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Cloning and sequence analysis of Sox genes in a tetraploid cyprinid fish, Tor douronensis

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A PCR survey for Sox genes in a young tetraploid fish *Tor douronensis* (Teleostei: Cyprinidae) was performed to access the evolutionary fates of important functional genes after genome duplication caused by polyploidization event. Totally 13 Sox genes were obtained in *Tor douronensis*, which represent SoxB, SoxC and SoxE groups. Phylogenetic analysis of Sox genes in *Tor douronensis* provided evidence for fish-specific genome duplication, and suggested that Sox19 might be a teleost specific Sox gene member. Sequence analysis revealed most of the nucleotide substitutions between duplicated copies of Sox genes caused by tetraploidization event or their orthologues in other species are silent substitutions. It would appear that the sequences are under purifying selective pressure, strongly suggesting that they represent functional genes and supporting selection against all null allele at either of two duplicated loci of *Sox4a*, *Sox9a* and *Sox9b*. Surprising variations of the intron length and similarities of two duplicated copies of *Sox9a* and *Sox9b*, suggest that *Tor douronensis* might be an allotetraploidy.

Tor douronensis, Sox gene, tetraploidy, genome duplication, gene diversity

Sox genes, a gene family of transcription factors involved in a variety of development processes and characterized by the presence of a DNA-binding HMG domain, are found throughout the animal kingdom, and were first discovered as a group of genes related to the mammalian testis-determining factor gene Sry^[1]. Based on their sequence similarity, function, gene structure and chromosome location, Bowles et al.^[2] systematically analyzed the evolutionary history of the Sox gene in metazoan, and suggested that Sox genes can be divided into groups A-J. Schepers et al.^[3] proposed that the mouse and human genomes contain 20 orthologous pairs of Sox genes and represent the Sox groups A-H, respectively. Interestingly, studies of Sox genes in teleost fishes, such as Danio rerio and Takifugu rubripes, showed that most of Sox genes in mammalian lineage have two copies in teleost lineage, which was supposed to be caused by fish-specific genome duplication and have indicated some hints of important functional genes evolution in duplicated genome $[^{[4,5]}]$.

The whole genome duplication caused by polyploidization event is thought to be the most economical and prompt approach to producing abundant genetic materials and has played a pivotal role in the evolution of vertebrates^[6-8]. Ohno^[6] proposed that two rounds of genome duplications (the "2R" hypothesis) had occurred during the early evolution of the vertebrate lineage: one in the common ancestor of all vertebrates and the other after the divergence of Agnatha (jawless vertebrates) and Gnathostoma (jawed vertebrates)^[9-11]. Recently, extensive comparative genomics studies have revealed that teleost fishes experienced another round of genome duplication, the so-called "fish-specific genome duplication" (FSGD), which has been proposed to contribute a lot to the successful radiation of teleost species^[12-16]. Interestingly, to date, polyploidy still widely exists in

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diverse fish groups, such as Acipenseriformes, Salmoniformes and Cypriniformes, and may be a significant phenomenon in the evolution of fishes^[17]. Some primary species, such as paddlefish Polyodon spathula, lungfish Protopterus dolloi and spotted gar Lepisosteus *oculatus*, are tetraploidy (N = 4). Species in the family Salmonidae are believed to have evolved from an ancestor in which an autotetraploidization event occurred 25 to 100 million years ago (MYA)^[6,18]. In cyprinids, polyploids are quite common, both at the whole subfamily level and genus level, mainly in subfamilies Cyprininae, Schizothoracinae, and Barbinae. Various types of polyploidy have been observed in the family Cyprinidae, for example, crucian (Carassius auratus) are tetraploidy (N = 4, 2n = 100), and Schizothorax prenanti are hexaploidy (N = 6, 2n = 148). Cytological studies suggest that all species of the genus Sinocyclocheilus are tetraploidy and the genus may have a tetraploid ancestor^[19]. Thus, it has been suggested that polyploidization events repeatedly occurred in those different lineages of cyprinids^[20,21].

For the important role of the genome duplication in the organism evolutionary process, the molecular diversity mechanism of duplicated genome caused by polyplodization has been a research hotspot in the molecular evolution filed. Nowadays, studies of duplicated genome evolution mainly focus on yeast^[22], plant^[23-25] and teleost^[26] that experienced ancient polyploidization. However, little is known about the molecular evolution of duplicated genome in those species that experienced recent polyploidization events or the so-called evolutionary young polyploidy. As is well known, immediately after a genome duplication event, those duplicated genes have a redundant function. Has natural selection on genes in the duplicated genome completely relaxed? Do those duplicated genes evolve at the same rate in young polyploidy? In order to address these questions, a PCR survey for Sox genes in a young tetraploid cyprinid fish Tor douronensis was performed. Tor douronensis is an evolutionary tetraploidy (N = 4, 2n = 100) according to previous cytological work^[20]. The latest study in our lab shows that Tor douronensis is a very young tetraploiy, and the speciation event occurred just about 2 million years ago (MYA)^[27], which make it an ideal model for investigating the gen(om)e evolution in young polyploidy. The aims of this study are: (i) to investigate the evolution of Sox genes in Tor douronensis; (ii) to test whether *Sox* genes in teleost fish support the fish-specific genome duplication; (iii) to infer the origin of *Tor douronensis*.

1 Materials and methods

1.1 Experimental materials

A female specimen used in this study was collected from Mengla, Yunan Province, and identified following the classification system of Chen et al.^[28]. Muscle used for genomic DNA extraction was preserved in 95% ethanol. Specimen was deposited in the Fish Collection of the Institute of Hydrobiology of the Chinese Academy of Sciences and the specimen voucher number is IHBCY0405871.

1.2 DNA extraction, PCR amplification, cloning and sequencing

Total genomic DNA was isolated from muscle tissue using the standard phenol-chloroform method^[29]. Sox genes were amplified by genomic polymerase chain reaction (PCR) using two pairs of degenerate primers designated as SoxN and Sox9^[30]. The sequences of degenerate SoxN primers are 5'-ATG AAY GCN TTY ATG GTN TGG-3' and 5'-GGN CGR TAY TTR TAR TCN GG-3'. The Sox9 primers 5'-ATG AAY GCS TTY ATG GTI TGG-3' and 5'-GTC IGG GTG RTC YTT CTT RTG YTG-3' were specific for Sox9-related genes. PCR reactions were conducted in a volume of 50 µL PCR cocktail consisting of $1 \times$ buffer with 1.5 mmol/L MgCl₂ (Invitrogen), 0.25 mmol/L dNTPs (Promega), 2.5 U Taq DNA polymerase (Invitrogen), 0.2 pmol/L each oligonucleotide primer, and 40-50 ng genomic DNA. The PCR amplification profile included an initial 3 min denaturing period at 94°C. The following PCR conditions were used for the two pair degenerate primers SoxN and Sox9: 35 cycles at 94°C for 30 s, 52°C for 1 min and 72° C for 1 min, followed by a final extension at 72° C for 5 min. Resulting PCR products were resolved on 1% agarose gels, and purified with AxyPrep DNA gel extraction kit (Axygen). Then the purification products were ligated and cloned using the TA cloning kit (Invitrogen). Plasmid DNA of clones of each PCR product was extracted and sequenced using an ABI 3730 automatic sequencer. To ensure authenticity, all sequences were sequenced in both directions.

1.3 Data analysis

(i) Sequences identification. The sequences of PCR clones and their reverse complements were aligned using Clustal $X^{[31]}$ and verified manually according to the sequencing maps. Then, Basic Local Alignment (TBLASTX and BLASTN) searches of the GenBank database were first made to determine the identity of those PCR clones and exon-intron structure of *Sox* genes with intron. The DNA sequences of *Sox* genes in *Tor douronensis* were translated into their putative amino acid sequences in MEGA 4.0^[32], and further identified by the key signature residues character of *Sox* genes^[23].

(ii) Phylogenetic analysis. The evolutionary phylogenetic relationships of Sox genes in Tor douronensis were analyzed using minimum evolution (ME) and Bayesian methods. ME analysis was performed with Equal Input matrix using the putative amino acid sequences in MEGA 4.0. Bayesian analysis was executed using the DNA sequence in Mrbayes 3.1.2^[33], with the TrN + I + G determined by Modeltest 3.7^[34] as the best-fit model of the sequence evolution. Starting trees were random. Four simultaneous Markov chains were run for 4000000 generations. Trees were sampled every 100 generations and finally 40000 trees were produced. Chain stationarity was achieved after 2000000 generations and therefore 20000 trees were subsequently discarded (burn-in). In phylogenetic analysis, known Sox genes of human Homo sapiens, zebrafish Danio rerio and pufferfish Takifugu rubripes were used for comparison and trees were rooted with human TCF7 gene (NM 201632.1).

(iii) Evolutionary analysis. The sequence similarities including both the nucleic acid and amino acid were calculated in MatGET v2.02^[35] with BLOSUM50 scoring matrix. The synonymous and nonsynonymous substitution rates (*Ks* and *Ka*) were calculated using the modified Nei-Gojobori^[36] (assumed transition/transversion bias = 3.631) model with MEGA 4.0. The number of nucleotide substitutions per site (*d*) between two sequences was estimated using Kimura 2-parameter model with MEGA 4.0. The *Z* test was also performed using MEGA 4.0 to detect deviation from neutrality of those duplicated genes caused by polyploidization.

2 Results

2.1 Identification and evolutionary phylogenetic analysis of *Sox* genes in *Tor douronensis*

Total 36 clones from the PCR products of two pairs degenerate *Sox*N and *Sox*9 primers were sequenced in our analysis. In order to assign names to *Sox* genes in *Tor douronensis* and determine orthology with counterparts in other organisms, we undertook a series of analyses based on sequence and organization of these genes. A summary of our analyses and proposed gene identification is presented in Table 1. First, those clone sequences were primarily identified and intron positions of *Sox* genes with intron in the HMG domain were also determined using the TBLASTX and BLASTN searches. Then, alignment of their DNA sequences (intron sequences were deleted.) were made, as shown in Figure 1(a). Figure 1(b) shows the putative amino acid se-

 Table 1
 Identified Tor douronensis Sox genes (and orthology with zebrafish Sox genes)

Tor douronensis Sox genes					Group	Zabrafiah gana nama	
Original clone IDs	copies	size (b)	gene name accession No.		Group	Zeoransii gene name	
TDN15, TDN ^{a)} 20	2	162	Sox4ai	EU399799		Soula	
TDN16, TDN24	2	162	Sox4aii	EU399800	C	<i>30x</i> 4 <i>u</i>	
TDN12, TDN14, TDN18	3	162	Sox4b	EU399801	C	Sox4b	
TDN25, TD9 ^{b)} 112	2	162	Sox11b	EU399802		Sox11b	
TD924—TD928, TD936	6	335(191) ^{c)}	Sox9ai	EU399808		Sox9a	
TD941—TD944	4	627(483)	Sox9aii	EU399809	Б		
TD9518—TD920	3	805(661)	Sox9bi	EU399810	E	Sox9b	
TD953, TD958	2	823(679)	Sox9bii	EU399811			
TDN5, TDN10, TD9110,	3	144	Sox14a	EU399803		$Sox14a^{d}$	
TD9113, TD9117	2	144	Sox14b	EU399804		$Sox14b^{d}$	
TDN13, TDN19, TDN21	3	162	Sox19b	EU399807	В	Sox19b	
TDN7, TDN9	2	162	Sox21a	EU399806		Sox21a	
TDN4, TDN8	2	162	Sox21b	EU399805		Sox21b	

a) TDN represents clones from the PCR products with primers *SoxN*; b) TD9 represents clones from the PCR products with primers *Sox9*; c) number in the brackets is the length of intron; d) *Sox* gene of *Takifugu rubripes*.

(a)	10	20	30	40	50	60	70	80	90
		1	.					.	· · · I
SOX4ai	TCGCAGATAGAGAG	GCGCAAGATTA	IGGAACAGTCO	SCCGGACATG	CACAACGCG	GAGATCTCCAA	GAGACTCGG	CAAGCGCTGGAA	ACTT
SOX4aii	AC		G	A		c	T		GC
SOX4b	CT	AGC.	GG.		тт	TA	.c.c	A	A
SOX9ai	GAGCG.C.C.	AA.GC.GG	CTA0	ccc.c	c	CAG	A.CTG	A.TTG	AT.G
SOX9aii	GAGCC.C.	CA.GC.CG	CTA1	rcc.c	c	CAG	A.CTG	A.TTG	AT.G
SOX9bi	G.TAGCC.C.C.	CA.GC.GG	CTA1	ACC	c	CAG	A.CC	AA.TCG	AT.A
SOX9bii	G.TAGCC.C.C.	CA.G.AC.GG	CCTA1	rcc.c	т	AC AG	A.CC	G A. T CG	AT.A
SOX11b	CAC	.A.A. AC.		r	т.т		AG		.A.G
SOX14a		AA.ACGG	CACTGG AAC		T . T			IGCAGAG	GG
SOX14b	AAGAGGCC	.A.GAGG	CTCGAAT	C CA. A	TT.A		AT	GCCGAG	GT.G
SOX21b	C.GAGCGCC.	GA GG	CCC. G. AAAO		TT.C		.c	AGC.GAA	
SOX21a	AGAGCCC	A . G	CACTGG CAAC	AAG	т.т	AG	AC G T	AGG GAA	C
SOX19b	C G GGTC C	A G GG	CAC GG AAC	CA A		AAG		CC GAA	
bonisb			CHC.00					Joc. 0101	
	100	110	120	130	140	150	160		
		1	.						
SOX4ai	TTGAAAGACAGCGA	CAAGATCCCCT	TCATCCGCGAG	GCCGAGCGT	TGCGGCTC	AAGCATATGGC	AGACTAT		
SOX4aii	c		A	GG	A	c	GC		
SOX4b	CCCTTTAT	GA	AAA		FTA	c	т		
SOX9ai	CTGG	ACGT	G.AGAG	TC	CAG.T				
SOX9aii	CCGG.T	ACGT	G.GGAG	тс	CAG.T				
SOX9bi	CCGG.A	GCGTG.	. TG. GGAG		AG.G				
SOX9bii	CCGG.A	GGTG.	TG.GGAG.	T	AG.G				
SOX11b	C.C. G	AT	т		.c	. A C	ΤC		
SOX14a	C.CTCT.GTCT.	A. A.GA. T.	AGA	A A	A. CGC				
SOX14b	C CTCT ATC	G CGA G	A GA	A AG	A GCT-				
SOX21b	C CG GTC	A 66 6	TGA Z		606		G AC C		
SOX21a	C TC TCT	A A CA T	T CA	A A C	TA ACC (AC C		
SOX10b	3 CC C3	C CCC C	CAT	A.AC	IA . AGC . (
SUAISD		66.	GAT	A.AG	r	311CAA	GAC		
(b)	10	20	30	40		50	60	70	80
						1	1		
Consensus	DHZKRPMNAFM	/WSRGERRKIA	QQNPDMHNSB	EISKRLGKR	KLLSESEK	RPFIEEAERL	RAQHMKDY	PDYKYRPRRKK	ĸ
SOX14a		QQM.	LEK	AE.		Y.DK			
SOX14b		Qм.	.EK	AE.		Y.DK			
SOX21b		MQM.	.EK	AE.	T	BK	MEH		
SOX21a		M.	LDK	GE.	D	DK	VEH		
SOX19b		QM.	.EK	AE.	т	DK	VE.		
SOX4ai		QIM	E.SA.		KD.D.	IR	. LK A		
SOX4aii		QIM	E.SA.	P	KD.D.	IR	. LK A		
SOX4b		QIM	E.WA.		PDY	IK	.LKA		
SOX11b		KIM	E.SA.		.M.KD	IR	.LA		
SOX9ai		-AQAAL.	D.Y.HL.A.	LTL.	RN.G	v	.v		
SOX9aii		AQAAL.	D.Y.HL.A.	LTL.	RN.G	v	.v		
SOX9bi		-AQAAL.	D.Y.HL.A.	L T L.	RN.G	v	.v		
SOX9bii		AQAAL.	D.Y.HL.A.	L T L.	RN.G	v	.v		

Figure 1 Alignment of the nucleic acid sequences (a) and putative amino acid sequences (b) encoded by the HMG domains of cloned Tor douronensis Sox genes. Identical residues are indicated by dots (·). Known intron positions are marked with (|) in (a).

quence of Sox genes in Tor douronensis they encode. According to BLAST searches and key signature residues character of Sox gene, 13 different Sox genes of Tor douronensis were obtained from 36 sequenced clones.

In order to exactly assign orthologues and names to Sox genes of Tor douronensis, evolutionary phylogenetic relationships of Sox genes of Tor douronensis and their corresponding genes from human or other teleost fishes were analyzed using ME and Bayesian methods. The result of ME analysis using putative amino acid sequences is shown in Figure 2(a). As is evident in the phylogenetic tree, Sox genes of Tor douronensis fall into three Sox gene groups, SoxB, SoxC and SoxE. The genes amplified with the SoxN primers represent the SoxB and SoxC groups and lack of introns in the HMG domain^[30]. Their human orthologues are Sox4, Sox11 and Sox21, and one Sox gene of Tor douronensis has no corresponding gene in human beings. The lengths of PCR products amplified with primers Sox9 range from 200 to

1300 bp. Sox genes obtained from those products belong to the SoxB and SoxE groups, and their human orthologues are Sox9 and Sox14. Interestingly, in most cases, Sox genes in Tor douronensis have several copies, but in human there is only one copy. The phylogenetic tree of Bayesian analysis using the DNA sequences (Figure 2(b)) also supports the conclusion that the obtained Sox genes of Tor douronensis represent the SoxB, SoxC and SoxE groups. Their orthologues in teleost fishes zebrafish Danio rerio or pufferfish Takifugu rubripes are Sox4b, Sox11b, Sox14a, Sox14b, Sox19b, Sox21a, Sox21b and two isoforms Sox4a, Sox9a and Sox9b. The ortholog of one Sox gene in Tor douronensis without corresponding gene in human is Sox19b in zebrafish.

2.2 Sequence character and diversity analysis of Sox genes in Tor douronensis

Similarities including both nucleic acid and amino acid



Figure 2 Rooted evolutionary phylogenetic analysis of *Sox* HMG domain in *Tor douronensis*. (a) The minimum evolution tree (Model: equal put) inferred from the alignent of putative amino acid sequences of *Sox* genes and (b) the Bayesian analysis inferred from the alignent of nucleid acid sequence of *Sox* genes, using Hu-TCF7L2 as an outgroup. *Sox* genes of *Tor douronensis* are shaded in (a) and underlined in (b). *Sox* groups are indicated on the right. Branch lengths are representatives of the extent of divergence. The numbers on the nodes of (b) represent Bayesian posterior probabilities (BPP). HU, Human *Homo sapiens*; TD, *Tor douronensis*; Zf, Zebrafish, *Danio rerio*; Fugu, *Takifugu rubripes*.

sequences between the homeologous/paralogous Sox genes of Tor douronensis and the reported orthologues from human Homo sapiens, zebrafish Danio rerio or pufferfish Takifugu rubripes were calculated, and listed in Table 2. Similarities of nucleic acid sequences between Sox genes of Tor douronensis and zebrafish or pufferfish are higher than orthologues from human, and there is no difference among amino acid sequences similarities, which suggests that most substitutions of Sox genes are synonymous substitutions. For the two copies Sox4a, Sox4ai and Sox4aii, their nucleotide similarity is 90.1%, while their similarities to zebrafish Sox4a are 96.9% and 90.7% respectively. The amino acid sequences of Sox4ai and zebrafish Sox4a are completely identical, and proteins similarity between Sox4aii and zebrafish Sox4a is 98.1%. Surprisingly, variation of intron length and similarity between different Sox9a and Sox9b copies are extremely distinct. The intron length of Sox9ai is 191 bp, while the intron length of Sox9aii is 483 bp, and their sequences similarity is just 35%. The intron length of two isoforms Sox9b are 661 and 679 bp, and sequences similarity is only 68.8%.

To determine whether natural selection on duplicated genes caused by polyploidization events is completely

relaxed, we calculated the substitution rates of several *Sox* gene pairs of *Tor douronensis*. The results are summarized in Table 3. Analyses indicate that the ratio of non-synonymous to synonymous substitution rates (Ka/Ks) for those *Sox* gene pairs near to zero or equal to zero, and significantly reject the hypothesis that evolution of those duplicated genes caused by polyploidization is neutral.

3 Discussion

3.1 *Sox* gene complement of *Tor douronensis* and nomenclature

In total, clones of 13 *Tor douronensis Sox* genes have been obtained, including members of the *Sox*B, *Sox*C and *Sox*E group^[2]. Each of these genes was represented by at least two independent clones, which make it very unlikely that any of the sequences presented in our analysis contain PCR artifact. According to the phylogenetic analysis and identification using key amino acid residues (especially for *Sox4b*), *Sox* genes obtained from *Tor douronensis* are *Sox4b*, *Sox11b*, *Sox14a*, *Sox14b*, *Sox19b*, *Sox21a*, *Sox21b*. For two copies of *Sox4a*, *Sox9a* and *Sox9b*, we follow the nomenclature of

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Table 2	Percentage nuc	leic acid/	amino acid	l similarity	between	the orthol	logous and	paralogous
	<u> </u>						<u> </u>	

No. Tor o	Tou dounou ousia cono	Duplicated sequences	Zebr	Uuman	
	101 uouronensis gene	Duplicated sequences	а	b	Tiuman
1	Sox4ai	90.1 ^{a)} /98.1 ^{b)}	96.9/100.0	84.6/98.1	85.8/100.0
2	Sox4aii		90.7/98.1	87.7/96.3	90.1/98.1
3	Sox4b	Vs. Sox4ai: 76.5/94.4, Vs. Sox4aii: 76.5/92.6	77.8/94.4	74.1/94.4	76.5/94.4
4	Sox9ai	84.6/100.0	78.4/87.0	75.3/88.9	74.1/87.0
5	Sox9aii		78.4/87.0	74.7/88.9	74.7/87.0
6	Sox9bi	84.0/100.0	74.1/87.0	78.4/88.9	72.8/87.0
7	Sox9bii		75.3/87.0	77.8/88.9	70.4/87.0
8	Sox11b	NC ^{c)}	85.2/100.0	95.1/100.0	84.6/100
9	Sox14a ^{d)}	Vs. Sox14b: 76.4/97.9	72.8/87.0	72.2/87.0	67.9/87
10	$Sox14b^{d}$		73.5/88.9	75.3/88.9	66.0/88.9
11	Sox19b	NC	79.6/98.1	88.9/98.1	NC
12	Sox21a	Vs. Sox21b: 75.3/96.3	88.9/100.0	76.5/96.3	75.9/96.3
13	Sox21b		78.0/96.3	95.1/100.0	80.2/100.0

a) Similarity of nucleic acid; b) similarity of amino acid; c) NC represents the similarity cannot be calculated; d) Sox gene of Takifugu rubripes.

Table 3
 Comparison of substitutions rates of young duplicated Sox genes of Tor douronensis

Duplicated gene pairs	Calculated length (bp)	Number of synonymous substitution	Number of nonsynonymous substitution	Ka	Ks	Ka/Ks	P value of Z test
Sox4ai vs. Sox4aii	162	15	1	0.0087	0.4276	0.0203	$0.0009^{a)}$
Sox9ai vs. Sox9aii	144	7	0	0.0000	0.1748	0.0000	0.0118
Sox9bi vs. Sox9bi	144	8	0	0.0000	0.1984	0.0000	0.0075
-) D < 0.05							

a) P < 0.05.

Hox genes in rainbow trout *Oncorhynchus mykiss*^[37], arbitrarily designated different copies of these genes with a lowercase *i* or *ii*.

Considering that Tor douronensis is an evolutionary tetraploidy $(N = 4, 2n = 100)^{[20]}$ and sequences of Sox genes HMG domain providing sufficient information to identify Sox gene^[2], different isoforms of Sox4a, Sox9a and Sox9b should be resulted from tetraploidization, rather than allelic heterogeneity or genome segmental duplication^[37,41]. To resolve this perplexity, one way is to map the chromosome location of these Sox genes on genetic map of Tor douronensis. For most of Sox genes obtained in this study, such as Sox4b, Sox11b, Sox14a, Sox14b, etc., only one copy is available. One explanation is that both copies of those Sox genes are sequence identical own to the short time since tetraploidization event^[27]. An alternative possibility might be attribute to different copies of those Sox genes unbalanced amplification in PCR process due to primers, temperature, etc., which give rise to clones that contain different copies of those Sox genes are quantity bias. To obtain another Sox gene member in Tor douronensis, more clones should be sequenced. Besides, because some Sox genes are related to testis-determining in mammalian, we should make a survey of Sox genes in male Tor douronensis to get Sox genes, which may be significant for studying the role of Sox gene in the sex determination in teleost.

3.2 Sox gene diversity in Tor douronensis

The most obvious consequence of genome duplication is that it enriches important functional genes. In this study, we found two isoforms of Sox4a, Sox9a and Sox9b in Tor douronensis. Theoretically, Lynch et al.^[38] summarized that fates of duplicated genes are as follows: (i) one copy may simply get silenced by degenerative mutations (nonfunctionalization); (ii) one copy may acquire a novel, beneficial function and be preserved by natural selection, with the other copy retaining the original function (neofunctionalization); (iii) both copies may be partially compromised by mutation accumulation to the point at which their total capacity is reduced to the level of the single-copy ancestral gene (subfunctionalization). By analyzing the genomes of several model organisms, Lynch et al.^[38] found that most duplicated genes experienced a brief period of relaxed selection early in their history, with a moderate fraction of them evolving in an effective neutral manner during that period. Brunet et al.^[26] held that in teleost fishes following duplication, there is an asymmetric acceleration of evolutionary rate in one of two paralogs. Result of PCR survey Hox genes indicated that pseudogene formation caused by deletions in tetraploid goldfish is more prevalent, which may be

the consequence of dosage effects as suggested by Luo et al.^[39]. In our analysis, Sox genes in Tor douronensis don't show the evolutionary pattern as Hox genes in goldfish, although we just obtained partial of Sox gene sequenses in the HMG domain. Since most of the nucleotide differences between duplication (such as Sox4a) or their orthologues in other species are silent substitutions, it would appear that the sequences are under selective pressure, strongly suggesting that they represent functional genes. Our results seem not to support the neutral evolution hypothesis in the early stage after gene duplication, but are in agreement with previous works in frog Xenopus laevis^[40] and Zea perennis^[41]. It is interesting to note the extremely high variation of the intron length and similarities of two copies of Sox9a and Sox9b. Sequences analysis indicated that there was no mobile elements insertion and the sequence alignment scores were very low. Given the evolutionary rate of nucleotide and the speciation event of Tor douronensis, the possible explanation for this interesting phenomenon is that Tor douronensis may be an allopolyploidy, and the variation of intron length and lower sequence similarities might be existed in its ancestors. Of course, to get an unambiguous answer, more genes in Tor douronensis and its closely related tetraploid species in genus Tor should be investigated.

3.3 The evolution of *Sox* genes in vertebrates and fish-specific genome duplication

Interestingly in our analysis, one member of *SoxB* group, *Sox19b* has no corresponding gene in human, but its othologous are present in teleost fishes zebrafish^[42] and pufferfish^[5]. One possible explanation is that *Sox19* may represent an ancient vertebrate gene that was subsequently lost in the mammalian lineage after divergence with teleost fishes. However, we cannot rule out the alternative possibility that *Sox19* evolved through an ancient fish-specific random duplication of one *Sox* gene. To reveal the origin of this gene, some primary mammalian species should be investigated to see whether it

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exists in their genomes or not.

Our analysis shows that Sox genes of Tor douronensis (Sox4, Sox9, Sox14 and Sox21) have 2 to 4 copies compared to mammals, and the pattern was also observed in other teleost fishes^[4,5,43]. A large number of duplicated copies of single copy mammalian genes have been identified in teleost fishes. For example, in contrast to four clusters of *Hox* genes in mammals, several teleost fishes contain at least seven *Hox* clusters, respectively^[12,13,44,45]. Comparative genomics studies have revealed that teleost fish experienced the so-called "fish-specific genome duplication" (FSGD), which caused duplicated copies of single copy mammalian genes in teleost fishes. In this study, the number of Sox genes in Tor douronensis and results of phylogenetic analysis together with other teleost Sox genes also support the fish-specific genome duplication hypothesis (Figure 3). Meyer et al.^[46] proposed the one-to-four (-to-eight in teleost fishes) rule in vertebrates gene and genome duplications. For the polyploid fishes, the one-to-four-to-eight-to-sixteen-to-more rule may be more suitable, which makes polyploid fishes are ideal models for investigating the gene and genome evolution in the duplicated genomes. Compared to the *Hox* genes clusters, *Sox* genes are not present in clusters in any of the genomes characterized so far. Thus, Sox genes together with Hox genes clusters may be the excellent genetic markers for testing the fish-specific genome duplication hypothesis.



Figure 3 Evidence of fish-specific genome duplication (FSGD) inferred from *Sox* genes in *Tor douronensis* and other teleost fish.

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