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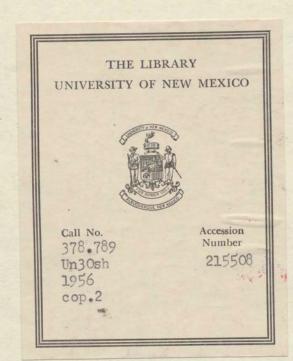
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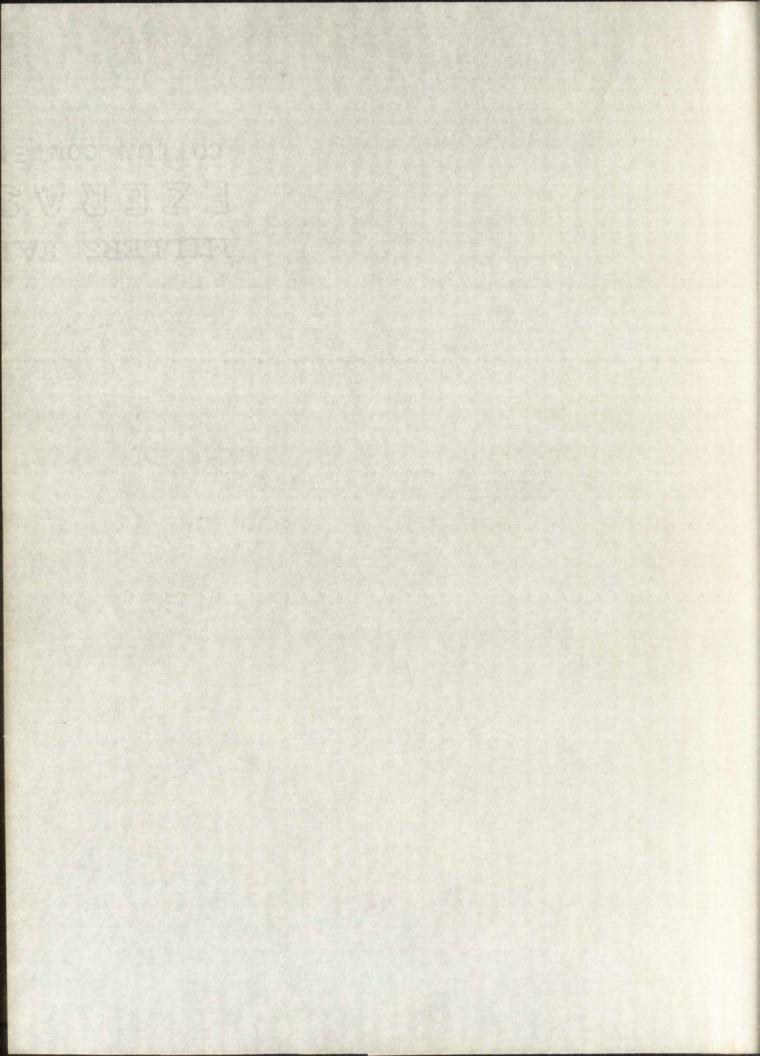
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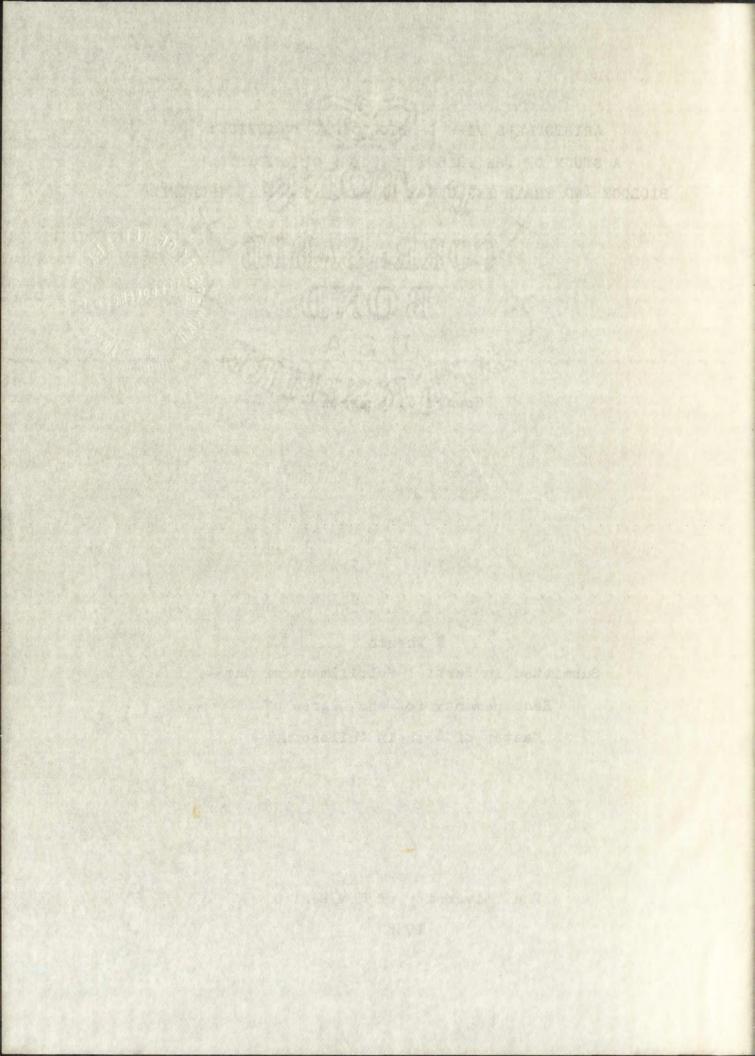
ARISTOTLE'S VIEW OF BIOLOGICAL EVOLUTION: A STUDY OF THE PRESUPPOSITIONS OF ARISTOTLE'S BIOLOGY AND THEIR INFLUENCE ON HIS BIOLOGICAL INQUIRIES

Ву

Howard J. Sherman

A Thesis
Submitted in Partial Fulfillment of the
Requirements fot the Degree of
Master of Arts in Philosophy

The University of New Mexico



This thesis, directed and approved by the candidate's committee, has been accepted by the Graduate Committee of the University of New Mexico in partial fulfillment of the requirements for the degree of

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Thesis committee

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Chapter

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CHAPTER I

THE PROBLEM

The philosopher is the specialist par excellence at examining the presuppositions of his own activities: so much so that philosophy can be characterized as the reflexive activity of thought examining thought. The philosopher is caught in the continued analysis of the presuppositions of his presuppositions ad infinitum, doomed, perhaps forever to seek a presuppositionless supposition which is denied him by the very presupposition of inquiry itself: that all presupposition rests on further presupposition. Such is the endless torture of the philosopher and the endless enigma of thought: that the nature of thought (and thus of the philosophic enterprise) is self motivation towards ends negated by the very fact of the motivation.

l See Richard P. McKeon, "Communication and Community as Philosophy," Ethics, LXIII (April, 1953), 190. Here he refers to a "kind of ontological tautology exhibited in an action which is its own warrant because it is its own subject." As examples he points to "the uses to which Socrates put the Greek dictum, 'know thyself,' the occupation of the Aristotelian God thinking about thinking, the enterprise of Descartes rehabilitating thought by discovering the existence of the thinker implied in his thinking, and the power of the Spinozist God as causa sui. . . "

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Intelligible knowledge demands a firmament not further explainable through the original process whereby that knowledge was yielded. Thus, in approaching the problem of causation Aristotle, for example, rationally exhibits the necessity of a First Cause from the fact that intelligible knowledge does indeed exist as the very starting point of inquiry. Knowable objects are present and to be present they must be based on more than Protean ideas, each taking the form of its precedessor the moment it is thought.

Indeed, as Hubert G. Alexander points out in "Concerning a Postulate of Fitness," Philosophy and Phenomenological Research, XIV (March, 1954), 309, if each knowing is its own object then the knowing process is reduced to absurdity.

Both Plato and Aristotle insist that knowledge results from a process involving both a knower and an object. This is the starting point of the inquiry into knowledge; we start with an intelligible situation, else there would be no inquiry at all, and because it is intelligible we know that an infinite regress is illusory.

See Footnote 4 for Aristotle's treatment of infinite regress in connection with the demonstrability of knowledge as discussed in the Posterior Analytics.

Aristotle does not deal with the problem of an infinite regress in relation to causation in general but specifically in connection with the problem of the cause of motion. This problem is dealt with in the Physics 256a22 thru 256b4.

The notion of an infinite regress is generally inadmissible to Plato who reduces the notion to absurdity in the Charmides (167-8) by exploring the notion of vision whose object is vision, hearing which hears no sound but only itself, senses which sense only themselves and no object of sense, desire which desires but itself, a wish which wishes for no good but only for itself, a fear which has no object, etc.

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Thus for Aristotle a special science -- Metaphysics -- is itself the study of the first principles or presuppositions of each of the other sceinces, no one of which can examine its own presuppositions and continue to be a science based on those presuppositions. In Aristotle's scheme of the sciences there is no Meta Metaphysics to scrutinize the presuppositions of the science of metaphysics although metaphysics by deiniition as science cannot examine its own bases.

³ See Richard P. McKeon, "Introduction" in The Basic Works of Aristotle (New York: Random House, 1941), xviii. He here says that the demonstration of the first principles of any science will always fall in another science. For Aristotle "the establishment and criticism of its own first principles are beyond the scope and method of any science." On p. xvix, McKeon writes, "Metaphysics, as Aristotle conceived it, is not the expression of a belief concerning the fundamental nature of being: it is a science, separate from the other sciences, on which the possibility of separate and distinct sciences depends."

The relation of metaphysics to the other sciences may be compared to the relation of the Prime Mover to other sources of movement. Each, the one as science, the other as principle, exists for its own sake alone. Each is "uncaused" in the sense that each is the foundation for all else of its type: the one of knowledge, the other of movement. And just as divinity attaches to the Prime Mover who exerts, at least metaphorically, the power of "attraction," so, too, does divinity attach to metaphysics. For metaphysics is, according to Aristotle, the most divine science (Metaphysics 983a6); it is the only free science, the only one existing for its own sake (Metaphysics 982b27). Indeed, Aristotle even suggests that metaphysics may be beyond human power, for God alone is first principle and cause of all things and perhaps God alone can have a science of first principles (Metaphysics 983a).

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Metaphysics, thus, makes knowledge possible by providing for the other sciences non-reflexive presuppositions;
at the same time, however, it restricts knowledge because,
having no source for the same kind of criticism of itself,
it must necessarily proceed through eternity on the same
presuppositions.

One such presupposition of the metaphysics is thus immediately evident: that there exists a set of presuppositions which at rock bottom characterizes the universe (at least as knowable to the sciences) and which are thus eternal ideas (or forms of experience) themselves the source of experience (as the Prime Mover is the source of all movement), and thus an eternal framework within which the sciences exist and within which any object has a rationally definable and demonstrable nature which is deducible from the first principles.4

This view of the place of the sciences in a metaphysical framework is crucial to the understanding of
Aristotle's view of the nature and scope of the sciences.
Indeed, the demonstrability of knowledge is the basis of
all Aristotelian science and for Aristotle this demonstrability is possible only because knowledge itself always
serves as the immediately given starting point of the demonstrability of its own possibility. Demonstration is possible for Aristotle only because there are fixed starting
points.

Aristotle makes this especially clear in the Posterior Analytics. "All instruction," he says, "given or received by way of argument proceeds from pre-existent

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This presupposition, namely, that there exists a set of presuppositions which constitutes the framework within which the sciences are possible, is the point of departure of this thesis. For this is not a necessary presupposition of either philosophy or science, but only of some kinds of philosophy and some views of science. It at once determines the form and limitations of science.

All demonstration, then, rests on indemonstrable self-evident truths. Aristotle tells us (72b8) that if all knowledge must rest on demonstration only, without primary indemonstrable bases, then we are involved in an infinite regress, for "if behind the prior stands no primary, we could not know the posterior through the prior" for one cannot traverse an infinite series. Rather, says Aristotle, "Our own doctrine is not that all knowledge is demonstrative; on the contrary knowledge of the immediate premisses is independent of demonstration . . . since the regress must (italics mine) end in immediate truths, those truths must be indemonstrable." (72b20).

It has been the assertion of this paragraph in the thesis (a) that the view that there are self-evident indemonstrable truths is itself a presupposition which determines the nature of knowledge and, furthermore, (b) that the kinds of truth which serve as the starting point of demonstration confine and in many ways pre-determine what can be demonstrated.

knowledge." (71al). He goes on to assert the nature of scientific knowledge: "The proper object of unqualified scientific knowledge is something which cannot be other than it is." (71b15). "The premisses of demonstrated knowledge must be true, primary, immediate, better known than and prior to the conclusion. . . ." (71b20). The premisses of syllogisms which yield valid scientific knowledge must be true, they "must be primary and indemonstrable . . .; the premisses must be the 'causes' of the conclusion, better known than it and prior to it. . . ." (71b25-30). Aristotle further clarifies: "In saying that the premisses of demonstrated knowledge must be primary, I mean that they must be the 'appropriate' basic truths. . . . A basic truth in demonstration is an immediate proposition. An immediate proposition is one which has no other propositions prior to it." (72e6).

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It is the purpose of this study to describe this "set of presuppositions" as they relate to one science -biology -- to lay bare, as it were, the framework within which biological knowledge for Aristotle is to be understood. It shall assume that Aristotle, like any philosopher, has two kinds of presuppositions, each of which properly understood may serve to elucidate the other. One kind. of course, consists of views specifically stated to be presuppositions. These may be found throughout the writings. The other kind consists of unstated and perhaps unperceived attitudes which are, more than anything else, habits reflecting the man's motives. They are the product of individual idiosyncrasy, personal influences, cultural patterns. This study shall assume that the philosopher is to be understood only through consideration of both kinds of presuppositions. It shall thus pass freely from one to the other.

George Boas, "Presuppositions of Aristotle's Metaphysics," American Journal of Philology, LV, 36-48, lists the assertions which he believes are unproved premisses in Aristotle's metaphysics. He fells that these presuppositions are especially important because in order to understand any ancient thinker we must know (a) what modes of thinking determined his reasoning by forming his basic metaphors, (b) what propositions seemed self-evident to him. He feels, and this study agrees, that it is important to know what a philosopher takes for granted. This follows the Aristotelian (or more properly Platonic) dictum that one has to know what one wants before one can begin to reason. Fresupposition in this view is pre-logical and determines both the form and object of inquiry.

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Presupposition in any science determines the problem, the form of the inquiry, and sets limits to potential
theory. This study shall attempt to demonstrate the influence of presupposition on Aristotle's biological science. It shall be asking, in effect, "What indemonstrable
truths serve as the bases of biological demonstrations?"
It shall then attempt to show that the effort to set up
biology as an empirical science (and which of the sciences
is potentially more empirical?) is nullified by presuppositions which simply do not allow Aristotle to follow biological observation wherever it may lead.

This study shall attempt to demonstrate, for example, that Aristotle did not have a theory of the natural evolution of the species and that, indeed, such a theory is incompatible with the presuppositions. Aristotle firmly rejected suggestions of any such view of biological process that he found in the Ionian philosophers. No matter what the observations may have themselves suggested, no amount of intellectual juggling could have derived a theory of evolution of the species from the presuppositions with which Aristotle approached his data. This study shall attempt to demonstrate the logical incompatability of the presuppositions of the biology and a theory of biological evolution. If this is true, then biology as a science is made to serve

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ends extraneous to itself, and its development is limited by prior considerations with which the observational data must accord.

This may or may not be true of the other sciences; such a consideration is beyond the scope of this study. If it is true in this one instance, however, it would seem to involve a peculiar contradiction in Aristotle: that Aristotle sought, on the one hand, to establish an observational science of biology, based as nearly as possible on observed biological phenomena, and, on the other hand, founded the science on metaphysical presuppositions which demand certain characteristics of the data and deny others regardless of what observation itself may show to be the case.

This study shall suggest, but shall not demonstrate, since it, too, is beyond the scope of this study, that the reason for this contradiction lies in the fact that many of Aristotle's presuppositions (especially as world view) were derived, in spite of himself, from Plato but were not understood as such by Aristotle. The study shall suggest that there is a peculiar dichotomy in the work of Aristotle due to the fact that he proceeds on the basis of incompatible motives: his own desire to set up independent, empirically grounded bodies of knowledge and his desire to organize such knowledge into a Platonically oriented, non-empirical world

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view.

This study shall also raise the further problem for future inquiry: if biological conclusion is limited (and even fore-ordained) by the nature of the presuppositions, to what extent is Aristotle's philosophy inimical to naturalistic science?

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CHAPTER II

ARISTOTLE AS BIOLOGIST

The elder Pliny relates that "King Alexander the Great being fired with a desire to know the nature of animals and having delegated the pursuit of this study to Aristotle as a man of supreme eminence in every branch of science, orders were given to some thousands of persons throughout the whole of Asia and Greece, all those who made their living by hunting, fowling, and fishing and those who were in charge of warrens, herds, apiaries, fishponds, and aviaries, to obey his instructions that he might not fail to be informed of any creature born anywhere."

Though Pliny's account may be only rumor, 7 it

⁶ Pliny, Natural History, Bk. 8, 44.

Thomas E. Lones, Aristotle's Researches in Natural Science (London: West, Newman & Co., 1912), p. 8, says that the truth of the story of Alexander's assistance "has been doubted, partly because Macedonia was a poor country and could not bear the expense which the collection of a vast number of animals would necessitate." He suggests, also, that "A passage from Aelian makes the truth of this matter doubtful. He says that Aristotle produced his History of Animals with the aid of the wealth of Philip. . . . The whole question of the supposed aid rendered Aristotle by Philip or Alexander, or both, is involved in obscurity."

The state of the s CONTRACTOR OF THE PARTY OF THE nevertheless indicates the wide reputation of Aristotle as a student of natural history. For Pliny, writing in the 1st century A. D., Aristotle remains pre-eminently the authority in biological observations.

observations which corrected the fallacious popular opinions of the day: regarding the gestation period of elephants, the mating habits of the hyena, the breathing habits of fish, the mating habits of the hyena, the breathing habits of fish, the decera. Pliny relates that Aristotle denied that sucking fish have feet and in addition pointed out that their limbs resemble wings; and that Aristotle observed that raven do not mate with the beak but, rather, that the billing in question is a form of kissing, like that which takes place between pigeons. Aristotle also denied the popular belief that lizards bear through the mouth.

The authority of Aristotle in biological matters is

⁸ Pliny, op. cit., Bk. 8, 28.

⁹ Ibid., Bk. 8, 105.

¹⁰ Ibid., Bk. 9, 17.

^{11 &}lt;u>Ibid.</u>, Bk. 8, 79.

¹² Ibid., Bk. 10, 32.

¹³ Ibid., Bk. 10, 187.

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absurd. "For my own part," he writes, "I am surprised that Aristotle not only believed but published his belief that our bodies contain premonitory signs of our career. But although I think his view unfounded I will touch upon it, because so great a master of the sciences as Aristotle has not despised it."

Indeed, through the years fliny's praise of Aristotle has been echoed and re-echoed. Thus, Cuvier writes of Aristotle: "In Aristotle everything amazes, everything is prodigious, everything is colossal. He lived but sixty two years, and he was able to make thousands of observations of extreme delicacy, the accuracy of which the most rigorous criticism has never been able to impeach." Referring to Aristotle's History of Animals Cuvier writes: "I cannot read this book without being ravished by astonishment. Indeed, it is impossible to conceive how a single man was able to collect and compare the multitude of particular facts implied in the numerous general rules and aphorisms contained in his work, and of which his

¹⁴ Ibid., Bk. 11, 273.

¹⁵ Cuvier, Histoire des sciences naturelles (Paris, 1841), I, 132, quoted in Richard P. McKeon, "The Philosophy of Aristotle," an unpublished manuscript, p. 39.

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predecessors never had any idea." The anatomist Isidore St. Hilaire has similar praise for Aristotle: "He is in every branch of knowledge like a master who cultivates that one only. He reaches, he extends the limits of all the sciences, and penetrates to their very depths." Darwin, though in many ways opposed to Aristotle, has perhaps the most extravagant praise of all: "Linnaeus and Cuvier have been my two gods, though in very different ways, but they were mere schoolboys to old Aristotle."

Aristotle's biological training was probably earlier than that of his training in any other science. There is even a rumor, generally discredited, that he practised medicine before attending Plato's Academy. 19 Aristotle's

¹⁶ Ibid., p. 146 of Cuvier, p. 59 of McKeon.

¹⁷ Isidore Geoffrey St. Hilaire, Histoire generale de regna organiques (Paris, 1854), I, 18, quoted in McKeon, ibid., p. 60.

Dublication of his translation of The Parts of Animals, 1882. In Darwin's Life and Letters (New York: D. Appleton & Co., 1896), III, 252.

¹⁹ George Grote, Aristotle (London: John Murray, 1880), p. 3, points out that though this account comes from the earliest witnesses preserved to us (Epicurus and Timaeus) it is still doubtless false because it would have him starting at Flato's Academy at a much later age than appears in other accounts deemed more valid (Hermippus, Apollodorus, etc).

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^{1880).} p. 3. points out thin the case that the case of the case of

father, at any rate, was court physician to Amyntus II,
King of Macedon and father of Philip the Great. As a hereditary member of the guild of Asclepiads, Aristotle was
probably intended for a medical career, and, if so, probably
had experience in dissection when still quite young. Grote
points out (following Galen) that "among the Asklepiads
the habit of physical observation, and even manual training
in dissection, were imparted traditionally from father to
son, from the earliest years, thus serving as preparation
for medical practise when there were no treatises to
study." He suggests that "the mind of Aristotle may thus
have acquired that appetite for physiological study which
so many of the treatises indicate."

By the time Aristotle came to Plato's Academy at the age of seventeen he had no doubt developed the habit of careful observation so important in biology. At any rate, one finds in Aristotle a certain fidelity to observed fact, and his division of the sciences is based more than anything else on the different kinds of phenomena available to our senses. There can be no doubt -- after reading The Parts of Animals, for example -- that Aristotle was a very careful observer of biological phenomena and that he sought to

²⁰ Ibid., p. 2.

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base his biological theories, as nearly as possible, on what he actually observed. That theory be formed in this way was, indeed, a basic (and perhaps necessary) prejudice of Aristotle the scientist. He writes, for example, "This seems to be the manner in which generation of bees occurs, both according to argument and according to what seems to take place among the bees. What takes place, however, has not yet been explored sufficiently, but if it ever is; then credit must be given to sensation rather than arguments, and to arguments only if they accord to the observed Indeed, Aristotle suggests that the science of biology has been held up in its development precisely because some of the ancients followed argument rather than sense perception, choosing to accept conclusions about nature deduced from theoretical principles rather than looking to nature itself for the facts. "Reasoning in this way," he writes, "therefore they were led to transcend sense perception, and to disregard it on the ground that one ought to follow the argument. . . . "22

Throughout his writings Aristotle emphasizes obser- vations as the first and necessary source of any real

²¹ On the Generation of Animals, 760b27-33. Italics

^{22 &}lt;u>Ibid.</u>, 325alo.

date his biological altertace, on monthly mangement and Albertan Connection of the Control o of Aristotic tes calentiable as a coest of around the calendary seems to be the moral and the state of the season of the ed. of emess or ement the relation of the telegraph of initions dued tame place among the bees. The property will be a long to the not year been explored went without the foreign and day don *hard seed the day columners of terry of Jam Hibers wold nearts, and to arguments unit & they shop of his atmost present." . Some of the contract to the contract of the second Tentoses trestalevely it allow blad most and vocate to sense perception, carocing to accept the party sense ing to pature itself for the factor. Marganific tribles way, " he writer, "therefore they weig led to twinders." Maliana. A de savoyre det wallen de desgre eno

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"facts." In the Nichomachean Ethics, for example, he argues that "the truth in practical matters is judged from operations and life, for the decisive factor is to be found in them. We must, therefore, examine what we have already said by bringing it to the test of operations and life, and if it is in harmony with those operations we must accept it, but if it disagrees with them we must suppose it to be merely arguments." In On the Movement of Animals (698) Aristotle writes, "... this we must apprehend not merely in theory as a general principle but also in its individual manifestations and in the objects of sense perception, on the basis of which we search for general theories and with which we hold that these theories ought to agree." 24

Nichomachean Ethics, 1179a16-22.

theory must be consistent with sense experience are:

Physics 262al7-19; On Generation and Corruption 33lb24-26,

336bl5-17; Meteorology 344a5-8; On Youth and Old Age

469a20-23; History of Animals 49la23-26; On the Generation
of Animals 740a4-5, 786bl7-20; Metaphysics 1054a26-29.

See especially Posterior Analytics 99bl5-100bl5 where

Aristotle specifically asks how the basic premises become
known. He concludes that "these states of knowledge are
neither innate in a determinate form, nor developed from
other states of knowledge, but from sense perception."

Sense perception is, thus, the basis of all knowledge.

Aristotle attributes the fact of knowledge to the congenital discriminative capacity which is called sense perception. Some animals can retain sense impression and out of
it "comes to be what we call memory, and out of frequently
repeated memories of the same thing develops experience."

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It has already been seen that ultimately science is based on valid demonstrations which proceed from indemonstrable premises. These premises are supplied for Aristotle by experience. This he states explicitly in the <u>Frior Analytics</u> (4ba3-27): "Most of the principles . . . in any particular science, are peculiar to it. Consequently it is the function of <u>experience</u> (italics mine) to supply the principles pertinent to each science. I mean, for example, that astronomical experience is the source of astronomical science, for once the phenomena has been grasped sufficiently, the demonstrations of astronomy were discovered. It is the same way in any other art or science."

It would appear, then, that the foundation of the science of biology is purely and simply "biological experience." If we wish to understand biology, then, we must look first to biological experiences, and secondly to the kind of demonstration which can be based on these most self-evident of experiences. The presuppositions of the science of biology would be, then, nothing more than biological experiences -- experiences which are obvious enough to differentiate for us a kind of knowledge which is derived from and refers back to that kind of experience. This was Aristotle's aim and the reasons for his failure to a chieve it will constitute an important part of this thesis.

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Peculiarly enough, it is exactly biological experiences which do serve, so far as Aristotle himself believes, as the basis for his science of biology. And, as Cuvier pointed out, the wealth of Aristotle's biological observation is astounding. Aristotle consciously sought to establish biology, as he did all sciences, on a sound empirical basis, that is, on the basis of "the complex variety of things discernible in the world and in need of classification, in part by the principles and causes which are known after experience of things."

What, then, are the "biological experiences" from which biological theories are derived and with which they are in turn checked?

I

Biological phenomena, and thus biological problems, are part of the physical world and thus of physical inquiry. However, the essential experience underlying biology is the experience of "life" which is somehow different from experience of physical objects and events which are not alive. When we pass from the physical to the biological we immediately encounter a new complexity of function; this is the

²⁵ McKeon, "Philosophy of Aristotle," p. 43. Italics

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starting point of Aristotle's biology.

There are the processes of generation, locomotion, growth, and nutrition. 26 These are all functions of living things and they represent for us a different kind of experience which calls forth a separate science. 27 Living things, however, are in the physical world and the science of biology assumes such principles of physics as motion, form, and matter. 28 Indeed, there is really no sharp differentiation between non-living and living matter, and therefore no really clear line of demarcation between physics and biology as sciences. There is a continuous scale of being. "Nature proceeds little by little," writes Aristotoe, "from things lifeless to animal life in such a way that it is impossible to determine the exact line of demarcation, nor on which side thereof an intermediate form should lie. Thus, next after lifeless things in the upward

²⁶ On the Soul 412al3: "Of natural bodies some have life in them, others not; by life we mean self-nutrition and growth (with its correlative decay)."

and principle of living things, envisages the functions of generation, nutrition, growth, sensation, locomotion, and thought. On the Soul, however, concentrates on the processes of sensation and thought. The other functions are emphasized in the four biological treatises.

George Kimball Plochmann, "Nature and the Living Thing in Aristotle's Biology," Journal of the History of Ideas, XIV (April, 1953), 170-171

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from another as to its amount of apparent vitality; and, in a word, the whole genus of plants, whilst it is devoid of life as compared with an animal, is endowed with life as compared with other corporeal entitles. Indeed, as we have just remarked, there is observed in plants a continuous scale of ascent towards the animal. So in the sea, there are certain objects concerning which one would be at a loss to determine whether they be animal or vegetable."

Aristotle also writes: "Nature passes in a continuous gradation from lifeless things to animals, and on the way there are living things which are not actually animals, with the result that one class is so close to the next that the difference seems infinitesimal."

For Aristotle, nevertheless, there is a unique difference between natural objects and natural <u>living</u> objects;
though we cannot always by observation determine the one
from the other, still wherever there is life there is always
the presence of a "soul." In our experiences there are

²⁹ History of Animals, 588 bl.

³⁰ Parts of Animals, 681a12.

³¹ On the Soul, 413a22: ". . . what has soul in it differs from what has not in that the former displays life. .; living may mean thinking or perception or local movement and rest, or movement in the sense of nutrition, decay and growth."

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certain natural bodies which perform certain functions and it is these functions taken together which constitute the 32 The analysis of these functions is the subject matter of biology. Therefore any biological theory is derived from our experience of these functions and ultimately must be tested by referring back to them. One of the chief concerns of biology is how to classify these functions, all of which, in terms of our experience of them, are interdependent, and none of which can ever be isolated in experience from the others.

If we start with our experience of living things, however, we can generally distinguish functions. No being lives without nutrition, and thus we can distinguish a "nutritive" part of the soul; living beings grow, they decay, they have sensation, some of them can move. These become the basic data of biology and it is with such data that the biological treatises are concerned. In the History of Animals, for example, animals are classified according to the different ways in which these functions may be

³² On the Soul, 415b9: "The soul is the cause or source of the living body. . . . It is a) the source or origin of movement, b) the end . . . , c) the essence of the whole living body."

⁴¹²b: "The soul is the first grade of actuality of an organized body."
413f: "The soul is inseparable from its body."

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II

There are, obviously, many different kinds of creatures exhibiting the characteristics of living things.

These may be divided into genus, and within the genus into species. The fact that there are different species, classifiable on the basis of similarities and differences, is basic to our biological experience.

Living beings, indeed, differ from one another in many ways: in the kind of environment they inhabit (land, water, air), in structure, in appearance, in anatomy, in the degree of development of certain organs, in the emphasis placed on different functions. These differences the biologist may observe. Aristotle is sufficiently observant of the many differences to avoid isolating a single characteristic as a principle of selection, and one "type" of creature in terms of one function may be another "type" in terms of another function. Classification is not a simple matter.

Aristotle gives us several examples of such classificatory difficulties. The Cetacea "are after a fashion land and water animals in one. For so far as they are inhalers of air they resemble land animals while they resemble water animals in having no feet and in deriving their food from

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the sea. So also seals lis half-way between land and water animals and bats half-way between animals that live on the ground and animals that fly; and so belong to both kinds or neither." Or take the Libyan ostrich. "It has some of the characteristics of a bird, some of the characteristics of a bird, some of the characteristics of a quadruped." On the basis of shared characteristics how would one classify the Egyptian hippopotamus which "has a mane like a horse, is cloven-footed like an ox, and is snub nosed . . . has a huckle bone like cloven-footed animals, and tusks just visible . . . , has the tail of a pig, the neigh of a horse, and the dimensions of an ass [and those] internal organs resemble the horse and the ass?"

Yet with all the complexities of structure and function, and the resulting difficulty of classifying animals, and although the "boundaries" of particular species may be blurred, it is still possible for Aristotle to recognize a natural scale. There is the continuous, unbroken gradation from lifeless things to animals. It is possible to speak of an "upward" scale, proceeding from lifeless

³³ Parts of Animals, 697b.

³⁴ Ibid., 67914.

³⁵ History of Animals, 502a9.

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things, to plants, to animals, of "a continuous scale of ascent towards the animal." After enumerating the psychic powers of living things, 36 Aristotle indicates a scale according to a degree of complexity: "Of the psychic powers above enumerated some kinds of living things . . . possess all, some less than all, others one only. Those we have mentioned are the nutritive, the appetitive, the sensory, the locomotive, the power of thinking. Plants have none but the first, the nutritive, while another order of living things has this plus the sensory. If any order of living things has the sensory, it must also have the appetitive , . . . Certain kinds of animals possess in addition the power of locomotion and possibly another order like man Elsewhere Aristotle bases a natural scale on the degree of development of the offspring at birth.36

³⁶ On the Soul, 413a23-25, b11-13, 21-4.

^{37 &}lt;u>Ibid.</u>, 414a28-414b20.

³⁸ Generation of Animals, 732a25-733b16.

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CHAPTER III

ARISTOTLE AND EVOLUTION

Evolution as an <u>idea</u> has had a long history.³⁹ It has provided, indeed, one of the basic metaphors with which man has sought to interpret his experience. It can be found in poetry, 40 science, myth, and legend. It is the core of some religions. As one way of interpreting experience it has been the basis of some philosophical systems.⁴¹

Precisely because it has found such a wide range of expression the idea is difficult to characterize. Indeed, in the history of thought it has been better rhapsodized than analyzed. Evolution is basically a view that ultimate reality is processual, that, furthermore, process is inexorable, divisible only as a practical device, and is

³⁹ Suggestions of the idea can be found in Heraclitus, who believed all things were in a state of flux; Empedocles, who suggested that some forms of life are replaced by others; Anaximander, who held that the fish preceded man and that some fish had adapted themselves to life on land.

⁴⁰ Goethe, despite his differences from Darwinism, was one of the forerunners and pioneers of a theory of evolution.

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"natural." Such a view can, and has been, applied to the cosmos, to human history, to individual development, and even to Gods.

In this study the concern is not, however, with evolution as a philosophical problem, but, rather, with the specialized problem of evolution as a biological theory. Its concern is not with the truth or falsity of this theory, but, rather, with the kind of theory it is and with its assumptions. Adequate or inadequate as a theory to explain complex biological phenomena, it rests, nevertheless, on a certain view of the nature of reality which has furnished the background for the development of "modern" science. It is the counterpart in biology of the Copernican (or more properly Galilean) revolution in physics, representing the same departure from tradition and the same insistence that nature is to be understood primarily as a natural, nonteleological process. Just as Calilean physics rejected the traditional notion that a state of rest is the natural condition of all bodies, so did evolutionary theory in biology reject the tradition of teleologically defined static species. Tradition in both instances had been based on Aristotle (rightly or wrongly understood) and the modern developments in both physics and biology were based on the rejection of a view of reality normally attributed to

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I have already proposed the question of whether or not the presuppositions of Aristotle's biology could allow a theory of the natural evolution of the species (as propounded by Darwin and his followers) even if biological observation justified such a theory. Aristotle himself had insisted, as pointed out, that all theory involves some observation and in turn must be checked by it. This would itself sound like the kind of presupposition which would permit any theory which was consistent with "fact." Paradoxically, however, I have suggested that regardless of biological "fact," a theory of natural evolution of the species would be impossible in Aristotle's biology. It is to this problem that we now must turn.

I

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of a theory of the natural evolution of the species:

- (1) The species are mutable.
- (2) "New species may result from the selective action of external conditions upon the variations from the specific type which individuals present."
- (3) Biological process, like physical process, operates independently of aims, goals, purposes. 43 Changes in species due to natural

History of Science (Cambridge and New York: The Macmillan Company, 1939), 277, because it is an excellent statement of one essential feature of the theory.

The claim that modern science, either in physics or in biology, has eliminated "purpose" is, of course, open to some criticism. Some philosophers of science suggest that modern science continues to retain the notion of purpose, merely substituting one set of purposes for another. Cassirer, for example, in The Problem of Knowledge (New Haven: Yale University Press, 1950), p. 166, after praising Darwinism for carrying through a "strictly unitary causal explanation with no assumption of any type of causality equal or superior to the physico-chemical," goes on to assert that it is absurd to claim "that it has finally overcome the purposive way of looking at things, that it has succeeded in dispensing with all concepts of purpose in scientific research. . . . Here the concepts of purpose have their secure place; they prove themselves to be not only admissible but absolutely indispensable. Not only the answer that Darwinism yields but even its formulation of the problem is indissolubly bound up with the purposive idea. The concepts of 'fitness,' 'selection,' 'struggle for existence,' 'survival of the fittest' -- these all have plainly a purposive character and they exhibit a theoretical organization quite different from that of the concepts of

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selection are not necessarily cumulative. The theory discards "straight line" trends under the influence of some inner "force" or "urge."

Trends never continue in a straight line for the whole of their course. Indeed, they are never single: they are composed of many separate

the mathematical sciences. The constant use that Darwinism makes, and must make, of these concepts would alone suffice to show than in opposing a definite form of metaphysical teleology it in no way renounces 'critical teleology." Indeed, one can go even further and assert that no earlier biological theory ascribed quite so much significance to the idea of purpose, or advocated it so emphatically, since not only individual but absolutely all the phenomena of life are regarded from the standpoint of their survival value. All other questions retreat into the background before this one." On p. 167 he writes: "Darwinism chose from the totality of all these relationships but one group of them alone for attention, asking only: 'What has survival value for the individual and the species?' Value for selection is glorified as the real, in fact, the only criterion, by which the meaning of every vital phenomenon is to be discerned and by which alone it can be understood. In this sense the critics of Darwin have rightly emphasized that its disciples, who boasted of having overthrown the idols of purposiveness, were 'the greatest teleologists of all' both in their fundamental concept of life and in the conceptual language they used. " I believe Cassirer is basically wrong: external, disinterested factors provide the conditions of survival; in a significant sense it is not critical to Darwinism to say that each creature even "desires" his own survival, but merely that statistically some characteristics are more likely to result in survival under certain conditions than are others. It is external chance condition rather than internal purpose which determines modification, and this is a fact which may be statistically stated without reference to any concept of purpose. Certainly each science has, as science, some goals and purposes, but it is not logically incompatible for the scientist who desires to "know" about phenomena to insist that the phenomena follow processes independent of his desires.

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As partial authority for these three views, I offer the following statements by Darwin as to his own conception of his theory.

- (a) Describing the circumstances under which a theory of evolution first occurred to him, Darwin writes, "In October 1838 I happened to read for amusement Malthus on Population, and being well prepared to appreciate the struggle for existence which everywhere goes on from long continued observation of the habits of animals and plants, it at once struck me that under these circumstances favorable variation would tend to be preserved, and unfavorable ones to be destroyed. The result of this would be the formation of new species. Here, then I had a theory by which to work."
- (b) "I had two distinct objects in view"; writes
 Darwin, "firstly, to show that species had not
 been separately created, and, secondly, that
 natural selection had been the chief agent of

⁴⁴ Dampier, op. cit., p. 276.

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- (c) "I was not able to annul the influence of my former belief, then almost universal, that each species had been purposely created; and this led to my tacit assumption that every detail of structure, excepting rudiments, was of some special though unrecognized service . . ; if I have erred in giving to natural selection great power, which I am very far from admitting, or in having exaggerated its power, which is in itself probable, I have at least as I hope done good service in aiding to overthrow the dogma of separate creations."
- (d) Darwin writes: "Authors of the highest eminence seem to be fully satisfied with the view that each species had been independently created. To my mind it accords better with what we know of the laws impressed on matter by the Creator, that the production and extinction of the past should have been due to secondary causes, like those determining the birth and death of the

Hurt & Co., 1874), 69. Descent of Man (New York: A. L.

⁴⁶ Ibid.

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The three essential features of evolution theory were reached by Darwin through a partly inductive, partly deductive method. Julian Huxley points out that Darwin's theory of natural selection is based on three observable facts of nature and two deductions from them. Fact one: the tendency of all organisms to increase geometrically. Fact two: in spite of this the numbers of a given species tend to remain more or less constant. Deduction one: there is struggle for survival. Fact three: all organisms vary appreciably. Deduction two (on the basis of deduction one and fact three): natural selection -- i.e., some variations are favorable in the struggle for survival, some are not, and a higher proportion of individuals with favorable variations will survive and reproduce offspring more like themselves than like the others.

II

So far in our discussion of Aristotle there is nothing that need necessarily conflict with a theory of natural evolution as sketched above. We have seen that

⁴⁷ Charles Darwin, Origin of the Species (New York: Philosophical Library, 1951), p. 504.

¹⁴⁸ Julian Huxley, Evolution, the Modern Synthesis (New York and London: Harper & Brothers, 1943), p. 14.

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Aristotle, like Darwin, was a prodigious observer; he insisted that theory follow observation. We have also seen that Aristotle had a notion of the continuity of living forms and was well aware that there are different species which may be ranked in terms of their "powers," in the sense, at least, that more complex functions depend upon simpler functions. That Aristotle himself did not set forth a theory of natural evolution; one could argue, is due not to any basic antagonism but to the primitive stage of the science at that time. Yet I have asserted not merely that Aristotle did not have a theory of evolution, but, further, that he could not have such a theory. For explanation we must turn to a closer analysis of Aristotle's "empiricism."

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CHAPTER IV

PRESUPPOSITION AND THEORY

Many scholars have found the rudiments of evolutionary theory in Aristotle's biology; others have not. Torrey
and Felin in a comprehensive article have gathered
together such of the significant comment on this question.
On the basis of the same evidence some scholars have seen
Aristotle as the father of evolution; others have seen him
in sharp opposition to the idea. H. F. Osborn, for example,
believed that Aristotle "was the first to conceive of a
genetic series," and that "his conception of a single chain
of evolution from the polyps to man was never fully replaced
until the beginning of this century (19th)."
E. Clodd,
basing his judgment largely on what he had read of Osborn
rather than on Aristotle himself, referred to Aristotle's
"pioneer work in evolution," and thought that in "the

⁴⁹ Harry Beal Torrey and Frances Felin, "Was Aristotle an Evolutionist?" The Quarterly Review of Biology, XII (March, 1937), 1-18.

The Development of the Evolution Idea Through Twenty-four Centuries (Second edition, revised, New York and London: C. Scribner's Sons, 1929), 44.

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question of the origin of life forms" Aristotle "was nearest of all to its modern solution." W. A. Locy agreed that Aristotle "believed in a complete gradation from the lowest to the highest, and that man is the highest point of one long and continuous ascent." On the other hand, T. E. Lones says that nowhere "does Aristotle show that he had any ideas of a development of higher forms of life from common ancestors, at all resembling the Darwinian idea of the origin of the species." A. E. Taylor goes even further in stating that Aristotle "turned his back on evolutionary ways of thinking." 54

Like these commentators, Aristotle, too, was a critic; indeed, his usual approach to any scientific question was to first ask what his predecessors had to say about it. His account of the "oppositions of the doctrines of his predecessors serves as a dialectical basis for the

Huxley (London: G. Richards, 1903), 18.

⁵² W. A. Locy, Biology and Its Makers (New York: H. Holt and Company, 1908), 12.

⁵³ T. E. Lones, op. cit., 82.

⁵⁴ A. E. Taylor, Evolution in the Light of Modern Knowledge (London: The Macmillan Company, 1925), 144.

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Aristotle was very much aware of historical tradition, and although he reacted against the Pythagorean tradition as culminating in Plato, he also criticized the Ionion physical substitutional physical and biological process. Aristotle knew what he was criticizing and, as we shall see, he had his reasons. In Fhysics 187620 he criticizes Anaximander, Empedocles, and anaxagoras for "producing things from their mixture by segregation." He cannot accept the view that "everything arises out of everything." In Parts of Animals 687a5 he disputes the view of Anaxagoras that "it is his possession of hands that makes man the most intelligent of the animals," (a view obviously consistent with natural selection) saying that it is "surely the reasonable point of view that

Science in the West," an essay in Science and Civilization (Madison: University of Wisconsin Press, 1949), edited by Robert C. Stauffer. Also Richard F. McKeon, "Aristotle's Conception of the Development and the Nature of Scientific Method," Journal of the History of Ideas, VIII (January, 1947), p. 3: "Aristotle frequently begins an inquiry or formulates a problem by reviewing the doctrines of other philosophers, for although the method appropriate to a problem is determined by the subject matter on which it is to be employed, the varieties of theory and of theoretical construction may be suggested by historical examination of what men have said concerning a subject of inquiry or by dialectical exploration of possible formulations."

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it is because he is the most intelligent animal that he has got hands." The crucial point here is, how can Aristotle possibly justify his view that man's intelligence (function) precedes in the order of being his possession of hands (a related structure)? Aristotle's answer here is quite astounding considering his insistence that hypothesis follow observation, for his answer is that "hands are an instrument" and that Nature, like a sensible human being, always assigns an organ to the animal that can use it (as it is more in keeping to give flutes to a man who is already a flute player than to provide a man who possesses flutes with the skill to play them)." Here we have a view completely opposed to evolutionary theory: that the fitness of man is prior to any subordinate function of man and that man has attributes as man not because these have developed in response to "situation" but because of the nature of man. "Thus it is," he says, "to that animal man who has the capability for acquiring the greatest number of crafts that nature has given the instrument (viz. the hand) whose range of uses is the most extensive." The implication here is that the species man is somehow outside of nature, with an already established being. How does Aristotle justify his conclusion? He does it by citing a principle. "Nature has provided that which is less as an addition to that which is

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greater and superior; not vice-versa." That this is the way in which nature works is one of the presuppositions of the biology, and here we see the way in which presupposition detemined conclusion and does it, in Aristotle's mind, in the name of common sense. The proof of Aristotle's view of the nature of man in relation to the structure of man (that nature determines structure, not structure determining nature) rests on the argument: (a) if this is the better way, and (b) if nature always does the best she can in the circumstances, then (c) it is not true to say that man is the most intelligent animal because he possesses hands, but he has hands because he is the most intelligent animal. Though Aristotle's premises are meant to be obvious from experience, they belong not to experience but to a conception of nature which determines the way in which things are observed. In this instance a theory about nature -- no matter how warranted it might be by the facts -- which contradicts the statement, "nature always does the best she can in the circumstances," could not be accepted by Aristotle.

Here Aristotle is rejecting not merely one view of one philosopher; he is rejecting also a whole tradition of scientific investigation, a scientific temper which was empirical but with which he was in basic disagreement; his

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disagreement and thus his rejection was based on the neglect of final causation in that tradition. He rejects it because in his opinion it failed to see that no scientific explanation can be complete which neglects to consult final causes. The passages quoted, indeed, serve to ridicule the point of view.

X

In Parts of Animals, 640al5, Aristotle attacks Empedocles, and in attacking him shows his familiarity again with a scientific tradition towards which he is hostile and, in this instance, towards a view of the species with which he strongly disagrees. The view he attacks is again a view of evolution. The difference here, again, is basic; treating the same biological phenomena as does Empedocles, his attitudes take him in the opposite direction, that is, away from an evolutionary view. He starts by asking which of two quite different questions we should consider: (a) what is the process of formation of each animal? (with which ancient writers had concerned themselves), or (b) what are the characters of a given creature when formed? He proposes to start with the characters presented by each group of animals and then to state the causes of these characters and deal with their evolution. This he tells us is the true sequence, just as it would be in house building. In house building, for example, we would

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that because it has this particular form the construction is carried out in a certain manner. "The process of evolution," he writes, "is for the sake of the thing finally evolved and not this for the sake of the process. . . . Empedocles, then," he goes on to say, "was in error when he said that many of the characters presented by animals were merely the results of incidental occurrences during their development."

Aristotle has indicated his criticism of Anaxagoras that the fully formed individual is prior in the order of being to its subordinate structure. Here, in his criticism of Empedocles, we see that Aristotle does not admit natural selection nor does he admit natural process which does not aim at some end. He is aware of both of these ideas and he rejects them. The starting point for Aristotle, as with his example of the house, must always be a plan and this plan serves as an end, and, rather than being determined by process, it determines process. Ultimately each species is just such a plan, just such an end; each form in the universe is ultimately inviolable, because each form is the source of its own processes and therefore could not conceivably be altered by those processes. This view is deep in Aristotle's thinking: for any action there must be a starting

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point. The Prime Mover is just such an ultimate starting point, untainted by the processes for which it is ultimately at the same time master plan and end. In the same way each form -- whether it be a species or the plan of a house -is essentially inviolable; for that is the nature of form or end. In Parts of Animals, 640b, Aristotle makes this clear in terms of species when he writes, "man is an animal with such and such characters, therefore is the process of his development necessarily as it is; therefore is it accomplished in such and such an order, this part being formed first, that next, and so in in succession; and after a like fashion should we explain the evolution of all other works of nature." On the presupposition that all evolution is for the sake of the thing evolved, how could one possible account (a) for one species ever developing out of another, or (b) for any species undergoing change? It is not form, plan, or end to be changed by the process of its own fulfillment. And that is really the only process Aristotle will permit. No species could develop into another unless the individual members of that species changed. But for Aristotle the individual could not change unless the species (form) changed because for Aristotle the fuller form is prior in the order of being to any subordinate part or function and therefore no subordinate can change the

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fuller form.

In criticizing Empedocles' account of how the back-bone might become divided into vertebrae by natural means, Aristotle would remind Empedocles that all propagation implies a created seed endowed with certain formative properties, and, furthermore, "that the parent animal preexists not only in idea but actually in time. For man is generated from man; and thus it is the possession of certain characters by the parent that determines the development of like characters in the child." What chance for mutability of the species here? As Aristotle says, "the formal nature is of greater importance than the material nature."

In the Physics, 198b-200a, Aristotle also ridicules views of natural process which overlook end as the determination, indeed the source, of process. "Action for an end is always present in things which come to be and are by nature"; wherever a series has completion, all the preceding steps are for the sake of that which results. No scientific explanation, then, can be complete without a consideration of final cause; last to be realized, they form, nevertheless,

Parts of Animals, 640a25.

⁵⁷ Ibid., 540b29.

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our first consideration. They are the starting point.

The opening book of <u>Parts of Animals</u> is one of the clearest and most comprehensive statements of Aristotle's teleology in the whole <u>corpus</u>. "There are more causes," he says, "than one concerned in the formation of natural things: there is the Cause <u>for the sake of which</u> the thing is formed, and the Cause to which the beginning of the motion is due. . . The first is what we call 'Final Cause', since that is the <u>logos</u> of the thing -- its rational ground -- and the <u>logos</u> is always the beginning for products of nature as well as those of Art." He goes on to distinguish between method in theoretical and natural science: the theoretical sciences begin with what is, the natural sciences with <u>what is going to be</u> (with processes of actualization, assuming that there is that which is actualizable).

The role of teleological thinking in Aristotle's philosophy, and particularly in the biology, is extremely important. Biological phenomena are approached by a principle of ends, or final causes, or that for the sake of which something exists, or forms, or, more simply, plans which, like the plan of a house, are actualizable and actualized. Species for Aristotle are such plans. Given

^{58 &}lt;u>Ibid.</u>, 639b15.

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such a view, what is the source for the change of an end?

The answer is, simply, there is no external source. Ends do not change. They are not reciprocal with process but are ultimate determinants. Species are ends, and thus species cannot change. Since the teleological presuppositions of Aristotle's biology demands that ends be fixed, and since species are ends, it is obvious that such a presupposition is logically incompatible with any theory (no matter what the facts warranting it) that the species themselves evolve.

The problem of the nature of species in Aristotle can be approached in yet another manner. We may ask what Aristotle means by ranking species in a natural scale and, moreover, the significance of the continuity of the various forms in the scale. Does not a continuous natural scale provide at least hints of a theory of natural evolution? Are not the two views at the very least compatible? Was not Aristotle the biologist concerned with minute observation of a great variety of living individuals and have we not seen that he admits, as in the case of the Libyan ostrich, than an individual may fit into one form in one respect and yet another form in another respect? Here again, however, as has been pointed out in the discussion on teleology, form or species is not arbitrary description

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on the basis of observed characteristics. Species is not defined by describing the characteristics which some animals share in common, but, rather, characters are recognized and intelligible because they can be referred to the common form. With all Aristotle's fidelity to sense impression, still, in order to have any knowledge, even of sense impressions, we must think of the many individuals in terms of class groupings. What is the relation of the individual to a particular class of individuals? How does the knowing process mediate between them: passing from knowledge of the one to knowledge of the other and back again? And with what justification? I have already indicated that the relationship of form to individual is not, epistemologically at least, reciprocal. Form determines individual; individual does not determine form. In Metaphysics, 99a25, Aristotle raises this question: "If, on the one hand, there is nothing apart from individual things, and the individuals are infinite in number, how then is it possible to get knowledge of the infinite individuals? For all things that we come to know, we come to know insofar as they have some unity and identity, and insofar as some attribute belongs to them universally." Our knowledge of individuals, then, is made possible by our knowledge of the type. The individual animal cannot, for Aristotle, "be eternal as an

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individual . . . but it is possible for it as a species.

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Aristotle's presupposition, then, as to the nature of the knowing process itself demands, again, unchanging forms. And no matter how we may rank the forms in a natural scale, recognizing that they are continuous with each other, still this is a metaphysical ranking of unchanging entities. There is nothing in a natural scale to suggest that one species develops out of the species below it. As Royce has said, Aristotle may recognize evolution of the individual being whose life obviously is a process of genesis to corruption, "But the forms which are responsible for the evolution of individuals are . . . eternal. . . . They therefore do not evolve."

This conception of the eternity of the forms is . . . by far the most significant opponent that the philosophical doctrine of evolution has ever had or ever can have."

⁵⁹ On the Generation of Animals, 731b36.

⁶⁰ Josiah Royce, Herbert Spencer, An Estimate and Review (New York: Fox, Duffield & Company, 1904), p. 28.

⁶¹ Ibid., p. 29.

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CHAPTER V

INTERPRETATION

Early Greek science (6th Century B. C.) regarded man as a product of natural material processes, his powers of speech and thought as a product of his life and society, his science as part of his technique of the control of his natural environment. In its beginning it is an attempt to supply a purely naturalistic interpretation of the universe as a whole. This naturalistic interpretation is evolutionary. The following extended quotation from an unidentified pre-Aristotelian 2 is an excellent statement of the naturalistic evolutionism of this tradition:

"At the time of the original constitution of the universe heaven and earth had but one form, their elements being mixed together. Then their substances separated, and the cosmos completely assumed the order we now observe in it, but the Air continued in a state of agitation. As a

⁶² This quotation is from the history of Diodorus Siculus, Bk. I, chaps. vii and viii. It is quoted in Benjamin Farrington, Thales to Aristotle, Vol. I, Greek Science: Its Meaning for Us. (New York: Pelican Books, 1949), pp. 79-82. It has been attributed to Democritus, but may be pre-atomic.

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result of this movement, the fiery portion of the Air collected in the upper spaces, its nature tending to rise on account of its lightness, and for this reason the sun and all the rest of the heavenly bodies were caught up in the general rotary movement. The more dense and turbid portion of the Air joined the moist element and settled into the same region with it by reason of its weight. When this heavier matter had long crowded and revolved upon itself, it formed the sea out of its moist elements and the earth out of its more solid elements.

"The earth at first was muddy and quite soft. It was only owing to the action of the sun's heat that the earth began to harden. Then, on account of the heat, some of the moist elements swelled and the earth began to bubble up at many places. At these places there formed fermentations enclosed in delicate membranes, a phenomenon still to be observed in marshes and bogs when a rapid rise in the temperature of the air supervenes suddenly on a chilling of the earth. In this manner, through the action of the heat, the moist elements began to produce life. The embryos thus formed got their nourishment at night from the mist which fell from the surrounding air, while by day the action of the sun's heat imparted firmness. At the end of this stage, when the embryos had got their full development and the

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membranes had been dried up by the heat and had burst, all sorts of living things came forth. Of these, those which had the largest share of heat went off into the upper regions and became birds; those which had a greater admixture of earth formed the class of creeping things and other land animals; while those which had more of the moist element went off to the region skin to them and became what we call fish. But the continuing action of the sun and wind hardened the earth still more, until it was no longer able to bring to life any of the larger creatures, but each of the larger living things was reproduced through intercourse of life with like."

The myth continues with an account of the development of society, of the emergence of different languages by convention, of the discovery of fire and other conveniences, and the development of the arts, concluding with the statement that, "Necessity is the intimate guide who conducts man through every lesson and necessity has in man a naturally apt pupil, equipped as he is with hands, speech, and mother-wit for every purpose." Farrington points out that this myth applies an evolutionary concept to the origin and development both of life and of society. It implies a view of the human being which holds that he has no definite nature: that he is not by nature a political animal but

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becomes so, that he is not endowed with a gift of speech but "becomes a talking animal through a process of historical development," that man is not by definition a rational animal but becomes so (largely because of his hands). This is still, of course, evolution within a species. Cornford, pointing out that the cosmological myths found in all societies exhibit two main patterns -- evolutionary and creational -- also suggests that the myths of 6th Century B. C. Miletus were evolutionary. They held generally to an original condition of things (such as water or mist); this view culminated in the atomism of Democritus, was adopted with modifications by Epicurus, and reproduced by Lucretius.

In this evolutionary Greek tradition Thales had held that everything was once water and that the earth and everything else had been formed out of water by a natural process. Anaximander had held that fish, as a form of life, preceded land animals; as the dry land appeared, some fish adapted themselves to life on land. He goes on to state clearly the evolution of one species from another. "Living things," he said, "sprang from (the moist element) evaporated by the sun. Man sprang from a different animal, in fact

⁶³ Francis M. Cornford, "Greek Natural Philosophy and Modern Science," in Joseph Needham and Walter Pagel, Background to Modern Science (Cambridge: The University Press, 1949), pp. 3-22.

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from a fish, which at first he resembled." Empedocles, in an ancient statement of the survival of the fittest, had said that the earth when younger had produced a much greater variety of living things; many of these races of living things must have been unable to continue their breed, and in the case of every species which continues to exist craft, courage, or speed has from the beginning of its existence protected and preserved it.

Plate was aware of this naturalistic tradition of long standing and he describes it in the Laws, Book X (889): "They [the philosophers] say that the greatest and fairest things are the work of nature and of chance. . . . They say that fire, and water, and earth, and air all exist by nature and by chance, and none of them by art, and that as to the bodies which come next in order, -- earth, and sun, and moon, and stars, -- they have been created by means of these absolutely inanimate existences. The elements are severally moved by chance. . . After this fashion and in this manner the whole heaven, as well as animals and all plants, and all the seasons come from these

⁶⁴ Charles M. Bakewell, Source Book in Ancient Philosophy (Revised edition, New York: Charles Scribner's Sons, 1939), p. 6.

⁶⁵ Empedocles, Fr. 244 and following, in Milton C. Nahm, Selections from Early Greek Philosophy (New York: Appleton-Century-Crofts, Inc., 1947), p. 136.

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elements, not by the action of mind, [italics mine] as they say, or of any God, [italics mine] or from art, but as I was saying, by nature and chance only."

We have seen in Chapter Two that Aristotle sought to establish empirical sciences; no theory, for him, could be admitted which was not consistent with observed fact. We have seen in Chapter Four that Aristotle is familiar with the scientific tradition described in the preceding paragraphs but that, rather than embracing it, he attempts to destroy it as the starting point of his own "empirical" speculations. Why did he so violently oppose a tradition with which, in terms of his own empirical principles, he should have been congenial? Why did he, as we have seen in the last chapter, oppose its views by showing their inconsistency with principles instead of attempting to provide more valid observations to supplant them? Cherniss points out that Aristotle generally subordinates the empirical arguments to the "theoretical objections derived from his own philosophical system," and that his "acute skill as an observer is frequently vitiated by his belief that all natural occurrences must somehow comply with his philosophical axions." We have seen that in doing this

⁶⁶ Harold Cherniss, Aristotle's Criticism of Pre-Socratic Philosophy (Baltimore: The Johns Hopkins Press, 1935), p. 327.

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Aristotle violates his own dictums; we have further indicated what some of these axioms are and have seen their non-empirical basis.

There is the axiom, as stated on p. 42, that the formal cause is more important than the material cause, and it is this axiom (which belongs to the system of beliefs rather than to scientific observation) which is the springboard for Aristotle's criticism of the pre-Secratic and, in turn, the construction of his alternative theories. His essential criticism is that they ignore the cause for the sake of which the thing exists and do not go beyond the mechanical causes found in the elements themselves. Empedocles argues, for example, that the survival of a species is due to chance. He maintains that each species is a chance combination of organs and that some of them, unable to survive in their environment, perish without reproducing themselves. Under changing conditions for Empedocles there would be different species. Aristotle's only refutation of such a view is theoretical: organic parts (as we have seen in his refutation of Anaximander's view of hands) are determined by final cause. The proof that this must be so rests in turn not on observed fact but on a basic presupposition of the philosophy: that in all processes which result in a final term the successive stages are performed for the sake

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Aristotle attacks both the evolutionary views of the earlier scientists and the whole set of presuppositions on which the earlier science rests. His own theoretical framework cannot tolerate their evolutionary views. The question of evolution -- turning on the problem of causality -is the critical point in Aristotle's difference from this tradition. If the species evolve, the Ionian tradition is right; if the species are static, Aristotle is right. Aristotle himself realized this. To admit in his biology, therefore, the possibility that species could evolve would be to capitulate to his philosophical protagonists -- his relatively primitive precedessors on whom, paradoxically, his own intellectual development is based -- and to give up the philosophical orientation which satisfies his deepest motives as philosopher. Since he can do neither he does what he criticizes the Pythagoreans for doing; he tries to

Physics, 199a26: "If then it is both by nature and for an end that the swallow makes its nest and the spider its web, and plants grow leaves for the sake of the fruit and send their roots down (not up) for the sake of nourishment, it is plain that this kind of cause is operative in things which come to be and are by nature. And since 'nature' means two things, the matter and the form, of which the latter is the end, and since all the rest is for the sake of the end, the form must be the cause in the sense of 'that for the sake of which'." "Nature, indeed, is a cause, a cause that operates for a purpose." (199b32).

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accomodate observations to theory. 68 It would appear that one presupposition -- the primacy of observation -- is in conflict with another -- the necessary truth of principle which, in this case demands, prior to observation, the fixity of species.

Aristotle must not, in short, eliminate final and formal cause, as he thought the Ionians had done. These are the basic principles of his order. They are the cornerstones of his philosophy. The essential difference from the Ionians is just this view which insists that generation is due to essence, rather than essence to generation (evolution is for the sake of the thing evolved). We have seen in his criticism of Empedocles that Aristotle cannot admit that the form (species) can be explained as a consequent of the accidents of process. The ancients in his view were primitive and unsophisticated (and wrong) just because they had not advanced to the stage of intellectual development where they could recognize formal and final causes. Being unable to distinguish the essence of an object from its material they could not recognize that matter could be

De Caelo, 293a25. Aristotle criticizes the Pythagoreans because "they are not seeking for theories and causes to account for observed facts, but rather forcing their observations and trying to accomodate them to certain theories and opinions of their own."

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determined by some cause other than matter. The knowledge that there were other causes which could be demonstrated was considered by Aristotle to be his great innovation in relation to the ancients. His more sophisticated philosophy, a development, incidentally, in his own view from theirs, provided this knowledge.

Aristotle saw in evolutionary theory the enemy of his teleological system. His evidence to refute such an earlier, and therefore in his eyes more primitive, view is sometimes absurd. Indeed, his teleology appears to sometimes blind him to the possibility of evolution of the species. Thus, in attacking Empedocles' view that aquatic animals originated on land he objects to the absurdity that each individual fish should have migrated to water, especially since none of them have feet. 69 He either did not see that Empedocles meant this to apply to the original ancestors of fish or, seeing it, he attempted to dismiss it by a reply which is meant to deliberately reduce the notion to absurdity (as in his reply to Anaximander concerning hands). In either case presupposition is operative: in the first case, as blinding Aristotle to the possibility that this could refer to original ancestors; in

⁶⁹ Parva Naturalia, 477a.

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the second case, as indicating that the view is so farfetched that it simply cannot be treated seriously. At any
rate, he treats here as the history of the individual what
was meant to refer to the history of the species. Aristotle's teleology demands that "when we are dealing with
definite and ordered products of Nature, we must not say
that each is of a certain quality because it becomes so,
but rather they become so because they are so and so, for
the process of becoming attends upon being and is for the
sake of being, not vice versa."

Species are, and individuals of a species become what they are; if the Ionians
say that the species themselves develop then, for Aristotle,
they are wrong.

an "empirical" tradition when he sought empirical science himself, to understand how he could, furthermore, attempt to set up empirical science in such a way that empirical principles are violated, it is necessary to understand something of Aristotle's inheritance and something of his motives. He is himself the culminating expression of a tradition he thought he had rejected and he is motivated by

⁷⁰ Generation of Animals, 778bl-6.

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desires he thought he had outgrown. The explanation lies in his relation to his immeduate predecessor, Plato.

Cornford's second kind of cosmological myth was the creational. To this pattern he assigns Plato and Aristotle! In contrast to the evolutionary, the creational myth, generally preferred for moral and religious reasons, holds that the world is like a thing born not made, containing evidences of intelligent and intelligible design, with necessity and chance subordinate to the purpose of divine reason. For such myths the cosmos does not have a beginning in time, nor will it ever come to an end; there is only one world. Elsewhere 72 Cornford distinguishes two traditions in Greek philosophy -- the Ionian and the Pythagorean (or Socratic) -- and places Aristotle in the Pythagorean tradition as the direct descendant of the form this tradition took starting with Socrates. He holds that Plato and Aristotle are the chief followers of Socrates, attempting to carry into the interpretation of the world the consequences of Socratic ideas. The unique contribution of Socrates, in Cornford's opinion, was the fact that he turned the study of external nature to the study of Man and the purposes of

⁷¹ Cornford, op. cit., pp. 7, 8.

⁷² Francis Cornford, Before and After Socrates (Cambridge: The University Press, 1950).

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human action in society. His views, as expressed in the Phaedo, show the concern not with the question of how an event came into being, but why. Socrates assumes that the reason of any order is to be found not in some previous state of things, from which it had emerged, but in some end or purpose that it could be shown to serve.

In Socrates we find a change in the subject matter of thought. He is not interested in the Nature of the Ionians, not interested in facts about the external world, but is concerned, rather, with man's soul. Socrates! reaction was, no doubt, against the excessive materialistic drift of the sciences. For this science had assumed that the whole universe is natural and that it is potentially within reach of knowledge; but the Ionian tradition had described a history of the birth of a world order out of an initial state of things which left out the Gods (ends). The Ionians had described how an ordered world was evolved out of an undifferentiated initial state of things; this had led to an atomism which held that nothing is real except tangible bodies composed of atoms. Socrates, in reaction, turned his attention from the past to the future, conceiving of the universe and human life as processes towards an end: i.e., realizing how good one's soul is. Against the materialists he insisted that the true life is not the body but the soul, that the soul, furthermore, can know good from

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Plato further developed the central germ of Socratic morality, spiritual aspiration. 73 The constant effort of the soul towards an ideal of perfection is the central human experience; this ideal can be defined and known and is, indeed, a non-temporal object of thought. Conford points out that in his 40th year Plato contacted Pythagorean communities, and thereafter begins to formulate a Platonic doctrine with greater concern for the nature of the Ideal than for the precesses of aspiration towards it. He now comes to represent a complete break with the Ionian tradition of seeking the key to nature in mechanical cause impelling from behind; the ideal towards which we strive becomes a final cause which performs its function through its power of "attraction." Through contact with the Pythagoreans, Plato also became preoccupied with the character of mathematical form and saw in it the possible bridge between morality and science; mathematics became the necessary condition for any science of inanimate matter. This was not anthropomorphism, for inanimate matter was not construed as subject to our desires and our aspirations, but form

⁷³ Henri Bergson, The Two Sources of Morality and Religion (New York: Henry Holt & Co., 1946) describes Socratic morality as that of aspiration.

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which was the clue to spirit was also, conceived in a different sense, the clue to the physical world.

It was after Plato's contact with the Fythagoreans that Aristotle, at the age of 17, entered the Platonic Academy. He remained until he was 37 and never ceased, thereafter, to be something of a Platonist. Indeed, he provides for us, ultimately, the final excess of the Socratic tradition; he carried it too far. Jaeger 74 and Case 75 have described in detail the course of Aristotle's development as a thinker: the long period of wholehearted acceptance of Flatonism and reverence for Plato, the attempt, following his own compelling genius, to break with his master and his tradition, and eventually the achievement of an originality of his own which, in spite of his denial of it. retained the chief features of Plato and of the Socratic tradition. As Farrington points out, 76 Aristotle not only retains the Platonic Ideal Theory but attempts to transform it into an instrument for the study of the whole of nature. Though Farrington himself does not make the suggestion, it

⁷⁴ Werner Jaeger, Aristotle (Revised edition, Oxford: The Clarendon Press, 1948).

⁷⁵ T. Case, "Development of Aristotle," Mind, XXXIV (January, 1925).

⁷⁶ Farrington, op. cit.

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extend the Platonic Idea Aristotle carried the whole
Socratic notion to an excess by going beyond the scope to
which Socrates and Plato had restricted it and therein lies
the reason for the kind of dichotomy between form and individual we have seen in Aristotle.

For he attempts to apply moral principles to the physical world and the attempt to build science on such a basis led Aristotle into the position of having to reconcile incompatible goals. Modern science as we know it could not develop until this excess was corrected and until, in Physics at least, there was a return to Plato's dictum, so completely denied by Aristotle, that mathematics is the necessary avenue of approach to any science of inanimate matter.

Throughout his mature life, after a period of writing Platonic dialogues himself in almost complete imitation of his master, he wrestled with the idea of Platonic Form. He himself, no doubt, believed that he denied separate existence to the Form and, further, he no doubt regarded this as the central point of his dissent from Platonism. For he did insist that forms could not have any existence apart from the visible and tangible things which embody them, that the substantial reality of things must

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reside in the things themselves, and that the world is not a fleeting likeness of unchanging reality, but is itself real and substantial. But his thought continues to the end to be governed by the idea of aspiration inherited by Plato from Socrates: i.e., that the true cause or explanation of things is to be found not in the beginning but in the end. Curiously enough, he retained the final causes and yet rejected the mathematics.

It is the suggestion of this study that Aristotle misunderstood Plato. The Form or the Idea in Plato did not have a substantial reality to begin with, and therefore Aristotle was fighting a straw man; it was ultimately a description of the fact that consciousness which is always in process is yet always coherent. The Idea is that towards which consciousness moves (i.e., its own fulfillment) and, acting in our experience as if it were real, it becomes for us the most real thing in the universe. But its reality lies only in the process. It is a description, ultimately, of the nature of human experience. Paradoxically, it is Aristotle who formulates the very notion to which he so strongly objected in Plato and which Plato himself never had: idea as substantial reality outside of process. For this is precisely a description of Aristotle's Prime Mover: ourside of process and thus of experience (and for

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this reason, for Plato at least, unknowable and irrelevant). In Aristotle the ultimate goal of aspiration is finally lifted out of process, and what was a meaningful metaphor to conceive of human moral experience becomes corrupted.

For Aristotle each species is a form; there is no overall form of the species. He apparently believed this for two reasons: (1) the Platonic precedent for a multiplicity of forms, and (2) because he had observed many different species and had never observed change in a species itself. Furthermore, for Aristotle, full form is prior to any subordinate part or function -- not in time but in order of being. Since this is so, no subordinate can change the fuller form; the individual is just such a subordinate part of the form of the species to which it belongs. A change of subordinate individuals would be necessary to change the form but the individuals could not change if the form did not. Change of species is therefore impossible. Given the assumptions, then, that each species is a form, and that full forms are prior to subordinate parts, a theory of evolution of the species becomes logically impossible.

The Platonic metaphor as given ontological status becomes Aristotle's basic presupposition, and because of

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the constraint thus placed on empirical science Aristotle cannot have a theory of natural evolution; for this presupposition demands that the movement of life can be intelligible only when we conceive of process as governed by an inner end towards which it moves. The Form of the species, outside of process, can be, unfortunately, nowhere else than in the world of eternal reality, as the ideal limit to which individuals approximate, the perfect model of which they are imperfect likenesses.

This is Aristotle, not Plato. Aristotle has thus set for himself an impossible task: the establishment of empirical sciences on presuppositions which have already determined the truth of what is to be observed. A theory of natural evolution of the species is one of the victims.

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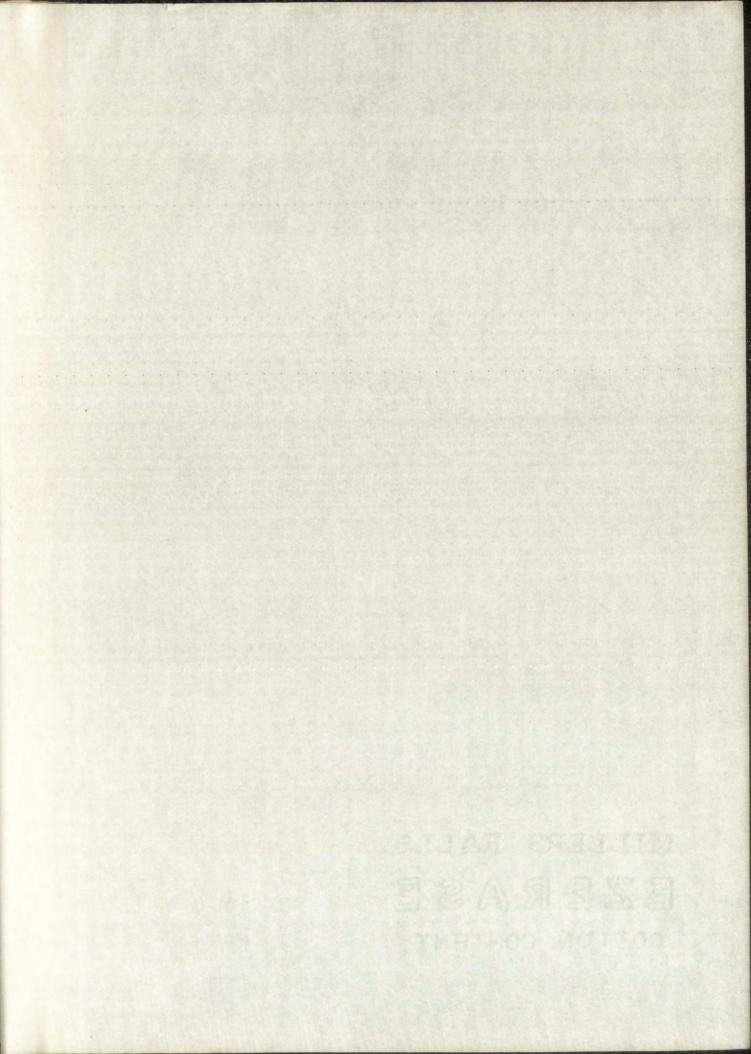
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