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Christopher Vergien

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Christopher L. Vergien

*Candidate*

---

Physics and Astronomy

*Department*

---

This dissertation is approved, and it is acceptable in quality and form for publication:

*Approved by the Dissertation Committee:*

Dr. Jean-Claude Diels, Chairperson

---

Dr. James Thomas

---

Dr. Ladan Arissian

---

Dr. Iyad Dajani

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**INVESTIGATION OF SINGLE-FREQUENCY HIGH-POWER  
RAMAN FIBER AMPLIFIER FOR GUIDE STAR  
APPLICATION**

**BY**

**CHRISTOPHER LEE VERGIEN**

B.S., Physics, California State University Northridge, 2006

M.S., Optical Sciences and Engineering, University of New Mexico, 2009

DISSERTATION

Submitted in Partial Fulfillment of the  
Requirements for the Degree of  
**Doctor of Philosophy**

**Optical Science and Engineering**

The University of New Mexico  
Albuquerque, New Mexico

**December, 2014**

## **DEDICATION**

I dedicate the work presented in this dissertation to my wife, Yeon Jeong. I give my deepest expression of love and appreciation for your steadfast encouragement, and the sacrifices you made during my graduate program. I could not have done this without you.



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I would like to express a special appreciation and thanks to my advisor Dr. Iyad Dajani, you have been a remarkable mentor and personal friend. The dedication and time you invested in me for my academic and professional career is astounding. You encouraged my research and taught me how to grow as a scientist, for this I am eternally grateful. Thank you.

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**INVESTIGATION OF SINGLE-FREQUENCY HIGH-POWER RAMAN FIBER  
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**by**

**Christopher Lee Vergien**

**B.S., PHYSICS, CALIFORNIA STATE UNIVERSITY NORTHRIDGE, 2006  
M.S., OPTICAL SCIENCES AND ENGINEERING,  
UNIVERSITY OF NEW MEXICO, 2009  
PH.D., OPTICAL SCIENCES AND ENGINEERING,  
UNIVERSITY OF NEW MEXICO, 2014**

**ABSTRACT**

This work presents theoretical, numerical, and experimental investigations of power scaling of core-pumped single-frequency Raman fiber amplifiers operating at 1178 nm. A numerical model was developed that accounts for stimulated Raman

scattering (SRS) and stimulated Brillouin scattering (SBS) in relation to the fiber mode field diameter, length, seed power, and available pump power in both co-pumped and counter-pumped configurations. The backward travelling Stokes light is initiated from both spontaneous Brillouin and spontaneous Raman processes. In order to mitigate the SBS process for further power scaling, a multi-step longitudinal temperature distribution along the gain fiber was employed and optimized. Although higher amplifier efficiency is obtained with higher seed power, the output power diminishes at SBS threshold if the same length of fiber is considered. However, if the fiber length is optimized for a given seed power, more power can be extracted; thus indicating further power scaling is expected by constructing a two-stage amplifier system. As an initial experimental step, a commercial off-the-shelf (COTS) fiber is used to obtain 10 W of single-frequency output power through the application of a multi-step thermal gradient in a counter-pumped configuration. A cutback experiment performed on the COTS fiber indicated a linear relation between signal output and pump power at SBS threshold; a result that showed agreement with the theoretical predictions. In addition, 18 W of output was achieved in the single-stage amplifier by designing and utilizing an acoustically tailored fiber for SBS suppression. Further power scaling was demonstrated by constructing a counter-pumped two-stage amplifier system as predicted by the numerical model. In comparing co- and counter-pumped systems, it was shown that while the latter preserves the single-frequency characteristic of the seed laser, the former leads to spectral broadening of the amplified signal output.

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## Chapter 1

### Introduction

#### *Scope of Work*

The scope of this dissertation is limited to single-frequency core-pumped Raman fiber amplifiers operating at 1178 nm. Single-frequency in this context refers to laser outputs possessing spectral linewidths on the order of several MHz or less. At these linewidths, high conversion efficiencies are expected in nonlinear cavities where the 1178 nm radiation is frequency doubled to generate 589 nm radiation. However, the generation of the light in the amplifier is challenging, as the linewidth is much narrower than the Brillouin gain bandwidth. It should be noted that in theory and practice, the effective stimulated Brillouin scattering (SBS) gain is just as large for fiber lasers operating at several MHz as it is at several kHz.

Raman gain in an optical fiber can potentially occur at all wavelengths, but the effort reported here is focused on the generation of 1178 nm light for use as a pump source in an external second harmonic generation (SHG) single-pass crystal or cavity in order to generate 589 nm light. The application here is to utilize the 589 nm light in a sodium guide star beacon. A proof of principle to generate 589 nm light was demonstrated via an external single-pass periodically poled potassium titanyl phosphate (PPKTP) crystal. Other than this demonstration, the work in this dissertation pertains to



the modeling of single-frequency Raman fiber amplifiers, experimental results from the implementation of SBS mitigation techniques, and power scaling of the 1178 nm light using single-stage and two-stage amplifiers. While the numerical model developed utilizes 1120 nm and 1178 nm as pump and seed sources, respectively, there is nothing in principle that prevents this model from being applied to other wavelengths. Background fiber losses at 1120 nm and 1178 nm are small at these wavelengths and have been ignored in the numerical model. Nevertheless, these background losses, if significant, can be accounted for in the model to capture Raman conversion at other wavelengths. Similarly, the SBS mitigation techniques utilized in this work can, in practice, be implemented at other wavelengths in order to achieve power scaling.

This research was performed at the Air Force Research Laboratory, Directed Energy Directorate, on Kirtland AFB.

### *Background*

Since the invention of the laser, there has been continued interest in developing high-efficiency sources with near diffraction-limited beam quality. Ideally, these lasers would be scalable to high power level while still being relatively compact. Towards this goal, many laser materials and architectures have been studied over the past 50+ years. Perhaps of all the laser architectures that have been investigated, none has seen more rapid development and commercialization in the past two decades than the fiber laser. Historically, the earliest demonstrations of fiber lasers are traced back to the work of

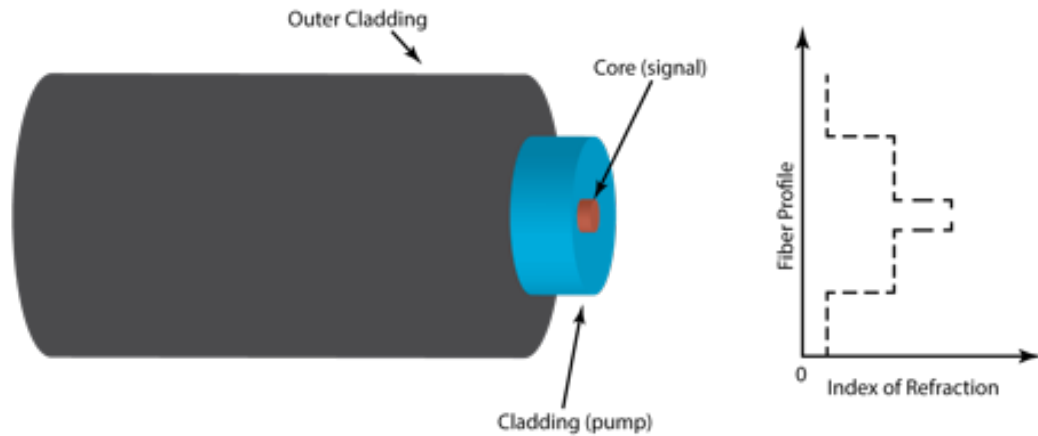
Snitzer et al. in the early 1960's who demonstrated a flashlamp pumped neodymium (Nd)-doped fiber amplifier operating at 1064 nm [1].

The development of fiber lasers would not have been possible without the massive investment in telecommunications. One major research and development objective of the telecommunication industry was the reduction in optical fiber loss. The early silica-based optical fibers possessed very high losses ( $>1000$  dB/km) [2]. But, following the work in 1970 by Kapron, Keck, and Maurer, the loss was reduced to  $< 20$  dB/km [3]. By 1979, the fiber loss has been reduced further to approximately 0.2 dB/km in the 1.55  $\mu\text{m}$  region; thus approaching the fundamental limit imposed by Rayleigh scattering [4]. Despite these very low losses, optical transmission of information across long distances required, in the early days, the use of periodically spaced electronic regenerators. Such regenerators consisted of a photo-detector to detect the signal, electronic amplifiers, timing circuitry, and a laser to re-launch the signal along the next span. These regenerators were limited in performance by the speed of their electronic components. Therefore, it was readily recognized that the development of optical amplifiers would provide a tremendous boost to the fiber optic telecommunication industry.

Rare-earth doping of single-mode fibers was first demonstrated at Bell Telephone Laboratories in 1983 [5]. Further work by a team of researchers at the University of Southampton led to a lower loss single-mode rare-earth doped fiber. In 1987, erbium-doped (Er-doped) fiber amplifiers were demonstrated independently and almost simultaneously by two groups of researchers at the University of Southampton [6] and AT&T Bell Laboratories [7]; thus marking the beginning of the "modern" era of fiber

lasers. Both amplifiers were pumped by dye lasers, and by 1989, Nakawaza et al. reported on a diode pumped Er-doped laser [8]. By 1996, fiber amplifiers were being utilized in long haul fiber optic systems. While the fiber optic telecommunication industry continues to be one significant application area for fiber lasers, they have found applications in several other areas to include material processing, remote sensing, spectroscopy, and medicine. As an example, fiber lasers currently account for 20% of the market for laser sources used for materials processing; which is more than twice their market share from 5 years ago [9]. The advantages that fiber lasers offer for material processing (relative to the currently used CO<sub>2</sub> lasers) are compactness, faster cutting rates, higher efficiencies, and lower operating and maintenance costs.

Over the past two decades, important developments in rare earth-doped lasers and supporting optical components were reached. Double clad fiber designs, first proposed by Snitzer et al. in 1988, were crucial in enabling power scaling in fiber lasers [10]. In this design, the inner cladding is used to confine the signal light in the core, while the outer cladding allows for confinement of the pump light (see Figure 1). Typically, the outer clad is composed of polymer material, although all glass double clad designs have been demonstrated. The rare earth dopant concentration in the core as well as the ratio of the area of the core to that of the clad determines the pump absorption.



*Figure 1: Double-clad fiber design. The core guides the signal light while the cladding is designed to guide pump light. The outer cladding is typically composed of polymer material.*

In addition, development in the area of pump diode lasers has had a significant impact on the maturation of fiber laser technology. In the early days of diode laser development, major obstacles included poor spatial beam quality, high threshold current, limited lifetime, and operation at cryogenic temperatures. While these obstacles were overcome for the most part, the cost of laser diodes remained relatively high (>\$500/W). Nowadays, the cost of diodes operating at wavelengths of utility to pump rare-earth doped fiber lasers can be as low as \$10/W.

### *Rare Earth-Doped Fiber Lasers*

With the improvement in performance of pump diode lasers, the output power from fiber lasers continued to increase rapidly. Of all the rare earth dopants used in fiber

lasers, ytterbium (Yb) has so far proved to be the most scalable and versatile [11]. Power scaling in erbium (Er)-doped fiber lasers had proved difficult primarily due to concentration quenching by interionic energy transfer and homogeneous up-conversion [12]. Concentration quenching is the reduction in the quantum efficiency of an ion with increasing concentration. Researchers noted the fact that concentration quenching may be avoided by improving the fabrication process to account for any clustering of ions. Despite the improvement in the fiber fabrication processing, homogeneous up-conversion imposes finite limits on the quantum efficiency of erbium-doped fiber amplifiers (EDFA).

Yb-doped fiber lasers (YDFL) gained significant attention in the mid-90's that resulted in a detailed characterization of Yb-doped glass. Snitzer in Ref. [1], discusses the advantages particular to Yb-doped fiber lasers relative to other rare earth dopants. Specifically, the low quantum defect heating (for pump diodes operating at 97x nm) and relatively high permissible dopant concentrations (i.e., high pump absorption per unit length) allow for significant power scaling. Additionally, YDFLs are not subject to the complications of excited state absorption and concentration quenching like other rare-earth dopants.

Figure 2 shows the absorption (dotted line) and emission (solid line) cross-sections for Yb-doped glass fiber. Based on the spectroscopic properties, YDFLs can be efficiently pumped with diodes operating at 915 nm or 976 nm. Typically, Yb-doped amplifiers operate in the range of 1030-1090 nm. Figure 2 implies that operation in the 950-1030 nm and 1090-1200 nm regimes is possible. Yet, the major impediment to

operating over the full emission spectrum is amplified spontaneous emission (ASE), which makes scalability at these wavelengths ranges highly problematic (see Figure 2b). This point is elaborated further in this dissertation where difficulties associated with generating 1178 nm light in YDFs are discussed.

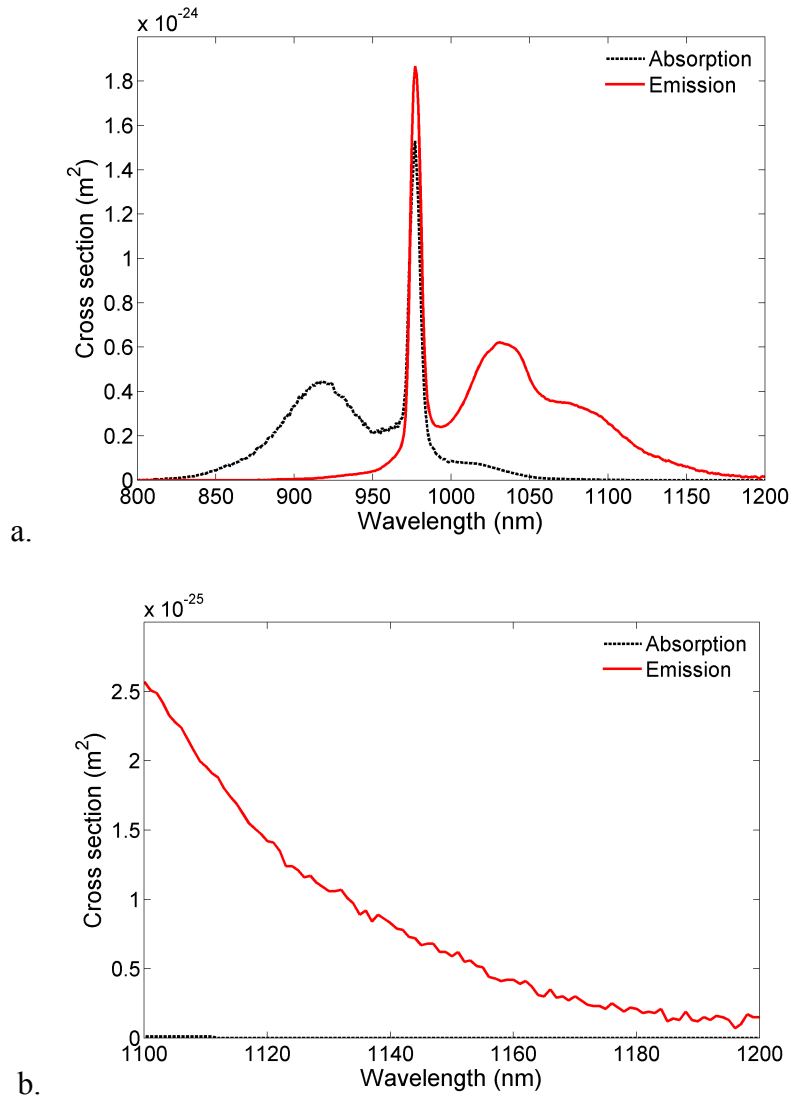
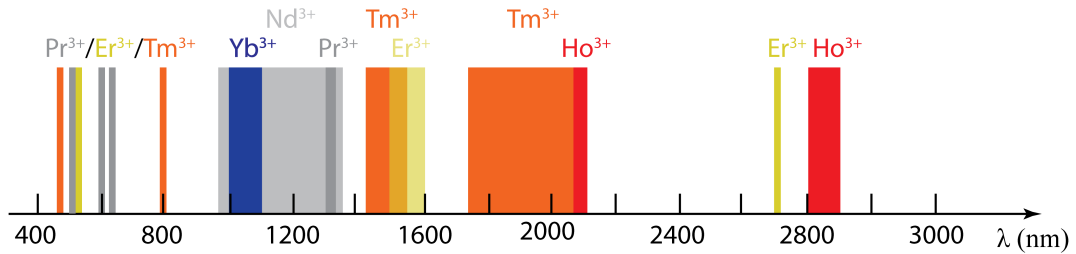


Figure 2: (a) Full absorption (dotted) and emission (solid) cross-sections of Yb-doped germanosilicate glass [13]. (b) Emission cross-sections at longer wavelengths.

Beyond the aforementioned rare earth dopants, other rare earth elements have garnered interest within the fiber laser community. Some of these rare earth dopants include thulium (Tm), holmium (Ho), neodymium (Nd) and praseodymium (Pr). Figure 3 illustrates some of the dopants and associated emission wavelengths.



*Figure 3: Most common rare-earth doped fiber emission wavelength ranges of interest.*

Apart from YDFLs, Tm-doped fiber lasers have so far proved to be the most scalable, providing up to 1 kW of output with a near diffraction-limited beam quality [14]. Tm-doped fiber lasers are typically pumped at  $\sim 790$  nm, where efficient diodes are available. At that wavelength, through a cross relaxation process, two Tm ions are excited for one pump photon absorbed. Despite this impressive 1 kW result, it is well below the maximum output demonstrated in Yb-doped fiber laser which currently stands at 10 kW; with good beam quality output [15]. Figure 4 provides a comparison of maximum output power with near-diffraction limited beam quality obtained to date for Yb-, Er-, Tm-, and Ho-doped fiber lasers.

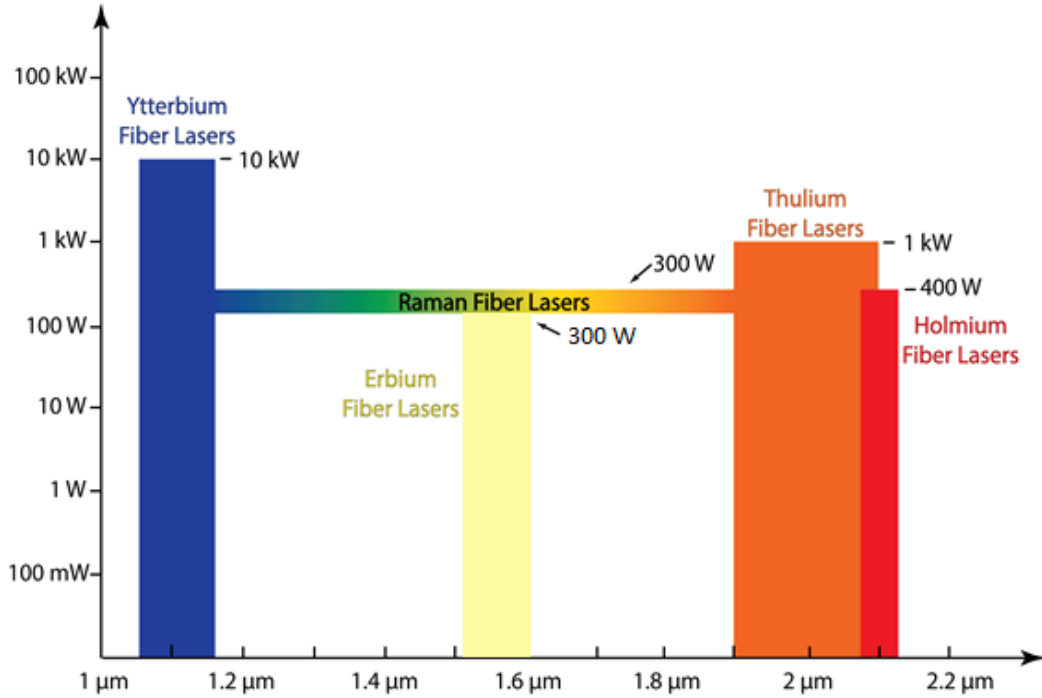


Figure 4: Current state of the art results for various rare-earth doped fiber lasers and Raman fiber lasers.

### Photonic Bandgap Fiber Lasers

Photonic bandgap fiber (PBGF) is an alternative to bridge part of the spectral gap between rare earth-doped fibers shown in Figure 3. These fibers offer a unique approach with their microstructured inner cladding that can limit the wavelengths of light that are able to propagate within the core region. The core is created by introducing a defect in the microstructure. An example of this is an air hole in the center; thus creating a region where light can be confined. This specific type of PBGF is referred to as a hollow core fiber. In this case, the guiding in the core is not achieved through total internal reflection. Conceptually, this fiber design can be thought of as creating a two-dimensional Bragg



grating. This hollow fiber design provides an attractive approach for high power delivery without introducing nonlinear effects or material damage. Furthermore, the core can be filled with gasses or particles for high harmonic generation [16]. In a special class of PBGFs, a solid core that exhibits the same filtering properties of the hollow core fiber is possible. It has been shown that the spectral filtering effect can be implemented in a PBGF with a rare earth-doped core, such as ytterbium; thus allowing for lasing at wavelengths with weak emission gain [17] without the detrimental effect of amplified spontaneous emission (ASE).

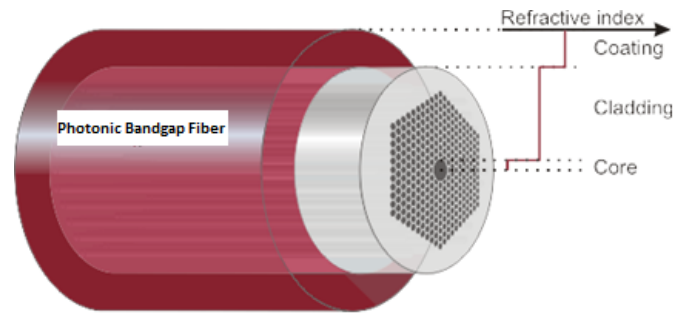


Figure 5: Typical photonic bandgap fiber design with an air core [17].

Referring back to Figure 2, it is clear that gain in Yb-doped fibers exists at wavelengths out to  $\sim 1200$  nm. A significant challenge, when operating at these wavelengths, is the high gain at the 1030 – 1100 nm spectral region, which creates strong amplified spontaneous emission and leads to parasitic lasing (high unsaturated laser gain) [18]. The wavelength filtering effect of the PBGF leads to the suppression of ASE at the undesired wavelengths. This PBGF allows for direct laser diode pumping, and provides for efficient

frequency doubling to visible wavelengths. This wavelength region is useful for various medical applications, high-resolution spectroscopy, and laser guide star applications. An alternative approach to access these spectral regions through stimulated Raman scattering in passive fiber as described below.

### *Raman Fiber Lasers*

The scattering of light in transparent media has been the subject of numerous experimental and theoretical investigations. In 1928 C.V. Raman published a paper [19] that describes a scattering process which was henceforth termed in the scientific literature as Raman scattering. This scattering involved coupling of light with vibrational or rotational modes in a medium. Shortly following the advent of the laser, stimulated Raman scattering (SRS) was discovered by Woodbury and Ng [20]. They detected an infrared component while studying the Q-switching of a ruby laser with a nitrobenzene Kerr cell. The frequency revealed was downshifted from the laser frequency by  $1345 \text{ cm}^{-1}$ , which corresponded to the vibrational frequency of the strongest Raman mode of nitrobenzene. Several researchers verified this effect in liquid ( $\text{O}_2$ ,  $\text{N}_2$ ) and other groups found similar results in gases ( $\text{H}_2$ ) and solids (InSb). These groups measured the frequency shift, linewidth, scattering cross-section of spontaneous Raman scattering and corresponding stimulated Raman gain [20]. The first observation of stimulated Raman emission within an oscillator cavity containing  $\text{CS}_2$  was demonstrated by E.P. Ippen [21]. By 1972, stimulated Raman emission was demonstrated in an oscillator using a

silica-based optical fiber [22]. The first fiber-based Raman fiber amplifiers (RFAs) capable of providing more than 30 dB of gain was realized in 1981 by M. Ikeda [23]. These amplifiers are very attractive due to their potential for providing a relatively flat gain over a wide bandwidth.

Referring back to Figure 4, there is a laser emission spectral gap between ytterbium – erbium and erbium – thulium. Raman fiber lasers can bridge this gap and are similar to other fiber lasers; however, the amplifying medium is based on Raman gain (stimulated Raman scattering - SRS) rather than stimulated emission from excited atoms or ions. The Raman process is unique since any Raman laser wavelength can be achieved with a suitable selection of the pump wavelength. Moreover, Raman gain has a spectral shape, which depends on the frequency separation of the pump and signal and not on their absolute frequencies.

Spontaneous Raman occurs due to light interacting with resonant modes of a molecular system and the frequency shift is determined by these discrete molecular resonances. In this regime, low intensity light causes thermal excitation of the medium with the amount of scattering being proportional to the incident intensity. As such, two Raman scattering processes occur: vibrational and rotational. The more significant Raman scattering is associated with vibrational modes of the molecule since the rotational Raman scattering is an order of magnitude smaller. Interestingly, Raman scattering can occur in all materials, and since fibers are commonly comprised of silica glass, the dominate Raman lines are due to the bending motion of the Si-O-Si bond as shown below in Figure 6 [24]:

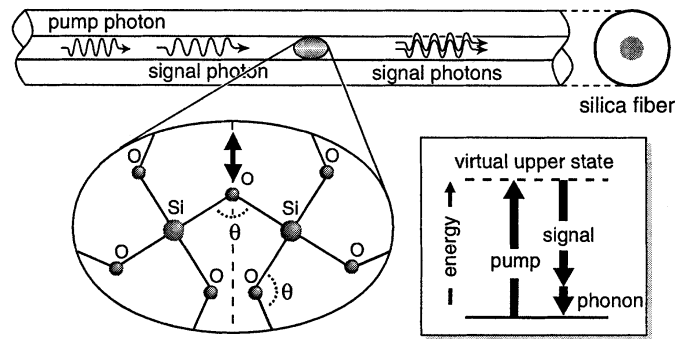


Figure 6: Schematic of amplification by stimulated Raman scattering in an optical silica fiber. The insert shows the Raman Stokes interaction between a pump and signal photon [24].

SRS differs from spontaneous scattering since it is observed at thresholds of high light intensity conditions. Thus, lasers provide the sufficient temporal and spatial coherence to achieve stimulated Raman scattering. Above a critical intensity, stimulated Raman scattering occurs and is characterized by exponential amplification of the scattered radiation. To understand this further, we consider a simple model of a single continuous wave (CW) pump beam launched into an optical fiber. The pump power does not remain constant along the fiber, thus incorporation of the nonlinear interaction between pump and Stokes waves are included. In this convention, the Stokes wave refers to the Raman signal. As such, the SRS process in a co-propagating configuration is governed by the following set of coupled equations [25]:

$$\frac{dI_R}{dz} = g_{r0} I_p I_R - \alpha_R I_R \quad (1.1)$$

$$\frac{dI_p}{dz} = -\frac{\omega_p}{\omega_R} g_{r0} I_p I_R - \alpha_p I_p \quad (1.2)$$

where  $I_R$  is the Stokes (Raman signal) intensity,  $I_p$  is the pump intensity,  $g_{r0}$  is the intrinsic Raman gain coefficient which is related to the cross-section of spontaneous Raman scattering and varies as  $\lambda_p^{-1}$ , while  $\alpha_R$  and  $\alpha_p$  account for the fiber losses at the Raman and pump wavelengths, respectively. These equations were written phenomenologically by considering the process shown in the inset of Figure 6. In the absence of loss, the total number of photons in the pump and Stokes waves remains constant as shown in Eq. (1.3):

$$\frac{d}{dz} \left( \frac{I_R}{\omega_R} + \frac{I_p}{\omega_p} \right) = 0 \quad (1.3)$$

An exact solution for the evolution of the Raman signal along  $z$  can be obtained using the photon conservation equation. In the presence of significant losses, Eq. (1.3) does not hold, but much of the physics can be understood by considering the solution to Eqs. (1.1) - (1.2) in the undepleted pump limit (i.e. the first term on the right side of Eq. (1.2) is omitted). Substituting the solution from Eq. (1.1) into Eq. (1.2), one obtains [26]:

$$\frac{dI_R}{dz} = g_{r0} I_0 \exp(-\alpha_p z) I_R - \alpha_R I_R \quad (1.4)$$

where  $I_0$  is the incident pump intensity at  $z = 0$ . Solving Eq. (1.4) leads to

$$I_R(L) = I_R(0) \exp(g_{r0} I_0 L_{eff} - \alpha_R L) \quad (1.5)$$

Alternatively Eq. (1.5) can be expressed as

$$P_R(L) = P_R(0) \exp\left(\frac{g_{r0} P_0 L_{eff}}{A_{eff}} - \alpha_R L\right) \quad (1.6)$$

where  $A_{eff}$  is the effective area determined by the mode size and the overlap between the pump and Stokes modes (this is described further in Chapter 2). Also,  $L$  and  $L_{eff}$  are the fiber length and the effective fiber length, respectively. In order to account for pump absorption, an effective length or the effective amplification length is reduced from  $L$  to  $L_{eff}$  and is given by

$$L_{eff} = \frac{1 - e^{-\alpha_p L}}{\alpha_p} \quad (1.7)$$

Moreover, high Raman gain can be achieved with high pump power, long effective lengths, small effective area, high stimulated Raman scattering gain coefficients while maintaining low signal and pump attenuations. Considering these features, the most important feature to recognize is the Raman gain since this will dictate fiber length, required seed power and pump power. The Raman gain spectrum has been measured for silica-based fibers and shown in Figure 7 [24]. Typically, the Raman gain coefficient in fused silica peaks at 13.2 THz with a 3 dB bandwidth of about 6 THz.

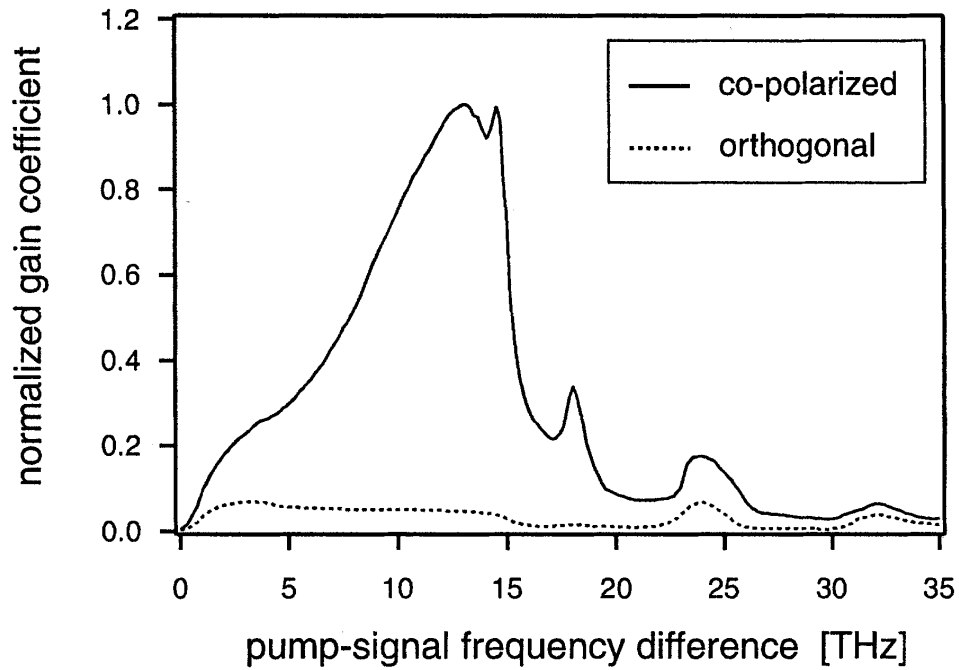


Figure 7: Raman gain spectrum for bulk silica for two cases when the pump and signal are copolarized (solid curve) and orthogonally polarized (dashed curve). Spectra are normalized to the peak of the copolarized spectrum [24].

The polarization states of the pump and signal have a significant effect on the Raman gain spectrum of silica. As shown in Figure 7, the peak gain is approximately an order of magnitude greater when pump and signal are co-polarized than the case wherein their polarization states are orthogonal. This effect is described as polarization-dependent gain (PDG) [24], which can lead to amplitude fluctuations or amplified signal fluctuations. It should be noted that it is not necessary in the co-polarized case for the fields to be linearly polarized to obtain maximum effective Raman gain. For example, when both pump and signal waves are propagating along the fiber with the same elliptical polarization [27], maximum Raman gain is still obtained.

Another point to consider is the Raman gain efficiency of the fiber ( $C_R$ ), which has units of  $(W\text{-km})^{-1}$ , and is impacted by the effective area of the fiber. Figure 8 shows measurements of the Raman gain efficiency for three fiber types where the fiber was pumped at 1450 nm and provided gain near 1550-nm as shown in Ref. [24]. The fiber types are: dispersion compensating fiber (DCF)- $15 \mu\text{m}^2$ , nonzero dispersion fiber (NZDF)- $55 \mu\text{m}^2$  and the superlarge effective area fiber (SLA)- $105 \mu\text{m}^2$ . There are clear differences between the Raman gain efficiency for each fiber. The peak values depend on the effective areas of the fiber and the degree of overlap between the pump and signal transverse mode spatial profile. This influence of the effective area on the Raman gain will be discussed further in Chapter 2. Another consideration for the variation shown in Figure 8 is the fiber compositional differences of each fiber. For example,  $\text{GeO}_2$  is used for fibers with small effective areas. An increase by a factor of 7 is expected for DCF since the effective area is reduced, but the remaining increase is due to a higher doping



level of germania.  $\text{GeO}_2$  molecules exhibit a larger Raman gain that peaks near 13.1 THz. Subsequently, the spectral changes in the three fibers are attributed to the  $\text{GeO}_2$  doping levels [24].

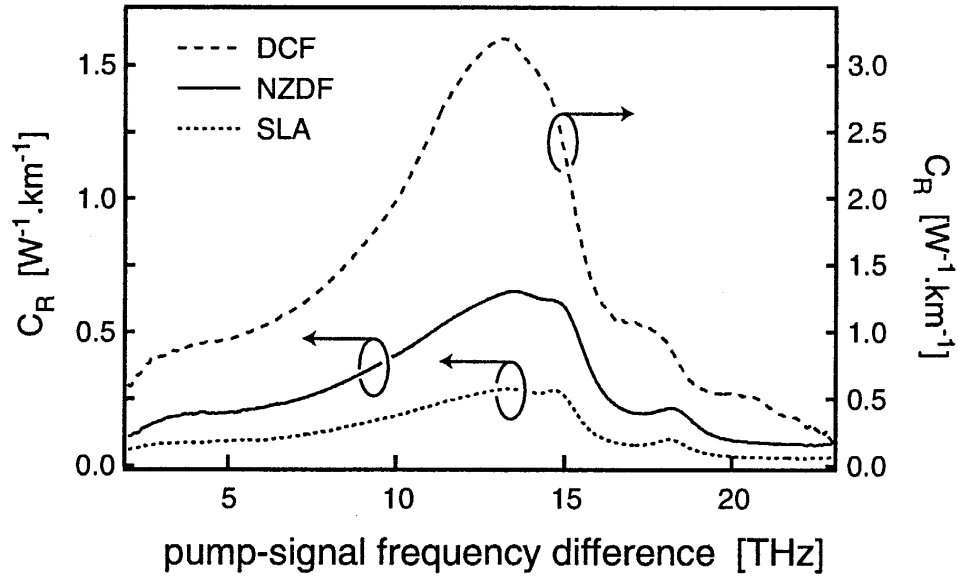


Figure 8: The measured Raman gain efficiency spectra for three germanosilicate fiber types pumped at 1450 nm with different effective core areas [24].

As discussed previously, an important feature of the Raman amplification process is that amplification can occur at any wavelength given the appropriate pump wavelength. Thus, a cavity can be created at the appropriate signal frequency by the utilization of Bragg reflectors/gratings. These gratings are a periodic or aperiodic perturbation of the effective refractive index in the core of an optical fiber. This refractive index perturbation leads to the reflection of light that propagates along the fiber in a narrow range of wavelengths, for which the Bragg condition is satisfied. An advantage of

this approach is the Bragg grating can be written into the fiber directly, therefore mitigating loss in the oscillator setup. If several gratings are placed at the ends of the fiber, a nested scheme can generate a cascade of n-frequencies through stimulated Raman scattering where each subsequent frequency is separated appropriately based on the fiber composition (see Figure 9).

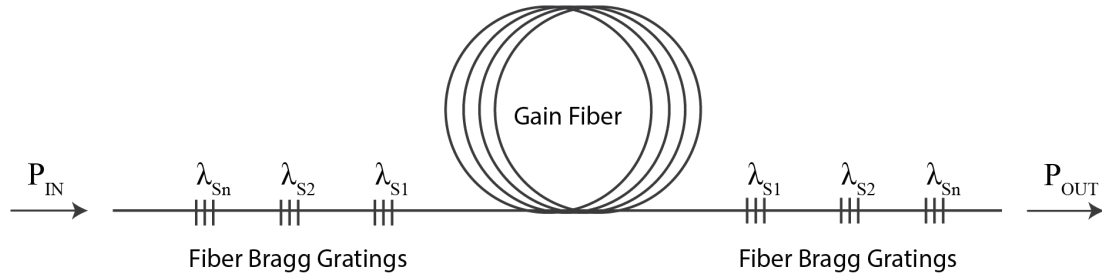


Figure 9: Scheme of an  $n$ th-order CW cascaded Raman fiber laser.

Some of the limitations with the setup in Figure 9 are: broad linewidth, small residual fractions of intermediate wavelengths at the output, and low conversion efficiency. Despite these shortcomings, this architecture was employed to seed a single-pass cascaded Raman amplifier [28]. The low power cascaded oscillator provided all the necessary wavelengths due to the presence of residual intermediate Stokes orders. The output of this cascaded Raman laser was combined in a RFA with 450 W output from an Yb-doped fiber laser operating at 1117 nm. This led to an output power of >300 W at the 5<sup>th</sup> order Stokes frequency with a conversion efficiency of ~64% (quantum-limited efficiency is 75% at 1117 – 1480 nm) [28]. In spite of accessing wavelengths lying within the spectral gaps between the rare earth doped fiber lasers shown in Figure 3, and the

impressive output power, the signal was spectrally broad for utility in applications that require single-frequency output. For example, single-frequency output is required for high efficiency nonlinear frequency conversion in a resonant cavity in order to generate high power in the 550-750 nm visible region. One wavelength of considerable interest lying within this range is 589 nm; used in a sodium guide star beacon. Generation of single-frequency 1178 nm output via a single-frequency RFA is thus highly desired.

### *Laser Guide Star System*

In 1953 Horace Babcock first proposed the idea of adaptive optics as a method to improve the resolution of astronomical telescopes by correcting distortions introduced by the atmosphere [29]. Now, over 60 years later, the advent of the laser has commercialized adaptive optical systems, thereby making this technology more affordable and reliable for various observatories around the globe. An essential component of the adaptive optical telescope is the laser source required to create an artificial star (laser guide star – LGS) by exciting a resonance fluorescence. There are two types of laser guide stars that can be implemented in the adaptive optic system.

One approach is the Rayleigh beacon which relies on Rayleigh scattering in the lower atmosphere. Since this is not based on a narrowband resonance, the laser properties are relaxed and the photon return has a wavelength dependence of  $\sim\lambda^{-4}$ . The atmosphere is primarily composed of nitrogen that has a Rayleigh cross section of  $5.1 \times 10^{-31} \text{ m}^2$  at a wavelength of 532 nm. At atmospheric pressure there are are

$\sim 2 \times 10^{25}$  molecules per cubic meter, which results in  $\sim 10^{-5}$  of the light being scattered for every meter of propagation. A significant limiting factor is propagation distances beyond 10 – 20 km where the photon backscatter is significantly reduced due to inherently lower nitrogen concentrations. Thus, atmospheric aberrations introduced at higher altitudes are not sufficiently resolved.

The second approach is a sodium beacon that utilizes a laser tuned to one or more resonances of sodium atoms at 589 nm from the mesospheric layer located 85-95 km above the earth's surface [30]. The sodium in the mesosphere is from the ablation of meteors and tends to be ionized. However, atmospheric sodium below this layer is normally chemically bound in compounds ( $\text{Na}_2\text{O}$ ). The atoms of sodium in this layer are typically in an excited state and radiate weakly at a wavelength of  $\sim 589.2$  nm. Therefore, illumination of the mesosphere with a laser operating at this wavelength results in photon returns obtained through fluorescence of the  $D_2$  line of sodium atoms. Further enhancement of the photon return can be achieved by illuminating both the  $D_{2a}$  and  $D_{2b}$  lines [31]. The return light is then used in an adaptive optics system to correct for atmospheric turbulence. Figure 10 shows a typical guide star system for the sodium beacon and the various components required for an adaptive optics system. The Rayleigh beacon is similar; however, the artificial star created is at a distance of about 10-20 km.

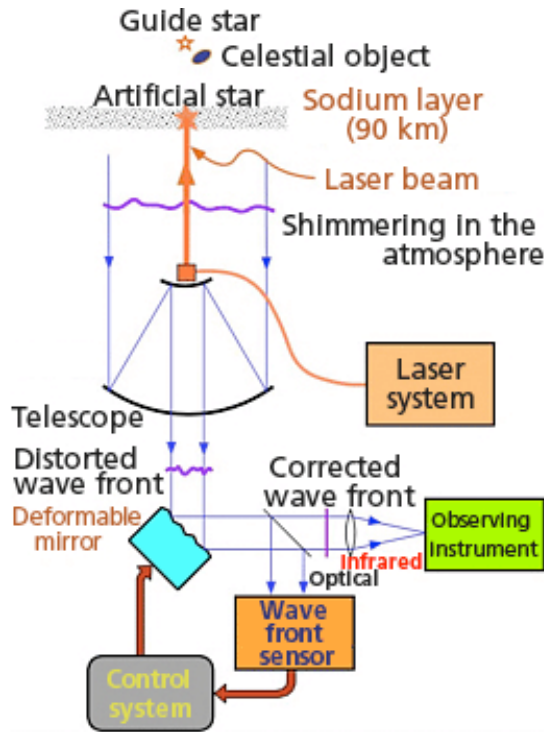


Figure 10: Typical sodium beacon guide star setup [32]. If a Rayleigh beacon is considered, the distance will be 10-20 km rather than 90 km as shown.

### Laser Guide Star Requirements

Since the sodium beacon provides improved wave front correction capabilities, the focus here is to understand the sodium layer at the atomic level. There are 11 electrons with a single valance electron outside closed shells. Figure 11 shows the ground state is  $1s^2 2s^2 2p^6 3s^2 S_{1/2}$  and the first excited state is  $1s^2 2s^2 2p^6 3s^2 P_{1/2,3/2}$ , while the interaction of the magnetic moment of the electron with the magnetic field associated with the orbital motion of the electron leads to the energy level splitting within the first excited state:  $3^2P_{1/2}$  and  $3^2P_{3/2}$ . These two states are separated in energy by about

520 GHz and the transitions between the upper state ( $3^2P_{3/2}$ ) and ground state ( $3^2S_{1/2}$ ) cause the emission or absorption of the  $D_2$  line at 589.2 nm. On the other hand, the transitions between the lower state ( $3^2P_{1/2}$ ) and the ground state ( $3^2S_{1/2}$ ) cause the emission and absorption of the  $D_1$  line at 589.6 nm [33].

The total electronic angular momentum of the ground state and first excited state are  $J = 1/2$  and  $J = 1/2, 3/2$ , respectively. Naturally occurring sodium is composed virtually 100% of one isotope, which has a nuclear spin of  $I = 3/2$ . The interaction of an electron with the nuclear magnetic moment leads to the hyperfine structures associated with the ground and excited states of the sodium atom. The total angular momentum quantum number  $F$  is the sum of nuclear spin  $I$  and the electron spin  $J$  yielding the total momentum:  $F = I + J$ . The resulting total angular momentum quantum numbers are  $F = 1, 2$  for the sodium ground state  $3^2S_{1/2}$ ,  $F = 1, 2$  for the  $3^2P_{1/2}$  excited state, and  $F = 0, 1, 2, 3$  for the  $3^2P_{3/2}$  excited state. The energy difference between the hyperfine state  $F = 2$  and  $F = 1$  in the ground state is 1.772 GHz. The energy separation for the hyperfine splitting in the  $J = 1/2$  state of the first excited state is 188.6 MHz, while the energy separations of the  $J = 3/2$  state are 15.8, 34.4, and 58.3 MHz for the four hyperfine states with  $F = 0, 1, 2, 3$ , respectively [33].

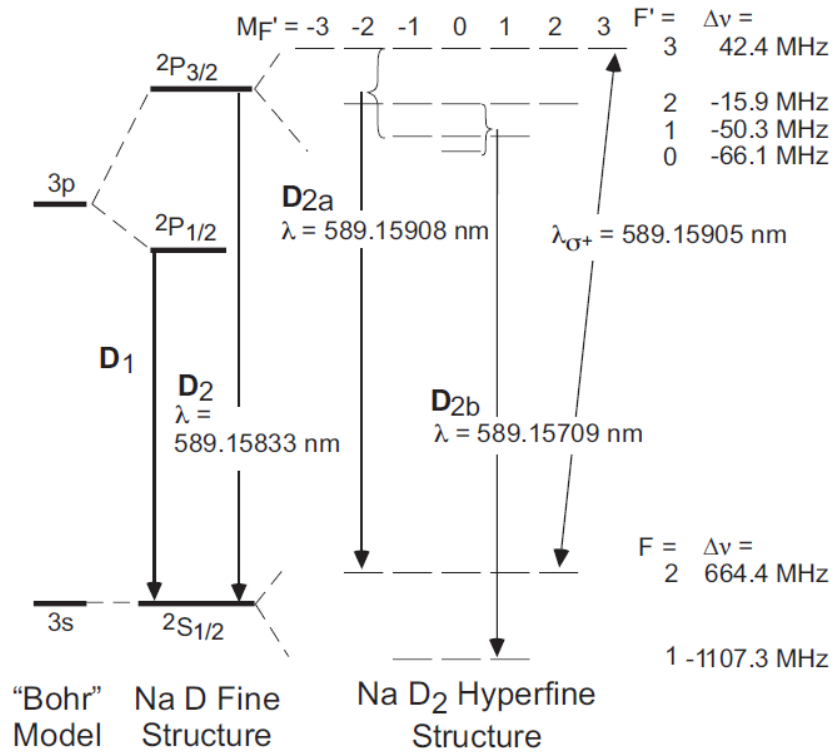


Figure 11: Energy level diagram of a sodium atom described by the orbital-shell (Bohr Model), the intermediate model (Na D Fine Structure), and nuclear spin model (Na D<sub>2</sub> Hyperfine Structure) [34].

The sodium layer is about 10 km thick with a density of  $\sim 5000$  atoms/cm<sup>3</sup> and has 24 states that embody the D<sub>2</sub> sodium transition; however, there are two states which give the greatest photon return flux: D<sub>2a</sub> ( $\lambda_{2a} = 589.15908$ ) and D<sub>2b</sub> ( $\lambda_{2b} = 589.15709$ ). Moreover, there is a factor of two greater total line strength in the D<sub>2</sub> line over the D<sub>1</sub> line and the optical pumping characteristics of the D<sub>2</sub> line results in a more efficient excitation of the sodium atoms. As such, the D<sub>2</sub> line is of interest in generating a guide star. The temperature of the atoms in the mesospheric sodium layer is about 200 K, thus the sodium atoms have a Maxwell Boltzmann velocity distribution along the beam of about

$\pm 350$  m/sec full width half maximum and a collision time between 60 – 100  $\mu$ s. Notably, the Doppler shifted atoms will respond to the beam at different wavelengths since the atoms are at different velocities. The velocity distribution implies a frequency spread of 1.1 GHz FWHM and since sodium has a natural linewidth of 10 MHz, there are about 120 velocity classes [34].

### *Laser Guide Star Technology*

Over the past two decades, several sodium guide star lasers have been constructed and tested beginning with systems based on dye lasers. The early systems used copper vapor laser pumped dye lasers, which offer tunability and control in terms of the lineshape, but present a significant challenge to maintain [35]. Sources based on sum-frequency generation of the 1064 nm and 1319 nm lines of Nd:YAG gain serendipitously provide the precise amount of tuning required for resonance of the sodium lines. This system has a narrow linewidth, near-diffraction limited beam quality, and continuous wave laser output of 50 W; referred by the acronym FASOR (Frequency Addition Source of Coherent Optical Radiation). The FASOR is comprised of four main subsystems as shown in Figure 12.



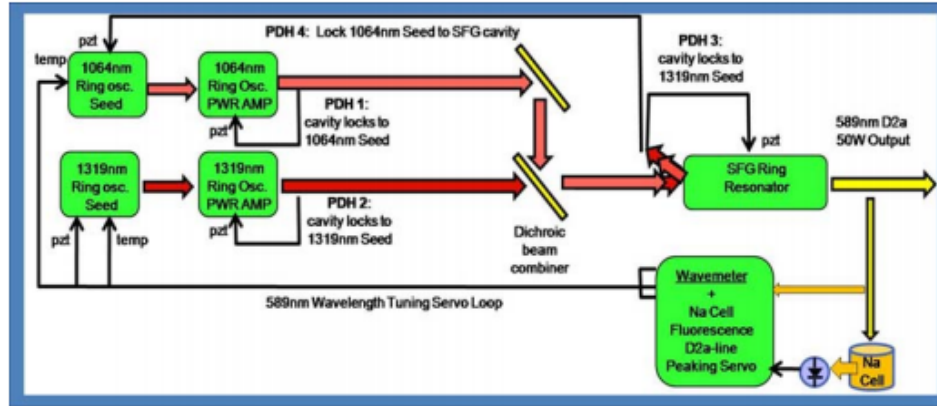


Figure 12: Schematic diagram showing main subsystems and control loops of FASOR developed by AFRL [36].

An external sum frequency generation (SFG) cavity uses a lithium triborate (LBO) crystal and is simultaneously resonant with two Nd:YAG injection locked lasers operating at 1064 nm and 1319 nm. Tuning to the D<sub>2a</sub> resonance is achieved through the use of a precision wavemeter that is calibrated and locked to the fluorescence of a sodium vapor cell. The 1064 nm laser consists of 4 side-pumped rods, while the 1319 nm laser consists of 6 side-pumped rods. Consequently, this system is difficult to build and maintain. These drawbacks become more pronounced when considering extremely large telescopes (ELTs), whereby multiple laser sources may be required for guide star tomography.

Resonant cavity frequency conversion offers several advantages over the single pass crystal. The achievable optical intensities are much higher which increases the conversion efficiency by more than an order of magnitude. In addition, the cavity will circulate the unconverted power which would otherwise be lost in the external single pass

configuration. One point of consideration with this approach is the linewidth of the laser. The mirror configuration is considerably more sensitive to the laser linewidth than the phase-matching bandwidth of the nonlinear crystal. As such, the constructive interference between the reflected and generated waves cannot be maintained if the bandwidth of the laser exceeds the cavity specifications [37].

### *Fiber Laser Technology for Laser Guide Star*

The complexity of the system can be significantly reduced by first generating laser light at 1178 nm followed by second harmonic generation (SHG) to produce 589 nm light. The SHG interaction as an exchange of photons between various frequency components of the field such that two photons of frequency  $\omega$  are annihilated, and a photon of frequency  $2\omega$  is simultaneously created in a single-quantum mechanical process [38]. Accordingly, only a single wavelength is required to pump the nonlinear crystal cavity; thus reducing the complexity of the system. Furthermore, fiber lasers are generally more compact than their bulk solid-state laser counterparts with the potential of eliminating much of the free space optics while delivering diffraction-limited beams.

One approach is to develop a single-frequency PBGF (discussed previously) amplifier operating at 1178 nm. While research in the area of hollow core PBGFs dates back to the middle of the last decade, work in the area of solid core rare-earth doped PBGFs is relatively new. In 2006, Isomaki and Okhotnikov, reported on an Yb-doped PBGF to develop a femtosecond soliton mode-locked laser [39]. In 2008, Pureur et al.

built a Yb-doped PBGF laser operating at 980 nm while suppressing the ASE above 1  $\mu\text{m}$  due to the wavelength filtering capabilities of the PBGF [40]. Similarly, this design can be employed for operation in the longer wavelength region (1100 – 1200 nm) by tightly coiling the fiber to shift the ASE peak. Unfortunately, this technique suffers from significant bend losses. More recently, researchers at NKT succeeded in spectrally shifting the ASE peak to longer wavelengths (Figure 14b shows the suppression of ASE) by re-scaling the dimensions of the fiber. This successful design was used recently by researchers at NKT Photonics and the University of Electro-Communications where they demonstrated an 1178 nm single-frequency ytterbium doped photonic bandgap fiber amplifier (see Figure 13) with an output power of 24.6 W [41].

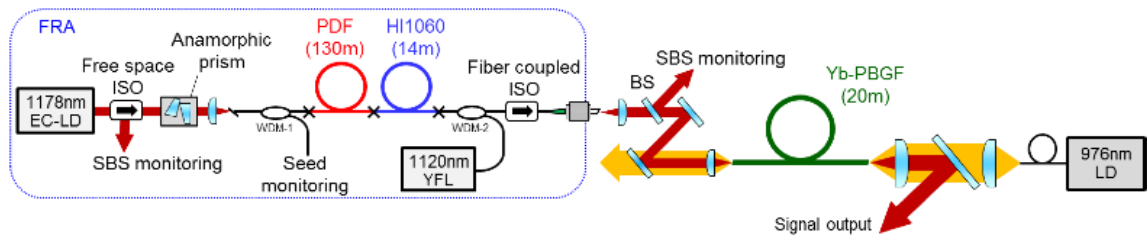


Figure 13: Experimental setup of 1178 nm single-frequency amplification using a photonic bandgap fiber [41].

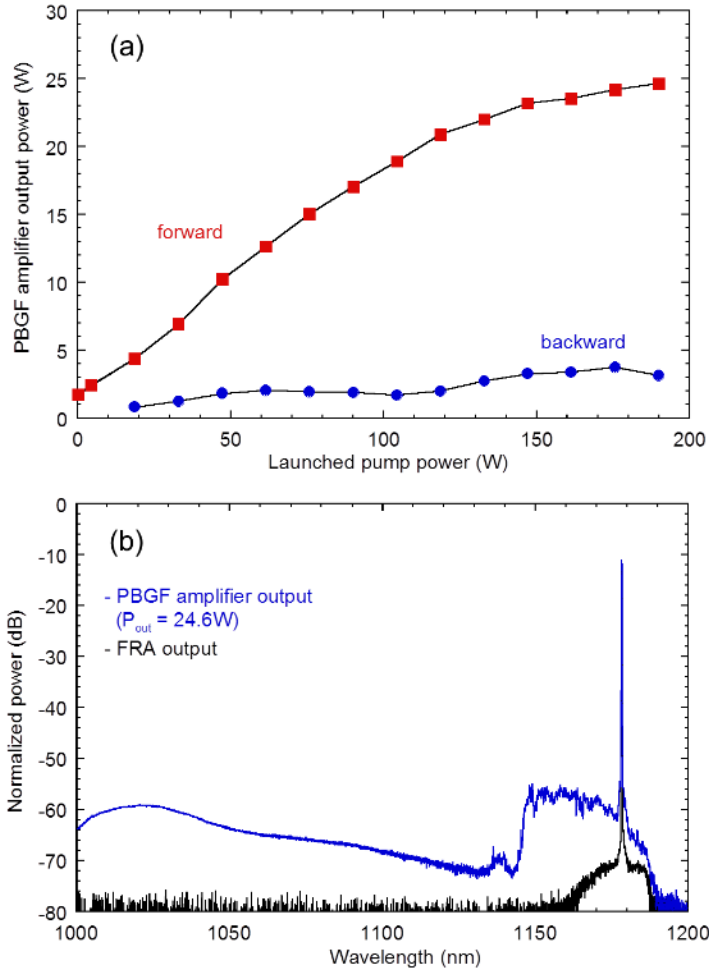


Figure 14: (a) Signal output power and backward power measurement from the Yb-PBGF amplifier. (b) Output spectra of the seed (black curve) and amplified signal (blue curve) [41].

In this work, the output power was pump limited and shown in Figure 14a, thus further scaling is possible with this setup. One of the challenges in achieving these results is the onset of stimulated Brillouin scattering (SBS), which is the lowest threshold phase-matched nonlinear process in optical fibers.

Another approach to generate 1178 nm coherent radiation is through the use of a Raman laser. The Raman gain profile makes Raman lasers and amplifiers versatile tunable devices that can access wavelengths not currently reachable by traditional laser devices. However, it would appear that in order to obtain good conversion relatively long fiber lengths are needed which will make the suppression of SBS challenging. SBS is discussed in further detail below.

There has been much effort devoted over the years to generate 1178 nm in a Raman fiber laser. In 2004, Feng et al. demonstrated emission of 589 nm light by intracavity frequency doubling of a Raman fiber laser at 1178 nm in a type-1 non-critically phase matched LBO crystal [42]. Here, a maximum of 10 mW of 589 nm light was generated before the higher-order Stokes Raman emission and the broad linewidth of the 1178 nm signal prevented higher conversion efficiency. It was not until 2008 when multi-watts of 1178 nm emission was reported in the literature [43]. In this work, up to 4.8 W of 1178 nm light with a linewidth of ~10 MHz was obtained by Raman amplification of a distributed feedback diode laser in standard non-PM single-mode fiber. An 1120 nm Yb-doped fiber laser was used as a pump source and the experimental setup is shown in Figure 15.

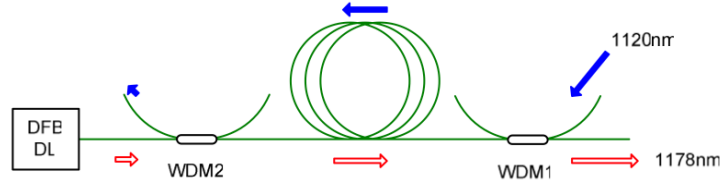


Figure 15: Schematic diagram of a counter-pumped distributed feedback diode laser seeded Raman amplifier [43].

Amplification of 27 dB corresponding to a 10% efficiency was achieved; with SBS being the limiting factor to further power scaling. One year later, this result was surpassed by a 20.7 W CW single-frequency 1178 nm result [44]. An SBS suppression technique was employed in this work, thereby pushing the power from a single amplifier to 20.7 W with a linewidth of <1.5 MHz. Again, the fiber employed in the setup was non-PM. Therefore, the effective SBS gain would be lower than in a comparable PM fiber. In this work also, two 1178 nm amplifiers were coherently beam combined to achieve a 25 W 589 nm narrow linewidth (<2.3 MHz) laser system as shown in Figure 16. Although the 25 W result is impressive, it should be noted that the complexity of this sodium guide star laser system could be greatly simplified by bypassing beam combining and constructing single-frequency RFAs with much higher output powers. Consequently, further work was performed to increase the output power at 1178 nm.

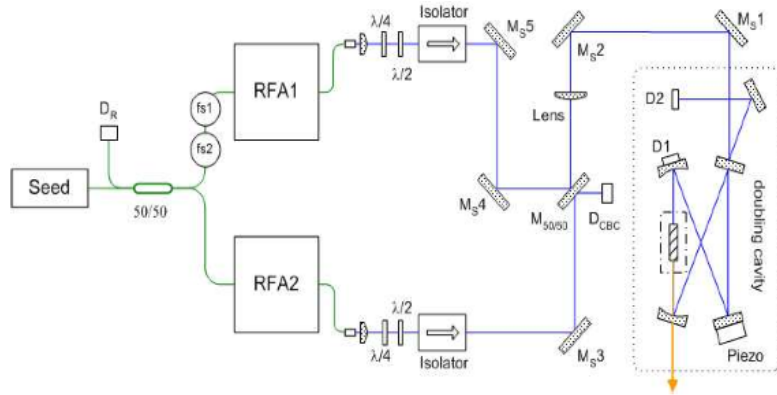


Figure 16: A schematic diagram of the optical setup for coherent beam combination and external cavity resonant frequency doubling [44].

Although the authors in the work described above obtained significant results, the SBS mitigation techniques were not disclosed. In 2012, Zhang et al. demonstrated 44 W of 1178 nm emission in a PM single-frequency two-stage RFA [45]. A distributed feedback diode laser seeded a variably strained polarization-maintaining fiber with an optical efficiency of 52%. The application of the strain was to suppress the SBS process. The pump lasers were two 1120 nm linearly polarized fiber lasers operating at 20 W and 85 W for each stage. The output of the RFA was then used to pump a nonlinear cavity leading to 24.3 W of 589 nm light.

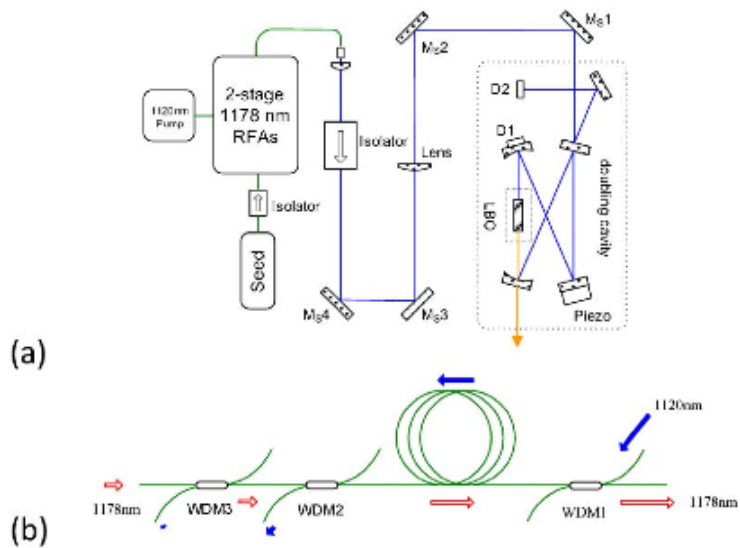


Figure 17: (a) Schematic diagram of the laser system with a two stage amplifier and (b) diagram of a single stage Raman fiber amplifier [45].

More recently, this same research group headed by Prof. Yan Feng was successful in pushing the output of the RFA to  $\sim 80$  W using a similar technique to suppress SBS but with higher available pump power at 1120 nm [46] [47].

### *Stimulated Brillouin Scattering Fundamentals*

The phenomenon of stimulated Brillouin scattering (SBS) is initiated from light interaction between the pump and Stokes fields through an acoustic wave (acoustic phonons). As such, the electric fields will generate an acoustic wave through the process of electrostriction.



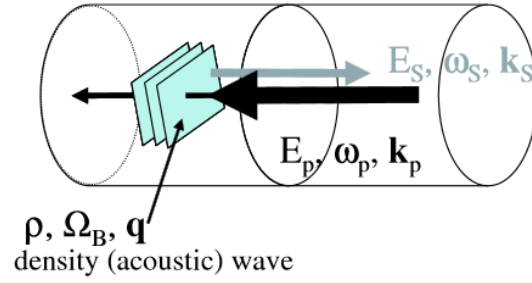


Figure 18: Schematic of stimulated Brillouin scattering in an optical fiber [48].

Electrostriction is a property of all dielectric materials whereby the material will become compressed in the presence of an electric field. As shown in Figure 18, the pump field scatters off the refractive index perturbations due to the density variations. The scattered light is Stokes shifted and will add constructively with the Stokes light, which results in a significant increase in the probability of scattering the pump field through Bragg diffraction. Conservation of energy and momentum must be conserved in these scattering events, thereby the following equation describes the frequencies and wave vectors of the pump, scattered, and acoustic fields:

$$\Omega_B = \omega_p - \omega_s \quad (1.8)$$

$$\vec{q} = \vec{k}_p - \vec{k}_s \quad (1.9)$$

where  $\omega_{p,s}$  and  $k_{p,s}$  are the optical frequencies and wave vectors of the pump and Stokes shifted fields. The Brillouin frequency,  $\Omega_B$ , and the wave vector of the acoustic field  $q$  are related by the phonon dispersion relation given by [48]:

$$\Omega_B = |\vec{q}|v_A \approx 2v_A |\vec{k}_p| \sin\left(\frac{\theta}{2}\right) \quad (1.10)$$

where  $v_A$  is the speed of sound in the medium and  $\theta$  is the angle between the pump and Stokes fields. In a single mode fiber, the relevant  $\theta$  values are 0 and  $\pi$  since the maximum is in the backward direction ( $\theta = \pi$ ) and vanishes in the forward direction ( $\theta = 0$ ). Thus, SBS only occurs in the backward direction with a Brillouin shift given by [25]:

$$\nu_B = \frac{\Omega_B}{2\pi} = \frac{2nv_A}{\lambda_p} \quad (1.11)$$

For a plane wave treatment, the three fields (pump, Stokes, and acoustic) and their interaction can be represented by a set of coupled mode equations in steady state as follows [38]:

$$\frac{dA_p}{dz} = \frac{i\varepsilon_0\omega q^2\gamma_e^2}{2nc\rho_0} \frac{|A_s|^2 A_p}{\Omega_B^2 - \Omega^2 - i\Omega\Gamma_B} \quad (1.12)$$

$$\frac{dA_s}{dz} = -\frac{i\varepsilon_0\omega q^2\gamma_e^2}{2nc\rho_0} \frac{|A_p|^2 A_s}{\Omega_B^2 - \Omega^2 + i\Omega\Gamma_B} \quad (1.13)$$

The electric field amplitudes of the pump and Stokes waves are represented by  $A_{p,s}$ ,  $\Gamma_B$  is the reciprocal of the phonon lifetime,  $\rho_0$  is the density of the material,  $\gamma_e$  represents the electrostrictive coupling coefficient of the medium, and  $\Omega$  is the frequency of the driven acoustic wave. From these equations, one can identify the amplitude of the density (acoustic) wave  $\rho(z,t)$ , which is given by

$$\rho(z,t) = \varepsilon_0\gamma_e q^2 \frac{A_p A_s^*}{\Omega_B^2 - \Omega^2 - i\Omega\Gamma_B} \quad (1.14)$$

The form of Eqs. (1.12) and (1.13) show that SBS is a pure gain process; i.e. the SBS process is automatically phase matched. Thus, it is convenient here to introduce coupled equations for the intensities of the pump and Stokes waves. If the intensity is defined as

$$I_i = 2nc\varepsilon_0 A_i A_i^* \quad (1.15)$$

where  $i$  represents the pump or Stoke wave, then Eqs. (1.12) and (1.13) become

$$\frac{dI_p}{dz} = -g_{b0} I_p I_s \quad (1.16)$$

$$\frac{dI_s}{dz} = -g_{b0} I_p I_s \quad (1.17)$$

The new term in Eq. (1.16) and (1.17) is the SBS gain factor and is represented by

$$g_{b0} = \frac{g_{b0,\max} (\Gamma_B/2)^2}{(\Omega_B - \Omega)^2 + (\Gamma_B/2)^2} \quad (1.18)$$

where the gain factor at line-center is given by

$$g_{b0,\max} = \frac{\gamma_e^2 \omega^2}{n v_A c^3 \rho_0 \Gamma_B} \quad (1.19)$$

The SBS gain coefficient,  $g_{b0}$ , is important since most limitations or attributes of Brillouin scattering can be assessed from this value. Consequently, it is possible to determine the SBS critical power, characterize the Brillouin gain spectrum, and describe the SBS induced phase shift of the optical pump signal. Specifically, in an optical fiber, the gain spectrum can be shifted by strain or temperature along the length of the fiber. In addition, the gain spectrum is affected by changes in the fiber design. These topics will

be discussed in subsequent chapters. Another important parameter is the SBS gain bandwidth,  $\Gamma_B$ , as it dictates the amount of frequency shift required when applying temperature or stress gradients in order to mitigate SBS. This point is discussed further in Chapter 2.

### *Significance of the Work*

During the past two decades, Raman fiber lasers and amplifiers have garnered intense interest, leading to an appreciable body of work appearing in the scientific literature. However, until a few years ago, little of this work was devoted to single-frequency operation. This recent work in single-frequency Raman fiber amplifiers (RFAs) was motivated by a desire to generate 1178 nm light in order to convert it through SHG into 589 nm light for the sodium guide star application. While at least two other research groups have contributed significantly to this area, the work presented in this dissertation is unique in several aspects.

To the best of this author's knowledge, the numerical model developed in this work is the first to present a detailed theoretical approach to power scaling of single-frequency RFAs [49]; both SRS and SBS were considered. Notably, in this numerical work, trade studies that considered SBS mitigation techniques, available pump and seed powers, and fiber length optimization were conducted. Furthermore, the effect of FWM when considering two wavelengths seeding was investigated both analytically and numerically. The latter work was performed to address the feasibility of utilizing a single

RFA in order to provide a pump source for nonlinear conversion to wavelengths corresponding to the sodium  $D_{2a}$  and  $D_{2b}$ .

This numerical work was the impetus for the experimental work presented in this dissertation. Optimal configurations were identified and implemented in order to achieve multi-watt power scaling. The 18 W result presented here, and which first appeared in a paper published in *Optics Letters* [50], still represents, to date, the highest output obtained from a single-stage single-frequency polarization-maintaining RFA regardless of operating wavelength. The fiber used to demonstrate this record output power was unique in its design; allowing for both acoustic tailoring to suppress SBS and increased germanium content to facilitate the Raman process. Furthermore, the fiber was designed to accommodate thermal gradients to further mitigate SBS.

This work also presents experimental verification of the linear dependence of signal output as a function of available pump power for optimized fiber lengths and is in agreement with the theoretical prediction. Furthermore, it is shown both numerically and experimentally that the output power can be scaled by constructing a two-stage RFA; thus increasing the seed power. For the experimental configurations described in this work, significant benefit is obtained by increasing the seed power to  $\sim 1$  W with diminished returns as the seed power is scaled beyond this level. Finally, very limited information has been previously provided on the problem of linewidth broadening in a RFA configuration seeded with a single-frequency source. This work compares the linewidth broadening in co- and counter-pumped configurations; showing the former to be susceptible to it. Although this work does not fully provide a complete explanation for

this process, four-wave mixing (FWM) sidebands are shown to be present in the spectra of the output signal in the co-pumped case. It is also shown that this effect cannot be effectively mitigated through higher seed power levels. The work described in this paragraph was published in *Optics Express* [51].

## Chapter 2

### Theoretical Analysis of Raman Fiber Amplifier

In order to investigate the trade space within the RFA approach and to provide a design tool for the experimental work, we developed a model using Raman power equations. Since SBS is an important consideration in single-frequency RFAs, the Brillouin gain was incorporated within the power equations and additional equations describing the evolution of the Stokes light due to the SBS process were added within the coupled system.

#### *RFA Power Equations*

Extensive references exist in the literature detailing the evolution of the Raman signal and pump in an optical fiber. Here, a heuristic derivation of the power equations in a core-pumped Raman amplifier is presented; starting with the nonlinear wave equation for the Raman signal:

$$\nabla^2 \vec{E}_R - \frac{n_R^2}{c^2} \frac{\partial^2 \vec{E}_R}{\partial t^2} = \mu_o \frac{\partial^2 \vec{P}^{(NL)}}{\partial t^2} \quad (2.1)$$

where  $\vec{E}_R$  is the electric field of the Raman signal, and  $\vec{P}^{(NL)}$  is the nonlinear polarization oscillating at the signal angular frequency  $\omega_R$  due to the Raman process, and  $n_R$  is the



index of refraction. Since pump and signal are typically separated by only 60 nm, the approximation  $n_R = n_p = n$  is henceforth used. In the analysis that follows, a weakly guiding, polarization-maintaining (PM) fiber with the light launched into the step-index fiber polarized along one of the transverse axes (e.g. the slow axis) is considered. In addition, the pump and signal are polarized in the same direction within the core of the fiber. As stated in Chapter 1, maximum Raman gain is obtained when pump and signal are co-polarized. The Raman gain is a small perturbation, and thus the solution for the nonlinear wave equation as a superposition of the modes of an ideal fiber (i.e. fiber with a uniform index of refraction and a vanishing nonlinear polarization) can be constructed:

$$\vec{E}_{R,x}(\vec{r}, t) = \frac{1}{2} \sum_k A_{k,R}(z, t) \varphi_{k,R}(x, y) e^{i(\beta_{k,R}z - \omega_R t)} + c.c. \quad (2.2)$$

where  $A_{k,R}$  and  $\varphi_{k,R}$  are the field amplitude and the transverse mode profile for the signal, respectively,  $\beta_{k,R}$  is the propagation constant, and where  $x$  is chosen to be the transverse direction of the electric field of the signal (and also pump). The summation symbol indicates summation over guided modes and integration over the continuum of radiation modes of the fiber. It can be shown that the polarization in the x-direction due to Raman gain is given by:

$$P_x^{(NL)} \approx -\frac{ig_{r,0}c^2}{2\omega_R} \epsilon\epsilon_0 \sum_k \sum_l \frac{1}{2} |A_{l,P}|^2 |\varphi_{l,P}|^2 A_{k,R} \varphi_{k,R} e^{i(\beta_{k,R}z - \omega_R t)} + c.c. \quad (2.3)$$

where  $\varepsilon$  is the permittivity of the medium, and  $A_{l,P}$  and  $\varphi_{l,P}$  are the field amplitude and the transverse mode profile for the pump, respectively. Eq. (2.2) is used in the wave equation and the differential operators are applied. The set of  $\varphi_k$  is comprised of the eigenfunctions of the “ideal” fiber which yield:

$$\sum_k \left( \nabla_{\perp}^2 - \beta_{k,R}^2 + \frac{n^2 \omega_R^2}{c^2} \right) \varphi_{k,R} = 0 \quad (2.4)$$

where  $\nabla_{\perp}^2$  is the Laplacian operator in the transverse direction. The slowly varying approximations of  $|\partial_z^2 A_{k,R}| \ll |2i\beta_{k,R} \partial_z A_{k,R}| \ll |\beta_{k,R}^2 A_{k,R}|$  are also applied leading to:

$$2i \sum_k \beta_{k,R} \varphi_{k,R} e^{i\beta_{k,R} z} \frac{dA_{k,R}}{dz} = \frac{ig_{r,0}}{2} \varepsilon \omega_s \sum_k \sum_l |A_{l,P}|^2 |\varphi_{l,P}|^2 A_{k,R} \varphi_{k,R} e^{i\beta_{k,R} z} \quad (2.5)$$

It is now assumed that both Raman signal and pump are launched at  $z = 0$  in particular modes  $m, n$  respectively. Coupling to other modes is weak due to the lack of phase matching and reduced overlap. We then proceed by multiplying Eq. (2.5) with  $\varphi_{m,R}^*$  and integrating in the transverse direction. Applying the orthogonality condition:

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \varphi_{i,R}^* \varphi_{j,R} dxdy \propto \delta_{i,j} \quad (2.6)$$

The following equation for the evolution of signal mode  $m$  in the  $z$  direction is obtained:

$$\frac{dA_{m,R}}{dz} = \frac{g_{r,0}}{2} \frac{n^2}{2\mu_0 c} |A_{n,P}|^2 A_{m,R} \frac{\iint |\varphi_{m,R}|^2 |\varphi_{n,P}|^2 dxdy}{\iint |\varphi_{m,R}|^2 dxdy} \quad (2.7)$$

A similar equation can be written for the complex conjugate of the amplitude  $A_{m,R}^*$ :

$$\frac{dA_{m,R}^*}{dz} = \frac{g_{r,0}}{2} \frac{n^2}{2\mu_0 c} |A_{n,P}|^2 A_{m,R}^* \frac{\iint |\varphi_{m,R}|^2 |\varphi_{n,P}|^2 dxdy}{\iint |\varphi_{m,R}|^2 dxdy} \quad (2.8)$$

By multiplying Eq. (2.7) by  $A_{m,R}^* \cdot \iint |\varphi_{m,R}|^2 dxdy$  and Eq. (2.8) by  $A_{m,R} \cdot \iint |\varphi_{m,R}|^2 dxdy$  and recognizing the pump power is given by:

$$\frac{n^2}{2\mu_0 c} |A_{n,P}|^2 = \frac{P_{n,P}}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |\varphi_{n,P}|^2 dxdy} \quad (2.9)$$

a power equation for the evolution of the signal can be derived as

$$\frac{dP_{m,R}}{dz} = g_{r,0} P_{n,P} P_{m,R} \cdot \left( \frac{\iint |\varphi_R(x,y)|^2 dx dy \iint |\varphi_P(x,y)|^2 dx dy}{\iint |\varphi_R(x,y)|^2 |\varphi_P(x,y)|^2 dx dy} \right)^{-1} \quad (2.10)$$

Using the aforementioned approach, the evolution of the pump signal can be shown to have the following form:

$$\frac{dP_{n,P}}{dz} = -\frac{\omega_P}{\omega_R} g_{r,0} P_{m,R} P_{n,P} \cdot \left( \frac{\iint |\varphi_R(x,y)|^2 dx dy \iint |\varphi_P(x,y)|^2 dx dy}{\iint |\varphi_R(x,y)|^2 |\varphi_P(x,y)|^2 dx dy} \right)^{-1} \quad (2.11)$$

Derivation with SBS and background loss follows a similar approach. As such, the index of refraction will be complex in Eq. (2.1), and we can include the loss term, while SBS can be included in the nonlinear polarization term (see Eq. (2.3)). The treatment of SBS is similar to what was presented in Chapter 1 except now the modal profiles of the optical fields in the fiber are taken into account.

For a single-frequency Raman amplifier we need to consider the evolution of the pump, signal, and the Stokes light generated through the interaction of the Raman signal with the acoustic phonons [25]. The Raman signal interacts with the pump wave through Raman gain and also with the Stokes light through the SBS process. The counter propagating Stokes light experiences both Raman and Brillouin gain while the pump wave interacts with both the Raman signal and Stokes light through SRS. It is assumed

here that all waves propagate in the lowest-order transverse mode. For brevity, the mode subscripts will be dropped in the equations presented below. Furthermore, we considered only the case in which the pump and signal are co-polarized, which provides maximum gain. The Raman gain for orthogonally polarized pump and signal is much smaller as shown in Figure 7.

The Stokes light is considered by dividing the Brillouin gain bandwidth into several channels. The coupled set of equations describing the evolution of the Raman signal power,  $P_R$ , the Stokes light power contained in a particular channel,  $P_{S,i}$ , and the pump light power,  $P_P$ , along the longitudinal axis of the fiber,  $z$ , is expressed by the following equations [25]:

$$\frac{dP_R}{dz} = \left( g_R P_P - \sum_i g_{B,i} P_{S,i} - \alpha_R \right) P_R \quad (2.12)$$

$$\frac{dP_{S,i}}{dz} = - \left( g_R P_P + g_{B,i} P_R - \alpha_R \right) P_{S,i} \quad (2.13)$$

$$\frac{dP_P}{dz} = \mp \gamma g_R \left( P_R + \sum_i P_{S,i} \right) P_P \mp \alpha_P P_P \quad (2.14)$$

where  $\omega_R$  and  $\omega_P$  are the angular frequencies of the signal and pump,  $\gamma = \omega_P/\omega_R$  and  $\alpha_R$  and  $\alpha_P$  are the fiber loss for the signal and pump, respectively. The  $\mp$  signs represent co and counter propagating pump waves, respectively. The normalized Raman

gain coefficient is given by  $g_R = g_{r0}/A_{eff,R}$  where  $g_{r0}$  is the intrinsic Raman gain coefficient and the effective area is given by:

$$A_{eff,R} = \frac{\iint |\varphi_R(x,y)|^2 dx dy \iint |\varphi_P(x,y)|^2 dx dy}{\iint |\varphi_R(x,y)|^2 |\varphi_P(x,y)|^2 dx dy} \quad (2.15)$$

where  $\varphi_R(x,y)$  and  $\varphi_P(x,y)$  are the lowest-order transverse profiles for the signal and pump, respectively. Similarly, the normalized Brillouin gain coefficient is

$g_B = g_{b0}/A_{eff,B}$ , where  $g_{b0}$  is the intrinsic Brillouin gain coefficient. Since the Brillouin frequency is much smaller than the optical frequencies, the effective area here is given by:

$$A_{eff,B} = \frac{\left(\iint |\varphi_R(x,y)|^2 dx dy\right)^2}{\iint |\varphi_R(x,y)|^4 dx dy} \quad (2.16)$$

### *Initiation of SBS from Brillouin and Raman Noise*

The SBS process is initiated predominantly by the spontaneous Brillouin scattering that occurs throughout the fiber. A precise formalism entails the solution of the time-dependent field amplitude equations of the optical and phonon fields, wherein a distributed noise described by a Markovian stochastic process subject to Gaussian

statistics is used [52]. An approximate treatment of this problem was presented by R.G. Smith using a time-independent treatment of the intensity (power) equations [26]. It was shown that SBS initiation from a distributed source can be approximated by injecting, near the output end of the fiber, one Stokes photon per mode multiplied by the thermal average of phonons in the orbital as described by the Bose-Einstein distribution function. Since we considered Raman gain as well as Brillouin gain with multiple peak frequencies along the length of the fiber, we used here a distributed source term to account for the initiation of the SBS process. As such, there is no need to determine the location of the injected Stokes photons a priori for each Stokes frequency under consideration. This noise term can be incorporated by adding a term of the form  $-g_{B,i}P_R\delta_{S,i}$  on the right hand side (RHS) of Eq. (2.13) with  $\delta_{S,i}$  given by:

$$\delta_{S,i} = \frac{\hbar\omega_{S,i}\Delta\omega}{2\pi\left(\exp\left[\frac{\hbar(\omega_R - \omega_{S,i})}{kT}\right] - 1\right)} \quad (2.17)$$

where  $\Delta\omega$  is the frequency bin size, and  $T$  is the temperature of the fiber.

The SBS process can also be initiated through Raman noise characterized by the interaction of the pump light with background optical phonons. It is well-known that  $g_R \ll g_B$  and the relevant number of background optical phonons is smaller than that of the acoustic phonons. However, unlike the spontaneous Brillouin process, the spontaneous Raman process for a given Stokes frequency occurs throughout a

temperature segmented fiber. Therefore, for the sake of accuracy or to be more precise in the formalism, we included the spontaneous Raman noise of the form  $-g_R P_P \delta_{S,R}$  on the RHS of Eq. (2.13). Here  $\delta_{S,R}$  is given by:

$$\delta_{S,R} = \frac{\hbar \omega_{S,i} \Delta \omega}{2\pi} \quad (2.18)$$

### *Uniform Temperature Profile Simulations*

Equations (2.12) – (2.14) represent a nonlinear two point boundary problem. In a co-pumped configuration, the signal and pump are known at the input end of the fiber while the noise-initiated Stokes fields are set to zero at the output end. The counter-pumped configuration has similar boundary conditions except that the pump is known at the output end of the fiber. A numerical model was developed wherein the coupled differential equations were solved using a shooting and root finding algorithm, and verified with the boundary conditions for accuracy. The numerical results were also verified using simplified configurations where analytical solutions for Raman signal or Stokes evolution can be obtained. Additionally, we checked for numerical accuracy by accounting for the number of photons in the system. Since loss in a passive fiber is typically  $< 0.3$  dB/km and we considered relatively short fibers, we neglected this effect in the simulations. It can be readily shown that in the absence of loss, the conservation equation for the number of photons is given by:



$$\frac{d}{dz} \left( \frac{P_R}{\omega_R} - \sum_i \frac{P_{S,i}}{\omega_R} \pm \frac{P_P}{\omega_P} \right) = 0 \quad (2.19)$$

where  $\pm$  refers to a co-pumped and counter pumped, respectively. In all our simulations the conservation relation described by Eq. (2.19) was satisfied to better than 0.001%.

An important consideration is to compare the efficiency and the SBS process in a single-frequency Raman amplifier for co-pumped and counter-pumped configurations. Notably, the effective Raman gain is higher in the co-pumped configuration than the counter-pumped configuration as can be inferred from Eqs. (2.22) and (2.23) presented below. A related manifestation of this effect is that the threshold for noise-initiated forward propagating SRS is lower than that of backward propagating SRS [26]. However, since the SBS process depends on the spatial evolution of the Raman signal along the length of the fiber and also the interaction of the Stokes light with the Raman pump, it is not clear which pumping configuration will lead to higher SBS thresholds for a given fiber geometry. Regardless, due to the exponential rise in Raman signal at the output end of the fiber in either pumping configuration, it can generally be argued that a lower seed power would lead to a higher SBS threshold (amplifier noise notwithstanding). We initially conducted a simulation using a fiber mode field diameter (MFD) of 7.5  $\mu\text{m}$  which is roughly equal to that used in the experiments described in Ref. [43]. We used a Raman gain coefficient of  $8 \times 10^{-14}$  m/W and an SBS gain coefficient of  $1.5 \times 10^{-11}$  m/W. The value for the Raman gain is typical of silica fibers [53]. Based on the experimental results

reported by the European Science Observatory (ESO), a Nufern 1060XP has approximately twice the Brillouin threshold of a Corning HI1060 fiber [43]. The difference in gain coefficients between the two fibers can be attributed to the effect of the dopant types and concentrations. Recent measurements by Mermelstein on aluminum-doped fibers indicate a Brillouin gain coefficient of approximately  $1 \times 10^{-11}$  m/W [54]. The concentration of aluminum in the Nufern 1060XP was reported to be high [49]. It thus appears that a value of  $1.5 \times 10^{-11}$  m/W was a reasonable value for use in our simulations.

A pump power of 200 W was considered. This value exceeds by 50 W the 1120 nm Raman pump laser reported in Ref. [55] and thus allows for investigation of state-of-the-art systems. For each pumping configuration, the fiber length was optimized to allow for maximum signal output at SBS threshold. We used the standard definition of SBS threshold i.e. the Raman output power at the point where the reflectivity is approximately 1% [52]. The minimum fiber length was determined to be 16.7 m for the core co-pumped and 17.5 m for the core counter-pumped configurations. Therefore, fibers with lengths below the aforementioned values would be pump limited. Figure 19 shows a plot of the spatial evolution of the signals at SBS threshold. Note there is little difference in output power as both configurations exhibit a steep rise in signal power at the output end of the fiber. Alternatively, one can fix the fiber length and allow for sufficient pump to get to the SBS threshold. In this case, the counter-pump configuration will have slightly higher output due to the slightly steeper rise in the signal at the output end. Regardless, the results of the SBS process in a Raman amplifier are in stark contrast to the process in a

rare-earth doped gain fiber. For the latter, counter-pumping provides a SBS threshold that is typically twice as high as that of co-pumping even without the inclusion of the thermal gradient obtained through quantum defect heating.

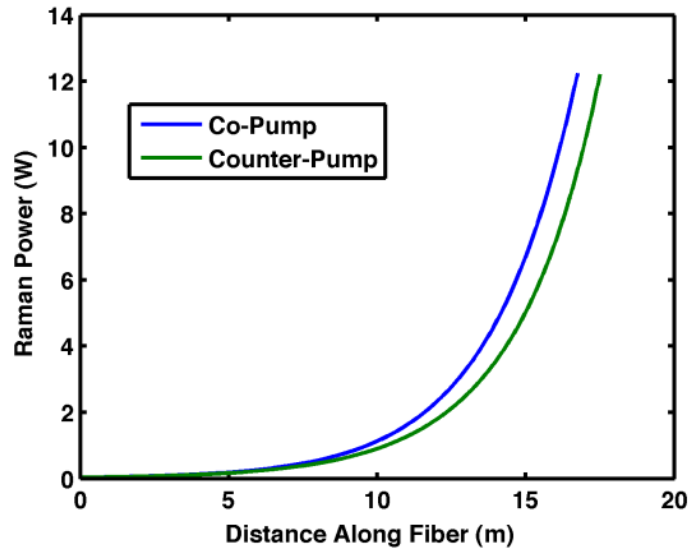


Figure 19: Raman signal evolution at SBS threshold for co- and counter-pumped cases with optimized length and a pump power of 200 W.

### *Fiber Length and Seed Power Simulations*

In order to understand the output power as a function of fiber length and seed power, we conducted a series of simulations. Six fiber lengths were chosen: 25 m, 50 m, 75 m, 100 m, 125 m, and 150 m. Also, a set of seed powers were selected starting at 1 mW and ending as high as 1 W. In these simulations, we allowed for sufficient pump power to be available for the amplifier to reach SBS threshold. Figure 20 shows the

results of the simulations for a co-pumped configuration. Not shown here, is the counter-pumped case. But again, since the length is fixed, the counter-pumped configuration will have slightly higher output. As shown in Figure 20, seed power can have a significant impact on signal power at SBS threshold. For a 25 meter fiber, an increase of approximately 25% can be obtained by reducing the seed power from 91 mW to 16 mW while at the 150 meter length, approximately 1.6 times the Raman power is obtained at the lower seed power. Not shown in the figure is a simulation we conducted for the 25 m case, whereby the Raman power dropped by a factor approaching three at a seed power of 1 W over a seed power of 16 mW.

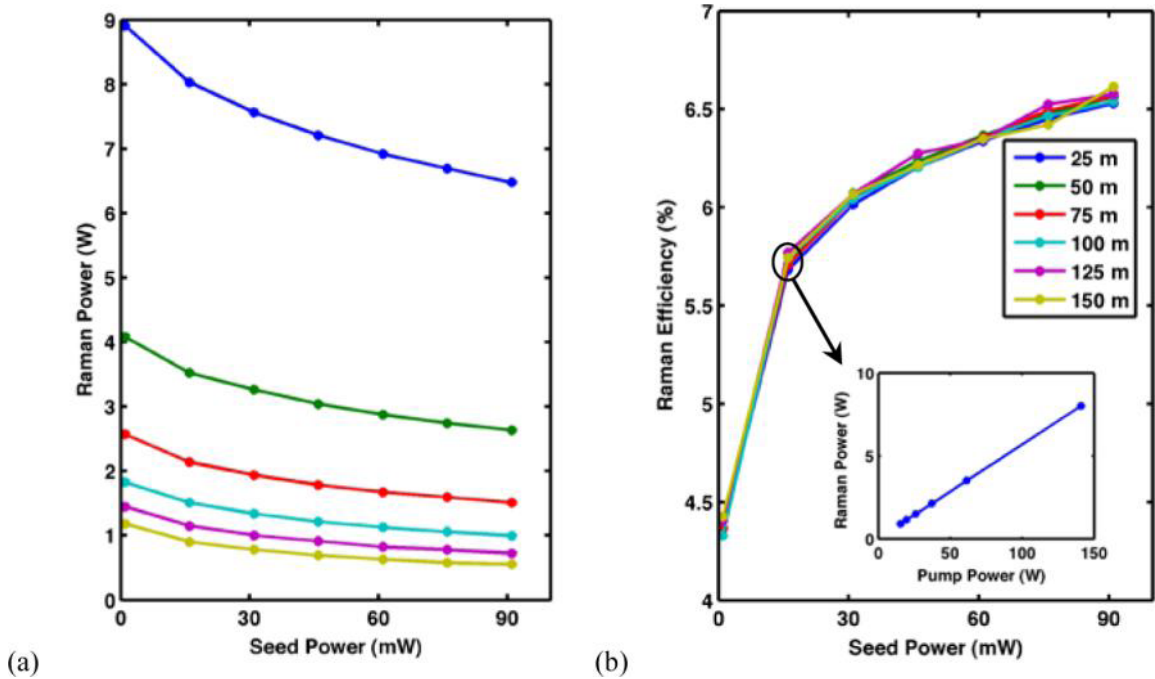


Figure 20: (a) Raman power and (b) efficiency as a function of seed power and fiber length for co-pumping. Inset in figure shows linear dependence of Raman output with pump power at SBS threshold for one of the seed cases.

The enhancement in Raman power output due to lower seed power comes at a price of reduced amplifier efficiency as shown in Figure 20. Note that the amplifier efficiency is approximately the same for all fiber lengths for a given seed power. That is, when optimizing fiber length, the Raman output at SBS threshold scales linearly with pump power (the inset in the Figure 20(b) illustrates this for the case of a 16 mW seed power). Consequently, substantial power scaling can be achieved by building more powerful pumps. For example, with a 16 mW seed, approximately 8 W of output power at a length of 25 m (corresponding pump power at SBS threshold of 141 W) is obtained, while approximately 0.9 W was obtained at a length of 150 m (corresponding pump power at SBS threshold of 15.4 W). If fiber lengths of 10 m and 5 m are considered, the pump power required to reach SBS threshold for a seed power of 16 mW would be approximately 418 W and 923 W, respectively. The efficiencies in these two cases are in agreement with the results presented in Figure 20.

To explain the significant improvement in output Raman power with pump power and the linear dependence, we started by first examining the Raman amplification only. Using an undepleted pump treatment for the 1120 nm light, the small signal gain is  $g_R P_p L$  and thus it would appear that a reduction of length from, for example, 150 m to 25 m will require 6 times more pump power to achieve the same Raman output. That is, based on this analysis, as long as  $P_p L$  is held constant, the same power is obtained. However, accounting for pump depletion through the Raman process, the solution for the co-pumped configuration can be readily obtained from Eqs. (2.12) and (2.19) where we

neglected the Brillouin process. Multiplying Eq. (2.12) by  $\gamma$  and adding Eq. (2.19) will provide the following relation:

$$\frac{dP_p}{dz} + \gamma \frac{dP_R}{dz} = 0 \quad (2.20)$$

Integrating the above and solving for  $P_p$ , one obtains  $P_p(z) = -\gamma P_R(z) + C_1$ . Substituting this result into Eq. (2.12) leads to:

$$\frac{dP_R}{dz} = g_R P_R (-\gamma P_R + C_1) \quad (2.21)$$

Integrating Eq. (2.21), one obtains:

$$P_R(z) = \frac{C_1 P_R(0) e^{g_R C_1 z}}{P_p(0) + \gamma P_R(0) e^{g_R C_1 z}} \quad (2.22)$$

where  $P_R(0)$  is the seed power,  $P_p(0)$  is the input pump power. The constant  $C_1$  can be determined from the boundary condition at  $z = 0$ ; leading to  $C_1 = P_p(0) + \gamma P_R(0)$ .

For the counter-pumped configuration, a similar procedure is employed and the following equation is obtained:

$$P_R(z) = \frac{C_2 P_R(0) e^{g_R C_2 z}}{C_2 + \gamma P_R(0) (1 - e^{g_R C_2 z})} \quad (2.23)$$

where  $C_2 = P_p(L) - \gamma P_R(L)$ . Note that  $P_R(L)$  is determined by solving Eq. (2.23) with  $z = L$  which results in a transcendental equation. Irrespective of the pumping configuration, these equations indicate that in the regime where pump depletion starts to become significant, an increase in pump power will lead to an increase in the Raman signal as  $P_p L$  is held constant. This effect is small for the range of simulations we ran. Much more significantly, when the SBS process is considered, a significant reduction in the reflectivity is obtained at higher pump powers (shorter fiber lengths) due to the relatively more rapid rise of the signal; thus allowing for further pumping and consequently even higher Raman signal.

To illustrate this, we considered the case of the 150 m and 25 m fibers in a co-pumped configuration seeded with 16 mW. For the former, the pump power at SBS threshold is 15.4 W, while for the latter the pump power at SBS threshold is 141 W. Figure 21, shows plots of the Stokes gain per unit length for each case. The total Stokes gain is the sum of the Brillouin gain,  $g_B P_R$ , and Raman gain,  $g_R P_p$ . A good measure of the reflectivity at SBS threshold is the exponential of the area underneath the total gain curve normalized to the Raman output power. This is approximately the same for both gain plots.

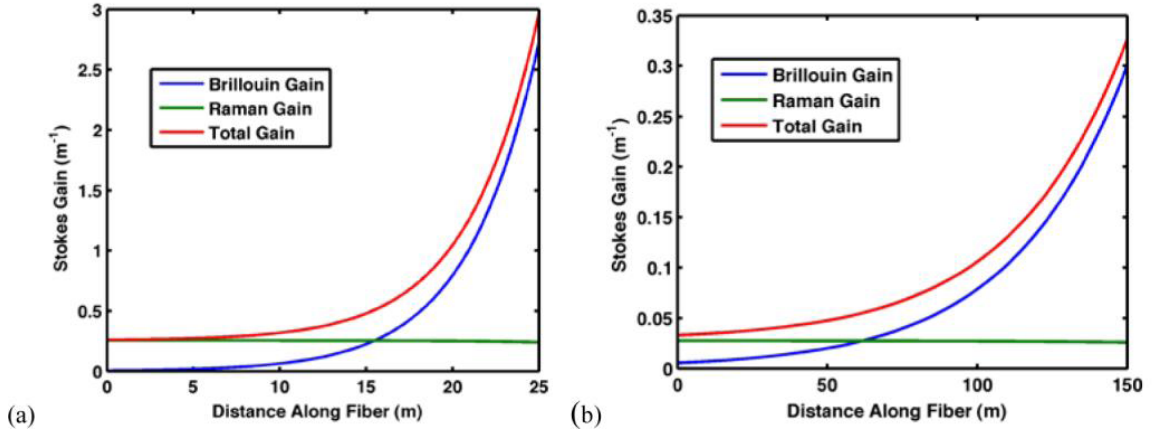


Figure 21: Stokes gain per unit length at SBS threshold for (a) 25 m fiber and (b) 150 m fiber. The total gain is the sum of the Brillouin and Raman gain.

To mathematically validate these observations, Eq. (2.22) or (2.23) can be used to obtain an approximate solution for the Stokes power at reflectivities on the order of 1% [52] or less in co-pumped or counter-pumped configurations. For simplicity, we treated here the co-pumped case and also assume one Stokes channel and negligible loss. The counter-pumped case does not have a closed form solution, but the conclusions drawn for the co-pumped case holds true. Eq. (2.22) can be used in Eq. (2.13) along with the distributed noise terms to obtain a solution for the evolution of the Stokes light. While this solution is more exact and was used to validate the numerical simulations, a more instructive but less exact solution can be obtained by considering a localized noise source injected at  $z = L$ . By using Eq. (2.22) in Eq. (2.13), and assuming the noise term is localized, the reflectivity,  $R$ , is given by:



$$R = \frac{P_S(0)}{P_R(L)} \propto P_S(L) \left( \frac{P_P(0) + \gamma P_R(0) e^{g_R C_1 L}}{P_P(0) + \gamma P_R(0)} \right)^{\frac{g_B}{\gamma g_R} - 1} \quad (2.24)$$

where  $P_S(L)$  is the injected Stokes power. Since  $P_R(0) \ll P_P(0)$  and the Raman output scales approximately as  $\exp[g_R C_1 L]$ , Eq. (2.24) indicates a linear dependence in Raman power with pump power and in agreement with the results shown in the inset of Figure 20b.

### *SBS Mitigation Techniques*

To provide a point of discussion to SBS mitigation, one can begin with the well-known power threshold equation in a passive fiber (i.e. there is no gain through ionic transitions or the Raman process) derived by R.G. Smith [26]:

$$P_{th} \sim \frac{21 A_{eff,B}}{g_{b0,max} L_{eff}} \quad (2.25)$$

Clearly, if the effective area is increased, a higher threshold power can be achieved in a passive fiber; however, this approach is not possible for a core pumped RFA due to the reduced Raman gain. This point will be discussed further below. Another term in Eq. (2.25) is the intrinsic maximum Brillouin gain coefficient. In silica, it possesses a

value of  $\sim 5 \times 10^{-11}$  m/W. However, in a fiber, due to the presence of dopants such as aluminum, it can be significantly lower. Obviously, a shorter fiber length leads to a higher SBS threshold. Yet, in a RFA, the Raman gain is small; thus using short fiber lengths (<10 m) is not practical due to very high pump powers required. Another point to consider is the differences between pumping schemes and subsequent effect on fiber length. For example, within an YDFA, the signal power grows quite different for the co-pumped case vs. the counter-pumped case (see Figure 22).

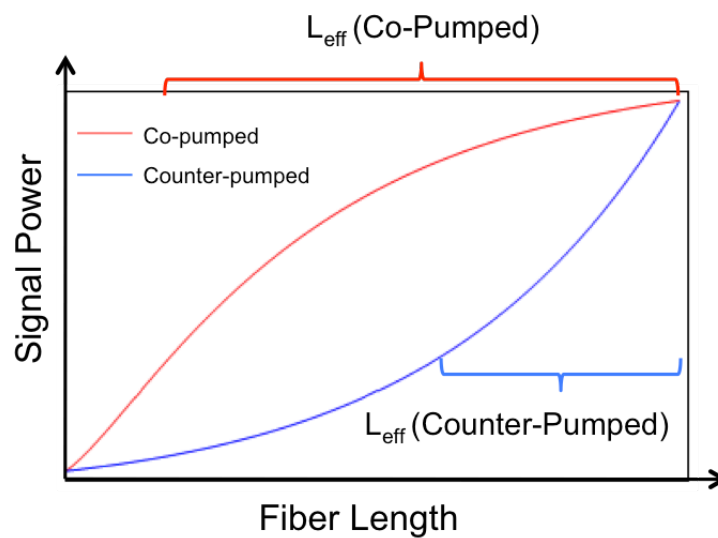


Figure 22: Power evolution of a typical Yb-doped amplifier in a co- and counter-pumped configuration.

Evidently, there is added benefit in an YDFA counter-pumped configuration. On the other hand, the evolution of the amplified signal in a RFA (considering both pumping schemes) was shown in Figure 19 to have a negligible benefit if the fiber length was optimized. Nevertheless, it should be noted that both pumping schemes provide a fast rise

in the signal power at the output end of the fiber, which is beneficial to suppress SBS in an RFA.

Despite some of the above limitations, there are other methods to power scale an RFA. To this end, the Brillouin frequency shift can be influenced through manipulation of the acoustic velocity ( $v_A$ ) in the longitudinal or transverse direction of the fiber core to provide SBS suppression; thus increased power threshold. This effect can be recognized through the Brillouin frequency shift ( $\Omega_B = 4\pi n v_A / \lambda$ ) and the Brillouin gain bandwidth.

Several methods that shift or adjust the acoustic velocity are: temperature gradients [56], stress gradients [57], and acoustically tailored fibers [58]. Fused silica possesses an intrinsic temperature-dependent acoustic velocity [59] whereby a thermal gradient introduced along the fiber tends to broaden the Brillouin gain spectrum (or create multi Brillouin gain peaks, if applied as discrete steps). Thus, the effective Brillouin gain is lower [60]. A typical value for the Brillouin gain bandwidth is 50 MHz and is based on the empirical estimate of a shift in the peak Brillouin frequency of 2 MHz/°C [61], approximately 30 °C difference between the temperatures of adjacent fiber sections is required. One consideration is the maximum operating temperature of the fiber polymer, which is typically in the range of 100-150 °C. Therefore, from a practical viewpoint, up to 3 temperature steps can be applied. Modeling and experimental demonstrations have shown thermal gradients can increase the SBS threshold by a factor of 3-5 [62].

Analogous to thermal gradients, applying tensile strain longitudinally along the fiber can broaden the Brillouin gain spectrum [63]. By this means, modification of the acoustic velocity via density variations due to stress or strain will tend to broaden the Brillouin gain spectrum, thus reducing the effective Brillouin gain. In 2012, the work by Zhang et al. generated 44 W of 1178 nm emission by Raman amplification [45] where longitudinal strain steps were introduced in the fiber as shown in Figure 23.

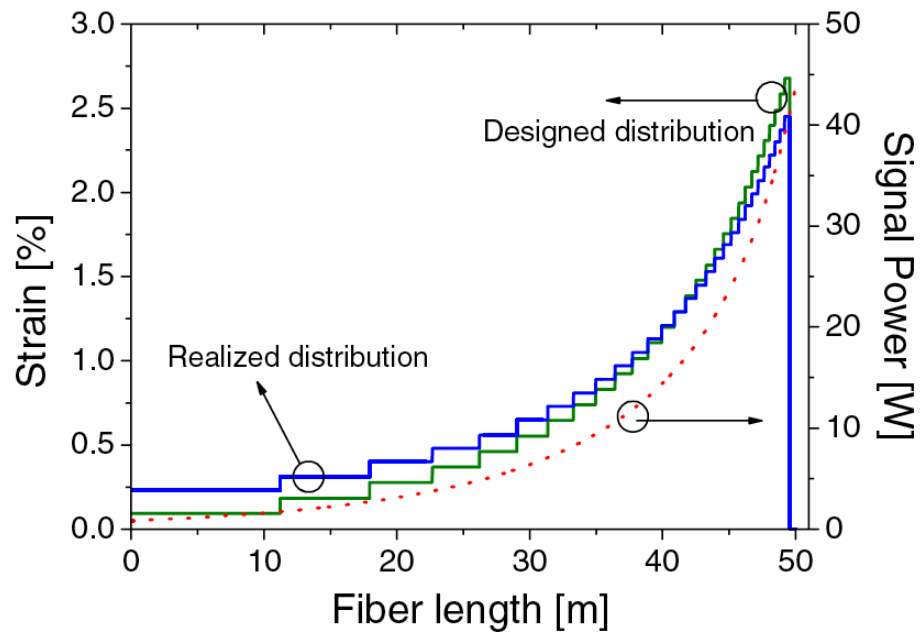


Figure 23: Calculated signal power evolution (dotted), the designed strain distribution (solid, green), and the applied strain distribution (solid, blue) along the fiber [45].

In this work, a 20x reduction in the SBS gain coefficient was realized by applying strain along the Raman gain fiber in 30 steps. This method took into account an SBS

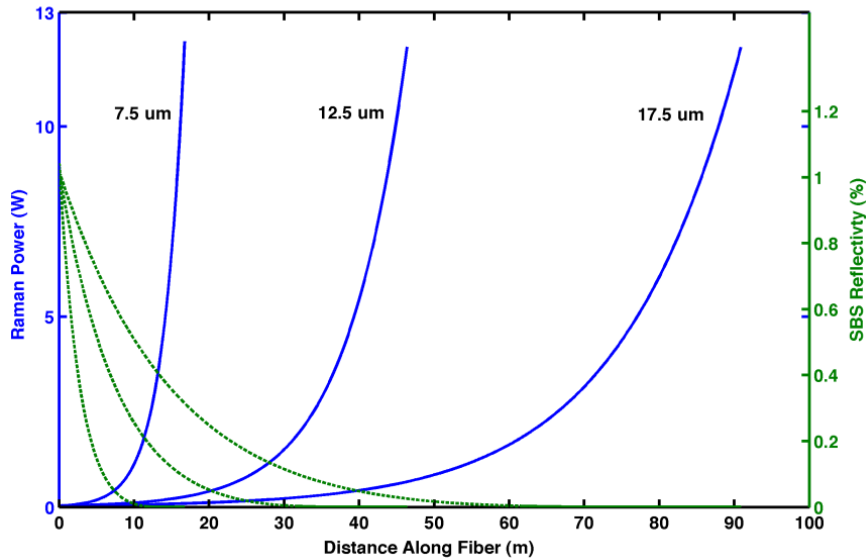
spectral separation between each step of 60 MHz, which provided minimal overlap between SBS peaks within the fiber core.

Although these methods work well, another approach to consider is modification of the acoustic velocity transversely within the fiber core, which broadens the Brillouin gain spectrum. Subsequently, this will reduce the effective Brillouin gain through an increase in the nonlinear effective area [64]. Despite the recent interest in manipulating the acoustic velocity inside a fiber core, Roger Stolen postulated it in 1979 [27] where an inhomogeneous transverse acoustic velocity profile could provide an explanation for increased SBS threshold measurements. Developing a fiber with optical homogeneity whilst creating acoustic velocity gradients is not trivial. There are several dopants inside the fiber to adjust. Notably, there is a similar effect from  $\text{GeO}_2$  and  $\text{Al}_2\text{O}_5$  on the optical refractive index, however an opposite effect on the acoustic refractive index. If these dopants were used in tandem, an acoustic grating is possible while maintaining the optical index.

### *Mode-Field Diameter*

A larger core diameter was considered to increase the SBS threshold. Since the SBS threshold scales linearly with the effective area [26], it would appear that using a larger MFD fiber will lead to an increase in Raman signal. This is true if the fiber length was predetermined and sufficient pump power was available such that the amplifier is not pump limited. However, considering the 200 W pump limit set previously and optimizing

for fiber length simulations were conducted for MFD values of 7.5  $\mu\text{m}$ , 12.5  $\mu\text{m}$ , and 17.5  $\mu\text{m}$ . The corresponding fiber lengths are 16.7 m, 46.4 m, and 91 m respectively. As shown in Figure 24, the Raman signal output is almost equal for the three MFD values under consideration. This can be explained by noting that both the SBS and SRS processes scale similarly in terms of fiber length and effective area. For a given pump power, a larger MFD requires an increase in fiber length to offset the reduction in Raman gain. Also shown in the figure is the spatial evolution of the Stokes light.



*Figure 24: Investigation of mode field diameter effect using a pump power of 200W while the fiber length varied until SBS threshold is reached. SBS reflectivity is shown in green and corresponds to each fiber length.*

### *Multi-Step Temperature Profile Power Equations*

As discussed previously, the SBS process can be mitigated by applying a temperature gradient along the fiber. In order to investigate the external application of a multi-step temperature profile, Eqs. (2.12) - (2.14) need to be generalized to account for multiple center Stokes frequencies. Provided that there is minimal overlap among the Brillouin gain bandwidths corresponding to each temperature segment, the system of equations becomes:

$$\frac{dP_R}{dz} = \left( g_R P_P - \sum_{i,j} g_{B,i,j} P_{S,i,j} - \alpha_R \right) P_R \quad (2.26)$$

$$\frac{dP_{S,i,j}}{dz} = - \left( g_R P_P + g_{B,i,j} P_R - \alpha_R \right) P_{S,i,j} \quad (2.27)$$

$$\frac{dP_P}{dz} = \mp \gamma g_R \left( P_R + \sum_{i,j} P_{S,i,j} \right) P_P \mp \alpha_P P_P \quad (2.28)$$

where the subscript  $j$  corresponds to the fiber section, and  $g_{B,i,j}$  is zero everywhere except for the pertinent fiber segment. As shown previously, there is no need to determine the location of the injected Stokes photons a priori for each Stokes frequency under consideration. This noise term can be incorporated by adding a term of the form  $-g_{B,i} P_R \delta_{S,i,j}$  on the right hand side (RHS) of Eq. (2.27) with  $\delta_{S,i,j}$  given by:

$$\delta_{S,i,j} = \frac{\hbar\omega_{S,i,j}\Delta\omega}{2\pi \left( \exp \left[ \frac{\hbar(\omega_R - \omega_{S,i,j})}{kT_j} \right] - 1 \right)} \quad (2.29)$$

where  $\Delta\omega$  is the frequency bin size, and  $T_j$  is the temperature of the fiber section. The SBS process can also be initiated through Raman noise characterized by the interaction of the pump light with background optical phonons. As shown previously, the spontaneous Raman noise is of the form  $-g_R P_P \delta_{S,R}$  on the RHS of Eq. (2.27). Here  $\delta_{S,R}$  is given by:

$$\delta_{S,R} = \frac{\hbar\omega_{S,i,j}\Delta\omega}{2\pi} \quad (2.30)$$

Employing the above equations to examine further power scaling, we investigated the application of multiple temperature steps along the length of the fiber. Markedly, a similar analysis would apply for SBS mitigation using a multiple stress profile as was utilized in the work of Zhang et al. [45]. If the temperature difference is such that little overlap occurs between the Brillouin gain bandwidths corresponding to the various segments of the fiber, considerable SBS suppression can be obtained with the proper selection of the length of these segments.

To determine the optimal length of each segment, we require that the Stokes power generated in each segment is approximately the same. Consequently, no peak Stokes frequency is allowed to run away with the reflected power. Optimization of the



segment lengths can be determined numerically. However, this can be tedious or computationally exhaustive. Instead, we developed a procedure to get an accurate estimate of the length of each segment based on calculating the integrated Brillouin gain for each segment. Consider segments with one end of each segment located at  $L_1, L_2, L_3, \dots, L_N$ , where  $L_N = L$ . The optimal lengths are determined by the following set of equations:

$$\int_0^{L_1} e^{g_{RP} z} dz = \frac{1}{N-1} \int_{L_1}^L e^{g_{RP} z} dz \quad (2.31)$$

$$\int_{L_1}^{L_2} e^{g_{RP} z} dz = \frac{1}{N-2} \int_{L_2}^L e^{g_{RP} z} dz \quad (2.32)$$

$$\int_{L_2}^{L_3} e^{g_{RP} z} dz = \frac{1}{N-3} \int_{L_3}^L e^{g_{RP} z} dz \quad (2.33)$$

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$$\int_{L_{N-2}}^{L_{N-1}} e^{g_{RP} z} dz = \int_{L_{N-1}}^L e^{g_{RP} z} dz \quad (2.34)$$

While the equations above worked very well for the co-pumped configuration, we found that for the counter-pumped case better accuracy is obtained when the effective Raman gain per unit length of the system is used.

### Multi-Step Temperature Profile Simulations

A set of simulations similar to those presented in Figure 20 was carried out for a three-step temperature profile (i.e. four temperature regions) along the fiber. Again, the fiber lengths chosen were 25 m, 50 m, 75 m, 100 m, 125 m, and 150 m. The results for both co-pumped and counter-pumped configurations are shown in Figure 25. Note that counter-pumping provides higher Raman signal in accordance with the findings for the uniform temperature profile.

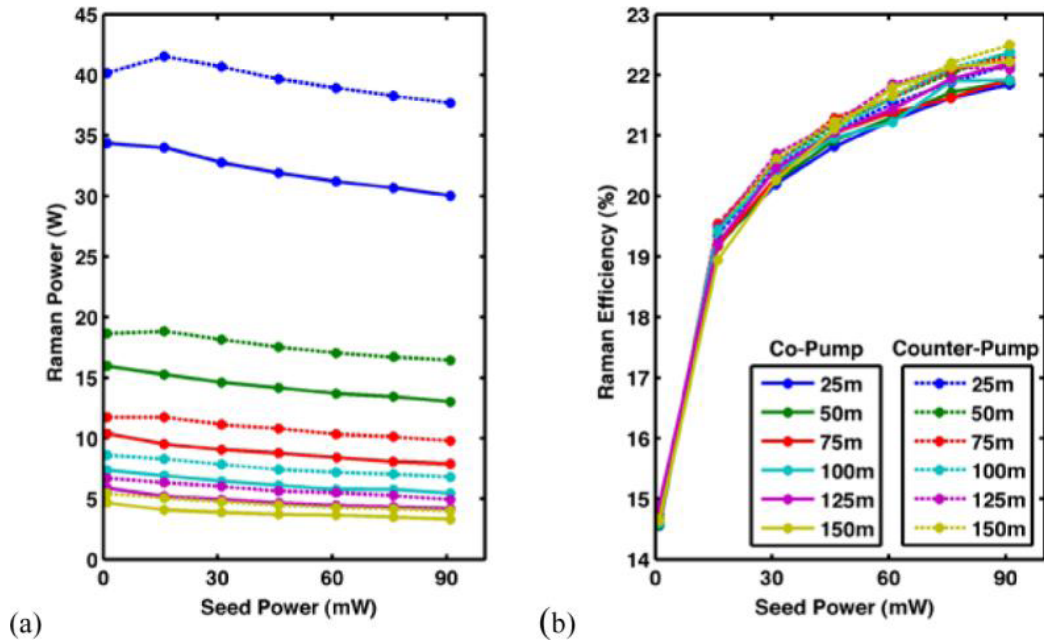
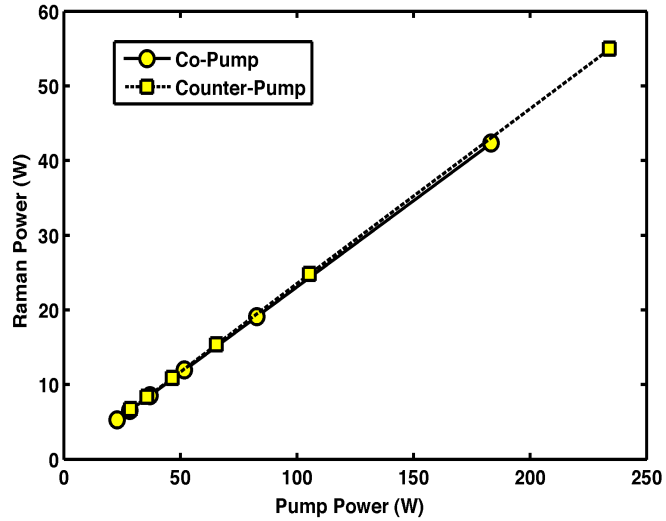


Figure 25: (a) Raman power and (b) efficiency achieved for both co-pumping and counter-pumping as a function of seed power and length of fiber using a three-step temperature profile (i.e. four temperature regions).

Furthermore, for both pumping configurations, considerably higher Raman power is obtained when a multi-step thermal gradient is used. For example, at a pump power of 214.5 W, fiber length of 25 m and seed power of 16 mW, the output signal is 41.5 W. Additionally, due to the increase in pump power at SBS threshold, the efficiency of the system is considerably higher for the multi-step temperature simulations. Furthermore, the co- and counter-pumped configuration linear dependence was recovered numerically with the multi-step configuration and shown in Figure 26.



*Figure 26: Linear dependence simulation of amplifier output with pump power at SBS threshold at optimized length for a three-step temperature profile (i.e. four temperature regions) seeded with 16 mW.*

Figure 27 shows the evolution of the four Stokes signals for a co-pumped 150 m fiber, seeded with 16 mW and pumped to provide at SBS threshold 4.1 W of Raman power. These Stokes signals correspond to the peak Brillouin frequencies in each

segment. The length of each fiber segment was chosen using the optimization routine described above. Note that all four Stokes signals provide almost equal reflectivities.

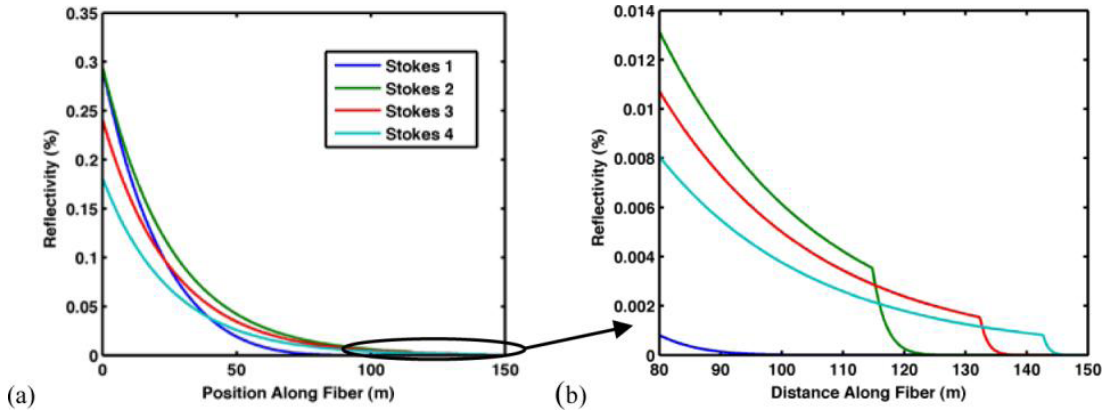


Figure 27: (a) The evolution of each Stokes signal in a 150 m fiber until SBS threshold was reached. (b) The characteristic evolution of each Stokes channel at the respective calculated length.

The fiber lengths corresponding to the four segments were 114.8 m, 17.6 m, 10.3 m, and 7.3 m; as expected decreasing in length in the direction of the signal propagation. Figure 27(b) shows a “zoom in” for the region of the fiber identified in Figure 27(a). The change of slope in three of the Stokes signals can be traced to the traverse of the corresponding Stokes light into a region where it encounters Raman gain but no Brillouin gain. Figure 28 is a drawing of the fiber showing a relative representation of the optimized segment lengths.



*Figure 28: Three-step temperature profile (i.e. four different temperature regions) applied to a 150 m fiber seeded with 16 mW showing the relative lengths of the fiber segments.*

Consequently, simulations were carried out for a three-step temperature profile (i.e. four temperature regions) with an optimized fiber length to compare co- and counter-pumped configurations. We used a Raman gain coefficient of  $8 \times 10^{-14}$  m/W and a SBS gain coefficient of  $1.2 \times 10^{-11}$  m/W. The latter value was based on our pump probe measurements conducted on a Nufern PM980-XP fiber described in Chapter 3. The mode field diameter (MFD) was set to  $7.5 \mu\text{m}$ . The MFD value is roughly equal to that used in the experiments described in Ref. [55] and in our experiments. In one set of simulations, we considered a pump power of 200 W, which exceeds the 1120 nm Raman pump laser by 50 W as reported in Ref. [55] and allows for investigation of future systems. The seed power at 1178 nm was set at 16 mW. Each pumping configuration was analyzed and the fiber lengths were adjusted until SBS threshold defined at 1% reflectivity was reached, i.e. smaller lengths would be pump limited while greater lengths would be SBS limited. For the co-pumped configuration, this procedure yielded a fiber length of 23.2 m while for the counter-pumped configuration a fiber length of 28.7 m was obtained. Each segment was calculated using the optimization procedure described above and was determined to be 19.4 m, 1.9 m, 1.1 m and 0.8 m for the co-pumped configuration and 24.3 m, 2.2 m, 1.3 m and 0.9 m for the counter-pumped case. Figure 29 shows plots of

the spatial evolution of the signals at SBS threshold. For comparison, a plot of the signal evolution in both pumping configurations for a fiber with uniform temperature is shown in Figure 29. Note that due to the lower SBS threshold for the uniform temperature case, the fiber lengths were shorter at 19.2 m and 20.4 m for the co- and counter-pumped configurations; respectively; leading to considerably lower output power.

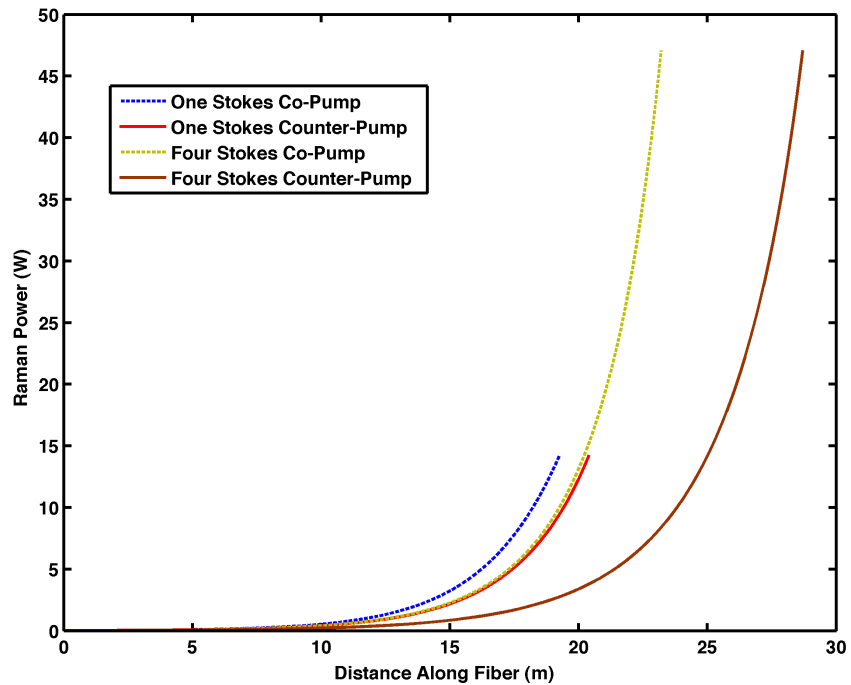


Figure 29: Raman signal evolution at SBS threshold for co- and counter-pumped cases with optimized fiber length for both three-step temperature profile (i.e. four temperature regions) and uniform temperature profile.

In comparing the co- and counter-pumping configurations for the multi-step (four Stokes channels) temperature profile (or for that matter the uniform temperature profile),

we discovered that there is little difference in output power as both configurations exhibit a steep rise in signal power at the output end of the fiber. Alternatively, one can fix the fiber length and allow for sufficient pump to get to the SBS threshold. In this case, the counter-pump configuration will have moderately higher output due to the steeper rise in the signal at the output end. Regardless, the results of the SBS process in a Raman amplifier are in stark contrast to the process in a gain fiber (see Figure 22). For the latter, counter-pumping provides an SBS threshold that is typically twice as high as that of co-pumping, even without the inclusion of the thermal gradient obtained through quantum defect heating.

#### *Two-Stage RFA Simulations*

The numerical simulations above indicated that for a given fiber length, the output power at SBS threshold actually decreases with increased seed power (see Figure 20 and Figure 25). On the other hand, the efficiency, defined as the ratio of the signal power to the pump power, increases. We conducted a numerical study to examine the scalability of single-frequency RFAs in relation to seed power. The system of equations used in the study was previously described and entails solving the evolution of the pump, signal, and Stokes light as functions of position in the fiber with the SBS process being initiated from thermal noise.

We proceeded by choosing different seed powers and optimizing the fiber length such that all available pump power is utilized at SBS threshold. The results from a

counter-pumped RFA without the benefit of a thermal gradient are shown in Figure 30. Not considering spectral broadening, one would expect the results for a co-pumped RFA to behave similarly [49]. The fiber simulated is based on the Raman gain enhanced acoustically tailored fiber described in Ref. [50]. This type of fiber was utilized in the two-stage RFA experimental work presented in Chapter 4. This fiber allowed us to conduct the study of dependence of output power on seed power more readily while allowing for power scaling to  $>20$  W (see Chapter 4) without the added complexity of utilizing different temperature regions. The maximum available pump power in the simulations is 80 W to match with the pump power used in the two-stage experiments. For this fiber,  $g_R$  was estimated to be  $8 \times 10^{-14}$  m/W [50]. We estimated  $g_B$  to be  $3.4 \times 10^{-12}$  m/W based on the acoustically tailored RFA results presented in Ref. [50]. As a comparison, a similar estimate for the COTS fiber provided  $g_B = 1 \times 10^{-11}$  m/W. This value is lower than the  $1.2 \times 10^{-11}$  m/W estimate obtained from the pump-probe experiment that was performed at 1064 nm (see Chapter 3). As shown in Figure 30, the output power at SBS threshold increases with seed power. A relatively sharp increase in the output power is obtained as the seed power is varied from 5 mW to 500 mW. Beyond 1 W, the output scales approximately linearly with seed power. The figure also provides the corresponding optimal fiber length. Therefore, in order to achieve further power scaling, there is a need to build a two-stage RFA. The first stage is used to generate 1178 nm optical power at a level  $>500$  mW in order to seed the second stage. Accordingly, the experimental effort to achieve this is described in Chapter 4.



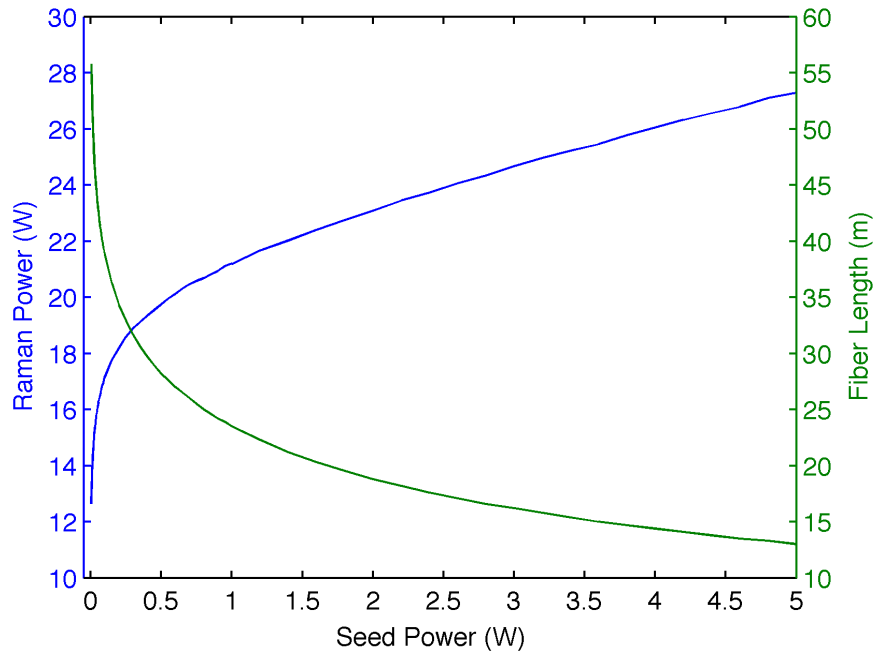


Figure 30: Simulation results of output power at SBS threshold vs. seed power for the acoustically tailored fiber described in [50]. Also, shown is the corresponding optimal fiber length.

## Two-Signal RFA

### Power Equations

Based on simulations of the photon returns from the mesosphere as well as experimental results, improved performance of the guide star system can be obtained by simultaneously illuminating the two sodium lines. We investigated the possibility of generating the  $D_{2a}$  and  $D_{2b}$  lines by utilizing the same Raman amplifier. Since the separation of the two lines is approximately 1.7 GHz, there is no overlap between the corresponding Brillouin gain bandwidths even when a multi-step temperature profile is

considered. Consequently, the two signals should reach their respective SBS thresholds independently. However, since linear dispersion is small for such a separation, FWM must be considered. Theoretical analysis suggests that the ratio of the power at the  $D_{2a}$  line should be approximately 10 times that at  $D_{2b}$ . The second harmonic power is proportional to the square of the pump wavelength power, which indicates that the output power of the two signals in the 1178 nm Raman amplifier should be slightly higher than 3:1.

Without consideration of FWM, SBS and fiber loss, the equations describing the “two-color” Raman amplifier take the following form:

$$\frac{dP_1}{dz} = g_R P_1 P_P \quad (2.35)$$

$$\frac{dP_2}{dz} = g_R P_2 P_P \quad (2.36)$$

$$\frac{dP_P}{dz} = \mp \gamma g_R (P_1 + P_2) P_P \quad (2.37)$$

where  $P_1$ , and  $P_2$  are the powers of signal 1 and signal 2, respectively. The  $\mp$  signs represent co- and counter-propagating pump waves, respectively. Based on these equations, it can be readily deduced that regardless of the pumping configuration:

$$P_2(z) = \frac{P_2(0)}{P_1(0)} P_1(z) \quad (2.38)$$

Using a conservation equation similar to Eq. (2.19) and Eqs. (2.35) and (2.36), one can readily show that for the co-pumping configuration:

$$P_1(z) = \frac{C_3 P_1(0) e^{C_3 g_R z}}{P_p(0) + \gamma(P_1(0) + P_2(0)) e^{C_3 g_R z}} \quad (2.39)$$

where  $C_3 = P_p(0) + \gamma(P_1(0) + P_2(0))$ . Similarly, it can be shown that for the counter-pumped configuration an equation of the following form is obtained:

$$P_1(z) = \frac{C_4 P_1(0) e^{C_4 g_R z}}{C_4 + \gamma P_1(0)(1 + \theta)(1 - e^{C_4 g_R z})} \quad (2.40)$$

where  $\theta = P_2(0)/P_1(0)$ , and  $C_4 = P_p(L) - \gamma(1 + \theta)P_1(L)$ . Note that  $P_1(L)$  is determined by solving Eq. (2.40) with  $z = L$  which results in a transcendental equation.

These solutions were used to check the numerical accuracy of the full system of coupled nonlinear differential equations describing the evolution of the field amplitudes of the pump, the two Raman signals, and two FWM sidebands. Here, we were interested in amplifier operation below the SBS threshold, and hence the investigation of the FWM process would be accurate without including the Brillouin process.

### *Amplitude Equations*

The field amplitude equations are used to capture the full FWM interactions including the effects of self- and cross-phase modulations. The derivation of the coupled system follows that provided in Ref. [65] except that we have here Raman gain instead of laser gain. Considering two input frequencies oscillating at  $\omega_{R1}$  and  $\omega_{R2}$  the interaction of the waves is mediated through the third-order susceptibility of the medium  $\chi^{(3)}$  with two sidebands located at:

$$\omega_3 = \omega_{R1} - \Delta\omega \quad (2.41)$$

$$\omega_4 = \omega_{R1} + 2\Delta\omega \quad (2.42)$$

where  $\Delta\omega = \omega_{R2} - \omega_{R1}$ . Since the frequency separation is on the order of 1.7 GHz, which is smaller than the optical wavelength, the modal profiles are set to be equal. The wave equation is then reduced through couple-mode theory for the wave oscillating at  $\omega_{R1}$ . The nonlinear index of refraction is related to  $\chi^{(3)}$  by

$$n_{R1}^{(2)} = \frac{3\chi^{(3)}}{8n_{R1}} \quad (2.43)$$

The linear phase mismatch terms  $\Delta\beta_1, \Delta\beta_2$  and  $\Delta\beta_3$ , which characterize the energy transfer among the various waves by:

$$\Delta\beta_1 = \beta_2 + \beta_3 - 2\beta_1 = \beta^{(2)}(\Delta\omega)^2 \quad (2.44)$$

$$\Delta\beta_2 = \beta_3 + \beta_4 - \beta_1 - \beta_2 = 2\beta^{(2)}(\Delta\omega)^2 \quad (2.45)$$

$$\Delta\beta_3 = 2\beta_2 - \beta_1 - \beta_4 = -\beta^{(2)}(\Delta\omega)^2 \quad (2.46)$$

where a Taylor expansion was used to relate these terms to  $\beta^{(2)}$  the group-velocity dispersion (GVD) parameter. Dispersion effects in the nonlinear index of refraction are negligible for all waves, therefore  $n^{(2)l} = 3 \times 10^{-20} \text{ m}^2/\text{W}$  where  $n^{(2)l}$  is related to the nonlinear index of refraction  $n^{(2)}$  by:

$$n^{(2)l} = \frac{2\mu_0 cn^{(2)}}{n} \quad (2.47)$$

Since there is little variation among the frequencies of the Raman signals and FWM sidebands, the spatial evolution of the pump field amplitude,  $A_p$ , is given by:

$$\frac{dA_p}{dz} = \frac{-\gamma g_{ro} \epsilon_0 c n_R \kappa_1}{4} \left( |A_1|^2 + |A_2|^2 + |A_3|^2 + |A_4|^2 \right) A_p \quad (2.48)$$

where  $A_1, A_2, A_3$  and  $A_4$  are the field amplitudes for the two Raman signals and two sidebands, respectively. Where  $n_R$  is the linear index of refraction at the Raman wavelength. The overlap integral,  $\kappa_1$  is given by:

$$\kappa_1 = \frac{\iint |\varphi_R(x,y)|^2 |\varphi_P(x,y)|^2 dx dy}{\iint |\varphi_P(x,y)|^2 dx dy} \quad (2.49)$$

For the sake of brevity, we wrote the spatial evolution of either of the Raman signals as:

$$\frac{dA_i}{dz} = \frac{g_{ro} \epsilon_o c n_p \kappa_2}{4} |A_p|^2 A_i + \frac{i \omega_R n^{(2)} \kappa_3}{c} \left( \begin{array}{l} \left( |A_i|^2 + 2 \sum_{j \neq i} |A_j|^2 \right) A_i \\ + 2 A_i^* A_k A_{i+2} \exp \left[ i \beta^{(2)} (\Delta \omega')^2 z \right] \\ + 2 A_k^* A_3 A_4 \exp \left[ 2 i \beta^{(2)} (\Delta \omega')^2 z \right] \\ + A_k^2 A_{k+2} \exp \left[ -i \beta^{(2)} (\Delta \omega')^2 z \right] \end{array} \right) \quad (2.50)$$

where here  $i = 1, 2$ , and  $j = 1, 2, 3, 4$ . The value of the index  $k$  is 2 for  $i = 1$ , and 1 for  $i = 2$ ,  $n_p$  is the index of refraction at the pump wavelength,  $n^{(2)}$  is the nonlinear index of refraction,  $\Delta \omega'$  is the frequency separation between the two signals, and  $\beta^{(2)}$  is the group velocity dispersion parameter. The second and third terms on the right hand side of the equation above are FWM terms and represent self (SPM) and cross-phase modulation

(XPM), respectively, and terms (4-6) are FWM terms representing energy transfer to the sidebands. The overlap integrals,  $\kappa_2$  and  $\kappa_3$  are given by:

$$\kappa_2 = \frac{\iint |\varphi_R(x,y)|^2 |\varphi_P(x,y)|^2 dx dy}{\iint |\varphi_R(x,y)|^2 dx dy} \quad (2.51)$$

$$\kappa_3 = \frac{\iint |\varphi_R(x,y)|^4 dx dy}{\iint |\varphi_R(x,y)|^2 dx dy} \quad (2.52)$$

The spatial evolution of the FWM sidebands is given by:

$$\frac{dA_{i+2}}{dz} = \frac{g_{ro} \epsilon_o c n_p \kappa_2}{4} |A_p|^2 A_{i+2} + \frac{i\omega_R n^{(2)} \kappa_3}{c} \left( \begin{array}{l} \left( |A_{i+2}|^2 + 2 \sum_{j \neq i+2} |A_j|^2 \right) A_{i+2} \\ + A_i^2 A_k^* \text{Exp} \left[ -i\beta^{(2)} (\Delta\omega')^2 z \right] \\ + 2A_1^* A_2 A_{k+2}^* \text{Exp} \left[ -2i\beta^{(2)} (\Delta\omega')^2 z \right] \end{array} \right) \quad (2.53)$$

### *Simulations and Analysis*

We examined FWM for the case of a three-step temperature applied along the length of fiber. Signal 1 was seeded at 45 mW while signal 2 was seeded at 15 mW. This ensured a Raman output power ratio of approximately 3:1. The pump power for the co-pumped case was chosen to be 15% below the pump level at SBS threshold; thus

allowing the two-color Raman amplifier to operate below the SBS threshold. For a fair comparison, the pump power in the counter-pumping configuration was chosen such that the same Raman power was obtained as in the co-pumped configuration. Figure 31 shows the evolution of the two Raman signals along the direction of the signal for a fiber of length 150 m as well as the evolution of the two sidebands for both the co-pumped and counter-pumped configurations. For the former, approximately 90 mW of FWM power is obtained. FWM power is defined as the total optical power in the sidebands. This represents 3.2% of the total output power. For the counter-pumping configuration less FWM power is obtained. In this case, the FWM power is approximately 70 mW corresponding to 2.5% of the total output power. Had the SPM and XPM terms not been included in our simulations, the difference would be less than a 10% increase in sideband power, thus indicating the FWM process is effectively phase-matched.

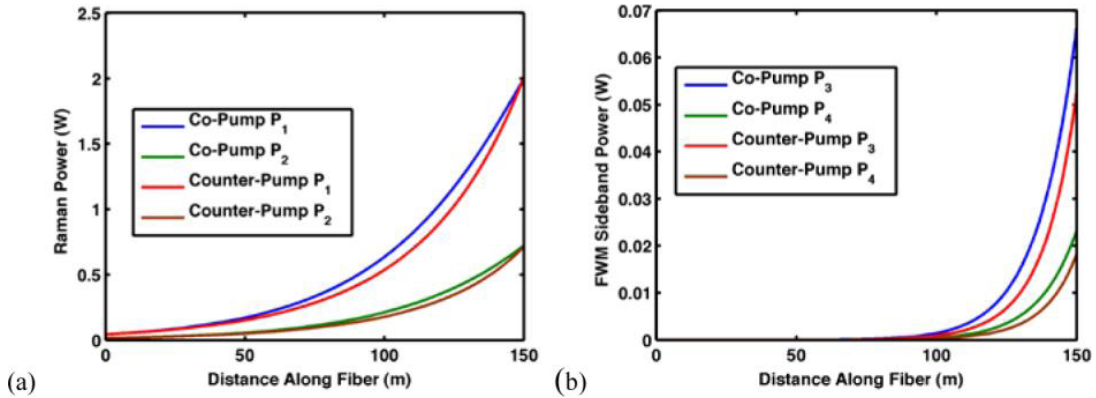


Figure 31: (a) The spatial evolution of the two Raman signals in a 150 m fiber for co-pumped and counter-pumped configurations. (b) The spatial evolution of the corresponding FWM sidebands.



Similar simulations were conducted for a 25 m fiber. Again, the pump power was chosen to be 15% below the SBS threshold. The results of the simulations are shown in Figure 32. For the co-pumped configuration, approximately 325 mW of FWM power was obtained which represents 1.76% of the total output power and is 3.6 times the 150 m simulation. For the counter-pumped configuration, 267 mW of FWM power was obtained corresponding to 1.46% of the total output power and is 3.8 times the 150 m counter-pumped simulation. It is interesting to note that the Raman output power in the case of the 25 m simulations is much higher, but that the FWM percentage is significantly low.

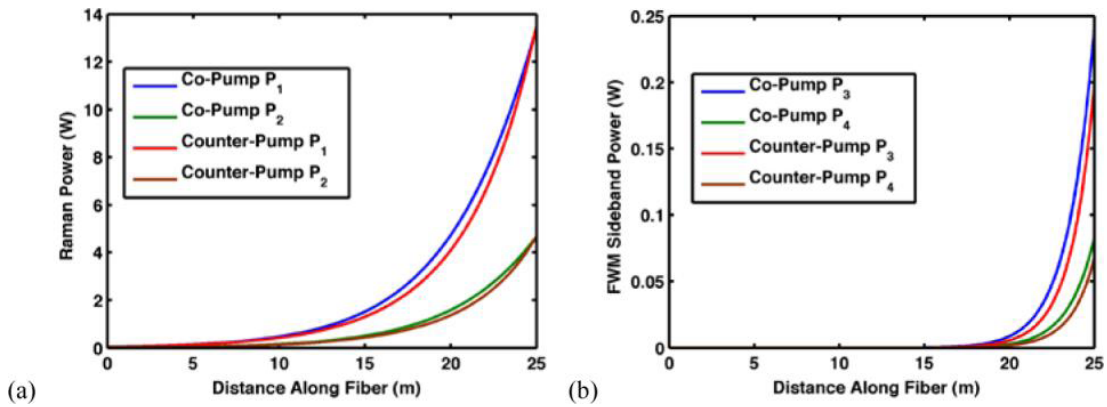


Figure 32: (a) The spatial evolution of the two Raman signals in a 25 m fiber for co-pumped and counter-pumped configurations. (b) The spatial evolution of the corresponding FWM sidebands.

This FWM process is degenerate and should scale as  $P_1^2 P_2$  where  $P_1$  here represents the Raman signal with the higher output power. However, for low conversion, the FWM process scales quadratically with the square of the fiber length. A “back of the envelope” calculation would then indicate the FWM power for the 25 m case would be

approximately 8 times that of the 150 m case. We attributed the difference between this calculation and the numerical simulations, to the spatial profiles of the Raman signals, which exhibit more of a rapid rise in the case of the 25 m fiber.

Due to the presence of FWM, this approach is not as desirable as an approach that uses phase modulation to excite the two lines of interest. With the latter approach, no FWM occurs between the sidebands. In chapter 4, we will present a demonstration of a two line counter-pumped RFA using sinusoidal modulation.

## Chapter 3

### Single-Stage RFA Experiment and Results

The model developed above was critical in guiding the experimental work presented henceforth. The numerical simulations were utilized to provide, based on available pump power, experimental estimates of the intrinsic Brillouin gain and Raman gain coefficients, reasonable estimates of seed power and fiber length (including length of fiber segments in a multi-step thermal gradient implementation) needed to achieve relatively high Raman output power at SBS threshold. On the other hand, the experimental work was used to validate the predictions of the numerical model. Notably, the cutback experiment presented below recovers the linear dependence of output power at SBS threshold on pump power.

We begin our discussions of the experimental work by presenting measurements of the Brillouin gain bandwidth and peak value.

#### *Brillouin Gain Spectrum Measurements of COTS Fiber*

A key consideration in constructing a relatively high power RFA from a COTS fiber is to identify fibers with relatively low Brillouin gain coefficient  $g_B$ . Dopants contained in the fiber core can radically affect the  $g_B$ . Mermelstein compared the SBS process in aluminum-doped and germanium-doped fibers [54]. For the former, he measured  $g_B$  to be  $\sim 1.0 \times 10^{-11}$  m/W. This relatively low value was attributed to the

acoustic anti-guiding properties of the fiber core due to the presence of aluminum.

Towards that end, several different fibers were investigated.

The work in Ref. [43] tested various fibers in a single-frequency Raman fiber amplifier configuration, and discovered the Nufern 1060XP fiber led to a higher SBS threshold than the Corning HI1060. The figure of merit used here was the ratio of the Raman and SBS gain coefficient,  $g_R/g_B$ , which turned out to be 0.00333 for the Nufern 1060XP and 0.00179 for the Corning HI1060. Despite the fiber being non-polarization maintaining, this work indicated a COTS fiber that could be used in this research. Since the focus of this work was to utilize a polarization maintaining fiber, we ordered 300 m of Nufern PM980-XP fiber. This fiber is the polarization maintaining version of the Nufern 1060XP fiber design. The COTS PM980-XP from Nufern contains high aluminum content [51]. This single-mode passive fiber possesses core and cladding diameters of 6  $\mu\text{m}$  and 125  $\mu\text{m}$ , respectively.

We examined the Brillouin gain spectrum (BGS) of this type of fiber using a pump-probe technique. The experimental set-up is shown in Figure 33. Two nonplanar ring oscillators (NPRO) sources operating at approximately 1064 nm and with nominal linewidths on the order of KHz were used in the setup as the pump and probe (Stokes) laser sources. Frequency tuning of the probe NPRO was achieved by slowly modulating the temperature of the Nd:YAG crystal. The pump signal was amplified by utilizing a single mode Yb-doped fiber amplifier and propagated through a polarizing beam splitter (PBS) in order to separate the Stokes light. The polarizations of the input beams were oriented along the slow axis of the PM980-XP fiber using half-wave plates. The fiber

length used was 10 m. Fused fiber tap coupler/splitters (TAP 1 and TAP 2) were used to separate 1% of the signal to be later combined with a 50/50 coupler. The photodiode (PD1) was used with the RF spectrum analyzer (RFSA) to measure the beat note of the two signals separated by  $\sim 16$  GHz, which is approximately equal to the Brillouin shift frequency in an optical fiber at a wavelength of 1064 nm.

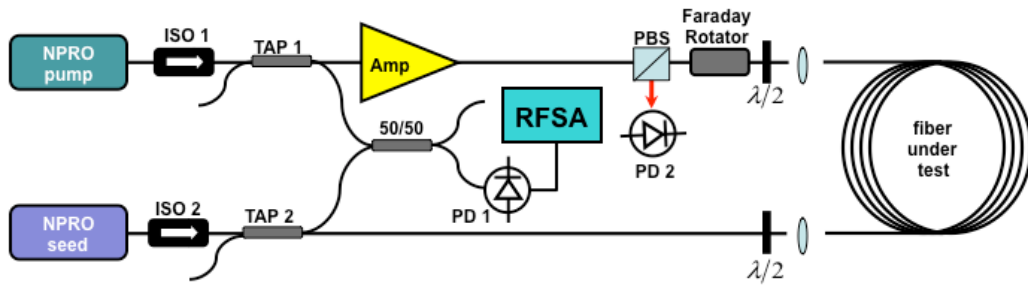
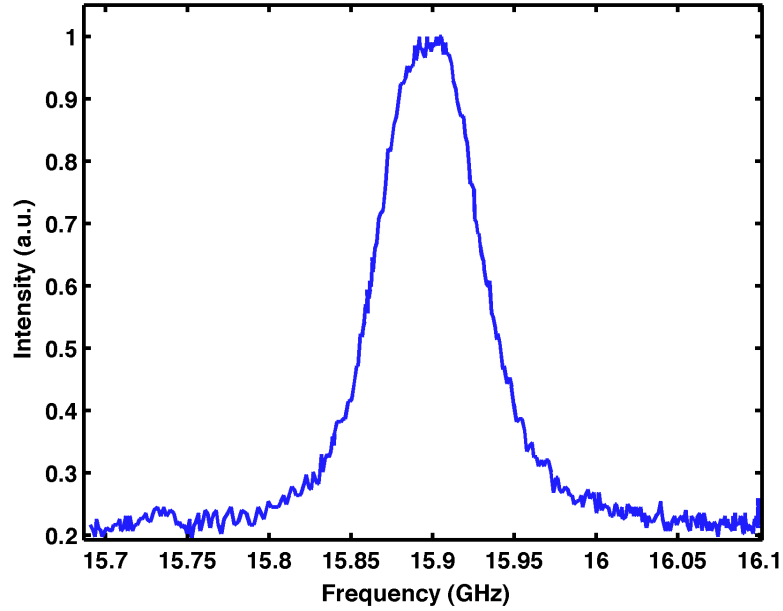


Figure 33: Experimental setup for the pump-probe technique for the Brillouin gain bandwidth measurement.

We scanned a frequency span of approximately 1 GHz with a resolution on the order of several MHz using the setup shown in Figure 33. The intensity of the output probe was monitored using a photodiode (PD 2). A plot of the BGS at a pump power of 400 mW and a probe power of 10 mW is displayed in Figure 34. As shown, the peak output was obtained at approximately 15.9 GHz corresponding to the center Brillouin shift. The FWHM is approximately 78 MHz. At this pump power, the single pass Brillouin gain for 10 m of this type of fiber is on the order of 1, and therefore gain narrowing is negligible [52]; thus 78 MHz is approximately equal to the spontaneous Brillouin gain bandwidth. The 78 MHz value is relatively large for a silica fiber and is

indicative of a lower Brillouin gain, as the acoustic phonon lifetime is proportional to the reciprocal of this value [52].



*Figure 34: Experimental data of the Brillouin gain spectrum in the PM980-XP fiber obtained by conducting a pump-probe experiment. The peak gain occurs at a Brillouin shift of approximately 15.9 GHz and the bandwidth is 78 MHz.*

In order to obtain an estimate of  $g_B$ , we conducted a set of experiments whereby the input probe power was kept at 1 mW throughout the measurements. We varied the pump power and recorded the output Stokes at peak gain (PD2) to generate the plot in Figure 35. The peak gain for each pump power was determined by scanning through the frequency range. This data was fitted to the numerical solutions obtained by solving the coupled system of equations describing the evolution of the signal and Stokes (see Chapter 2). This fit yielded a value for  $g_B$  of  $1.2 \times 10^{-11}$  m/W. Notably, this value is

approximately equal to that reported by Mermelstein for the aluminum-doped fiber and in line with the value used in the simulations above.

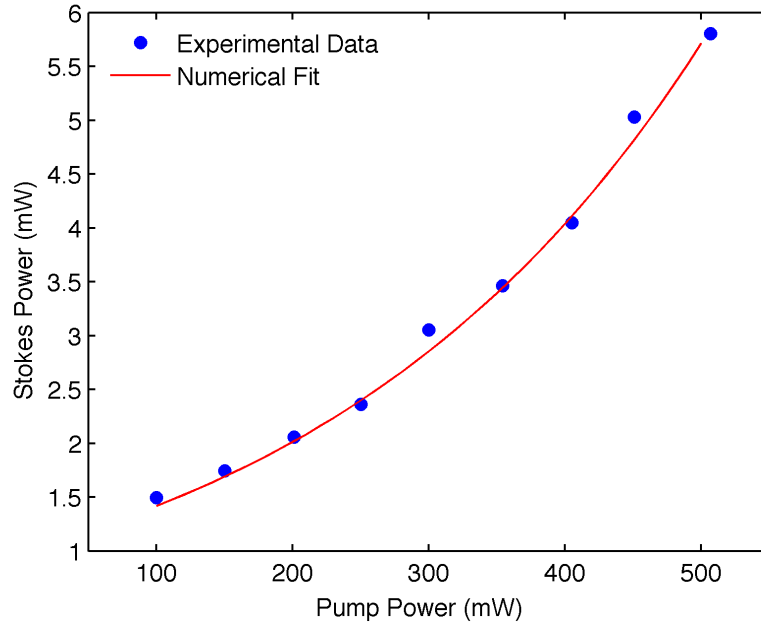


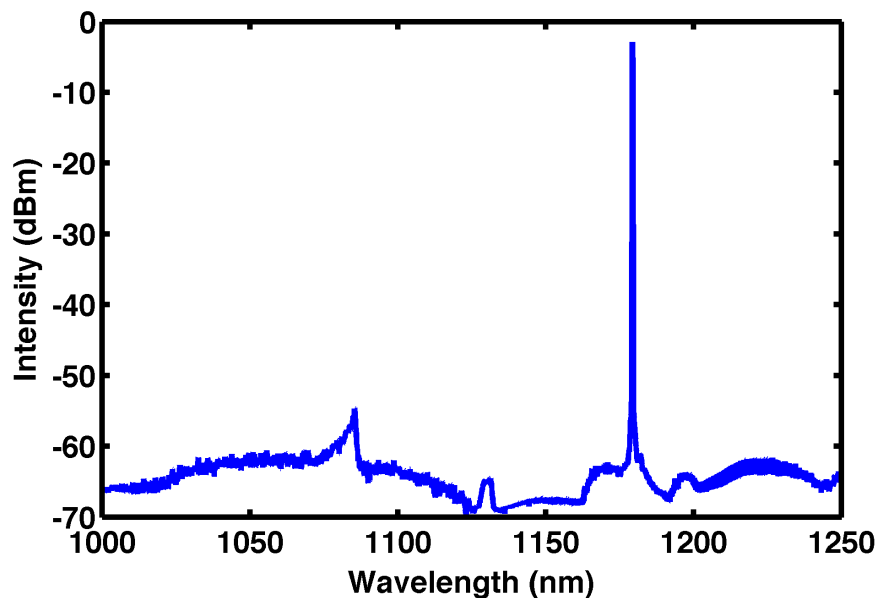
Figure 35: Experimental data and the numerical fit corresponding to the pump-probe study of the Brillouin gain in the PM980-XP fiber. The fit yielded a value for the Brillouin gain coefficient of  $1.2 \times 10^{-11}$  m/W.

#### *Characterization of Seed, Pump, and Wavelength Division Multiplexer (WDM)s*

To construct an RFA, several components were needed: seed at 1178 nm, pump power at 1120 nm, and WDMs to separate and combine signals. Prior to building the RFA, each component was characterized to confirm the manufactures specifications and performance in the experiments.

### *Seed Laser*

In all experiments, a Toptica DFB diode laser operating at 1178 nm as the seed source was used. This fiber coupled diode laser has a nominal linewidth of 2 MHz according to the manufacturers' specifications. The Toptica seed spectral output was investigated using a Yokogawa optical spectrum analyzer; thus confirming no significant spectral components outside of the narrow 1178 nm region existed (see Figure 36).



*Figure 36: Characterization of the Toptica seed laser using an optical spectrum analyzer.*

Since the Raman gain is polarization dependent, it is critical the seed and pump laser maintain a linear polarization state. For the seed laser, the azimuth and ellipticity drift (see Figure 37) was measured as well as the degree of polarization (see Figure 38)



using a Thorlabs polarimeter. The azimuth is the angular deviation from the x-axis while the ellipticity is the calculated angle,  $\eta$ , from the ratio of the semi-minor ( $b$ ) to the semi-major ( $a$ ) axis according to  $\tan \eta = b/a$ .

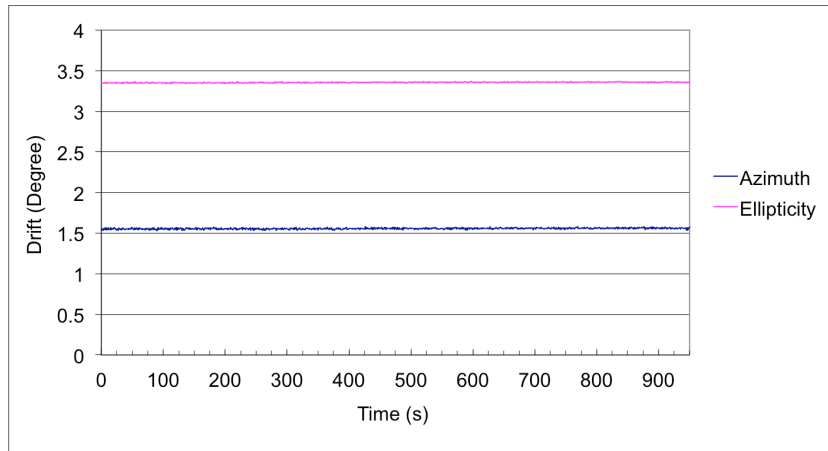


Figure 37: Toptica seed laser polarization characterization for the azimuth and ellipticity at the output from the fiber-coupled diode.

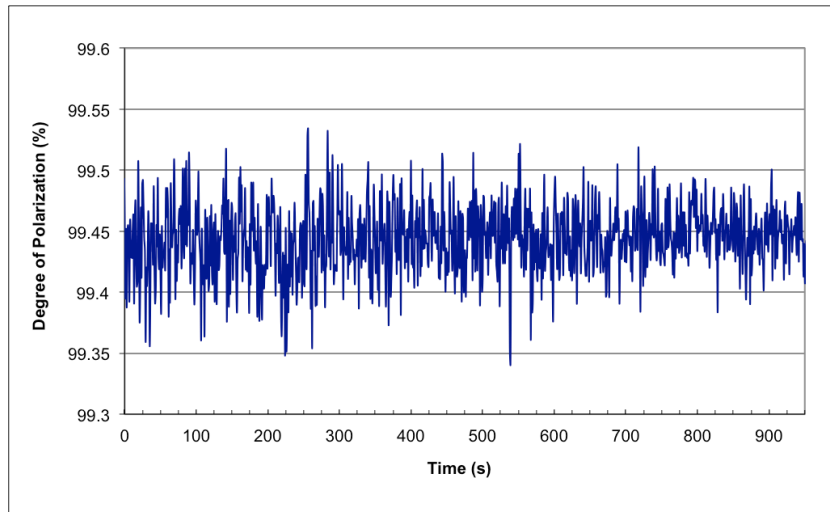


Figure 38: Degree of polarization for the Toptica seed laser.

The degree of polarization can range from 0% to 100% with an accuracy of  $\pm 0.5\%$ . In this case, the Topica seed is linearly polarized at the output of the fiber connector and suitable for single-frequency RFAs.

### *50 W Pump Laser and WDMs*

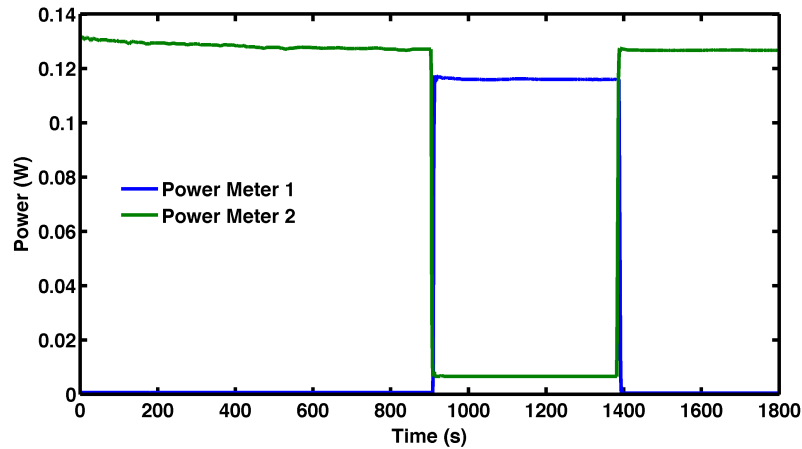
One pump source used in the experiments was an IPG air-cooled Raman fiber laser operating at 1120 nm with a maximum output approaching 55 W. This laser is a linearly polarized oscillator comprised of a passive fiber pumped with an Yb-doped fiber laser operating at approximately 1064 nm. The laser light at 1120 nm is generated through a first-order Raman shift.

As discussed previously for the seed laser, it is also critical that the pump laser is linearly polarized. To confirm the linear polarized output of the pump laser, a polarization cross-talk measurement using Eq. (3.1) was conducted [66].

$$\text{Polarization cross-talk} = 10 \log \left( \frac{P_{\min}}{P_{\max}} \right) \quad (3.1)$$

where  $P_{\min}$  indicates the amount of light coupled into the fast axis of the polarization maintaining fiber, and  $P_{\max}$  indicates the amount of light the slow axis. The IPG laser was brought up to 80% full power and a polarization cross-talk measurement was employed. A beam wedge provided a means to measure low power components for this

measurement. Towards this end, a polarizer and power meters 1 and 2 were used to capture the polarization in each (fast and slow axis) direction. The polarizer was rotated after 15 and 23 minutes of run time to capture the polarization cross-talk at the output (see Figure 39). This measurement yielded a value of  $\sim 28.7$  dB, which corresponds to a well linearly polarized pump source.



*Figure 39: Power data for the 50 W IPG laser. The duration was 30 minutes and indicates there is negligible polarization drift over time. The measurements at power meter 1 and power meter 2 compare the polarization cross talk.*

### *WDM*

In this work, we used several polarization-maintaining WDMs to separate and combine the signals in the amplifier. The power handling capability of commercially available PM WDMs is typically  $<10$  W. Gooch and Housego manufactured a specialty high-power WDM rated for 50 W for this work. In order to drive the component to

powers, in some cases exceeding the manufacturer's recommendations, we mounted and thermally cooled the WDMs to prevent damage.

The 50 W IPG pump laser was used to characterize the WDMs. This was performed by splicing the WDM directly to the delivery fiber of the pump laser where the output ends of the WDM provided one path for 1178 nm light and the other 1120 nm light. Power meters were used to capture the light on each port (available pump power and WDM loss power) as shown in Figure 40.

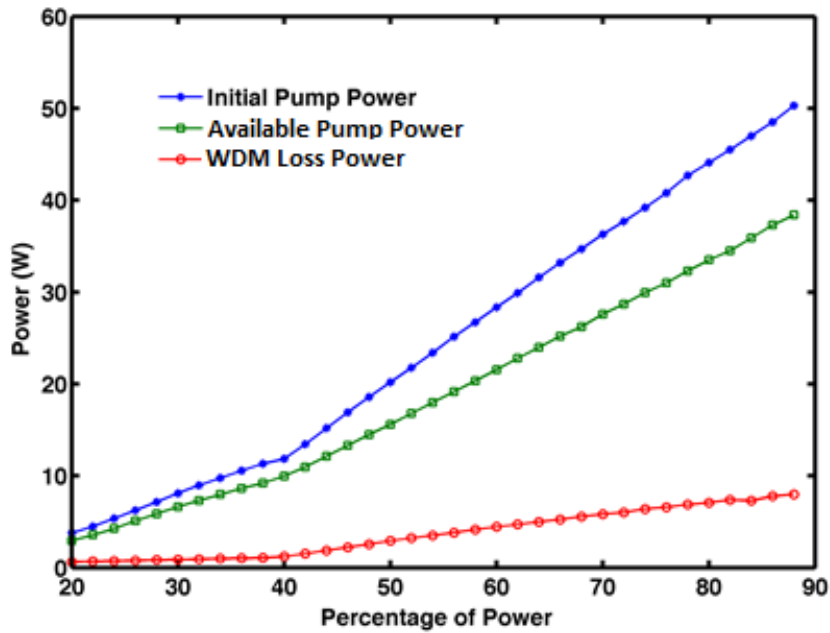


Figure 40: Characterization of the 50 W IPG pump laser indicating initial pump power, available pump power, and WDM loss power.

Figure 40 shows the initial characterization of the output power from the IPG 50 W laser, available pump power, and WDM loss power. The WDM loss power is the

separated 1178 nm signal from the pump laser. It seems a significant amount of power at 1178 nm is present; however, there is some loss in the WDM, whereby 1120 nm light also couples into the 1178 nm leg. Moreover, it was estimated that ~7% of 1178 nm light leaked into the 1120 nm port and vice versa.

Another way to characterize the WDMs is to consider the dB loss, which was easily done using the information in Figure 40; thus the loss in dB (see Figure 41) was calculated. Overall, the WDMs performed fairly consistent (no significant degradation at high pump powers) over the range of the 50 W IPG pump laser.

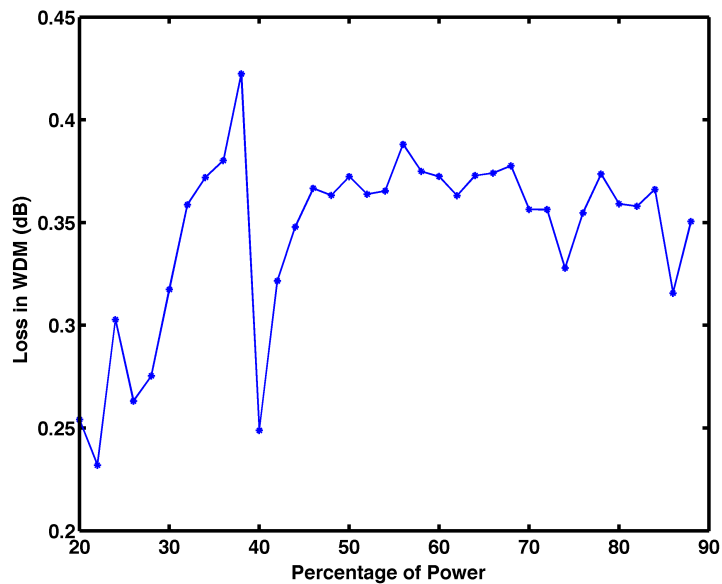
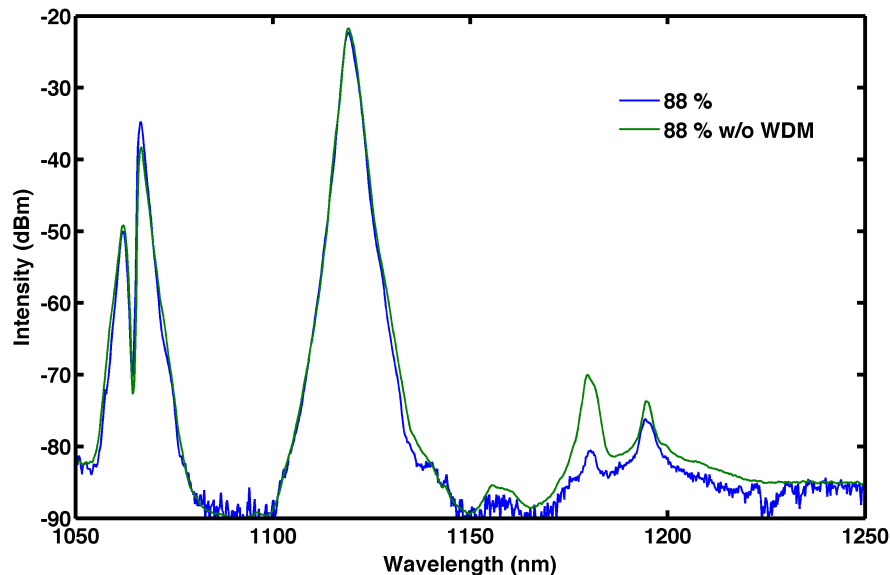


Figure 41: WDM loss in dB as the pump power is increased to 88%.

Although there is some insertion loss at the WDM (see Figure 41), a more important concern was the output of the pump laser would generate a second-order

Raman shift thereby introducing light at 1178 nm into the predominantly 1120 nm output. As such, significant consideration was given to the amount of noise in the vicinity of 1178 nm that was introduced into the amplifier from the Raman fiber pump laser due to the second-order Stokes process. To be certain, the WDM suppressed some of that noise as evidenced by our studies of the spectral content shown in Figure 42. However, we conducted simulations that indicated at low seed powers, significant amplification of the noise could still occur.



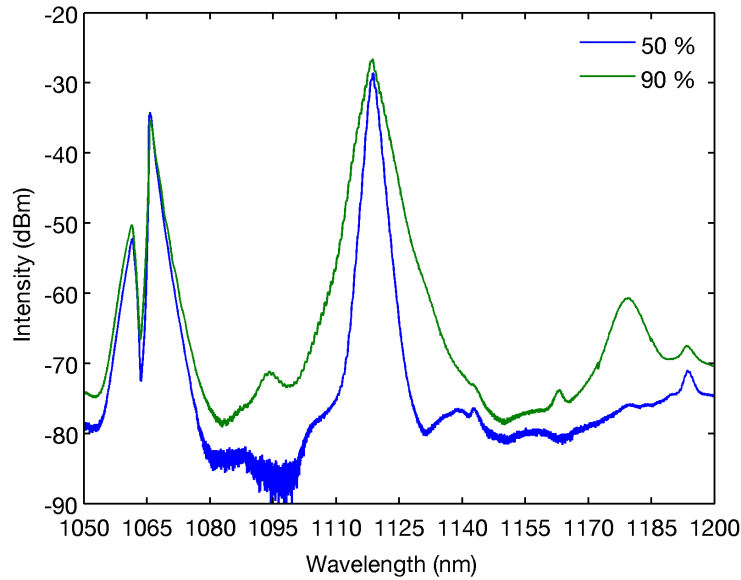
*Figure 42: 50 W IPG spectral content indicating there is second-order Stokes present (green line). The second-order Stokes was suppressed (blue line) using a wavelength division multiplexer (WDM).*

This noise can compete with the seed for Raman gain (co-pumped configuration) as well as act as a seed for the growth of SBS (counter-pumped configuration). To be

certain, WDMs were used to filter out a significant amount of this noise. However, even then, we could clearly observe the second order Stokes (~1180 nm signal) on the OSA as we pumped the RFA without the seed laser.

### *100 W Pump Laser*

The second pump laser used in the experiments was an IPG water-cooled broadband Raman fiber laser centered at approximately 1120 nm with a maximum output of 100 W (similar design to the 50 W IPG laser described above). As discussed previously, a second-order Raman shift would introduce light at 1178 nm into the predominantly 1120 nm output; thus we used a high-resolution optical spectrum analyzer (OSA) to examine the spectral content of this pump source. The spectral content (resolution of 0.1 nm) at 50% and 90% of the maximum output power is shown in Figure 43. The primary peak occurs near 1120 nm. Furthermore, a broadband secondary peak is present near 1178 nm. As expected from a second-order Stokes effect, the relative spectral content near 1178 nm rises with increased output power.



*Figure 43: Spectral content of the IPG 1120 nm output at 50% and 90% of total output power indicating relative rise in 1178 nm light as the output power is increased. The 1178 nm light is due to the second-order*

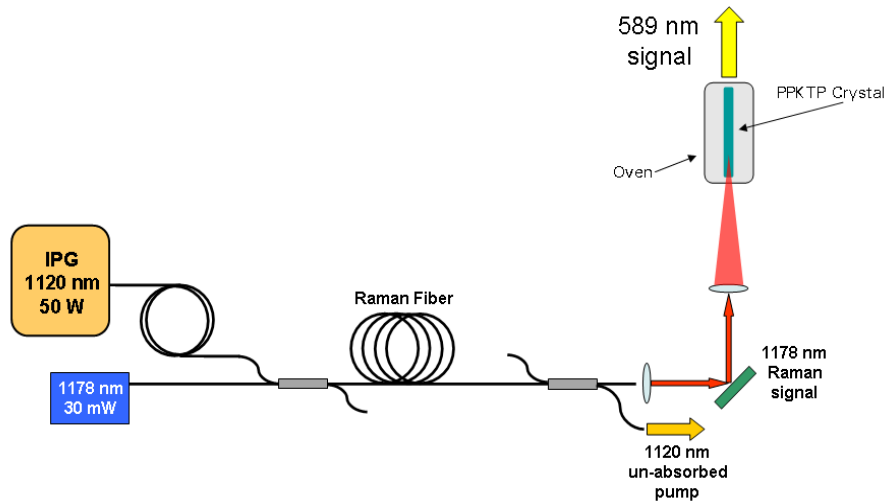
The characterization of the seed laser, pump laser and WDMs provided crucial information in the experimental design. As an initial study, a co-propagating RFA was constructed.

#### *Co-Pumped Single-Stage RFA*

A co-pumped single-stage RFA was initially built. The experimental setup is presented in Figure 44. The coupled seed power at the input of the fiber (after the WDM) was measured at 12.5 mW. The pump source was the 50 W IPG laser described above, and the maximum coupled power from the 1120 nm pump laser was measured at



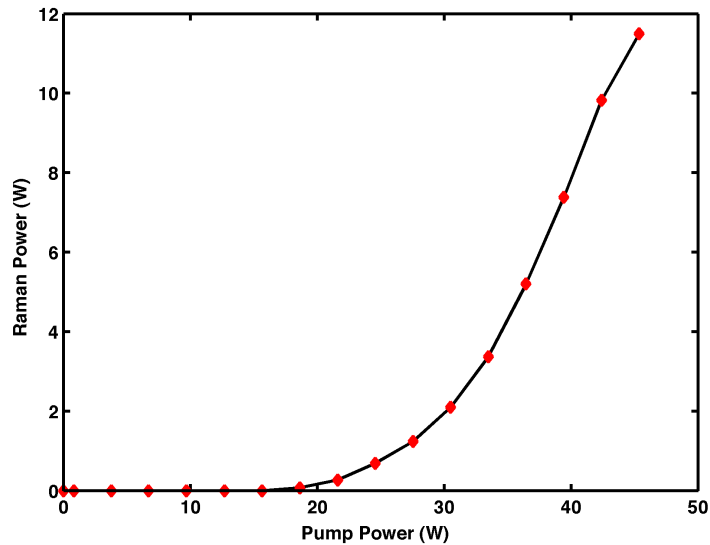
approximately 45 W. The Toptica seed and IPG pump laser were combined using a polarization maintaining WDM and co-propagated in the core of a Nufern single-mode PM980-XP fiber. A second WDM was used in the system to separate the amplified Raman signal and un-absorbed pump at the output end.



*Figure 44: Experimental setup with the co-pumping configuration used in an all monolithic PM design. The output from the amplifier was frequency doubled using a PPKTP single pass crystal to generate 589 nm signal.*

Figure 45 provides a plot of the signal power obtained as a function of launched power. At a maximum launched pump power of approximately 45 W, the signal was measured at 11.5 W. To demonstrate utility of this Raman fiber amplifier in a sodium beacon, the signal power was collimated and focused into a periodically poled potassium titanyl phosphate (PPKTP) single pass crystal in which 589 nm light was generated as

shown in Figure 46. The crystal was purchased from Raicol Crystals and the dimensions were 1×2×30 mm with an AR coating for 1178 nm and 589 nm. In addition, the crystal was heated for tuning the SHG phase matched process. Unfortunately, due to the lack of proper optics, an accurate measurement of the power at 589 nm was not possible. Nevertheless, second-harmonic generation of 589 nm light was successfully demonstrated.



*Figure 45: Initial Raman power generated from the experimental setup as a function of launched pump power.*



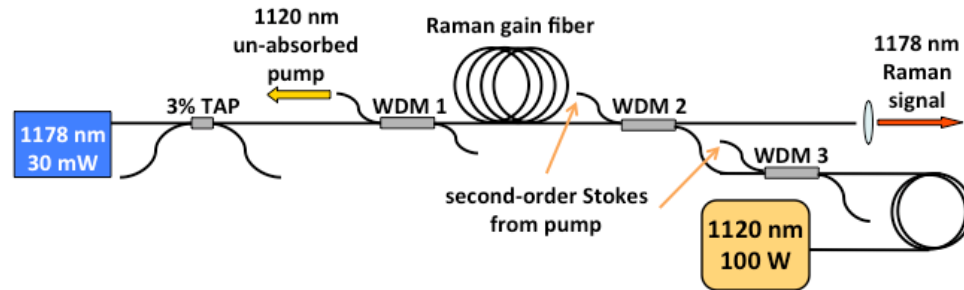
*Figure 46: Picture showing output light from a single pass PPKTP crystal to demonstrate frequency conversion to 589 nm signal.*

Despite these initial results, measurements of the signal linewidth indicated broadening; thus rendering the output unsuitable for frequency doubling in a resonant cavity for guide star systems. More details of the spectral broadening in a co-pumped RFA can be found in Chapter 4. Consequently, an alternative setup was considered to suppress the linewidth broadening issue.

#### *Counter-Pumped Single-Stage RFA*

A counter-pumped configuration was used to address the linewidth broadening noted above. In this experimental set-up (see Figure 47) the same seed laser was used. The pump source was the 1120 nm IPG Raman fiber laser with a maximum output of 100 W. Both lasers were aligned to the slow axis of the fiber and a system of WDMs was

used to combine/separate the 1178 and 1120 nm light. The amplifier output was angle polished.



*Figure 47: Experimental setup of the counter-pumped single-stage RFA. The WDMs were used to combine/separate the different wavelengths. The TAP was used to monitor the forward and backward traveling light and the amplifier output was angle polished.*

As shown in Figure 47, a 3% TAP allowed monitoring of both the forward and backward traveling light at the input end of the RFA. WDM 1 was used to couple the 1178 nm light into the RFA, while sending the counter propagating unabsorbed 1120 nm light out of the system and into a pump dump. The maximum 1178 nm power available to seed the RFA was measured past WDM 1 to be 15 mW. Two WDMs (WDM 2, WDM 3) were used at the output end of the RFA. Broadband second-order Stokes centered around 1178 nm are present in the pump output, and without sufficient suppression, they can compete with the seed for gain in the RFA, leading to a considerable amount of amplification in the backward direction. In addition, it can act as a seed for the growth of SBS. WDMs 2 and 3 greatly reduce the level of this second-order Stokes introduced into

the RFA. The maximum pump power after WDM 2 was measured to be approximately 75 W.

The maximum power that can be obtained from a single-frequency RFA in the vicinity of the SBS threshold depends on several factors. These factors include the Brillouin and Raman gain coefficients of the fiber, the available pump and seed powers, the length of fiber, and mitigation techniques used to suppress SBS. As previously discussed, one technique to suppress SBS is to create different temperature zones along the fiber as the Brillouin resonance frequency is temperature dependent [56].

We subjected three longitudinal segments of a 63 m long fiber to three different temperatures (i.e. a two-step temperature profile). The temperature difference between adjacent segments was  $\sim 40$  °C. This allowed for sufficient separation among the Stokes frequencies so that there was little overlap among the Brillouin gain bandwidths. Since the signal rises rapidly at the output end of an RFA, the lengths were chosen such that the longest segment was at the input end followed by the segment adjacent to it. The process of optimizing the lengths of the fiber segments for maximum SBS suppression could have been tedious. However, it was made easier by following the relationship described in Eq. (2.34). We estimated  $g_R$  for the PM980-XP fiber to be  $6 \times 10^{-14}$  m/W based on our measurement of the signal output as a function of pump power. Using this estimate and the equations provided above, we selected the ratio of lengths to be approximately 10:2:1. Further optimization was achieved by adjusting the ratio of the fiber lengths slightly.

Figure 48 provides plots of the 1178 nm Raman power and the backward power as a function of launched pump power for the two-step temperature case. For comparison, the corresponding plots when a uniform temperature was applied throughout the fiber length are also shown in the figure. The SBS threshold definition is somewhat arbitrary and in the literature there are multiple definitions [67]. Nevertheless, the SBS threshold is characterized by a rapid increase in the backward power. In the comparison of thresholds presented herein, we define the SBS threshold as occurring at the point corresponding to minimal increase in the forward power (<5%) due to any further increase in the pump power. This point corresponded in our experiments to a reflectivity of ~5%. For the two-step temperature case, 10.1 W of 1178 nm was obtained at SBS threshold when pumped with approximately 70 W of 1120 nm light. For the uniform temperature case, the pump power was approximately 58 W, which provided an 1178 nm output of ~3.8 W at SBS threshold.

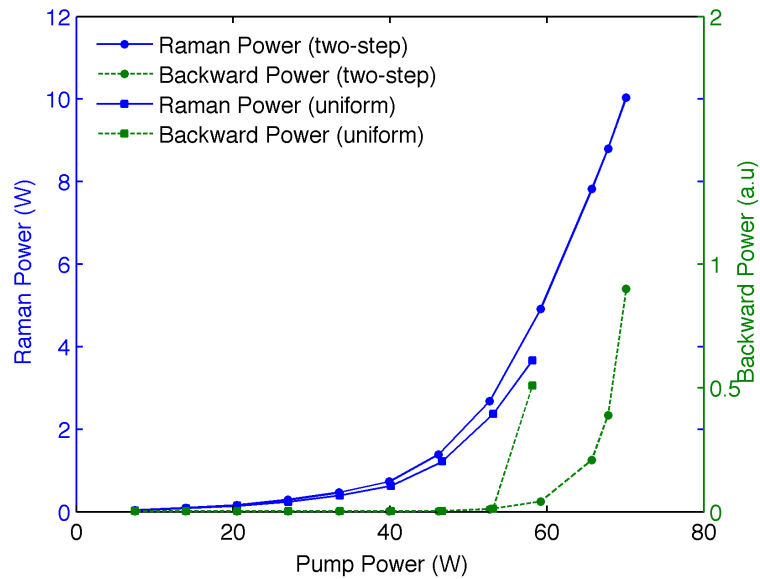
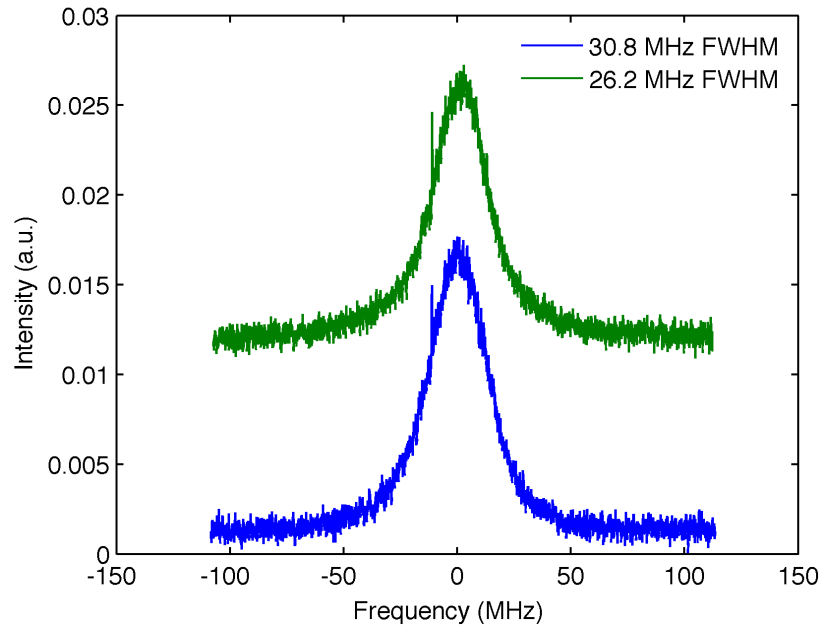


Figure 48: 1178 nm signal and backward power vs. 1120 nm pump power for the Nufern PM980-XP fiber for the cases of a two-step and uniform temperature profiles. The application of thermal gradients led to 2.6x the output power of the uniform temperature case.

The application of a two-step temperature profile provided approximately 2.6 times more power, which is reasonably close to our calculated theoretical limit of 3 from the model in Chapter 2. Still, additional power can be attained through further optimization of the temperature separation and more precise selection of the lengths of the fiber segments. Moreover, further power scaling can be achieved by applying additional temperature steps. However, from a practical point of a view, a maximum of four temperature regions is recommended as the temperature of the fiber used in the experiments should not exceed 120 °C for long term reliability.

A Toptica FPI-100 Fabry-Perot Interferometer (FPI) captured the spectral linewidth of the Stokes light for this case. The etalon is a piezoelectrically scanned confocal Fabry-Perot Interferometer, with finesse >500 and a free spectral range of

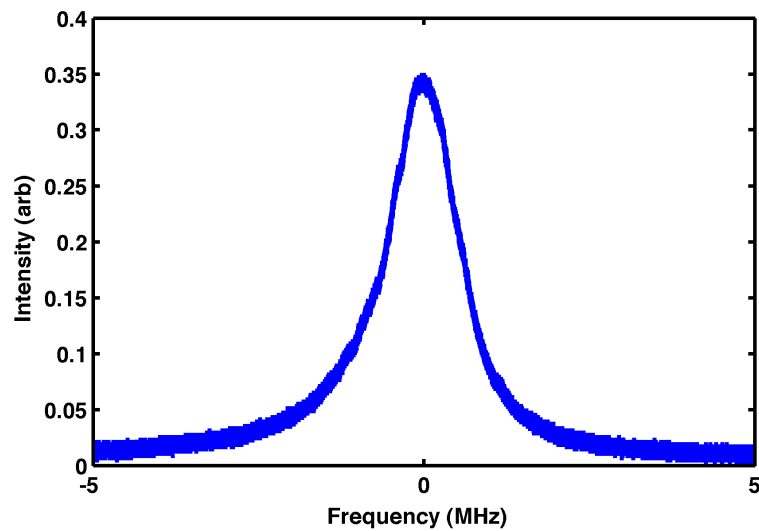
1 GHz. The 2 MHz resolution of the FPI is sufficient to characterize the bandwidth of the Brillouin gain spectrum. Due to SBS gain narrowing [52], the measured linewidth was considerably smaller than the spontaneous Brillouin bandwidth measured using the pump-probe experiment. Furthermore, as shown in Figure 49, the measured bandwidth of the Stokes light decreased from 30.8 MHz to 26.2 MHz as the output power increased from  $\sim 3$  W to  $\sim 3.8$  W.



*Figure 49: Stokes light spectrum as captured by a Fabry-Perot interferometer for two different reflectivities. Due to gain narrowing, the bandwidth is much smaller than the spontaneous Brillouin gain bandwidth. The plot in green is the captured spectrum below SBS threshold at an output power of  $\sim 3$  W, while that in blue was obtained at  $\sim 3.8$  W.*



We also examined the forward power with the FPI. The spectral linewidth of the 1178 nm signal was monitored from initial low power to the highest power at 10.1 W. Unlike the co-pumped configuration, there were no indications of any spectral broadening for the counter-pumped RFA. The spectrum of the signal is shown in Figure 50.



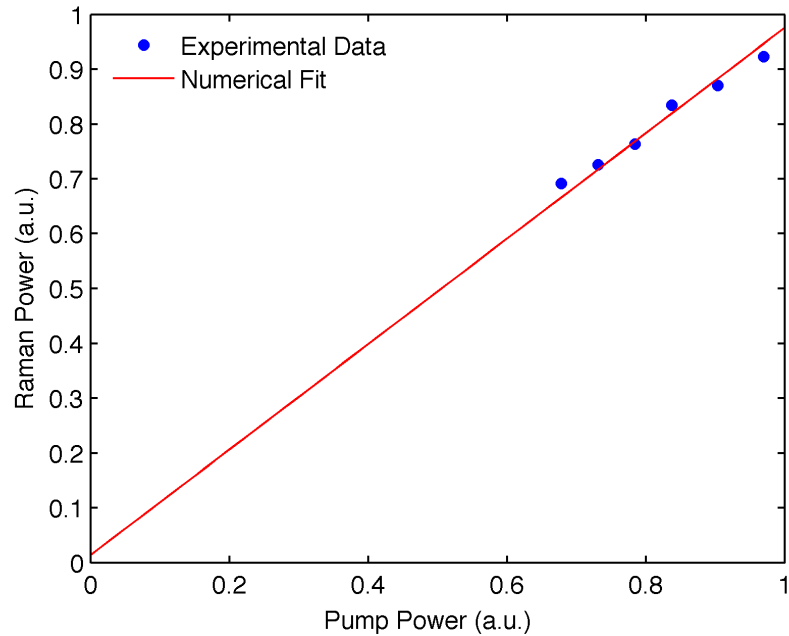
*Figure 50: Spectral linewidth of the 1178 nm light at 10 W output showing it to be within the resolution limit of the interferometer. No spectral broadening was observed for a counter-pumped RFA.*

#### *RFA Scalability with Pump Power*

To further examine the power scalability of this amplifier, we conducted a set of experiments to study the dependence of the output power on the pump power. Both the Brillouin and Raman processes are nonlinear in nature and thus the scalability of single-frequency RFAs in relation to pump power warrants some consideration. It was shown

theoretically that when the fiber length is optimized, the amplifier output scales linearly with available pump power. Optimization of fiber length in this context refers to selecting the fiber length,  $L_{optm}$ , such that all available pump power is utilized at SBS threshold. Thus, for fiber lengths  $< L_{optm}$ , the output power will be pump limited and consequently the signal power will be less than that obtained at  $L_{optm}$ . For fiber lengths  $> L_{optm}$ , the output power is limited by SBS and is also less than that obtained by using a fiber length of  $L_{optm}$ .

We conducted the study by starting with a fiber of length 80 m. The pump power was then increased until the SBS threshold was encountered. Both pump and signal powers were recorded at SBS threshold. We then performed a cutback experiment where the same procedure was repeated for fiber lengths of 75 m, 70 m, 65 m, 60 m, and 55 m. The entire study was conducted without the benefit of a thermal gradient. The simulations and theoretical analysis presented above assumed a seed power that is much smaller than the pump power, which is similar to the experiments since we were seeding with 15 mW throughout the study. The results are shown in Figure 51 where the output signal (1178 nm) power is normalized to the output signal power at a length of 55 m while the pump (1120 nm) power is normalized to the corresponding pump power at 55 m. Also, shown in the figure is the linear fit with a coefficient of determination,  $R^2$ , of  $\sim 0.997$ . It can therefore be inferred that the experimental results are in good agreement with the theoretical prediction discussed in Chapter 2.



*Figure 51: Normalized signal power vs. normalized pump power at SBS threshold for counter-pumped RFA. The fiber lengths used in the studies were varied from a length of 55 m to 80 m in increments of 5 m. The data indicates a linear dependence.*

In summary to Chapter 3, it was shown above that power scaling a single-stage RFA in a co-pumped configuration broadened the amplified signal linewidth, while the counter-pumped scheme maintained single-frequency operation. In addition, a proof of principle experiment successfully demonstrated a frequency doubled signal output that generated 589 nm light. In the counter-pumped RFA, a two-step temperature gradient was used to increase the output power to 10.1 W of single-frequency output. As shown in the simulations presented in Chapter 2, an increase in output power can be achieved by seeding at a higher power; thus providing a motivation for building a two-stage system. Further power scaling can be achieved by utilizing a fiber with a lower Brillouin gain

such as an acoustically tailored fiber. The experimental implementation of a two-stage RFA utilizing an acoustically tailored fiber is discussed in Chapter 4.

## Chapter 4

### Acoustically Tailored Fiber and Two-Stage RFA

#### *Acoustically Tailored Fiber Design*

In addition to investigating the scalability of a COTS fiber, this research explored the scalability of a RFA that utilized an acoustically tailored fiber. As discussed in Chapter 2, the Brillouin gain can be manipulated through the creation of different regions within the core with different acoustic velocities. This can be accomplished through the application of thermal gradients, which was demonstrated to be an effective technique in Chapter 3, or through the application of stress gradients that was demonstrated in the work described in Ref. [45]. Both of these techniques introduce longitudinal acoustic gradients. Alternatively, transverse gradients can be introduced through the manipulations of the dopant concentrations within the fiber core along the transverse direction.

As provided earlier, the Brillouin shift is given by  $(\Omega_B = 4\pi n v_A / \lambda)$ . Both the optical index of refraction and the acoustic velocity can be adjusted through the variation of dopants. However, practical implementation allows only for the variation of the latter. Changes in the optical index are undesirable, as that would change the guiding properties of the fiber.

In addition to the acoustic tailoring to suppress SBS, the fiber was designed for enhanced Raman gain. It is worthwhile to note that the increased Raman gain contributes

to the power scaling of a single-frequency output by allowing for shorter fibers and thus higher SBS thresholds.

The absolute dopant concentrations of this fiber design are proprietary. However, to gain an appreciation of the effect of different dopants, one can begin with the Raman gain. It is known that Raman gain increases with germanium concentration [68], and as such the fiber preform which was fabricated using modified chemical vapor deposition (MCVD), had an elevated level of Ge. The intrinsic Raman gain of GeO<sub>2</sub> doped glass follows a linear relationship with germania concentration [69] [70] [71]:

$$g_{r0}(x_{GeO_2}) = [1 + C' \cdot x_{GeO_2}] \cdot g_{r0}(SiO_2) \quad (4.1)$$

where  $C'$  is a linear interpolation factor between SiO<sub>2</sub> and GeO<sub>2</sub>, and  $x_{GeO_2}$  represents the concentration of germania.

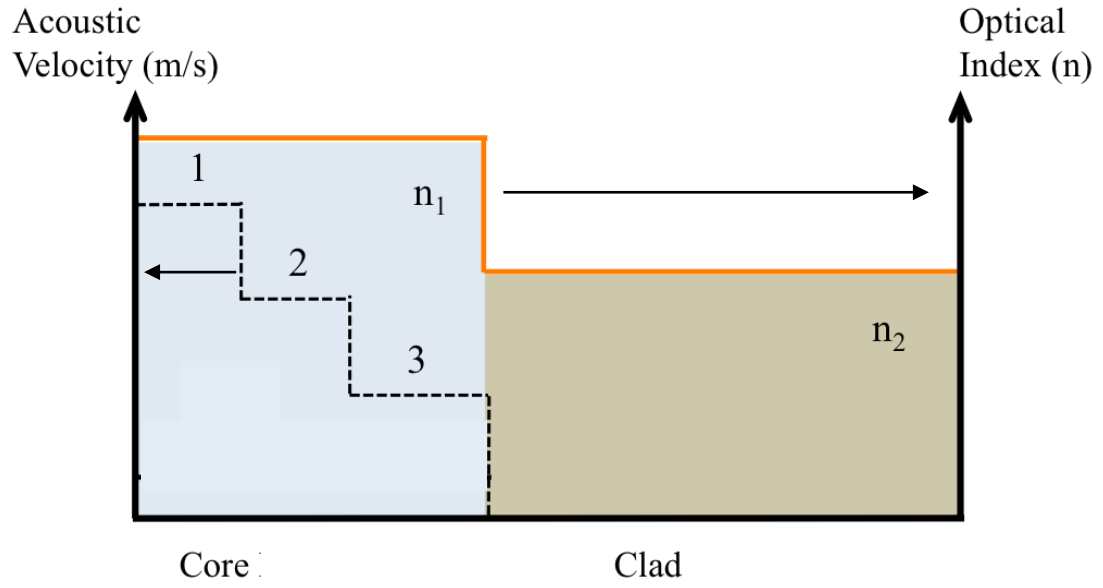
By varying the concentrations of fluorine and aluminum in the preform core, a non-uniform acoustic index of refraction can be achieved along the transverse direction. This has the effect of mitigating SBS as it reduces the acousto-optical interaction responsible for this process. Aluminum increases the optical index and decreases the acoustic index, while fluorine has the reverse effect. Fluorine has a larger effect on the acoustic velocity, leading to an estimated decrease of 214 m/s/wt.%. The optical index is much less sensitive to the dopant concentrations than the acoustic index; making implementation of this approach (of varying the acoustic velocity while maintaining the

optical index homogeneity) practical. Table 1 shows the effect of different dopants on the acoustic and optical indices of silica glass.

Refractive Index	GeO <sub>2</sub>	P <sub>2</sub> O <sub>5</sub>	TiO <sub>2</sub>	B <sub>2</sub> O <sub>3</sub>	F <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>
Optical	↑	↑	↑	↓	↓	↑
Acoustic	↑	↑	↑	↑	↑	↓

*Table 1: Common fused silica fiber dopants and the qualitative effect on the acoustic and optical indices of refraction*

The design called for the generation of three distinct Brillouin gain peaks corresponding to three concentric regions of the core with different acoustic indices. The target dimensions of these regions were chosen such that they provided equal nonlinear effective areas. The acoustic indices in the three regions were separated such that further SBS mitigation through thermal gradients could be accommodated. The dopant concentrations and exact target dimensions are proprietary but Figure 52 provides a notional representation of this design.



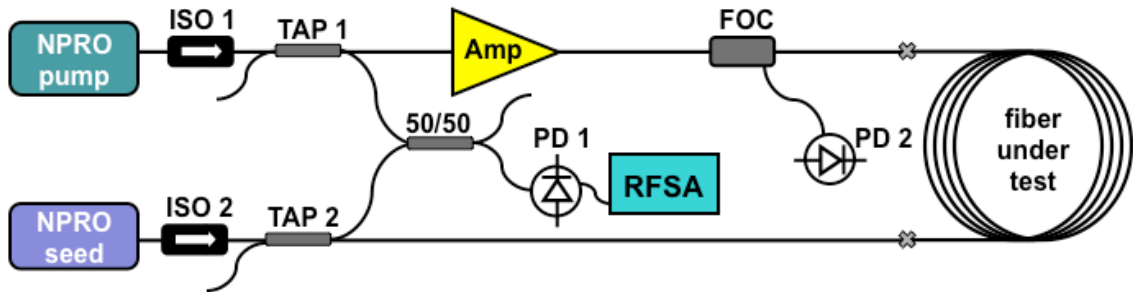
*Figure 52: Notional design of SBS mitigating fiber showing the radial profiles of the acoustic velocity and optical index of refraction.*

In the figure, the orange line shows that the optical index does not vary within the core, however there must be a step index change at the core clad interface to maintain the guiding properties of the fiber. An SBS suppression factor of three could be obtained if the nonlinear effective areas of these regions were approximately equal. In order to accommodate step thermal gradients along the fiber, the target design called for an acoustic step index difference between adjacent regions of  $\sim 0.015$ . Consequently, both the manipulation of dopants and the application of thermal gradients could be used in tandem to increase the SBS threshold [72]. After the preform was fabricated, it was drawn into a fiber with nominal core and cladding diameters of approximately  $6 \mu\text{m}$  and  $125 \mu\text{m}$ , respectively, which are similar to the corresponding dimensions of the PM980-XP.



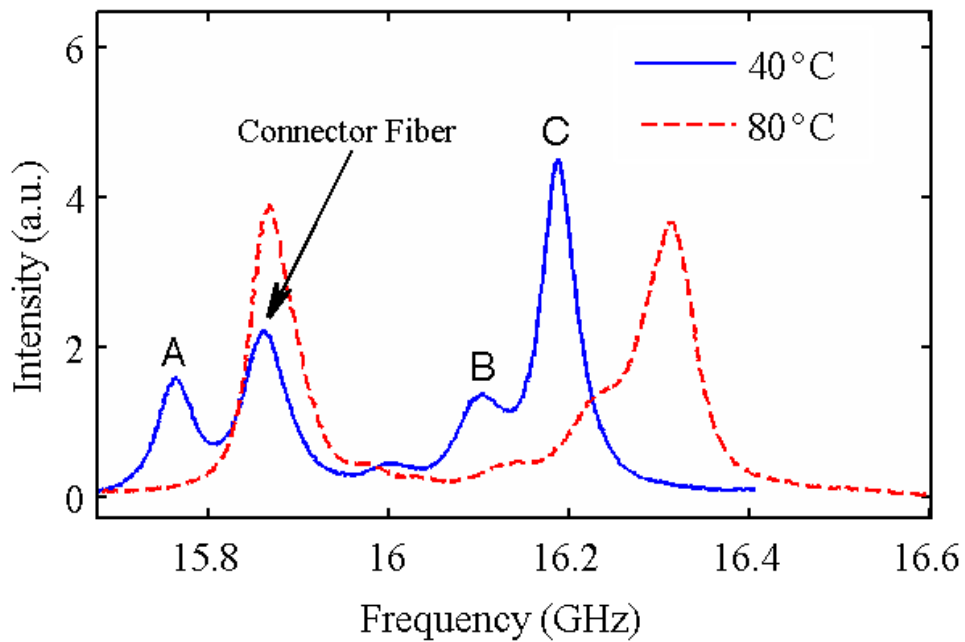
## *Brillouin Gain Spectrum Measurements of Acoustically Tailored Fiber*

The Brillouin gain spectrum (BGS) of the acoustically tailored fiber was investigated at 1064 nm using a slightly modified pump probe technique. The experimental setup is shown in Figure 53. In the previous BGS setup, the fiber was free-space coupled, whereas this setup provided a simple means to splice the fiber under test directly into the setup to remove the requirement of coupling optics. As such, the output of the amplifier was spliced onto the input end of the fiber-optic circulator (FOC), which was subsequently spliced onto the acoustically tailored fiber. The FOC allowed the amplified pump signal to pass while separating the counter-propagating Stokes light to be measured at photodiode 2 (PD 2). Fused fiber tap coupler/splitters (TAP 1 and TAP 2) were used to separate 1% of the signals to be later combined with a 50/50 coupler. Photodiode 1 (PD 1) was used with the RF spectrum analyzer (RFSA) to measure the beat note of the two signals.



*Figure 53: Experimental setup of pump probe experiment. The pump power was amplified up to 1 W by using an amplifier (Amp).*

A fiber length of 10 m was used in this study. The fiber was wrapped around a grooved aluminum mandrel and thermally isolated from ambient conditions. The mandrel was heated from 40 °C to 80 °C. The thermal range allowed for sufficient investigation of the SBS shift as a function of temperature. As shown in Figure 54, at least four Brillouin gain peaks are apparent at 40 °C.



*Figure 54: BGS measurement of the acoustically tailored fiber at 40 °C and 80 °C. There are multiple peaks associated with the fiber as well as one peak due to the connector fiber.*

However, further consideration was taken to account for the FOC connector fiber, which was kept at room temperature throughout the experiment. This connector fiber contributed to the BGS and is identified in the figure as responsible for the Brillouin gain

peak occurring at approximately 15.9 GHz. This can be confirmed by examining the BGS at 80 °C. Peaks A, B, and C are due to the acoustically tailored fiber and shift to the right due to the elevated mandrel temperature. Yet the peak identified with the connector fiber remains at the same Brillouin shift position. The increase in the relative height of the connector fiber peak for the measurements conducted at 80 °C is attributed to the shift of peak A into it. A separate pump probe experiment conducted at room temperature that used the same type of connector fiber throughout the setup further confirmed these conclusions.

As stated above, peaks A, B, and C correspond to the acoustically tailored fiber. Ideally, it is desired that these peaks be of equal height and sufficiently separated in frequency space to accommodate temperature gradients for further SBS suppression. However, due to the small size of the core ( $\sim 6 \mu\text{m}$  diameter), this ideal design was not achieved. Furthermore, at the elevated temperature, peak B, which is in close proximity to peak C, is washed out, leading to a broadened single peak. The Brillouin frequency as a function of temperature depends on the dopant concentrations, which may offer an explanation for the observation [73]. In spite of all of this, the pump probe experiment indicated that the acoustically tailored fiber possessed SBS suppressing characteristics. This was further validated by building a single-frequency RFA and comparing the results to those obtained using a non-acoustically tailored fiber of similar dimensions drawn by the same fiber manufacturer.

### Single-Stage Acoustically Tailored RFA

The experimental setup follows Figure 47 except an acoustically fiber was used as the gain fiber. For comparison purposes, we use the results presented in Chapter 3 of the PM980-XP fiber with uniform temperature profile. The fiber in that study was ~63 m. Figure 55(left) shows the 1178 nm output signal as well as the backward power versus the pump power. At 3.8 W of signal power, corresponding to a pump power of 58 W, there was a drastic increase in the backward power to the extent that almost no additional forward power could be obtained by increasing the pump power further. Therefore, we operated at the SBS threshold. By fitting the signal power vs. pump power to a counter-propagating RFA numerical model (Figure 55), we estimated the Raman gain coefficient,  $g_R$ , to be  $\sim 6 \times 10^{-14}$  m/W. The effect of SBS was not included in this ‘fit’ as it would have minimal impact on estimating  $g_R$ .

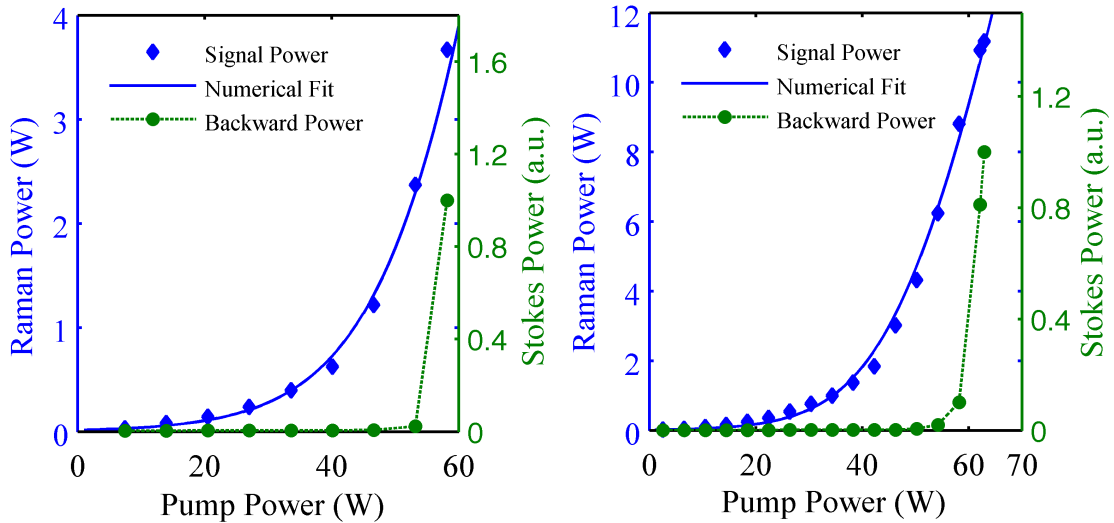


Figure 55: (left) 1178 nm signal and backward power vs. 1120 nm pump power for the Nufern PM980-XP fiber, and (right) similar plots for the acoustically tailored fiber

We used the same length of fiber in the investigations of the acoustically tailored RFA. Figure 55(right) shows the 1178 nm output signal as well as the backward power versus the pump power. By comparing the output signal power for the two types of fiber at comparable pump powers, it is apparent that the acoustically tailored fiber possesses an enhanced Raman gain as targeted by this novel fiber design. We estimated the Raman gain coefficient for this fiber to be  $\sim 8 \times 10^{-14}$  m/W, approximately 30% higher than that of the PM980-XP fiber. Furthermore, the drastic increase in the backward power occurred at much higher signal power. At 63 W of pump power, 11.2 W of cw 1178 nm signal light was obtained. The increase of pump power beyond this point provided a very minimal increase in the signal. Therefore, this fiber provided three times the single-frequency output as compared to the PM980-XP.

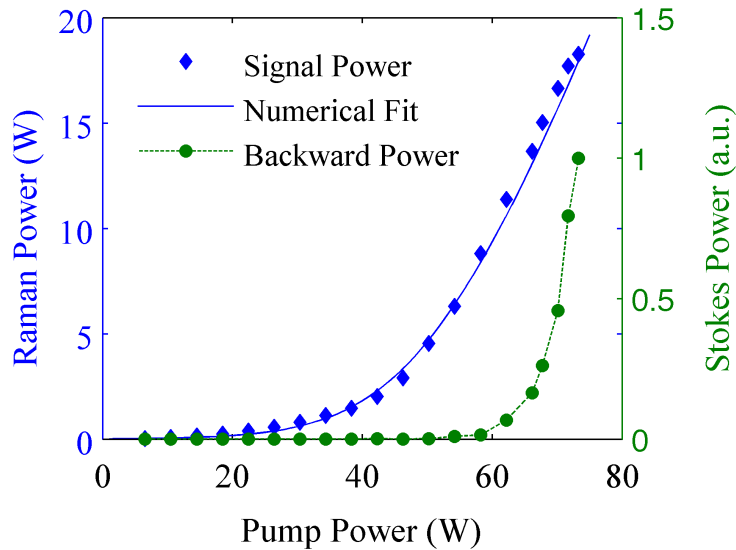
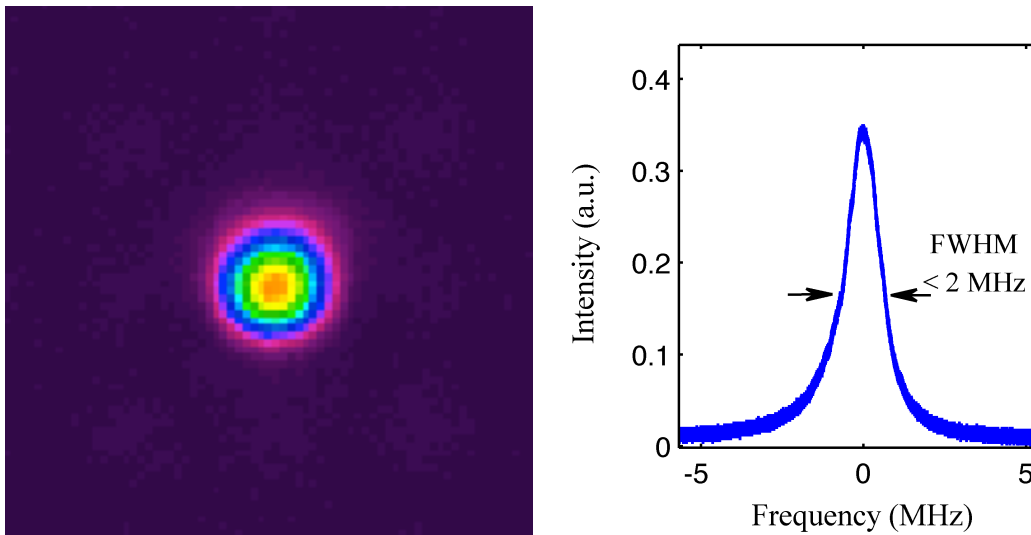


Figure 56: Single-frequency signal power vs. launched pump power for the acoustically tailored RFA utilizing a thermal gradient.

For each RFA, decreasing the fiber length can reduce the effective SBS gain. This would allow for an increased pump power at SBS threshold. The overall effect of decreasing the fiber length and increasing the pump power allows for further power scaling as discussed in Chapter 2. At SBS threshold, the output signal scales linearly with pump power. Based on this, we projected the maximum achievable power at 75 W of pump power to be 4.9 W and 13.3 W for the PM980-XP fiber and the acoustically tailored fiber, respectively. Alternatively, to push the limits of the power scaling, we kept the length of the acoustically tailored RFA the same and applied a temperature step increase of at least 45 °C to the segment of the fiber lying at the output end of the 1178 nm signal. As discussed in Chapter 2, due to the fast rise of the signal at the output end, effective SBS suppression was achieved when the length of the fiber segment at the input end was chosen to be considerably longer ( $\sim 6\times$ ) than that at the output end. As shown in Figure 56, the power output was 18.3 W, which was obtained at the maximum available pump power of 75 W.

A measurement of the amplifier output beam quality was accomplished using a Spiricon  $M^2$ -200s instrument. Since the acoustically tailored fiber is a specialty fiber, confirmation of beam quality is important for efficient second harmonic generation into near diffraction-limited 589 nm light for sodium guide star applications. The output of the amplifier was sampled using a 4% uncoated glass wedge and two aluminum mirrors for beam alignment into the  $M^2$  instrument. The beam quality was measured to be near the diffraction limit with a beam waist of 7  $\mu\text{m}$ , which was similar to that of the PM980-XP fiber. The beam profile is shown in Figure 57(left).



*Figure 57: Beam profile of the (left) acoustically tailored fiber and (right) spectral linewidth of the 1178 nm light at 18W output, showing it to be within the resolution limit of the interferometer.*

To verify that the output power at 18 W was single frequency, we measured the spectral linewidth of the 1178 nm signal using a Toptica FPI-100 Fabry-Perot interferometer. An important consideration was whether the signal was broadened due to nonlinear processes such as four-wave mixing. The spectrum of the signal at the 18 W power level is displayed in Figure 57(right). The FWHM was measured to be 2 MHz, which is the resolution limit of the FPI-100; thus confirming single-frequency operation.

#### *Counter-Pumped Two-Stage RFA*

The experimental set-up for the counter-pumped two-stage RFA is shown in Figure 58. The 50 W 1120 nm laser from IPG was used to counter-pump the first stage.

The 100 W laser was used to pump the second stage. For the first stage, 80 m of the Raman gain enhanced acoustically tailored fiber was used for amplification. Both lasers were aligned to the slow axis of the fiber. The first stage RFA allowed us to generate >4 W. The same type of fiber was utilized in the second stage. A fiber-coupled isolator at 1178 nm was inserted between the two stages. This isolator was rated for a total power handling capability of 3 W, and consequently we kept the maximum seed power originating from the first stage at ~2.7 W. We estimated the insertion loss of the isolator to be 1.4 dB. Two WDMs (WDM 4, WDM 5) were inserted between the second stage fiber and the isolator in order to manage the unabsorbed pump and to ensure that the isolator is not damaged. Our measurements indicated that ~1.8 W of 1178 nm was available to be coupled into the second stage after passing through the isolator and WDMs. The WDMs used to couple the pump light into the second stage were an improved version of the WDMs used in Chapter 3. As a result, they possessed lower insertion loss leading to a maximum of ~82 W of 1120 nm power coupled into the RFA.

According to the simulations presented in Chapter 2, the fiber length at seeding powers of >500 mW is <30 m. Consequently, we chose a fiber length of approximately 25 m. For all seed powers, there were no signs of spectral broadening for the two-stage counter-pumped RFA. At a seed power of 100 mW, we were pump-limited with an output signal power of 7 W. As expected, when the seed power was increased, the output power increased. At 500 mW of seed power, approximately 18 W of 1178 nm power was obtained. Still, in this case, we were operating below the SBS threshold (i.e. the output power was pump limited).



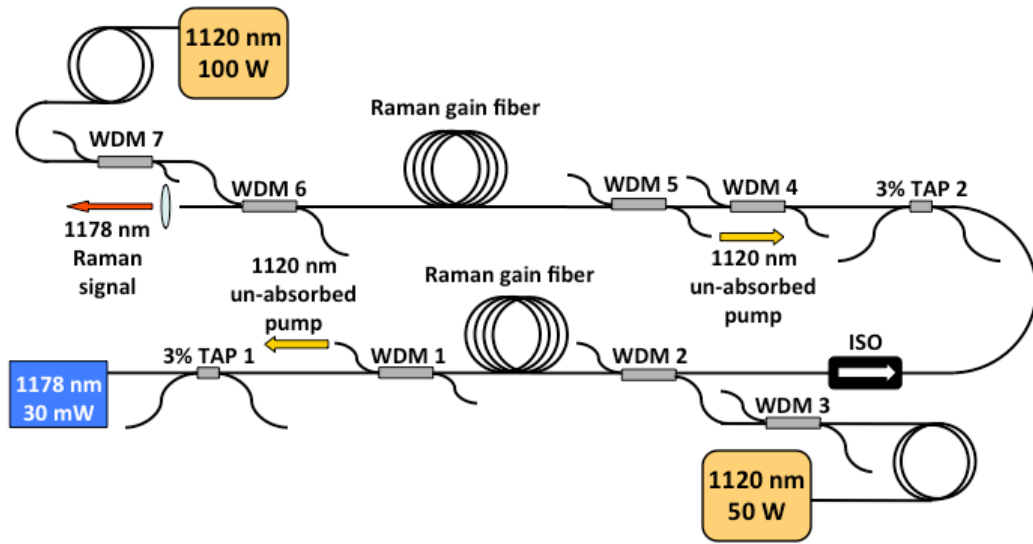


Figure 58: Experimental setup of two-stage counter-pumped RFA. The first stage and second stage are comprised of acoustically tailored fiber. A 3 W isolator (ISO) is inserted between the amplifier stages to protect against backward travelling light.

We found that the output power was near maximum when the second stage amplifier was seeded with 900 mW; which was slightly higher seed power than what the simulations indicate for a fiber of length 25 m. At this seed power, we operated at SBS threshold while utilizing a little less than the maximum available pump power. The measured 1178 nm output power was 22.2 W. This output power was also slightly higher than what was obtained from the simulations, but well within margins of experimental error and estimates of the Brillouin and Raman gain coefficients. Increasing the seed power beyond this point actually led to a decrease in the output power as the SBS threshold was encountered at lower pump powers. At a seed power of 1200 mW, the

output power was 18.5 W and was SBS limited. The plots for signal power vs. pump power for the different seed levels discussed above are shown in Figure 59.

Further power scaling can be achieved by reducing the length of the second-stage amplifier to an optimal value. This optimal length would provide for utilization of the maximum available seed power ( $\sim 1.8$  W) at the maximum available pump power. The simulations presented previously in Figure 30 indicate at this seed power, we would gain a small enhancement of  $\sim 2$  W over the 22.2 W obtained in the experiment. The 22.2 W output power represents approximately a 2x improvement over the 11.2 W power obtained from a single-stage acoustically tailored RFA. Both results were obtained without the benefit of the thermal gradient. As shown above, the power for the single-stage amplifier was increased to 18.3 W by the application of a one-step thermal gradient.

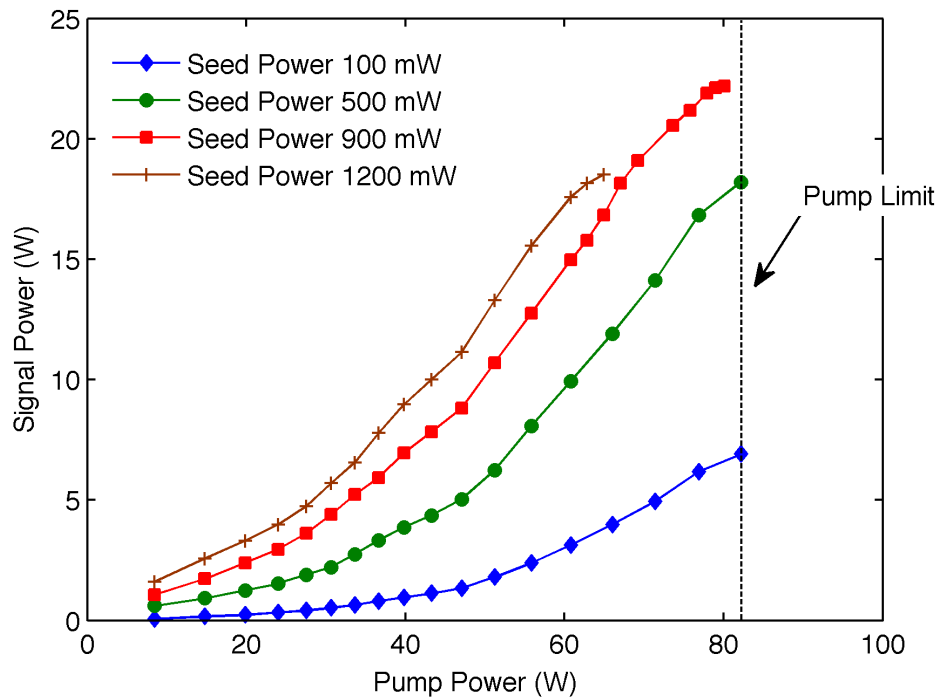


Figure 59: 1178 nm output power vs. 1120 nm pump power for several seed powers. The length of the RFA was ~25 m. For seed powers of 100 mW and 500 mW, the output is pump limited; however, the output for 900 mW and 1200 mW was SBS limited.

### Co-Pumped Two-Stage RFA

As discussed in Chapter 3, the co-pumped single-stage RFA was ill suited for providing a single-frequency output. It is worthwhile investigating if this problem persists when the seed power is increased. Towards this end, we built a two-stage experimental set-up for the co-pumped RFA (see Figure 60). The first stage is identical to the counter-pumped configuration. However, the second stage is co-pumped with the 100 W 1120 nm laser. Two WDMs (WDM 4, WDM 5) were inserted after the pump to reduce the 1178 nm noise introduced by the pump as shown in Figure 43. Similar to the counter-

pumped setup, both lasers were aligned to the slow axis of the fiber, and the second stage utilized 25 m of the Raman enhanced acoustically tailored fiber with a WDM 6 at the output to separate the unabsorbed pump from the Raman amplified signal.

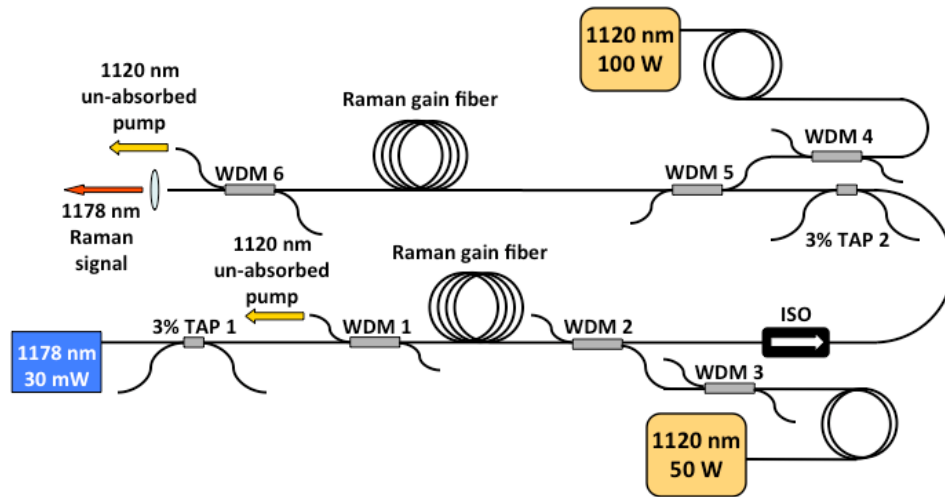
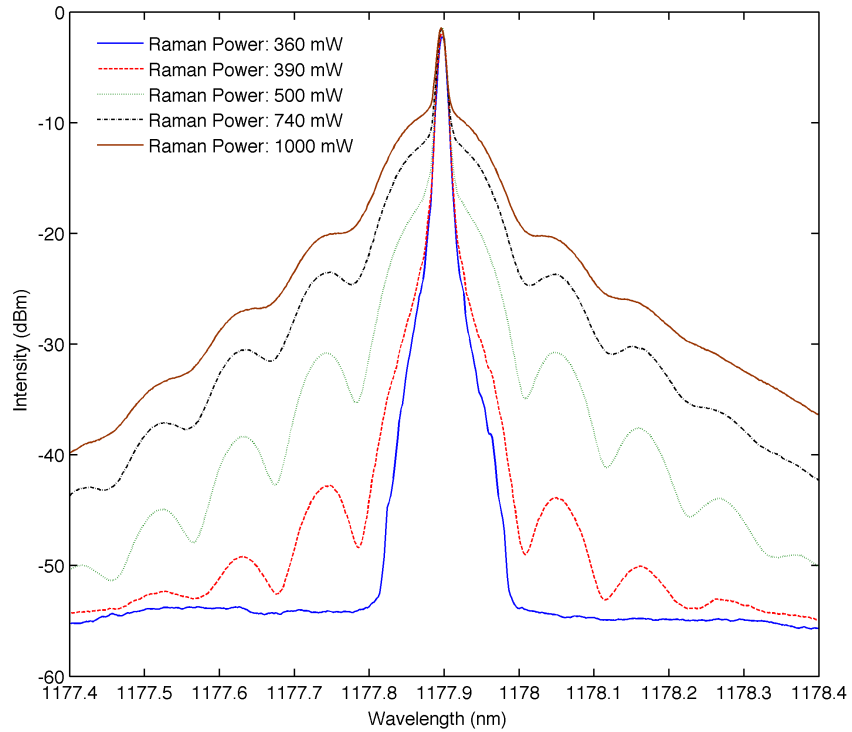


Figure 60: Experimental setup of co-pumped second stage RFA. It is seeded through a counter-pumped RFA. Both stages are comprised of acoustically tailored fiber. A 3 W isolator (ISO) is inserted between the amplifier stages to protect against backward travelling light.

Our setup in Figure 44, where a co-propagating single-stage RFA is pumped with an 1120 nm Raman fiber laser, has revealed considerable broadening of the 1178 nm signal. In those experiments, a maximum seed power of 15 mW was available to us. The broadening was observed at fairly low pump powers ( $< 20$  W). As the pump power was increased, further broadening occurred. At 500 mW of output power, the spectral FWHM of the 1178 nm signal has broadened to 0.1 nm as observed on a high resolution OSA. It is not definitive to us the exact mechanism responsible for this effect. One possible

source for this effect is the broadband 1178 nm noise introduced by the 1120 nm pump. The characterization of the spectral content of the pump, which is presented above, revealed parasitic lasing in the proximity of 1178 nm due to second-order Stokes process (see Figure 43). This broadband noise can potentially interact through four-wave mixing (FWM) with the amplified 1178 nm seed signal; leading to further spectral broadening. Even in a counter-pumped configuration, this parasitic noise is undesirable as it can potentially seed the SBS process. Exploring this point further in a co-pumped RFA, we investigated the spectral content of the signal using higher seed powers and shorter fiber lengths to suppress the spectral broadening.

A shorter fiber may lead to a reduction in FWM. However, our studies revealed that spectral broadening still occurred. Figure 61 shows the results for a case in which the second stage RFA was seeded with 360 mW. The various spectra correspond to different output powers at 1178 nm and clearly indicate spectral broadening with increased pump power. Based on the secondary spectral peaks, one may infer a FWM process is occurring in the RFA. Further increase in the seed power had a marginal effect on mitigating the spectral broadening; in all cases the FWHM approached 0.1 nm when the signal output was of the order of a few watts. Therefore, at least for our experiments, this rendered co-pumping as unsuitable for generating 1178 nm for a guide star application.



*Figure 61: Spectral content near 1178 nm as captured on a high-resolution optical spectrum analyzer indicating spectral broadening as the pump power was increased in a co-pumped second stage RFA.*

To conclude this topic, further investigations of the spectral broadening in a co-pumped RFA are warranted. While FWM has been investigated extensively in Raman fiber lasers [53], to the best of our knowledge limited information has been reported when considering RFAs seeded with single-frequency sources. Finally, there are several techniques that can potentially alleviate the problem of introducing into the system unwanted 1178 nm light from the pump end. These techniques include the use of various fiber designs to filter out the unwanted light or the use of Yb-doped 1120 nm fiber lasers as pump sources.

### *Two-line RFA via Phase Modulation*

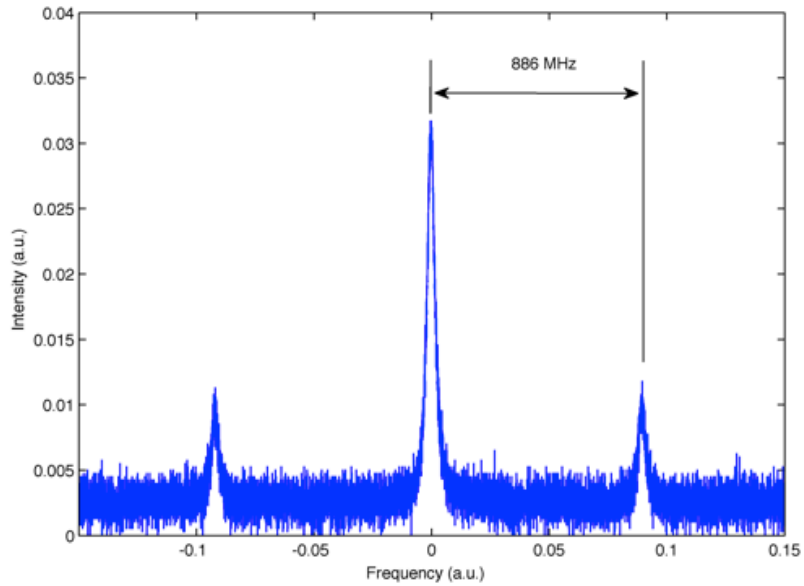
In Chapter 2, we theoretically investigated the feasibility of generating a two-line RFA within a single fiber using two seeds; however, FWM effects proved this concept a challenge. Alternatively, a phase modulator could be used to generate the required wavelengths of interest.

In terms of guide star systems, improved performance has been demonstrated by simultaneously illuminating the two sodium lines,  $D_{2a}$  and  $D_{2b}$ . These lines are the result of the 1.772 GHz hyperfine splitting of the  $3S_{1/2}$  ground state of sodium. Consequently, we investigated the possibility of generating the  $D_{2a}$  and  $D_{2b}$  lines via phase modulation of the Raman amplifier. Through theoretical and experimental studies, we explored SBS in optical fibers seeded with phase-modulated light [74]. Here, by phase modulating the 1178 nm RFA at the appropriate frequency and modulation depth, we can obtain two-line performance.

As a preliminary demonstration, we used the counter-pumped two-stage amplifier (see Figure 58). A phase modulator was placed before the second stage in between WDM2 and the isolator. Due to the power handling capability of the modulator, we seeded with 60 mW of power. Theoretical analysis suggests that the ratio of the power at the  $D_{2a}$  line should be approximately 10 times that of the  $D_{2b}$  line [75]. Since the second harmonic power is proportional to the square of the fundamental wavelength power, the output power of the two signals in the 1178 nm Raman amplifier should be approximately 3:1. The desired power ratio and separation between the two lines at

589 nm (1.772 GHz) can be attained through sinusoidal phase modulation at a frequency of 886 MHz and modulation depth of 1 in the RFA. Notably, a sinusoidal modulation depth of 1 generates a carrier to first harmonic sideband ratio of approximately 3:1, with negligible higher order harmonics (<1%). A plot of the phase modulated 1178 nm output signal at ~5.2 W is shown in Figure 62. The spectral lines exhibit the desired 886 MHz separation with approximate 3:1 ratio and no spectral broadening or FWM observed. We note here that sinusoidal phase modulation produces double sidebands at +/- the modulation frequency as shown by the three lines in Figure 62. However, direct two-line modulation can be obtained through a single sideband (SSB) modulator or frequency shifter, which suppresses the double sideband [76]. Although at the time of this experiment we were limited to double sideband modulators, electro-optic SSB modulators are commercially available. After generating the two lines in the RFA, one can efficiently generate the  $D_{2a}$  and  $D_{2b}$  lines in a SHG cavity by designing it to have a length such that its free spectral length corresponds to the separation between the two lines.





*Figure 62: Amplified signal at  $\sim 5.2$  W of 1178 nm output demonstrating generation of multiple spectral lines through phase modulation. The separation between adjacent sidebands is 886 MHz, which corresponds after frequency doubling to the separation between the  $D_{2a}$  and  $D_{2b}$  energy levels for sodium (Na).*

In summary, we have utilized an acoustically tailored single-stage RFA to demonstrate 11.2 W of single-frequency output, which was increased to 18.3 W through the application of a thermal gradient. Both co-pumped and counter-pumped configurations were considered in a two-stage RFA system. For the former, spectral broadening was observed; rendering the output unsuitable for frequency doubling in a resonant SHG cavity. No spectral broadening was observed for the latter configuration, which provided as much as 22.2 W of single-frequency output.

*Summary of Results*

A detailed core-pumped single-frequency Raman amplifier model was developed and used to study the scalability of generating 1178 nm for use in 589 nm sodium guide star applications through frequency doubling. The model entails the numerical solution of a system of nonlinear differential equations with boundary conditions imposed on the input and output ends of the RFA. The SBS process is initiated from distributed spontaneous Brillouin and Raman processes. The model allowed for the investigation of the trade space involving pump and seed powers, pumping configurations, fiber length and area as well as implementation of SBS mitigation through longitudinal manipulation of the acoustic velocity. For the simulations, thermal gradients were considered to create the longitudinally varying acoustic velocity, although the model is also applicable to stress gradients. It was shown for the uniform temperature profile and the multi-step temperature profile that when the fiber length is optimized, the amplifier output scales linearly with available pump power. In order to mitigate the SBS process for further power scaling, an optimized multi-step temperature distribution was utilized. Finally, in the model, the feasibility of generating a two-line Raman amplifier system for use in a sodium guide star beacon from a single Raman amplifier by examining four-wave mixing (FWM) was considered.

An investigation of core-pumped single-stage and two-stage polarization maintaining Raman fiber amplifiers was conducted. Both co-pumped and counter-pumped configurations were considered. For the former, spectral broadening was observed thus rendering the output unsuitable for frequency doubling in a resonant SHG cavity. No spectral broadening was observed for the latter configuration. For a counter-pumped single-stage Raman fiber amplifier, COTS fiber was used to generate  $\sim 10$  W through the use of externally applied temperature steps to suppress SBS. In addition, a fiber cutback experiment revealed a linear dependence at SBS threshold on pump power in accordance with the theoretical predictions in Chapter 2.

Utilizing a specialty fiber, a demonstration of a single-stage PM RFA with an 18.3 W output power and a linewidth  $\leq 2$  MHz was achieved. For the 15 mW seed power used in these experiments, this represented a net amplifier gain exceeding 30 dB. The power scaling was achieved by employing an acoustically tailored single-mode fiber with enhanced Raman gain. Numerical simulations using the single-frequency Raman fiber amplifier model (Chapter 2) indicate further power scaling can be achieved by constructing a pre-amplifier stage capable of generating seed power at the 1 W level. Thus, further power scaling to 22.2 W was achieved by using acoustically tailored fiber in a two-stage RFA system (Chapter 4). Finally, preliminary results were shown on generating multiple spectral lines in the RFA through phase modulation in order to explore the feasibility of generating (after frequency doubling) the sodium  $D_{2a}$  and  $D_{2b}$  lines [77].

### *Suggested Future Work*

Certainly the linewidth broadening in the co-pumped configuration warrants further investigation. Optimized application of thermal gradients in a two-stage acoustically tailored amplifier with increased pump power can lead to further power scaling. The acoustically tailored fiber has room for improvement in design and fabrication. If successful, this approach could remove the reliability concerns and difficulty of implementation with the application of many stress steps. It is worthwhile to extend this work to other wavelengths to provide compact pump sources for nonlinear cavities leading to multi-watt tunable outputs in the 575–725 nm region.

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