

Insights for the development of a functional fish product: drivers and barriers, acceptance, and communication of health benefits

Article

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Running head: INSIGHTS FOR NEW FUNCTIONAL FISH PRODUCTS

1	Insights for the development of a functional fish product: drivers and barriers, acceptance and
2	communication of health benefits
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29	Abstract
30	This study aims to explore consumers' acceptance of a new functional fish burger by using a
31	qualitative approach based on four focus group discussions conducted in selected major
32	Italian cities. Results show that the development of functional fish products may bypass fish
33	consumption barriers combining convenience and health benefits delivered by functional
34	ingredients. The acceptance of new functional fish products seems to be influenced positively
35	by the enrichment of functional ingredients naturally present in fish, particularly Omega-3
36	fatty acids. Consumers' acceptance of this new product is also influenced by the use of
37	different nutritional and health claims. Implications for marketers, policy makers and insights
38	for further research are discussed in the conclusions.
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40	Key words: Functional fish, Focus group, Consumer acceptance, Omega-3, Health claims
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54 Introduction and Background

The term "functional food" is used generally to communicate either that this is food 55 56 that may provide health benefits beyond those delivered by traditional nutrients or foods that 57 have potentially disease-preventing and health-promoting properties (Milner, 2000, Griffiths et al., 2009). The demand for these products is growing rapidly and a recent economic report 58 59 (Research and Markets, 2014) estimates the global market for functional foods to be around \$168 billion in 2013, while it is forecast to exceed \$300 billion by 2020. This growth is 60 61 fuelled by increasing consumer health-consciousness, particularly in Western societies, 62 technological innovation and the development of new products (Granato et al., 2010). 63 Functional foods are mostly enclosed in the industry of dairy, confectionery, soft-64 drinks, bakery and baby-food (Bigliardi & Galati, 2013), while the number of functional 65 foods included in other categories is rather low. Specifically, functional foods in the form of fish and seafood products seem to be little exploited by the food industry for two reasons. 66 67 First, the food industry has probably not yet invested enough resources on their development 68 and second, fish consumption in general is challenged by several barriers such as high market 69 prices (Birch & Lawley, 2012; Verbeke & Vackier, 2005), lack of convenience (Olsen et al., 70 2007; Rortveit & Olsen, 2009) and lack of knowledge and skills in selecting and preparing 71 fish (Brunsø et al., 2009; Carlucci et al., 2015)..

Despite these barriers, fish and seafood products may have a strong potential as functional foods for several reasons. First, fish and seafood are widely perceived by consumers as healthy foods (; Brunsø et al., 2009; Burger & Gochfeld, 2009;; Pieniak, Verbeke & Scholderer, 2010; Verbeke et al., 2005), and previous studies show that food products that are perceived by consumers as naturally healthy are more suitable carriers for functional ingredients (Annunziata & Vecchio, 2011; Ares, Giménez & Gámbaro, 2008; Bech-Larsen & Grunert, 2003; Hailu et al., 2009; Roe, Levy & Deby, 1999). Second, taste

79 matters in the choice of functional foods (Gilbert, 2000; Lyly et al., 2007; Pothoulaki & 80 Chryssochoidis, 2009; Tuorila & Cardello, 2002; Urala & Lähteenmäki, 2003), and 81 consumers are rarely willing to compromise on the taste of functional foods for their health 82 benefits (Cox, Koster & Russell, 2004; Gilbert, 2000; Verbeke, 2006). Considering that several studies show that most consumers consider fish and seafood as tasty foods and the 83 84 sensory liking of fish as one of the most important drivers of fish consumption (Birch & Lawley, 2012, 2014; Brunsø et al., 2009; Carlucci et al., 2015; Neale et al., 2012), this aspect 85 should be an advantage in the development of functional fish products. Finally, consumers' 86 87 acceptance of functional foods may depend on the specific combination of carrier and 88 functional ingredients and, in particular, perceived healthiness of functional foods is better 89 when the bioactive ingredient is naturally contained in the carrier (e.g. Calcium in the milk) 90 (Cox, Evans & Lease, 2011; Krutulyte et al., 2011; Lampila et al., 2009). Also with regard to 91 this aspect, fish and seafood seem to be ideal carriers for several functional ingredients 92 because they naturally contain many micronutrients such as Omega-3 fatty acids which are 93 very important for human nutrition (FAO/WHO, 2011). 94 However, while a number of studies have analysed consumers' attitudes towards

functional foods of various categories such as dairy, meat, bakery, beverages, etc. (Bech-95 96 Larsen & Grunert, 2003; Menrad, 2003; Siegrist, Stampfli & Kastenholz, 2008; Sirò et al., 97 2008; O'Brien et al., 2012), to the authors' knowledge, only Tudoran, Olsen & Dopico (2009) 98 have investigated consumer attitudes towards functional fish products. They explored the 99 effect of health benefit information on individuals' stated health values, attitudes towards 100 functional/enriched foods, and expectations, perceptions, and intentions to purchase a new fibre-enriched fish product. They found that for Spaniards fibre and health information in fish 101 102 products are not especially effective, and that the market feasibility of a "new fibre-enriched

fish product" may not differ significantly from the market feasibility of a simple "newprocessed fish product".

105 As a result, the present study aims to fill this gap by exploring the marketing 106 opportunity of developing a new functional fish burger that can both overcome barriers and 107 take advantage of aspects regarding fish consumption mentioned previously. In particular, the 108 study will attempt to answer the following research questions: What are consumers' reactions 109 towards functional fish burgers? What are the main factors positively and negatively affecting 110 consumers' acceptance of functional fish burgers? What are the most appealing functional ingredients that could be added to fish burgers? What are the product attributes that food 111 112 scientists and marketers should take into account when developing functional fish burgers? 113 What is the best way to communicate health benefits of functional fish burgers?

114 Fish burgers were considered a good compromise to explore consumers' acceptance of this functional food category because they may represent a convenient meal option that can 115 116 be sold on the market at competitive prices. In fact, from an industrial point of view, fish 117 burgers reduce waste because they can be produced using minced flesh (undervalued or 118 undersized fish products) less appreciated by consumers and, in addition, it is easy to incorporate a functional ingredient into this kind of product (Matak, Tahergorabi & 119 120 Jaczynski, 2015; Tahergorabi, Matak & Jaczynski, 2015). Recent studies have focused on the 121 production of fish burgers from both marine and freshwater fish (Bochi et al., 2008; Branciari 122 et al., 2016; de Quadros et al., 2015; Di Monaco et al., 2009; Hag et al., 2013; 123 Mahmoudzadeh et al., 2010; Taskaya et al., 2003). 124 This explorative study is also significant because, despite the fact that the fish industry seems to be motivated in developing this product, it is not obvious that functional 125 126 fish burgers will be well accepted by consumers for several reasons. First, previous studies 127 showed that when fish products undergo increased levels of processing, many consumers

128 seem to perceive the modifications of the characteristics of the original product as a 129 proportional loss of quality, safety, naturalness, healthiness and nutritional value (Altintzoglou et al., 2010; Altintzoglou et al., 2012; Carlucci et al., 2015; Debucquet et al., 130 131 2012; Loose, Peschel & Grebitus, 2012). Second, many consumers do not appear to be knowledgeable about the specific health and nutritional benefits of fish (Altintzoglou & 132 133 Heide, 2016; Carlucci et al., 2015; Pieniak, Verbeke & Scholderer, 2010), while high levels 134 of this knowledge seem to be necessary for the acceptance of functional foods (Ares, 135 Giménez & Gámbaro, 2008; Menrad, 2003; Wansink, Westgren & Cheney, 2005). Last but 136 not least, the communication of health benefits to consumers may not be really effective 137 because health and nutritional claims, compatibly with the constraints imposed by different 138 regulations (for example, EU Regulation No 1924/2006), may not be well understood and/or 139 not well accepted by consumers (Leathwood et al., 2007; Nocella & Kennedy, 2012). Over the next sections, we will illustrate the research design and the methodology 140

used to analyse the data. We then provide and discuss results obtained from the present
qualitative research. Finally, marketing and policy implications for product development
together with insights for further research will be discussed in the conclusions.

144

145 Methodology

146 Semi structured focus group discussion guide and recrun itment

To achieve the stated research objectives, a qualitative approach based on focus group discussions was adopted. The focus group method involves organized discussion with selected groups of individuals to gain information regarding their points of view and experiences on a specific topic of research, where each group is composed of a relatively small number of participants (Powell & Single, 1996). The main purpose of focus group discussions is to draw upon respondents' attitudes, feelings, beliefs, experiences and reactions

which are difficult to obtain by using other investigation methods such as observation, oneto-one interviewees, or questionnaire surveys (Krueger & Casey, 2014; Morgan, 1998).

The recruitment of participants was conducted by a marketing research agency that ran four focus groups in September 2013 in four major cities (Milan, Bologna, Rome and Bari) in order to capture possible differences between the geographic areas of North, central and southern Italy. Each focus group involved eight participants who were the main person responsible for food purchasing in their household and who consumed fish at least once a week.

To compare perspectives of different types of consumers, each group was
heterogeneous in terms of gender and age (as illustrated in table 1) because research
conducted on general health orientation seem to vary systematically as a function of age and
gender (Oakes, 2003; Roininen et al., 2001; Verbeke, 2005).

165

	Bari		Roma		Milano		Bologna			
	Female	Male	Female	Male	Female	Male	Female	Male	Tot	
18–34 years	1	1	1	2		3	2	1	11	
35–54 years	4	1	2	2	4		1	2	16	
55–70 years		1	1			1	1	1	5	
Tot	5	3	4	4	4	4	4	4	32	

¹⁶⁶

168 Focus group discussions were carried out on the basis a pre-tested protocol that allowed

169 researchers to collect semi-structured data (Table 2). The protocol was developed after

170 having conducted a literature review, two interviews with practitioners working in the Italian

171 fish industry, a pilot focus group and discussions between the authors.

The protocol contained four sections. The first section aimed at triggering the discussion on drivers and barriers of fish consumption in general. The second section focused on specific drivers and barriers of conventional fish burger consumption with no reference to any enrichment with functional ingredients. Participants were shown photos of conventional

¹⁶⁷

176 fish burgers and were asked to express their attitudes towards attributes of this product. The 177 third section explored participants' acceptance of fish burgers with added functional 178 ingredients that provide health benefits. Participants were asked to specify the functional 179 ingredient that they would like to fortify and discuss attitudes and motivations of their choice. 180 To help participants with this task the moderator distributed a table containing information on 181 functional ingredients that could be added to fish burgers. The selection of these functional ingredients was previously discussed with academic experts in food technology and dietetics. 182 183 The final section attempted to understand how to communicate health benefits by showing participants selected health and nutritional claims that could be used on the packaging of 184 185 functional fish burgers. Because the study was conducted in Italy, the discussion focused on 186 consumer understanding of health and nutritional claims released by EFSA (European Food 187 Security Agency) in May 2012 (Reg. No 432/2012). Participants were asked to reflect on the meaning of the various claims, to express their preferences, and to explain the reasons for 188 189 their choice.

190

191

192

Stage	Content
Introduction	
	Clarification of the focus group procedure, written informed consent, and wa up questions to introduce participants.
Drivers and barriers of fish consumption	
	Main factors affecting fish and seafood consumption positively and negative
Drivers and barriers of fish burger consumption	
	Reasons to (not) purchase and consume generic fish burgers. Influence of product attributes on participants' acceptance of generic fish bu
Acceptance of functional fish burgers	
	Attitudes toward fish burgers with added selected functional ingredients del specific health benefits.
	Motivational factors of preferred functional ingredients.
Consumer perception of selected health and nutritional claims	
	Meaning ascribed to various claims available under Regulation 1924/2006 ar
	preference for the claim that they would like to find on the product.

193 The discussion lasted approximately two hours. Each focus group took place in a

194 conference room and was video recorded with the permission of the participants. The

discussion was conducted by a moderator and an assistant who started each focus group with a brief overview of the study and with warm-up questions giving participants the opportunity to ask any questions before starting to collect information. Discussion on the identified research topics was triggered by the moderator with open-ended questions while the assistant observed participants taking notes.

200 Data Analysis

The videotapes and verbatim transcripts were coded and analysed based on a thematic analysis, a method for identifying, analysing and reporting patterns (themes) within text data (Braun & Clarke, 2006).

Qualitative data was analysed in three stages. In stage one, the voice recording of 204 205 focus group discussions were transcribed verbatim into a word document. In stage two, the 206 transcriptions were analysed using thematic analysis to obtain insights about the content of 207 discussion, to identify different themes contained in data, and to create a structured coding 208 system. To minimize subjectivity bias, transcripts were independently analysed by two coders 209 who discussed differences in coding until they agreed on a collection of 54 codes that were 210 combined into broader themes. Accordingly, a total of 29 themes were elaborated: 8 themes 211 about drivers and barriers of fish consumption, 7 themes about drivers and barriers of 212 conventional fish burger consumption, 10 themes about the acceptance of functional fish 213 burgers, and 4 themes about consumer perceptions of health and nutritional claims. In stage three, transcribed data were imported into NVIVO 10 to further reduce researchers' 214 215 subjectivity in analysing qualitative data. Results of the four focus group discussions are presented by order of themes included in the research protocol and using participants' quotes. 216 217 Furthermore, the main results are discussed at aggregate level but when substantial 218 differences among participants were found, they are marked appropriately.

219

Drivers and barriers of fish consumption	Drivers and barriers of fish burger consumption	Acceptance of functional fish burgers	Consumer perception of health and nutritional claims
1. Health beliefs	9. Convenience	16. Healthy food	26. Nutritional claim with the indication of higher content
2. Nutritional knowledge	10. Appealing to children	17. Concern about taste	 Function claim vs. reduction of disease risk claim
3. Sensory liking of fish	11. Curiosity and desire to vary	18. Truthfulness of functional foods	 Combination of short nutrition claims and full health claims
4. Price perception	 Concerns about the taste and texture of fish burgers 	19. Carrier and ingredient combinations: natural vs. artificial	 Visual aids and trustworthiness of claims on functional fish burgers
5. Lack of convenience	 Concerns about quality and safety of fish burgers 	20. Familiarity with omega 3	-
Concerns about fish consumption process	14. Impact of branding and labeling	 Usefulness of omega 3 for maintaining good health and well- being 	
7. Lack of fish availability	 Influence of product attributes 	22. Prevention vs. curative effects	
 Lack of trust in information provided by fish sellers 		23. Knowledge of omega 3 daily intake	
		 Medium-low-risk perception of cardiovascular diseases and convenience of functional fish burgers 	
		25. High-risk perception of cardiovascular diseases	

Table 3. Themes of focus group discussions.

220

221

222 Results and Discussion

223 Drivers and Barriers of Fish Consumption

Consistent with the literature (Brunsø et al., 2009; Burger & Gochfeld, 2009; Pieniak, 224 225 Verbeke & Scholderer, 2010; Verbeke et al., 2005), all respondents agreed that fish and 226 seafood are healthy foods and their consumption is useful for a balanced diet. There was also 227 a common belief that fish contributes to human health and well-being and that it is healthier 228 than meat. The perception of fish as healthy food was mainly explained by general comments such as its low fat content, its high content of healthy nutrients (proteins and vitamins), and 229 230 its high digestibility which makes fish more suitable than meat for evening meals (theme 1). 231 232 Fish is low in calories and is easily digestible, and when you eat it you

Fish is low in calories and is easily digestible, and when you eat it
feel light.

234	I eat fish because it is good for my health and rich in nutrients.
235	Fish contains vitamins and proteins which are essential for a balanced
236	diet.
237	Fish is more easily digestible than meat and good for children's growth
238	and unlike meat, hormones are not used in the production of fish.
239	
240	However, in line with past studies (Brunsø et al., 2009; Carlucci et al., 2015; Pieniak
241	et al., 2007; Pieniak, Verbeke & Scholderer, 2010), only a few participants (mainly older men
242	and women) really knew about specific nutrients contained in fish and specific health benefits
243	provided by fish consumption. Actually, participants' previous perceived health beliefs
244	regarding fish were reinforced by the wrong credence linked to the popular myth that fish
245	consumption increases intelligence and was not supported by their appropriate knowledge on
246	specific nutrients beneficial to human nutrition (theme 2).
247	Fish contains proteins Calcium is a protein.
248	Fish is good for health I do not know why, just by word of mouth.
249	Fish is brain food.
250	The head of the fish is the part of the fish which contains more vitamins.
251	
252	In line with the literature (Birch & Lawley, 2012; Carlucci et al., 2015; Brunsø et al.,
253	2009; Neale et al., 2012), the majority of respondents declared that they were attracted by the
254	sensory properties of fish because they like the taste, the texture and the aroma of fish and, in
255	general, enjoy eating fish (theme 3).
256	
257	I don't look at nutrients, taste is important for meI eat fish because I like
258	the taste.
259	Fish is one of the few foods that you can eat without dressing, it tastes
260	good plain.
261	I love fish for its good taste and its aroma of the sea.
262	

263	Conversely, high prices were perceived as a key barrier of fish consumption as
264	reported in other studies (Brunsø et al., 2009; Carlucci et al., 2015; Neale et al., 2012;
265	Verbeke & Vackier, 2005). Participants stated that fish cannot be eaten every day because is
266	a more expensive meal option than meat and seems to be a food product that is consumed
267	more by wealthier people (theme 4).
268	
269	Fish is far more expensive than meat.
270	If fish were cheaper I would eat it every day.
271	Fresh fish is very expensiveit isn't accessible to everyone
272	
273	Most participants also agreed that fish consumption, particularly fresh fish, is affected
274	negatively by the time and effort required for its preparation (Birch & Lawley, 2012; Brunsø
275	et al., 2009; Carlucci et al., 2015; Neale et al., 2012; Olsen et al., 2007). Time is also affected
276	by the short shelf-life of fish because consumers must cook fish straight away if they want
277	appreciate the organoleptic characteristics of this product (theme 5).
278	I would like to just eat fresh fish but I don't have time
279	The preparation of dishes based on fresh fish involve time and care.
280	Fresh fish is highly perishableyou have to eat it at once and cannot keep
281	it for days in the fridge.
282	
283	An additional important theme that arose within the barriers of fish consumption was
284	the lack of knowledge and skills in selecting and preparing fish (Birch & Lawley, 2012;
285	Carlucci et al., 2015; Neale et al., 2012; Pieniak et al., 2007). Specifically, younger
286	participants declared that they did not feel confident in assessing freshness of fish and
287	cooking it at home (theme 6).
288	
289	Personally, I don't know how to clean or cook fresh fishthe only fish I
290	can prepare without difficulty is sole

290 *can prepare without difficulty is sole.*

291	It's hard to know when fish is really fresh, I can't tell.
292	
293	Several participants also indicated that fresh fish is not always available in the
294	marketplace (Rortveit & Olsen, 2007; Rortveit & Olsen, 2009) (theme 7). They were also
295	concerned about the origin of fish and this was exacerbated by the lack of trust in information
296	provided by fishmongers (Claret et al, 2012; Pieniak et al., 2017) (theme 8).
297	
298	Fresh fish isn't always available.
299	When you buy fresh fish you never know what will be availableit
300	depends on the catch.
301	Where fish comes from is fundamental I don't trust what the fishmonger
302	says.
303	
304	Drivers and Barriers of Fish Burger Consumption
305	Most respondents expressed a positive attitude towards fish burgers. These
306	respondents (particularly younger participants) agreed to appreciate fish burgers mainly for
307	their convenience. They expressed the desire to save time and effort in the preparation of fish
308	and they perceived fish burgers as a quick and easy meal option, also ideal for "emergency
309	meals" (theme 9).
310	
311	It is convenient to have fish burgers in the freezer that could be used for a
312	quick and easy meal option.
313	
314	Some participants (parents of children) also said that fish burgers are an interesting
315	fish product because they are appealing for children who often dislike the taste and smell of
316	fresh fish as well as the presence of bones (Birch & Lawley, 2012; Verbeke & Vackier,
317	2005). They claimed to be continuously engaged in searching for new processed fish products
318	that their children could like (theme 10).

319 320 I buy it so we can give the children a little fish in alternative to what we 321 already eat. 322 *My* children hate the smell of fish and its bones...this could be a way to 323 convince them to eat fish. 324 325 Many respondents emphasized that they routinely like to vary the choice of food 326 products available on the market and are attracted by new food products (Kaushik & 327 Rahman, 2014; Olsen et al., 2016). They agreed that fish burgers are a particular fish product 328 that is different from others and could be eaten to break the monotony of this category of food products (theme 11). 329 330 331 Fish is a different product you could buy it now and again to ring the 332 changes. *I'd try it out of curiosity.* 333 334 However, a few respondents (older and more expert in selecting and preparing fish) 335 showed a negative attitude towards fish burgers. They stated that they never would buy fish 336 burgers because they perceived this product as being unappealing in terms of taste and 337 texture. They also emphasized the difficulty of breaking their ingrained habits of buying and 338 consuming fresh fish (Siegrist, Hartmann & Keller, 2013; Carlucci et al., 2015) because of 339 the unique reward that they get when eating this delicious and healthy product (theme 12). 340 341 I wouldn't buy it...it looks weird...it's not fish to me. 342 I'm used to eating only fresh fish...I wouldn't buy anything similar. I don't 343 think it would have neither the taste nor the nutritional properties of fish. 344 Concern for this product was also expressed by participants with positive attitudes. 345 346 They were concerned about the quality and safety attributes of fish burgers. These are credence

attributes and, considering that fish burgers are prepared with the minced flesh of fish,

347

348	participants showed a lack of trust towards the production process because they feared that it
349	could be made with waste and by-products of the fish industry (theme 13). These results
350	corroborate previous findings highlighting a on consumers' negative perception over fish
351	processing (Altintzoglou et al., 2010; Debucquet, Cornet, Adam, & Cardinal, 2012).
352	
353	I would be worried that they are made out of fish by productsmay be
354	polluted fish or fish that has gone off.
355	They might be made out of by-products like meatballsI'd be scared
356	The thing is, you can't see what you are actually eating.
357	
358	They also discussed how to overcome their concerns. They stated that branding and
359	labelling could play an important role to assure consumers in terms of quality and safety
360	(Verbeke, Vermeir & Brunsø, 2007) especially if fish burgers are produced by big food
361	companies with a long-standing brand and trust relationship with consumers in the market
362	place (theme 14).
363	
364	I'd like to see labelling with the characteristics of the fishI'd like the
365	same information on whole fish. It is the only way to stop prejudice.
366	Brand is trustbrand is synonymous with quality as it guarantees that it
367	hasn't been frozen and defrosted.
368	I'd like to see the brand of a big food company then I would feel assured
369	regarding quality.
370	
371	Concerning the most important attributes affecting the quality of fish burgers (theme
372	15), almost all respondents agreed to be extremely interested in the species of fish used and
373	they also expressed a preference for salmon and cod with the latter cited particularly for
374	children. The storage method was the second most relevant attribute considered by participants
375	who distinguished between chilled and frozen fish burgers. Most participants claimed to prefer
376	frozen fish burgers for their longer shelf-life and ready availability as "emergency meals"

377	(Birch & Lawley, 2012; Claret et al., 2012). Country of origin was another important attribute
378	valued by many participants who also agreed to prefer domestic fish for its superior quality and
379	safety compared to imported fish (especially fish from developing countries) (Carlucci et al.,
380	2015; Claret et al., 2012). Finally, most respondents highlighted that price is a key factor
381	affecting the choice of purchasing fish burgers and they agreed to be willing to purchase fish
382	burgers only if price is lower than that of fresh fish.
383	
384	I look at the type of fishI'd like it made from salmon.
385	thinking of my children I'd rather the burgers were made of cod.
386	Obviously the burgers would need to be frozen otherwise you'd have to
387	eat them asap and that would lessen conveniencethe flavour of fish, unlike
388	meat, changes after a day.
389	I'd look at the origin of the fish carefully I would obviously prefer
390	Italian or Mediterranean fishI would certainly not buy fish from China as I
391	wouldn't trust it.
392	Price is fundamentalif the price was the same as fresh fish I wouldn't
393	buy it.
394	
395	Acceptance of Functional Fish Burgers
396	Most respondents showed a positive attitude towards functional fish burgers mainly
397	because they were perceived as being healthier than generic fish burgers. In particular, older
398	women from Rome and Bologna agreed that the addition of functional ingredients providing
399	health benefits would be a good idea to enhance the quality of this product and differentiate it
400	from conventional fish burgers (theme 16).
401	
402	I like the idea that they contain some healthy ingredientsthat's a plus
403	with respect to plain fish burgers.
404	They're different from the classic fish burgers you find in fast food
405	outletsthey're heathier kill two birds with one stone.

406	
407	However, despite the fact that participants liked the idea of functional fish burgers,
408	some of them (middle-aged men from Rome and Bologna) expressed concerns over the taste
409	that may result altered and/or unnatural after the addition of a particular functional ingredient
410	(theme 17).
411	
412	Adding substances could change the flavour of the fish.
413	If you add substances the burger might not have a natural taste.
414	
415	Furthermore, some participants (women below 40) were sceptical about this
416	enrichment because of distrust regarding the utility of functional foods (theme 18). In line
417	with Lalor et al. (2011), the sceptics showed a holistic approach to health and believed that
418	just one product is not enough to improve an individual's health which is instead affected
419	positively by a varied diet. These participants also stated that, instead of consuming
420	functional foods, a Mediterranean diet would be sufficient to maintain good health and well-
421	being.
422	
423	I don't believe in foods that are enriched with other ingredientsoften
424	these foods don't have the same effect as natural foods.
425	Eating an enriched food is not going to improve your health we have
426	our Mediterranean diet that gives us all these nutrientswe don't need to add
427	anything.
428	
429	As regards the addition of functional ingredients, in line with other studies (Cox,
430	Evans & Lease, 2011; Krutulyte et al., 2011; Lampila et al., 2009), almost all participants
431	agreed that functional fish burgers should be produced by enriching the content of an
432	ingredient which is already naturally contained in fish (theme 19).
433	

434 I'd like the burgers enriched with ingredients that are already present in fish...I think it's absurd to add fibre... 435 436 437 The most mentioned functional ingredients that would be preferred for enriching fish 438 burgers were Omega-3, Phosphorus, Iron and Calcium. When participants were asked to 439 choose the most preferred nutrient with which to fortify the product, almost all of them 440 agreed on Omega-3. They motivated this choice saying that they were familiar with this 441 nutrient owing to the fact that they heard about it from their family doctors, media and several marketed food products that are already fortified with Omega-3, citing milk and 442 443 yogurt (theme 20). 444 There are already lots of foods containing Omega-3...there's milk with 445 Omega-3, yogurt with Omega-3. 446 I've heard the family doctor talk about Omega-3. 447 They talk about it all the time in TV much more than in the past...chefs, 448 nutritionist talk about it... 449 Furthermore, they motivated this choice saying that fish contains this nutrient 450 naturally contrarily to other functional foods like milk or yogurt to which it is conventionally 451 added. Certainly if I have the choice I would prefer to eat a fish burger enriched 452 with Omega-3 rather than milk or yogurt. 453 454 However, the omega-3 fatty acid concentration is very low in cod which is one of the most preferred species of fish for consumption and this denotes consumers' lack of 455 456 nutritional knowledge. 457 .Furthermore, they also admitted to have a limited knowledge of the specific health 458 benefits of Omega-3 but they generally knew that Omega-3 fatty acids are very important for 459 maintaining good health and well-being (theme 21). However, some participants knew that 460 Omega-3 could prevent cardiovascular diseases but that did not have any medicinal effects 461 confirming findings by Krutulyte et al. (2008) (theme 22).

462	
463	I don't know precisely what Omega-3 areI think they can generally
464	guarantee better health and can help prevent cardiovascular diseases but
465	certainly not cure them
466	they help prevent health problems but not cure them.
467	
468	The discussion on Omega-3 also highlighted that an increased intake of fatty fish does
469	not seem to have any effects on consumers. Some participants stated that this pattern could be
470	explained by the fact that consumers do not know the quantity of fish that would provide an
471	optimal intake of Omega-3 and thus they are uncertain about whether they consume enough
472	Omega-3 (theme 23). As a result, these participants argued that the evaluation of the right
473	intake of Omega-3 could be facilitated by functional foods displaying labels, where
474	recommended daily intakes are explicitly indicated
475	
476	I am predisposed to high blood pressure and I have given up meat and
477	increased my fish intake I have had no positive effectsmaybe because I don't
478	know how much fish I should eat to get the right amount of Omega-3 I would
479	like to see the quantity of Omega-3 and recommended intake on burger labels.
480	
481	As regards the link between the consumption of Omega-3 and perceived risk of
482	getting cardiovascular diseases, most participants claimed that they thought that they were
483	exposed to a medium-low risk and thus they were not afraid of developing cardiovascular
484	disease because their diet and lifestyles were sufficiently healthy. These participants also
485	admitted that maintaining a balanced diet is often difficult primarily because of lack
486	of time due to busy lifestyles and thus fish burgers enriched with Omega-3 could be a good
487	surrogate of fatty fish like salmon (theme 24).
488	

489 I think I have a low risk of contracting cardiovascular disease....or the 490 same risk as others my age... I do sport and watch what I eat... of course I can't 491 always eat salmon that is rich in Omega-3...I could eat an Omega-3 enriched 492 burger. 493 494 However, few participants also declared that they felt they were at high risk of 495 cardiovascular diseases and most of them construed their risks as exogenous to their 496 behaviour, i.e. as simple consequence of aging and/or genetic predisposition (high levels of cholesterol and hypertension). These participants strongly chose Omega-3 as their preferred 497 498 enrichment functional ingredient and thus their motivation to protect themselves against this 499 risk (theme 25). 500 501 As far as I am concerned, the consumption of these fish burgers can help 502 me because I am prone to high levels of cholesterol and therefore I run a high 503 risk of developing cardiovascular diseases. 504 **Consumer Perception of Health and Nutritional Claims** 505 506 Given that Omega-3 was the most preferred functional ingredient to be added to fish 507 burgers, different nutritional and health claims permitted by EU Regulation 1924/2006 and 508 available on the EFSA website for Omega-3 were presented to the participants. In particular, 509 nine front labels were selected with five front labels being nutritional claims and four 510 substantiated health claims. For the five nutritional claims, the noun Omega-3 was preceded 511 by qualifiers which indicated progressive levels of the functional ingredient concentration: "source of Omega-3", "contains Omega-3", "with Omega-3", "high in Omega-3" and 512 "rich in Omega-3". As regards health claims, the following two were selected from those 513 514 approved by EFSA in May 2012 (Reg. No 432/2012) and available on the EFSA website: "Omega-3 contributes to the maintenance of normal blood cholesterol levels" and "Omega-3 515 516 reduces risk of coronary heart disease". Both claims were presented to participants with and

without the following information: "*This health claim has been approved by EFSA after substantiated scientific evidence*".

519 In line with literature (Mariotti et al., 2010), most participants did not clearly 520 distinguish between different types of nutritional claims. However, results are interesting 521 because the use of qualifiers influenced participants' attitudes of different nutritional claims. Specifically, when Omega-3 was preceded by the qualifiers "source of", "contains" and 522 "with", participants appeared to perceive the communication of the functional ingredient as 523 524 not being so effective. They appeared to be confused and participants over the age of 40 in all our cities were pointing out that "source of" was more natural than "contains" and "with" 525 526 because especially "contains" gives the idea that something was added to the product. On the 527 other hand, when Omega-3 was preceded by the qualifiers "*high*" and "*rich*", many 528 participants questioned their meaning in terms of quantity because they wanted to know the right amount of Omega-3 that they had to take daily. Furthermore, participants below the age 529 of 40 preferred the term "rich" for its simplicity and its more positive impact than "high" on 530 531 information processing. However, despite these remarks the use of the qualifiers "high" and 532 "rich" were effectively perceived as communicating a higher content of Omega-3 in comparison to the qualifiers "source of", "contains" and "with". Thus, these results seem to 533 534 indicate that the strength of qualifiers can play a very important role in the communication of 535 nutritional claims. This aspect can be well accepted when the added functional ingredient is 536 already contained naturally in the food products as in the case of fish burgers.

- 537
- 538 539

I prefer "rich in Omega-3" because it lets me understand that the content is higher than what is available naturally...

540

541 As regards health claims, most participants declared to prefer health claims with a 542 general mention of health benefits (i.e. maintenance of normal blood cholesterol levels)

543	incomparison to claims conveying specific information concerning risk reduction of getting
544	coronary heart diseases (theme 27). These results are also confirmed by Kapsak et al. (2008).
545	
546	"Reduces cholesterol" is a simple and direct label.
547	In the end I am buying fish burgers for me reference to cholesterol is
548	more than enough.
549	I don't like "Reduces risk of cardiovascular disease" at allhorrible and
550	inadequate I am not buying pills sounds like something I'd buy at the
551	chemists`.
552	
553	Moreover, consistent with the literature (Williams, 2005), some participants declared
554	that they preferred a combination of short nutritional claims on the front of the package and
555	full health claims on the back (theme 28).
556	
557	On the front label I prefer "rich in Omega-3" because it's simple, short
558	and direct, has an impact on the back you could say that "Omega-3 reduces
559	cholesterol".
560	
561	Finally, also in this study some participants expressed lack of trust in health and
562	nutritional claims (Kearney, 2010; Grunert, 2010) and they agreed that trust would be higher
563	if the strength of the claims was communicated using visual aids rather than without (Hooker
564	& Teratanavat, 2008; Kapsak et al., 2008) (theme 29).
565	
566	It is not reading Omega-3 on the label that is going to make me see that it
567	is something that is good for my health I don't believe this much
568	
569	It was also interesting to observe that while the majority of participants felt that claims
570	displaying "This health claim has been approved by EFSA after substantiated scientific

571 *evidence*" generate a sense of trust towards the properties of the product. , few participants had572 the opposite feeling.

573

574 For a new product with a claim I don't know, a reference to the European 575 Safety Authority would be an added guarantee.

576

577 However, a few participants showed a lack of trust towards EFSA especially males who 578 stated being sceptical on reinforcing the strength of scientific evidence with a sentence 579 emphasizing EFSA approval. Some of these participants perceived this type of reinforcement 580 more suitable for a medicinal product approved by a board of medical doctors.

581

582 Conclusions

In this study, four focus groups were conducted to explore consumers' acceptance of new functional fish burgers and thus findings are not conclusive, and follow-up studies based on larger and representative samples should be conducted to validate consumers' acceptance of these new products. Furthermore, this study provides insights regarding the development of new functional fish products, consumer understanding of nutrition and health claims and for future research, but the study also confirms findings of past studies thanks to the detailed semi-structured focus group research protocol.

The study confirms that, in general, fish is widely perceived by consumers as a healthy and tasty food but barriers such as perceived high prices, lack of convenience, lack of knowledge about fish and cooking skills and lack of fish availability limit its consumption. However, in relation to fish burgers the study revealed that most participants showed a positive attitude towards fish burgers because of convenience, the desire of varying fish consumption and the presence of children. Frozen fish burgers were preferred over the alternative fresh product because of convenience and ready availability. These findings as

597 well as being interesting to marketers in terms of developing targeted marketing campaigns 598 on aspects mentioned above, they also inform food processors about attributes to consider for 599 product development. Product attributes such as species of fish, type of functional ingredient, 600 freshness and safety, country of origin, price and brands were all considered important by 601 participants. Positive consumer acceptance of functional fish burgers emerging from this 602 qualitative study is also interesting because it should invite food processors and policy 603 makers to think about market opportunities to reduce the post-harvest losses of by-products 604 of the fish industry (FAO, 2016). It is well-known that the fish industry generates large quantities of by-products that contain proteins and lipids which could be a source of nutrients 605 606 for humans and therefore used in the development of functional fish products designed for 607 human consumption (Tahergorabi, Matak & Jaczynski, 2015).

608 Consumer acceptance of fish burgers can be facilitated by the use of appropriate 609 extrinsic cues conveyed by labels. Labelling is important because consumers seem to be 610 extremely interested in being informed about the species and geographical origin of fish used 611 for making fish burgers. In particular, participants were attracted by fish burgers which could 612 be made using salmon and cod preferably produced domestically because considered of superior quality and safer in comparison to imported fish. The idea of producing functional 613 614 fish burgers seems to be well appreciated by most participants if the functional ingredient is 615 already naturally present in the fish. Specifically, the most preferred functional ingredient for 616 enriching fish burgers was Omega-3 because it is already naturally contained in fish contrary 617 to other functional foods like milk or vogurt. Furthermore, although consumer knowledge of 618 Omega-3 health effects is limited, participants' familiarity with this functional ingredient considerably affects the acceptance of functional fish burgers because it is believed to 619 620 improve human health and well-being preventing cardiovascular diseases. However, some 621 participants were concerned about the Omega-3 enrichment effects on the taste of fish

burgers and were uncertain whether they consumed enough Omega-3. Thus food companies
should clearly indicate the recommended daily intake of functional ingredients. Regarding
risk perception, the food industry could take into account that participants seemed to be more
willing to consume fish burgers fortified with Omega-3 in order to improve their well-being
and decrease their risk of getting cardiovascular diseases rather than achieving therapeutic
effects.

The relatively low price of fish burgers in comparison to more conventional fish products was another attribute positively stimulating consumers' acceptance. Brands can transmit and ensure product quality to consumers, but food companies with a long-standing brand seem to be more trusted than companies that do not have this long market relationship with consumers. The use of nutrition and health claims could add value to brands but their use should be evaluated carefully because participants found it challenging to interpret information conveyed by qualifiers.

635 To qualify the claim of a food products means limiting the property or content of a certain attribute using words like "with" or "contain" or "high" and so on. These words are 636 637 qualifiers that differentiate a claim from concepts such as "all" or "always". Results indicate that when nutritional claims use strong qualifiers such as "*high*" and "*rich*" in Omega-3 these 638 639 are better received than weak qualifiers such as "source of", "contains" and "with" Omega-3.In other words one might think that these qualifiers are sort of quantifiers because they give 640 the idea that "high" is more than "contain" and "rich" is more than "high". However, to what 641 642 extent qualifiers quantify a particular product attribute is difficult to say because they act like 643 an ordinal scale where the magnitude between two points is not exactly quantifiable.

644 Concerning health claims, participants appeared to prefer those conveying general 645 health benefits (e.g. cholesterol reduction) in comparison to claims containing too much 646 specific information on the risk reduction of cardiovascular diseases. Moreover, many

647 participants preferred a combination of a short nutritional claim on the front of the package 648 and a full health claim on the back. Trust towards health claims seems generally to be higher when the strength of the claims is substantiated by EFSA and is communicated using visual 649 650 aids rather than words. Thus, while these results confirm that the strength of qualifiers, the structure of the language and visual aids play an important role in the communication of 651 nutritional and health claims, European legislators finds it difficult to provide sufficient 652 guidance on how these different aspects can be used to support the communication of 653 654 scientific substantiated health claims to consumers (Richardson, 2012). Probably this difficulty lies in the complexity of health claims because from an economic point of view 655 656 they are information remedies used by the legislator to tackle problems of market failure, 657 while from a linguistic point of view they are complex discursive acts involving both semantic and pragmatic dimensions (Jones, 2014a). Information conveyed by health claims is 658 further complicated by the that fact that the communication of claims usually involves modes 659 other than language such as images, font, layout, colour and the texture of packaging material 660 661 (Jones, 2014b), which requires looking at claims from an information design perspective.

So far there is a surprising lack of multidisciplinary research aimed at evaluating how 662 these dimensions interact and influence consumers when they process information conveyed 663 664 by health claims. The work of the legislator should be supported definitively and urgently by interdisciplinary research involving disciplines such as economics, food science, linguistics, 665 666 nutrition and typography. This is because qualified health claims are relevant not only to the diversity of European countries but also to other countries because they demonstrate language 667 and communication strategies that may or may not help consumers make informed decisions 668 669 (Berhaupt-Glickstein et al., 2014).

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671 Finally, the findings of this study should be supported by studies where sensorial analysis is taken into account. A recent study conducted by Branciari et al. (2017) highlights 672 the importance of this aspect when investigating the nutritional profile of fish burgers via 673 674 sensorial analysis. In their study, they found that that the species used to make fish burgers 675 such as carp, goldfish, perch, and tench influence the overall liking and taste of these products 676 and as a consequence consumers acceptance and their purchasing intention. However, the idea of developing these new functional fish products should be supported by research which 677 678 simultaneously evaluates both intrinsic (sensory) and extrinsic factors (brand, price, health claims etc.) of new functional food. This type of approach has been growing fast during the 679 680 last ten years and several researchers have been employing so called alternative descriptive 681 methods or rapid methods to obtain more complete and realistic information about consumer 682 behaviour in real life buying and eating situations (Asioli et al., 2017). New methodologies like projective mapping, check-all-that-apply and flash profiling are less time-consuming than 683 684 classic descriptive methods (conjoint hedonic methods and classic hedonic testing), more 685 flexible, and can be performed by both trained and non-trained assessors (Varela & Ares, 2012). By properly incorporating consumers' voices in research and development activities can 686 reduce the high rate of failure of new functional food products, but more effort is required on 687 688 behalf of researchers and the food industry in terms of investment and a more open minded 689 approach to tackle the interdisciplinary challenge of putting together a large number of disciplines such as biology, consumer science, economics, food science, linguistics, marketing, 690 691 physiology, psychology, sociology, sensory, and typography.

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Footnotes

914 1.The selection of these functional ingredients was previously discussed with academic experts in food 915 technology and dietetics.