unpack('<L', f.read(4))</pre>
                         f.seek(dentry + name len offset)
                         size, = struct.unpack('<L', f.read(4))
name_addr, = struct.unpack('<L', f.read(4))
name_addr -= kernel_start</pre>
                         f.seek(name_addr)
                         name = f.read(size)
                         if name == "" or name == "anon_inode:":
                                  return filename
                 filename = '/' + filename
                 return filename
        def getFilevmAreas(self, seglist):
                                                            # Return vm areas of a file from list of
all vm_Areas
                 1 = []
                 for vmarea in seglist :
                         if vmarea.vm_file == (self.base_address + kernel_start) :
                                  l.append(vmarea)
                                  if self.start == None :
                                          self.start = vmarea.vm_start
                                  if self.end == None :
                                          self.end = vm_area.vm_end
                                  if self.start + vmarea.vm_pgoff * page_size > self.end :
                                          self.end = vmarea.vm end
                 return l
#!/usr/bin/python
import os
import sys
import struct
import getopt
import base64
                                                  # For data encoding
from xml.dom.minidom import Document
from config import *
from structures import *
def usage(arg):
        print "Usage: %s -f <memory file> [-o <output file>] [-v] -p <pid>" % (os.path.basename(arg))
        print ""
        print "
                      -f <memory file> : Memory image file."
        print "
                      -o <output_file> : XML output file."
```

```
print "
                     -v
                        -v : Verbose."
-p <pid> : PID of process to extract."
         print "
         print ""
def check_options(argv):
                                     # Verify Command Line Options
         ₩ = 0
                                               # verbose
         p = 0
f = ""
                                               # pid
                                              # Memory Image File
         out = "output.xml"
                                               # Output File
         o = "hvf:o:p:"
         try:
                   options = getopt.getopt(argv[1:], o)
                   opts = dict(options[0])
                   extra = options[1]
                   if ((len(opts) == 0) or ("-h" in opts)):
                            usage(argv[0])
                            sys.exit(0)
                  if ("-v" in opts):
                           v = 1
                   if (not "-f" in opts):
                           raise Exception("Memory Image File not specified, use -h for help!")
                   f = opts["-f"]
                   if (not "-p" in opts):
                           raise Exception ("PID not specified, use -h for help!")
                   p = int(opts["-p"])
                   if ("-o" in opts):
                           if os.path.exists(opts["-o"]):
                                    raise Exception ("The output filename already exists!")
                           out = opts["-o"]
         except getopt.GetoptError, error:
                  print " Error: " + str(error)
                   usage(argv[0])
                   sys.exit(0)
         except Exception, ex:
                  print " Error: " + str(ex)
                   sys.exit(0)
         return (v, f, p, out)
def taskByPid(pid, f):
                                       # Returns task struct address from PID
         init = init task - kernel start
         current_pid = 0
         current task = init
         f.seek(current task + task offset)
         val, = struct.unpack('<L', f.read(4))</pre>
         current_task = (val - kernel_start) - task_offset
f.seek(current_task + pid_offset)
         current pid, = struct.unpack('<i', f.read(4))</pre>
         while (current task != init and current pid != pid):
                   f.seek(current task + task offset)
                   val, = struct.unpack('<L', f.read(4))</pre>
                  current_task = (val - kernel_start) - task_offset
f.seek(current_task + pid_offset)
current_pid, = struct.unpack('<i', f.read(4))</pre>
         if (current task == init):
                  return None
         return current task
```

# Retrieve process' credentials;

# addr = cred address from

```
task_struct
           addr = addr - kernel_start
f.seek(addr + 4)
          I.seek(addr + 4)
uid, = struct.unpack('<i', f.read(4))
gid, = struct.unpack('<i', f.read(4))
suid, = struct.unpack('<i', f.read(4))
sgid, = struct.unpack('<i', f.read(4))
euid, = struct.unpack('<i', f.read(4))
egid, = struct.unpack('<i', f.read(4))
fsuid, = struct.unpack('<i', f.read(4))
fsgid, = struct.unpack('<i', f.read(4))</pre>
           cred = Structure (uid=uid, gid=gid, suid=suid, sgid=sgid, euid=euid,
                                   egid=egid, fsuid=fsuid, fsgid=fsgid)
           return cred
                                                               # Returns list of open files from file table
def getOpenFiles(addr, f):
           1 = []
           open_files = []
filename = ""
           addr -= kernel start
           f.seek(addr + fdt offset)
           addr, = struct.unpack('<L', f.read(4))</pre>
                                                                          # Pointer to File table
           addr -= kernel start
           f.seek(addr + fd offset)
           addr, = struct.unpack('<L', f.read(4))  # Pointer to array of fds</pre>
           addr -= kernel_start
           f.seek(addr)
           addr, = struct.unpack('<L', f.read(4))</pre>
           while addr != 0 :
                      l.append(addr)
                      addr, = struct.unpack('<L', f.read(4))</pre>
           for addr in 1 :
                      .....
                      f.seek(addr + dentry offset)
                      dentry, = struct.unpack('<L', f.read(4))
dentry -= kernel_start</pre>
                      .....
                      file = File(addr)
                      filename = file.getFilePath(f)
                      if filename == "" :
                                continue
                      # print filename
                      open_files.append(filename)
           return open files
def getKernelModeStack(addr, f):
                                                               # Get Top of stack containing process CPU registers
           addr -= kernel_start - 4
                                                                             # -4 to include top of stack
           f.seek (addr - regs size)
           buf = f.read(regs_size)
           # print buf
           return buf
def VirtToPhysical(addr, f, pgd) :
                                                                 # Translate from user mode Virtual to Physical
Address.
                                                                             # Returns the triplet (Paget table flags,
Type of page entry, Physical address of frame if any).
                                                                              #
                                                                              # The type of page table is:

- 0 for a page directory entry
- 1 for a page table entry

                                                                              #
                                                                             #
                                                                             # This is used to rebuild the page tables
```

```
correctly.
```

```
ret = ((pgd >> 12) << 12) + (addr >> 22)*4
        f.seek(ret - kernel_start)
pgd_entry, = struct.unpack('<L', f.read(4))</pre>
        if (pgd_entry & Obl != Obl):
    #print "PGD_ENTRY present flag is 0: Page frame not in memory ",
                  #print hex(pgd_entry)
                 return (pgd_entry & 0xfff, 0, 0)
         ret = ((pgd entry >> 12) << 12) + ((addr >> 12) & (1 << 10)-1)*4
         f.seek(ret)
        pt_entry, = struct.unpack('<L', f.read(4))</pre>
         if (pt_entry & Ob1 != Ob1):
                                                              # Not in Memory
                 #print "PT_ENTRY present flag is 0: Page frame not in memory "
                  #print hex(pt_entry)
                 return (pt_entry & 0xfff, 1, 0)
         final = (((pt entry >> 12) << 12) + (addr & (1 << 12) - 1))
         return (pt entry & Oxfff, 1, final)
def dumpVmArea(vmarea, f, pgd):
                                                              # Dump the contents of a memory region,
NOT USED NOW!!!
         addr = vmarea.vm_start
        dump = ""
         i = 0
        while addr < vmarea.vm end :
                  (flags, page type, res) = VirtToPhysical(addr, f, pgd)
                 if (not res):
                          #print "Page could not be found: start = 0x%08x , end = 0x%08x" %
(vmarea.vm_start, addr)
                          #print ""
                          addr += page size
                          continue
                 else :
                          print ""
                          f.seek(res)
                          dump += f.read(page size)
                 addr += page size
                 i += 1
         #print i
         return (dump, i)
def buildXml(task, output, f):
                                                              # Build XML file from Process Structure
        out = open (output, "w")
         dirs = 'tsk files/
        regstring = 'region'
         if dump_map:
                 if not os.path.isdir("./" + dirs):
                          os.makedirs(dirs)
                 if not os.path.isdir("./" + dirs):
                          print "Cannot create \"tsk_files\" directory!! All memory segment related
files will be in current directory!"
                          #dirs = './'
        doc = Document()
        proc = doc.createElement("process")
        proc.setAttribute("magic number", MAGIC)
        proc.setAttribute("kernel_version", "2.6.35")
proc.setAttribute("arch", "x86")
        doc.appendChild(proc)
```

```
old_pid = doc.createElement("old_pid")
proc.appendChild(old_pid)
pid_t = doc.createTextNode(str(task.pid))
old_pid.appendChild(pid_t)
```

```
name = doc.createElement("name")
proc.appendChild(name)
comm = doc.createTextNode(task.name)
name.appendChild(comm)
```

```
state = doc.createElement("state")
proc.appendChild(state)
state_t = doc.createTextNode(str(task.state))
state.appendChild(state_t)
```

```
flags = doc.createElement("flags")
flags.setAttribute("value", "0x%08x" % (task.flags))
proc.appendChild(flags)
```

buf = getKernelModeStack(task.kmstack, f)

```
#print "%s" %buf
```

```
buf = base64.standard_b64encode(buf)
reg_set = doc.createElement("reg_set")
proc.appendChild(reg_set)
reg_set.setAttribute("encoding", "binary-base64")
reg_stack = doc.createTextNode(buf)
reg_set.appendChild(reg_stack)
```

```
count = 0
```

for vmarea in task.seglist :

```
pgoff = 0
                                     # Offset of page in file representing a region.
#name = 'vm%d'%count
if dump_map:
            regfilename = '%s%s%d'%(dirs, regstring, count)
            reg_file = open (regfilename, "w")
#print "%s" %name
region = doc.createElement("vm_region")
region.setAttribute("vm_start", "0x%08x" % vmarea.vm_start)
region.setAttribute("vm_end", "0x%08x" % vmarea.vm_end)
region.setAttribute("vm_pgprot", "0x%08x" % vmarea.vm_pgprot)
region.setAttribute("vm_flags", "0x%08x" % vmarea.vm_flags)
region.setAttribute("vm_pgoff", "0x%08x" % vmarea.vm_pgoff)
if dump_map:
            region.setAttribute("local file", "%s" %regfilename)
#(dmp, num) = dumpVmArea(vmarea, f, task.pgd)
addr = vmarea.vm start
num = 0
if vmarea.vm file != 0 :
            file = File(vmarea.vm file)
            filename = file.getFilePath(f)
            region.setAttribute("filename", filename)
```

while addr < vmarea.vm end : # For each frame, Present in memory or not!

```
(flgs, ptype, res) = VirtToPhysical(addr, f, pgd)
                            if (not res):
                                      #print "Page not present in memory: start = 0x%08x , end = 0x%08x" %
(addr, addr+page size)
                                     present = 0
                                      addr += page_size
                                     continue
                            #fname = name + ' frame%d'%num
                            frame = doc.createElement("vm frame")
                            frame.setAttribute("vm_start", "0x%08x" % addr)
frame.setAttribute("vm_end", "0x%08x" % (addr + page_size))
frame.setAttribute("flags", "0x%08x" % flgs)
frame.setAttribute("offset", "0x%08x" % pgoff)
                            #if (ptype):
                                      frame.setAttribute("entry_type", "pte")
                            #else:
                                     frame.setAttribute("entry_type", "pgd")
                            #
                            present = 1
                            if (res & page mask) :
    print " Oops! Page address 0x%08x not aligned!" % addr
                            f.seek(res)
                            dmp = f.read(page_size)
                            if dump map:
                                     reg_file.seek(pgoff)
                                     reg file.write(dmp)
                            if vmarea.vm file == 0 :
                                      dmp = base64.standard_b64encode(dmp)
                                      frame.setAttribute("encoding", "binary-base64")
                                     mem contents = doc.createTextNode(dmp)
                                     frame.appendChild(mem_contents)
                            region.appendChild(frame)
                            addr += page_size
pgoff += page_size
                            num += 1
                  region.setAttribute("num_pages", "%d" % num)
                  segments.appendChild(region)
                  count += 1
                  # break
         proc.appendChild(segments)
         open files = doc.createElement("open files")
         open files.setAttribute("Number", "%d" % (len(task.open files)))
         proc.appendChild(open files)
         for p in task.open files:
                  var = doc.createElement("file")
                  var.setAttribute("pathname", p)
                  open_files.appendChild(var)
         signals = doc.createElement("signals")
         proc.appendChild(signals)
         sig_act = doc.createElement("sig_actions")
sig_act.setAttribute("encoding", "binary-base64")
         signals.appendChild(sig act)
         sig hand addr = task.sig actions - kernel start
         f.seek(sig hand addr)
         buf = f.read(sig action size)
         buf = base64.standard b64encode(buf)
         sighand = doc.createTextNode(buf)
```

```
sig_act.appendChild(sighand)
```

```
blocked = doc.createElement("sig_blocked")
        signals.appendChild(blocked)
        blocked.setAttribute("value", "0x%016x" % (task.sig blocked))
        pending = doc.createElement("sig_pending")
        signals.appendChild(pending)
        pending.setAttribute("value", "0x%016x" % (task.sig pending))
        xml = doc.toprettyxml(indent="
                                        ")
        out.write(xml)
        out.close()
        return 0
                 *****
                                  ***** MAIN *******
                                                                          #
                 ****
print ""
print " Gettsk version 0.1 "
print " By Ernest Mougoue <mougoued@dukes.jmu.edu>"
print ""
(verbose, fileString, pid, outString) = check options(sys.argv)
# print "Test: pid = %d, file = %s, out = %s, verb = %d " % (pid, fileString, outString, verbose)
dump map = 0
                                  # dump memory mappings to external files?
dumpfile = open(fileString, "rb")
if (pid == 0):
        print "Cannot extract \"swapper\", Please choose another process!"
        print ""
        sys.exit(0)
task addr = taskByPid(pid, dumpfile)
                                                            # Get the address of task struct
if (task addr == None):
        print "There is no process with PID: %d, Please choose another process!" % pid
        print ""
        sys.exit(0)
dumpfile.seek(task addr + comm offset)
                                                                     # Get Process' Name
i = 16
comm = ""
read = dumpfile.read(1)
while (i > 0) and (read != "\setminus x00"):
        comm += read
        read = dumpfile.read(1)
        i -= 1
if (verbose):
        print ""
        print " The chosen Process is:"
        print " PID: %d" % pid
print " Name: %s @ 0x%08x" % (comm, task_addr)
print " Output File : %s" % outString
print ""
if (verbose):
        print " + Getting Process' State....."
dumpfile.seek(task addr + state offset)
state, = struct.unpack('<L', dumpfile.read(4))</pre>
                                                         # Get Process' Running State
# print "State = %02d" % state
if (verbose):
       print " + Getting Process' Flags....."
dumpfile.seek(task addr + flags offset)
flags, = struct.unpack('<L', dumpfile.read(4))</pre>
                                                           # Get Process' flags
# print "Flags = 0x%08x" % flags
```

```
if (verbose):
       print " + Getting Process' Memory Mappings....."
dumpfile.seek(task addr + mm offset)
mm_addr, = struct.unpack('<L', dumpfile.read(4))  # Get Process' mm_struct Base Address</pre>
if mm_addr < kernel_start :
        print "This Process has an invalid memory map!!!"
print "Exiting ....."
        print ""
        sys.exit(0)
mm struct = AddresSpace(mm addr)
vmstruct = mm_struct.getVmStruct(dumpfile)
                                                  # Get Memory Map Structure of process
pgd = mm_struct.getPgd(dumpfile)
                                                        # Get Process' PGD
#print hex(pgd)
seglist = mm_struct.getAllVmAreas(dumpfile)
                                                             # Get a list of vm_area_structs
if (verbose):
       print " + Getting Process' Credentials....."
dumpfile.seek(task_addr + cred_offset)
cred addr, = struct.unpack('<L', dumpfile.read(4)) # Get Process' Credentials Base Address
cred = getCred(cred_addr, dumpfile)
# print cred
if (verbose):
       print " + Getting Process' Kernel Mode Stack....."
dumpfile.seek(task addr + sp0 offset)
kmstack_addr, = struct.unpack('<L', dumpfile.read(4))</pre>
                                                           # Get Process' Kernel Mode Stack Base
Address
if (verbose):
       print " + Kernel Mode Stack Pointer: 0x%08x" %kmstack addr
sp addr, = struct.unpack('<L', dumpfile.read(4)) # Get Process' Stack Pointer</pre>
if (verbose):
        print " + Stack Pointer: 0x%08x" %sp addr
ip addr, = struct.unpack('<L', dumpfile.read(4))</pre>
ip_addr, = struct.unpack('<L', dumpfile.read(4))</pre>
dumpfile.seek(ip_addr - kernel_start)
ip addr, = struct.unpack('<L', dumpfile.read(4))</pre>
#print hex(sp addr)
#print hex(kmstack_addr)
# dumpfile.seek(task_addr + fs_offset)
# fs addr, = struct.unpack('<L', dumpfile.read(4)) # Get Process' fs struct Base Address</pre>
if (verbose):
       print " + Getting Process' Open Files....."
dumpfile.seek(task_addr + files_offset)
files_addr, = struct.unpack('<L', dumpfile.read(4)) # Get Process' files_struct Base Address</pre>
open_files = getOpenFiles(files_addr, dumpfile)  # Get a list of opened files
open files = open files[3:]
                                                    # The first 3 are stdin, stdout and stderr
if (verbose):
       print " + Getting Process' Signals....."
dumpfile.seek(task addr + sighand offset)
sighand addr, = struct.unpack('<L', dumpfile.read(4))</pre>
                                                            # Get Process' Signal Handlers address
sighand_addr += 4
                                                    # Address of Signal Actions
# sighand = getSigHand(sighand addr, dumpfile)
dumpfile.seek(task addr + sigblocked offset)
# print "0x%016x" % blocked
```

### APPENDIX D: XML SCHEMA FOR gettsk'S OUTPUT

```
<? xml version = "1.0"?>
<xs: element name="process">
  <xs:complexType>
      <xs:sequence>
        <xs:element name="pid" type="xs:integer"/>
        <xs: element name="name" type="xs:string"/>
        <xs:element name="state" type="xs:integer"/>
        <xs:element name="flags" type="xs:integer"/>
        </xs:element>
        <xs:element name="credentials">
           <xs:attribute name="uid" type="xs:integer"/>
           <xs:attribute name="gid" type="xs:integer"/>
           <xs:attribute name="euid" type="xs:integer"/>
           <xs:attribute name="egid" type="xs:integer"/>
            <xs:attribute name="fsuid" type="xs:integer"/>
           <xs:attribute name="fsgid" type="xs:integer"/>
           <xs:attribute name="suid" type="xs:integer"/>
           <xs:attribute name="sqid" type="xs:integer"/>
        </xs:element>
        <xs:element name="req_set" type="xs:string">
           <xs:attribute name="encoding" type="xs:string" default="binary-base64"/>
        </xs:element>
        <xs:element name="vmstruct">
           <xs:attribute name="start_code" type="xs:string"/>
           <xs:attribute name="end_code" type="xs:string"/>
           <xs:attribute name="start_data" type="xs:string"/>
           <xs:attribute name="end_data" type="xs:string"/>
           <xs:attribute name="start_brk" type="xs:string"/>
           <xs:attribute name="brk" type="xs:string"/>
           <xs:attribute name="arg_start" type="xs:string"/>
           <xs:attribute name="arg_end" type="xs:string"/>
           <xs:attribute name="start_stack" type="xs:string"/>
           <xs:attribute name="env_start" type="xs:string"/>
           <xs:attribute name="env_end" type="xs:string"/>
        </xs:element>
        <xs:element name="segments">
          <xs:complexType>
              <xs:sequence>
                <xs:element name="vm_region" type"xs:string" minOccurs="0"</pre>
maxOccurs="unbounded"/>
                       <xs:attribute name="encoding" type="xs:string" default="binary-base64"/>
                    <xs:attribute name="vm_start" type="xs:string"/>
                    <xs:attribute name="vm_end" type="xs:string"/>
                    <xs:attribute name="vm_pgprot" type="xs:string"/>
                    <xs:attribute name="vm_pgoff" type="xs:string"/>
                    <xs:attribute name="vm_flags" type="xs:string"/>
                    <xs:attribute name="num_pages" type="xs:integer"/>
```

```
<xs:attribute name="filename" type="xs:string" use="optional"/>
           </xs:element>
         </xs:sequence>
         <xs:attribute name="number" type="xs:integer">
      </xs:complexType>
   </xs:element>
   <xs:element name="open_files">
      <xs:complexType>
         <xs:element name="file" minOccurs="0" maxOccurs="unbounded">
           <xs:attribute name="pathname" type="xs:string"/>
         </xs:element>
         <xs:attribute name="Number" type="xs:integer">
       </xs:complexType>
   </xs:element>
   <xs:element name="signals">
      <xs:complexType>
          <xs:sequence>
           <xs:element name="sig_actions" type="xs:strings">
               <xs:attribute name="encoding" type="xs:string" default="binary-base64"/>
           </xs:element>
           <xs:element name="sig_blocked" type="xs:strings">
               <xs:attribute name="value" type="xs:string"/>
           </xs:element>
           <xs:element name="sig_pending" type="xs:strings">
               <xs:attribute name="value" type="xs:string"/>
           </xs:element>
           </xs:sequence>
        </xs:complexType>
   </xs:elements>
   <xs:attribute name="arch" type="xs:string" default="x86"/>
   <xs:attribute name="kernel" type="xs:string" default="2.6.35.8"/>
   <xs:attribute name="magic_number" type="xs:string" default="FFC0">
  </xs:sequence>
</xs:complexType>
```

```
</xs:element>
```

# **APPENDIX E: MEMEXEC SOURCE CODE**

This is the source code of the 3 files constituting the memexec tool: memexec.c,

memexec.h and memlkm.c. Also included is the Makefile used to compile them.

```
#include <asm/ptrace.h>
#include <linux/ipc.h>
#include <asm/param.h>
#define MEMPROC SIZE sizeof(struct memproc)
#define MAX_FILENAME
                                       256
#define MAX PATH
                              256
#define PAGES
                                      4096 // Page Size
/* IOCTL */
#define MAGIC_NUMBER 0xCC
#define IOCTL_RESTART
                                       _IOW(MAGIC_NUMBER, 1, struct memproc *)
#define MAX IOC NR 1
                  "/dev/memexec"
#define DEV_FILE
struct credentials {
       int uid, gid, suid, sgid, euid, egid, fsuid, fsgid;
};
struct registers {
      int size;
       char *regs;
};
struct signals {
       int sig actions size;
       char *sig actions;
       unsigned long sig blocked, sig pending;
};
struct vmstruct {
       unsigned long start code, end code, start data, end data;
       unsigned long start_brk, brk, start_stack, arg_start, arg_end;
       unsigned long env_start, env_end;
};
struct frames {
       unsigned long present;
       unsigned long flags;
       unsigned long offset;
       char entry_type[3];
       unsigned long vm_start, vm_end;
       char *contents;
};
struct segments {
       unsigned long vm_start, vm_end, prot, flags, pgoff;
       int num pages;
       int vm file;
       char local file[MAX PATH];
                                               // Local file storing the contents of the segment.
       char filename[MAX_FILENAME];
       //char *contents;
       struct frames *framelist; /* Frames associated with each segment */
};
```

```
struct open file {
       /* Not Fully supported - Now only shows open file's path */
       char * path;
};
struct memproc {
       unsigned long old_pid, state, flags;
                      /* Number of Segments */
/* Number of Open Files */
       int seg_num;
       int file num;
       char name[16];
       struct registers reg set;
       struct credentials memcred;
       struct vmstruct vm_map;
       struct segments *seglist;
       struct open_file *filelist;
       struct signals sig;
};
static inline void mem_strncpy(char * src, const char * dest, int size) {
 int i;
 for (i = 0; i < size; i++) {</pre>
   src[i] = dest[i];
 }
}
/* Standard in kernel modules */
#include <linux/smp.h>
#include <linux/kernel.h>
                         /* We're doing kernel work */
#include <linux/module.h> /* Specifically, a module */
/* For character devices */
                        /* The character device
#include <linux/fs.h>
                          * definitions are here */
#include <linux/cdev.h>
#include <linux/binfmts.h>
#include <asm/mman.h>
#include <asm/uaccess.h> /* for KERNEL DS and get fs() ^{\ast/}
#include <linux/file.h>
#include <linux/sys.h>
#include <linux/syscalls.h>
#include <asm/page.h>
#include <asm/msr.h>
#include <linux/mount.h>
//#include <linux/swapops.h>
#include <linux/sched.h>
#include <linux/mm.h>
#include <linux/slab.h>
#include <linux/vmalloc.h>
#include "memexec.h"
/* verbose printks */
const int verbose = 1;
static inline unsigned long get_mmap_flags(unsigned short vm_flags) {
  return MAP FIXED |
   (vm_flags & VM_MAYSHARE? MAP_SHARED : MAP_PRIVATE) |
    (vm_flags & VM_GROWSDOWN) |
   (vm_flags & MAP_FIXED) |
```

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```
(vm_flags & VM_EXECUTABLE)
        ;
}
/*
    Restart a process.
* /
static int restart(unsigned long clone_flags, unsigned long arg,
                   unsigned long stack size)
{
        struct memproc *mem;
        struct pt_regs *regs;
        struct pt_regs *tmp;
        struct pt_regs *tmp1;
        int ret;
        int err, i, k;
        mm_segment_t fs;
sigset_t * blocked;
        struct sighand_struct *sig;
        mem = (struct memproc*)kmalloc(sizeof(struct memproc), GFP KERNEL);
         // arg is the pointer to param
        if (copy_from_user(mem, (struct memproc *)arg, sizeof(struct memproc)))
                 return -EFAULT;
        fs = get_fs();
        err = -ENOMEM;
         // Restore command name
        mem_strncpy(current->comm, mem->name, 16);
        printk(KERN_INFO "MEMEXEC: %s \n", current->comm);
        if (verbose)
          printk(KERN INFO "MEMEXEC: Restoring Flags\n");
        current->flags = mem->flags;
        current->state = TASK_INTERRUPTIBLE;
        if (verbose)
          printk(KERN_INFO "MEMEXEC: Restoring vm areas\n");
         /* Map all the segments */
        for (i=0; i<mem->seg num; i++)
         {
                 unsigned long size;
                 unsigned long mmap_prot, mmap_flags;
                 struct file *file;
                 int omode = O RDONLY;
                 set fs(KERNEL DS);
                 size = mem->seglist[i].vm_end - mem->seglist[i].vm_start;
                 mmap_prot = mem->seglist[i].flags & 7;
                 mmap_flags = get_mmap_flags(mem->seglist[i].flags);
                 set fs(fs);
                 if ( (mmap prot&PROT WRITE) && (mmap flags&MAP SHARED) &&
                          (mmap_flags&MAP_DENYWRITE))
omode = O_RDWR;
                 if (mem->seglist[i].num pages == 0)
                          continue;
                 if (mem->seglist[i].vm file == 0)
                 {
                          down write(&current->mm->mmap sem);
```

```
if ((mmap_flags&MAP_GROWSDOWN) || !(mmap_flags&MAP_EXECUTABLE))
                  ret = do_mmap(NULL, mem->seglist[i].vm_start, size, mmap_prot|PROT_WRITE,
                           mmap_flags, 0);
                  up_write(&current->mm->mmap_sem);
                   if (ret != mem->seglist[i].vm_start)
                           printk(KERN_INFO "Error in mmap %081x!! Received %d !\n",
                                    mem->seglist[i].vm_start, ret);
         for (k=0; k<=mem->seglist[i].num_pages - 1; k++)
                   set fs(KERNEL DS);
                   if (mem->seglist[i].num_pages == 0)
                                    break;
                  if ((ret = copy_to_user((void *)mem->seglist[i].framelist[k].vm_start,
                                             mem>seglist[i].framelist[k].contents,
                                             PAGE_SIZE)) > 0)
                  printk(KERN_INFO "Fail Copy??... 0x%08lx, %d \n",
                                    mem->seglist[i].framelist[k].vm_start, ret);
         }
         }
         else
                   set fs(KERNEL DS);
                  file = filp_open(mem->seglist[i].filename, omode, 0);
                   size = mem->seglist[i].vm_end - mem->seglist[i].vm_start;
                  down_write(&current->mm->mmap_sem);
                  ret = do mmap pgoff(file, mem->seglist[i].vm start, size, mmap prot,
                           mmap_flags, mem->seglist[i].pgoff);
                  up_write(&current->mm->mmap_sem);
                  fput(file);
                  if (ret != mem->seglist[i].vm start)
                           printk("Error in mmap %081x!! Received %08x !\n",
                                    mem->seglist[i].vm start, ret);
         }
}
set_fs(fs);
         /* Restore the memory mapping */
if (verbose)
  printk(KERN INFO "MEMEXEC: Restoring vm structure\n");
down write(&current->mm->mmap sem);
current->mm->start_code = mem->vm_map.start_code;
current->mm->end code
                        = mem->vm_map.end_code;
current->mm->start_data = mem->vm_map.start_data;
current->mm->end data
                         = mem->vm map.end data;
current->mm->start_brk = mem->vm_map.start_brk;
current->mm->brk
                         = mem->vm map.brk;
current->mm->start stack = mem->vm map.start stack;
current->mm->arg_start = mem->vm_map.arg_start;
current->mm->arg_end = mem->vm_map.arg_end;
current->mm->arg_end = mem->vm_map.arg_end;
current->mm->env_start = mem->vm_map.env_start;
current->mm->env_end
                         = mem->vm map.env end;
up write(&current->mm->mmap sem);
if (verbose)
  printk(KERN INFO "MEMEXEC: Restoring registers\n");
regs = task pt regs(current);
```

```
tmp = (struct pt_regs *)mem->reg_set.regs;
        tmp1 = (struct pt_regs *)kmalloc(sizeof(struct pt_regs), GFP_KERNEL);
        *regs = *tmp;
        /* FILES */
        //if (verbose)
        // printk("Restoring file table\n");
        /******
        /* SIGNALS */
/******************/
        if (verbose)
         printk(KERN_INFO "MEMEXEC: Restoring signal handlers\n");
        sig = (struct sighand_struct *) mem->sig.sig_actions;
        atomic_set(&sig->count, 1);
        spin_lock_irq(&current->sighand->siglock);
        for (i = 0; i < NSIG; i++)
        {
                 current->sighand->action[i] = sig->action[i];
                 if (i== SIGSTOP-1 || i == SIGKILL -1)
                 {
                         current->sighand->action[i].sa.sa handler = SIG DFL;
                         current->sighand->action[i].sa.sa_flags = 0;
                         sigemptyset(&current->sighand->action[i].sa.sa mask);
                 }
                 sigdelsetmask(&current->sighand->action[i].sa.sa_mask,
sigmask(SIGKILL)|sigmask(SIGSTOP));
        }
        spin unlock irq(&current->sighand->siglock);
        blocked = (sigset t *)&mem->sig.sig blocked;
        for (i = 0; i < _NSIG_WORDS; i++)
    current->blocked.sig[i] = blocked->sig[i];
        // Restore Process' State
        current->state = TASK RUNNING; //mem->state;
        if (verbose)
         printk(KERN_INFO "MEMEXEC: *** RESTART: done ***\n");
        err = 0;
        return err;
/* The name for our device, as it will appear
 * in /proc/devices */
#define DEVICE NAME "memexec"
int memexec open(struct inode *inode,
                     struct file *file) {
 return 0;
int memexec release(struct inode *inode,
                         struct file *file) {
 return 0;
* ioctl impl. for checkpoint. Primary means to interact with device.
int memexec ioctl(struct inode * inode i, struct file * file,
```

}

}

} /\*

\*/

```
unsigned int cmd, unsigned long arg) {
 /* Quick error checking. */
  if(_IOC_TYPE(cmd) != MAGIC_NUMBER) return -ENOTTY;
  if(_IOC_NR(cmd) > MAX_IOC_NR) return -ENOTTY;
  // do restart here
 if (cmd == IOCTL_RESTART)
   return restart(SIGCHLD, arg, 0);
   return -EINVAL;
}
static int major;
struct file_operations memexec_fops = {
    owner: THIS_MODULE,
              memexec_ioctl,
ioctl:
open:
              memexec_open,
release:
             memexec_release,
};
int memexec_init(void)
 int result;
 result = register_chrdev(0, DEVICE_NAME, &memexec_fops);
 if (result < 0) {
  printk(KERN_ALERT "Registering Device Failed w/ major %d.\n", major);
   return result;
 }
 if(verbose)
       printk(KERN_INFO "*****Device Memexec Loaded!!!*****");
 major = result;
 return 0;
}
void memexec_cleanup(void)
{
 unregister_chrdev(major, DEVICE_NAME);
}
module_init(memexec_init);
module exit(memexec cleanup);
MODULE_LICENSE("GPL");
#include <stdio.h>
#include <string.h>
#include <stdlib.h>
#include <ctype.h>
#include <errno.h>
#include <unistd.h>
#include <sys/types.h>
#include <sys/stat.h>
#include <sys/ioctl.h>
#include <fcntl.h>
#include <netdb.h>
#include <arpa/inet.h>
#include <sys/mman.h>
#include <libxml/xmlmemory.h>
```

#include <libxml/tree.h>

```
#include <libxml/parser.h>
#include "memexec.h"
static const char cb64[]="ABCDEFGHIJKLMNOPQRSTUVWXYZabcdefghijklmnopqrstuvwxyz0123456789+/";
/* 
    ** Translation Table to decode (created by author)
static const char
/*
** decodeblock
** decode 4 '6-bit' characters into 3 8-bit binary bytes
*/
void decodeblock(unsigned char in[4], unsigned char out[3])
{
   out[ 0 ] = (unsigned char ) (in[0] << 2 | in[1] >> 4);
out[ 1 ] = (unsigned char ) (in[1] << 4 | in[2] >> 2);
   out[ 2 ] = (unsigned char ) (((in[2] << 6) & 0xc0) | in[3]);</pre>
}
/*
** decode
**
** decode a base64 encoded stream discarding padding, line breaks and noise
*/
static int b64decode(char *src, char *dst )
{
        unsigned char in[4], out[3], v;
        int i, len, size;
        char *tmpptr;
FILE *pFile;
        pFile = fopen(".out", "wb+");
        tmpptr = src;
        while( *tmpptr )
        for( len = 0, i = 0; i < 4 && *tmpptr; i++ )
                {
                        v = 0;
                        while( *tmpptr && v == 0 )
                        {
                        v = (unsigned char) (*tmpptr);
                        v = (unsigned char) ((v < 43 || v > 122) ? 0 : cd64[v - 43]);
                        if(v)
                                {
                                        v = (unsigned char) ((v == '$') ? 0 : v - 61);
                        }
                                tmpptr++;
                        }
                if( *tmpptr )
                len++;
                if(v)
                       {
                                in[i] = (unsigned char) (v - 1);
                }
                else
                in[i] = 0;
                }
       if( len )
        decodeblock( in, out );
        }
   }
```

```
fseek(pFile, 0, SEEK_END);
        size = ftell(pFile);
        fseek(pFile, 0, SEEK_SET);
        fread(dst, size, 1, pFile);
        fclose(pFile);
        return size;
}
void dump buffer(void *buffer, int buffer size)
{
 int i;
  for(i = 0;i < buffer_size;++i)</pre>
   printf("%c", ((char *)buffer)[i]);
}
static void mem_copy(char * dst, char * src, size_t size)
{
        char *tmp;
        int i = 0;
         for (tmp = src;i<size;++tmp)</pre>
         {
                 dst[i] = *tmp;
                 i++;
        dst[i] = '\0';
}
static int stripSpaces(char* in) // Remove White spaces
{
        char *ret;
        char *tmp;
        int i = 0;
        int j = 0;
        ret = malloc(strlen(in)+1);
         for (tmp = in;*tmp!='\0';++tmp)
         {
                 if (!isspace(*tmp))
                 {
                          ret[j] = *tmp;
                          j++;
                 }
         }
        ret[j] = '\0';
        mem_copy(in, ret, j);
        free(ret);
        return 0;
}
static void parseDoc(char *docname, struct memproc * input) //Parse XML document
{
        xmlDocPtr doc;
        xmlNodePtr cur, child;
        xmlChar *key;
char *test, *str;
        int size, err;
        doc = xmlParseFile(docname);
        if (doc == NULL ) {
                fprintf(stderr,"Document not parsed successfully. \n");
                 return;
         }
        cur = xmlDocGetRootElement(doc);
        if (cur == NULL) {
                 fprintf(stderr,"empty document\n");
                 xmlFreeDoc(doc);
                 return;
         }
```

```
if (xmlStrcmp(cur->name, (const xmlChar *) "process")) {
    fprintf(stderr,"document of the wrong type, root node != process");
         xmlFreeDoc(doc);
         return;
}
cur = cur->xmlChildrenNode;
/************* GET OLD PID **********/
child = cur;
while (child != NULL) {
         if ((!xmlStrcmp(child->name, (const xmlChar *)"old_pid")))
         {
                  key = xmlNodeGetContent(child->xmlChildrenNode);
                  input->old_pid = atoi(key);
                  //printf("OLD_PID: %ld \n", input->old_pid);
                  xmlFree(key);
                  break;
         1
child = child->next;
/*********** GET NAME ***********/
child = cur;
while (child != NULL) {
         if ((!xmlStrcmp(child->name, (const xmlChar *)"name")))
         {
                  key = xmlNodeListGetString(doc, child->xmlChildrenNode, 1);
                  test = (char *)key;
                  err = stripSpaces(test);
                  strcpy(input->name, test);
                  //printf("NAME: %s\n", input->name);
                  xmlFree(key);
                  break;
         }
child = child->next;
}
/********** GET STATE ***********/
child = cur;
while (child != NULL) {
         if ((!xmlStrcmp(child->name, (const xmlChar *)"state")))
         {
                  key = xmlNodeGetContent(child->xmlChildrenNode);
                  input->state = atoi(key);
                  //printf("STATE: %ld \n", input->state);
                  xmlFree(key);
                  break;
         }
child = child->next;
/*********** GET FLAGS ************/
child = cur;
while (child != NULL) {
         if ((!xmlStrcmp(child->name, (const xmlChar *)"flags")))
         {
                  key = xmlGetProp(child, "value");
                  input->flags = strtoul((char *)key, NULL, 16); // String to unsigned long
//printf("FLAGS: 0x%08x \n", (int) input->flags);
                  xmlFree(key);
                  break;
         }
child = child->next;
}
```

```
/********** GET CREDENTIALS ************/
child = cur;
while (child != NULL) {
        if ((!xmlStrcmp(child->name, (const xmlChar *)"credentials")))
                  key = xmlGetProp(child, "uid");
                 input->memcred.uid = atoi(key);
                 key = xmlGetProp(child, "gid");
                 input->memcred.gid = atoi(key);
                 key = xmlGetProp(child, "euid");
                 input->memcred.euid = atoi(key);
                 key = xmlGetProp(child, "egid");
                 input->memcred.egid = atoi(key);
                 key = xmlGetProp(child, "suid");
                 input->memcred.suid = atoi(key);
                  key = xmlGetProp(child, "sgid");
                 input->memcred.sgid = atoi(key);
                 key = xmlGetProp(child, "fsuid");
                 input->memcred.fsuid = atoi(key);
                 key = xmlGetProp(child, "fsgid");
                 input->memcred.fsgid = atoi(key);
                 //printf("CREDENTIALS - UID: %d \n", input->memcred.uid);
                 xmlFree(key);
                 break;
        }
child = child->next;
/*********** GET REGISTERS ************/
child = cur;
while (child != NULL) {
        if ((!xmlStrcmp(child->name, (const xmlChar *)"reg set")))
        {
                 key = xmlNodeListGetString(doc, child->xmlChildrenNode, 1);
                 test = (char *)key;
                 err = stripSpaces(test);
                 str = (char *)malloc(strlen(test) + 1);
                 input->reg_set.regs = (char *)malloc(strlen(test) + 1);
str[0] = '\0';
                 size = b64decode(test, str);
                 mem_copy(input->reg_set.regs, str, size);
                 input->reg set.size = size;
                 xmlFree(key);
                 free(str);
                 break;
child = child->next;
/*********** GET VMSTRUCT *************/
child = cur;
while (child != NULL) {
        if ((!xmlStrcmp(child->name, (const xmlChar *)"vmstruct")))
        {
                  key = xmlGetProp(child, "start code");
                  input->vm map.start code = strtoul((char *)key, NULL, 16);
                 key = xmlGetProp(child, "end_code");
input->vm_map.end_code = strtoul((char *)key, NULL, 16);
                 key = xmlGetProp(child, "start data");
                  input->vm map.start data = strtoul((char *)key, NULL, 16);
                 key = xmlGetProp(child, "end data");
                 input->vm map.end data = atoi(key);
                 key = xmlGetProp(child, "start brk");
                 input->vm map.start brk = strtoul((char *)key, NULL, 16);
                  key = xmlGetProp(child, "brk");
                 input->vm map.brk = atoi(key);
```

```
key = xmlGetProp(child, "arg_start");
                           input->vm_map.arg_start = strtoul((char *)key, NULL, 16);
key = xmlGetProp(child, "arg_end");
                           input->vm_map.arg_end = strtoul((char *)key, NULL, 16);
                           key = xmlGetProp(child, "env_start");
                           input->vm map.env_start = strtoul((char *)key, NULL, 16);
key = xmlGetProp(child, "env_end");
                           input->vm_map.env_end = strtoul((char *)key, NULL, 16);
                           key = xmlGetProp(child, "start_stack");
                           input->vm_map.start_stack = strtoul((char *)key, NULL, 16);
                           xmlFree(key);
                           break;
                  1
         child = child->next;
         /*********** GET SEGMENTS ************/
         child = cur;
while (child != NULL) {
                  if ((!xmlStrcmp(child->name, (const xmlChar *)"segments")))
                  {
                           xmlNodePtr ptr1, ptr2;
                           int k1 = 0;
                            key = xmlGetProp(child, "number");
                           input->seg_num = atoi(key);
                           input->seglist = (struct segments *)malloc(sizeof(struct segments[input-
>seg_num]));
                           ptr1 = child->xmlChildrenNode;
                            while (ptr1 != NULL)
                                                                                                      // Parse
each region of a segment
                            {
                                     if ((!xmlStrcmp(ptr1->name, (const xmlChar *)"vm region")))
                                     {
                                              key = xmlGetProp(ptr1, "vm start");
                                           input->seglist[k1].vm_start = strtoul((char *)key, NULL, 16);
                                              key = xmlGetProp(ptr1, "vm end");
                                              input->seglist[k1].vm_end = strtoul((char *)key, NULL, 16);
key = xmlGetProp(ptr1, "vm_pgprot");
                                              input->seglist[k1].prot = strtoul((char *)key, NULL, 16);
                                              key = xmlGetProp(ptr1, "vm flags");
                                              input->seglist[k1].flags = strtoul((char *)key, NULL, 16);
                                              key = xmlGetProp(ptr1, "vm_pgoff");
                                              input->seglist[k1].pgoff = strtoul((char *)key, NULL, 16);
                                              key = xmlGetProp(ptr1, "num_pages");
                                              input->seglist[k1].num pages = atoi(key);
                                              input->seglist[k1].framelist = (struct frames
*)malloc(sizeof(struct frames[input->seglist[k1].num pages]));
                                              if (key = xmlGetProp(ptr1, "filename"))
                                              {
                                                       strcpy(input->seglist[k1].filename, (char *)key);
                                                       input->seglist[k1].vm file = 1;
                                              }
                                              else
                                              {
                                                       input->seglist[k1].vm_file = 0;
                                                       strcpy(input->seglist[k1].filename, "");
                                              }
                                              ptr2 = ptr1->xmlChildrenNode;
                                              int k^2 = 0;
                                              while (ptr2 != NULL)
         // Parse each frame of a region
                                              {
```

```
if ((!xmlStrcmp(ptr2->name, (const xmlChar
*)"vm_frame")))
                                                    {
                                                             key = xmlGetProp(ptr2, "vm_start");
                                                             input->seglist[k1].framelist[k2].vm_start
= strtoul((char *)key, NULL, 16);
                                                             key = xmlGetProp(ptr2, "vm_end");
                                                             input->seglist[k1].framelist[k2].vm_end =
strtoul((char *)key, NULL, 16);
                                                             key = xmlGetProp(ptr2, "flags");
                                                             input->seglist[k1].framelist[k2].flags =
strtoul((char *)key, NULL, 16);
                                                             key = xmlGetProp(ptr2, "offset");
                                                             input->seglist[k1].framelist[k2].offset =
strtoul((char *)key, NULL, 16);
                                                             if ( (input->seglist[k1].vm_file == 0) &&
                                                                      (key = xmlNodeListGetString(doc,
ptr2->xmlChildrenNode, 1)) )
                                                             {
                                                                              test = (char *)key;
                                                                              err = stripSpaces(test);
                                                                              str = (char
*)malloc(strlen(test) + 1);
                                                                              str[0] = '\0';
                                                                              input-
>seglist[k1].framelist[k2].contents = (char *)malloc(strlen(test) + 1);
                                                                              size = b64decode(test,
str);
                                                                              mem_copy(input-
>seglist[k1].framelist[k2].contents, str, size);
                                                             }
                                                             else
                                                             {
                                                                     input-
>seglist[k1].framelist[k2].contents = (char *)malloc(2);
                                                                      mem copy(input-
>seglist[k1].framelist[k2].contents, "\0", 2);
                                                             }
                                                             k2++;
                                                    }
                                                    ptr2 = ptr2->next;
                                           k1++;
                                  ptr1 = ptr1->next;
                          }
                          xmlFree(key);
        child = child->next;
        }
        /*********** GET OPEN FILES ************/
        child = cur;
        while (child != NULL) {
                 if ((!xmlStrcmp(child->name, (const xmlChar *)"open_files")))
                 {
                          xmlNodePtr ptr;
                          int k = 0;
                          key = xmlGetProp(child, "Number");
                         input->file num = atoi(key);
                          if (input->file num == 0)
                                  break;
```

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```
struct open_file tmp[input->file_num];
                          ptr = child->xmlChildrenNode;
                          while (ptr != NULL)
                          {
                                   if ((!xmlStrcmp(ptr->name, (const xmlChar *)"vm_region")))
                                   {
                                           key = xmlGetProp(ptr, "path");
                                           tmp[k].path = malloc(strlen(key) + 1);
                                           strcpy(tmp[k].path, (char *) key);
                                           k++;
                                  ptr = ptr->next;
                          }
                          xmlFree(key);
                          input->filelist = malloc(sizeof(tmp) + 1);
                          input->filelist = tmp;
                          break;
                 1
        child = child->next;
        /************ GET SIGNALS *************/
        child = cur;
        while (child != NULL) {
                 if ((!xmlStrcmp(child->name, (const xmlChar *)"signals")))
                 {
                          child = child->xmlChildrenNode;
                          while (child != NULL)
                          {
                                   if ((!xmlStrcmp(child->name, (const xmlChar *)"sig_actions")))
                                   {
                                           key = xmlNodeListGetString(doc, child->xmlChildrenNode, 1);
                                           test = (char *)key;
                                           err = stripSpaces(test);
                                           str = (char *)malloc(strlen(test) + 1);
                                           str[0] = '\0';
                                           input->sig.sig actions = (char *)malloc(strlen(test) + 1);
                                           size = b64decode(test, str);
                                           mem copy(input->sig.sig actions, str, size);
                                           input->sig.sig_actions_size = size;
                                   }
                                  if ((!xmlStrcmp(child->name, (const xmlChar *)"sig blocked")))
                                   {
                                           key = xmlGetProp(child, "value");
                                           input->sig.sig blocked = strtoul((char *)key, NULL, 16);
                                   }
                                   if ((!xmlStrcmp(child->name, (const xmlChar *)"sig_pending")))
                                   {
                                           key = xmlGetProp(child, "value");
                                           input->sig.sig_pending = strtoul((char *)key, NULL, 16);
                                   }
                                  child = child->next;
                          }
                          xmlFree(key);
                          break;
                 }
        child = child->next;
        xmlFreeDoc(doc);
        return;
int main(int argc, char **argv) {
        struct memproc *input;
        char *docname;
        int ret;
        int dev fd = 0;
        int l, k;
```

}

```
if (argc <= 1) {
    printf("Usage: %s docname\n", argv[0]);</pre>
               return(0);
        }
       input = (struct memproc *)malloc(sizeof(struct memproc));
       docname = argv[1];
parseDoc (docname, input);
       dev_fd = open(DEV_FILE, 0);
       if (dev fd<0)
        {
               printf("Cannot open device file.... file_desc = %d\n", dev_fd);
               exit (-1);
       }
       printf("Device file opened: %d\n", dev_fd);
       ret = ioctl(dev_fd, IOCTL_RESTART, input);
       close(dev_fd);
       free(input);
       printf("Done....\n");
       return (1);
KDIR := /lib/modules/$(shell uname -r)/build
PWD := $(shell pwd)
obj-m += memlkm.o
all:
       memlkm
       gcc memexec.c -o memexec -I/usr/include/libxml2 -lxml2
memlkm:
       make -Wall -C $(KDIR) SUBDIRS=$(PWD) modules
clean:
       make -Wall -C $(KDIR) SUBDIRS=$(PWD) modules clean
       rm memexec
```

}

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