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# Foreword

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## FOREWORD

The *North Carolina Banking Institute (NCBI)* is a student-edited journal that contains Articles written by professionals in the banking industry and Notes and Comments written by law students at the University of North Carolina School of Law. The goal of the *NCBI* is to provide insight into the most practical and cutting edge issues facing attorneys, judges, policymakers, and scholars within the banking and finance industry. This volume explores important and current issues in financial regulation and provides comprehensive analysis of recent developments, including the modernization of North Carolina's banking laws.

Volume 17 is published in conjunction with the seventeenth annual University of North Carolina School of Law Banking Institute, held March 21-22, 2013. The Banking Institute is one of the nation's premier programs for banking attorneys and industry professionals. Hosted in the spring of each year in Charlotte, North Carolina, it is sponsored by the Center for Banking and Finance at the University of North Carolina School of Law.

The *NCBI* editors and staff members would like to thank the attorneys who participated in *NCBI's* fourth annual Career Trek. In an effort to learn more about legal careers in the financial services industry, *NCBI* students traveled to Winston-Salem, met with in-house attorneys from BB&T, were hosted by Womble Carlyle Sandridge & Rice for lunch and continued discussions of legal career paths, and toured the Piedmont Triad Research Park. The editors and staff members especially thank Bob Johnson from BB&T and Chris Leon from Womble Carlyle for their gracious hospitality.

The third annual *NCBI* Career Luncheon took place at the University of North Carolina School of Law on August 21, 2012. The *NCBI* invited attorneys from the Triangle area to discuss their careers with *NCBI* members over lunch. Many thanks to Camden Betz, K&L Gates LLP; Debra Eidson, Self-Help; Phillip Kennedy, Xerium Technologies, Inc.; Matt McGuire, Alston & Bird LLP; and Stuart Rigot, Gaeta & Eveson, PA for their participation.

The Center for Banking and Finance was formally established in July 2000 to play a leadership role in the continual evolution of the financial services industry. While the annual Banking Institute is the Center's signature program, the Center is also a sponsor or co-sponsor of other programs designed to provide high quality education to the banking and finance industry. The

Center also studies legal and policy issues related to banking and finance and works to advance the teaching of banking and finance.

On November 14, 2012, the Center hosted the third installment in its New York Lecture Series, “Domestic Bank Regulation in a Global Economic Environment – A Comparative Dialogue,” the transcript of which is included in the following pages. Panelists included Chris Brummer, Georgetown University Law Center; Robert Hockett, Cornell Law School; Cyrus Amir-Mokri, Assistant Secretary for Financial Institutions, U.S. Department of the Treasury; and Nick O’Neill, Clifford Chance LLP. The dialogue was moderated by Lissa Broome of the Center and Michael Helfer, Vice Chairman of Citigroup. The Center would like to thank The Clearing House for its financial support of this event.

A special issue of the journal will be published in the fall of 2013 with papers and remarks made at “The Political Economy of Financial Regulation,” a conference co-sponsored by the Center with The George Washington University Center for Law, Economics & Finance (C-LEAF), The Insurance Law Center at The University of Connecticut School of Law, and The Institute for Law and Economic Policy. Program sponsors included Poyner Spruill LLP, The Clearing House, and Better Markets. Please contact the journal at [ncbank@unc.edu](mailto:ncbank@unc.edu) to order an advance copy of this important volume.

A distinguished Board of Advisors, consisting of attorneys and industry professionals, guides the Center’s activities. The Center and its Board welcome your suggestions as to how the Center may better serve the needs of the industry. Please feel free to contact Lissa Broome ([lbroome@email.unc.edu](mailto:lbroome@email.unc.edu)) to share your ideas or to become involved in the Center’s activities. To learn more about the Center’s programs and activities, please visit the Center’s website at <http://www.law.unc.edu/centers/banking>.

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