

1            **Modulation of Innate and Adaptive Immune Responses by Arabinoxylans**

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28 **Modulation of Innate and Adaptive Immune Responses by Arabinoxylans**

29 **Abstract**

30 Humans are exposed to harmful pathogens and a wide range of noxious substances every day.  
31 The immune system reacts to, and destroys, these pathogens and harmful substances. The  
32 immune system is composed of innate and adaptive immunity, which liaise to protect the host  
33 and maintain health. Foods, especially cereals, have been reported to modulate the immune  
34 response. Arabinoxylans are non-starch polysaccharides that have been shown to possess  
35 immune-modulatory activities. This review article discusses the fundamentals of the immune  
36 system and provides an overview of the immunomodulatory potential of arabinoxylans in  
37 conjunction with their structural characteristics and proposed similarities with  
38 lipopolysaccharides.

39 **Practical applications**

40 Understanding how the immune system works is of vital importance to prevent unnecessary or  
41 excessive inflammatory responses. Consumption of arabinoxylans has been shown to possess  
42 immunomodulatory potential. However, their mechanism of action has not been elucidated.  
43 Arabinoxylans share some similarities with lipopolysaccharides (LPS), a molecule that induces  
44 substantial and sometimes excessive immune responses such as fever following infection by  
45 pathogens. Thus, we propose that arabinoxylans might possibly act on the same receptor as  
46 LPS. Competition between dietary-derived arabinoxylans and LPS at a shared receptor would  
47 then have the potential to inhibit or attenuate excessive LPS-induced inflammatory responses  
48 that are typical of infection/fever. In the absence of infection and consequently no competition  
49 at the LPS receptor, consumption of dietary arabinoxylans may protect against the risk of

50 infection by moderately activating the receptor and heightening natural (background) levels of  
51 immunity.

52 **Keywords:** Arabinoxylans, lipopolysaccharides, innate immunity, adaptive immunity,  
53 nitric oxide, Toll-like receptor

## 54 **1. Introduction**

55 The human body is continually exposed to pathogens or harmful agents. The immune system  
56 possesses a set of defence mechanisms against these harmful pathogens and is composed of  
57 innate (non-specific) and adaptive (specific) immunity (Nicholson, 2016). The innate immunity  
58 encompasses chemical, microbiological and physical barriers, in addition to other elements of  
59 the immune system such as monocytes, macrophages, neutrophils, the complement system and  
60 cytokines (Sperandio et al., 2015). The innate response is immediate and non-specific, unlike  
61 the adaptive immunity, which is considered the hallmark of the immune system with its  
62 specific, yet slower response (Iwasaki & Pillai, 2014; Iwasaki & Medzhitov, 2015). Mounting  
63 an immune response against harmless foreign molecules is unnecessary and can lead to fatal  
64 outcomes such as anaphylactic shock (Patosuo, 2014). This deleterious response is typically  
65 avoided because the adaptive immune response is triggered by the innate immune system only  
66 when the latter recognises molecules of an attacking pathogen (Bonneaud et al., 2003; Lu et  
67 al., 2016).

68 Performance of the immune system is vital for defending the body from pathogens and it plays  
69 a crucial role in health homeostasis (Nairz et al., 2013). It has been suggested that ingestion  
70 of foods with immune-modulatory effects is able to prevent deterioration of immune function  
71 or reduce the risk of infection (Kaminogawa & Nanno, 2004; Goldsmith & Sartor, 2014).  
72 Studies have suggested that diet can improve depressed immune function by moderating the  
73 severity of infectious diseases and reducing infection rates (El-Gamal et al., 2011; Rajilić-

74 Stojanović et al., 2015). Cereals are staple foods and feed more than half of the world's  
75 population; they are composed mainly of starch, protein, some minerals, and non-starch  
76 polysaccharides that cannot be digested by human enzymes (Mohan et al., 2010). Arabinoxylan  
77 is the main non-starch polysaccharide of many cereals (Zhou et al., 2010; Lovegrove et al.,  
78 2017). It has been reported that arabinoxylan possesses immune-modulatory effects (Li et al.,  
79 2015). Moreover, there is a structural similarity between arabinoxylans and the  
80 lipopolysaccharides of Gram-negative bacteria (Park et al., 2009; Park et al., 2017). The aim  
81 of this review is to give an overview of the immune system and how arabinoxylans might  
82 modulate the immune response.

83

84 **2. Immune system**

85 The immune system of the human body is a complex network of molecules, cells and organs  
86 that interact and communicate together to respond to the invasion of pathogens and maintain  
87 the body's homoeostasis (Thompson, 2015). The immune system consists of innate immunity,  
88 which is a stereotyped rapid response to a stimulus, and adaptive immunity, which is a slower  
89 but highly specific response (Iwasaki & Medzhitov, 2015). The innate immune response  
90 operates in conjunction with the adaptive through activation of signalling pathways (O'Neill et  
91 al., 2013). Figure 1 gives an overview of the interactions between the innate and adaptive  
92 responses of the immune system.

93 **2.1. Innate immune system**

94 The innate immune system is the first line of defence in the human body and is composed of  
95 three stages (Orlowsky & Kraus, 2015). The first stage is the chemical and physical barriers  
96 while the second stage depends on cell-intrinsic mechanisms and digestive enzymes which  
97 destroy viruses, bacteria and other pathogenic invaders (Medzhitov & Janeway Jr, 2000;  
98 Mogensen, 2009; Iwasaki & Pillai, 2014; Iwasaki & Medzhitov, 2015). The third stage of  
99 defence relies on the recognition of preserved pathogenic features (pathogen-associated  
100 immune-stimulants) by the complementary system and phagocytosis by immune cells such as  
101 natural killer cells, neutrophils and macrophages (McCarthy et al., 2014). Pathogenic immune  
102 stimulants are referred to as Pathogen-Associated Molecular Patterns (PAMPs) and they  
103 include pathogen cell wall polysaccharides such as chitin and mannans from fungi,  
104 lipoolysaccharides (LPS) from Gram-negative bacteria and peptidoglycan from Gram-positive  
105 bacteria (Volman et al., 2008; Kawai & Akira, 2010; Wiersinga et al., 2014).

106 PAMPs have been well studied, especially LPS (Okuda et al., 2016). This has the ability to  
107 initiate the host defence through recognition of its bioactive component, lipid A, via co-receptor

108 MD-2 and Toll-Like Receptor 4 (TLR4) (Saitoh et al., 2004; Ohto et al., 2012; Kang et al.,  
109 2016). The structure of lipid A is composed of two glucosamine units with a  $\beta(1\rightarrow6)$  linkage  
110 attached to six fatty acyl chains, 1 and 4 phosphate groups (Slocum et al., 2014; Trouw et al.,  
111 2017). It has been reported that optimal immune activation of lipid A is derived from the acyl  
112 chains attached directly to the di-glucosamine (Raetz et al., 2009).

113 The complement system is part of the innate immune system (Galluzzi et al., 2017) and is  
114 responsible for enhancing the ability of phagocytic cells to clear damaged cells and microbes  
115 from the system (Orsini et al., 2014). Three pathways have been identified for complement  
116 activation, which are the classical, alternative and lectin pathway (Takahashi et al., 2008; Merle  
117 et al., 2015). IgG or IgM antigen/antibody complexes are responsible for initiating the classical  
118 pathway through binding to the first protein of the cascade (C1q) which in turn activates the  
119 C1r, leading to formation of the membrane attack complex which eventually penetrates  
120 bacterial membranes creating pores which lead to bacterial lysis (Peerschke et al., 2016).

121 The second pathway of the complement system is known as the alternative pathway or  
122 'properdin pathway', which is a failure to regulate low-level continuous formation of C3  
123 convertase (Miwa et al., 2013). Eventually, if a product of C3 convertase called C3b binds to  
124 a bacterial cell surface, this creates an amplification loop for other pathways (Galluzzi et al.,  
125 2017; Trouw et al., 2017).

126 The lectin pathway involves mannose-binding lectin (MBL). The initiating molecules for this  
127 pathway (MBL and ficolin) are multimeric lectin complexes (Amiri, 2015). These molecules  
128 bind to specific carbohydrates in the host to activate the pathway through enzymatic activity  
129 of mannan-binding lectin-associated serine protease (MASP). The structural similarities  
130 between C1 and MBL suggest that complement activation by C1 and MBL involves similar  
131 pathways (Kozarcanin et al., 2016).

132 All three pathways have the ability to activate the key components C 1-3, referred to as C3.  
133 This activation is critical for the complementary reaction as it triggers the inflammatory  
134 response, which in turn activates components C 5-9 (Ali et al., 2012).

135 Activation of C 5-9 triggers a cascade of events that leads to activation and recruitment of other  
136 innate immune cells (Garred et al., 2016). The PAMPs from the invaders bind to Pattern  
137 Recognition Receptors (PRRs) which are displayed on host immune cells (Takeuchi & Akira,  
138 2010; Kagan & Barton, 2015). PRRs include the Toll-Like Receptors (TLRs) which are found  
139 on the surface of phagocytes (dendritic cells, neutrophils and macrophages) (De Nardo, 2015).  
140 For example, Toll-Like Receptor-4 (TLR4) activates the innate immune response through  
141 recognition of LPS from the cell wall of Gram-negative bacteria (He et al., 2014).

142 Post-activation, immune cells such as neutrophils, dendritic cells and macrophages secrete  
143 cytokines to communicate with other cells in the immune system and stimulate the immune  
144 response (Guilliams et al., 2014). On the other hand, activation of innate immune cells produces  
145 digestive enzymes and reactive oxygen radicals that destroy pathogens (Takahashi et al., 2008).  
146 Furthermore, dendritic cells play an important role in transferring ingested pathogens to the  
147 lymph nodes to activate T lymphocytes, thereby initiating a specific immune response that is  
148 part of the adaptive immune system (Kim et al., 2006; Ait-Oufella et al., 2014).

## 149 **2.2. Adaptive immune system**

150 Adaptive immunity protects the human body from certain death by infection (Wong et al.,  
151 2014). Once the innate response is initiated, it calls the adaptive immune system into play; then  
152 both work together to eliminate pathogens (Zhu et al., 2015). Unlike the innate immune  
153 response, the adaptive immune response is slow but highly specific against pathogens, and its  
154 protection is long-lasting (Cooper & Alder, 2006). It is pointless to mount the adaptive immune  
155 response against harmless foreign molecules, otherwise the adaptive immune response might



156 be deleterious (Witztum & Lichtman, 2014). This is normally avoided because the adaptive  
157 immune response is triggered by the innate immune system only when the latter recognises  
158 molecules of the attacking pathogens such as PAMPs (Bonneaud et al., 2003; Lu et al., 2016).  
159 Dendritic cells, also known as pathogen-presenting cells, have surfaces packed with PRRs,  
160 which bind to the PAMPs of foreign pathogens and initiate phagocytosis (Visintin et al., 2001;  
161 Gringhuis et al., 2014). The dendritic cells, with the ingested pathogens, then move to a  
162 peripheral lymphoid organ or to a nearby lymph node where the dendritic cells present the  
163 antigens of the ingested pathogens to T lymphocytes (Cravens & Lipsky, 2002; Gringhuis et  
164 al., 2014). The cell surface of T cells is covered with various receptors that recognise  
165 extraneous antigens such as foreign polysaccharides or large proteins (Caramalho et al., 2003;  
166 Levine, 2015). To complete the activation of T cells, a co-stimulatory signal is sent from the  
167 dendritic cells, resulting in proliferation of T cells with the same receptor, thereby inducing  
168 antigen-specific adaptive immune responses (Chen & Flies, 2013). To eliminate the pathogen  
169 at the infection site, T cells mature and differentiate into different types of effector T cells  
170 including cytotoxic, helper and regulatory T cells (Mucida et al., 2013; Nishikawa &  
171 Sakaguchi, 2014). Cytotoxic T cells have the ability to detect substantial number of different  
172 antigens with high specificity and release lytic proteins thus eliminating pathogens that  
173 proliferate inside the host cell (Jones et al., 2017).

174 Helper T cells can release cytokines that guide dendritic cells to stay in their active form and  
175 can stimulate antibody production from B cells that kill pathogens (Rissoan et al., 1999; Ise et  
176 al., 2014). Helper T cells can also express co-stimulatory proteins on their surface and release  
177 cytokines to activate more cytotoxic T cells and macrophages (Croft, 2003; Maude et al., 2014).  
178 The regulation and control of activated immune cells is mediated by regulatory T cells, which  
179 can inhibit the activity of cytotoxic T cells, helper T cells and dendritic cells to avoid  
180 autoimmunity (Sakaguchi et al., 2008; Ito et al., 2016). Activated B cells secrete serum proteins

181 and synthesize antibodies that bind directly to pathogens to inactivate them. They also recruit  
182 innate immune cells such as macrophages to eliminate invaders (Clark & Ledbetter, 1994;  
183 Amable et al., 2014).

### 184 **2.3. Monocytes**

185 Monocytes are white cells circulating in the blood (Guilliams et al., 2014). They can express  
186 CD11b and Toll-like receptor-4 (TLR4) associated with CD14, which are triggered by LPS  
187 from the cell wall of Gram-negative bacteria (Taylor et al., 2005; Frantz et al., 2013).  
188 Monocytes originate from haematopoietic stem cells in the bone marrow (Lee et al., 2015) and  
189 activation of these stem cells results in the differentiation of common myeloid progenitors  
190 (CMPs) which differentiate further into macrophage and granulocyte progenitors (Ogawa,  
191 1993; Ginhoux & Jung, 2014). Prior to the transformation of haematopoietic stem cells into  
192 circulating monocytes, they undertake a series of embryonic divisions (Chow et al., 2011; Lim  
193 et al., 2013). Monocytes circulate in the blood and have the potential to differentiate into  
194 dendritic cells or macrophages (Geissmann et al., 2010; Heidt et al., 2014). Differentiation to  
195 macrophages requires the activation of runt-related transcription factor that encodes the ETS  
196 family transcription factor PU.1, which needs to be constantly expressed at high levels to  
197 induce monocyte differentiation to macrophages (Lawrence & Natoli, 2011; Schneider et al.,  
198 2014).

199

### 200 **2.4. Macrophages**

201 Monocytes circulate in the blood for up to two days followed by migration into tissues and  
202 differentiation into macrophages (van Furth & Cohn, 1968; Geissmann et al., 2010).  
203 Macrophages and neutrophils have the ability to take up pathogens through phagocytosis, a  
204 process which engulfs large particles ( $> 0.5 \mu\text{m}$ ) into cells through an actin-dependent

205 mechanism (Silva, 2010; Linehan et al., 2014). Activation of macrophages and subsequent  
206 phagocytosis occurs through PAMP-mediated recognition of Gram-negative and Gram-  
207 positive bacteria by PRRs (Plüddemann et al., 2011; Martinez & Gordon, 2014). Macrophages  
208 have a range of PRRs including TLR, which when activated results in pro-inflammatory  
209 cytokine production including IL-23, IL-12, IL-6 and TNF $\alpha$  (Mosser & Edwards, 2008; O'neill  
210 & Pearce, 2016). Activated macrophages also express inducible nitric oxide synthase (iNOS),  
211 which is responsible for generating nitric oxide (NO), a key mediator for killing bacteria within  
212 macrophages (Murray et al., 2014; Martins et al., 2017).

### 213 **2.5. Initiate immune responses**

214 PAMPs are responsible for initiating the innate immune response through PRRs, of which the  
215 TLR family has been extensively investigated in recent years (Medzhitov, 2001; Akira &  
216 Takeda, 2004; Pradere et al., 2014). On recognition of PAMPs, PRRs at the cell surface trigger  
217 intracellular pathways that lead to the transcription of chemokines and cytokines involved in  
218 antimicrobial and proinflammatory responses (Akira & Takeda, 2004; Gazendam et al., 2016).

### 219 **2.6. Toll-like receptor family (TLRs)**

220 The most investigated class of PRRs is the TLR family. The name is derived from their  
221 homology to the Toll protein in *Drosophila melanogaster* (Medzhitov et al., 1997; De Nardo,  
222 2015; Murofushi et al., 2015). The structural hallmarks of all known TLRs are an extracellular  
223 cysteine-rich domain, leucine-rich motifs (LRR) and a cytoplasmic signalling Toll/IL-1  
224 receptor (TIR) analogy domain in the intracellular region (Gay & Keith, 1991; Pietretti et al.,  
225 2014; Ren et al., 2014). The intracellular signal transduction is due to receptor oligomerisation  
226 that is induced by ligand binding to TLRs (Futosi et al., 2013). To date, 10 TLRs have been  
227 identified in mammals, and each recognize distinct PAMPs derived from bacteria, viruses,  
228 fungi and protozoa (Akira & Takeda, 2004; Bonham et al., 2014).The TLRs include TLR1,

229 TLR2, TLR4 and TLR6 that recognize lipoproteins such as triacyl lipopeptides and LPS, while  
230 TLR3, TLR7, TLR8 and TLR9 recognize nucleic acids such as dsRNA or ssRNA (Curtale et  
231 al., 2013; Vacchelli et al., 2013; Gay et al., 2014).

## 232 **2.7. Lipopolysaccharides (LPS)**

233 LPS are a major component of the cell wall of Gram-negative bacteria (Guha & Mackman,  
234 2001), consisting of three parts; a polysaccharide side chain also known as O-antigen or O-  
235 chain, a non-repeating core polysaccharide, and lipid A, which is hydrophobic (Speciale et al.,  
236 2015). The polysaccharide side chain and non-repeating core polysaccharide are projections  
237 from the surface, while the hydrophobic domain is embedded in the outer membrane. The lipid  
238 A domain is a source of toxicity, while the O-chains are easily detected by the host antibodies  
239 and, to avoid detection, are often modified by bacteria (Lerouge & Vanderleyden, 2002; Miller  
240 et al., 2005; Eckert et al., 2013; Wu et al., 2013). Lipopolysaccharide structure is illustrated in  
241 Figure 2. Low levels of LPS are sufficient to induce a substantial inflammatory response of the  
242 innate immune system (Schwarz et al., 2014). LPS binds to the LPS Binding Protein (LBP) in  
243 serum, before being transferred to CD14 and then to MD2, which is associated with TLR4  
244 (Ryu et al., 2017). The receptor complex then promotes secretion of nitric oxide (NO) and pro-  
245 inflammatory cytokines such as TNF $\alpha$  and IL-8 in monocytes and macrophages (Johnson et  
246 al., 2002; Termeer et al., 2002; Miller et al., 2005; Massey et al., 2015; Lee et al., 2016).

## 247 **2.8. Cytokines**

248 Cytokines are small, soluble proteins that affect the function or growth of cells. Cytokines can  
249 act in a paracrine way (affect nearby cells) or an autocrine way (affect the same cell). However,  
250 some cytokines such as IL-6, IL-8 and TNF $\alpha$  can have systemic effects. Cytokines act on  
251 immune cells and mediate inflammatory responses (Vilček & Feldmann, 2004; Le Maitre,  
252 2014).

**253 2.9. Tumour necrosis factor alpha (TNF $\alpha$ )**

254 TNF $\alpha$  is a pro-inflammatory cytokine with various biological effects (Bekkering et al., 2014).  
255 TNF $\alpha$  is involved in apoptosis, differentiation and proliferation (Du et al., 2014; Sullivan et al.,  
256 2014) and levels are elevated in inflammatory diseases such as rheumatoid arthritis (Motley et  
257 al., 2004; Garraway et al., 2014). Two receptors are known to mediate the effects of TNF $\alpha$ ,  
258 TNFR1 and TNFR2. Local TNF $\alpha$  production is critical for elimination of local infections  
259 (Motley et al., 2004; Olmos & Lladó, 2014). Systemic TNF $\alpha$  release also plays a vital role in  
260 septic shock (Kanashiro et al., 2017). TNF $\alpha$  is released in macrophages and monocytes in  
261 response to foreign stimuli such as LPS from Gram-negative bacteria. The secretion of TNF $\alpha$   
262 from T-cells is initiated by activation of the T-cell receptor (Manzo et al., 2017). In addition,  
263 natural killer cells and B cells can produce TNF $\alpha$  (Eissner et al., 2000; Yu et al., 2009). The  
264 effect of TNF $\alpha$  on endothelial cells includes the upregulation of leukocyte adhesion molecules  
265 that contribute to leukocyte recruitment (Huang et al., 2015).

**266 2.10. Nitric oxide (NO)**

267 Nitric oxide (NO) is a short-lived, gaseous, small molecule composed of one atom of oxygen  
268 and one atom of nitrogen, thus making it a free radical due to unpaired electrons (Pacher et al.,  
269 2007). In the human body, NO is defined as a product of macrophage activation by pro-  
270 inflammatory cytokines, microbial endotoxins such as LPS, or both (Rath et al., 2014). NO is  
271 a product of L-arginine degradation, the reaction being catalysed by an enzyme called inducible  
272 nitric oxide synthase (iNOS) (Bogdan, 2001; Palygin et al., 2015). This reaction requires  
273 several cofactors including calcium/calmodulin, flavin mononucleotide (FMN), nicotinamide  
274 adenine dinucleotide phosphate (NADPH), flavin adenine dinucleotide (FAD),  
275 tetrahydrobiopterin (BH<sub>4</sub>), oxygen and haem (Baek et al., 1993; Marletta, 1994). There are  
276 three known isoforms of NOS; their names come from where they were first found. Inducible

277 NOS (iNOS) was found in macrophages, endothelial NOS (eNOS) found in endothelial cells  
278 and the neuronal NOS (nNOS) found in the brain. These isoforms are also known as NOS-2,  
279 NOS-3 and NOS-1 respectively (Alderton et al., 2001; Bogdan, 2015).

#### 280 **2.10.1. NO role in macrophages**

281 NO production in macrophages depends on their activation in response to cytokine or bacterial  
282 endotoxin stimuli (Sadek et al., 2017). Macrophages act as patrolling cells and produce low  
283 levels of NO in quiescent conditions (Prolo et al., 2015). However, once activated by stimuli,  
284 macrophages produce excessive amounts of NO, releasing NO<sub>2</sub><sup>-</sup> and NO<sub>3</sub><sup>-</sup>, which are important  
285 in pathogen scavengers (Marletta et al., 1988; Hibbs, 2002). Although NO production is critical  
286 for macrophage phagocytosis, an excessive amount of NO has been associated with high levels  
287 of necrosis and apoptosis (He et al., 2016; Jakubowska et al., 2016). It has been reported that  
288 high levels of NO induce autoimmune reactions such as asthma and arthritis (Scichilone et al.,  
289 2013), so it is crucial to dampen NO production in such conditions. Evidence suggests the  
290 modulation of immune function can be achieved by food (Zeng et al., 2016).

#### 291 **2.11. Immunomodulating properties of food**

292 The functionality of the immune system is crucial for protecting the body from the attacks of  
293 pathogens or from cancer cell proliferation (Mittal et al., 2014). Thus, it plays a vital role in  
294 health homeostasis (Nairz et al., 2013). However, many factors disturb immune functions  
295 such as an unhealthy lifestyle, malnutrition, physical stress, disease and ageing (Nahrendorf &  
296 Swirski, 2015). Evidence suggests that the ingestion of foods with immuneomodulatory effects  
297 prevents declining immune function or reduces the risk of infection (Kaminogawa & Nanno,  
298 2004; Goldsmith & Sartor, 2014). There are many studies suggesting that foods can improve  
299 depressed immune function by moderating the severity of infectious diseases and reducing  
300 infection rates (El-Gamal et al., 2011; Rajilić-Stojanović et al., 2015). Thus, foods with the

301 ability to improve immune responses, particularly in patients with impaired immunity such as  
302 cancer (Braga et al., 1996; Bradbury et al., 2014; Schnekenburger et al., 2014), are both  
303 clinically and commercially valuable.

### 304 **3. Arabinoxylans**

305 Arabinoxylan is a non-starch polysaccharide (Figure 3) with a backbone of  $\beta$ -(1-4)-linked d-  
306 xylopyranosyl residues to which  $\alpha$ -l-arabinofuranose units are linked as side chains in the  
307 second and/or third carbon-positions (Courtin et al., 2000; Roubroeks et al., 2000; Zhou et al.,  
308 2010; Qiu et al., 2017).

309 Arabinoxylan structure is characterised by substitution of the xylopyranose- linked xylan  
310 backbone. L-Arabinofuranose is the main sugar substitute for xylopyranose residues at O-2  
311 and/or O-3 via  $\alpha$ -1, 2 and  $\alpha$ - 1, 3 glycosidic linkages. This leads to three different forms namely,  
312 un-substituted xylopyranose, mono-substituted xylopyranose at O-2 or O-3 and di-substituted  
313 xylopyranose at O-2 and O-3 (Izydorczyk & Biliaderis, 1995; Saulnier et al., 2007; Broekaert  
314 et al., 2011; Qiu et al., 2017). However, arabinofuranose substitutions can form short  
315 oligosaccharide side chains that comprise of two or more arabinofuranose residues (Figure 4)  
316 (Saulnier et al., 2007).

317 There are many techniques available for arabinoxylan extraction and different extraction  
318 methods give different yields and degrees of branching, molecular weight distribution and  
319 tertiary conformation (Lu et al., 2005), i.e. hot water extraction (Izydorczyk et al., 1998; Cyran  
320 et al., 2003; Iqbal et al., 2011; Yu et al., 2017) and ultrasound-assisted enzymatic extraction  
321 (Wang et al., 2014).

322 Arabinoxylans can be classified according to their solubility in water, as either water-  
323 unextractable arabinoxylans (WUAX) or water-extractable arabinoxylans (WEAX) (Moers et  
324 al., 2005). The structure of WUAX is different from that of WEAX as WUAX will not

325 solubilise in water, but will be solubilized in alkaline solutions (Gruppen et al., 1993). Table 1  
326 shows the water-extractable and water-unextractable arabinoxylans in some cereals.

327 Reducing the molecular weight of the arabinoxylans not only increases their solubility in water  
328 but also increases their biological health benefits (Li et al., 2013). Recently, pronounced effects  
329 of low Mw arabinoxylans (66 kDa) have been observed to have a higher prebiotic stimulation  
330 in an *in vitro* study compared to higher Mw arabinoxylans (Hughes et al., 2007). Modification  
331 of the molecular characteristics of arabinoxylans such as Mw is important to achieve the  
332 optimum prebiotic, anti-tumour activities and immune stimulation (Li et al., 2013).

333 It has been suggested that the activity of arabinoxylans is dependent on their sugar composition,  
334 molecular weight and degree of branching (Zhou et al., 2010; Cao et al., 2011). The most  
335 investigated type of arabinoxylans (MGN-3) has low molecular weight with a low arabinose-  
336 to-xylose ratio (0.5) (Zhang et al., 2015) which is similar to the enzyme- extracted wheat bran  
337 arabinoxylans (Zhou et al., 2010). Both polysaccharides could activate macrophages (Zhou et  
338 al., 2010; Zhang et al., 2015). However, MGN-3 appeared to be more effective, which might  
339 be due to differences in the sugar composition since MGN-3 has more glucose and galactose  
340 side chains (Zhang et al., 2015). Figure 5. shows a simplified representation of the macrophage  
341 TLR 4 receptor with arabinoxylans and LPS.

### 342 **3.1. Immunomodulatory potentials of arabinoxylans**

343 It has been reported that oral administration of arabinoxylans extracted from wheat bran using  
344 xylanases and alkali extraction has an immune-modulatory effect on both the innate and  
345 adaptive immune systems (Zhou et al., 2010; Cao et al., 2011). Alkali extracted arabinoxylans  
346 from wheat bran showed inhibitory effects on tumour growth and IL-2 production at 100-400  
347 mg/kg on S 180 tumour bearing mice. The most significant results were at the highest



348 concentration (400 mg/kg). Moreover, there was an increase in leukocyte count, and stem cell  
349 proliferation was enhanced after oral administration (Cao et al., 2011).

350 Another study conducted by Zhou et al. (2010) indicated that (200 mg/kg) oral administration  
351 of enzyme-extracted wheat bran arabinoxylans exhibited immunostimulatory effects on both  
352 innate and adaptive immunity. The enzyme-extracted arabinoxylans stimulated phagocytosis  
353 by macrophages and delayed hypersensitivity more than alkaline-extracted arabinoxylans  
354 (Zhou et al., 2010).

355 Recently, Li et al. (2015) investigated the effect of enzyme-extracted arabinoxylans (AXE)  
356 from wheat endosperm pentosan on U937 and Caco-2 cell lines. They reported that AXE  
357 generated higher nitric oxide (NO) levels than (WEAX) and the increase in NO production was  
358 dose-dependent. AXE was reported to be more effective than WEAX in stimulating IL-8  
359 production (Li et al., 2015).

360 Previous studies suggested that arabinoxylans from various sources have immunomodulatory  
361 potential. It is clear that there are several factors affecting the immunomodulatory potential of  
362 arabinoxylans including the method of extraction, enzyme/chemical treatments and botanical  
363 source. Table 2 shows the structural activity of arabinoxylans from rice bran and wheat on  
364 different cells.

### 365 **3.2. Potential arabinoxylan receptors**

366 Although receptors for arabinoxylans have not been identified, some potential receptors have  
367 been proposed. AXs maybe acting like PAMPs since AXs from cornhusk and rice bran have  
368 shown similarities in terms of molecular weight and structure to LPS from Gram-negative  
369 bacteria (Ghoneum & Brown, 1999; Ogawa et al., 2005; Mendis et al., 2017). For example,  
370 LPS has outer core hexoses such as glucose and galactose, which are found in AXs. Another  
371 similarity between the structure of LPS and AXs includes C-3 branched polysaccharides

372 (Rietschel et al., 1994; Heinrichs et al., 1998). Moreover, AXE from wheat endosperm  
373 pentosan had low Mw (1-25 kDa) (Li et al., 2015) which is within the molecular weight range  
374 (13-20 kDa) of LPS (Mangoni et al., 2008). Therefore, arabinoxylans may activate phagocytes  
375 by attaching to Toll-like receptors expressed on their cell surface (Zhang et al., 2015). Since  
376 LPS specifically binds to TLR4, it suggests that TLR4 may also be a potential receptor for  
377 arabinoxylans (Mendis et al., 2016). If true, arabinoxylans may compete with LPS for the TLR4  
378 receptor during infection, thus mediating the LPS-induced immune response.

379 Other receptors besides TLRs may also act as receptors for arabinoxylans. These include the  
380 Dectin-1 receptor, which has been reported to be a  $\beta$  glucan receptor (Karumuthil-Melethil et  
381 al., 2014; Kanjan et al., 2017). However, Sahasrabudhe et al. (2016) have reported recently that  
382 arabinoxylan from wheat has the ability to stimulate Dectin-1 receptors and it enhanced  
383 Interleukin 23 (IL-23), and Interleukin 4 (IL-4) expression in Dectin-1 stimulated dendritic  
384 cells (Sahasrabudhe et al., 2016).

385

### 386 **3.3. Structure-activity relationship**

387 The most investigated type of arabinoxylans (MGN-3) has a low molecular weight with a low  
388 arabinose- to-xylose ratio (0.5) (Zhang et al., 2015) which is similar to the enzyme- extracted  
389 wheat bran arabinoxylans (Zhou et al., 2010). Both polysaccharides appear to activate  
390 macrophages but MGN-3 appeared to be more effective, which might be due to the higher  
391 sugar composition (Zhang et al., 2015). Figure 5. shows a simplified representation of the  
392 macrophage TLR 4 receptor with arabinoxylans and LPS.

## 393 **4. Conclusions**

394 Cereals are by far the most important source of food all over the world. Arabinoxylans are the  
395 major non-starch polysaccharides in most of the cereals. Also, it has been reported that

396 arabinoxylans have immune-modulatory activities. The immunomodulatory potentials of  
397 arabinoxylans have been linked to their sugar composition, molecular weight and degree of  
398 branching. Furthermore, there are structural similarities between arabinoxylans and LPS in  
399 terms of molecular weight and structure, suggesting that arabinoxylans can modulate the  
400 immune response through activation the LPS receptor TLR 4. Future work should focus on  
401 understanding more of the arabinoxylan mechanism of action, which might help in modulating  
402 the immune response more efficiently.

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990

991 Table 1. Water extractable and water-unextractable AXs in some cereals (dry weight basis)  
 992 weight basis

<b>WEAX and WUAX in some cereal grains and cereal by-products (dry weight basis)</b>					
<b>Cereal</b>	Tissues	Total AXs %	WEAX %	WUAX %	References
<b>Rice</b>	Bran	4.84-5.11	0.35-0.77	4.34-4.49	(Hashimoto, Shogren, Bolte, et al., 1987)
	Bran	8.5	0.2	8.3	(Choct, 1997)
	Hulls	8.36-9.24	0.11	8.25-9.13	(Hashimoto, Shogren, Bolte, et al., 1987)
	Cooked	0.5	NA	NA	(Dodevska et al., 2013)
	Germinated whole grain	2.97-6.84	NA	NA	(Kim et al., 2015)
	Whole grain	2.64	0.06	2.58	(Hashimoto, Shogren, Bolte, et al., 1987)
<b>Wheat</b>	Bran	25	1	24	(Hollmann & Lindhauer, 2005)
	De-starched bran	29.1	NA	NA	(Koegelenberg & Chiphango, 2017)
	Bran	26.2	NA	NA	(Koegelenberg & Chiphango, 2017)
	Bran	23	NA	NA	(Wang et al., 2015)
	Bran	19.38	0.88	18.5	(Hashimoto, Shogren, & Pomeranz, 1987)
	Endosperm	NA	8.23	NA	(Li et al., 2015)
	Endosperm	1.5-2.5	0.3-0.75	1.2-1.7	(Li et al., 2013)
	Endosperm	1.52-1.75	0.42-0.68	1.07-1.1	(Marcotuli et al., 2016)
	Flour	1.37-2.06	0.54-0.68	0.83-1.38	(Izydorczyk et al., 1991)
	White flour	5.1	2.1	2.96	(Pavlovich-Abril et al., 2016)
	Whole grain	5.77	0.59	5.18	(Hashimoto, Shogren, & Pomeranz, 1987)
Whole grain	8.1	1.8	6.3	(Choct, 1997)	



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<b>Rye</b>	Whole grain	8-12.1	2.6-4.1	5.4-8	(Hansen et al., 2003)
	Bran	13	2.86-4.29	8.71-10.14	(Sárossy et al., 2013)
	Flour	3.2-3.64	2.2-2.65	0.99-1	(Cyran et al., 2003)
	Whole grain	8.9	3.4	5.5	(Choct, 1997)
<b>Corn</b>	Bran	29.86	0.28	29.58	(Hashimoto, Shogren, Bolte, et al., 1987)
	Bran	26.0	0.71	25.29	(Zhang et al., 2016)

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995  
996**Table2. Structural properties of AXs**

<b>Origin</b>	<b>Extraction method</b>	<b>Immunomodulatory activity</b>	<b>Mw (kDa)</b>	<b>Glu %</b>	<b>Gal %</b>	<b>Xyl %</b>	<b>Ara %</b>	<b>Ar/Xy</b>	<b>References</b>
<b>Wheat bran</b>	alkaline	Tumour inhibition, M $\phi$ activation	352	7.7	na	50.2	41.8	0.83	(Zhou et al., 2010)
<b>Wheat bran</b>	enzyme	M $\phi$ activation	32.5	2.8	na	62.4	34.8	0.55	(Zhou et al., 2010)
<b>Rice bran</b>	enzyme	M $\phi$ , DCs and NK activation	30-50	6	5-7	48-54	22-26	0.5	(Zhang et al., 2015)

997

998 **Figure Legends**

999 Figure 1. Simplified overview of the immune system; IL- interleukin, TNF  $\alpha$ - tumour necrosis  
1000 factor  $\alpha$ , IF  $\gamma$  – interferon  $\gamma$ . Adapted from (Dranoff, 2004; Stevenson & Riley, 2004).

1001 Figure 2. Lipopolysaccharide (LPS) structure

1002 a. LPS lipid A, O-antigen and core oligosaccharide. b. TLR4-MD2-CD14 receptor  
1003 complex (Miller et al., 2005).

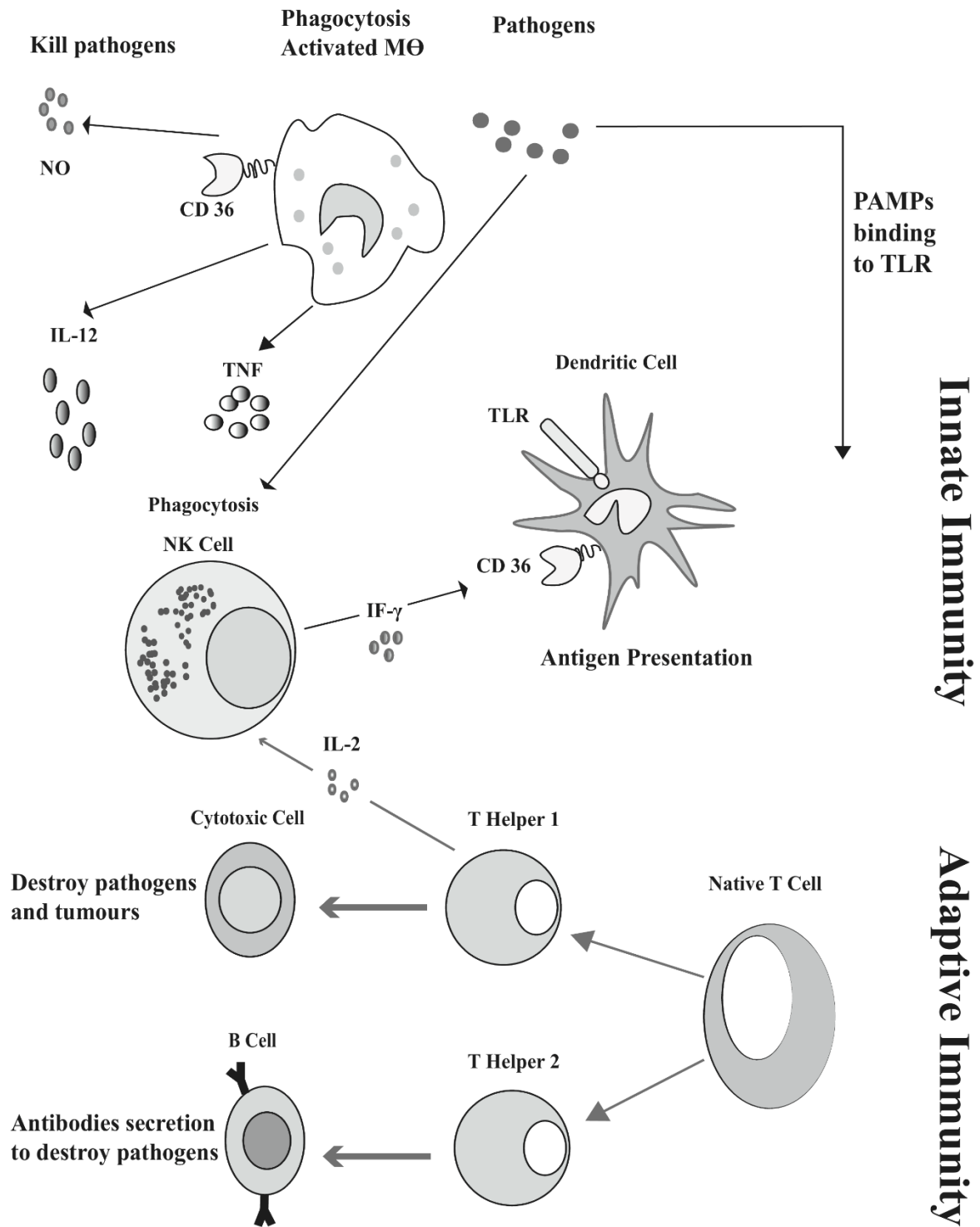
1004 Figure 3. Arabinoxylan structure (Garófalo et al., 2011).

1005 Figure 4. Simplified schematic representation of arabinoxylans AXs

1006 (a) Wheat flour and (b) Wheat bran. Substituents above and below the backbone represent  
1007 C (O)-2 and C (O)-3 positions, respectively (Edwards et al., 2003; Zhou et al., 2010;  
1008 Qiu et al., 2017).

1009 Figure 5. Simplified representation of the macrophage TLR 4 receptor with AXs and LPS

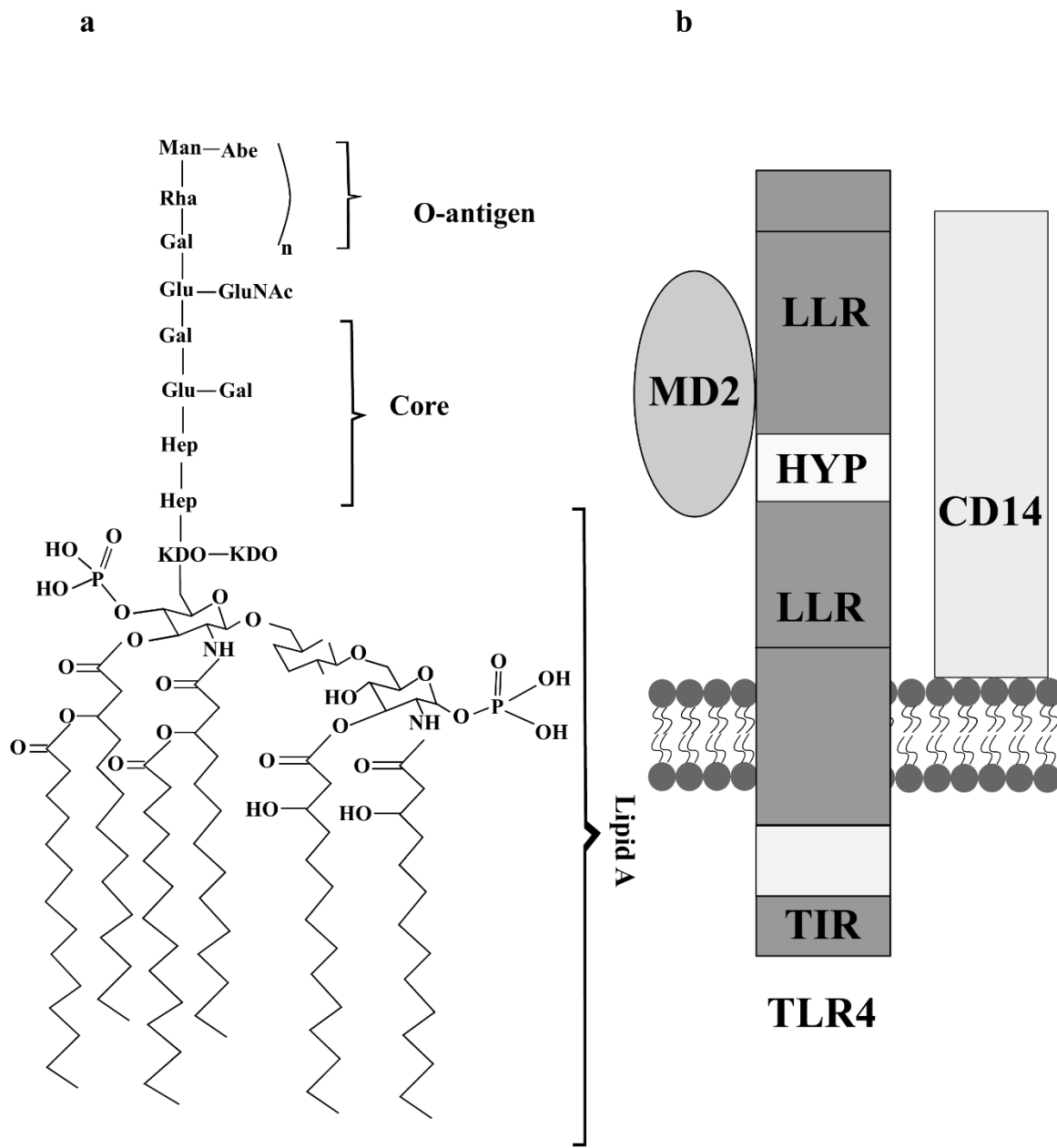
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1012 FIGURE 1. Simplified overview of the immune system; IL- interleukin, TNF  $\alpha$ - tumour

1013 necrosis factor  $\alpha$ , IF  $\gamma$  – interferon  $\gamma$ . Adapted from (Dranoff, 2004; Stevenson & Riley, 2004).

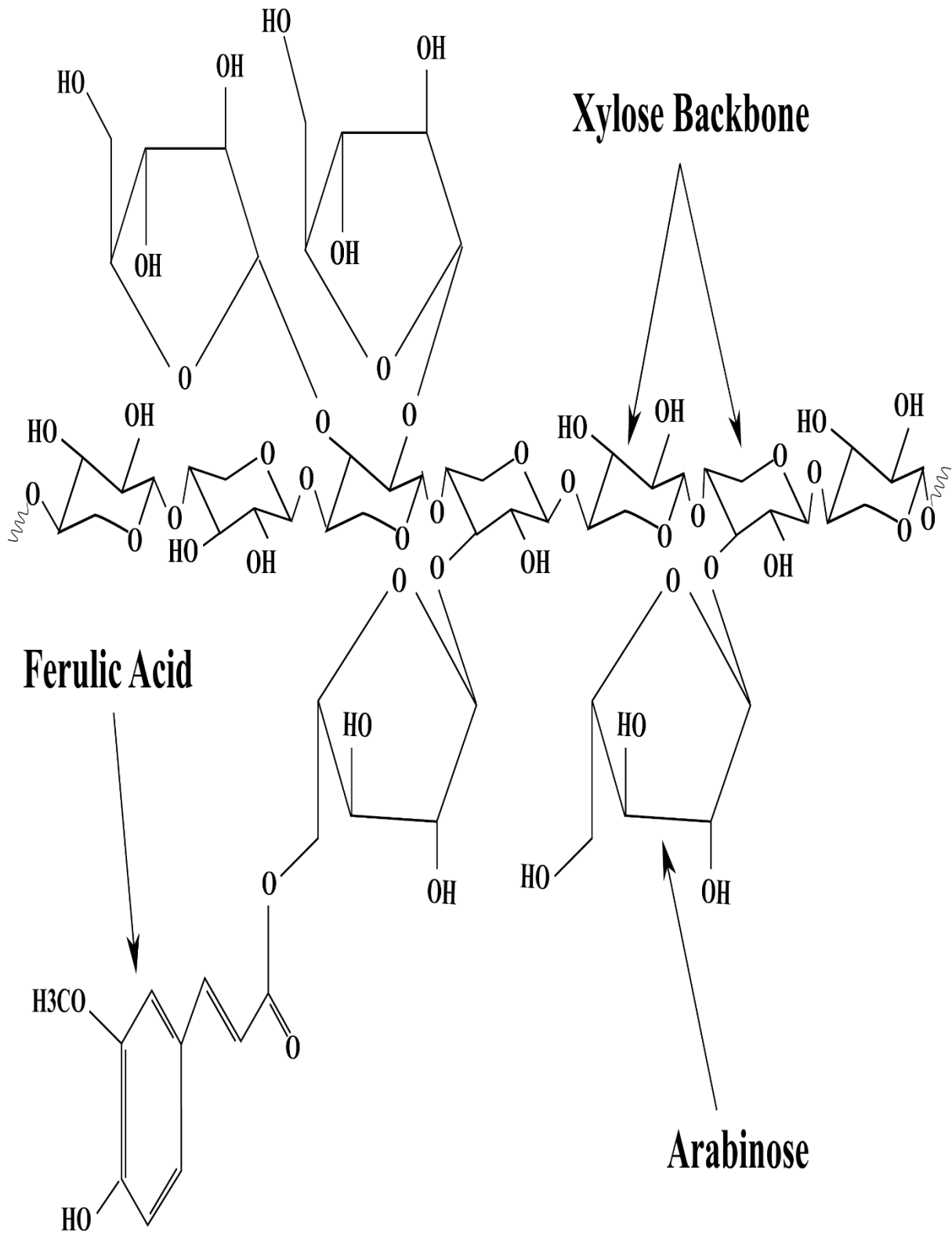


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1015 FIGURE 2. Lipopolysaccharide (LPS) structure

1016 a. LPS lipid A, O-antigen and core oligosaccharide. b. TLR4-MD2-CD14 receptor complex. Source. Miller et al.  
 1017 (2005).

1018



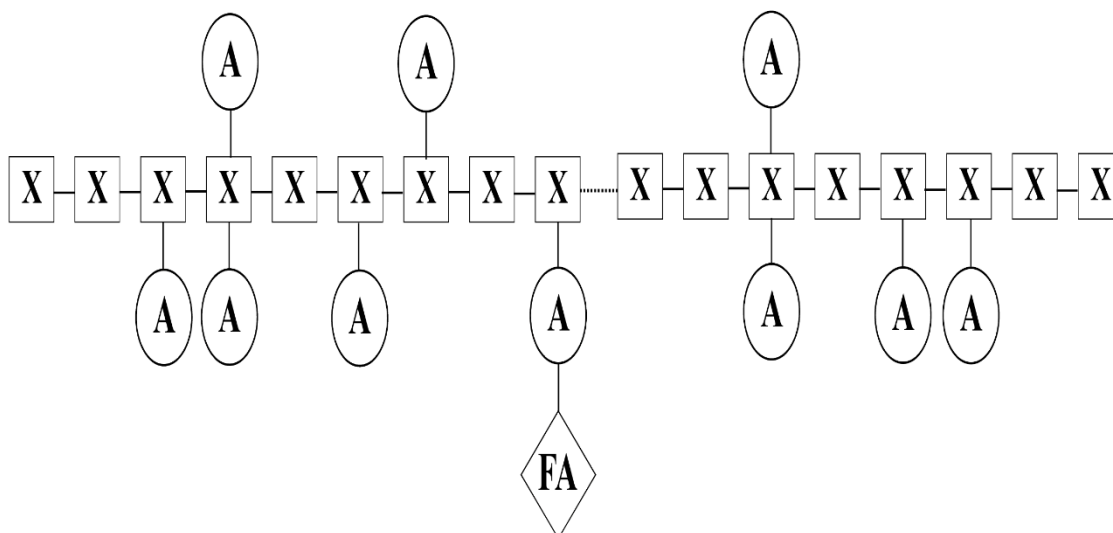
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1020 FIGURE 3 Arabinoxylan structure. Source. Garófalo, Vazquez , Ferreira and Soule (2011).

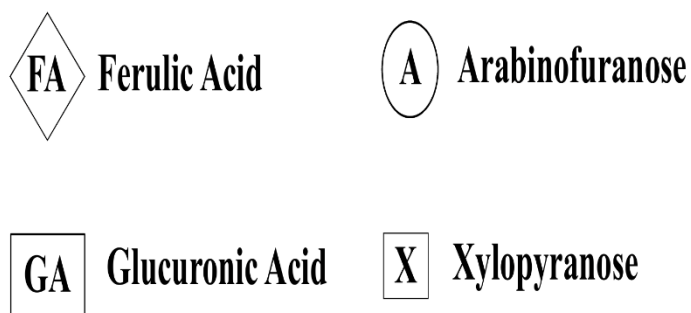
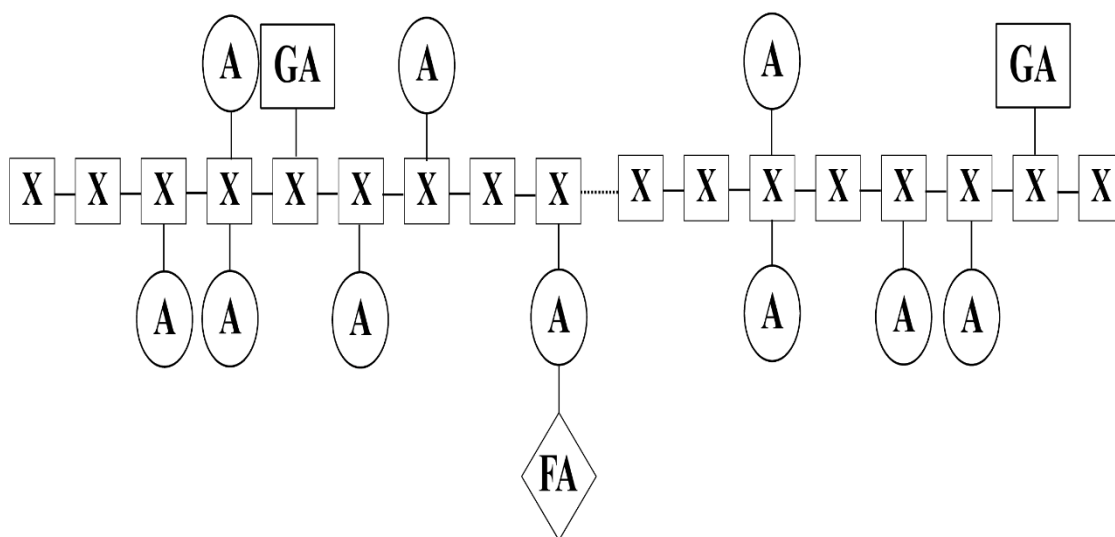
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**a**



**b**



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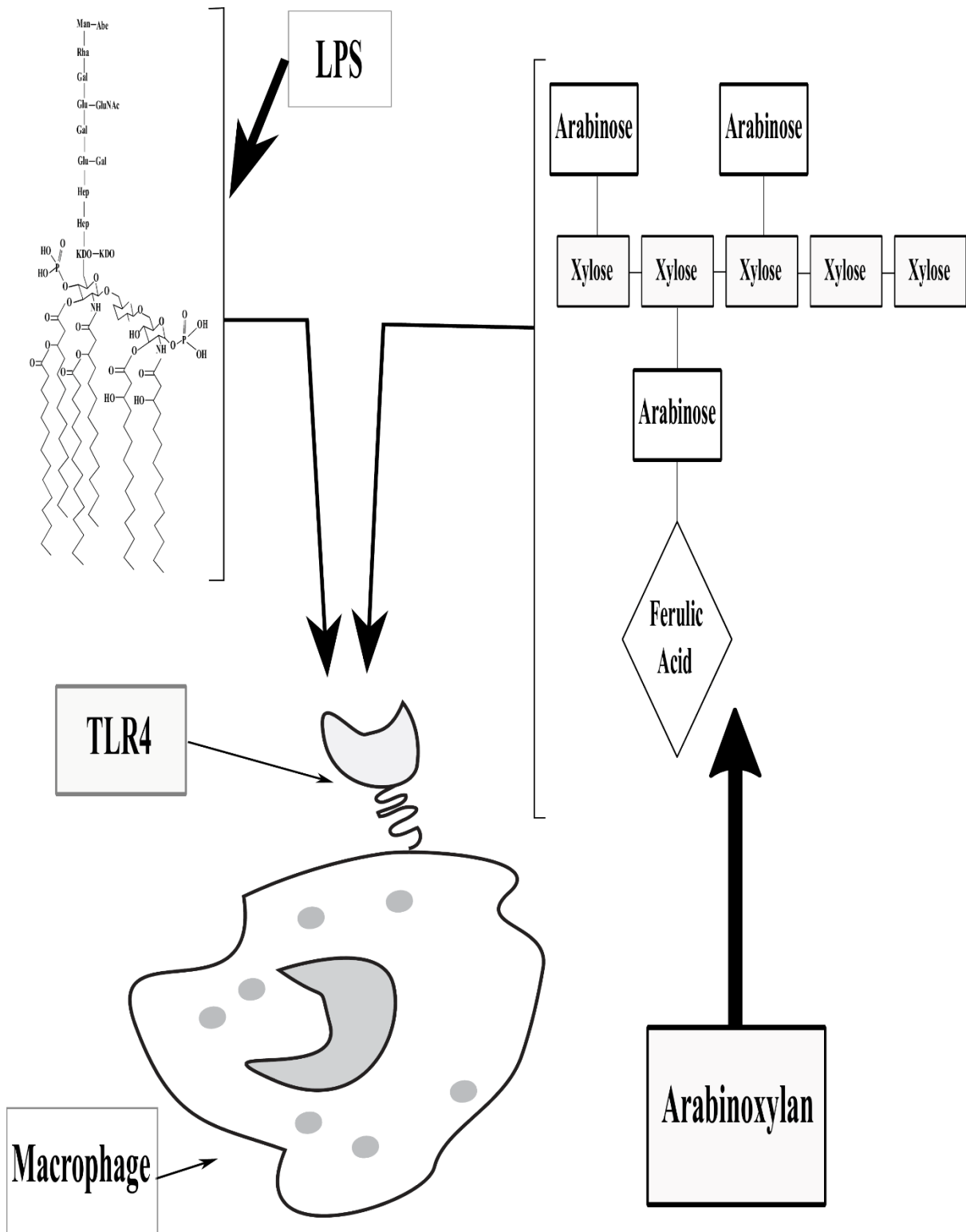
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FIGURE 4 Simplified schematic representation of arabinoxylans AXs. (a) Wheat flour and (b) Wheat bran. Substituents above and below the backbone represent C (O)-2 and C (O)-3 positions, respectively. Source. Edwards, Chaplin, Blackwood, and Dettmar (2003), Qiu et al. (2017), and Zhou et al. (2010)



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FIGURE 5. Simplified representation of the monocyte TLR 4 receptor with AXs and LPS

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