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THE ROLE OF CYTOKINES IN DEPRESSION IN ADOLESCENTS: A SYSTEMATIC REVIEW

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Running Head: Inflammation in Adolescence

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#### Abstract

**Background:** Cytokines have been implicated in the pathophysiology of depression in adults, however the potential role in younger age groups such as adolescents is less clear. We review the literature (i) exploring the relationship between cytokines and depression in adolescents and (ii) examining how cytokines may be related to adolescent depression in the context of other neurobiological theories of depression.

**Method:** A systematic review of the scientific literature on the subject was conducted in February 2013, searching the Web of Knowledge, PubMed (Medline), PsycInfo, and Cochrane electronic databases.

**Results:** Eighteen studies were identified measuring both depression or depressive symptoms and cytokines or immune markers in adolescents. Adolescents with depression show age specific characteristics of the immune and inflammatory system, specifically in NK cell activity and in pro-inflammatory cytokines (such as IL-1 $\beta$  and TNF- $\alpha$ ). In addition, the role of cytokines in adolescent depression is influenced by neurodevelopment, hormonal changes, stress, and trauma.

**Conclusions:** There may be differences in the neurobiology of adolescent MDD compared to adult MDD. Increased understanding of the role of cytokines in adolescent MDD may lead to improved outcomes in the treatment of adolescent depression.

**Key Words:** Cytokines, inflammation, immune system, adolescents, depression, cognition, stress

**Abbreviations:** MDD=Major Depressive Disorder; CD=Conduct Disorder; HPA=Hypothalamic-Pituitary-Adrenal; IL=interleukin; TNF-α=tumor necrosis factor-α; IFN-γ=interferon-γ; NK cells =natural killer cells; TRP=tryptophan; KYN=kynurenine; 3-HAA=3-hydroxyanthranilic acid; QUIN=quinolinic acid; tCho=total choline; PUFAs=polyunsaturated fatty acids; CRH= corticotrophin-releasing hormone; CRP=C-reactive protein; LPS=lipopolysaccharide; SSRI=selective serotonin re-uptake inhibitor

# **Conflict of Interest:**

All authors declare no conflict of interest.

#### Introduction

Major depression is a leading cause of disability worldwide (Moussavi et al., 2007), with lifetime prevalence in most countries ranging between 8 to 12% (Andrade et al., 2003). It is responsible for the greatest proportion of disease burden attributable to non-fatal health outcomes, accounting for almost 12% of total years lived with disability worldwide (Ustun and Chatterji, 2001). Major Depressive Disorder (MDD) in young people is estimated to be experienced by approximately 2% of children and 4% to 8% of adolescents (Birmaher et al., 1996) and carries its own burden of disadvantage often persisting or reemerging in adulthood (Weissman et al., 1999, Dunn and Goodyer, 2006). The Diagnostic and Statistical Manual of Mental Disorders 4<sup>th</sup> edition (DSM IV) considers major depression in adolescents and adults to be similar, although adolescents may show irritability rather than report depressed mood (APA, 2000). The 5<sup>th</sup> edition of the DSM (DSM 5) maintains these criteria (APA, 2011). Similarities in the clinical presentation of MDD in children, adolescents and adults are supported by additional evidence (e.g. (Kovacs, 1996, Kaufman et al., 2001)), yet there is also support for differences in risk factors, clinical outcome, and biological correlates between adults and adolescents (Zisook et al., 2004, McDermott et al., 2010, Kaufman et al., 2001, Hill et al., 2004, Jaffee et al., 2002). Apparent differences in underlying aetiologies between adolescent- and adult- onset depression (Kaufman et al., 2001) may be attributable to developmental differences, or biologic changes that are sub-syndromal and too subtle for studies designed to detect the effect sizes reported in adult studies. Alternatively, it could be speculated that biologic changes observed in depressed adults but not in adolescents or children might be attributable to medication intake

since adults with depression often have a prolonged medication history as compared to adolescents and children with depression.

Although there are differences in risk factors for depression in adolescent- and adultonset depression, family history and stressful life events including childhood maltreatment are significant risk factors for both (Goodyer, 2008, Jaffee et al., 2002). A family history of depression does not automatically indicate a genetic contribution to the disorder, as it reflects both shared genetic and common (family) environmental effects. However, twin studies designed to tease out the heritability (proportion of variance that can be attributed to genetic factors), consistently indicate a substantial genetic component, particularly in clinical samples (e.g. (McGuffin et al., 1996)). Yet, identification of gene variants that robustly associated with depression have remained elusive, with environmental factors that interact with genetic predisposition being of potential relevance. A particularly important environmental mediator in depression is stressful life events, particularly childhood maltreatment (Nanni and Valentina, 2012). Such experience may result in dysregulation of the adaptive stress response system (Danese et al., 2007). To investigate the interaction of genetic and environmental risk factors requires large data sets in which participants have provided DNA and have been consistently measured, preferably longitudinally, for environmental risk factors. Unfortunately, such data sets are rare. The on-going debate about the interaction between stressful life events and the serotonin transporter length polymorphism illustrates how differences in the measurement of environmental risk factors might, in part, be responsible for inconsistent findings between studies (Caspi et al., 2003, Risch et al., 2009, Karg et al., 2011, Uher et al., 2011, McGuffin et al., 2011).

In adults, accumulating evidence suggests that MDD may be associated with immune system dysregulation (Irwin and Miller, 2007), at times occurring in the absence of specific immune challenges such as infections. Cytokines are signaling molecules that mediate key steps in cellular and humoral immunity, and a biological relationship with MDD is supported by a large number of mechanistic studies in vivo and in vitro (Dantzer et al., 2008). Cytokine genes, such as variants of the *IL-16* and *TNF-\alpha* genes, have been implicated in impaired emotion processing in major depression and in hippocampus formation in recent fMRI studies (Baune et al., 2010, Baune et al., 2012b). Furthermore, a recent review suggested that genetic variants of cytokines are possibly involved in the pathophysiology of depression (Bufalino et al., 2012). Of particular relevance, cytokines have also been implicated in the stress response, important in depression (Szelenyi and Vizi, 2007). In both adults and adolescents, childhood maltreatment has been associated with an elevation in CRP levels, indicating a dysregulation of the immune system, further compounded by concurrent depressive symptoms (Danese et al., 2011, Danese et al., 2007). In addition, cytokines are implicated in neurodevelopment and with stress regulation since pro-inflammatory cytokines may exert direct effects on the hypothalamicpituitary-adrenal (HPA) axis (Janssen et al., 2010, Song, 2002). Prolonged periods of excess corticosteroids following chronic stress are particularly important during adolescence, as brain structures such as the hippocampus are susceptible to adverse stress-associated effects (McKittrick et al., 2000). Also, biological susceptibility to stress related brain damage may be heightened during adolescence when myelination processes within the central corticolimbic circuitry of the brain occur (Benes, 1989).

The primary purpose of this review is to assess literature on the role of cytokines in adolescent depression by identifying studies that measured both cytokines and symptoms of depression in adolescents. By doing this, we aim to clarify if cytokines have a differential role in depression in adolescents compared to adults. The secondary purpose of this review is to examine how cytokines may be related to adolescent depression in the context of other neurobiological theories of depression.

#### Method

The literature search included published articles until February 2013 according to the PRISMA (preferred reporting items for systematic reviews and meta-analyses) guidelines as they apply to systematic reviews (Liberati et al., 2009). The primary purpose was to identify all studies that provide empirical data on the measurement of cytokines and symptoms of depression in adolescents. In order to compare findings in adolescents to other age groups, the search was expanded to all age groups. In addition, as some studies in older patients with MDD found a relationship between cytokines and cognition and cognitive dysfunction which may present as a symptom in depression, we also searched for studies that measured cytokines and cognitive performance in adolescents. As a family history of depression is also considered a risk for MDD, 'genes' was included as a search term. To help identify publications considering a differential role for cytokines and immune markers in adolescent MDD, we conducted a further literature search applying the following combination of search terms – Cytokines, Immun\*, and Depression and Stress. The final search strategy is illustrated in Figure 1.

### [Insert Figure 1 about here]

## Results

Several detailed reviews have reported on the cytokine theory of depression (Haroon et al., 2011, Capuron and Miller, 2011, Dowlati et al., 2010, Janssen et al., 2010, Maes et al., 2009, Dantzer, 2009, Dantzer et al., 2008, Miller et al., 2009, Loftis et al., 2010, Sharpley and Agnew, 2011), with a primary focus on adults, or older age groups. Our review comprises three main sections: (i) the cytokine theory of depression as reported in adults, (ii) evidence specifically relevant to adolescent-onset depression, and (iii) clinical implications.

## I. The Cytokine Theory of Depression

#### **Biological Properties of Cytokines**

Cytokines are small pleiotropic proteins (Khairova et al., 2009). The term cytokine includes a large and diverse family of signaling molecules that primarily have immune modulating activity, and are produced widely throughout the body by cells of diverse embryological origin (Ransahoff and Benveniste, 2006, Rothwell and Loddick, 2002). Cytokines can be viewed as either 'pro-inflammatory' or 'anti-inflammatory', depending on their primary effects on target cells (Khairova et al., 2009). Pro-inflammatory cytokines include interleukin-1 $\alpha$  and  $\beta$  (IL-1 $\alpha$  and IL-1 $\beta$ ), tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interleukin-6 (IL-6). These molecules are believed to co-ordinate the local and systemic inflammatory response to microbial pathogens (Dantzer et al., 2008). Others, such as interleukin 10 (IL-10), are considered 'anti-inflammatory' (Rothermundt et al., 2001), however this distinction of pro and anti-inflammatory cytokines has been regarded as an over-simplification in the context of depression (Janssen et al., 2010). In the brain, as in systemic organs, the natural balance between pro- and anti-inflammatory cytokines regulates the intensity and duration of the response to immune stimuli (Dantzer et al., 2008).

Heritability of cytokine levels (IL-1 $\beta$ , IL-1ra, IL-6, IL-10, and TNF- $\alpha$ ) have been estimated to exceed 50% (De Craen et al., 2005). However, in this study cytokine levels were measured with an ex-vivo whole blood assay in response to lipopolysaccharide (LPS) stimulation, so heritability estimates may more accurately reflect "immune-response" and not necessarily naturally circulating levels of cytokines in the blood. Nonetheless, other studies based on circulating levels of cytokines implicate an important role for genetic factors (e.g. (Worns et al., 2006, Sas et al., 2012, Raggi et al., 2010)). Dysfunction in genes controlling key proteins in cytokine production have been identified as vulnerability factors for cytokine-induced depression (Dantzer, 2009), and recent studies have reported that variation in *IL-1* and *TNF-\alpha* genes, and elevated levels of *TNF-\alpha*, are associated with reduced responsiveness to antidepressant treatment (Jun et al., 2003, Rosa et al., 2004, Fertuzinhos et al., 2004). Overall, the molecular genetic basis of cytokine production in humans in the context of depression is currently not well understood.

#### **Cytokines and Depression**

Increasing evidence suggests that pro-inflammatory cytokines play a major role in the pathophysiology of depression. A role of cytokines in depression was first proposed by Smith in

the form of the 'macrophage theory of depression' stating that excessive secretion of macrophage monokines cause depression (Smith, 1991). Although no consistent association between cytokines and MDD has been reported (Einvik et al., 2012, Steptoe, 2003), a recent meta-analysis that included 24 studies reported an association between elevated levels of two pro-inflammatory cytokines, IL-6 and TNF- $\alpha$ , and major depression (Dowlati et al., 2010).

Additional research in humans is required to clarify whether cytokines are causally involved in clinical depression since the majority of studies have been cross-sectional (Sharpley and Agnew, 2011), with only a few prospective studies in humans allowing for causal inferences. For example, findings from a 12 year study of a large British occupational cohort in adults concluded that inflammation predicted cognitive symptoms of depression (Gimeno et al., 2009). Moreover, in support of a role of inflammation in the etiology of depression, Baune et al. showed in older adults that the pro-inflammatory cytokine IL-8 predicted first onset of mild to moderate depressive symptoms over a 2 year period, indicating IL-8 could be a marker of first onset of depressive symptoms in the elderly (Baune et al., 2012c). Such studies are sparse in adolescents with clinical depression, and would require a longitudinal study design.

A direct involvement of cytokines in clinical depression is supported by the view that interactions between biological factors and environmental conditions are significant in the etiology and pathophysiology of depression. For example, external factors such as psychosocial stressors and medical conditions such as organic inflammatory disorders or physiological conditions (i.e. the postpartum period), may trigger clinical depression via inflammatory processes (Maes et al., 2009). Clinical depression may also be induced by a therapeutic administration of interferon in hepatitis C (Udina et al., 2012). Experimentally, peripheral

administration of lipopolysaccharide (LPS), or of recombinant cytokines, such as IL-1 $\beta$  or TNF- $\alpha$ , induces nonspecific symptoms of sickness, including fever, activation of the HPA axis, reduction of food intake, and withdrawal from the physical and social environment, termed as *sickness behaviour* (Dantzer, 2009).

Further mechanistic studies demonstrate that LPS not only causes a peripheral inflammatory response, but also induces a neuroinflammatory reaction with increased production of pro-inflammatory cytokines such as TNF- $\alpha$  in the brain (Qin et al., 2007, Kent et al., 1992). Pre-treatment with antidepressant drugs have also been found to abrogate LPS- or IL-1β- behaviour related to reduced consumption or rewards of sweetened solutions or sucrose in rats (Merali et al., 2003, Yirmiya et al., 1999). Although brain circuits involved in depressionlike behavior have been identified using LPS induction of cytokines in animal models (e.g., amygdala, hippocampus, hypothalamus), a functional dissociation between those brain structures that underlie cytokine-induced sickness behavior and cytokine-induced depressivelike behavior has been reported, indicating the need for further research on the temporal relationship between cytokine elevation, structural and behavioural changes (Frenois et al., 2007). Figure 2 shows the cellular and humoral immune factors implicated to have a role in depression. Specifically, cellular neuroimmune mechanisms implicated in the pathophysiology of depression include dysfunction of T helper (Th 17) cells and CD4+CD25+ T regulatory (Treg) cells (Capuron and Miller, 2011, Eyre and Baune, 2011). Findings on the possible involvement of natural killer (NK) cells in adult and adolescent MDD are discussed later.

## [Insert figure 2 about here]

## Cytokines in the brain

Cytokines can exert direct and indirect effects on brain function through their influence on neurotransmitters, neurogenesis, and the HPA axis influencing neuroplastic changes relevant to depression (Eyre and Baune, 2012). While cytokines do not readily pass through the blood-brain barrier (Haroon et al., 2011), five potential pathways for cytokine signals to reach 1) passive transport of cytokines into the brain at the brain have been described: circumventricular sites lacking a blood-brain barrier (Ericsson et al., 1994, Komaki et al., 1992, Breder et al., 1988); 2) activation of the cerebral vascular endothelium, thereby releasing cytokines and inducing the generation of secondary messengers such as prostaglandins and nitric oxide (Cao et al., 1997, Fabry et al., 1993, Kilbourn and Belloni, 1990); 3) carrier-mediated transport of cytokines into the brain across the blood-brain barrier (Banks et al., 1989); 4) activation by cytokines of peripheral afferent nerve terminals, which then relay cytokine signals to relevant brain regions (Watkins et al., 1994, Ericsson et al., 1994, Bluthe et al., 1994); and 5) recruitment of activated cells such as monocytes / macrophages from the periphery to the brain, where these cells can produce cytokines (D'Mello et al., 2009). These mechanisms are not mutually exclusive, and depend in part on the location of the inflammatory stimulus and the disease state of the organism (Kronfol and Remick, 2000). In addition, most cytokines can be synthesized and released within the CNS (Kronfol and Remick, 2000) such as by microglia that are a primary source of pro-inflammatory cytokine production in the brain (Miller et al., 2009). However, the brain circuitry that mediates the various behavioural responses to cytokines remains elusive (Dantzer et al., 2008).

Clinical and experimental studies indicate that stress and depression are also associated with increased circulating concentrations of cytokines, such as TNF- $\alpha$  and IL-1 (Connor and Leonard, 1998). Increased levels of these cytokines have the potential to impair synaptic plasticity (structurally and functionally), modulate long-term potentiation (LTP) and glutamatergic-dependent synaptic plasticity (Carlezon Jr. and Nestler, 2002, Du et al., 2004, Du et al., 2007, Du et al., 2008, Kendell et al., 2005, Malenka, 2003, Sun et al., 2005, Wolf et al., 2004), and to induce fear learning, thus contributing to progression of a depressive disorder (Khairova et al., 2009). It has also been postulated that antidepressants indirectly modulate synaptic plasticity as a mechanism of antidepressant action, and that selective serotonin reuptake inhibitors (SSRIs) and tricyclic antidepressants may induce changes in TNF- $\alpha$  expression and function in the brain (Khairova et al., 2009).

## Cytokines influence on biological pathways of depression

Cytokines affect biological pathways that have been associated with depression, and so the cytokine theory in depression can be viewed as complimentary rather than competitive to other hypotheses of depression, such as the monoamine theory of depression. Tryptophan is an essential amino acid required for protein synthesis and is a precursor for the monoamine serotonin and a lowered availability of plasma L-tryptophan has been associated with depression (Ruhe et al., 2007). Interestingly, decreased levels of L-tryptophan are also correlated with inflammation, indicating that systemic inflammation may contribute to clinical

depression via a decrease in the serotonin precursor L-tryptophan (Maes et al., 1993, Maes et al., 1996, Maes et al., 1990).

As depicted in figure 3, pro-inflammatory cytokines induce IDO (indoleamine 2-3dioxygenase), an enzyme that mediates the catabolism of tryptophan into kynurenine (KYN) (Babcock and Carlin, 2000). KYN is further metabolized into 3-hydroxykynurenine (3-HK), 3hydroxyanthranilic acid (3-HAA), and quinolinic acid (QUIN), which may induce neuronal damage (Goldstein et al., 2000, Schwarcz et al., 1983). Hence, it is suggestive that increased levels and activity of cytokines may lead to depressive symptoms by inducing a reduction in important neurotransmitters such as serotonin and by stimulating neuronal damage both implicated in clinical depression.

#### [Insert Figure 3 about here]

As cytokines, among other humoral and cellular immune factors, have the potential to influence systems heavily implicated in depression (Eyre and Baune, 2012), it is a clinically relevant question to determine if a genetic predisposition, or environmental factors that frequently associate with depression, or a combination of both, contribute to the pathophysiology of depression. It has been frequently reported that stress elevates levels of pro-inflammatory cytokines by activating their signaling pathways (Deinzer et al., 2004, Goebel et al., 2000, Madrigal et al., 2002, O'Connor et al., 2003). Moreover, cytokines influence the hypothalamic pituitary axis (HPA)(Capuron et al., 2003) and IL-1, IL-6, TNF- $\alpha$ , and IFN- $\alpha$  may increase corticotrophin-releasing hormone (CRH) release and disrupt the function of the glucocorticoid receptor (reviewed by (Cavanagh and Mathias, 2008)). Indeed, since a hyperactive HPA axis has been reported in a proportion of patients with MDD (Capuron et al.,

2003), it is plausible that such hyperactivity could be a consequence of an elevation in cytokines, attributable to potentially both environmental and genetic moderators. Particularly genetic variants of cytokines have been suggested to be associated with clinical depression as recently reviewed by Bufalino et al. (Bufalino et al., 2012). However, the likely important interaction between these genetic variants and environmental factors such as stress and maltreatment has not been considered yet in original studies.

Other genes of potential relevance are polymorphisms of the glucocorticoid receptor gene (NR3C1), involved in the regulation of the HPA axis. While some studies have reported that genetic variants of NR3C1 influence susceptibility to MDD and depressive symptoms (Szczepankiewicz et al., 2011), three further reports found no association, or associations that failed to withstand correction for multiple testing (van West et al., 2006, Mill et al., 2009). In addition, multiple genome-wide studies have presented no evidence for genome-wide significance (PGC, 2012). Failure to replicate may reflect insufficient power, due to insufficient sample size, heterogeneity of the phenotype of depression, or interaction with unidentified environmental factors. Indeed, evidence for gene-environment interaction has been reported with variation in the NR3C1 gene and childhood maltreatment (Bet et al., 2008), and altered methylation of the NR3C1 gene has been associated with stress exposure (Meaney and Szyf, 2005, de Rooij et al., 2012). These findings may lead to the speculation that depression could be a consequence of the effect of elevated cytokine levels in response to a stressor, occurring in the context of an NR3C1 system that was already dysregulated due to genetic or epigenetic effects earlier.

Recent work on the HPA axis and depression has also been conducted by Solomon et al., who showed a sex difference in the role of forebrain glucocorticoid receptors in regulating HPA axis activity and depression-like behavior in mice (Solomon et al., 2012). Specifically, in mice with selective deletion of glucocorticoid receptors in forebrain cortico-limbic sites (forebrain glucocorticoid receptor knockout mouse – FBGRKO), female mice did not show basal HPA axis dysregulation or exaggerated stress responses. The authors noted that in females, glucocorticoid receptor regulation of HPA axis function and behavior may be from other brain areas than those targeted in the FBGRKO mouse (Solomon et al., 2012).

Another biological pathway associated with depression might be a stress-induced decrease in neurogenesis influenced by cytokines. Pro-inflammatory cytokines, such as IL-1 $\beta$ , have been shown to inhibit cell proliferation and promote cell death in the hippocampus (Koo and Duman, 2008). Furthermore, there is evidence that neurodegeneration and the defects in neurogenesis in depression are caused by inflammatory processes, related to the production of oxidative and nitrosative stress molecules and pro-inflammatory cytokines (Maes et al., 2009). Glutamate has also been implicated in MDD (Palucha and Pilc, 2007, Pittenger et al., 2007), and glutamate neurotoxicity represents a pathway leading to increased apoptosis enhanced by pro-inflammatory cytokines via various pathways: (1) via activation of the kynurenine pathway in microglia and increased production of quinolonic acid and glutamate release; (2) via decreasing glial glutamate transporter activity leading to reduced glutamate removal from the extracellular space; and (3) by inducing long-term activation of microglia to release TNF- $\alpha$  and IL-1 in a positive feedback manner (reviewed in McNally et al 2008) (McNally et al., 2008, Khairova et al., 2009).

In summary, cytokines exert wide-ranging influences on neuronal structure, function, and directly on the stress-response system, as well as in response to stress. Evidence for the role of cytokines in the brain in depression is building, and seems tantalizing, yet remains largely circumstantial. Still needed is to clarify whether effects are causal in humans, to better specify the actual molecular mechanisms operating in human depression related to cytokines, and in particular to clarify the effects in adolescence.

## II. The Role of Cytokines in Depression in Adolescents

Emerging literature has examined a possible role of cytokines in adolescent depression. A total of twenty-four articles examining the role of immune markers in depression in adolescents were identified for this review. Of these, eighteen studies report empirical data as summarized in Tables 1, 2, and 3. Twelve publications present case-control studies which have relatively small sample sizes; the largest study consisted of 134 cases and 149 controls. Four studies used a prospective design (Miller and Chen, 2010, Caserta et al., 2011, Miller and Cole, 2012, Copeland et al., 2012), assessing N=135, N=141, N=147, and N=1420 individuals respectively for depressive symptoms. The two remaining studies are a cross-sectional representative community sample (Chaiton et al., 2010), and an observational study of youths with diabetes (Hood et al., 2012). Key results from the studies are included in the relevant sections below. Of the remaining 6 articles examining the role of immune markers in depression in adolescents, some did not measure cytokines (for example cytokine genes were genotyped but not circulating cytokine levels (Misener et al., 2008); Pandey et al. measured serotonin receptors postmortem and discussed the interaction between the HPA axis and

serotonergic system (Pandey et al., 2002)). Two of these remaining 6 articles measured inflammatory markers or cytokines only when the cohort had reached adulthood (Danese et al., 2008, Danese et al., 2007). Of these 6 articles, the studies by Danese et al. 2007, Danese et al. 2008, and Pandey et al. 2002 are discussed in more detail later in the review.

## Stress Response, Neurogenesis and Neurodevelopment

Increased levels of pro-inflammatory cytokines in response to acute stress has been described as characteristic (Eyre and Baune, 2011), involving an activated HPA axis that may lead to a further rise in pro-inflammatory cytokines through complex positive feedback loops (Janssen et al., 2010). These mechanisms could be particularly important during adolescence, as brain structures such as the hippocampus appear susceptible to adverse effects of prolonged periods of excess corticosteroids with consequences such as atrophy of the apical dendrites of the pyramidal cells (McKittrick et al., 2000). Therefore, chronic unpredictable stress in the environment is regarded as an important factor in the development and onset of depression (Eyre and Baune, 2011).

The variation in how an individual responds to stress, including their vulnerability to depression, may be influenced by an inflammatory response of the immune system. In a recent review, Fagundes et al. proposed a model of early adversity leading to greater stress sensitivity, and so placing an individual at greater risk for immune dysregulation (Fagundes et al., 2013). Elevated levels of inflammatory markers, specifically C-reactive protein (CRP), have been reported not only in depressed adults exposed to childhood maltreatment (Taylor et al., 2006, Danese et al., 2007, Danese et al., 2011), but also in adolescence (Danese et al., 2011). CRP is

an acute phase protein that promotes resistance to infection and repair of damaged tissues (Danese et al., 2007). In these studies, CRP levels showed a linear increase depending on depression and/or maltreatment exposure (Danese et al., 2011, Danese et al., 2008). Individuals with a history of depression or current depression exhibited higher CRP levels relative to individuals with no depression or exposure to maltreatment; those with a history of maltreatment and no depression exhibited even higher levels of CRP, and finally those that had experienced both maltreatment and depression presented the most elevated profile of all the groups. The elevation in CRP levels was significant in the combined depressed and maltreated group, relative to those with no exposure to maltreatment or depression. The authors concluded that a history of childhood maltreatment has a significant role in explaining the cooccurrence of depression and inflammation through the lifespan with "biological embedding" already seen at adolescence (Danese et al., 2011, Danese et al., 2008). The findings in adolescents (Danese et al 2011) are particularly important, as increased inflammatory markers in adulthood has been linked to increased risk of both mental and physical illness (Danese et al., 2008, Miller et al., 2009).

In adolescents, pro-inflammatory cytokines have also been implicated in the stress response with a higher number of stressful life events associated with higher TNF- $\alpha$  levels (Dixon et al., 2009). In support of these findings is an 18-month longitudinal study of adolescent females who showed increased IL-6 responses to two different types of threatening stimuli on the background of exposure to a harsh family environment (Miller and Chen, 2010). An extension of this study that investigated circulating levels of IL-6 and CRP found that among those exposed to higher levels of childhood adversity, the transition to depression was also

accompanied by relative increases in both CRP and IL-6 (Miller and Cole, 2012). Furthermore, the authors noted that higher CRP levels remained in these subjects 6 months later, even after the episode of depression had abated. Importantly, this coupling of depression and inflammation was not apparent in those without a history of childhood adversity (Miller and Cole, 2012).

Cytokines also appear to be involved in neurodevelopmental processes (Wilson et al., 2002); for example, IL-6 has demonstrated both neuroprotective (Peng et al., 2005, Godbout and Johnson, 2004) and neurodegenerative properties (Morales et al., 2010). In support of a possible neuroprotective effect of IL-6 is a recently published neuroimaging study conducted in healthy individuals that showed increased hippocampus volumes associated with genetic variants of the IL-6 gene (Baune et al., 2012a). There is also some preliminary evidence suggesting that maternal psychopathology and HPA function influences fetal, infant, and adolescent HPA axis function resulting in a higher tonic setting of the HPA axis through epigenetic programming (Talge et al., 2007, Weaver et al., 2004). Psychiatric epigenetics is a relatively new field, however it does provide a biological mechanism by which stress might influence the immune response, and ultimately predispose to depression. Moreover, such mechanisms could lead to atypical early neurogenesis and vulnerable neural systems in the post-pubertal adolescent brain (Goodyer, 2008). Such a sensitization of the neurobiological systems implicated in stress adaptation and response (as is seen in childhood maltreatment) may increase the risk of developing depression (McCrory et al., 2010). Therefore, as individuals move through different stages of adolescence, the risk for depression as a consequence of stress exposure may change, and arguably so might the inflammatory stress-response profile.

To date little gene-environment work has focused on stress exposure and depression onset in adolescents specifically – the stress exposure has primarily focused on either adulthood or childhood (although this at times spans adolescent years) (e.g. (Fisher et al., 2012, Caspi et al., 2003).

## Cytokines and onset of Depression during Adolescence

Similarly to adult MDD, immune system dysregulation with a pro- and anti-inflammatory imbalance has been proposed in MDD in adolescents (Gabbay et al., 2009a). The first study to examine cytokines in adolescent MDD, reported increased pro-inflammatory cytokines IFN- $\gamma$  and IFN- $\gamma$ /IL-4 as well as a trend for increased IL-6 in adolescents with MDD compared to healthy controls (Gabbay et al., 2009a).

In an attempt to examine the neurobiology of clinical subtypes of depression in adolescence, Gabbay et al. examined whether adolescent MDD with melancholic features (M-MDD) has distinct biological features in the kynurenine pathway. As previously reported, proinflammatory cytokines induce IDO, which metabolizes tryptophan (TRP) into kynurenine (KYN), eventually decreasing TRP availability in the brain (Gabbay et al., 2010). The authors reported decreased plasma TRP levels and an increased KYN/TRP ratio (estimating IDO activity) in adolescents with M-MDD compared to both non M-MDD and a control group. Interestingly, the severity of episodes as measured by Children's Depression Rating Scale-Revised (CDRS-R) was associated with several KYN pathway measures (e.g., KYN and 3-hydroxyanthranilic acid (3-HAA)/KYN) in the M-MDD group (Gabbay et al., 2010).

Investigating the relationship between early onset of depression and anxiety, IL-10 levels have been associated with increased anxiety and depression scores, and IFNα2 levels with anxiety scores only (Quinones et al., 2012). The association between IFNα2 levels and anxiety scores remained significant after controlling for familial risk of MDD, gender, current stress, and childhood trauma (Quinones et al., 2012), suggesting an independent effect of cytokines in anxiety.

Of clinical relevance is the potential that cytokine levels are modified during treatment with antidepressants (Janssen et al., 2010). In a clinical sample of adolescent females with MDD and/or anxiety disorder the effects of antidepressant treatment with SSRIs on cytokine levels was compared to healthy controls (Henje Blom et al., 2011). The overall sample showed significantly increased levels for IL-1 $\beta$ , IL-2 and IL-10 as compared to healthy controls , with SSRI-treatment associated with IL-6 levels in the clinical sample (Henje Blom et al., 2011). The non-SSRI subgroup showed significantly higher levels of IL-1 $\beta$ , IL-2, and IL-6 compared to healthy controls. The authors concluded that pro-inflammatory cytokines are likely to be part of the pathophysiology of emotional disorders in adolescent females, and that SSRIs may exert anti-inflammatory properties in this patient group (Henje Blom et al., 2011).

## Cytokine interactions with gonadal hormones

The prevalence of major depression is known to increase during periods of changes in gonadal hormones (Bao et al., 2005). While the male-to-female ratio is 1:1 during childhood, the 1:2 sex ratio that characterizes adult MDD first emerges during adolescence (Birmaher et al., 1996). The timing of the change in male-to-female prevalence ratios for depression has

important implications for theories about the relationship between depression and puberty. Angold et al. reported early the role of secondary sex characteristics in the development of depression (Angold et al., 1998). Characteristics of gender development (sex characteristics) as expressed in Tanner stages ranging from I-prepubertal to V-adult level of development have been suspected to better associate with the development of depression rather than age. It also appeared that this transition in gender prevalence ratios was a mid-pubertal event, occurring in Tanner stage III, generating theories about a role of gonadal hormones in the etiology of depression (Angold et al., 1998).

Research in pre-menopausal women indicates that gonadal hormones may modulate immune function (Schwarz et al., 1999, Verthelyi, 2001). The cytokine response of peripheral blood monocytes after LPS stimulation in premenopausal women appears to be modulated by the phase of the menstrual cycle (Schwarz et al., 1999). Specifically, a lower release of TNF- $\alpha$ (p<0.05) and IL-6 (not significant) during the luteal phase compared to the follicular phase was reported (Schwarz et al., 1999). Overall, however, findings on cytokine production across the normal menstrual cycle have been inconsistent (O'Brien et al., 2007a) requiring further investigations. In addition, it remains to be examined as to whether such findings derived in adult women would generalize and apply to younger age groups such as adolescents. Systematic research is required to determine if a relationship exists in adolescence between cytokines and hormones that are important for brain development. If such a relationship does exist, it will be important to determine if cytokines modulate gonadal hormones or vice versa.

#### Differences in the Role of Cytokines in Depression between Adolescents and Adults

In this section, we aim to identify evidence for possible differences and similarities on the role of cytokines in adolescent and adult MDD. It is worthwhile to summarise the findings on the possible involvement of natural killer (NK) cells in adult and adolescent depression as an expression of immune activity in this psychiatric condition. While studies in adult MDD when compared to age consistent controls consistently demonstrate lower NK cell activity (Shain et al., 1991, Kronfol et al., 1989, Nerozzi et al., 1989, Irwin et al., 1990) and reduced number of major lymphocyte subclasses (Schleifer et al., 1984), studies of NK cell activity and lymphocyte subpopulations in adolescent MDD as compared to age consistent controls have yielded contradictory findings (Bartlett et al., 1995, Birmaher et al., 1994, Schleifer et al., 2002, Shain et al., 1991, Targum et al., 1990). Some of these inconsistencies in adolescent MDD might be related to methodologies such as accounting for adverse life events in some studies (Birmaher et al., 1994), or sampling of younger age groups (i.e. inclusion of both children and adolescents) (Bartlett et al., 1995) or gender specific findings in girls (Caserta et al., 2011).

#### [Insert table 1]

A meta-analysis of studies examining the association between depression in adults and elevated levels of the inflammatory marker CRP have also yielded inconsistent results (Kuo et al., 2005). In adolescents, a large population-based study has found no apparent association between CRP and depressive symptoms (Chaiton et al., 2010). Although this first study indicates there may be a difference between adult and adolescent levels of CRP in depression, problems with this study include a lack of clinical diagnosis. In a meta-analysis of the associations of depression with CRP, IL-6, and IL-1, Howren et al. noted the importance of the method used to assess depression, with larger associations noted in clinical samples and when standard clinical

interviews were used to assess depression (Howren et al., 2009). Copeland et al. used a structured interview to assess depression in a study examining longitudinal pathways between CRP and depression in adolescents and young adults (Copeland et al., 2012). The authors found that cumulative depressive episodes predicted later CRP levels after adjusting for important covariates (covariates of sex, age, body mass index (BMI), current nicotine/ alcohol/ illicit drug use, current medication use, recent health ailments, and current low socioeconomic status) (Copeland et al., 2012).

Heterogeneity in results from both adult and adolescent studies may be due to no consideration of other variables that influence inflammation, such as stressful life events (Miller and Cole, 2012, Danese et al., 2011) . Addressing such a potential confounder, Brambilla et al examined the immune function of children with a first episode of MDD unlikely to have been preceded by stressful events. Contrary to that seen in depressed adults, those children without the experience of stressful life events with MDD had normal IL-1 $\beta$  levels (Brambilla et al., 2004). However, it is likely that several depression studies in adults have not accounted for stressful life events, limiting a direct comparison with the study by Brambilla.

Many studies in adult MDD have reported increased TNF- $\alpha$  compared to controls suggesting a role in the pathophysiology of depression (Tuglu et al., 2003, Leo et al., 2006, Pavon et al., 2006, Kim et al., 2007, Dowlati et al., 2010). However, when studying suicidality in adult MDD, studies on its relationship with cytokines have not always included assessment of TNF- $\alpha$  (Mendlovic et al., 1999, Kim et al., 2008). A study which did include measurements of TNF- $\alpha$  in suicidal adults found increased levels of TNF- $\alpha$  (and IL-6) in suicide attempters compared to non-suicidal depressed patients and healthy controls (Janelidze et al., 2011). In

adolescents, contrasting findings were reported. Gabbay et al found that plasma levels of TNF- $\alpha$  were significantly decreased in suicidal adolescents with MDD compared to a nonsuicidal MDD group (Gabbay et al., 2009b). However, the authors noted their findings should be considered preliminary in view of the small sample size (30 patients, 15 controls), and the substantial percentage (57%) of patients receiving psychotropic medications (Gabbay et al., 2009b). The authors also noted that due to the small sample size, in order to preserve statistical power, a multiple comparison correction was not applied (Gabbay et al., 2009b).

If these findings *in vivo* hold true, postmortem studies suggesting the serotonergic system of the prefrontal cortex (PFC) is implicated in suicide in both adolescents and adults (Mann et al., 1989, Pandey et al., 2002), provide a possible pathway linking TNF- $\alpha$  levels to suicidality (Gabbay et al., 2009b). A proposed mechanism to explain how cytokines may affect behavior is through activation of the enzyme IDO, which results in altered serotonin metabolism (Tonelli et al., 2008, Capuron and Miller, 2004). Specifically, increased 5-HT2A receptor binding has been observed in the PFC of teenage (Pandey et al., 2002) and adult suicide victims (Arango et al., 1997). In addition, serotonin has been observed to be depleted in multiple brain regions, including the frontal cortex, in rodents acutely administered IFN- $\alpha$  by intracerebroventricular injection (Kamata et al., 2000).

Importantly, cytokines in the brain of suicide victims, or subjects with depression, have not been systematically studied (Pandey et al., 2012), and the direction of their association remains to be fully understood. For example, Pandey et al. observed that the mRNA levels of TNF- $\alpha$ , IL-1 $\beta$ , IL-6, and protein levels of TNF- $\alpha$  and IL-1 $\beta$  were significantly increased in Brodmann area 10 of the PFC of teenage suicide victims (compared to controls) (Pandey et al.,

2012). Interestingly, Tonelli et al. found no significant change in TNF- $\alpha$  in male or female adult suicide victims, however observed increased IL-4 in female suicide victims and increased IL-13 in male suicide victims (Tonelli et al., 2008). It is possible that these studies are not directly comparable (Pandey et al 2012; Tonelli et al., 2008), as the pathophysiology of teenage suicide and the role of cytokines in teenage suicide may differ from that in adults (Pandey et al., 2012).

#### [Insert Table 2 about here]

In summary, studies that provide empirical data for a role of cytokines in adolescent MDD currently show similarities and differences between adolescents and adults, not allowing definite conclusions at this stage. Similarities include increased IL-6 levels, and decreased plasma TRP levels (Gabbay et al., 2009a, Gabbay et al., 2010). Differences in IL-1 $\beta$  variation have been reported, with normal levels in "un-stressed" children with MDD (Brambilla et al., 2004) and elevated levels in adults with MDD (Maes et al., 1991). Plasma TNF- $\alpha$  was noted to be significantly decreased in adolescents with MDD and suicidality (Gabbay et al., 2009b), yet mRNA levels of TNF- $\alpha$  were significantly increased in the PFC of teenage suicide victims (Pandey et al., 2012). A meta-analysis in adults with MDD, regardless of suicidality, suggests a consistent association between circulating levels of TNF- $\alpha$  and adult MDD (Dowlati et al., 2010). Therefore, results for TNF- $\alpha$  are inconsistent between studies, however cytokines have been measured from different sites (for example, plasma in Gabbay et al. 2009b, versus postmortem brain tissue in Pandey et al. 2012). In addition, it remains to be clarified, if suicidality alone could account for the differences in cytokines reported in MDD studies in adults and adolescents.

Small sample sizes in most of the studies on adolescents limit conclusions (e.g. 33 individuals in the Brambilla et al. study, and 45 individuals in the studies by Gabbay et al. 2009a and Gabbay et al. 2009b). Furthermore, there are significant differences between many of the study protocols, such as cross-sectional studies of hospital inpatients (e.g. a proportion of patients in Gabbay et al. 2009a and Gabbay et al. 2009b were inpatients) versus longitudinal population-based observational studies (e.g. Copeland et al. 2012, Miller and Cole, 2012), prohibiting a formal meta-analysis. Further research using epidemiological approaches (Dantzer, 2012) in well-powered and well-designed cohorts is required to provide empirical data to consolidate and build on these results.

## [Insert table 3 about here]

#### III. Clinical Implications

Antidepressant drugs appear to have some action on pro-inflammatory cytokines (Bengtsson et al., 1992, Xia et al., 1996), with attenuation of an imbalance between pro- and anti-inflammatory cytokines in patients with MDD treated with the antidepressants fluoxetine, sertraline, or paroxetine (Kim et al., 2007, Kubera et al., 2000, Sutcigil et al., 2007, Taler et al., 2007, Tuglu et al., 2003). Furthermore, patients who fail to respond to antidepressants have been found to demonstrate increased plasma concentrations of IL-6 and acute phase reactants when compared to treatment-responsive patients (Sluzewska et al., 1997, Maes et al., 1997, O'Brien et al., 2007b).

Other agents and treatment programs that have anti-inflammatory actions or block actions of cytokines, such as physical exercise and omega-3 polyunsaturated fatty acids, may

have a role in the treatment of depression. It is possible that physical exercise may exert similar anti-inflammatory effects beneficial to improving depressive symptoms, which are believed to be more globally mediated through various pathways of the neuroimmune system (Eyre and Baune, 2011). As recently extensively reviewed by these authors, consistent exercise / physical activity has been shown to reduce levels of IL-1 $\beta$ , TNF- $\alpha$ , IL-6, and CRP (Eyre and Baune, 2011), whereas studies examining the cytokine levels during or immediately after exercise have shown an upregulation of IL-6 and IL-8 (Fischer, 2006, Pedersen, 2009). The short-term effects of exercise with an acute transient upregulation of IL-6 appears to induce a rise in IL-10 (Steensberg et al., 2003), and to negate neurotoxic changes of TNF- $\alpha$  (Funk et al., 2011). Further research has been recommended to enhance alternative treatment approaches to depression, such as physical exercise, that might improve depression via the immune system (Eyre and Baune, 2011).

A meta-analysis of 10 double-blind, placebo-controlled studies in adult patients with mood disorders receiving omega-3 PUFAs, indicated an antidepressant effect of omega-3 PUFAs (Lin and Su, 2007). However, the authors noted that it is premature to draw firm conclusions based on the findings due to the heterogeneity of the different study methodologies (Lin and Su, 2007). Similar effects have also been reported for children in a small randomized controlled trial study showing that long chain omega-3 PUFAs supplementation in the treatment of children with a first episode of depression had a benefit in reducing depressive symptoms, however not in achieving remission (Nemets et al., 2006). Given the implication of omega-3 PUFAs in depression, it is therefore interesting that omega-3 PUFAs have the capacity to decrease the production of pro-inflammatory cytokines, and exert strong anti-inflammatory

effects (Maes et al., 2009); studies in adolescents could add valuable knowledge to the literature.

In studies of adults with depression, cytokine antagonists have also been found to have antidepressant-like effects (Mendlewicz et al., 2006, Krishnan et al., 2007, Tyring et al., 2006, Yirmiya, 2000, Raison et al., 2012, Dantzer et al., 1999). TNF- $\alpha$  blockers such as etanercept and infliximab have been found to attenuate the depressive symptoms that accompany immune system activation in psoriasis (Krishnan et al., 2007, Tyring et al., 2006, Yirmiya, 2000, Dantzer et al., 1999). However, conflicting results have been reported for TNF- $\alpha$  antagonists such as infliximab in that depressed patients with higher levels of CRP prior to treatment may benefit from such treatment as opposed to a general benefit in depression (Raison et al., 2012).

Anti-inflammatory medications have also been found to have antidepressant-like effects. For example, acetylsalicylic acid added to fluoxetine led to increased remission rates in depressed patients previously unresponsive to fluoxetine alone (Mendlewicz et al., 2006). Furthermore, in patients with MDD adding the cyclooxygenase-2 (COX-2) inhibitor celecoxib to treatment with reboxetine (Muller et al., 2006) or sertraline (Abbasi et al., 2012) induced an antidepressant response. Interestingly, Abbasi et al. also showed a significantly greater reduction in serum IL-6 concentrations in the group treated with celecoxib and sertraline (compared to the group treated with sertraline) (Abbasi et al., 2012) .

It has been noted that selectively targeting COX-2 in the treatment of depression may be problematic (Maes, 2012). Consistent with determining the mechanism of action of COX-2 inhibitors in depression, Maes reviewed possible detrimental effects of COX-2 inhibitors targeting pathways involved in depression. He concluded that treatments with COX-2 inhibitors

may aggravate the pathophysiology of depression, through involvement in pathways which include lowering of antioxidant defenses and inducing neuroinflammation (Maes, 2012). As anti-inflammatory treatments and the use of cytokine antagonists are less common in adolescents than in adults, further research is required to determine if interventions that act on immune responses are effective interventions for depression in adolescent populations.

#### Conclusion

A substantial body of literature has now focused on the association between cytokines and depression, primarily in adults with less extensive research in adolescents (Sharpley and Agnew, 2011). However, this review shows that the role of cytokines in adolescent depression is characterized by many similarities with adult MDD, although important differences of cytokines in depression between these age groups may be emerging.

The relatively small number of studies on the role of cytokines in adolescent MDD has to be taken into account alongside with several methodological issues, which limit their comparability among studies in adolescents but also with research in adults. In general, the sample sizes of these studies are small and few have employed a prospective design. This could particularly be an issue if altered cytokine levels are a consequence of illness, rather than a cause. If this is the case, altered cytokine levels in adolescence would be more subtle, and so larger sample numbers would be required to detect them statistically, compared to adult studies where changes might be more established and pronounced.

Depression in children and adolescents show some different immune and inflammatory changes to those seen in adult depression in the current literature, with contradictory findings of NK cell activity, and differences in pro-inflammatory cytokines such as IL-1 $\beta$  and TNF- $\alpha$  (Shain et al., 1991, Brambilla et al., 2004, Gabbay et al., 2009b, Pandey et al., 2012). Some of the reported differences between the role of cytokines in depression could be influenced by neurodevelopment, hormonal changes, stress, and trauma, with more direct effects in young individuals as they experience developmental changes compared to adults. An improved understanding of the role of cytokines in adolescent MDD also in relation to stress, maltreatment, hormonal changes and genetic background may inform aetiology and treatment options in adolescent depression.

Further research is warranted to explore more broadly the role of cytokines in depression of adolescents considering adequately the neurobiological, hormonal and environmental changes young individuals are undergoing. Moreover, additional treatment options might be suitable in some forms of inflammation associated depression in adolescents. Initially, the field could be progressed with the inclusion of measurements of circulating cytokines, such as IL-1 $\beta$ , IL-6, and TNF- $\alpha$ , in intervention studies in adolescent MDD. Later, interventions that change levels of circulating plasma cytokines in adolescents with MDD may prove worthy of investigation. Importantly, future research requires well-designed, well-powered clinical studies that consider environmental factors (in particular stressful life events), in addition to using genetic, developmentally, and physiologically sensitive designs with prospective community-based studies, as well as psychiatric samples (Goodyer, 2008).

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# **Conflict of Interest**

None

- Cytokines mediate key steps in cellular and humoral immunity
- Immune system dysregulation / pro- inflammatory cytokines have been implicated in adult major depressive disorder (MDD)
- Studies on the role of cytokines in adolescent MDD are few; the immune / inflammatory changes seen in adolescent MDD show specific similarities and differences to those seen in adult MDD
- Cytokines may influence neurodevelopment during adolescence
- Potential treatments that modify inflammation require further research, as this may lead to better outcomes in the treatment of adolescent MDD

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# Figure 1: Study inclusion flowchart



## Legend:

<u>Inclusion criteria:</u> Search was carried out applying the following combinations of terms – 1. Cytokines AND adolescents AND depression, 2. Cytokines AND adolescents AND cognition, 3.Cytokines AND depression AND genes, 4. Cytokines AND cognition AND genes, 5.Cytokines AND depression AND cognition, 6.Cytokines AND immun\* AND depression AND stress. <u>Exclusion criteria:</u> articles written in languages other than English Figure 2 Immunological Factors involved with Depression



Key: IL= interleukin; IFN= interferon; NK= natural killer cells; TNF= tumor necrosis factor; Th = T

helper cell; T reg = regulatory T cells





Key: 5-HT= 5-hydroxytryptamine; HAA=hydroxyanthranilic acid; HK= hydroxykynurenine; IDO= indoleamine 2-3-dioxygenase; ↑IDO= increased levels of IDO; IFN= interferon; IL= interleukin;

NMDA= <i>N</i> -methyl-D-aspartate; QUIN= quinolinic acid; TNF= tumor necrosis factor;						
TRYCATS=	tryptophan	catabolites	along	the	IDO	pathway

Objectives	Design	Immune	Results
		markers	
Determine if hospitalized adolescents with	30 (11 patients	Total T cells,	No significant differences
ADD or conduct disorder (CD) show reduced	with MDD, ages	CD4+, CD8+,	on any lymphocyte
mphocyte populations compared to	15.5+/-1.6 yrs; 11	CD16, total B	measure between
ontrols, and whether there is an association	with conduct	cells	patients and controls
etween reduced lymphocyte nos /	disorder (CD),		
ubpopulations and cortisol dysregulation in	ages 15.3+/-1.2		
ospitalized adolescents	yrs; 8 controls,		
	ages 14.1+/-1.5		
	yrs); cross-		
	sectional study		
	Objectives etermine if hospitalized adolescents with IDD or conduct disorder (CD) show reduced mphocyte populations compared to ontrols, and whether there is an association etween reduced lymphocyte nos / abpopulations and cortisol dysregulation in ospitalized adolescents	ObjectivesDesignetermine if hospitalized adolescents with30 (11 patientsIDD or conduct disorder (CD) show reducedwith MDD, agesmphocyte populations compared to15.5+/-1.6 yrs; 11ontrols, and whether there is an associationwith conductetween reduced lymphocyte nos /disorder (CD),ubpopulations and cortisol dysregulation inages 15.3+/-1.2ospitalized adolescentsyrs; 8 controls,ages 14.1+/-1.5yrs); cross-sectional study	ObjectivesDesignImmune markersetermine if hospitalized adolescents with30 (11 patientsTotal T cells,IDD or conduct disorder (CD) show reducedwith MDD, agesCD4+, CD8+,mphocyte populations compared to15.5+/-1.6 yrs; 11CD16, total Bontrols, and whether there is an associationwith conductcellsetween reduced lymphocyte nos /disorder (CD),ages 15.3+/-1.2ubpopulations and cortisol dysregulation inages 15.3+/-1.2yrs; 8 controls,ages 14.1+/-1.5yrs); cross-sectional study

# Table 1: Studies of Immune Markers in Depression in Adolescents

Shain <i>et</i>	To compare natural killer (NK) cell activity	32 (16 patients,	NK cell	No significant differences
al., 1991	in depressed adolescent patients with NK	16 controls),	activity	between patients and
	cell activity in age- and sex-matched	ages 13-18 yrs;		matched controls. Age
	controls	cross-sectional		significantly correlated
		study		with NK cell activity.
Birmaher	Determine whether adolescents with MDD	54 (20 patients	NK cell	Patients with CD
et al., 1994	have disturbances in their cellular	with MDD, 17	activity,	significantly higher
	immunity, and to study whether the	non-depressed	lymphocyte	absolute number of B
	immunological changes detected are	patients with	subtypes	cells than healthy
	specific to depression or are general	conduct		controls (p=0.02), and a
	responses to stress	disorder (CD),		significantly greater % of
		17 healthy		B cells than MDD group
		controls), ages		(p=0.05) and controls
		11-18 yrs,		(p=0.02).
		Tanner stage III		Patients with CD (who

		or more; cross-		had significantly more
		sectional study		adverse life events)
				showed a trend for lower
				NK cell activity than
				those in the MDD group
				(p=0.08) and the control
				group (p=0.08).
Bartlett <i>et</i>	To examine for differences in immunity	36 (18 patients,	Total white	Lowered NK cell activity
al., 1995	between children with MDD and healthy	18 controls),	blood cells	in depressed subjects
	controls	ages 8-12 yrs;	(wbc),	compared to healthy
		cross-sectional	lymphocytes,	controls (p<0.001).
		study	T cells, B cells,	
			monocytes,	
			NK cells,	
			CD4+, CD8+	

			cells	
Schleifer <i>et</i>	To determine if immune changes in MDD	72 (36 patients,	Total wbc,	Increased levels of
al., 2002	are age-related	36 healthy	lymphocytes,	lymphocytes, T cells, B
		controls), ages	granulocytes,	cells, CD4+, and CD29+
		14-20 yrs;	monocytes, T	lymphocytes in
		cross-sectional	cells, B cells,	depressed group
		study	CD4+, CD8+,	compared to controls
			CD29+,	(p<.05). Increased NK
			CD45RA+, NK	cell activity in MDD
			cells, HLA-	adolescents (p< .001)
			DR+ cells	
Caserta <i>et</i>	To test the hypothesis that self-reported	141 children;	IL-6, NK cell	Negative association
al. 2011	efficacy and depression would predict	assessed on 3	functional	between self-efficacy and
	immunity and rate of illnesses.	occasions, 6	assay	IL-6 (p=0.03); depression
		months apart;		was associated with

	self-efficacy	increased NK cell
	measured by	function (p=0.02) and
	self-report;	higher rates of illness
	parents	(p<0.01) in girls older
	recorded	than 9.3 years of age;
	illness (mental	
	health	
	problems were	
	not coded as	
	illness);	
	longitudinal	
	study; age 7-13	
	years (median	
	age 9.3 years)	

Key: CD= Conduct Disorder; CD cells= Cluster of Differentiation; MDD= Major Depressive Disorder; NK cells= natural killer cells;

wbc= white blood cells

Study	Objectives	Design	Inflammatory	Results
			Markers	
Brambilla	To determine whether cytokine secretion	33 (22 patients,	Plasma IL-1β,	IL-1β levels significantly
et al., 2004	is impaired at an early phase of	11	TNF-α	higher (p<0.0003, z= -
	development of depression, possibly	psychologically		2.95) and TNF- $\alpha$ lower
	involvement in the course of the disease	healthy		(p<0.01, z= -2.53) in
		controls), ages		dysthymic patients than
		6-14 yrs; cross-		in controls; IL-1 $\beta$ and
		sectional study		TNF- $\alpha$ not significantly
				different between MDD
				and controls.
Gabbay <i>et</i>	To examine immune system dysregulation	45 (30 patients,	Plasma IFN-γ,	Significantly increased
<i>al.,</i> 2009a	in adolescents with MDD	15 healthy	TNF-α, IL-6,	plasma level of IFN-γ
		controls), ages	IL-1β, IL-4	(p<0.003 <i>,</i> Bonferroni

# Table 2: Clinical Studies of Cytokines / Inflammatory Markers in Depression in Adolescents

		12-19 yrs;		corrected p<0.02) and
		cross-sectional		IFN-γ/IL-4 ratio
		study		(p=0.007, Bonferroni
				corrected p<0.05) in
				adolescents with MDD;
				Trend for increased IL-6
				in adolescents with
				MDD compared to
				controls (p=0.09)
Gabbay et	To examine the role of cytokines in suicidal	Patient group	Plasma IFN-γ,	Suicidal adolescents had
<i>al.,</i> 2009b	symptomatology in adolescent MDD	as above (30	TNF-α, IL-6, IL-	significantly decreased
		patients – 12	1β, IL-4	plasma levels of TNF- $\alpha$
		suicidal, 18		compared to non-
		non-suicidal; 15		suicidal adolescents
		controls);		with MDD (p=0.03);

		cross-sectional		Increased IFN-γ in both
		study		suicidal (p<0.02) and
				non-suicidal (p=0.005)
				adolescents with MDD
				compared to controls;
Gabbay <i>et</i>	To examine whether MDD in adolescents	20 adolescents	Plasma TRP,	KYN/TRP ratios
al., 2010	has distinct biological features in the	with M-MDD,	KYN, 3-HAA	significantly elevated
	kynurenine pathway in MDD case with (M-	30 adolescents		and TRP concentrations
	MDD) and without (Non M-MDD)	with non M-		significantly reduced M-
	melancholic features;	MDD, 22		MDD group compared
		healthy		to non M-MDD
		controls. Ages		adolescents (p=0.001;
		12-19yrs.		p=0.006 respectively),
		Cross-sectional		and controls (p=0.008;
		study		p=0.02 respectively);

				Significant positive
				correlation between 3-
				HAA/KYN and MDD
				severity in the M-MDD
				group (p=0.03);
Henje	To study effects of antidepressants on	42 adolescent	Plasma IL-1β,	Unmedicated subgroup
Blom <i>et al.</i>	systemic cytokines in post pubertal	females with	IL-2, IL-6, IL-10,	of clinical sample
2011	adolescent females with anxiety disorders	MDD, 60	IFN-γ, TNF-α	showed significantly
	and/or MDD compared to healthy controls	healthy		higher IL-2, IL-1β and IL-
		controls; age		6 compared to controls
		14 – 18 years;		(adjusted Z= -3.3,
		cross-sectional		p<0.001; adjusted Z= -
		study		2.2, p<0.05; adjusted Z=
				-2.3, p<0.05
				respectively); in the

		medicated subgroup,
		only IL-2 was
		significantly higher as
		compared to
		controls(adjusted Z=
		2.3, p<0.05).
		Unmedicated subgroup
		of clinical sample
		showed significantly
		higher IL-6 and IL-6/IL-
		10 compared to
		medicated subgroup
		(adjusted Z= 2.8,
		p<0.001, adjusted Z=
		2.5, p<0.05

				respectively);
Pandey <i>et</i>	To examine the role of pro-inflammatory	24 suicide	Protein and	Significantly increased
al. 2012	cytokines in suicide	victims, 24	mRNA levels of	mRNA levels of TNF-α,
		controls.	TNF-α, IL-1β	IL-1 $\beta$ and IL-6 in
		Cause of death	and IL-6 in	Brodmann area 10 of
		for the controls	prefrontal	the PFC in suicide
		varied (e.g	cortex	victims(p<0.01).
		heart disease,		Significantly increased
		motor vehicle		protein levels of TNF- $\alpha$
		accident);		and IL-1 $\beta$ in Brodman
		unclear if		area 10 of the PFC in
		controls ever		suicide victims (p<0.01)
		attempted		
		suicide; Ages		
		12-20 years;		

		cross-sectional		
		study		
Quinones	To further understand the role of specific	A group at high	Plasma	IL-10 was significantly
et al. 2012	immune mediators early in the	familial risk for	cytokine levels	associated with anxiety
	development of depression and anxiety	MDD (n=134),		and depression scores;
		and an age and		IFNα2 levels were
		sex matched		correlated with anxiety
		low-risk group		scores independent of
		(n=149); no		familial risk for mood
		previous mood		disorders and
		disorder or		environmental stressors
		substance		
		abuse		
		diagnosis. Ages		
		12-15 years;		

	cross-sectional	
	study	

Key: CRP= C-reactive protein; HAA= hydroxyanthranilic acid; IFN= interferon; IL= interleukin; KYN= kynurenine;

LPS= lipopolysaccharide; MDD= Major Depressive Disorder; M-MDD= Major Depressive Disorder with melancholic features; NK cell =

natural killer cell; TNF= tumor necrosis factor; TRP= tryptophan

Study	Objectives	Design	Inflammatory	Results
			Markers	
Miller and	To evaluate if a harsh environment	135 adolescent	Circulating	Those raised in a harsh
Chen, 2010	engenders a pro-inflammatory	females,	serum IL-6,	environment showed
	phenotype in children that is marked	assessed at 4	production of	increased IL-6 response
	by exaggerated cytokine responses to	occasions over	IL-6 following	to 2 different types of
	bacterial stimuli and the anti-	an 18 month	LPS	threatening stimuli - in
	inflammatory properties of cortisol	period	stimulation,	vitro LPS (p=0.01) and a
		(prospective	and resistance	stressful life event
		study). No	to	(p=0.001). Over this
		history of	glucocorticoids	time, subjects also
		chronic medical		showed progressive
		or psychiatric		desensitization of the
		disorders; Ages		glucocorticoid receptor

# Table 3: Population based Epidemiological Studies of Cytokines / Inflammatory Markers in Adolescents

		15-19 yrs at		(p=0.04)
		time of study		
		entry		
Chaiton et	To study the association between high-	1,535 (721	CRP	No apparent association
al., 2010	sensitivity C-reactive protein concen-	aged 13 yrs,		between depressive
	trations and depressive symptoms in	814 aged 16		symptoms and serum
	youth	yrs); cross-		CRP (p=0.81)
		sectional study		
Copeland	To test 1. Effect of CRP levels on later	1,420 children,	CRP (measured	CRP levels were not
et al., 2012	depression status; 2. Effect of	ages 9, 11, and	in dried blood	associated with later
	depression status on later CRP levels; 3.	13 years at	spot samples)	depression status. CRP
	Effect of cumulative episodes of	intake;		levels increased with
	depression on later CRP levels	longitudinal		number of prior
		study, with		depressive episodes.
		annual		Only cumulative

		assessments to		depressive episodes
		age 16 years,		predicted later CRP
		and again at		levels after controlling
		ages 19 and 21		for covariates (p= 0.02)
		years;		
		depression		
		assessed by a		
		structured		
		interview		
Miller and	1. To aim to clarify the direction of the	147 adolescent	Serum CRP,	High levels of IL-6
Cole, 2012	association between depression and	females,	IL-6	predicted risk of
	inflammation	assessed every		depression 6 months
	2. To determine whether other kinds of	6 months over		later in those with a
	childhood adversity (besides childhood	2.5 years. Ages		history of childhood
	maltreatment) also promote clustering	15-19 years at		adversity (serum IL-6

	of depression and inflammation	time of study		odds ratio 1.50, 95% Cl
		entry;		1.10-2.06, p=0.01).
		longitudinal		Higher CRP levels
		study		remained 6 months
				after depressive
				episode had abated in
				those subjects exposed
				to higher levels of
				childhood adversity.
(Hood et	1. To provide preliminary evidence	2,359 youths	CRP, IL-6	CRP was significantly
al., 2012)	that the increased risk for	with diabetes		(p<0.006) associated
	depression in youth with diabetes	from the		with depression in
	is associated with metabolic and	SEARCH study –		youth with diabetes in
	inflammatory markers	an		bivariate analysis. In
	2. To inform future examinations of	observational		regression models

the directionality of these	study of US	stratified by diabetes
associations	children	type and accounting for
	diagnosed with	demographic and
	diabetes at <20	clinical characteristics,
	years of age.	only higher levels of
	Mean age of	apoB remained
	study	associated with higher
	participants	levels of depression in
	15.2 +/- 3.1 yrs	youth with type 1
		diabetes.

Key: CRP= C-reactive protein; IL= interleukin; LPS= lipopolysaccharide