# Fingerprint fuzzy vault: Security analysis and a new scheme 

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Fingerprint Fuzzy Vault: Security Analysis and a New Scheme Patrick JB Perry

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In

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## Dedication

This work is dedicated to my children Noah, Aden and Allegra. Without your constant questions and interruptions, this would have been a far easier but less enjoyable process.

I love you all. Never stop asking questions.

Dad

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## Table of Contents

Dedication ..... i
Acknowledgments ..... ii
List of Figures ..... vi
Abstract ..... vii
1 Introduction ..... 1
Overview ..... 1
Entity Authentication ..... 1
General Fuzzy Vault ..... 2
Fingerprint Fuzzy Vault ..... 2
Helper Data ..... 3
Problem Statement ..... 3
Contributions ..... 3
Organization ..... 4
2 Background Information and Related Work ..... 5
Fingerprint Authentication ..... 5
General Fuzzy Vault JS02 ..... 6
Fingerprint Fuzzy Vault NJP07 ..... 8
BOZORTH3 fingerprint Matcher ..... 9
Other Related Fingerprint Fuzzy Vault Schemes ..... 10
3 Security Analysis on Helper Data ..... 11
Attack Model ..... 11
Data Set ..... 11
How Are Helper Data Generated ..... 11
Our View ..... 12
Implementation ..... 12
Exploring Chaff Point Elimination ..... 16
Correlation of Helper Data and Minutia Points ..... 21
4 A New Fingerprint Fuzzy Vault Scheme ..... 26
Invariants ..... 27
The scheme ..... 27
Vault construction ..... 27
Vault decoding ..... 28
5 Conclusion ..... 29
Areas of Further Research ..... 29
A Code to Generate curvature points ..... 31
highcurvaturepoints_horiz.m ..... 31
highcurvaturepoints_vert.m ..... 37
highcurvaturepoints_total.m ..... 43
B Code for Correlation Analysis Between Minutia and Helper Data ..... 46
hcprectanalysis.m ..... 46
C Data Tables ..... 50
Bibliography ..... 61

## List of Figures

3.1 An example fingerprint to be marked ..... 16
3.2 OFFC through horizontal ..... 16
3.3 OFFC through vertical ..... 17
3.4 Example helper data through horizontal ..... 17
3.5 Example helper data through vertical ..... 18
3.6 Example helper data combined ..... 18
3.7 HCP to be manually filtered ..... 19
3.8 Fingerprint with HCP, minutia points and square drawn for illustration. ..... 20
3.9 An example of a fingerprint with helper data and vault points ..... 21
3.10 High curvature points superimposed over minutia. ..... 22
3.11 Original picture with all chaff and minutia. ..... 23
3.12 Edited picture with some chaff deleted. ..... 24
3.13 HCP Zones. ..... 24
$3.1410 \%$ by $125 \%$ rectangle results. ..... 25
$3.1525 \%$ by $125 \%$ rectangle results. ..... 25
4.1 Minutia pair in the reference template ..... 27
4.2 Minutia pair in the fresh query template ..... 27


#### Abstract

A fingerprint fuzzy vault uses a fingerprint A to lock a strong secret $k$ and only a close fingerprint from the same finger can be used to unlock $k$. An attacker who has stolen the vault will not be able to get useful information about A or $k$.

In this research, we shall study the security of a major fingerprint fuzzy vault developed by Nandakumar et al. through investigating the security implication of helper data, which are stored in the fuzzy vault for fingerprint alignment. We will show that helper data leak information about fingerprints and thus compromise the security claim on the fingerprint fuzzy vault scheme. Next, we will propose a new fingerprint fuzzy vault scheme, which is based on traditional representation of fingerprints in minutia points and does not need helper data for alignment.


Keywords: fuzzy vault, helper data, fingerprint authentication, biometric authentication

## Chapter 1

## Introduction

## Overview

## Entity Authentication

The notion of authentication is central to secure computing. In recent years there has been a marked increase in all forms of computer crime and digital malfeasance. A common approach to attempt to combat this problem is through the use of passwords to restrict access to systems and/or data. This is the most common form of entity authentication. That is verifying an individual is whom they claim to be based on something that they know. This form of authentication has many significant drawbacks. For instance, users tend to employ passwords that are as simple and as easy to remember as possible. Additionally, passwords are often reused for multiple systems. These facts are all the more troubling in light of security researcher, Moxie Marlinspike, releasing Chapcrack. Chapcrack reduces the overall effectiveness of MS-CHAPv2 into a single DES operation and proceeds to break this encryption within twenty-four hours [13]. It is understandable why those in the security industry are leery of passwords.

Authentication based on something the user knows is but one form of entity authentication. There are also issues that arise when using authentication based on something a user has. This introduces the problem of a user not always having his/her token with him/her for authenticating or the possibility of the token being stolen. This paradigm shifts the authentication problem from a user's mind to that of a physical token. Because of the fundamental flaws with each of these approaches we have seen a push in more recent time to biometric authentication - authentication based on something a user is. This biometric authentication is commonly regarded as stronger than more traditional mechanisms, such as password based authentication. This mechanism is more reliable as it is composed of things that are not easily forgotten or misplaced. It has the added advantage of being difficult to forge another user's traits. There are several possible biometric traits which can be used for authentication. These include types such as signature dynamics, typing patterns, retinal
scans, voice recognition, facial recognition, palm geometry and fingerprint recognition. It is this last type of biometric trait that we will concern ourselves with here.

## General Fuzzy Vault

While many times it is useful to require a cryptographic algorithm to depend on an exact match, there are times that an exact match will not work. When requiring a password, it is easy to obtain an exact match. If you allowed variation in the acceptance of a password you would greatly reduce its security. However, there are situations when an exact match is neither possible nor required for adequate security. For example, when using biometrics you rely on something from a human, this might be a scan of a fingerprint, a typing pattern or voice recognition. When relying on humans there will always be some sort of variation and therefore exact matching is impractical. A general fuzzy vault is a cryptographic system to address this issue of needing a close-enough match $[6,7,3,4]$. A general fuzzy vault takes a non-ordered set of "target" integers and stores them along with a non-ordered set of random integers in a vault. When trying to unlock the secret, it is considered a match as long as the supplied target set is close enough to the stored target set.

## Fingerprint Fuzzy Vault

The general fuzzy vault provides a foundation for a cryptographic system that deals with fingerprints but it needs to be adapted in order to be effective.

In one such fingerprint fuzzy vault [14], called NJP07 hereafter, the following adaptations are used in the fingerprint fuzzy vault. When processing a fingerprint, minutia points are used. These points are made up of three components, a horizontal location $(x)$, a vertical location $(y)$ and an angle $(\theta)$. To use a fuzzy vault these three numerical values are concatenated. However, it is still important to understand that for fingerprints to match, it is not necessary for the three components to match exactly. Given two minutia ( $x_{1}, y_{1}, \theta_{1}$ ) and $\left(x_{2}, y_{2}, \theta_{2}\right)$ the spatial distance between $\left(x_{1}, y_{1}\right)$ and ( $x_{2}, y_{2}$ ) must be small enough (not larger than a distance threshold) and the directional distance between $\left(\theta_{1}\right)$ and $\left(\theta_{2}\right)$ must also be small enough (not larger than a directional threshold). As long as those values are close enough it is considered a match and the exact matching of integers is not required. Additionally, the genuine minutia points used for the vault are selected such that they are adequately spaced out.

## Helper Data

In the fingerprint fuzzy vault scheme developed in NJP07 [14], another adaptation used in the fingerprint fuzzy vault is the use of helper data. In order to compare the minutia points of two fingerprints it is necessary to align them. Helper data is used to do this. The fingerprint is processed to find a set of high curvature points that is used to align the two images. This helper data is publicly available so it is important that knowledge of this data does not decrease the security of the vault. The argument will be made here that this helper data does in fact decrease the security of the vault. Therefore, it will be proposed that a fuzzy vault, which does not use helper data, is a better choice.

## Problem Statement

This thesis research aims to answer the following two questions:

1. In NJP07, if an attacker has stolen a copy of the fuzzy vault, how much useful information can be retrieved from the helper data about the genuine minutia points? In other words, do the helper data leak useful information about the fingerprint?
2. If helper data do leak much information, how to develop a secure solution to fix this flaw?

## Contributions

The results of this thesis research are two-fold.

1. We show that helper data contains useful information, such as the orientation, that can be used by an attacker to filter chaff points from the fuzzy vault. This is contrary to what has been claimed in NJP07 [14] and as a result, NJP07 does not achieve the security level that it claims.
2. We develop a new fuzzy vault scheme that does not require helper data for alignment. Our scheme is based on the traditional representation of genuine minutia points that have been well tested by the community.

## Organization

The remainder of this thesis is organized as follows. Chapter 2 gives background information and related work. In Chapter 3, we analyze the security of helper data. Chapter 4, we present a new fingerprint fuzzy vault scheme that does not use helper data. Finally, concluding remarks are given in Chapter 5.

## Chapter 2

## Background Information and Related Work

In this section, we give a more detailed description of the information on fingerprint authentication, the general fuzzy vault JS02, the fingerprint fuzzy vault NJP07, and an alternate fingerprint matcher that we propose for implementation in a new fingerprint fuzzy vault scheme.

## Fingerprint Authentication

Minutia points are unique to a finger just as a fingerprint is. This is because these points are composed of unique areas of a fingerprint. In order to understand why these unique areas exist we need to consider what makes up a fingerprint. A human fingerprint has friction ridges with the space between ridges known as valleys. Together, these ridges and valleys form patterns, which also include special areas [12]. It is these special areas that are the fingerprint's minutia points. A minutia point may be the ending of a ridge (ridge ending) or where a ridge splits into two. This splitting of the ridge is known as a ridge bifurcation. For fingerprint authentication a fingerprint can be modeled as the collection of its minutia points. We represent these points with their $(x, y)$ coordinate pair, the directional angle $\theta$ and the quality of the point.

In order for a user to be authenticated, the user first needs to enroll a fingerprint with an authentication server. Upon registration, this server obtains the user's fingerprint. This fingerprint image is then used to extract its minutia points and in creating a reference template which is stored. This is necessary to authenticate the user at a later time. When this user swipes his/her fingerprint the minutia points can be extracted and compared to those stored in the authentication server's reference templates $[12,8]$.

Minutia point extraction from a fingerprint image begins with a sequence of preprocessing steps [12]. These steps are normalization, orientation image estimation, frequency image estimation, region mask generation, and filtering to remove noise. After preprocessing, the image is then binarized and thinned. Binarization is the process by which each pixel has a value of 0 or 1 . Thinning is the process where it is ensured that ridges are only one pixel
wide. It is at this point that minutia points may be detected. These points still require a certain amount of post-processing. This post-processing is necessary to remove incorrect minutia points as well as those too close to the edge of the image or too close to others.

Fingerprint matching algorithms based on minutia points are different than normal password authentication. This is because the matching of fingerprints is an inexact science. In matching fingerprints a threshold must be met for a match to be considered as occurring. The security of this changes with the value of the threshold. That is to say the higher the threshold for minutia points, the more secure it will be. However, as the threshold value increases so does the number of false negatives, making it more difficult to match the fingerprints.

General Fuzzy Vault JS02

Juels and Sudan [6, 7] gave the first general fuzzy vault scheme, JS02, for the set difference metric, under which two sets $A$ and $B$ are considered a match if their set difference is smaller than a given value $d$. The order of the sets does not matter in determining if they match.

A JS02 vault consists of a set of points and the number of points, $r$, is determined by the security level to be achieved. Let $n$ be the number of elements in set $A=\left\{a_{1}, a_{2}, \ldots, a_{n}\right\}$, from which the vault will be constructed, and $d$ be the maximum number of errors tolerated. (Both $n$ and $d$ are system-wide parameters; $d$ is also the maximum set difference between $A$ and any close set $B=\left\{b_{1}, b_{2}, \ldots, b_{n}\right\}$.)

Define $t=(n-2 \times d)$. This scheme requires a finite field with $q$ elements, where $r \leq q$. This finite field is denoted as $F_{q}$ and it can be either a prime field (where $q$ is a prime number and $F_{q}=\{0,1,2, \ldots, q-1\}$ ) or a Galois field (where $q=2^{m}$ for some integer $m$ ) [13,4].

This general fuzzy vault assumes that all set elements (i.e., $a_{i}$ of $A$ and $b_{i}$ of $B$ ) are integers and it works as follows:

- Vault encoding: Let $k$ be the secret to be protected by the vault; the fuzzy vault is constructed from $A=\left\{a_{1}, a_{2}, \ldots, a_{n}\right\}$ where $a_{i}$ are integers, as follows.

1. Generate valid points:
(a) Split $k$ into $t$ pieces of equal size, $k_{0}, k_{1}, \ldots, k_{t-1}$, where $k_{i}, 1 \leq i \leq t-1$, is an element of $F_{q}$.
(b) Construct a polynomial degree $(t-1), p(x)=k_{t-1} x^{t-1}+k_{t-2} x^{t-2}+\ldots+$ $k_{1} t+k_{0} \bmod q$.
(c) Calculate $\beta_{i}=p\left(a_{i}\right), 1 \leq i \leq n$. These points $\left(a_{i}, \beta_{i}\right)$ are valid points and they form locking set $S$.
2. Generate chaff points: randomly select $(r-n)$ points $\left(\gamma_{j}, \zeta_{j}\right), 1 \leq j \leq(r-n)$, where $\gamma_{j}$ and $\zeta_{j}$ are randomly selected from $F_{q}$ with two conditions. First, $\gamma_{j} \neq a_{i}$. Second, $\zeta_{j} \neq p\left(\gamma_{j}\right)$; that is, $\left(\gamma_{j}, \zeta_{j}\right)$ are not on polynomial. All points $\left(\gamma_{j}, \zeta_{j}\right)$ form chaff set $C$.
3. The union of sets $S$ and $C, P=S \cup C$, forms the points stored in the vault.

- Vault decoding: Let $B=\left\{b_{1}, b_{2}, \ldots, b_{n}\right\}$ be a fresh set and it can be used to unlock vault $P$ if $B$ is close to $A$.

1. Use each $b_{i}, 1 \leq i \leq n$, as the x-coordinate to search, in an exact manner, $P$ for a point. Let $V$ be the set of points found.
2. Apply the Reed-Solomon decoding algorithm to points in $V$ to reconstruct a polynomial.

In a Reed-Solomon code with $n$-symbol codewords, up to $d$ errors can be corrected and thus, when $B$ is close to $A$ (with set difference not larger than $d$ ), $p(x)$ and $k$ can be reconstructed to decrypt the vault data.

Selection of $r$. Juels and Sudan [6, 7] also observed in their Lemma $4[7]$ that, when chaff set $C$ is randomly chosen, given any $\mu, 0<\mu<1$, with probability ( $1-\mu$ ), there are at least $\tau=\mu\binom{r}{n} q^{1-r}(q-1)^{r-n}$ polynomials similar to $p(x)$ (that is, each such polynomial has a degree of less than $t$ and there are exactly $n$ vault points on the polynomial). As a result, an attacker who has seized $P$ will not be able to find out which of the polynomials is $p(x)$. This security is information-theoretic, as it does not depend on the attacker's computational power.

Dodis et al. [3, 4] further improved JS02 and also proposed a general fuzzy scheme based on the edit-distance metric.

## Fingerprint Fuzzy Vault NJP07

As described earlier, the general fuzzy vault scheme JS02 is not directly applicable to fingerprint applications for two reasons. First, a fingerprint is not a set of integers, but a set of minutia points represented by coordinates and angles. Second, unlike exact integer comparison, the comparison of minutia points is close, not exact.

Let a fingerprint reference template be $\bar{A}=\left\{\bar{a}_{1}, \bar{a}_{2}, \ldots, \bar{a}_{n}\right\}$, where minutia point $\bar{a}_{i}=$ $\{\bar{x}, \bar{y}\}$, are the coordinates of the minutia point and $\bar{\theta}$ is its angle/orientation. (The quality attribute of a minutia point is not used, as it is less reliable.)

Nandakumar et al. [14] developed the following adaptations to construct a fingerprint fuzzy vault NJP0\%.

1. Each minutia point is converted to an integer by concatenating its three values (coordinates and angle) together. In other words, $\bar{a}_{i}=\bar{x}_{i}\left\|\bar{y}_{i}\right\| \bar{\theta}_{i}$, where $\|$ stands for string concatenation.
2. Using fuzzy vault $J S 02$ requires the two fingerprints being compared to be aligned. Nandakumar et al. [14] introduces a helper data set into fuzzy vault for this purpose. The helper data set of a fingerprint is composed of the high curvature points (coordinates and angle) of the image. This data is stored publicly in the fuzzy vault. The helper data set from each image is used to align the images properly. Nandakumar et al. [14] claims that storing helper data in the fuzzy vault does not compromise the security of the vault.
3. Third, Step 2 of the vault encoding in JS02is revised as follows: to generate a chaff point, a fake minutia point is randomly generated and is checked to make sure that its distance to all existing points in the vault is larger than $\delta_{1}=25$; this fake minutia point is then encoded into a chaff point and is added into the vault.
4. Fourth, fingerprint matching is often based on set intersection, not set difference. Two fingerprint samples $\bar{A}$ and $B$ are considered matched when the number of their close points is larger than a similarity threshold $\bar{t}$. This shifting from set difference to set intersection is accomplished by testing each $\bar{t}$-subset of $V$ to see whether $p(x)$ can be reconstructed. If one such $\bar{t}$-subset exists, then the set intersection condition is met and $B$ is considered matched to $\bar{A}$.

Accordingly, the vault decoding step was completely revamped as follows. First, the abscissa values of all vault points are parsed into $\left\{\bar{x}_{i}, \bar{y}_{,} \bar{\theta}_{i}\right\}$ and their distances to minutia points of $B$ are calculated. Those vault points with distance larger than $\delta_{2}=30$ are deemed as chaff points and are ignored. For the set of remaining vault points, next, all $\bar{t}$-subset of this set are brute-forced to check whether $p(x)$ and $k$ can be recovered. To test each $\bar{t}$-subset, the Reed-Solomon decoding is not used and instead, the Lagrange interpolation over finite field is used. This change allows a shift from the set difference metric to the set intersection metric, which is more appropriate for and widely used by fingerprint matching. In NJP07, through the use of $\delta_{2}$, minutia points of $\bar{A}$ are compared with minutia points of $B$ in a close, not exact manner. This change is necessary because of distortion in fingerprint images, which is one nature of fingerprint applications.

Security claim. Nandakumar et al. [14] performed an ad hoc security analysis on NJP07. In [14], $\delta_{1}=25, \delta_{2}=30, \bar{t}=9$, (i.e, two fingerprints are considered matched if they share 9 or more close minutia points); the number of genuine minutia points $n$ is chosen as 24 and the number of chaff points in a vault is 200. Under these parameters, Nandakumar et al. [14] claims that an attacker who has stolen a fingerprint fuzzy vault will need to perform $2.5 \times 10^{9}$ decoding attempts to unlock the vault.

## BOZORTH3 Fingerprint Matcher

BOZORTH3 is an algorithm used to compute a score in comparing minutia points from any two fingerprints [21], assuming that these minutia points have already been extracted by other algorithms. A significantly high score indicates the two prints are a match. NIST modified this algorithm based on the work of Allan S. Bozorth while he was at the FBI. The NIST enhancements to Bozorth's algorithm are primarily technical fixes to correct memory leaks and increase the speed of the program.

BOZORTH3 uses the $(x, y)$ location and orientation $(\theta)$ of the minutia points in determining if fingerprints match. It does this by generating a unique table for each print being compared that stores the distance between minutia points as well as the orientation between them. In determining whether or not one fingerprint matches another, that prints table must be compared to its counterpart's corresponding table. A match score is
computed based on the amount of apparently congruent minutia point clusters.
This algorithm is of particular interest to our research as it is rotation and translation invariant. Our interest in this algorithm is clear. If helper data does in fact leak data, reducing the security of a fingerprint vault, then this algorithm offers a potential solution. Helper data are needed to align fingerprints before comparing their minutia points. An algorithm that is rotation and translation invariant would not rely on helper data and would allow for that vulnerability to be removed in the creation of a new fingerprint fuzzy vault scheme.

## Other Related Fingerprint Fuzzy Vault Schemes

Chang and $\mathrm{Li}[1]$ studied how to generate chaff points to minimize entropy leaking. Kotlarchyk et al. [9] performed a simulation to determine acceptable parameters and thresholds for a fingerprint fuzzy vault based on JS02. They showed that accurate fingerprint alignment is crucial for such a fingerprint fuzzy vault.

Wang et al. [19] discussed how to speed up the decoding of a fingerprint fuzzy vault by taking advantage of the connection of Shamir secret sharing [17] and error-correcting code [11].

Through showing the insecurity of a fingerprint-protected USB drive, Rodes and Wang [16] showed the importance of fingerprint fuzzy vaults.

Li et al. [10] argued that high curvature points-based helper data do leak, especially in those fingerprint subareas close to the high curvature curves, but they did not give detailed security analysis.

Li et al. [10] proposed an alignment-free fingerprint fuzzy vault scheme based on known minutia description [18] and minutia local structure [5]. Unlike this scheme, the fingerprint fuzzy vault scheme proposed in Chapter 4 is based on the well-tested and well-accepted minutia points.

## Chapter 3

## Security Analysis on Helper Data

In this chapter, we describe our security analysis on helper data of the NJP07 fingerprint fuzzy vault scheme.

## Attack Model

In our security analysis, the adversary is assumed to have stolen a copy of the NJP07 fuzzy vault and thus has both the helper data and all vault points, which is the union of valid points and chaff points. The adversary tries to use the helper data to differentiate valid points from chaff points.

## Data Set

The prototype implementation of $\mathrm{NJP07}$ was performed on fingerprint database 2 of the 2002 fingerprint verification competition (FVC2002). This database consists of 100 fingers (numbered from 1 to 100 ) and 8 fingerprints (called impressions, numbered from 1 to 8 ) per finger. The image size of each fingerprint is $296 \times 560$ pixels. Nandakumar et al. [14] note that for each finger of FVC2002 database, fingerprints $1,2,7,8$ were obtained with the cooperation of the finger owners and are in good quality. (In contrast, artificial displacement and rotation were used in obtaining fingerprints $3,4,5,6$.) This good-quality subset of the FVC 2002 database 2 is referred to as FVC02-db2-good hereafter and was used by NJP0\%. The same fingerprint subset was used in our following experiments.

## How Are Helper Data Generated

The first step in generating helper data is to find the orientation field of the fingerprint image. The orientation field provides the direction of the ridge flow at any given point. Once the orientation field is found, we must generate the orientation field flow curve (OFFC). In order to do this first you must generate a set of equidistant starting points ( $s_{0}$ to $s_{n}$ ) across the fingerprint. Typically this is done in the middle (either horizontally or vertically) of the
fingerprint. Each starting point is used to begin tracing a ridge line in opposite directions, ultimately generating multiple OFFCs that cover the fingerprint. For each OFFC we need to find the point with the greatest curvature. These high curvature points are then filtered and the remaining points become the helper data.

## Our View

It is our view that a correlation can be drawn between the set of helper data and either minutia points and/or chaff points. That is to say that the high curvature points represented as helper data potentially leak much information thus reducing the overall security of the fuzzy vault.

## Implementation

In order to begin exploring the correlation between helper data, minutia and chaff points, we first had to generate the helper data. We were able to use Matlab code that was already written to generate the orientation field. Once that was done we implemented our own code to generate the helper data. The following describes the method we used in that generation.

The first step was to generate the OFFCs. This was done by first finding the starting points. These points are spread out evenly along either the horizontal or vertical midline of the fingerprint. In order to begin the process, we found the starting points along the horizontal midline. This was done in the following manner: $s_{0 i}=r_{s t a r t} k+w, c_{s t a r t}+l w$, where $k=1,2, \ldots, \frac{r_{\text {end }}-r_{\text {start }}}{w}, l=\frac{c_{\text {end }}-c_{\text {start }}}{2 w}$, and $r_{\text {start }}, r_{\text {end }}, c_{\text {start }}, c_{\text {end }}$ are the top, bottom, left and right boundaries of the fingerprint and $w$ is the sampling width which was 5 .

Each of these starting points was then used to trace an OFFC. This was done by using $s_{0}$ as the starting point in the following equation: $s_{j}=s_{j-1}+d_{j} \times l_{j} \times o_{s_{j-1}}$ for $j=1,2, \ldots n$, $d_{j}$ is -1 and 1 to trace the curves in opposite directions, $l_{j}$ is the length between points which was set to 5 , and $o_{s_{j-1}}$ is the orientation vector at $s_{j-1}$. When implementing this equation, boundaries were set so that the curve did not go beyond the limitations of $r_{\text {start }}, r_{\text {end }}, c_{\text {start }}, c_{\text {end }}$. Additionally, there was a maximum $n$ (limiting how large $j$ could be) set to control the number of points in the curve. This maximum $n$ can fluctuate depending on the image. Different fingerprints will have varying OFFC lengths and if $n$ is too large for an image it can create unnecessary noise. For our purposes, a value of 75 was used as it
seemed to generate sufficient OFFCs. Further research on this topic may want to focus on developing a more robust algorithm which can adapt to a given ridge length. This would ensure that the entirety of a ridge was traced while eliminating noise that is generated from tracing beyond the edge of a ridge line due to a maximum that is too large.

We notice that this does not always generate a complete set of flow curves, so the process was repeated along the vertical midline of the fingerprint. The reason this did not always generate a complete set of flow curves is simple. When bisecting the image on its horizontal equator only those flow curves that flow through this region are traced. If a flow curve is located entirely above or below the equator without traversing it no starting point is engaged, thus the line does not get traced. The process was the same as the horizontal with changes made to $k$ and $l$ noted here: $k=\frac{r_{\text {end }}-r_{\text {start }}}{2 w}, l=1,2, \ldots, \frac{c_{\text {end }}-c_{\text {start }}}{w}$. The same problem is encountered when the image is bisected with a vertical midline. Those flow curves existing entirely to the left or right of the midline without traversing it never have a starting point engaged and thus are never traced. Our solution to this problem was to use the OFFCs generated from both the horizontal and vertical starting points and then combine their results to create the final OFFCs. This allowed us to consistently generate OFFC's that covered large portions of the fingerprint images.

In order to find the points of the OFFC you must use orientation vectors. This is seen in the equation $s_{j}=s_{j-1}+d_{j} \times l_{j} \times o_{s_{j-1}}$, where $o_{s_{j-1}}$ is the orientation vector at point $s_{j-1}$. $o_{s_{j-1}}=\left(\cos \theta_{s_{j-1}}, \sin \theta_{s_{j-1}}\right)$, where $\theta$ is the value taken from the orientation field at point $s_{j-1}$. There are areas of the OFFC where the points are more closely clustered than others. These areas occur near horizontal and vertical portions of the curve due to the nature of the sine and cosine functions along with the angles used.
$\theta$ represents the directional angle relative to the horizontal. Since opposite directions are equivalent, the only way to represent unique angles is to limit the angle domain to a range of $\pi$ radians. Given this, the options are either angles from 0 to $\pi$ or angles from $-\frac{\pi}{2}$ to $\frac{\pi}{2}$. When using the angles 0 to $\pi$, you get stuck along the horizontal portions of the flow curves. The slope of the tangent line to a curve at a point close to a vertex of a curve will be close to horizontal. On either side of that vertex those close to horizontal slopes will have opposite values (one will be negative and the other positive). Given the angle restrictions this means that on one side of the vertex the directional angle will be very close to $\pi$ while on the other side of the vertex the angle will be very close to zero. Using these angles with
the equation given above you can see that once you get close to the vertex you will simply oscillate around the vertex rather than continue along the curve.

Explicitly, this is what occurs. We use the equation $s_{j}=s_{j-1}+d_{j} \times l_{j} \times o_{s_{j-1}}$ to get from one point in the curve to the next. Here $d_{j}$ is either 1 or -1 depending on the direction from the starting point, for our purposes, let's consider it to be 1 . The length between the points is $l_{j}$ and that is 5 . This leaves the following equation, $s_{j}=s_{j-1}+5 \times o_{s_{j-1}}$. Since $o_{s_{j-1}}=\left(\cos \theta_{s_{j-1}}, \sin \theta_{s_{j-1}}\right)$ the angle can now be used to demonstrate what occurs around the vertex. On one side the angle will be close to zero which will leave you with the following results: $s_{j}=s_{j-1}+5 \times(1,0)$, indicating the new point would be 5 units to the right of the point that came before it. If you are close to the vertex this lateral movement would bring you to the other side of the vertex and therefore the new angle would be close to $\pi$ and would produce the following result: $s_{j}=s_{j-1}+5 \times(-1,0)$. This indicates the new point would be 5 units to the left of the previous point and therefore back to the original point we started with. This process continues until you reach the limit on the number of points allowed in the curve. Not only does this prevent you from moving beyond this section of the curve, it also generates many more points than should be in that area. This oscillation that occurs can be remedied by using angles from $-\frac{\pi}{2}$ to $\frac{\pi}{2}$.

However, this causes the same sort of situation to occur along the vertical areas of the curve. When using the angles $-\frac{\pi}{2}$ to $\frac{\pi}{2}$, you get stuck along the vertical portions of the flow curves. The slope of the tangent line to a curve at a point close to a horizontal vertex of a curve will be close to vertical. On either side of that vertex those close to vertical slopes will have opposite values (one will be negative and the other positive). Given the angle restrictions this means that on one side of the vertex the directional angle will be very close to $-\frac{\pi}{2}$ while on the other side of the vertex the angle will be very close to $\frac{\pi}{2}$. Using these angles with the equation given above you can see that once you get close to the vertex you will simply oscillate around the vertex rather than continue along the curve.

Explicitly, this is what occurs. We use the equation $s_{j}=s_{j-1}+d_{j} \times l_{j} \times o_{s_{j-1}}$ to get from one point in the curve to the next. Here $d_{j}$ is either 1 or -1 depending on the direction from the starting point, for our purposes, let's consider it to be 1. The length between the points is $l_{j}$ and that is 5 . This leaves the following equation, $s_{j}=s_{j-1}+5 \times o_{s_{j-1}}$. Since $o_{s_{j-1}}=\left(\cos \theta_{s_{j-1}}, \sin \theta_{s_{j-1}}\right)$ the angle can now be used to demonstrate what occurs around the vertex. On one side the angle will be close to $-\frac{\pi}{2}$ which will leave you with the following
results: $s_{j}=s_{j-1}+5 \times(0,-1)$, indicating the new point would be 5 units below the point that came before it. If you are close to the vertex this vertical movement would bring you to the other side of the vertex and therefore the new angle would be close to $\frac{\pi}{2}$ and would produce the following result: $s_{j}=s_{j-1}+5 \times(0,1)$. This indicates the new point would be 5 units above the previous point and therefore back to the original point we started with. This process continues until you reach the limit on the number of points allowed in the curve. Not only does this prevent you from moving beyond this section of the curve, it also generates many more points than should be in that area.

As you can see, depending on the angles used there will be noise along the horizontal or vertical areas of the curve. To overcome the problem of stopping curve generation in these sections and to minimize the noise, the range of angles to use is carefully chosen for each point when generating the OFFC. This was done by using angles from $-\frac{\pi}{2}$ to $\frac{\pi}{2}$ as the default. At each point the directional angle was evaluated. If it was greater than 1.4 radians or less than -1.4 radians then the corresponding angle from the range of 0 to $\pi$ was used. While it does minimize the noise, it does not totally eliminate it.

Once the OFFCs were generated, we need to find the point of highest curvature for each flow curve. The curvature value $(\omega)$ for each point $l_{j}$ on the curve is calculated using the following: $\omega_{l_{j}}=1-\cos \alpha_{j}$, where $\alpha_{j}=$ the orientation value of $l_{j-5}$ minus the orientation value of $l_{j+5}$. According to Dass and Jain [2] all points that had a curvature value of less than 0.3 were eliminated. Theoretically, the point on the curve with the highest curvature value is added to the helper data set.

However, it was necessary to do some filtering before adding points to the helper data set. When examining the curvature values, it was evident that the noise that occurred in the OFFC generation was resulting in artificially high curvature values. It is known that the high curvature values should occur when the curve is changing direction, this occurs at local maximums and local minimums. So in order to eliminate these false high curvature values, the point with the highest curvature value in each individual flow curve was checked to see if it was a local maximum or local minimum of the curve. If it was not, that point was eliminated and the next highest curvature value was examined. When a local maximum or minimum was found that also had the highest curvature value, that point $(x, y, \omega)$ was stored as a high curvature point in the helper data set. This helper data set is then manually filtered using the high curvature points drawn on the fingerprint image. For
example in figure 3.7 we see a fingerprint image with high curvature points before manual filtration. The areas highlighted in green are those areas which require manual removal before analysis could begin.


Figure 3.1: An example fingerprint to be marked


Figure 3.2: OFFC through horizontal

## Exploring Chaff Point Elimination

There are two major components of the fuzzy vault, the minutia points and the chaff points. It is possible that the high curvature points leak data about both of these. Since


Figure 3.3: OFFC through vertical


Figure 3.4: Example helper data through horizontal
high curvature points occur in areas where the flow curves are changing direction, the points around them tend to have a directional angle that is somewhat predictable. Given a set of high curvature points, it is likely that any minutia points that are relatively close to the high curvature points and on the left of them will have directional angle between 0 and $\frac{\pi}{2}$ when adjusted for the tilt of the high curvature points. While points on the right that are relatively close will have a directional angle that is between 0 and $-\frac{\pi}{2}$, which is equivalent to the range between $\frac{\pi}{2}$ and $\pi$ when adjusted for the tilt of the high curvature points.

In order to explore this concept you need to obtain high curvature points, minutia points


Figure 3.5: Example helper data through vertical


Figure 3.6: Example helper data combined
and chaff points. We use .xyt files provided by NIST to obtain the minutia points for our set of fingerprints. The high curvature points are obtained using the code we wrote in Appendix A. Unfortunately, we did not have a convenient way to obtain chaff points and time prevented us from developing the proper code to do this.

This hypothesis initially involved examining the minutia points that surrounded the high curvature points. In order to do this we first use the high curvature point with the greatest $y$ value and that with the lowest $y$ value to define a high curvature line segment. We used the slope and length of this line segment to create a square centered over the high curvature


Figure 3.7: HCP to be manually filtered
points. The top and bottom sides of the square had slopes that were perpendicular to the high curvature line segment and pass through the high curvature point with the greatest $y$ value and lowest $y$ value points respectively. The length of each of these sides is equivalent to the length of the high curvature line segment with the midpoint of each of the segments being at the intersection of the high curvature points. The left and right sides have slopes parallel to the high curvature line segment, are of equal length and connected with the end points of the top and bottom lines.

We then determine which minutia points are present within those boundaries and examine the directional angle of those points. We complete the analysis in this manner on three fingerprints. The three fingerprints had a combined total of 10 minutia points in the described zones. Two of those points fell on the high curvature points and so were not considered as part of the analysis. This left a total of 8 minutia points, of these 7 had expected angle measures. Obviously further analysis of more fingerprints is needed to determine if there is an actual correlation between directional angle and proximity to the high curvature points. However, the numerical data we examine as well as informal visual observations from other fingerprints look like a correlation might be promising. Additionally, we note through visual observation that it appears as though if we extend the bottom line of the square across the entire image that a large majority of minutia points above that line would follow the expected directional angles.

While time constraints prevent us from doing an in-depth study of this hypothesis, we


Figure 3.8: Fingerprint with HCP, minutia points and square drawn for illustration.
are able to perform an initial exploration using a pictorial example.
We use the photo-editing software, Gimp, to superimpose the high curvature and minutia points from the middle image over the last picture.

The image above has the superimposed image. While we are unable to get an exact match (it seems the middle image was not the same scale as the image on the right), it was close enough for an initial investigation.

We create a straight line through the high curvature points and then draw a perpendicular line at the bottom. We eliminate all the chaff points on the left of the high curvature line that had a negative slope and all the chaff points on the right of the high curvature line that had a positive slope. The slope is predicated on the high curvature line as the y -axis and the perpendicular line as the x -axis.

In the below picture, 36 chaff points are removed based on directional angle relative to the high curvature points. There are a total of 223 points on the original (including the


Figure 3.9: An example of a fingerprint with minutia points (left), minutia points and helper data (middle) and minutia points with chaff points (right).
actual minutia points). By using the described procedure, we are able to remove 36 points $(16 \%)$ that are determined to be chaff points. We believe it might be possible to remove even more points with further analysis. It is possible that the angle range could be further limited depending on how close the point is to the high curvature points.

This was not a precise process for this example. It was an initial exploration to see if it might be worthy of an in depth exploration. For that purpose, this estimation was sufficient. While this process seems promising time constraints are too restrictive to continue with further analysis.

## Correlation of Helper Data and Minutia Points

In addition to the potential to eliminate chaff points it is also a possibility that high curvature points leak data about some minutia points. The hypothesis being there is some area around the high curvature points that has a greater concentration of minutia points than would be expected in an uniform distribution. If this were true an attacker may be able to focus efforts on the area of greater concentration, thus decreasing the time needed for a brute force attack.

An initial survey of fingerprint images which included minutia and high curvature points suggest there may be a greater concentration of minutia points within a relatively close


Figure 3.10: High curvature points superimposed over minutia.
proximity to the high curvature points. In order to do a mathematical analysis of this theory a rectangular HCP zone is created around the high curvature points. First, a least squares regression is calculated on the high curvature points. The length of the high curvature points is determined by finding the distance between the maximum and minimum high curvature points.

The rectangle that binds the HCP zone is created in the following manner:

1. The top line is perpendicular to the best fit line of the high curvature points. The length of the line is a percentage ( $10 \%$ or $25 \%$ ) of the high curvature length. The midpoint of the line is at the high curvature points with the lowest $y$-value. See figure 3.13.
2. The left and right lines are parallel to the best fit line and begin at the endpoints of the top line. The length of these sides is a percentage (125\%) of the length of the high curvature points.
3. The bottom line is perpendicular to the best fit line and connects lower endpoints of the left and right sides.

We determine what percent of the total image area is contained in the HCP zone. The number of minutia points present in the HCP zone is then found. One would logically expect that if the area contained inside the HCP zone was $10 \%$ of the total area that this same


Figure 3.11: Original picture with all chaff and minutia.
area would also contain approximately $10 \%$ of all available minutia. A statistical analysis is conducted on the data to determine if there is uniform distribution.

A chi-square goodness of fit test is performed on the resulting data using the following: $\chi^{2}=\sum \frac{(o-e)^{2}}{e}$
where $o$ is the observed number of minutia points in the HCP zone and $e$ is the expected number of minutia points in the HCP zone. The value for $e$ is calculated by multiplying the total number of minutia points by the percent area of the HCP zone.

Most fingerprints have a greater number of minutia points than expected and some have fewer minutia points than expected. In order to better understand how the data was distributed the chi-square goodness of fit test was done on all 200 fingerprints. Then again on the subset of fingerprints with a greater number of minutia than expected and finally on the subset of all fingerprints with fewer than expected minutia points.

The results for the $10 \%$ by $125 \%$ rectangle are given in Figure 3.14:
The results for the $25 \%$ by $125 \%$ rectangle are given in Figure 3.15:
The chi-square goodness of fit test indicates that in both rectangular regions, the minutia points do not exhibit a uniform distribution. It also shows that when the observed minutia is greater than the expected minutia the distribution is still not uniform. Furthermore, it shows that when the observed minutia is less than the expected minutia there is a uniform distribution. These combined results suggest the concentration of minutia is greater inside the HCP zone than it would be with a uniform distribution. Therefore, the high curvature


Figure 3.12: Edited picture with some chaff deleted.


Figure 3.13: HCP Zones.
points that are publicly stored do leak information about the minutia points. This means that it would be easier to find minutia points in this area. Given the small area of the HCP zone, generally less than $10 \%$ of the total image area, it would take significantly less time to attack while also increasing the probability of finding minutia.

According to [20], with fewer than nine minutia points a fingerprint fuzzy vault is vulnerable to a partial fingerprint attack. Further work should be done determining an optimal size of the HCP zone to find an area that contains an average of nine (currently the $25 \%$ by $125 \% \mathrm{HCP}$ zone rectangle has an average of 6 minutia points) minutia points. This combined with the partial fingerprint attack make the public high curvature points a vul-

|  | All <br> fingerprints | Fingerprints with <br> greater minutia <br> points than expected | Fingerprints with <br> fewer minutia points <br> than expected |
| :---: | :---: | :---: | :---: |
| Chi-square | 720.41 | 697.89 | 22.52 |
| Degrees of <br> freedom | 199 | 148 | 50 |
| p-value | $<0.001$ | $<0.001$ | 0.9997 |

Figure 3.14: $10 \%$ by $125 \%$ rectangle results.

|  | All <br> fingerprints | Fingerprints with <br> greater minutia <br> points than expected | Fingerprints with <br> fewer minutia points <br> than expected |
| :---: | :---: | :---: | :---: |
| Chi-square | 506.97 | 492.84 | 14.13 |
| Degrees of <br> freedom | 199 | 157 | 41 |
| p-value | $<0.001$ | $<0.001$ | 0.9999 |

Figure 3.15: $25 \%$ by $125 \%$ rectangle results.
nerability.

## Chapter 4

## A New Fingerprint Fuzzy Vault Scheme

In this chapter, we propose an alignment-free fingerprint fuzzy vault scheme. Our scheme is based on an observation used in NIST Biometric Image Software (NBIS) [8]. NBIS is a big package that contains several components, including image enhancing software, image conversion software, minutiae detection MINDTCT, and a fingerprint matching algorithm BOZORTH3 [21]. The BOZORTH3 algorithm was considered in the category of export control and was not included in the public release of NBIS. This decision has been reversed in the latest NBIS release and document [21] was then made available.

In BOZORTH3, it has been observed that a set of minutia points marked on a fingerprint image can be treated as vertices of a graph whose edges are the lines connecting two vertices. (The lines are called intervening lines in [21].) For two fingerprints from the same finger, the distance between two same minutia points should remain the same (or at least, very close). So are the relative angles of their orientations relative to the interveneing lines. For example, Figure 4.1 and Figure 4.2 are part of two fingerprints from the same finger and they have two minutia points, called points $i$ and $j$ in Figure 4.1 and points $u$ and $v$ in Figure 4.2 respectively. Due to various environmental factors, these two minutia points have different coordinates and orientations in these two fingerprints. However, their relative distances, labeled $d_{i j}$ in Figure 4.1 and $d_{u v}$ in Figure 4.2, are very close, if not the same. Their orientation angles relative to the interveneing line in $4.1, \theta_{i}$ and $\theta_{j}$, are very close to their counterparts in 4.2, $\theta_{u}$ and $\theta_{v}$ respectively.

Under this view, the matching of a reference template and a fresh query fingerprint can be accomplished through comparing the corresponding two graphs. Unlike other fingerprint matching algorithms that require fingerprint alignment, BOZORTH3 is alignment-free, as the graphs are rotation and translation-variant. This characteristic naturally lends itself to fingerprint fuzzy vault.


Figure 4.1: Minutia pair in the reference template


Figure 4.2: Minutia pair in the fresh query template

## Invariants

As shown in Figure 4.1, each minutia pair is identified by their Euclidean distance $d_{i j}$ and two relative angles, $\theta_{i}$ and $\theta_{j}$. This triplet $\left\{d_{i j}, \theta_{i}, \theta_{j}\right\}$ should remain largely unchanged on fingerprints from the same finger. Given a fingerprint $A$, we will use its minutia triplets to construct/decode a fingerprint fuzzy vault.

## The scheme

Let $d_{\text {max }}$ be a distance difference threshold between two minutia points and $\theta_{s}$ be an angle difference threshold. Let $t$ be a threshold value that if two fingerprints have $t$ or more common minutia points, then they are considered a match.

## Vault construction

Let $k$ be the secret to be protected by the vault.
Given a fingerprint reference $A=\left\{a_{1}, a_{2}, \ldots, a_{n}\right\}$, where $a_{i}$ is a minutia point, the steps to construct our alignment-free fingerprint fuzzy vault scheme as follows:

1. Sort the minutia points in the descending order in terms of their quality. Let the sorted set be $\bar{A}=\left\{\overline{a_{1}}, \overline{a_{2}}, \ldots, \bar{a}_{n}\right\}$.
2. Calculates the triplet invariant on $\bar{A}$, as described earlier in Section 4. For each triplet, concatenate them into an integer. Let the resulting integer set be $\left\{\tilde{a}_{1}, \tilde{a}_{2}, \ldots, \tilde{a}_{m}\right\}$.
3. Generate valid points:
(a) Split $k$ into $t$ pieces of equal size, $k_{0}, k_{1}, \ldots, k_{t-1}$, where $k_{i}, 0 \leq i \leq(t-1)$, is an element in $F_{q}$.
(b) Construct a polynomial of degree $(t-1), p(x)=k_{t-1} x^{t-1}+k_{t-2} x^{t-2}+\ldots+k_{1} t+$ $k_{0} \bmod q$
(c) Calculate $\beta_{i}=p\left(\tilde{a}_{i}\right), 1 \leq i \leq n$. These points $\left(a_{i}, \beta_{i}\right)$ are valid points and they form locking set $S$.
4. Generate chaff points: randomly select $(r-n)$ points $\left(\gamma_{j}, \zeta_{j}\right), 1 \leq j \leq(r-n)$, where $\gamma_{j}$ and $\zeta_{j}$ are randomly selected from $F_{q}$ with two conditions. First, $\gamma_{j} \neq \tilde{a}_{i}$. Second, $\zeta_{j} \neq p\left(\gamma_{j}\right)$; that is, $\left(\gamma_{j}, \zeta_{j}\right)$ are not on polynomial $p(x)$.

All points $\left(\gamma_{j}, \zeta_{j}\right)$ form chaff set $C$.
5. The union of sets $S$ and $C, \mathcal{P}=S \cup C$, forms the points stored in the vault.

## Vault decoding

Let $B=\left\{b_{1}, b_{2}, \ldots, b_{n}\right\}$ be a fresh set and it can be used to unlock vault $\mathcal{P}$ if $B$ is close to $A$.

1. Sort the minutia points in $B$ in the descending order in terms of their quality. Let the sorted set be $\bar{B}=\left\{\overline{b_{1}}, \overline{b_{2}}, \ldots, \bar{b}_{l}\right\}$.
2. Calculates the triplet invariant on $\bar{B}$, as described earlier in Section 4. For each triplet, concatenate them into an integer. Let the resulting integer set be $\left\{\tilde{b}_{1}, \tilde{b}_{2}, \ldots, \tilde{b}_{m}\right\}$.
3. Use each $\tilde{b}_{i}, 1 \leq i \leq n$, as the $x$-coordinate to search, in a close manner with consideration of $d_{\max }$ and $\theta_{s}, \mathcal{P}$ for a point. Let $\mathcal{V}$ be the set of points found.
4. Apply the Reed-Solomon decoding algorithm to points in $\mathcal{V}$ to reconstruct a polynomial $p^{\prime}(x)[11,15]$.

## Chapter 5

## Conclusion

Fingerprint fuzzy vault schemes have great potentials to address the security and privacy concerns of fingerprint applications and may see real-world deployment very soon. In this thesis, we first analyze the security of an existing fingerprint fuzzy vault scheme called NJP07 [14].

In [14] Nandakumar et al. [14] conclude that helper data which is stored as public information does not affect the security of the fuzzy vault. In our work we take steps to show that the helper data required to align fingerprint images in the fingerprint fuzzy vault does leak information thereby compromising the security of the system. A rectangular region surrounding the helper data is shown to have a higher percentage of minutia points than would be expected in a uniform distribution. This area is substantially smaller than the total fingerprint area. This small area combined with the greater concentration of minutia points within it gives an attacker an advantage when attempting to compromise the system. Furthermore, obtaining some minutia points allows an attacker to employ a partial fingerprint attack. This sort of attack is a natural complement to the data that is leaked by the publicly available high curvature points as shown in this paper.

Next, we suggest a new fuzzy vault scheme that is based on an observation in the Bozorth fingerprint matching algorithm [21]. This scheme allows for the implementation of a fuzzy vault without any helper data. This eliminates the significant security risk identified in this paper. Additionally, the scheme eliminates the common problem of fingerprint alignment as it is rotation and translation invariant.

## Areas of Further Research

As discussed in Chapter 3, it would be beneficial to further analyze and research different sizes of rectangles to be used in binding the HCP zone. More testing of this could reveal an optimal size for maintaining a small area while exposing the greatest number of minutia. Additionally, it may be useful to explore different shapes for binding the region around the HCP zone. For instance, it appears that an elliptical shape may reduce the area while still
containing the same number of minutia points. It would also be interesting to learn if there is any relationship between the shapes and sizes used to bind an HCP zone and the different types of fingerprints.

We have shown the helper data may leak additional information. We outlined a method to begin an investigation of using helper data along with the expected directional angles of minutia points to eliminate chaff points within close proximity to the helper data. If this procedure were successfully implemented then it is possible a significant number of chaff points could be eliminated from the system. The reduction of this chaff should reduce the overall security of the vault. By decreasing the chaff while simultaneously having an increased probability of finding minutia within a small area the fuzzy vault quickly becomes more vulnerable.

Finally, security analysis is required of the proposed fuzzy vault scheme.

## Appendix A

## Code to Generate curvature points

The Matlab code to generate curvature points consists of three scripts, highcurvaturepoints_horiz.m, highcurvaturepoints_vert.m, and highcurvaturepoints_total.m

```
highcurvaturepoints_horiz.m
% HIGHCURVATUREPOINTS
%
% Function to d
%
% Usage:
%
% Argument:
%
% Returns:
% Patrick Perry
%
% James Madison University
%
%
%
% October 2012
fvc02_files = dir(fullfile('./results/', '*-fvc02_orient.txt'));
for a = 1:size(fvc02_files)
    currentFile = fvc02_files(a).name;
[pathstr, nameWOext, ext] = fileparts(currentFile);
disp (nameWOext);
    short_name = strrep(nameWOext, '-fvc02_orient', '');
    short_nameWext = [short_name '.tif'];
    M = dlmread(['./results/' short_name '-fvc02_orient.txt']);
%[0..pi]==> sin table
    %PJP-10-29 Patch to convert range from [0..pi] to [-pi/2..pi/2]
    [rows,cols] = size(M);
    M_transform = M;
    for i_patch = 1:cols
        for j_patch = 1:rows
```

```
        if (M_transform(j_patch,i_patch) > pi/2)
            M_transform(j_patch,i_patch) = M(j_patch,i_patch) - pi;
            end
        end
    end
% dlmwrite(['./results/' short_name '-fvc02_cos_orient.txt'],
% M_transform,'\t');
%[-pi/2..pi/2]==> cos table
    %PJP-10-29 End Patch
    rect_info = dlmread(['./results/' short_name 'myfv2002datab.txt'],
'\t', 0, 1);
    display(rect_info);
    r_start = rect_info(1);
    r_end = rect_info(3);
    c_start = rect_info(2);
    c_end = rect_info(4);
    n_0 = 75; %DassJain04 Section 3.1 refers to this prespecified constant.
            %I am choosing 25 for something to test.
% I am not sure what value to use here.
    w = 5;
    l = ((c_end - c_start) / (2 * w));
    final_k = ((r_end - r_start) / w);
    max_k = ceil(final_k);
% We use this for initializing our array and as an
% upper bound on the for loop
    s_0_x = zeros(1, max_k);
    s_O_y = c_start + l * w;
    for i = 1:max_k
        k = i;
    if i == max_k
    k = final_k;
    end
    s_0_x(i) = r_start + k * w;
    end
    % At this point we have an array of s_0 x values and the corresponding
% unchanging y value for those points.
```

```
    Curves_M_transform \(=\) zeros \(\left(2 * n_{-} 0+1\right.\), max_k * 2) ;
```

    Curves_M_transform \(=\) zeros \(\left(2 * n_{-} 0+1\right.\), max_k * 2) ;
    \% For rows we * 2 to account for $+\&-$. Then add 1 for s_0.
\% For rows we * 2 to account for $+\&-$. Then add 1 for s_0.
\% Columns are * 2 to store x \& y .
\% Columns are * 2 to store x \& y .
\% Calculate s_j
\% Calculate s_j
for $i=1: m a x \_k \%$ We will deal with the top half of the rectangle first
for $i=1: m a x \_k \%$ We will deal with the top half of the rectangle first
s_x_jminus1 = round (s_0_x(i));
s_x_jminus1 = round (s_0_x(i));
s_y_jminus1 = round (s_0_y);
s_y_jminus1 = round (s_0_y);
$\mathrm{d}_{-} \mathrm{j}=1$;

```
    \(\mathrm{d}_{-} \mathrm{j}=1\);
```

```
    l_j = 5;
    Curves_M_transform(n_0 + 1, 2 * i - 1) = s_x_jminus1;
% We have to begin each curve by putting the starting point in place.
    Curves_M_transform(n_0 + 1, 2 * i) = s_y_jminus1;
    for j = 1:n_0
        theta_s_jminus1 = M_transform(round(s_y_jminus1),
round(s_x_jminus1));
        %Patch to correct oscillation at vertical areas
        if ( (theta_s_jminus1 > 1.4) | (theta_s_jminus1 < -1.4) )
                theta_s_jminus1 = M(round(s_y_jminus1),round(s_x_jminus1));
                d_j = 1;
            end
        s_x_j = s_x_jminus1 + d_j * l_j * cos(theta_s_jminus1);
    s_y_j = s_y_jminus1 + d_j * l_j * sin(theta_s_jminus1);
    % First, test if we are in the red rectangle.
% If not break out of the loop.
    if (s_x_j < r_start) | (s_x_j > r_end)
    break
    end
    if (s_y_j < c_start) | (s_y_j > c_end)
    break
    end
        Curves_M_transform(n_0 + 1 + j, 2 * i - 1) = s_x_j;
    Curves_M_transform(n_0 + 1 + j, 2 * i) = s_y_j;
    % Reset the variables before the next iteration.
            if ( Curves_M_transform(n_0 + 1 + j - 1, 2 * i - 1) >
Curves_M_transform(n_0 + 1 + j, 2 * i - 1) )
                d_j = -1;
            end
    s_x_jminus1 = s_x_j;
    s_y_jminus1 = s_y_j;
    end
    end
    for i = 1:max_k
% We will deal with the bottom half of the rectangle second
    s_x_jminus1 = round(s_0_x(i));
    s_y_jminus1 = round(s_0_y);
    d_j = -1;
    l_j = 5;
    Curves_M_transform(n_0 + 1, 2 * i - 1) = s_x_jminus1;
% We have to begin each curve by putting the starting
% point in place.
    Curves_M_transform(n_0 + 1, 2 * i) = s_y_jminus1;
    for j = 1:n_0
        theta_s_jminus1 = M_transform(round(s_y_jminus1),
```

```
round(s_x_jminus1));
    %Patch to correct oscillation at vertical areas
    if ( (theta_s_jminus1 > 1.4) | (theta_s_jminus1 < -1.4) )
                        theta_s_jminus1 = M(round(s_y_jminus1),round(s_x_jminus1));
                d_j = -1;
    end
    s_x_j = s_x_jminus1 + d_j * l_j * cos(theta_s_jminus1);
    s_y_j = s_y_jminus1 + d_j * l_j * sin(theta_s_jminus1);
    % First, test if we are in the red rectangle.
% If not break out of the loop.
    if (s_x_j < r_start) | (s_x_j > r_end)
    break
    end
    if (s_y_j < c_start) | (s_y_j > c_end)
    break
    end
        Curves_M_transform(n_0 + 1 - j, 2 * i - 1) = s_x_j;
    Curves_M_transform(n_0 + 1 - j, 2 * i) = s_y_j;
    % Reset the variables before the next iteration.
            if ( Curves_M_transform(n_0 + 1 - j + 1, 2 * i - 1) <
Curves_M_transform(n_0 + 1 - j, 2 * i - 1) )
                d_j = 1;
            end
    s_x_jminus1 = s_x_j;
    s_y_jminus1 = s_y_j;
    end
    end
%++++++++++
    [mm1, nn1] = size (M);
    disp (mm1);
    disp (nn1);
    for j = 1:max_k %
mynewfolder = ['./results/' short_name];
isexistent = exist(mynewfolder);
if (isexistent ~ = 7) mkdir(mynewfolder);
end
    thisfilename = ['./results/' short_name
'/oneline-offc-horiz-' num2str(j) '.txt'];
    disp (thisfilename);
    fid = fopen(thisfilename, 'w');
    formatSpec = '%f\t %f\t %f\n';
    for i=1:2*n_0+1
        if (Curves_M_transform(i, 2*j-1) > 0) &
(Curves_M_transform(i, 2*j) > 0)
                            if (Curves_M_transform(i, 2*j-1) > nn1) |
(Curves_M_transform(i, 2*j) > mm1)
                disp ('ERROR');
```

```
    disp (Curves_M_transform(i, 2*j-1));
    disp (Curves_M_transform(i, 2*j));
        else
            fprintf(fid, formatSpec, Curves_M_transform(i, 2*j-1),
Curves_M_transform(i, 2*j),
M_transform(round(Curves_M_transform(i, 2*j)),
round(Curves_M_transform(i, 2*j-1))));
            end %% end of inner if
            end %% end of outer if
            end %% end of for i
            fclose (fid);
    end %% end of for j
%++++++++++++
% Curves_with_noise = ['./results/' short_name '_curve_w_noise.txt'];
% dlmwrite(Curves_with_noise, Curves_M_transform);
    thisIm = imread (['./Sample_Fingerprints/' short_name '.tif']);
    f=figure('visible', 'off'), imshow (thisIm);
    hold on;
    axis on;
    [m n] = size (Curves_M_transform);
    Curvature_Values = zeros(m, n/2);
    for i=1:n/2
    x = round(nonzeros(Curves_M_transform(:,2*i-1)));
    y = round(nonzeros(Curves_M_transform(:,2*i)));
    plot (x, y, '-.g');%, '-.og');
    hold on;
    %This is where we create a matrix with curvature values.
    for j=6:size (x) - 5
% We subtract 5 from the size of x so we do not
% exceed the number of points when we use j+5
            theta_left = M_transform(y(j-5), x(j-5));
            theta_right = M_transform(y(j+5), x(j+5));
            alpha = theta_left - theta_right;
            Curvature_Values(j,i) = 1 - cos(alpha);
        end
    %We have the OFFC curvature values.
    end
mynewfolder2 = ['./results/' short_name];
% disp (mynewfolder2);
isexistent2 = exist(mynewfolder2);
% disp (isexistent2);
if (isexistent2 ~= 7) mkdir(mynewfolder2);
end
    Curve_Vals = ['./results/' short_name '/Curvature_Vals_Horiz.txt'];
% disp (Curve_Vals);
```

```
    dlmwrite(Curve_Vals, Curvature_Values);
%%%%
    %We need to remove some false high curvature values
    Filtered_Curvature_Values = Curvature_Values;
    for i=1:n/2
        while (1)
            [Filtered_peaks_max, Filtered_index] =
max(Filtered_Curvature_Values(:,i));
            filtered_y = nonzeros(Curves_M_transform(:,2*i));
            Filtered_index = round(Filtered_index);
            if (Filtered_peaks_max == 0)
                        break
            end
    if ( (filtered_y(Filtered_index) >
filtered_y(Filtered_index - 1)) &
(filtered_y(Filtered_index) > filtered_y(Filtered_index + 1)) )
                    break
    elseif ( (filtered_y(Filtered_index) <
filtered_y(Filtered_index - 1)) &
(filtered_y(Filtered_index) < filtered_y(Filtered_index + 1)) )
                    break
            else
                        Filtered_Curvature_Values(Filtered_index, i) = 0;
            end
            end
        end
        Curve_Vals = ['./results/' short_name
'/FILTERED_Curvature_Vals_Horiz.txt'];
    dlmwrite(Curve_Vals, Filtered_Curvature_Values);
    %False values have been removed now.
    %Initialize our High Curvature Points matrix
    High_Curvature_Points = zeros(1, 3); %Initialize array to store 1 HCP
    for i=1:n/2
        [HCP_peaks, HCP_index] = max(Filtered_Curvature_Values(:,i));
    HCP_index = round(HCP_index);
    x = nonzeros(Curves_M_transform(:,2*i-1));
    y = nonzeros(Curves_M_transform(:,2*i));
    for j=1:length(HCP_index)
            HCP_x = x(HCP_index(j));
            HCP_y = y(HCP_index(j));
            HCP_omega = HCP_peaks(j);
            %Based on DJ04 p 750 we are not going to include
%HCP less than . }
            if (HCP_omega >= .3)
                            next_row = [HCP_x HCP_y HCP_omega];
                    High_Curvature_Points = [High_Curvature_Points ; next_row];
            end
```

```
            end
    end
    [r c] = size(High_Curvature_Points);
        for i=2:r
            plot (High_Curvature_Points(i,1),
        High_Curvature_Points(i,2), '*r');
            hold on;
        end
    HCP_Vals = ['./results/' short_name '/HCP_horiz.txt'];
    dlmwrite(HCP_Vals, High_Curvature_Points);
%%%%
    newFilename1 = ['./results/' short_name '/OFFC_horiz.tif'];
    print (f, '-dtiff', newFilename1);
end
disp ('Done');
```

highcurvaturepoints_vert.m
\% HIGHCURVATUREPOINTS
\%
\% Function to d
\%
\% Usage:
\%
\% Argument:
\%
\% Returns:

```
% Patrick Perry
```

\%
\% James Madison University
\%
\%
\%
\% October 2012
fvc02_files $=$ dir(fullfile('./results/', '*-fvc02_orient.txt'));
for $a$ = 1:size(fvc02_files)
currentFile = fvc02_files(a).name;
[pathstr, nameWOext, ext] = fileparts(currentFile);
disp (nameWOext);
short_name = strrep(nameWOext, '-fvc02_orient', ',');
short_nameWext = [short_name '.tif'];
M = dlmread(['./results/' short_name '-fvc02_orient.txt']);
$\%[0 . \mathrm{pi}]==>$ sin table

```
    %PJP-10-29 Patch to convert range from [0..pi] to [-pi/2..pi/2]
    [rows,cols] = size(M);
    M_transform = M;
    for i_patch = 1:cols
    for j_patch = 1:rows
            if (M_transform(j_patch,i_patch) > pi/2)
            M_transform(j_patch,i_patch) = M(j_patch,i_patch) - pi;
            end
        end
    end
% dlmwrite(['./results/' short_name '-fvc02_cos_orient.txt'],
% M_transform, '\t');
    %[-pi/2..pi/2]==> cos table
        %PJP-10-29 End Patch
        rect_info = dlmread(['./results/' short_name
'myfv2002datab.txt'], '\t', 0, 1);
    display(rect_info);
    r_start = rect_info(1);
    r_end = rect_info(3);
    c_start = rect_info(2);
    c_end = rect_info(4);
    n_0 = 50; % DassJain04 Section 3.1 refers to
% this prespecified constant.
% I am choosing 25 for something to test.
% I am not sure what value to use here.
    w = 5;
    k = ((r_end - r_start) / (2 * w));
    final_l = ((c_end - c_start) / w);
    max_l = ceil(final_l)
% We use this for initializing our array and as an upper bound
% on the for loop
    s_0_x = r_start + k * w;
    s_0_y = zeros(1, max_l);
    for i = 1:max_l
        l = i;
    if i == max_l
    l = final_l;
    end
    s_0_y(i) = c_start + l * w;
    end
    % At this point we have an array of s_0 x values and the
% corresponding unchanging y value for those points.
Curves_M_transform = zeros(2 * n_0 + 1, max_l * 2);
```

```
\(\%\) For rows we \(* 2\) to account for \(+\&-\).
\% Then add 1 for s_0. Columns are * 2 to store \(\mathrm{x} \& \mathrm{y}\).
    \% Calculate s_j
    for \(i=1: m a x \_l\) \%We will deal with the top half of the rectangle first
    s_x_jminus1 = round (s_0_x);
    s_y_jminus1 = round (s_0_y(i));
    d_j = 1;
    \(l_{-}=5 ;\)
    Curves_M_transform(n_0 + 1, 2 * i - 1) = s_x_jminus1;
\% We have to begin each curve by putting the
\% starting point in place.
    Curves_M_transform(n_0 + 1, 2 * i) = s_y_jminus1;
    for \(\mathrm{j}=1: \mathrm{n}_{-} 0\)
        theta_s_jminus1 = M_transform(round(s_y_jminus1),
round(s_x_jminus1));
                \%Patch to correct oscillation at vertical areas
                if ( (theta_s_jminus1 > 1.4) | (theta_s_jminus1 < -1.4) )
                    theta_s_jminus1 = M(round(s_y_jminus1),
round(s_x_jminus1));
                        \(d_{-}=1 ;\)
                end
                \(s_{-} x_{-} j=s_{-} x_{-} j m i n u s 1+d_{-} j * l_{-} j * \cos \left(t h e t a_{-} s_{-} j m i n u s 1\right) ;\)
    \(s_{-} y_{-} j=s_{-} y_{-} j m i n u s 1+d_{-} *_{1} l_{-} * \sin \left(t h e t a_{-} s_{-} j m i n u s 1\right) ;\)
    \% First, test if we are in the red rectangle.
\(\%\) If not break out of the loop.
    if (s_x_j < r_start) | (s_x_j > r_end)
    break
    end
    if (s_y_j < c_start) | (s_y_j > c_end)
    break
    end
        Curves_M_transform(n_0 + \(1+j, 2 * i-1)=s_{-} x_{-} j ;\)
    Curves_M_transform(n_0 + 1 + j, 2 * i) = s_y_j;
    \% Reset the variables before the next iteration.
        if ( Curves_M_transform(n_0 + 1 + j - 1, 2 * i - 1) >
Curves_M_transform(n_0 + 1 + j, 2 * i - 1) )
                \(d_{-} j=-1 ;\)
            end
    s_x_jminus1 = s_x_j;
    s_y_jminus1 = s_y_j;
    end
    end
    for \(i=1: m a x \_1\)
\(\%\) We will deal with the bottom half of the rectangle second
    s_x_jminus1 = round (s_0_x);
    s_y_jminus1 = round (s_0_y(i));
```

```
    d_j = -1;
    l_j = 5;
    Curves_M_transform(n_0 + 1, 2 * i - 1) = s_x_jminus1;
% We have to begin each curve by putting the starting
% point in place.
    Curves_M_transform(n_0 + 1, 2 * i) = s_y_jminus1;
    for j = 1:n_0
        theta_s_jminus1 = M_transform(round(s_y_jminus1),
round(s_x_jminus1));
        %Patch to correct oscillation at vertical areas
        if ( (theta_s_jminus1 > 1.4) | (theta_s_jminus1 < -1.4) )
            theta_s_jminus1 = M(round(s_y_jminus1),
round(s_x_jminus1));
                d_j = -1;
            end
                s_x_j = s_x_jminus1 + d_j * l_j * cos(theta_s_jminus1);
    s_y_j = s_y_jminus1 + d_j * l_j * sin(theta_s_jminus1);
    % First, test if we are in the red rectangle.
% If not break out of the loop.
    if (s_x_j < r_start) | (s_x_j > r_end)
    break
    end
    if (s_y_j < c_start) | (s_y_j > c_end)
    break
    end
        Curves_M_transform(n_0 + 1 - j, 2 * i - 1) = s_x_j;
    Curves_M_transform(n_0 + 1 - j, 2 * i) = s_y_j;
    % Reset the variables before the next iteration.
        if ( Curves_M_transform(n_0 + 1 - j + 1, 2 * i - 1) <
Curves_M_transform(n_0 + 1 - j, 2 * i - 1) )
                d_j = 1;
            end
    s_x_jminus1 = s_x_j;
    s_y_jminus1 = s_y_j;
    end
    end
%+++++++++++
    [mm1, nn1] = size (M);
    disp (mm1);
    disp (nn1);
    for j = 1:max_l %
        thisfilename = ['./results/' short_name '/oneline-offc-vert-'
num2str(j) '.txt'];
        disp (thisfilename);
        fid = fopen(thisfilename, 'w');
        formatSpec = '%f\t %f\t %f\n';
```

```
    for i=1:2*n_0+1
    if (Curves_M_transform(i, 2*j-1) > 0) &
(Curves_M_transform(i, 2*j) > 0)
    if (Curves_M_transform(i, 2*j-1) > nn1) |
(Curves_M_transform(i, 2*j) > mm1)
                disp ('ERROR');
                disp (Curves_M_transform(i, 2*j-1));
                disp (Curves_M_transform(i, 2*j));
        else
            fprintf(fid, formatSpec, Curves_M_transform(i, 2*j-1),
Curves_M_transform(i, 2*j),
M_transform(round(Curves_M_transform(i, 2*j)),
round(Curves_M_transform(i, 2*j-1))));
            end %% end of inner if
            end %% end of outer if
            end %% end of for i
            fclose (fid);
    end %% end of for j
%+++++++++++
% Curves_with_noise = ['./results/' short_name '_curve_w_noise.txt'];
% dlmwrite(Curves_with_noise, Curves_M_transform);
    thisIm = imread (['./Sample_Fingerprints/' short_name '.tif']);
    f=figure('visible', 'off'), imshow (thisIm);
    hold on;
    axis on;
    [m n] = size (Curves_M_transform);
    Curvature_Values = zeros(m, n/2);
    for i=1:n/2
    x = round(nonzeros(Curves_M_transform(:,2*i-1)));
    y = round(nonzeros(Curves_M_transform(:,2*i)));
    plot (x, y, '-.g');%, '-.og');
    hold on;
    %This is where we create a matrix with curvature values.
    for j=6:size (x) - 5
%We subtract 5 from the size of x so we do not
%exceed the number of points when we use j+5
            theta_left = M_transform(y(j-5), x(j-5));
            theta_right = M_transform(y(j+5), x(j+5));
            alpha = theta_left - theta_right;
            Curvature_Values(j,i) = 1 - cos(alpha);
    end
    %We have the OFFC curvature values.
    end
    Curve_Vals = ['./results/' short_name '/Curvature_Vals_Vert.txt'];
    dlmwrite(Curve_Vals, Curvature_Values);
```

$\% \% \%$
\%We need to remove some false high curvature values
Filtered_Curvature_Values = Curvature_Values;
for $i=1: n / 2$
while (1)
[Filtered_peaks_max, Filtered_index] =
max(Filtered_Curvature_Values(:,i));
filtered_y = nonzeros(Curves_M_transform(:,2*i));
Filtered_index = round(Filtered_index);
if (Filtered_peaks_max == 0)
break
end
if ( (filtered_y(Filtered_index) >
filtered_y(Filtered_index - 1)) \&
(filtered_y(Filtered_index) >
filtered_y(Filtered_index + 1)) )
break
elseif ( (filtered_y(Filtered_index) <
filtered_y(Filtered_index - 1)) \&
(filtered_y(Filtered_index) <
filtered_y(Filtered_index + 1)) )
break
else
Filtered_Curvature_Values(Filtered_index, i) = 0;
end
end
end
Curve_Vals = ['./results/' short_name
'/FILTERED_Curvature_Vals_Vert.txt'];
dlmwrite(Curve_Vals, Filtered_Curvature_Values);
\%False values have been removed now.
\%Initialize our High Curvature Points matrix
High_Curvature_Points = zeros (1, 3) ; \%Initialize array to store 1 HCP
for $\mathrm{i}=1: \mathrm{n} / 2$
[HCP_peaks, HCP_index] = max(Filtered_Curvature_Values(:,i));
HCP_index = round(HCP_index);
x = nonzeros(Curves_M_transform(:,2*i-1));
y = nonzeros(Curves_M_transform(:,2*i));
for $\mathrm{j}=1:$ length(HCP_index)
HCP_x = x(HCP_index(j));
HCP_y = y(HCP_index(j));
HCP_omega = HCP_peaks(j);
\%Based on DJO4 p 750 we are not going to include
\%HCP less than . 3
if (HCP_omega >= .3)
next_row = [HCP_x HCP_y HCP_omega];
High_Curvature_Points = [High_Curvature_Points ; next_row];

```
            end
            end
    end
    [r c] = size(High_Curvature_Points);
% for i=2:r
% plot (High_Curvature_Points(i,1),
% High_Curvature_Points(i,2), '*r');
% hold on;
% end
    HCP_Vals = ['./results/' short_name '/HCP_vert.txt'];
    dlmwrite(HCP_Vals, High_Curvature_Points);
%%%%
    newFilename1 = ['./results/' short_name '/OFFC_vert.tif'];
    print (f, '-dtiff', newFilename1);
end
disp ('Done');
highcurvaturepoints_total.m
% HIGHCURVATUREPOINTS
%
% Function to d
%
% Usage:
%
% Argument:
%
% Returns:
% Patrick Perry
%
% James Madison University
%
%
%
% October 2012
fvc02_files = dir(fullfile('./results/', '*-fvc02_orient.txt'));
for a = 1:size(fvc02_files)
    currentFile = fvc02_files(a).name;
[pathstr, nameWOext, ext] = fileparts(currentFile);
disp (nameWOext);
    short_name = strrep(nameWOext, '-fvc02_orient', '');
    short_nameWext = [short_name '.tif'];
    Horiz = dlmread(['./results/' short_name '/HCP_horiz.txt']);
```

```
    if ( Horiz(1,1) == 0 )
    Horiz(1,:) = [];
end
Vert = dlmread(['./results/' short_name '/HCP_vert.txt']);
if ( Vert (1,1) == 0 )
    Vert(1,:) = [];
end
Total = [Horiz; Vert];
HCP_Vals = ['./results/' short_name '/HCP_total.txt'];
dlmwrite(HCP_Vals, Total);
thisIm = imread (['./Sample_Fingerprints/' short_name '.tif']);
f=figure('visible', 'off'), imshow (thisIm);
hold on;
axis on;
%We draw the high curvature points (horiz, vert & total).
%vert
    [r c] = size(Vert);
for i=1:r
    plot (Vert(i,1), Vert(i,2), '*r');
    hold on;
end
newFilename1 = ['./results/' short_name '/HCP_VERT.tif'];
print (f, '-dtiff', newFilename1);
%horiz
thisIm = imread (['./Sample_Fingerprints/' short_name '.tif']);
f=figure('visible', 'off'), imshow (thisIm);
hold on;
axis on;
[rc] = size(Horiz);
for i=1:r
    plot (Horiz(i,1), Horiz(i,2), '*r');
    hold on;
end
newFilename1 = ['./results/' short_name '/HCP_HORIZ.tif'];
print (f, '-dtiff', newFilename1);
%total
thisIm = imread (['./Sample_Fingerprints/' short_name '.tif']);
f=figure('visible', 'off'), imshow (thisIm);
hold on;
axis on;
[r c] = size(Total);
for i=1:r
    plot (Total(i,1), Total(i,2), '*r');
    hold on;
end
newFilename1 = ['./results/' short_name '/HCP_TOTAL.tif'];
print (f, '-dtiff', newFilename1);
end
```

disp ('Done');

## Appendix B

Code for Correlation Analysis Between Minutia and Helper Data within a Rectangular Zone
hcprectanalysis.m

```
HCP_total_files = dir(fullfile('F:\THESIS\MANUAL_FILTERED_HCP\',
'*-HCP_total.txt'));
Results = zeros(size(HCP_total_files),6);
Output = ['F:\THESIS\MANUAL_FILTERED_HCP\HCP_ZONE_ANALYSIS.txt'];
fid = fopen(Output, 'w');
formatSpec = '%s\t %f\t %f\t %f\t %f\t %f\t %f\n';
for a = 1:size(HCP_total_files)
    currentFile = HCP_total_files(a).name;
[pathstr, nameWOext, ext] = fileparts(currentFile);
disp (nameWOext);
    short_name = strrep(nameWOext, '-HCP_total', '');
% short_nameWext = [short_name '.tif'];
    HCP = dlmread(['F:\THESIS\MANUAL_FILTERED_HCP\' short_name
'-HCP_total.txt']);
    XYT = dlmread(['F:\THESIS\MANUAL_FILTERED_HCP\' short_name
'nist.xyt']);
    rect_info = dlmread(['F:\THESIS\MANUAL_FILTERED_HCP\'
short_name 'myfv2002datab.txt'], '\t', 0, 1);
%HCP = dlmread('F:\THESIS\MANUAL_FILTERED_HCP\94_7-HCP_total.txt');
%XYT = dlmread('F:\THESIS\MANUAL_FILTERED_HCP\94_7nist.xyt');
%display(HCP);
    [row, col] = size (HCP);
    [row_xyt, col_xyt] = size (XYT);
    regression = zeros(row, 5); %columns is 5 for x, y, xy, x^2, y^2
    regression(:,1) = HCP(:,1);
    regression(:,2) = HCP(:,2)*(-1);
%multiply by -1 to adjust for the fact we are really
%working in quadrant 4, y's increase as you go down the axis
    for i = 1:row
        regression(i,3) = HCP(i,1) * HCP(i,2) * (-1);
        regression(i,4) = HCP(i,1) * HCP(i,1);
        regression(i,5) = HCP(i,2) * HCP(i,2);
    end
    %display(regression);
```

```
    sums = sum(regression);
    y_intercept = ((sums(2)*sums(4)) - (sums(1)*sums(3))) /
((row*sums(4)) - (sums(1)*sums(1)));
    slope = ((row*sums(3)) - (sums(1)*sums(2))) /
((row*sums(4)) - (sums(1)*sums(1)));
    [hcp_bottom_y, hcp_bottom_y_index] = max(HCP(:,2));
    [hcp_top_y, hcp_top_y_index] = min(HCP(:,2));
    hcp_bottom_x = HCP(hcp_bottom_y_index,1);
    hcp_top_x = HCP(hcp_top_y_index,1);
    hcp_length = sqrt( (hcp_bottom_x-hcp_top_x)*
(hcp_bottom_x-hcp_top_x) +
( (hcp_bottom_y-hcp_top_y)*(hcp_bottom_y-hcp_top_y) ) );
    rect_width = . 25 * hcp_length;
    rect_length = . 25 * hcp_length;
    inside_hcp_zone = 0;
    for j = 1:row_xyt
        y = XYT(j,2);
        x = XYT(j,1);
        if ( y >= -1 * ((-1/slope) * (x-hcp_top_x) - hcp_top_y) )
%subtracting y value to account for working in the 4th quadrant
        if ( y <= -1 * ((-1/slope) * (x-hcp_bottom_x) - hcp_bottom_y - rect_length)
                        if ( slope >= 0 )
                        if ( y >= -1 * ((slope) * (x+rect_width) +
y_intercept) )
                            if ( y <= -1 * ((slope) * (x-rect_width) +
y_intercept) )
                            inside_hcp_zone = inside_hcp_zone + 1;
                                end
                end
        else
            if ( y <= -1 * ((slope) * (x+rect_width) +
y_intercept) )
                                    if ( y >= -1 * ((slope) * (x-rect_width)
+ y_intercept) )
                                    inside_hcp_zone = inside_hcp_zone + 1;
                                end
                end
            end
        end
        end
    end
    percent_min_in_zone = inside_hcp_zone / row_xyt * 100;
```

```
    Results(a,1) = percent_min_in_zone;
    Results(a,3) = 100 - percent_min_in_zone;
    Results(a,6) = row_xyt;
    vertex1_x = ( (-rect_width*slope*slope) - (slope*y_intercept) -
(slope*hcp_top_y) + hcp_top_x ) / ( 1+slope*slope );
    vertex2_x = ( (-rect_width*slope*slope) - (slope*y_intercept)
- (slope*hcp_bottom_y) - (slope*rect_length) + hcp_bottom_x ) /
( 1+slope*slope );
    vertex3_x = ( (rect_width*slope*slope) - (slope*y_intercept)
- (slope*hcp_top_y) + hcp_top_x ) / ( 1+slope*slope );
    vertex4_x = ( (rect_width*slope*slope) - (slope*y_intercept)
- (slope*hcp_bottom_y) - (slope*rect_length) +
hcp_bottom_x ) / ( 1+slope*slope );
    x1 = rect_info(1);
    x2 = rect_info(3);
    y1 = rect_info(2);
    y2 = rect_info(4);
    length = rect_info(5);
    width = rect_info(6);
    rect_area = length * width;
    %zone area
    vertex1_y = -1 * ((-1/slope) * (vertex1_x-hcp_top_x) -
hcp_top_y);
    vertex2_y = -1 * ((-1/slope) * (vertex2_x-hcp_bottom_x)
- hcp_bottom_y - rect_length);
    vertex3_y = -1 * ((-1/slope) * (vertex3_x-hcp_top_x)
- hcp_top_y);
    vertex4_y = -1 * ((-1/slope) * (vertex4_x-hcp_bottom_x)
- hcp_bottom_y - rect_length);
    %Need to adjust coords for polyarea if top perpendicular
% hits the top of the red rectangle. This appears to
% be the only potential problem case.
    if ( vertex1_y < y1 )
    zone_x1 = (-y1+hcp_top_y)*(-slope)+hcp_top_x;
    zone_y1 = -1 * ( slope*(x1+rect_width) + y_intercept );
    zone_x = [ zone_x1 x1 vertex2_x vertex4_x vertex3_x ];
    zone_y = [ y1 zone_y1 vertex2_y vertex4_y vertex3_y ];
elseif ( vertex3_y < y1 )
    zone_x2 = (-y1+hcp_top_y)*(-slope)+hcp_top_x;
    zone_y2 = -1 * ( slope*(x2-rect_width) + y_intercept );
    zone_x = [ zone_x2 vertex1_x vertex2_x vertex4_x x2 ];
    zone_y = [ y1 vertex1_y vertex2_y vertex4_y zone_y2 ];
    else
    zone_x = [ vertex1_x vertex2_x vertex4_x vertex3_x ];
    zone_y = [ vertex1_y vertex2_y vertex4_y vertex3_y ];
```

end
area $=$ polyarea(zone_x,zone_y);
percent_area_in_zone = area / rect_area * 100;
Results(a,2) = percent_area_in_zone;
Results(a,4) = 100 - percent_area_in_zone; \%area within HCP zone
Results(a,5) = hcp_length;
fprintf(fid, formatSpec, short_name, Results(a,:));
end
fclose (fid);
\%dlmwrite(Output, Results);

Appendix C

Data Tables

Table C.1: $10 \%$ by $125 \%$ HCP Zone Rectangle Data

| Image | \% area in <br> HCP zone | Total minutia | $o$ | $e$ | $o-e$ | $\frac{(o-e)^{2}}{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 26_8 | 16.268 | 57 | 6 | 9.3 | -3.3 | 1.155 |
| 83.7 | 6.951 | 61 | 2 | 4.2 | -2.2 | 1.184 |
| 17_8 | 2.941 | 52 | 0 | 1.5 | -1.5 | 1.529 |
| 70_1 | 2.143 | 61 | 0 | 1.3 | -1.3 | 1.308 |
| 32_2 | 3.970 | 56 | 1 | 2.2 | -1.2 | 0.673 |
| 95_1 | 1.576 | 75 | 0 | 1.2 | -1.2 | 1.182 |
| 53_2 | 5.454 | 58 | 2 | 3.2 | -1.2 | 0.428 |
| 40_2 | 2.469 | 43 | 0 | 1.1 | -1.1 | 1.062 |
| 8_1 | 2.408 | 84 | 1 | 2.0 | -1.0 | 0.517 |
| 31_8 | 1.589 | 64 | 0 | 1.0 | -1.0 | 1.017 |
| 19_7 | 1.572 | 62 | 0 | 1.0 | -1.0 | 0.975 |
| 31_1 | 1.689 | 56 | 0 | 0.9 | -0.9 | 0.946 |
| 22_2 | 3.682 | 52 | 1 | 1.9 | -0.9 | 0.437 |
| 72_2 | 3.543 | 54 | 1 | 1.9 | -0.9 | 0.436 |
| 93_8 | 1.419 | 64 | 0 | 0.9 | -0.9 | 0.908 |
| 19_8 | 1.190 | 61 | 0 | 0.7 | -0.7 | 0.726 |
| 32_8 | 1.873 | 37 | 0 | 0.7 | -0.7 | 0.693 |
| 23_2 | 0.886 | 75 | 0 | 0.7 | -0.7 | 0.664 |
| 80_8 | 1.507 | 43 | 0 | 0.6 | -0.6 | 0.648 |
| 40_7 | 1.448 | 43 | 0 | 0.6 | -0.6 | 0.623 |
| 3_1 | 3.011 | 53 | 1 | 1.6 | -0.6 | 0.222 |
| 51_8 | 1.217 | 47 | 0 | 0.6 | -0.6 | 0.572 |
| 48_1 | 1.221 | 44 | 0 | 0.5 | -0.5 | 0.537 |
| 25_8 | 2.720 | 56 | 1 | 1.5 | -0.5 | 0.180 |
| 97_2 | 1.023 | 51 | 0 | 0.5 | -0.5 | 0.522 |
| 12_2 | 1.337 | 37 | 0 | 0.5 | -0.5 | 0.495 |
| 74_7 | 0.637 | 75 | 0 | 0.5 | -0.5 | 0.478 |
| 74_1 | 0.725 | 58 | 0 | 0.4 | -0.4 | 0.420 |
| 13_1 | 0.995 | 41 | 0 | 0.4 | -0.4 | 0.408 |
| 13_7 | 1.156 | 35 | 0 | 0.4 | -0.4 | 0.405 |
| 48_2 | 2.366 | 58 | 1 | 1.4 | -0.4 | 0.101 |
| 72_7 | 2.104 | 65 | 1 | 1.4 | -0.4 | 0.099 |
| 30_1 | 1.799 | 76 | 1 | 1.4 | -0.4 | 0.099 |
| 71_2 | 2.466 | 54 | 1 | 1.3 | -0.3 | 0.083 |
| 72_1 | 2.013 | 64 | 1 | 1.3 | -0.3 | 0.065 |
| 40_1 | 2.392 | 53 | 1 | 1.3 | -0.3 | 0.057 |
| 77_1 | 2.308 | 54 | 1 | 1.2 | -0.2 | 0.049 |
| 20_7 | 4.945 | 65 | 3 | 3.2 | -0.2 | 0.014 |
| 63_2 | 1.814 | 66 | 1 | 1.2 | -0.2 | 0.032 |
| 70_2 | 2.008 | 59 | 1 | 1.2 | -0.2 | 0.029 |
| $1 \_2$ | 0.404 | 42 | 0 | 0.2 | -0.2 | 0.170 |
| 21_1 | 2.031 | 57 | 1 | 1.2 | -0.2 | 0.021 |
| 9_8 | 2.721 | 42 | 1 | 1.1 | -0.1 | 0.018 |
| 11.1 | 2.038 | 56 | 1 | 1.1 | -0.1 | 0.018 |
| 12.7 | 0.228 | 59 | 0 | 0.1 | -0.1 | 0.135 |

Table C.2: $10 \%$ by $125 \%$ HCP Zone Rectangle Data

| Image | $\%$ area in HCP zone | Total minutia | $o$ | $e$ | $o-e$ | $\frac{(o-e)^{2}}{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 9_2 | 2.623 | 43 | 1 | 1.1 | -0.1 | 0.014 |
| 23_1 | 0.151 | 73 | 0 | 0.1 | -0.1 | 0.110 |
| 17_2 | 3.473 | 60 | 2 | 2.1 | -0.1 | 0.003 |
| 33_2 | 1.602 | 65 | 1 | 1.0 | 0.0 | 0.002 |
| 89_1 | 0.046 | 65 | 0 | 0.0 | 0.0 | 0.030 |
| 12_8 | 0.056 | 47 | 0 | 0.0 | 0.0 | 0.026 |
| 53_1 | 6.384 | 63 | 4 | 4.0 | 0.0 | 0.000 |
| 59.8 | 1.899 | 52 | 1 | 1.0 | 0.0 | 0.000 |
| 95.8 | 2.741 | 72 | 2 | 2.0 | 0.0 | 0.000 |
| 30_2 | 1.376 | 70 | 1 | 1.0 | 0.0 | 0.001 |
| 7_1 | 2.427 | 39 | 1 | 0.9 | 0.1 | 0.003 |
| 3_2 | 1.868 | 50 | 1 | 0.9 | 0.1 | 0.005 |
| 57.2 | 1.824 | 51 | 1 | 0.9 | 0.1 | 0.005 |
| 79_2 | 1.707 | 54 | 1 | 0.9 | 0.1 | 0.007 |
| 59_1 | 2.007 | 45 | 1 | 0.9 | 0.1 | 0.010 |
| 31.7 | 1.433 | 62 | 1 | 0.9 | 0.1 | 0.014 |
| 6_1 | 7.213 | 26 | 2 | 1.9 | 0.1 | 0.008 |
| 7_2 | 2.186 | 40 | 1 | 0.9 | 0.1 | 0.018 |
| 10_1 | 1.873 | 46 | 1 | 0.9 | 0.1 | 0.022 |
| 31.2 | 1.533 | 47 | 1 | 0.7 | 0.3 | 0.108 |
| 72_8 | 2.862 | 60 | 2 | 1.7 | 0.3 | 0.047 |
| 60_2 | 1.291 | 54 | 1 | 0.7 | 0.3 | 0.132 |
| 58_8 | 1.792 | 38 | 1 | 0.7 | 0.3 | 0.150 |
| 20_2 | 8.718 | 53 | 5 | 4.6 | 0.4 | 0.031 |
| 35.7 | 1.495 | 39 | 1 | 0.6 | 0.4 | 0.298 |
| 3-7 | 2.805 | 55 | 2 | 1.5 | 0.5 | 0.135 |
| 6_2 | 1.370 | 38 | 1 | 0.5 | 0.5 | 0.442 |
| 32_1 | 3.025 | 50 | 2 | 1.5 | 0.5 | 0.157 |
| 83_1 | 6.446 | 70 | 5 | 4.5 | 0.5 | 0.053 |
| 7-7 | 1.337 | 35 | 1 | 0.5 | 0.5 | 0.605 |
| 20_1 | 7.167 | 62 | 5 | 4.4 | 0.6 | 0.070 |
| 13_2 | 0.911 | 48 | 1 | 0.4 | 0.6 | 0.725 |
| 37-8 | 2.936 | 48 | 2 | 1.4 | 0.6 | 0.247 |
| 35_2 | 0.614 | 65 | 1 | 0.4 | 0.6 | 0.903 |
| 53_7 | 8.443 | 52 | 5 | 4.4 | 0.6 | 0.085 |
| 9_1 | 2.902 | 46 | 2 | 1.3 | 0.7 | 0.332 |
| 76_2 | 1.892 | 70 | 2 | 1.3 | 0.7 | 0.344 |
| 4 -7 | 0.952 | 30 | 1 | 0.3 | 0.7 | 1.787 |
| 37_2 | 2.191 | 56 | 2 | 1.2 | 0.8 | 0.487 |
| 69_1 | 1.792 | 68 | 2 | 1.2 | 0.8 | 0.501 |
| 90_2 | 1.838 | 66 | 2 | 1.2 | 0.8 | 0.510 |
| 22.7 | 0.447 | 42 | 1 | 0.2 | 0.8 | 3.515 |
| 33_1 | 1.971 | 60 | 2 | 1.2 | 0.8 | 0.565 |
| 55_1 | 1.689 | 70 | 2 | 1.2 | 0.8 | 0.566 |
| 19_2 | 2.131 | 55 | 2 | 1.2 | 0.8 | 0.585 |

Table C.3: $10 \%$ by $125 \%$ HCP Zone Rectangle Data

| Image | \% area in HCP zone | Total minutia | $o$ | $e$ | $o-e$ | $\frac{(o-e)^{2}}{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 80_1 | 2.533 | 45 | 2 | 1.1 | 0.9 | 0.649 |
| 46.1 | 3.107 | 68 | 3 | 2.1 | 0.9 | 0.373 |
| 99_7 | 1.549 | 69 | 2 | 1.1 | 0.9 | 0.812 |
| 21_2 | 1.846 | 51 | 2 | 0.9 | 1.1 | 1.191 |
| 22_1 | 4.667 | 41 | 3 | 1.9 | 1.1 | 0.617 |
| 61.7 | 1.644 | 55 | 2 | 0.9 | 1.1 | 1.328 |
| 82_2 | 3.618 | 78 | 4 | 2.8 | 1.2 | 0.492 |
| 53.8 | 5.814 | 48 | 4 | 2.8 | 1.2 | 0.524 |
| 8_2 | 2.267 | 76 | 3 | 1.7 | 1.3 | 0.947 |
| 80_2 | 1.695 | 42 | 2 | 0.7 | 1.3 | 2.331 |
| $50 \_7$ | 1.360 | 52 | 2 | 0.7 | 1.3 | 2.363 |
| 90_8 | 2.969 | 57 | 3 | 1.7 | 1.3 | 1.011 |
| 51.1 | 2.147 | 77 | 3 | 1.7 | 1.3 | 1.097 |
| 76_1 | 2.756 | 59 | 3 | 1.6 | 1.4 | 1.161 |
| 2_7 | 1.707 | 35 | 2 | 0.6 | 1.4 | 3.291 |
| 90_1 | 2.325 | 68 | 3 | 1.6 | 1.4 | 1.273 |
| 26_1 | 6.526 | 70 | 6 | 4.6 | 1.4 | 0.449 |
| 41_2 | 2.358 | 66 | 3 | 1.6 | 1.4 | 1.340 |
| 95_2 | 3.125 | 49 | 3 | 1.5 | 1.5 | 1.409 |
| 75_1 | 3.408 | 74 | 4 | 2.5 | 1.5 | 0.867 |
| 86_2 | 2.211 | 68 | 3 | 1.5 | 1.5 | 1.490 |
| 74_2 | 0.701 | 61 | 2 | 0.4 | 1.6 | 5.785 |
| $61 \_2$ | 1.980 | 71 | 3 | 1.4 | 1.6 | 1.807 |
| 10_8 | 3.342 | 41 | 3 | 1.4 | 1.6 | 1.939 |
| 29_1 | 3.225 | 42 | 3 | 1.4 | 1.6 | 2.000 |
| 97_1 | 3.485 | 67 | 4 | 2.3 | 1.7 | 1.188 |
| 66_2 | 2.311 | 57 | 3 | 1.3 | 1.7 | 2.150 |
| 17_1 | 4.121 | 56 | 4 | 2.3 | 1.7 | 1.241 |
| 44_1 | 2.385 | 54 | 3 | 1.3 | 1.7 | 2.276 |
| 75_2 | 3.441 | 66 | 4 | 2.3 | 1.7 | 1.317 |
| 42_2 | 5.528 | 59 | 5 | 3.3 | 1.7 | 0.926 |
| 3_8 | 2.927 | 43 | 3 | 1.3 | 1.7 | 2.410 |
| 44_2 | 2.318 | 51 | 3 | 1.2 | 1.8 | 2.794 |
| 42_1 | 4.955 | 64 | 5 | 3.2 | 1.8 | 1.054 |
| 36_1 | 3.568 | 58 | 4 | 2.1 | 1.9 | 1.800 |
| 50_2 | 2.364 | 45 | 3 | 1.1 | 1.9 | 3.523 |
| 63_1 | 2.279 | 45 | 3 | 1.0 | 2.0 | 3.802 |
| 28_7 | 2.888 | 70 | 4 | 2.0 | 2.0 | 1.936 |
| 29_7 | 4.521 | 44 | 4 | 2.0 | 2.0 | 2.032 |
| 2_2 | 2.438 | 40 | 3 | 1.0 | 2.0 | 4.205 |
| $64 \_2$ | 1.649 | 58 | 3 | 1.0 | 2.0 | 4.367 |
| 49_1 | 1.359 | 70 | 3 | 1.0 | 2.0 | 4.412 |
| 16_7 | 2.516 | 34 | 3 | 0.9 | 2.1 | 5.376 |
| 4_2 | 6.887 | 41 | 5 | 2.8 | 2.2 | 1.677 |
| 5_8 | 9.295 | 41 | 6 | 3.8 | 2.2 | 1.258 |

Table C.4: $10 \%$ by $125 \%$ HCP Zone Rectangle Data

| Image | \% area in <br> HCP zone | Total minutia | $o$ | $e$ | $o-e$ | $\frac{(o-e)^{2}}{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 38_7 | 4.018 | 45 | 4 | 1.8 | 2.2 | 2.657 |
| 10_2 | 5.395 | 51 | 5 | 2.8 | 2.2 | 1.838 |
| 19_1 | 1.147 | 65 | 3 | 0.7 | 2.3 | 6.820 |
| 28_1 | 2.779 | 59 | 4 | 1.6 | 2.4 | 3.397 |
| 5-7 | 1.709 | 36 | 3 | 0.6 | 2.4 | 9.240 |
| 25_2 | 4.384 | 58 | 5 | 2.5 | 2.5 | 2.375 |
| 82_8 | 5.446 | 65 | 6 | 3.5 | 2.5 | 1.709 |
| 24_2 | 1.882 | 76 | 4 | 1.4 | 2.6 | 4.618 |
| 84_2 | 3.148 | 76 | 5 | 2.4 | 2.6 | 2.843 |
| 16_2 | 2.581 | 53 | 4 | 1.4 | 2.6 | 5.065 |
| 10_7 | 3.372 | 40 | 4 | 1.3 | 2.7 | 5.212 |
| 46_2 | 2.099 | 64 | 4 | 1.3 | 2.7 | 5.253 |
| 29_8 | 5.441 | 43 | 5 | 2.3 | 2.7 | 3.025 |
| 78_1 | 1.640 | 81 | 4 | 1.3 | 2.7 | 5.375 |
| 34.8 | 2.692 | 49 | 4 | 1.3 | 2.7 | 5.451 |
| 22.8 | 3.340 | 39 | 4 | 1.3 | 2.7 | 5.585 |
| 86_7 | 1.652 | 78 | 4 | 1.3 | 2.7 | 5.704 |
| 76_7 | 2.918 | 78 | 5 | 2.3 | 2.7 | 3.260 |
| 93_7 | 2.704 | 82 | 5 | 2.2 | 2.8 | 3.493 |
| 79_7 | 1.874 | 59 | 4 | 1.1 | 2.9 | 7.580 |
| 84_1 | 4.428 | 70 | 6 | 3.1 | 2.9 | 2.714 |
| 34_7 | 2.141 | 50 | 4 | 1.1 | 2.9 | 8.019 |
| 43_1 | 2.456 | 84 | 5 | 2.1 | 2.9 | 4.180 |
| 28_2 | 1.954 | 54 | 4 | 1.1 | 2.9 | 8.216 |
| 35_1 | 1.855 | 56 | 4 | 1.0 | 3.0 | 8.442 |
| 41.7 | 1.593 | 65 | 4 | 1.0 | 3.0 | 8.485 |
| 24_1 | 2.454 | 81 | 5 | 2.0 | 3.0 | 4.563 |
| 14_2 | 3.105 | 62 | 5 | 1.9 | 3.1 | 4.912 |
| 25_1 | 3.477 | 55 | 5 | 1.9 | 3.1 | 4.984 |
| 5_1 | 2.146 | 41 | 4 | 0.9 | 3.1 | 11.061 |
| 5_2 | 1.921 | 38 | 4 | 0.7 | 3.3 | 14.645 |
| 83_2 | 6.189 | 58 | 7 | 3.6 | 3.4 | 3.240 |
| 100_1 | 2.205 | 69 | 5 | 1.5 | 3.5 | 7.953 |
| 86_1 | 2.013 | 72 | 5 | 1.4 | 3.6 | 8.700 |
| 15_2 | 1.964 | 60 | 5 | 1.2 | 3.8 | 12.392 |
| 94.7 | 5.074 | 62 | 7 | 3.1 | 3.9 | 4.722 |
| 45_2 | 3.862 | 55 | 6 | 2.1 | 3.9 | 7.072 |
| 64.1 | 2.147 | 52 | 5 | 1.1 | 3.9 | 13.513 |
| 41_1 | 1.807 | 61 | 5 | 1.1 | 3.9 | 13.788 |
| 4_8 | 4.778 | 43 | 6 | 2.1 | 3.9 | 7.577 |
| 54_1 | 3.300 | 59 | 6 | 1.9 | 4.1 | 8.439 |
| 44_7 | 3.612 | 51 | 6 | 1.8 | 4.2 | 9.384 |
| 58_2 | 3.781 | 45 | 6 | 1.7 | 4.3 | 10.859 |
| 82.7 | 4.040 | 64 | 7 | 2.6 | 4.4 | 7.537 |
| 11_2 | 2.536 | 62 | 6 | 1.6 | 4.4 | 12.465 |

Table C.5: $10 \%$ by $125 \%$ HCP Zone Rectangle Data

| Image | \% area in <br> HCP zone | Total <br> minutia | $o$ | $e$ | $o-e$ | $\frac{(o-e)^{2}}{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $75 \_7$ | 3.218 | 77 | 7 | 2.5 | 4.5 | 8.254 |
| $58 \_7$ | 2.767 | 51 | 6 | 1.4 | 4.6 | 14.923 |
| $81 \_2$ | 3.767 | 61 | 7 | 2.3 | 4.7 | 9.622 |
| $82 \_1$ | 3.195 | 70 | 7 | 2.2 | 4.8 | 10.143 |
| $34 \_1$ | 3.526 | 59 | 7 | 2.1 | 4.9 | 11.634 |
| $81 \_1$ | 4.953 | 61 | 8 | 3.0 | 5.0 | 8.205 |
| $56 \_2$ | 3.501 | 56 | 7 | 2.0 | 5.0 | 12.955 |
| $38 \_1$ | 3.364 | 49 | 7 | 1.6 | 5.4 | 17.378 |
| $34 \_2$ | 4.269 | 60 | 8 | 2.6 | 5.4 | 11.545 |
| $81 \_7$ | 3.358 | 65 | 8 | 2.2 | 5.8 | 15.503 |
| $52 \_1$ | 4.292 | 72 | 9 | 3.1 | 5.9 | 11.304 |
| $52 \_7$ | 4.121 | 70 | 9 | 2.9 | 6.1 | 12.966 |
| $91 \_7$ | 7.300 | 51 | 10 | 3.7 | 6.3 | 10.584 |
| $4 \_1$ | 7.901 | 47 | 10 | 3.7 | 6.3 | 10.642 |
| 36_2 | 2.542 | 52 | 8 | 1.3 | 6.7 | 33.743 |
| 81_8 | 4.057 | 55 | 9 | 2.2 | 6.8 | 20.532 |
| 52_8 | 4.951 | 73 | 11 | 3.6 | 7.4 | 15.093 |
| 52_2 | 4.342 | 73 | 11 | 3.2 | 7.8 | 19.343 |
| 14_1 | 6.537 | 58 | 12 | 3.8 | 8.2 | 17.770 |
| 99_1 | 3.251 | 91 | 12 | 3.0 | 9.0 | 27.626 |

Table C.6: $25 \%$ by $125 \%$ HCP Zone Rectangle Data

| Image | \% area in HCP zone | Total minutia | $o$ | $e$ | $o-e$ | $\frac{(o-e)^{2}}{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 32_2 | 9.924 | 56 | 3 | 5.6 | -2.6 | 1.177 |
| 72_7 | 5.259 | 65 | 1 | 3.4 | -2.4 | 1.711 |
| 30_1 | 4.497 | 76 | 1 | 3.4 | -2.4 | 1.710 |
| 26_8 | 40.670 | 57 | 21 | 23.2 | -2.2 | 0.205 |
| 72_2 | 8.858 | 54 | 3 | 4.8 | -1.8 | 0.665 |
| 17.1 | 10.303 | 56 | 4 | 5.8 | -1.8 | 0.543 |
| 32_8 | 4.682 | 37 | 0 | 1.7 | -1.7 | 1.732 |
| 6_1 | 18.032 | 26 | 3 | 4.7 | -1.7 | 0.608 |
| 71_2 | 6.165 | 54 | 2 | 3.3 | -1.3 | 0.531 |
| 12_2 | 3.342 | 37 | 0 | 1.2 | -1.2 | 1.236 |
| 13_7 | 2.890 | 35 | 0 | 1.0 | -1.0 | 1.012 |
| 95_1 | 3.939 | 75 | 2 | 3.0 | -1.0 | 0.308 |
| $9 \_8$ | 6.802 | 42 | 2 | 2.9 | -0.9 | 0.257 |
| 17.8 | 7.353 | 52 | 3 | 3.8 | -0.8 | 0.177 |
| 22.2 | 9.204 | 52 | 4 | 4.8 | -0.8 | 0.129 |
| 32_1 | 7.563 | 50 | 3 | 3.8 | -0.8 | 0.162 |
| 80_8 | 3.767 | 43 | 1 | 1.6 | -0.6 | 0.237 |
| 33_2 | 4.005 | 65 | 2 | 2.6 | -0.6 | 0.140 |
| 83_7 | 17.378 | 61 | 10 | 10.6 | -0.6 | 0.034 |
| 31.8 | 3.973 | 64 | 2 | 2.5 | -0.5 | 0.116 |
| 59_8 | 4.746 | 52 | 2 | 2.5 | -0.5 | 0.089 |
| 19_7 | 3.929 | 62 | 2 | 2.4 | -0.4 | 0.078 |
| 51_8 | 3.041 | 47 | 1 | 1.4 | -0.4 | 0.129 |
| 48_1 | 3.053 | 44 | 1 | 1.3 | -0.3 | 0.088 |
| 12_7 | 0.571 | 59 | 0 | 0.3 | -0.3 | 0.337 |
| 97_2 | 2.558 | 51 | 1 | 1.3 | -0.3 | 0.071 |
| 75_1 | 8.519 | 74 | 6 | 6.3 | -0.3 | 0.015 |
| 6_2 | 3.424 | 38 | 1 | 1.3 | -0.3 | 0.070 |
| 83_1 | 16.115 | 70 | 11 | 11.3 | -0.3 | 0.007 |
| 23_1 | 0.378 | 73 | 0 | 0.3 | -0.3 | 0.276 |
| 93_8 | 3.548 | 64 | 2 | 2.3 | -0.3 | 0.032 |
| 70_1 | 5.359 | 61 | 3 | 3.3 | -0.3 | 0.022 |
| 72_1 | 5.034 | 64 | 3 | 3.2 | -0.2 | 0.015 |
| 17_2 | 8.683 | 60 | 5 | 5.2 | -0.2 | 0.008 |
| 7_2 | 5.466 | 40 | 2 | 2.2 | -0.2 | 0.016 |
| 36_1 | 8.921 | 58 | 5 | 5.2 | -0.2 | 0.006 |
| 7-7 | 3.342 | 35 | 1 | 1.2 | -0.2 | 0.025 |
| 10_1 | 4.682 | 46 | 2 | 2.2 | -0.2 | 0.011 |
| 77_1 | 5.770 | 54 | 3 | 3.1 | -0.1 | 0.004 |
| 89_1 | 0.115 | 65 | 0 | 0.1 | -0.1 | 0.074 |
| 12.8 | 0.140 | 47 | 0 | 0.1 | -0.1 | 0.066 |
| 90_2 | 4.596 | 66 | 3 | 3.0 | 0.0 | 0.000 |
| 35_2 | 1.536 | 65 | 1 | 1.0 | 0.0 | 0.000 |
| 63_2 | 4.535 | 66 | 3 | 3.0 | 0.0 | 0.000 |
| 3-1 | 7.527 | 53 | 4 | 4.0 | 0.0 | 0.000 |

Table C.7: $25 \%$ by $125 \%$ HCP Zone Rectangle Data

| Image | \% area in <br> HCP zone | $\begin{gathered} \text { Total } \\ \text { minutia } \end{gathered}$ | $o$ | $e$ | $o-e$ | $\frac{(o-e)^{2}}{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 70_2 | 5.020 | 59 | 3 | 3.0 | 0.0 | 0.000 |
| 55_1 | 4.222 | 70 | 3 | 3.0 | 0.0 | 0.001 |
| 4-7 | 2.380 | 30 | 1 | 0.7 | 0.3 | 0.115 |
| 75_2 | 8.601 | 66 | 6 | 5.7 | 0.3 | 0.018 |
| 23_2 | 2.215 | 75 | 2 | 1.7 | 0.3 | 0.069 |
| 40_2 | 6.172 | 43 | 3 | 2.7 | 0.3 | 0.045 |
| 40_7 | 3.621 | 43 | 2 | 1.6 | 0.4 | 0.126 |
| 38_7 | 10.045 | 45 | 5 | 4.5 | 0.5 | 0.051 |
| 22.7 | 1.117 | 42 | 1 | 0.5 | 0.5 | 0.600 |
| 35_7 | 3.738 | 39 | 2 | 1.5 | 0.5 | 0.202 |
| 10_8 | 8.354 | 41 | 4 | 3.4 | 0.6 | 0.097 |
| $1 \_2$ | 1.010 | 42 | 1 | 0.4 | 0.6 | 0.781 |
| 30_2 | 3.440 | 70 | 3 | 2.4 | 0.6 | 0.146 |
| 7-1 | 6.067 | 39 | 3 | 2.4 | 0.6 | 0.170 |
| 21_2 | 4.614 | 51 | 3 | 2.4 | 0.6 | 0.178 |
| 9 -1 | 7.254 | 46 | 4 | 3.3 | 0.7 | 0.132 |
| 34.8 | 6.729 | 49 | 4 | 3.3 | 0.7 | 0.150 |
| 61.7 | 4.110 | 55 | 3 | 2.3 | 0.7 | 0.242 |
| 59_1 | 5.017 | 45 | 3 | 2.3 | 0.7 | 0.244 |
| 40_1 | 5.980 | 53 | 4 | 3.2 | 0.8 | 0.218 |
| 13_2 | 2.276 | 48 | 2 | 1.1 | 0.9 | 0.754 |
| 74_2 | 1.752 | 61 | 2 | 1.1 | 0.9 | 0.812 |
| 37-2 | 5.477 | 56 | 4 | 3.1 | 0.9 | 0.284 |
| 8_1 | 6.020 | 84 | 6 | 5.1 | 0.9 | 0.176 |
| 82_2 | 9.045 | 78 | 8 | 7.1 | 0.9 | 0.127 |
| 90_1 | 5.813 | 68 | 5 | 4.0 | 1.0 | 0.277 |
| 19_1 | 2.867 | 65 | 3 | 1.9 | 1.1 | 0.693 |
| 82_8 | 13.615 | 65 | 10 | 8.9 | 1.1 | 0.149 |
| 80_1 | 6.333 | 45 | 4 | 2.8 | 1.2 | 0.464 |
| 60_2 | 3.227 | 54 | 3 | 1.7 | 1.3 | 0.907 |
| 99.7 | 3.871 | 69 | 4 | 2.7 | 1.3 | 0.661 |
| 44_7 | 9.030 | 51 | 6 | 4.6 | 1.4 | 0.422 |
| 63_1 | 5.697 | 45 | 4 | 2.6 | 1.4 | 0.805 |
| 37_8 | 7.341 | 48 | 5 | 3.5 | 1.5 | 0.619 |
| 48_2 | 5.915 | 58 | 5 | 3.4 | 1.6 | 0.718 |
| $64 \_2$ | 4.122 | 58 | 4 | 2.4 | 1.6 | 1.083 |
| 10_7 | 8.429 | 40 | 5 | 3.4 | 1.6 | 0.786 |
| 4_2 | 15.536 | 41 | 8 | 6.4 | 1.6 | 0.417 |
| 3_2 | 4.670 | 50 | 4 | 2.3 | 1.7 | 1.187 |
| 66_2 | 5.778 | 57 | 5 | 3.3 | 1.7 | 0.885 |
| 72_8 | 7.154 | 60 | 6 | 4.3 | 1.7 | 0.679 |
| 46_1 | 7.768 | 68 | 7 | 5.3 | 1.7 | 0.559 |
| 22_8 | 8.350 | 39 | 5 | 3.3 | 1.7 | 0.933 |
| 86.7 | 4.131 | 78 | 5 | 3.2 | 1.8 | 0.981 |
| 44_1 | 5.963 | 54 | 5 | 3.2 | 1.8 | 0.984 |

Table C. $8: 25 \%$ by $125 \%$ HCP Zone Rectangle Data

| Image | $\%$ area in HCP zone | Total minutia | $o$ | $e$ | $o-e$ | $\frac{(o-e)^{2}}{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 28_1 | 6.948 | 59 | 6 | 4.1 | 1.9 | 0.881 |
| 28_7 | 7.220 | 70 | 7 | 5.1 | 1.9 | 0.749 |
| 13_1 | 2.488 | 41 | 3 | 1.0 | 2.0 | 3.842 |
| 44_2 | 5.796 | 51 | 5 | 3.0 | 2.0 | 1.413 |
| 33_1 | 4.927 | 60 | 5 | 3.0 | 2.0 | 1.413 |
| 95_8 | 6.853 | 72 | 7 | 4.9 | 2.1 | 0.865 |
| 19_2 | 5.328 | 55 | 5 | 2.9 | 2.1 | 1.462 |
| 42_1 | 12.388 | 64 | 10 | 7.9 | 2.1 | 0.541 |
| 21_1 | 5.077 | 57 | 5 | 2.9 | 2.1 | 1.533 |
| 41_2 | 5.894 | 66 | 6 | 3.9 | 2.1 | 1.144 |
| 54_1 | 8.249 | 59 | 7 | 4.9 | 2.1 | 0.935 |
| 3.7 | 7.014 | 55 | 6 | 3.9 | 2.1 | 1.190 |
| 11_1 | 5.096 | 56 | 5 | 2.9 | 2.1 | 1.614 |
| 25_8 | 6.800 | 56 | 6 | 3.8 | 2.2 | 1.262 |
| 80_2 | 4.237 | 42 | 4 | 1.8 | 2.2 | 2.770 |
| 50.7 | 3.400 | 52 | 4 | 1.8 | 2.2 | 2.817 |
| 86_2 | 5.527 | 68 | 6 | 3.8 | 2.2 | 1.337 |
| 81.2 | 9.418 | 61 | 8 | 5.7 | 2.3 | 0.885 |
| 34.7 | 5.352 | 50 | 5 | 2.7 | 2.3 | 2.019 |
| 50_2 | 5.911 | 45 | 5 | 2.7 | 2.3 | 2.059 |
| 81_1 | 12.382 | 61 | 10 | 7.6 | 2.4 | 0.793 |
| 20_2 | 21.795 | 53 | 14 | 11.6 | 2.4 | 0.519 |
| 5_7 | 4.274 | 36 | 4 | 1.5 | 2.5 | 3.938 |
| 2.7 | 4.268 | 35 | 4 | 1.5 | 2.5 | 4.204 |
| $2 \_2$ | 6.094 | 40 | 5 | 2.4 | 2.6 | 2.693 |
| 16_2 | 6.452 | 53 | 6 | 3.4 | 2.6 | 1.947 |
| 31_1 | 4.223 | 56 | 5 | 2.4 | 2.6 | 2.937 |
| 78_1 | 4.099 | 81 | 6 | 3.3 | 2.7 | 2.163 |
| 76_2 | 4.731 | 70 | 6 | 3.3 | 2.7 | 2.182 |
| 79_2 | 4.269 | 54 | 5 | 2.3 | 2.7 | 3.151 |
| 31.7 | 3.584 | 62 | 5 | 2.2 | 2.8 | 3.473 |
| 74_7 | 1.593 | 75 | 4 | 1.2 | 2.8 | 6.586 |
| 75_7 | 8.044 | 77 | 9 | 6.2 | 2.8 | 1.271 |
| 3_8 | 7.317 | 43 | 6 | 3.1 | 2.9 | 2.589 |
| 74_1 | 1.812 | 58 | 4 | 1.1 | 2.9 | 8.276 |
| 83_2 | 15.472 | 58 | 12 | 9.0 | 3.0 | 1.020 |
| 24.1 | 6.136 | 81 | 8 | 5.0 | 3.0 | 1.847 |
| 42_2 | 13.345 | 59 | 11 | 7.9 | 3.1 | 1.241 |
| 95.2 | 7.812 | 49 | 7 | 3.8 | 3.2 | 2.629 |
| $9 \_2$ | 6.557 | 43 | 6 | 2.8 | 3.2 | 3.587 |
| 19_8 | 2.976 | 61 | 5 | 1.8 | 3.2 | 5.587 |
| $31 \_2$ | 3.833 | 47 | 5 | 1.8 | 3.2 | 5.679 |
| 64_1 | 5.367 | 52 | 6 | 2.8 | 3.2 | 3.691 |
| 25_1 | 8.693 | 55 | 8 | 4.8 | 3.2 | 2.167 |
| 84_1 | 11.070 | 70 | 11 | 7.7 | 3.3 | 1.364 |

Table C.9: $25 \%$ by $125 \%$ HCP Zone Rectangle Data

| Image | \% area in <br> HCP zone | Total minutia | $o$ | $e$ | $o-e$ | $\frac{(o-e)^{2}}{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 58_8 | 4.480 | 38 | 5 | 1.7 | 3.3 | 6.389 |
| 86_1 | 5.032 | 72 | 7 | 3.6 | 3.4 | 3.148 |
| 35_1 | 4.637 | 56 | 6 | 2.6 | 3.4 | 4.460 |
| 82_1 | 7.989 | 70 | 9 | 5.6 | 3.4 | 2.077 |
| 41.7 | 3.983 | 65 | 6 | 2.6 | 3.4 | 4.493 |
| 24_2 | 4.704 | 76 | 7 | 3.6 | 3.4 | 3.280 |
| 93_7 | 6.759 | 82 | 9 | 5.5 | 3.5 | 2.156 |
| 82.7 | 10.100 | 64 | 10 | 6.5 | 3.5 | 1.935 |
| 81.7 | 8.395 | 65 | 9 | 5.5 | 3.5 | 2.300 |
| 26_1 | 16.314 | 70 | 15 | 11.4 | 3.6 | 1.122 |
| $34 \_2$ | 10.674 | 60 | 10 | 6.4 | 3.6 | 2.019 |
| 29_1 | 8.062 | 42 | 7 | 3.4 | 3.6 | 3.858 |
| 46_2 | 5.248 | 64 | 7 | 3.4 | 3.6 | 3.948 |
| 25_2 | 10.959 | 58 | 10 | 6.4 | 3.6 | 2.089 |
| 57_2 | 4.560 | 51 | 6 | 2.3 | 3.7 | 5.807 |
| 4.1 | 19.753 | 47 | 13 | 9.3 | 3.7 | 1.487 |
| 58_2 | 9.453 | 45 | 8 | 4.3 | 3.7 | 3.299 |
| $90 \_8$ | 7.422 | 57 | 8 | 4.2 | 3.8 | 3.359 |
| 34_1 | 8.815 | 59 | 9 | 5.2 | 3.8 | 2.775 |
| 5_1 | 5.366 | 41 | 6 | 2.2 | 3.8 | 6.563 |
| 16_7 | 6.290 | 34 | 6 | 2.1 | 3.9 | 6.972 |
| 4_8 | 11.944 | 43 | 9 | 5.1 | 3.9 | 2.907 |
| 51_1 | 5.368 | 77 | 8 | 4.1 | 3.9 | 3.618 |
| 20_1 | 17.917 | 62 | 15 | 11.1 | 3.9 | 1.363 |
| 76_1 | 6.889 | 59 | 8 | 4.1 | 3.9 | 3.810 |
| 53_1 | 15.959 | 63 | 14 | 10.1 | 3.9 | 1.549 |
| 69_1 | 4.479 | 68 | 7 | 3.0 | 4.0 | 5.133 |
| 84_2 | 7.869 | 76 | 10 | 6.0 | 4.0 | 2.701 |
| 53.7 | 21.108 | 52 | 15 | 11.0 | 4.0 | 1.475 |
| 15_2 | 4.910 | 60 | 7 | 2.9 | 4.1 | 5.578 |
| 56_2 | 8.752 | 56 | 9 | 4.9 | 4.1 | 3.428 |
| 94.7 | 12.684 | 62 | 12 | 7.9 | 4.1 | 2.175 |
| 29_8 | 13.603 | 43 | 10 | 5.8 | 4.2 | 2.945 |
| 43_1 | 3.365 | 84 | 7 | 2.8 | 4.2 | 6.161 |
| 5_2 | 4.803 | 38 | 6 | 1.8 | 4.2 | 9.549 |
| 22_1 | 11.667 | 41 | 9 | 4.8 | 4.2 | 3.717 |
| 41_1 | 4.516 | 61 | 7 | 2.8 | 4.2 | 6.541 |
| 28_2 | 4.886 | 54 | 7 | 2.6 | 4.4 | 7.210 |
| 81_8 | 10.143 | 55 | 10 | 5.6 | 4.4 | 3.505 |
| 58_7 | 6.917 | 51 | 8 | 3.5 | 4.5 | 5.670 |
| 49_1 | 3.397 | 70 | 7 | 2.4 | 4.6 | 8.982 |
| 38_1 | 8.409 | 49 | 9 | 4.1 | 4.9 | 5.778 |
| 20.7 | 12.363 | 65 | 13 | 8.0 | 5.0 | 3.066 |
| 29_7 | 11.303 | 44 | 10 | 5.0 | 5.0 | 5.081 |
| 11_2 | 6.341 | 62 | 9 | 3.9 | 5.1 | 6.535 |

Table C.10: $25 \%$ by $125 \%$ HCP Zone Rectangle Data

| Image | $\%$ area in HCP zone | Total minutia | $o$ | $e$ | $o-e$ | $\frac{(o-e)^{2}}{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 53_2 | 13.636 | 58 | 13 | 7.9 | 5.1 | 3.278 |
| 10_2 | 13.488 | 51 | 12 | 6.9 | 5.1 | 3.813 |
| 100_1 | 5.512 | 69 | 9 | 3.8 | 5.2 | 7.099 |
| 76_7 | 7.295 | 78 | 11 | 5.7 | 5.3 | 4.954 |
| 5_8 | 23.237 | 41 | 15 | 9.5 | 5.5 | 3.144 |
| 61.2 | 4.951 | 71 | 9 | 3.5 | 5.5 | 8.559 |
| 45_2 | 9.655 | 55 | 11 | 5.3 | 5.7 | 6.096 |
| 91.7 | 18.249 | 51 | 15 | 9.3 | 5.7 | 3.482 |
| 53.8 | 14.535 | 48 | 13 | 7.0 | 6.0 | 5.200 |
| 97_1 | 8.712 | 67 | 12 | 5.8 | 6.2 | 6.508 |
| 79_7 | 4.684 | 59 | 9 | 2.8 | 6.2 | 14.074 |
| 8_2 | 5.667 | 76 | 11 | 4.3 | 6.7 | 10.400 |
| 36_2 | 6.355 | 52 | 10 | 3.3 | 6.7 | 13.567 |
| $14 \_2$ | 7.762 | 62 | 13 | 4.8 | 8.2 | 13.930 |
| 52_1 | 10.729 | 72 | 17 | 7.7 | 9.3 | 11.137 |
| 14_1 | 16.343 | 58 | 19 | 9.5 | 9.5 | 9.563 |
| 52.7 | 10.302 | 70 | 17 | 7.2 | 9.8 | 13.288 |
| 99_1 | 8.129 | 91 | 18 | 7.4 | 10.6 | 15.198 |
| 52_2 | 10.855 | 73 | 19 | 7.9 | 11.1 | 15.480 |
| 52.8 | 12.377 | 73 | 21 | 9.0 | 12.0 | 15.843 |

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