

Abstract

 Completion of a partially occluded object requires that a representation of the whole is 24 constructed based on the information provided by the physically specified parts of the stimulus. Such processes of amodal completion rely on the generation and maintenance of a mental image that renders the completed object in visual working memory (VWM). The present study examined this relationship between VWM storage and processes of object completion. We recorded event-related potentials to track VWM maintenance by means of the contralateral delay activity (CDA) during a change detection task in which to-be-memorized composite objects (notched shapes abutting an occluding shape) were primed to induce either a globally completed object or a non-completed, mosaic representation. The results revealed an effect of completion in VWM despite physically identical visual input: Change detection was more accurate for completed as compared to mosaic representations when observers were required to memorize two objects, and these differences were reduced with four memorized items. At the electrophysiological level, globally completed (versus mosaic) objects gave rise to a corresponding increase in CDA 37 amplitudes. These results indicate that, while incorporating the occluded portions of the presented shapes requires mnemonic resources, the complete-object representations thus formed in VWM improve change detection performance by providing a more simple, regular 40 shape. Overall, these findings demonstrate that mechanisms of object completion modulate 41 VWM, with the memory load being determined by the structured representations of the memorized stimuli.

Keywords: visual working memory, amodal completion, contralateral delay activity

New & Noteworthy

 This study shows that completion of partially occluded objects requires visual working memory (VWM) resources. In the experiment reported, we induced observers to memorize a given visual input either as completed or as non-completed objects. The results revealed both a behavioral performance advantage for completed vs. non-completed objects despite physically identical input, and an associated modulation of an electrophysiological component that reflects VWM object retention – thus indicating that constructing an integrated object consumes mnemonic resources.

Introduction

53 Amodal completion refers to the phenomenon that occluded parts of an object are perceptually 'filled in' (Michotte, Thines, & Crabbe, 1964/1991), that is, missing information 55 is (re-) constructed based on the partial physical stimulation available (see Figure 1, composite, for example stimuli). Representing amodally completed objects has been suggested to rely on mentalimagery (Nanay, 2010). While completion is largely dependent on the structural properties of a given stimulus (van Lier, van der Helm, & Leeuwenberg, 1994), it may additionally be influenced by background information, such as semantic knowledge about a given object or the context within which it is presented – providing 61 further information about what the occluded parts of an object (may) look like (Hazenberg $\&$ van Lier, 2016; Rauschenberger, Peterson, Mosca, & Bruno, 2004). Construction of a mental image typically engages visual working memory (VWM) resources (Baddeley & Andrade, 2000). On this view, rather than just subserving passive maintenance of visual information for 65 short periods of time, VWM does also involve active processes of generating (hidden) parts of objects in memory. The current study was designed to investigate such active object completion processes in VWM, that is, to elucidate how physically specified parts ofa stimulus are combined with completed fragments to generate a coherent, whole-object representation. A common and widely used paradigm for studying VWM is change detection (Luck &

initial memory display. After a retention interval, a test display is presented and participants

Vogel, 1997). In this paradigm, participants are asked to remember a set of objects in an

have to indicate whether a change has occurred in one of the objects in the test as compared

 Sessa, Gotler, Jolicœur, & Dell'Acqua, 2010; Gao et al., 2009; Töllner, Conci, Rusch, & Müller, 2013). Moreover, larger CDA amplitudes were observed for identical stimuli when the task required the encoding of objects with high precision (Machizawa, Goh, & Driver, 2012). This demonstrates that identical visual input may change the memory load depending on top-down demands (see also Balaban & Luria, 2016). Nevertheless, it remains an open issue whether the CDA varies with the extent to which processes ofcompletion modify a given object in VWM.

 The question at issue here, namely: the role of object completion in VWM, was recently examined in a behavioral study employing the change detection paradigm (Chen, Müller, & Conci, 2016). Chen et al. presented memory displays that were physically identical, but varied the structural information of the objects' representations in memory by introducing additional, contextual information. The memory displays participants were presented with were essentially comparable to the example displays depicted in Figure 2 (except that, in Chen et al., 2016, participants were not pre-cued to the task-relevant side of the display by an arrow symbol). A given memory display consisted either of composite objects (i.e., presenting a notched figure adjacent to a square) or of simple objects (i.e., comparable shapes but without the adjacent square). Importantly, the simple object could be one of several 113 possible interpretations of the notched figure, with a global, symmetrical shape that provides a completed interpretation of the composite object (Figure 1, global), or a so-called 'mosaic' figure (Figure 1, mosaic), where mosaic simply refers to a 2-D cut-out outline shape identical to the visible part of the figure (Sekuler & Palmer, 1992). Presentation of the memory display 117 was followed by a brief delay, after which a (simple-object) test probe appeared. The task was

118 to decide whether this probe was the same as or different from the corresponding item in the memory display. Each block of trials presented only one type of (simple) objects (either 120 global or mosaic figures), to enforce, or 'prime', a consistent interpretation of the composite objects within the given block. The results revealed global objects to yield higher change detection accuracy, indicative of an advantage in retaining completed wholes over partial shapes (Chen et al., 2016, Experiment 1). This advantage for completed, relative to mosaic, *composite* objects disappeared when global and mosaic *simple* object displays were presented randomly intermixed within trial blocks (Chen et al., 2016, Experiment 2), indicating that the effect of completion is determined by some top-down set provided by a consistent context of the available simple object interpretations.

 Importantly, Chen et al. (2016) compared change detection accuracy for physically identical composite objects that participants were made to interpret either as completed 130 wholes or as non-completed mosaic objects. Consequently, rather than being attributable to an influence of perceptual shape discriminability, the performance advantage for global (relative to mosaic) composite objects obtained by Chen et al. (2016, Experiment 1) can only be attributed to the additional completion process, which renders binding of the physical parts of the object with the occluding parts of the surface. If VWM load is indeed modulated by the completion of the memorized objects, this would predict that the alternative representations of the composite object would manifest in a modulation of the CDA amplitude. On this view, the CDA amplitude not only reflects the passive retention of items, but also the resource demands associated with processes required for integrating fragments into a coherent, whole-object representation. This viewpoint contrasts with a more passive conception of

 VWM, where the CDA would only be related to the basic storage of individuated items without any concurrent processing of the retained stimulus material.

Method

Participants

 Seventeen right-handed volunteers (8 males), with normal or corrected-to-normal 165 vision ($M = 24.22$ years, $SD = 2.90$ years), took part in this study for payment of ϵ 8.00 per hour. All participants provided written informed consent. The experimental procedures were approved by the local ethics committee (Department of Psychology, Ludwig-Maximilians-Universität München). Sample size was determined on the basis of previous, comparable studies (e.g., Luria et al., 2010), aiming for 85% power to detect an effect size of 0.8 with an alpha level of .05. **Apparatus and Stimuli** 172 Stimuli were black line drawings (0.2 cd/m^2) presented against a light gray background 173 (178 cd/m²) on a 19-inch computer monitor (1024 \times 768 pixel screen resolution, 85-Hz refresh rate). The stimulus set was based on six different shapes (adapted from van Lier et al., 1995; Plomp & van Leeuwen, 2006; Sekuler, Palmer, & Flynn, 1994; see Figure 1). The composite figure included a square with a second shape positioned partly occluded next to the square (Figure 1, Composite). The simple figure was presented in two possible alternative 178 interpretations of the composite object: global and mosaic (Figure 1, Simple-Global, Simple-Mosaic). Global figures presented a globally completed, symmetrical shape, whereas a mosaic figure simply presented a 2-D cutout outline shape identical to the visible part of the partly occluded figure. At a viewing distance of 60 cm, each simple figure touched a circular region with a radius of 0.6° of visual angle. The square of the occluded objects subtended 183 1.1° x 1.1°. For each memory display, four or eight distinct objects of the same completion

184 type were presented randomly at ten positions within a circular region with a radius of 5.0° , with two or four objects in each hemifield. A given shape could appear only twice at mostin the same display. The test probe was identical to the item in the same position of the memory display in half the trials and different in the other half. It should be noted that "same" or "different" in this experiment refers to object identity, rather than to the completion type. For example, the occluded cross in Figure 1a (Composite) would be considered the same object 190 as the other two variants of simple objects presenting a cross-shaped item (Figure 1a, Simple).

Procedure and Design

 Each trial started with the presentation of a central fixation cross for 500 ms, followed by an arrow cue pointing to either the left or the right for 500 ms. Next, participants were presented with a memory display of either simple or composite objects for 300 ms. Following a blank screen of 900 ms, the test display was presented until a response was issued. Participants were instructed to memorize the stimuli presented in the hemifield indicated by the arrow cue and respond with left and right mouse keys to indicate whether the test probe in 199 the cued hemifield was the same as or different from the corresponding item in the memory display. Left/right responses were counterbalanced across observers to control for stimulus-response compatibility effects. Observers were asked to respond as accurately as possible, without stress on response speed. Trials were separated from each other by a random interval between 300 and 400 ms. Figure 2 illustrates typical examples of a trial sequence.

There were eight experimental blocks, with 160 trials each. Each block presented only

EEG Recording and Data Analysis

 The EEG was continuously recorded using 64 Ag/AgCl active electrodes (Brain Products Munich) according to the international 10-10 System with a sampling rate of 1000 Hz. Vertical and horizontal eye movements were monitored with electrodes placed at the outer canthi of the eyes, and respectively, the superior and inferior orbits. The electrode signals were amplified using BrainAmp amplifiers (BrainProducts, Munich) with a 0.1 – 250-Hz bandpass filter. All electrode impedances were kept below 5 kΩ. During data acquisition, all electrodes were referenced to FCz, and re-referenced off-line to averaged mastoids. Prior to segmenting the EEG, the raw data was visually inspected in order to manually remove nonstereotypical noise. Next, an infomax-independent component analysis was run to identify components representing blinks and horizontal eye movements, and to remove these artifacts before backprojection of the residual components. Subsequently, the 227 data were band-pass filtered using a $0.1 - 40$ -Hz Butterworth IIR filter (24 dB/Oct). Signals were then averaged off-line over a 1200-ms epoch relative to a 200-ms pre-stimulus (memory

Behavioral Data. Figure 3a depicts the mean percentage of correct responses for

 composite objects as a function of set size, separately for the different interpretations. A repeated-measures ANOVA on the accuracy data was performed with the factors set size and interpretation, yielding main effects of set size, $F(1, 16) = 767.07$, $p < .0001$, $\eta_p^2 = .980$, and 254 interpretation, $F(1, 16) = 39.06$, $p < .0001$, $\eta_p^2 = .709$. Accuracy was higher for set size 2 (84%) than for set size 4 (67%), and higher for global (77%) than for mosaic interpretations 256 (74%). The interaction between set size and interpretation was also significant, $F(1, 16) =$ 257 11.62, $p = .004$, $\eta_p^2 = .421$: a significant difference between global (86%) and mosaic 258 interpretations (81%) manifested with set size 2, $t(16) = 6.66$, $p < .0001$, while this difference was reduced for set size 4 (global: 68%; mosaic: 67%), *t*(16) = 1.88, *p* = .078. Replicating our previous findings (Chen et al., 2016), this reduction in performance can be attributed to the reduced scanning time available per object with an increased set size. As a result, not all objects are effectively completed for the larger, 4-item display. With larger memory arrays, there would then also be a higher chance of guessing, as attention is less likely focused on the 264 object that is tested later on – so that this item might not have been encoded with sufficient detail. Moreover, accuracy might also be compromised by errors arising from the comparison of an item held in memory with the test probe presented (Awh et al., 2007), and these comparison errors might also increase with set size.

underestimation should be comparable for global and mosaic interpretations). Essentially, this

Discussion

328 The present results show that VWM load is directly influenced by processes of object completion given identical physical input. For the composite objects, the behavioral result pattern replicates previous findings (Chen et al., 2016): there was an advantage in representing globally completed over (uncompleted) mosaic interpretations in VWM, where this advantage for completed shapes decreased with an increase in the number of items that were to be memorized. An advantage for global over mosaic interpretations was also evident in the behavioral estimate of memory capacity K , which showed that, with the current stimulus material, a maximum of 1 to 2 objects could be successfully retained in VWM. The ERP analyses revealed larger CDA amplitudes for completed versus mosaic representations, for both set sizes, thus mirroring the effect pattern of the *K* estimate. Moreover, the differences in CDA amplitude and behavioral accuracy between completed and mosaic representations were significantly correlated. To our knowledge, these findings provide the

340 first demonstration that VWM load – as measured by the CDA wave – is determined by 341 processes of object completion.

 The pattern for simple objects also closely replicated our previous findings (Chen et al., 2016): more regular, symmetric, global shapes led to higher performance than more irregular and complex, mosaic objects. The corresponding CDA analysis for simple objects revealed a larger amplitude for more complex mosaic shapes than for simpler global shapes, thus contrasting with the pattern observed for composite objects (for which the CDA was larger for global than for mosaic objects).

 Our simple-object results may be directly compared to previous, related studies that examined how object complexity modulates VWM and the CDA amplitude. For instance, reduced behavioral performance and increased CDA amplitudes were found in a change detection task for rather complex polygon shapes as compared to simpler, colored squares (Alvarez & Cavanagh, 2004; Gao et al., 2009; Luria et al., 2010) – indicative of an increase in perceptual complexity giving rise to increasing VWM demands. That a comparable pattern of results was also found in the presentexperiment when comparing global and mosaic 355 variants of the simple (non-occluded) objects, confirms that VWM maintenance demands depend on stimulus complexity: less complex global, symmetric objects engender a lower VWM load along with a reduced CDA amplitude compared to more irregular, rather complex mosaic shapes.

 Over and above these established effects of perceptual complexity in VWM, our results 360 for composite objects demonstrate a novel link between object completion and memory load. In particular, our findings show that identical perceptual input may lead to differences in the

 way an object is completed, depending on the prevailing simple-object context. This suggests that observers effectively use past perceptual experience – including long-term familiarity as well as short-term priming – to construct a perceptual representation that, in the global 365 interpretation, incorporates the occluded portions of a given object (Chen et al., 2016). Evidence for such context-dependent object completions was found in both behavioral performance and the CDA amplitude. Completion of the occluded part of an object to represent a whole renders a more elaborate but at the same time less complex memory representation. Specifically, for global objects, completion resulted in a more regular and symmetric representation, with these simpler shapes in turn yielding an improved performance accuracy compared to uncompleted but more complex shapes in mosaic-type representations (see also van der Helm, 2014). At the neural level, we observed a sustained increase of the CDA amplitude for globally completed objects. While this is in line with the proposal that more elaborate processing, involving mnemonic resources, is required to create complete-object representations from physically specified fragments (Biederman, 1987), it also suggests that persistent mnemonic activity is required to maintain the resulting representations in a readily accessible form (see also Pun, Emrich, Wilson, Stergiopoulos, & Ferber, 2012; Ewerdwalbesloh, Palva, Rösler, & Khader, 2016). Convergent evidence for this 379 proposal is provided by studies that used a shape-from-motion paradigm (Emrich, Ruppel, $\&$ Ferber, 2008; Pun et al., 2012). Here, too, the CDA exhibited a sustained increase in amplitude in a task that required an (integrated) object to be extracted and maintained from fragmentary perceptual information. Thus, on this view, the occluded objects engage some additional, completion-related process while being actively maintained in VWM, which is

 reflected in the increased CDA as compared to the non-completed mosaic representations. Completion, in turn, renders a rather simple object representation, supporting an improvement in performance relative to the more complex mosaic representation. [Of course, completion might, in principle, also generate a relatively complex, non-symmetrical shape (e.g., some form of local shape completion; see Chen et al., 2016), which doesnot translate into a comparable performance advantage as for the globally completed, symmetric shape.] In sum, we interpret the observed increase in CDA amplitudes for the global interpretation to reflect the increased demand associated with the imagery process for completing the occluded object parts to represent the whole object, while the observed increase in accuracy for the completed objects derives from the simple and symmetric object representation rendered by this process. This is also reflected in the significant correlation between the completion effect in the CDA amplitude and behavioral accuracy, that is: the advantage for representing completed interpretations in VWM comes at a cost in terms of the mnemonic resources required. Previous studies have shown that the CDA amplitude increases systematically with the number of objects stored in VWM, up to the maximum load (Vogel & Machizawa, 2004;

Luria, Balaban, Awh, & Vogel, 2016, for review). Our results show that the capacity limit in

the currentexperiment is at about 1.5 items**,** as indicated by the estimates of*K*. Comparable

402 capacity estimates were reported previously for other geometric objects (e.g., Alvarez $\&$

Cavanagh, 2004). Owing to this relatively low capacity, at set size 4, the number of

to-be-remembered items exceeds the maximum load by more than half, as a result of which

only a subset of up to two items is encoded. This is reflected in the CDA being comparable

406 between the two set sizes, that is: the available resources were already maximally invested with 2-item memory displays, so that no further resources could be mustered when the number of to-be-remembered objects was increased to 4 (see also Luria et al., 2010; Gao et al., 2009).

 As concerns the limits on the storage capacity of working memory, one view proposes 411 that VWM consists of a pool of resources that can be allocated flexibly to provide either a small number of high-quality representations ora larger number of low-quality representations (Bays & Husain, 2008); by contrast, others have suggested that the number of items that can be stored in VWM is limited and cannot change (Luck & Vogel, 1997; Zhang & Luck, 2008). Here, we found *no* evidence that observers could increase the number of representations by decreasing the quality of the representations in VWM. Instead, we show that, when presented with more objects than the maximum capacity, observers can still store 418 high-quality representations of a subset of the objects, without retaining any information about the others. However, within the limited number of items that can be retained, a variable resource is available to represent the to-be-memorized objects (Nie, Müller, & Conci, 2017; Zhang & Luck, 2008).

422 In summary, the present study shows that the construction of an integrated object requires VWM resources that depend on structural information of the (to-be-) represented 424 objects: constructing a completed representation from the physically specified parts of the stimulus involves additional mnemonic demands relative to (in terms of information content) uncompleted, mosaic representations. This argues that object representations in VWM are modulated by completion processes, in turn suggesting that the CDA does not only, or simply,

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 Fig. 1. Illustration of the experimental stimuli with their respective composite and simple versions (global and mosaic interpretations). The stimuli were adapted from van Lier et al. (1995), Plomp and van Leeuwen (2006), and Sekuler et al. (1994).

 Fig. 2. Trial sequence. Example trial (a) shows a set size 4, composite-object memory display followed by a test display supporting a global interpretation. Participants were instructed to memorize only the stimuli presented on the side indicated by the arrow prior to the memory display. The correct response would be 'same'. Example trial (b) presents a set size 2, 525 simple-object memory display, with global (i.e., symmetric) shapes (correct response: "same"). Note that the example trials in (a) and (b) were presented in the same block (in randomized order), to coherently support a 'global' interpretation of the occluded objects. Example trials (c) and (d) show a composite- and a simple-object memory display with two and four objects, respectively. Displays as depicted in (c) and (d) engender a 'mosaic' interpretation, and were also presented within the same block (correct responses: 'different'). **Fig. 3.** Mean percentage of correct responses (a) and capacity estimate *K* (b) as a function of

memory set size for the different interpretations (global, mosaic) of the composite objects.

Error bars indicate 95% (within-participant) confidence intervals.

