

Vortex-induced Vibration of a 5:1 Rectangular Cylinder

A comparison of wind tunnel sectional model tests and computational simulations

Dinh Tung Nguyen^a, David M. Hargreaves^a, John S. Owen^a

^a*Faculty of Engineering, University of Nottingham, UK*

Abstract

Considered to be representative of a generic bridge deck geometry and characterised by a highly unsteady flow field, the 5:1 rectangular cylinder has been the main case study in a number of studies including the “Benchmark on the Aerodynamics of a Rectangular 5:1 Cylinder” (BARC). There are still a number of limitations in the knowledge of (i) the mechanism of the vortex-induced vibration (VIV) and (ii) of the turbulence-induced effect for this particular geometry. Extended computational and wind tunnel studies were therefore conducted by the authors to address these issues. This paper primarily describes wind tunnel and computational studies using a sectional model in an attempt to bring more insight into Point (i). By analysing the distribution and correlation of the surface pressure around an elastically mounted 5:1 rectangular cylinders in smooth and turbulent flow, it revealed that the VIV was triggered by the motion-induced leading-edge vortex; a strongly correlated flow feature close to the trailing edge was then responsible for an increase in the structural response.

Keywords: 5:1 rectangular cylinder, BARC, vortex-induced vibration, turbulent flow, wind tunnel, LES simulation

1 1. Introduction

2 The rectangular cylinder has been considered as representative of many structures in
3 the built environment including the bridge deck. In contrast to the circular cylinder, the
4 rectangular cylinder is characterised by permanent separation points at the leading edge
5 causing two unstable shear layers which can interact with the after-body length or with each
6 other in the wake, significantly affecting its response (Nakamura et al., 1991). Therefore, the
7 aerodynamics of the flow field and related aeroelastic responses of this cylinder are highly
8 unsteady and complicated, attracting a number of studies including the BARC study (Bruno
9 et al., 2014).

Email addresses: dinh.nguyen1@nottingham.ac.uk (Dinh Tung Nguyen),
david.hargreaves@nottingham.ac.uk (David M. Hargreaves), john.owen@nottingham.ac.uk (John S. Owen)

10 For the rectangular cylinder with a long after-body length (if the width-to-depth ratio
11 $B/D \geq 4$), these shear layers can be trapped underneath circulating flow which is called
12 the separation bubble. The separation bubble can become detached and develops into the
13 leading-edge vortex propagating downstream; its arrival at the trailing edge is phase-locked
14 into the shedding of the trailing-edge vortex and the creation of another leading-edge vortex
15 (Mills et al., 2003). However, this synchronisation is poor and intermittent in the case of
16 the 5:1 rectangular cylinder, where the aforementioned shear layers reattach at points very
17 close to the trailing edge. Wind tunnel experiments found the Strouhal number in this case
18 is not unique; it randomly switches between two values, indicating two different flow regimes
19 (Ozono et al., 1992).

20 Other literature reveals the effect of the turbulence on the separation bubble. The turbu-
21 lent wind amplifies the suction peak on the surface and shifts it upstream yielding a smaller
22 separation bubble and earlier pressure recovery (Lee, 1975). Further studies pointed out the
23 turbulence-induced effects on the pressure distribution around a 5:1 rectangular cylinder,
24 including a decrease in the pressure correlation and coherence (Matsumoto et al., 2003).

25 The elastically supported rectangular cylinder has been found to be prone to the VIV
26 due to the motion-induced vortex shed from the leading edge or the von Kármán vortex shed
27 from the trailing edge (Matsumoto et al., 2008). For a range of aspect ratios from 2.6 to
28 8, which includes the 5:1 rectangular cylinder, these mechanisms are indistinguishable. In
29 addition, different harmonics of the VIV can be observed, which are associated with different
30 numbers of vortices present along the surface of the body because of the long after-body
31 length.

32 Further studies on the buffeting response of a bluff body have shown a significant effect
33 of the turbulence on the pressure distribution and aeroelastic behaviour. Matsumoto et al.
34 (1993) reported the turbulence-induced stabilisation effect on the VIV of the rectangular
35 cylinder, due to an increase in the vorticity diffusion and thus a decrease in the strength of
36 vortices. However, Wu and Kareem (2012), Kareem and Wu (2013) and Cao (2015) have
37 recently pointed out the deficiencies in the quantitative and qualitative understanding of the
38 turbulence-induced effect on the VIV of the bluff body with a generic aerodynamic cross
39 section and a bridge deck cross section; studies on the latter were comparatively less than
40 those on the former. A number of collective studies on the circular cylinder reviewed by
41 Cao (2015) showed that the turbulence produces a very strong effect on the VIV lock-in
42 and, in some cases, the turbulence can completely suppress the VIV. Meanwhile, the wind
43 tunnel study conducted by Goswami et al. (1993) suggested that the variation of the VIV
44 structural response of a freely-vibrating circular cylinder in turbulent flow was minimal,
45 compared to that measured in smooth flow. As for bridge deck cross sections including the
46 rectangular cylinder, Kobayashi et al. (1990), Kobayashi et al. (1992), Kawatani et al. (1993)
47 and Kawatani et al. (1999) conducted a series of wind tunnel tests investigating the VIV of
48 rectangular and hexagonal cylinders having different aspect ratios in smooth and turbulent
49 flow. The turbulence suppression effect was not observed for all cross sections. Later, Wu
50 and Kareem (2012) and Kareem and Wu (2013) also pointed out this issue and suggested
51 this was due to the difference in the mechanism of the VIV – whether it was motioned-
52 induced-vortex or von-Kármán-vortex driven VIV. Given that the turbulence does not affect

53 the motion-induced vortex, the VIV response can be increased since the turbulence weakens
54 the von Kármán vortex and its mitigation effects on the motion-induced leading-edge vortex
55 (Matsumoto et al., 2008; Wu and Kareem, 2012). Nevertheless, more studies are required to
56 clarify these inconsistencies and provide a more comprehensive explanation on the mechanism
57 of the turbulence-induced effect on the motion-induced vortex and the VIV.

58 Together with traditional wind tunnel tests, the development of Computational Fluid
59 Dynamics (CFD) allows researchers to model and investigate the aerodynamics of the flow
60 around and the aeroelasticity of the rectangular cylinder. Due to the complexity of the prob-
61 lem and the limitation of computational power, simulations were initially restricted to model
62 the flow around 2D cylinders using Unsteady Reynolds-Averaged Navier-Stokes (URANS)
63 models. Their outcomes agreed well with wind tunnel tests and offered comprehensive ex-
64 planation of the vortex shedding phenomenon of the rectangular cylinder (Ohya et al., 1992;
65 Tan et al., 1998; Larsen and Walther, 1998). Also, 2D simulations have shown their potential
66 in modelling wind-induced responses and extracting aerodynamic and aeroelastic parameters
67 such as flutter derivatives (Xiang and Ge, 2002; Owen et al., 2006; Sun et al., 2009; Waterson
68 and Baker, 2010). Later, 3D simulations using Large Eddy Simulation (LES) models have
69 become more available, focusing on uncovering the characteristics of the separation bubble,
70 the effect of the after-body length on the separation and reattachment of the flow and the
71 coherence structure of the surface pressure around a static rectangular cylinder (Bruno et al.,
72 2010). LES simulations have also been coupled with structural solvers to model the fluid-
73 structure interaction (FSI) of a 3D elastically supported rectangular cylinder and bridge deck
74 section (Sun et al., 2008; Bai et al., 2013; Zhu and Chen, 2013). These researches highlighted
75 the suitability of the LES model to capture the inherent unsteadiness in FSI problems and
76 to maintain the flow structure in the wake region in contrast to the over-dissipation of the
77 URANS models. Daniels et al. (2014) also applied this method to predict the effect of the free-
78 stream turbulence on the aerodynamics of a static and elastically mounted 4:1 rectangular
79 cylinder. The use of the LES model is still limited due to its computationally expensive near-
80 wall treatment and high mesh density. In addition, Bruno et al. (2011) showed that results
81 of 3D LES simulations in the application of the bridge aeroelasticity were very susceptible to
82 the level of discretisation in the span-wise direction. Nevertheless, at the current rate of the
83 computational development, LES simulation is becoming more favourable to investigate the
84 flow field and understand the underlying physical mechanism, such as the aforementioned
85 BARC study.

86 Extended CFD and wind tunnel studies have been carried out by the authors with the
87 aim to bring more insight into the physical mechanism (i) of the VIV as well as (i) of the
88 turbulence-induced effect on the VIV of the 5:1 rectangular cylinder. The former is the pri-
89 ority focus of this paper. LES simulations and wind tunnel tests are used to investigate the
90 flow field around a sectional model in smooth flow. These two approaches were validated by
91 comparing selective results of static simulations and wind tunnel static tests with the BARC
92 summary statistics. The VIV response of an elastically supported 5:1 rectangular cylinder
93 restrained to the heaving or pitching mode only will be measured in the wind tunnel; these
94 wind tunnel dynamic tests are complemented by corresponding LES simulations. The analy-
95 sis of the surface pressure distribution with the aid of the Proper Orthogonal Decomposition
96 (POD) reveals the mechanism of the VIV of this particular geometry and associated flow

97 features. The outcomes in this paper will be further analysed to offer comprehensive expla-
 98 nation of the turbulence-induced effect on the VIV, which will be presented in a separate
 99 paper.

100 2. CFD Methodology

101 The computations were conducted using the open-source CFD software OpenFOAM
 102 v2.2.2. The unsteady flow around the rectangular cylinder was modelled using a LES model
 103 where the Navier-Stokes equations are spatially filtered by the cell size. With the use of
 104 Boussinesq's assumption to express the sub-grid scale tensor, the time-dependent filtered
 105 Navier-Stokes equations are written as

$$\frac{\partial \bar{u}_i}{\partial t} = 0, \quad (1)$$

$$\frac{\partial}{\partial t} \rho \bar{u}_i + \frac{\partial}{\partial x_j} \rho \bar{u}_i \bar{u}_j = -\frac{\partial \bar{p}}{\partial x_j} + \frac{\partial}{\partial x_j} \left(\mu \frac{\partial \bar{u}_i}{\partial x_j} \right) + \frac{\partial}{\partial x_j} \left[\mu_{SGS} \left(\frac{\partial \bar{u}_i}{\partial x_j} + \frac{\partial \bar{u}_j}{\partial x_i} \right) \right], \quad (2)$$

106 where i and j are the tensor notation denoting components of the velocity u ; ρ and μ are
 107 the fluid density and dynamic viscosity respectively; \bar{p} and \bar{u} are the filtered pressure and
 108 velocity. μ_{SGS} is the sub-grid scale (SGS) viscosity and it is modelled by the use of the
 109 conventional Smagorinsky SGS model. To avoid the overestimation of the Smagorinsky
 110 constant and to account for the effects of convection, diffusion, production and destruction
 111 on the SGS velocity scale, an additional transportation equation is embedded to determine
 112 the distribution of the kinetic energy of the SGS eddies, k_{SGS} , and the SGS viscosity μ_{SGS} ,
 113 (Furby et al., 1997)

$$\frac{\partial}{\partial t} \rho k_{SGS} + \frac{\partial}{\partial x_j} \rho k_{SGS} \bar{u}_j = \frac{\partial}{\partial x_j} \left(\mu_{SGS} \frac{\partial k_{SGS}}{\partial x_j} \right) + 2\mu_{SGS} \bar{S}_{ij} \bar{S}_{ij} - C_\epsilon \frac{k_{SGS}^{3/2}}{\Delta}, \quad (3)$$

$$\mu_{SGS} = \rho C_{SGS} \Delta k_{SGS}^{1/2}, \quad (4)$$

114 where the constants are $C_\epsilon = 1.048$ and $C_{SGS} = 0.094$. Δ is the characteristic length scale of
 115 the filter, which is defined as the cubic root of the cell volume. To remove the over-dissipation
 116 of the kinetic energy in the near-wall region, a filtered width δ according to the van Driest
 117 approach is introduced as

$$\delta = \min \left\{ \Delta, \frac{k}{C_\Delta} y \left(1 - \exp^{-\frac{y^+}{A^+}} \right) \right\}, \quad (5)$$

$$y^+ = \frac{\sqrt{\rho \tau_w}}{\mu} y, \quad (6)$$

118 where $k = 0.4187$ is the von Kármán constant, $C_\Delta = 0.158$ and $A^+ = 26$ are the van
 119 Driest constants. τ_w is the wall shear stress and y and y^+ are the normal distance and
 120 non-dimensional normal distance to the wall respectively.

121 The domain geometry and some key boundary conditions are summarised in Figure 1.
 122 For the purposes of this computational study, the width of the cylinder. $B = 0.5$ m, and the
 123 depth $D = 0.1$ m. The span-wise length of the cylinder and the width L of the domain was
 124 $3B$. The blockage ratio was 4.8%. A zero gradient condition for velocity and a constant value
 125 of zero gauge pressure were imposed on the outlet. As for the inlet, a non-zero x -component
 126 wind speed and a zero gradient condition for pressure were specified to simulate smooth flow.
 127 The OpenFOAM boundary condition `movingWallVelocity` was applied on the surface of
 128 the model to accurately model a zero normal-to-wall velocity component at a moving wall.
 129 The `symmetryPlane` boundary condition was used for the two z patches while the `cyclic`
 130 boundary condition was selected for the two y patches.

131 The meshing operation to the domain geometry was conducted using ANSYS-Meshing
 132 within Workbench and OpenFOAM utilities, `fluentMeshToFoam` and `extrudeMesh`. As a
 133 result, the computational domain was discretised using a 3D hybrid hexahedral grid, where
 134 the grid was hybrid in the x - z plane (Figure 2a) and structured in the y direction. An
 135 inflation layer which was a six-cell-thick structured grid was imposed around the model where
 136 the thickness of cells next to the model was $\Delta z/B = 2 \times 10^{-3}$ and grew by a ratio of 1.2
 137 (Figure 2b). The discretisation in the along-wind direction was constant $\Delta x/B = 2 \Delta z/B$.
 138 The unstructured hexahedral grid was used for the remaining part of the x - z plane. The 3D
 139 grid was constructed by projecting the 2D grid along the y direction; 30 layers was used with
 140 the span-wise discretisation $\Delta y/B = 0.1$, resulting in a total of 2.1 million cells (Figure 3).

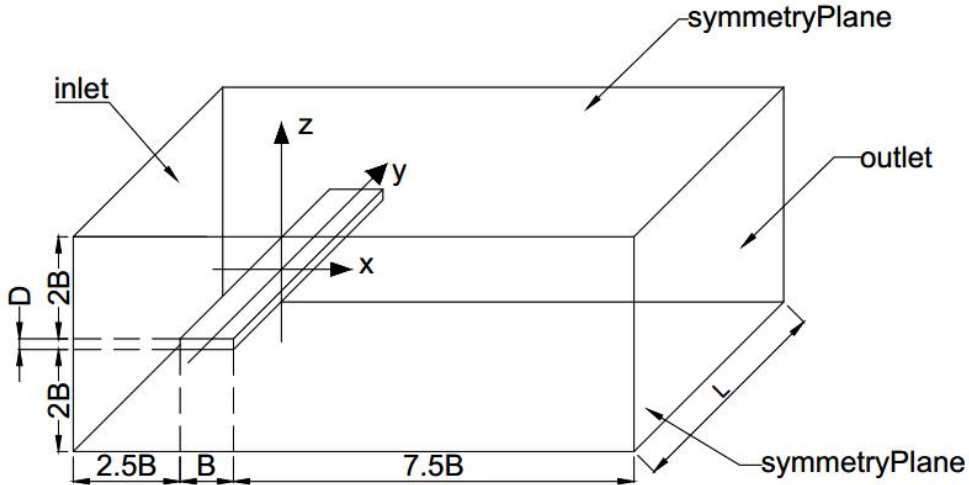


Figure 1: Domain geometry and boundary conditions of selected patches.

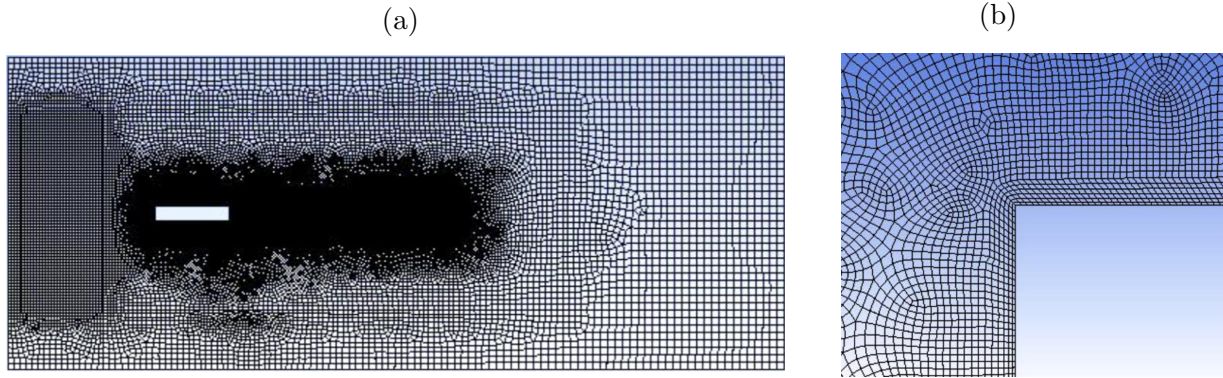


Figure 2: The computational grid in the x - z plane (a) for the entire domain and (b) around the leading edge.

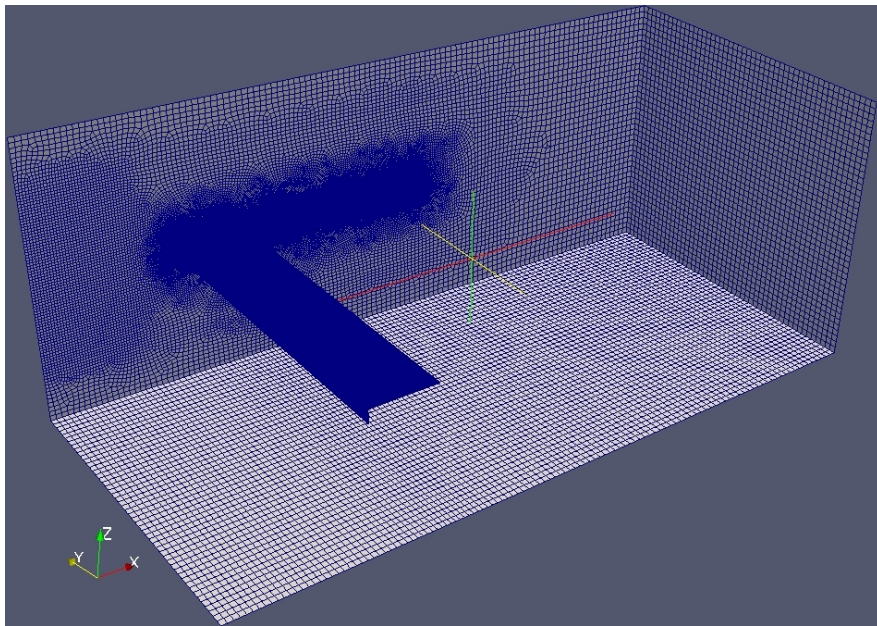


Figure 3: The computational grid used in the 3D heaving simulation.

141 The pressure-velocity coupling was achieved by means of the PIMPLE algorithm, which
 142 is a merged PISO-SIMPLE solver. During one time-step, two PISO loops were performed,
 143 leading to better coupling between pressure and velocity and allowing bigger time-steps and,
 144 hence, Courant number. The governing equation was spatially discretised using second-
 145 order schemes. The convection terms were discretised by the use of the limited linear
 146 scheme while the second-order central differencing scheme was applied to the diffusion terms.
 147 Non-orthogonal correction factors were enabled to take into account the skewness and non-
 148 orthogonality of the unstructured grid. As for the temporal discretisation, the implicit
 149 second-order backward difference scheme was selected. The non-dimensional time-step $\Delta t^* =$
 150 $\Delta t U/B$ (Δt is the time-step and U is the upstream mean wind speed) was 2×10^{-3} .

151 *2.1. Static Simulations*

152 The OpenFOAM solver `pimpleFoam` was used to simulate the flow around the 3D static
 153 sectional model at 3 different wind speeds, 1, 2 and 4 m s^{-1} . At each wind speed, the static
 154 force and moment coefficients were measured and the surface pressure was extracted at se-
 155 lected points to evaluate the pressure distribution and span-wise correlation. Each simulation
 156 was extended over 80 non-dimensional time $t^* = tU/B$ to obtain converged statistics and
 157 data in further 120 non-dimensional time was used to perform analysis. Static simulations
 158 were conducted in parallel on the High Performance Computer (HPC) at the University of
 159 Nottingham. One simulation was computed in parallel using 32 processors and 8 GB of
 160 memory; it would take from 1 to 1.5 months to produce reliable data for analysis.

161 *2.2. Dynamic Simulations*

162 The coupling procedure between the OpenFOAM solver `pimpleDyMFoam` and the mass-
 163 damp-spring equation was utilised to perform the dynamic simulation. The model was re-
 164 strained to respond in the heaving mode only with the natural frequency of $f_{n,h} = 1.2 \text{ Hz}$.
 165 The model has a mass per unit length of $\bar{m} = 4.7 \text{ kg m}^{-1}$; the damping ratio was $\zeta_h = 1\%$
 166 yielding the Scruton number $\text{Scr} = (\pi \bar{m} \zeta_h)/(\rho B D)$ of 8.97. The wind speed was increased
 167 from 0.1 m s^{-1} to 2.5 m s^{-1} in increments of 0.1 m s^{-1} during the lock-in interval.

168 A new dynamic mesh class `Foam::dynamicHeavingFreeUDFFvMesh` was written and im-
 169 plemented in OpenFOAM to model the heaving motion of the model; this dynamic class
 170 is also capable to model the pitching response. It contains a structural solver where the
 171 mass-damping-spring equation was discretised and solved using the the first-order backward
 172 Euler method

$$\ddot{z}_{n+1} = \frac{F_n}{\bar{m} L} - 2\omega_{n,h} \zeta_h \dot{z}_n + \omega_{n,h}^2 z_n, \quad (7)$$

$$\dot{z}_{n+1} = \dot{z}_n + \Delta t \ddot{z}_{n+1}, \quad (8)$$

$$z_{n+1} = z_n + \Delta t \dot{z}_{n+1}, \quad (9)$$

173 where z_i , \dot{z}_i , \ddot{z}_i and F_i are the displacement, velocity and acceleration of and the force acting
 174 on the model at the time step i ; Δt is the time-step size. The model has the angular natural
 175 frequency $\omega_{n,h}$ and the damping ratio ζ_h . Here, the backward Euler method was selected
 176 since it can better model the implicit nature of the FSI problem.

177 A dynamic mesh algorithm was implemented based on the linear-spring-analogy algorithm
 178 proposed by Batina (1990). Since the maximum displacement during the lock-in is relatively
 179 small (about 10% of the depth of the cross section) and the computational domain was simple,
 180 this dynamic mesh algorithm is a plausible solution, still maintaining good cell qualities. The
 181 computational domain was divided into 9 blocks (Figure 4). Blocks 8 and 9 are rigid where
 182 all grid nodes are fixed relative to the model. The other blocks are grouped into a buffer
 183 zone where cells are allowed to deform to facilitate the displacement of the model. The
 184 conventional serial staggered algorithm was applied to model the coupling between the fluid,
 185 structure and dynamic mesh.

186 Each dynamic simulation was computed in parallel on the HPC using 32 processors and
 187 10 GB of memory. The physical time for the dynamic simulation was similar to that applied
 188 in the static simulation; this was sufficient for the transient period to settle down and the
 189 fluid and structure solutions to reach the stable oscillatory state. One dynamic simulation
 190 at one wind speed took from 1 to 1.5 months to finish.

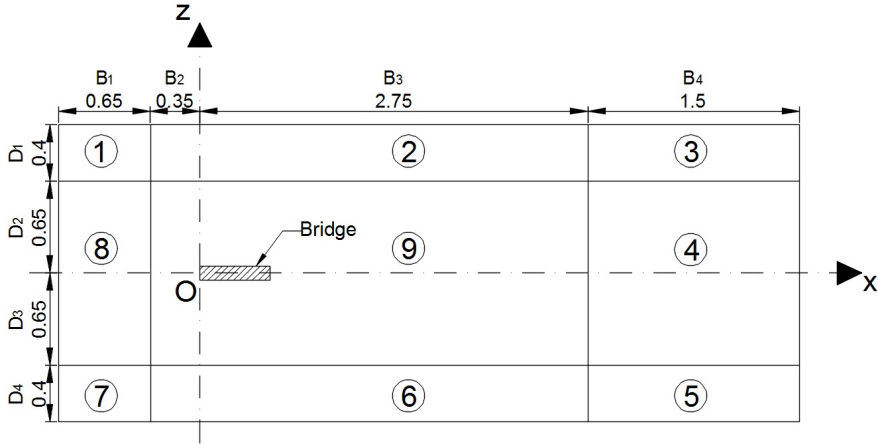


Figure 4: Illustration of 9 different blocks in the computational domain; dimensions are in metres.

191 **3. Wind Tunnel Methodology**

192 The wind tunnel tests were conducted at the Atmospheric Boundary Layer wind tunnel at
 193 the University of Nottingham. For aerodynamic tests such as the work described here, the low
 194 turbulence section immediately downwind of the contraction was used. With no additional
 195 turbulence generation, there is a uniform flow away from the walls, with a turbulence intensity
 196 of less than 0.2%. Both static and dynamic tests were conducted in smooth flow.

197 The 5:1 rectangular model is 1.6 m long with a 0.308 m by 0.076 m section; these dimen-
 198 sions result in a blockage ratio of 2.89%. The model was instrumented by 7 arrays of pressure
 199 taps as shown in Figure 5a. There are 16 pressure taps distributed around the cross section
 200 at each array as shown in Figure 5b. Each tap was connected to an individual pressure sensor
 201 HCLA02X5DB from First Sensor.

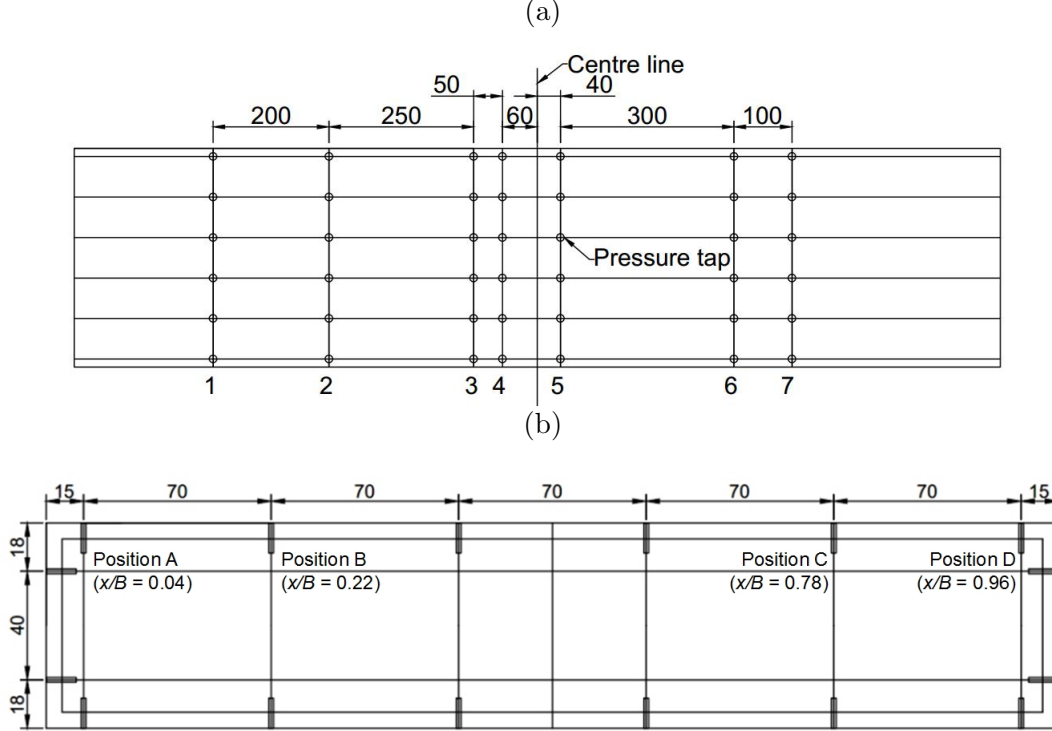


Figure 5: (a) Arrangement of pressure taps on the bottom surface and (b) a cross section of the model showing the distribution of pressure taps at each array; dimensions are in mm).

202 3.1. Static Test Procedures

203 For the static tests, the model was rigidly supported on load cells in a frame within the
 204 aerodynamic working section. These load cells comprised of six compression force sensors
 205 (Kistler 9313AA1) and were manufactured at the University of Nottingham. The model was
 206 tested for 4 different wind speeds 4, 6, 8 and 10 ms^{-1} and at the angle of attack 0° . A X-wire
 207 probe (TSI 1241-T1.5) was placed at a distance, B , behind the trailing edge and a distance,
 208 D , above the top surface to investigate the flow structure in the wake. At each wind speed,
 209 the surface pressure was measured and force coefficients were calculated from the load cell
 210 data.

211 3.2. Dynamic Test Procedures

212 The dynamic test was set up as shown in Figure 6; the sectional model was mounted
 213 on eight E0750115500S springs supplied by Associated Spring Raymond and restrained by
 214 light wires so that it responded in the heaving or pitching mode only. The natural frequency
 215 and damping ratio of the heaving were measured to be $f_{n,h} = 4.68 \text{ Hz}$ and $\zeta_h = 0.19\%$
 216 respectively ($\text{Scr} = 15.9$). For the pitching mode, the natural frequency and damping ratio
 217 were $f_{n,p} = 5.70 \text{ Hz}$ and $\zeta_p = 0.13\%$ respectively. The wind speed was increased from 1
 218 to 10 ms^{-1} . A coarse step size of 0.5 ms^{-1} was used outside the lock in region; whereas
 219 during the lock-in, a small increment of 0.1 ms^{-1} was applied to accurately track changes
 220 in dynamic behaviour. At each wind speed, the response was recorded using 2-axis MEMS

221 accelerometers ADXL203 by Analog Devices, mounted on four corners of the model, and
222 the surface pressure was measured. A TSI X-wire 1241-T1.5 probe was located at the same
223 position as was used in the static tests to capture the the wake velocity. In all static and
224 dynamic wind tunnel tests, the acceleration, forces and pressure were sampled at 500 Hz
225 while the velocity in the wake was sampled at 1000 Hz.

226 It should be noticed that, for the work presented here, selective results of the static
227 simulation and wind tunnel static tests were compared with the BARC summary statistics
228 to validate the computational and wind tunnel approaches.

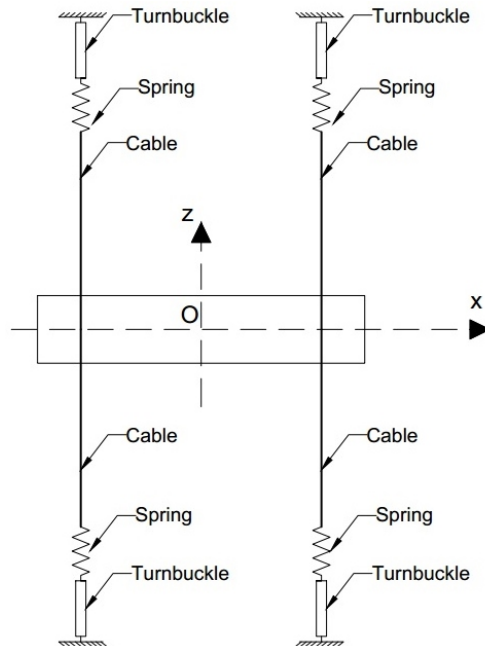


Figure 6: Schematic of the set-up of the dynamic test.

229 4. Validation Study

230 4.1. Mesh Sensitivity Study

231 To evaluate the effect of the span-wise discretisation on the flow field being modelled by
232 LES, a mesh sensitivity study was conducted by performing the static simulation at the wind
233 speed of 1 m s^{-1} using four grids in Table 1. They have different span-wise discretisation
234 levels $\Delta y/B$ and similar grids on the x - z plane. Grid G4 is the computational domain used
235 in the dynamic simulation. The Strouhal number, St , was extracted from the power spectral
236 density of the lift force and was plotted against the quantity $(\Delta x \Delta y \Delta z)^{1/3}/B$ (Figure 7).
237 This scaling factor was selected to be representative of the domain since it is the filtering
238 width applied to solve fluid solutions in the region next to the model and reflects the span-
239 wise discretisation. The Grid Convergence Index (GCI) was then calculated to estimate
240 uncertainties regarding the spatial discretisation of the domain (Roache, 1997; Celik et al.,
241 2008). As a result, the numerical uncertainty of the dynamic simulation was $GCI_{\text{fine}}^{34} = 28\%$;
242 the Strouhal number predicted by the grid G4 was within the BARC summary statistic of
243 wind tunnel and computational results (Bruno et al., 2014). However, as shown in Figure 7,
244 the independence of the Strouhal number from the mesh was not achieved; the use of finer
245 span-wise discretisation leads to a higher Strouhal number.

246 Figure 8 also indicates some influence of the span-wise discretisation on the pressure
247 distribution. For the time-averaged pressure coefficient C_p (Figure 8a), all four profiles stayed
248 within the BARC envelops. The pressure fluctuation inside the separation bubble modelled in
249 the four simulations was in good agreement with the BARC statistics. However, the pressure
250 recovery region showed more scatter (Figure 8b). The overall trend was that a coarser grid
251 predicted higher pressure fluctuation; results from Grids 3 and 4 were about 5% to 30% larger
252 than the upper envelop of the BARC statistics.

253 Therefore, it is evident that the flow field around the rectangular cylinder was significantly
254 affected by the span-wise discretisation. By reducing the discretisation level in this direction,
255 i.e. using a larger filtering width, certain small-scale flow features would not be resolved
256 properly. This affected the energy flow and energy dissipation of large-scale vortices, which
257 eventually influenced the Strouhal number and the surface pressure distribution. These
258 observations were agreed by arguments of Celik et al. (2005) that the mesh convergence of
259 LES is impossible to achieve. Both numerical errors associated when resolving most-energetic
260 eddies and SGS errors when modelling SGS eddies depend on the filtering width or, in this
261 case, the cell size. A decrease in the cell size gradually reduces these errors; eventually, the
262 mesh convergence is achieved when the cell size becomes so small that LES simulation is
263 equivalent to Direct Numerical Simulation. In addition, there is a limitation on this mesh
264 sensitivity study that only cells in the span-wise direction were refined, while cells in the
265 x - z plane remained unchanged. This means that the refinement produced more positive
266 effects on the fluid solutions on the y direction more than those on the x and z directions.
267 This issue was more pronounced in case of high aspect-ratio cells such as those used in this
268 computational study.

Table 1: Computational grids in the mesh sensitivity study.

Grid	$\Delta y/B$	Number of layers
G1	0.01	300
G2	0.02	150
G3	0.04	75
G4	0.1	30

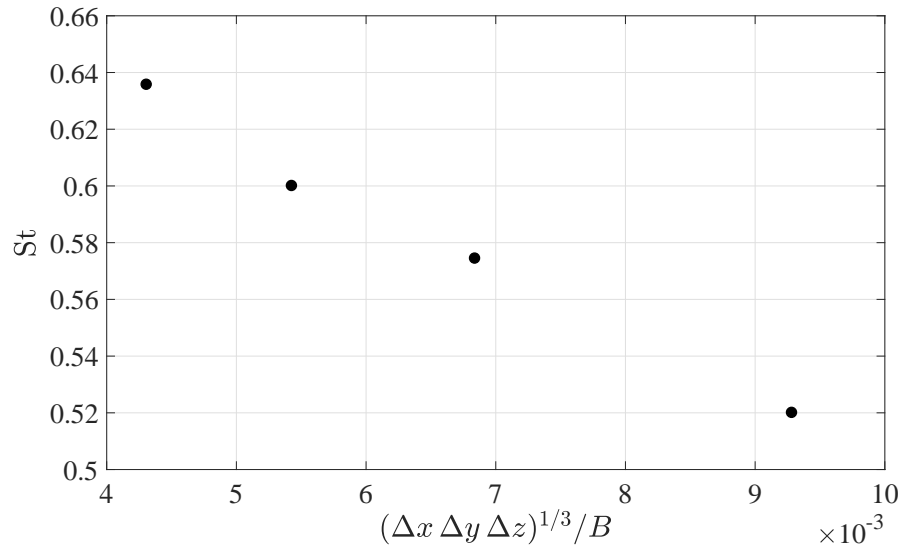


Figure 7: Variability of the Strouhal number, St , against the quantity $(\Delta x \Delta y \Delta z)^{1/3}/B$, which is the normalised filtering width applied to solve fluid solutions in the region next to the model.

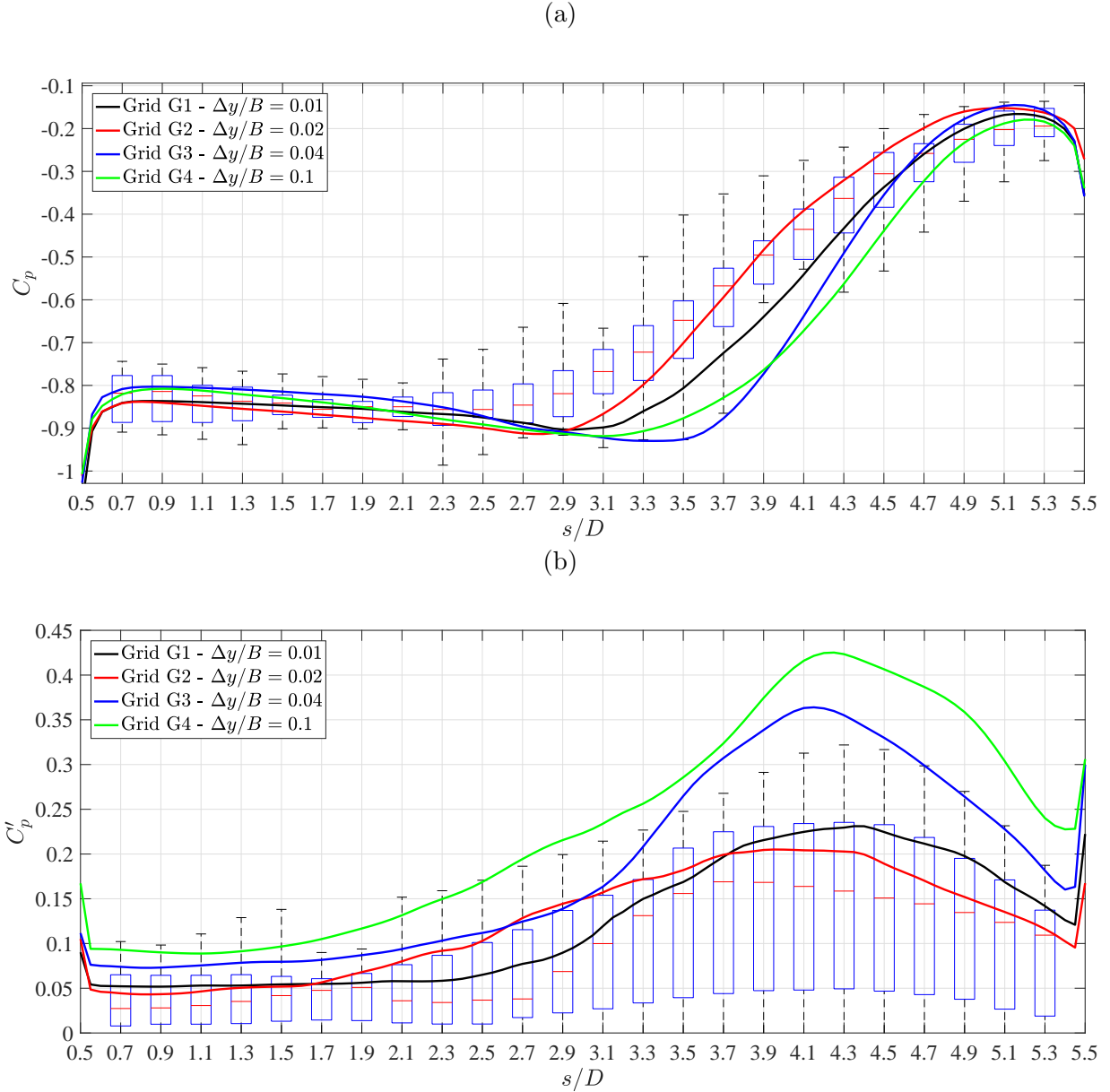


Figure 8: The surface distribution of (a) the time-averaged pressure coefficient C_p and (b) the standard deviation of the time-varying pressure coefficient C'_p in comparison to the BARC summary statistics of CFD simulations (Bruno et al., 2014).

269 4.2. Comparison with BARC Summary Statistics

270 To further validate the computational and wind tunnel approaches, the force coefficient,
 271 the Strouhal number and the surface pressure distribution around the cylinder at the angle
 272 of attack 0° were compared against the BARC summary statistics. As shown in Table 2, the
 273 time-averaged drag coefficient, C_D , the standard deviation of the time-varying lift coefficient,
 274 C'_L , and the Strouhal number, St , are in a good agreement with selected BARC studies (Bruno
 275 et al., 2010; Schewe, 2013). Also, results of the computational study are within the BARC
 276 summary statistics of computational results (Bruno et al., 2014). The time-averaged lift

Table 2: Comparison of force coefficients and Strouhal number obtained from computational and wind tunnel studies; (★) numbers in the brackets are the standard deviation of computational results reported in BARC.

	Re	St	C_D	C_L	C'_L
CFD study	6700	0.608	0.241	-0.056	0.081
	13000	0.600	0.206	-0.059	0.075
	27000	0.609	0.206	-0.063	0.059
WT study	20800	0.640	0.225	-0.0811	0.0784
	31200	0.621	0.230	-0.0684	0.0848
	41600	0.622	0.240	-0.0690	0.0932
	52000	0.601	0.252	-0.0706	0.115
WT study (Schewe, 2013)	6000 – 40000	0.555	0.242	~ 0	~ 0.08
CFD study (Bruno et al., 2010)	40000	0.575	0.206	–	~ 0.146
BARC statistics of CFD(★) (Bruno et al., 2014)	–	0.545 (0.075)	0.2148 (0.0258)	-0.00282 (0.0284)	0.130 (0.0748)

277 coefficient, C_L , however displays the largest deviation from the BARC data. Issues regarding
 278 the accurate setting up angles of attack and correcting the blockage ratio were the major
 279 contribution to errors in the wind tunnel study. For the computational study, the negative
 280 C_L indicated an asymmetric flow field around the cylinder. This could be attributed to the
 281 use of the unstructured grid where the cell density and cell size were slightly different between
 282 the top and bottom halves of the domain.

283 Another useful measure of the quality of the experimental measurements and numerical
 284 predictions are the surface pressure correlation measured along the leading and trailing edges
 285 and the surface pressure distribution. In Figure 9, despite different Reynolds numbers, the
 286 pressure correlation obtained from the static simulations and wind tunnel static tests show
 287 similar trends and reasonably agree with the BARC summary statistics and a selected wind
 288 tunnel test of Ricciardelli and Marra (2008). The use of the `cyclic` boundary condition on
 289 the y patches is thought to increase the pressure correlation beyond $\Delta y/B = 0.7$ to 0.8; this
 290 issue was also observed by Mannini et al. (2011). In general, the pressure correlation measured
 291 along the leading edge is higher than that measured along the trailing edge. These results
 292 indicated the presence of the separation bubble which was well-defined along the span-wise
 293 direction in comparison to a highly unsteady flow feature close to the trailing edge.

294 These two flow features can also be inferred from the surface pressure distribution obtained
 295 from the wind tunnel static tests (Figure 10). The separation bubble close to the leading
 296 edge was a well correlated recirculating flow feature, which was trapped underneath the shear
 297 layer generated from the leading edge. The separation bubble induced strong suction, with
 298 little variation, on the surface of the cylinder (from $s/D = 0.7$ to 2.5 approximately). Close
 299 the trailing edge, this shear layer reattached to the surface, leading to a recovery and large
 300 variation of the surface pressure (from $s/D = 3.3$ to 4.3 approximately). These flow features

301 are associated with the impinging vortex shedding phenomenon, which is well documented in
 302 the literature (Nakamura et al., 1991; Mills et al., 2003; Bruno et al., 2010, 2014). The time-
 303 averaged pressure coefficient was overestimated due to the blockage ratio issue. Nevertheless,
 304 a good agreement between results of the wind tunnel static tests and the BARC summary
 305 statistics can be seen.

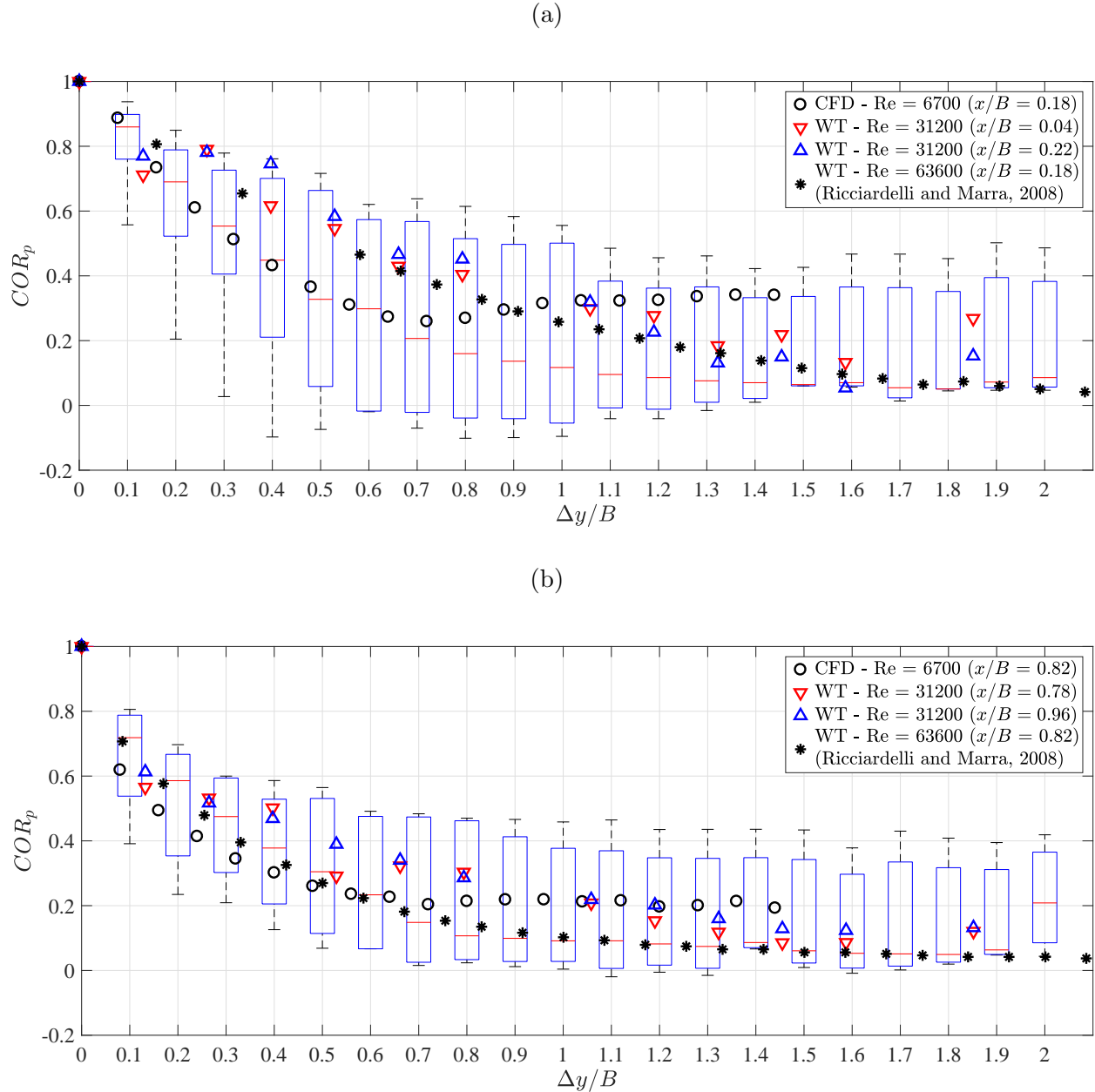


Figure 9: Comparison of the surface pressure correlation along (a) the leading edge and (b) the trailing edge, measured in the CFD static simulations and WT static tests, against a selected wind tunnel test and the BARC summary statistics of CFD simulations and WT tests (Bruno et al., 2014).

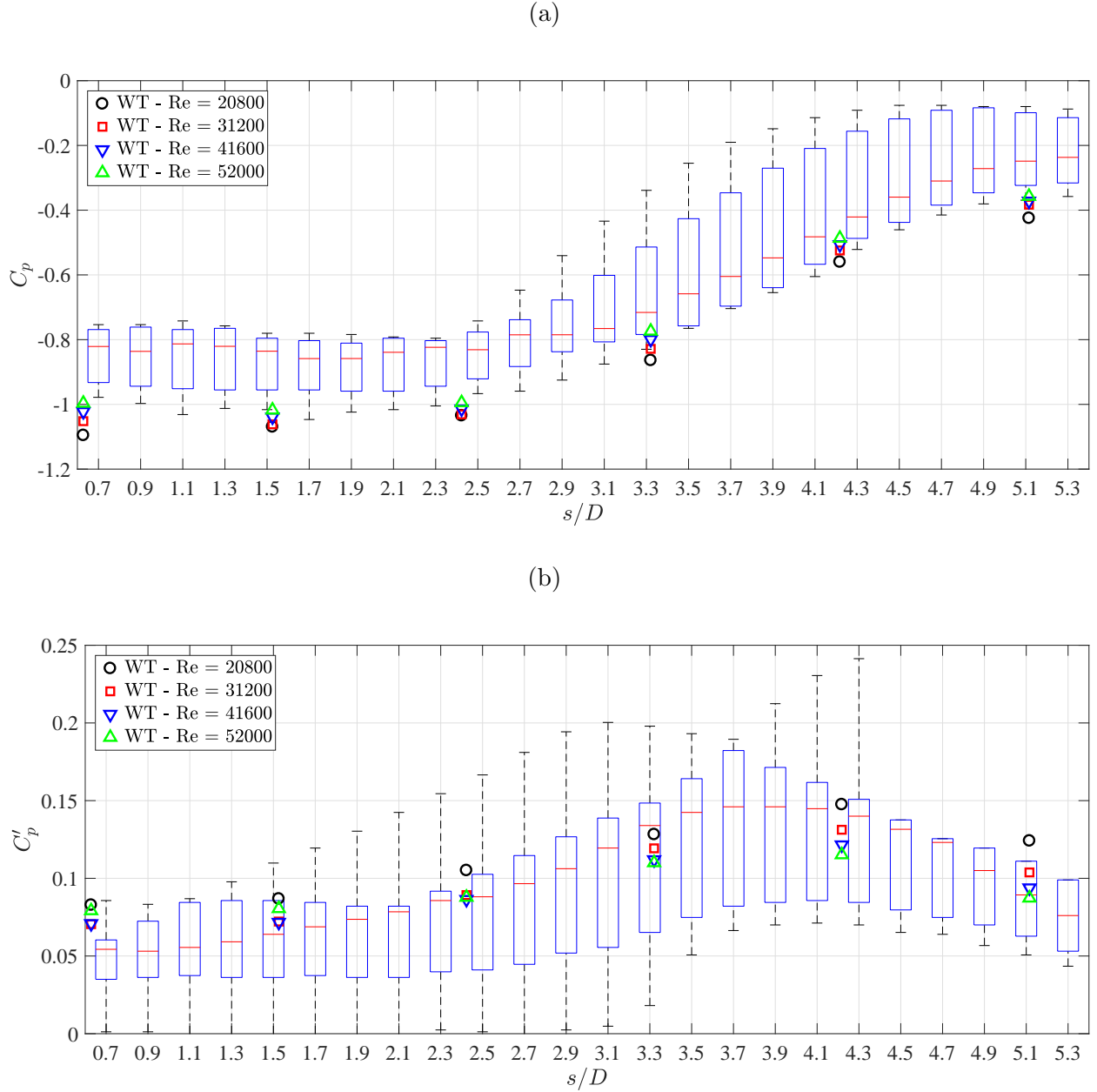


Figure 10: Comparison of the surface distribution of (a) the time-averaged pressure coefficient, C_p , and (b) the standard deviation of the time-varying pressure coefficient, C'_p , measured in the WT static tests, against the BARC summary statistics of WT tests (Bruno et al., 2014).

306 By means of a comparison with the BARC summary statistics, the methods applied
 307 in this study have been validated, particularly for the numerical modelling regarding the
 308 computational domain, numerical schemes and associated effects, which were the dependence
 309 of the Strouhal number and flow field on the span-wise discretisation. These methods were
 310 then used to carry out the dynamic simulations and wind tunnel dynamic tests. Results and
 311 further discussion are presented in the next sections.

312 5. VIV Mechanism of the 5:1 Rectangular Cylinder

313 The heaving VIV of the rectangular cylinder was both measured in the wind tunnel and
314 modelled computationally while the pitching VIV was measured in the wind tunnel only.
315 A comparison of results obtained from these two studies in smooth flow was conducted
316 to provide a comprehensive explanation of the VIV mechanism. Using this finding, results
317 obtained from the wind tunnel in turbulent flow were then analysed to uncover the mechanism
318 of the turbulence-induced effect on the VIV.

319 5.1. Heaving VIV

320 Figures 11 and 12 summarise results as the rectangular cylinder underwent heaving VIV,
321 measured in the wind tunnel and 3D heaving simulation respectively. Due to the absence of
322 a reliable force measurement during the wind tunnel test, information related to the lift force
323 or moment was retrieved by performing the integration of the surface pressure measured at
324 the pressure array 4 (Figure 5a). The pressure measurement was associated with certain
325 limitation of the sensitivity at low wind speeds; therefore, results of force and moment were
326 limited in the this range of wind speeds. Also, the presence of the rolling motion impaired
327 results of the vortex shedding frequency and the phase shift of the lift force, which is indicated
328 by some fluctuation in Figures 11c and 11d at the reduced wind speed $U_R = U/(f_{n,h}B)$ of
329 1.4 and 2.5 respectively.

330 Results obtained from wind tunnel tests and computational simulations possess similar
331 trends. Both studies predicted two VIV lock-in intervals indicated by an increase in the
332 structural response and the fact the vortex shedding frequency was locked into the natural
333 frequency of the model. Due to the larger Scruton number (higher mass and damping ratio),
334 the wind tunnel test predicted lower structural responses during the VIV lock-in compared
335 to the ones predicted by the computational simulation.

336 In the wind tunnel dynamic test, two heaving VIV lock-in regions occurred at the onset
337 reduced wind speed $U_{R,\text{onset}} = 0.77$ and 1.54; the former was smaller in magnitude (Figure
338 11a). Similarly, the 3D heaving simulation predicted two VIV lock-in intervals at $U_{R,\text{onset}} = 1$
339 and 2 (Figure 12a). The former peak was smaller in magnitude; as was revealed by the
340 phase analysis of the surface pressure shown in Figure 13, this peak was associated with two
341 vortices alternately being formed on the top or bottom surfaces of the model during one cycle
342 of motion. This contrasted with there being only one vortex on the side when the model
343 experienced the larger response. This difference in the flow structure could also be observed
344 in the instantaneous contour plots of the Q-criterion (Figure 14). The main vortices are
345 enclosed by red squares while the blue square highlights the secondary vortex resulted from
346 the interaction of the main ones. As suggested by Nakamura et al. (1991) and Matsumoto
347 et al. (2008), the number of vortices appearing on one side of the cylinder during one cycle
348 of motion, n , is related to the onset reduced wind speed of the VIV heaving lock-in such
349 that $U_{R,\text{onset}} = n/\text{St}$. This relationship allowed the Strouhal number to be estimated; good
350 agreement with results obtained from wind tunnel static tests and static simulation presented
351 in Table 2 could be drawn.

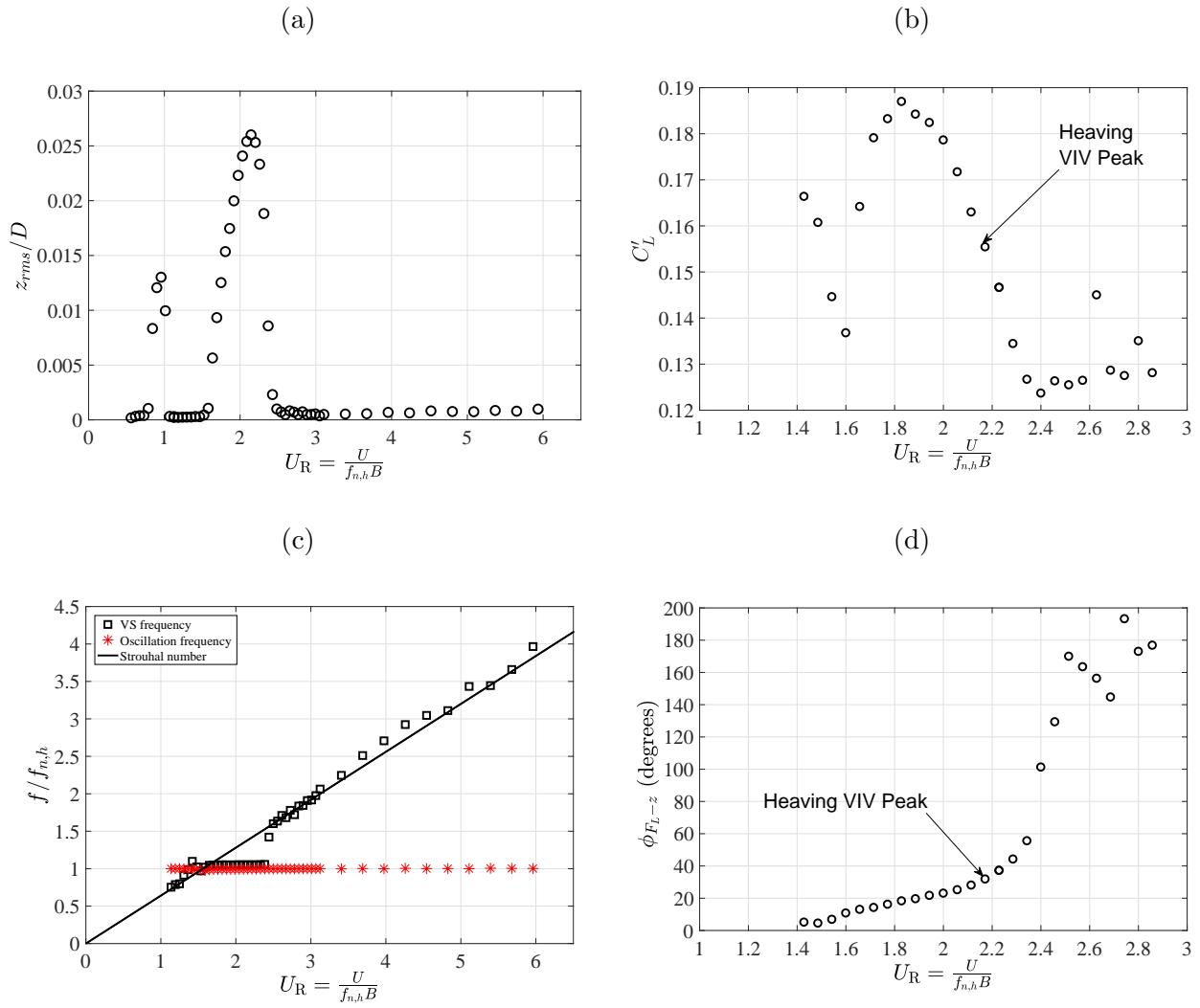


Figure 11: Results of the wind tunnel dynamic test of the sectional model restrained to the heaving mode only: (a) structural response, (b) lift coefficient response, (c) frequency of response and (d) phase shift of the lift force against the structural displacement.

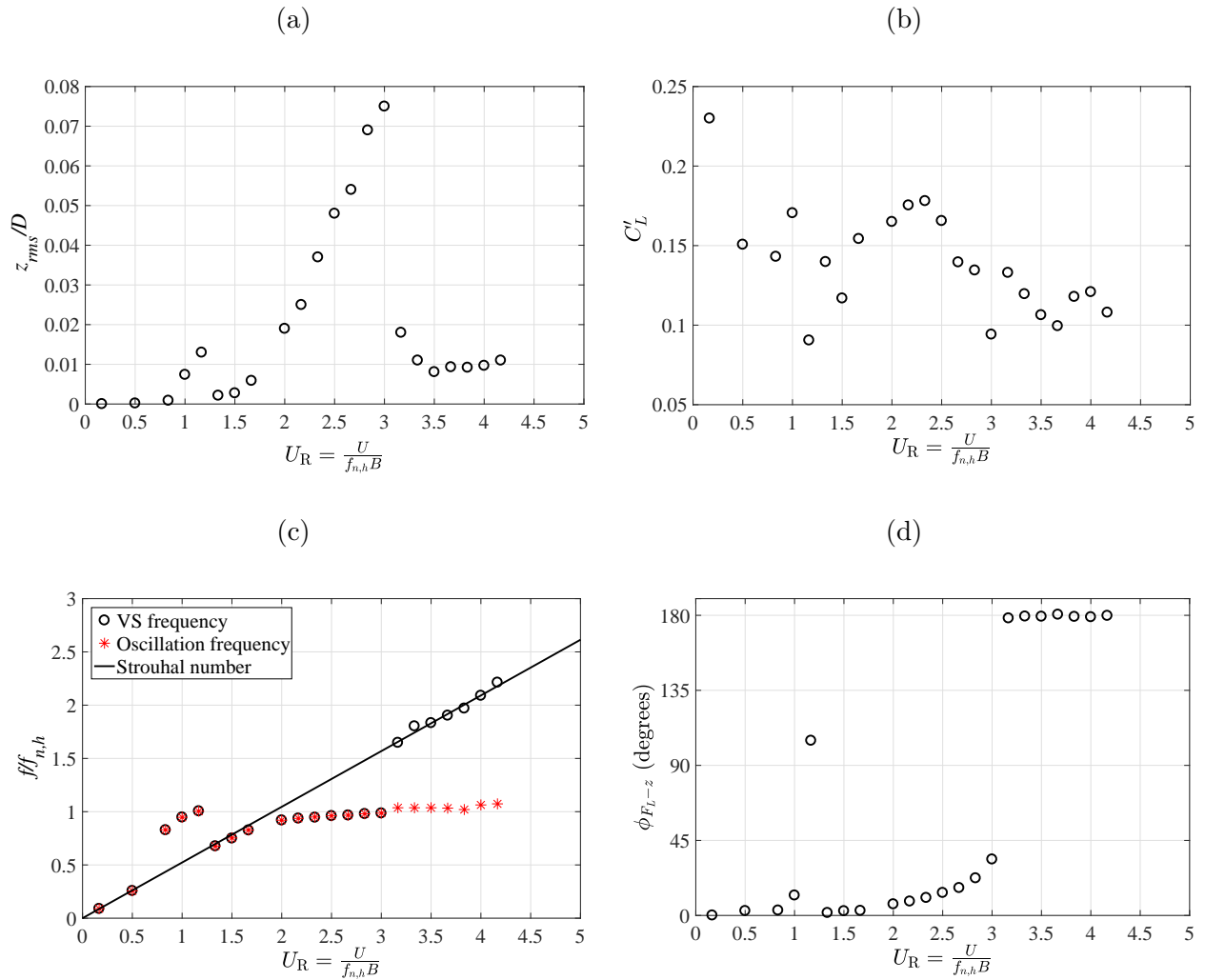


Figure 12: Results of the 3D heaving simulation of the sectional model: (a) structural response, (b) lift coefficient response, (c) frequency of response and (d) phase shift of the lift force against the structural displacement.

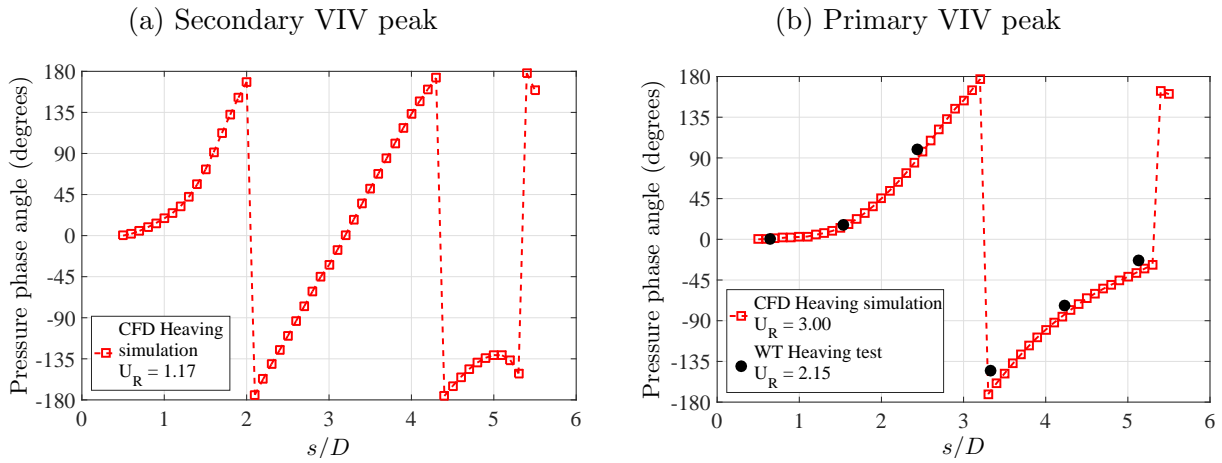


Figure 13: Phase angles of vortices rolling on the surface of the cylinder measured in the wind tunnel dynamic test and in the 3D heaving simulation; all results are calculated at the reduced wind speeds corresponding the maximum structural displacement during the lock-in.

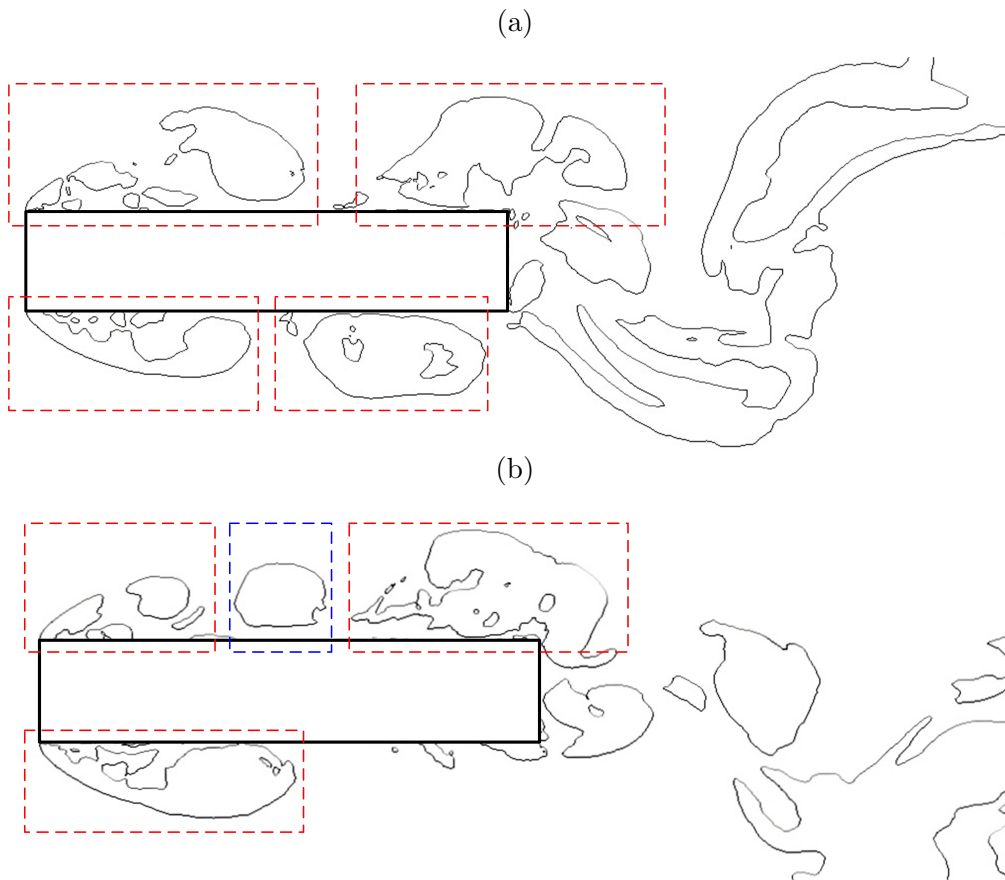


Figure 14: Contour plots of the Q-criterion $Q = 0.1 \text{ ms}^{-1}$ along the mid-span plane at (a) $U_R = 1.17$, i.e. the secondary VIV peak and (b) $U_R = 3.00$, i.e. the primary VIV peak; results were obtained from the 3D heaving simulation.

352 Both the wind tunnel test and the 3D heaving simulation predicted similar behaviour for
353 the phase shift of the lift force against the displacement of the cylinder as shown in Figures
354 11d and 12d. As the amplitude of the structural response increased, the in-phase component
355 of the lift force became less dominant and after the cylinder reached the lock-out, the lift
356 force suddenly became out-of-phase. This transition also indicated that there was a dramatic
357 change in the flow structure around the cylinder which was responsible for the lock-out; this
358 will be revealed further by analysing the span-wise correlation of the surface pressure as the
359 heaving VIV lock-in occurred.

360 Concentrating on the primary peak of the heaving VIV measured in the wind tunnel
361 dynamic test, the variation of the span-wise pressure correlation around the leading edge
362 (Positions A and B) and around the trailing edge (Positions C and D) is illustrated in
363 Figure 15; the locations of these four positions are indicated in Figure 5b. Before the lock-
364 in occurred, the pressure correlation around the leading edge was higher than that around
365 the trailing edge, illustrating the presence of the leading edge vortex. The increase in the
366 amplitude of the response improved the correlation of the surface pressure. However, during
367 the lock-in, the correlation level around Position C was higher than those around the leading
368 edge. This result indicated a strongly correlated flow feature occurred at Position C every
369 cycle of the motion and it led to an increase in the response whereas the motion-induced
370 leading-edge vortex was only responsible for triggering the motion. It was noticed that the
371 span-wise pressure correlation exhibited an increase at $\Delta y/B = 1$. This was caused the
372 rolling motion of the cylinder, coupling with a finite span-wise length of the model and the
373 end plates, which resulted in a standing wave effect superimposed on the flow field.

374 Results obtained from the heaving simulation also revealed similar behaviour (Figure 16).
375 Before the VIV lock-in ($U_R = 1.67$), the flow field around the leading edge was better corre-
376 lated than the one around the trailing edge. When the lock-in occurred and the amplitude
377 of the response increased ($U_R = 2.00$ to 2.67) and reached the peak ($U_R = 3.00$), a slight
378 decrease in the correlation level around the leading edge was observed while, around the
379 trailing edge, the flow field was better correlated. When the system reached the lock-out,
380 the correlation level around the trailing edge suddenly decreased.

381 Figure 17 describes the variation of the pressure field on the top surface at $U_R = 3.00$
382 during one cycle of the structural motion ($T_{n,h}$) extracted from the computational simulation.
383 The pressure field presented here is the dominant component resulted from a Proper Orthog-
384 onal Decomposition analysis. At the start of the cycle of structural motion $t = 0$, there was
385 a vortex being shed from the leading edge; the downward motion of the cylinder from $t = 0$
386 to $T_{n,h}/2$, however, significantly affected its span-wise geometry, degrading its span-wise cor-
387 relation and causing it to propagate downstream. In the next quarter of the cycle, due to the
388 upward accelerating movement of the cylinder, this motion-induced leading-edge vortex dra-
389 matically slowed down and appeared to impinge on the surface of the cylinder. During this
390 process, this vortex gained strength and its span-wise correlation improved; this increased
391 the lift force acting on the cylinder in the direction such that the cylinder was effectively
392 brought back to the equilibrium position. In the final quarter of the cycle, thanks to the
393 decelerating upward motion of the cylinder, this vortex was pushed downstream at a higher
394 rate and was eventually shed into the wake. The behaviour of the motion-induced leading-

395 edge vortex during one cycle of the heaving motion is summarised in Figure 18. Together the
 396 wind tunnel dynamic test, these results from the computational simulation indicated that,
 397 particularly for the 5:1 rectangular cylinder, the motion-induced leading-edge vortex acted
 398 as a triggering mechanism for the VIV response while the impingement of this vortex on
 399 the surface of the cylinder close to the trailing edge resulted in an increase in the structural
 400 response during the lock-in.

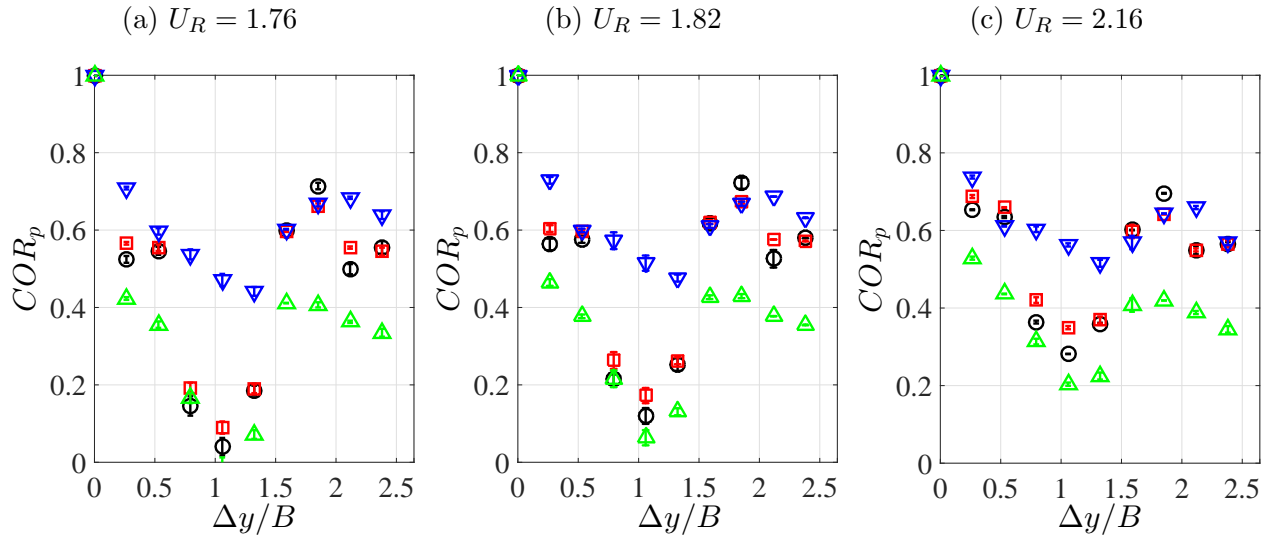


Figure 15: Wind tunnel results of the span-wise pressure correlation measured at 4 stream-wise positions in the smooth flow during the heaving VIV lock-in; *black*: Position A; *red*: Position B; *blue*: Position C; *green*: Position D.

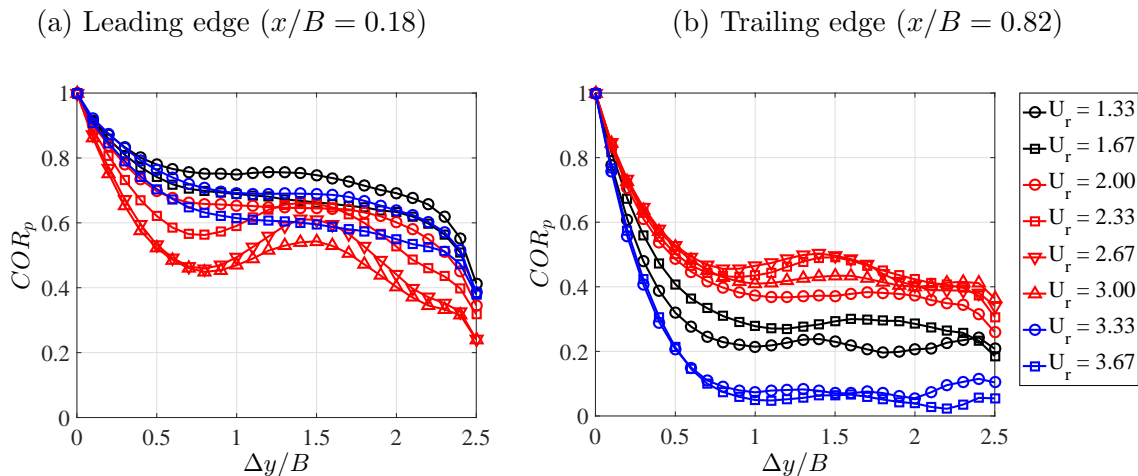


Figure 16: Computational results of variation of the span-wise pressure correlation around the leading and trailing edges as the cylinder experienced the heaving VIV lock-in; *black*: before the lock-in; *red*: VIV lock-in; *blue*: after the lock-in.

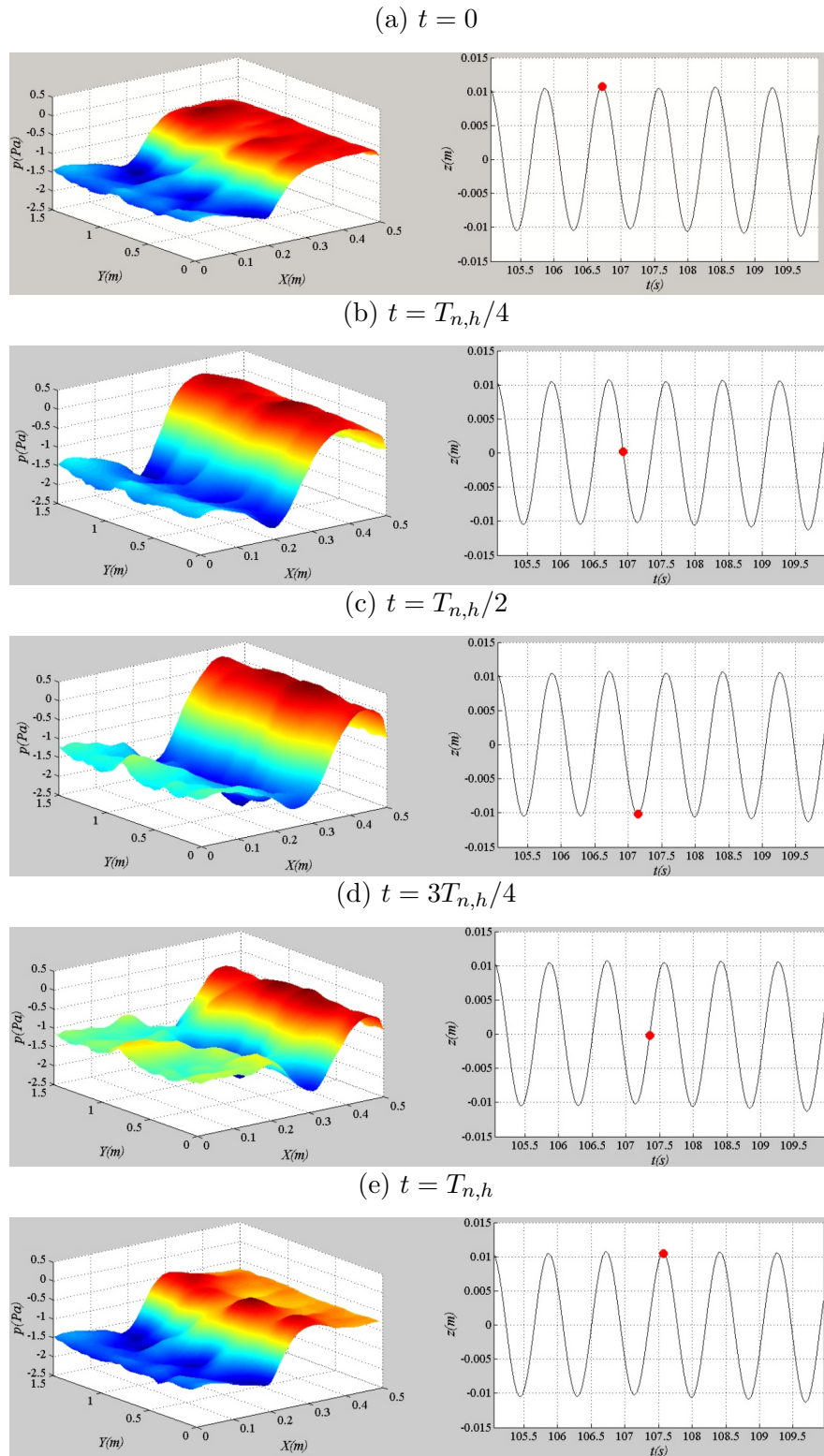


Figure 17: The pressure on the top surface of the cylinder at every quarter of the cycle of the structural oscillation ($T_{n,h}$) obtained from the computational simulation; the *red* dot indicates the position of the cylinder during the cycle.

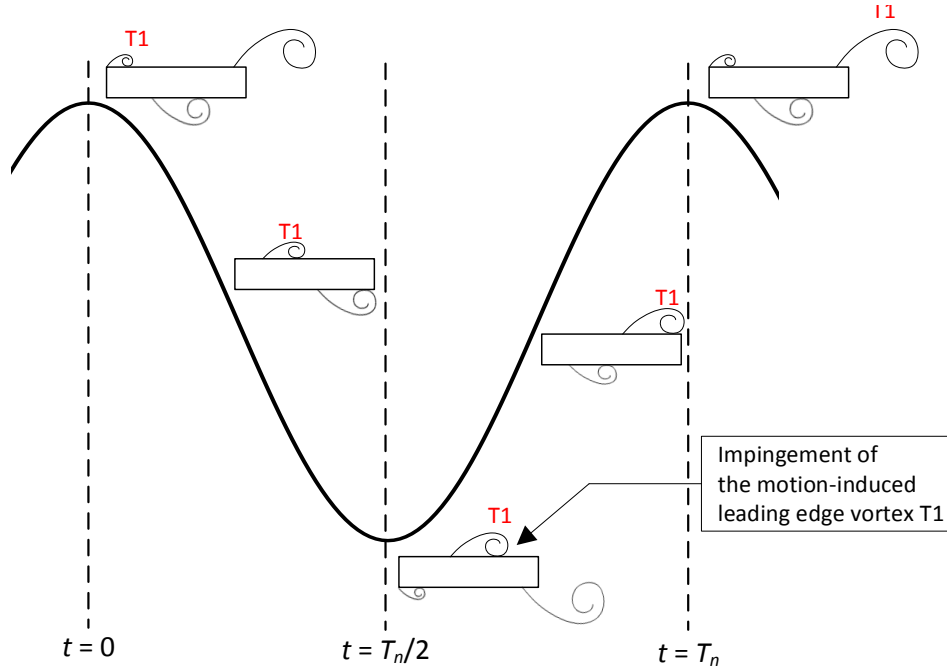


Figure 18: Schematic illustrating the development of the motion-induced leading edge vortex T1 throughout one cycle of the heaving motion during the VIV lock-in.

401 5.2. Pitching VIV

402 When the model was restrained to the pitching mode only, two different behaviours
 403 were observed in Figure 19. The torsional flutter occurred at a high wind speed and was
 404 characterised by a dramatic increase in the angular displacement. One pitching VIV lock-in
 405 was observed at the reduced wind speed $U_R = 1.03$. The phase analysis of the surface pressure
 406 on the top surface revealed there were 1.5 vortices during one cycle of motion (Figure 20)
 407 or, in other words, it took 1.5 cycles of motion for one vortex created at the leading edge to
 408 reach the trailing edge and then be shed into the wake. Based on Matsumoto et al. (2008),
 409 this corresponded to the secondary VIV peak; the primary VIV peak did not appear as was
 410 also found by Nakamura and Nakashima (1986). In comparison to the heaving response, as
 411 the wind speed increased, the angular response was seen to rise quite suddenly and, beyond
 412 the peak, it only gradually decreased. Analysing the phase shift of the moment against the
 413 angular displacement revealed a more gradual change in the phase angle during the lock-in.

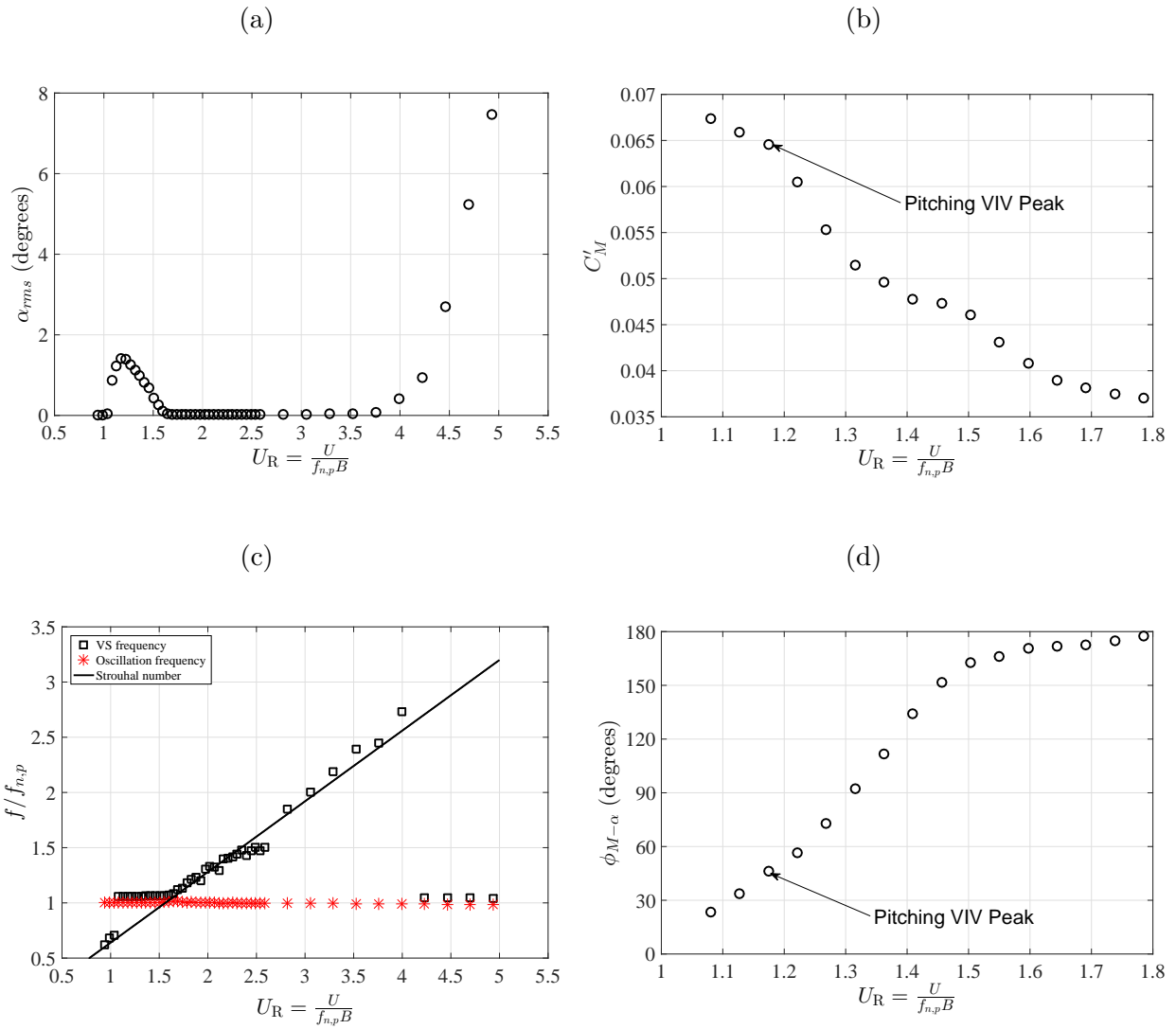


Figure 19: Results of the wind tunnel dynamic test of the section model restrained to the pitching mode only: (a) structural response, (b) moment coefficient response, (c) frequency of response and (d) phase shift of the moment against the structural angular displacement.

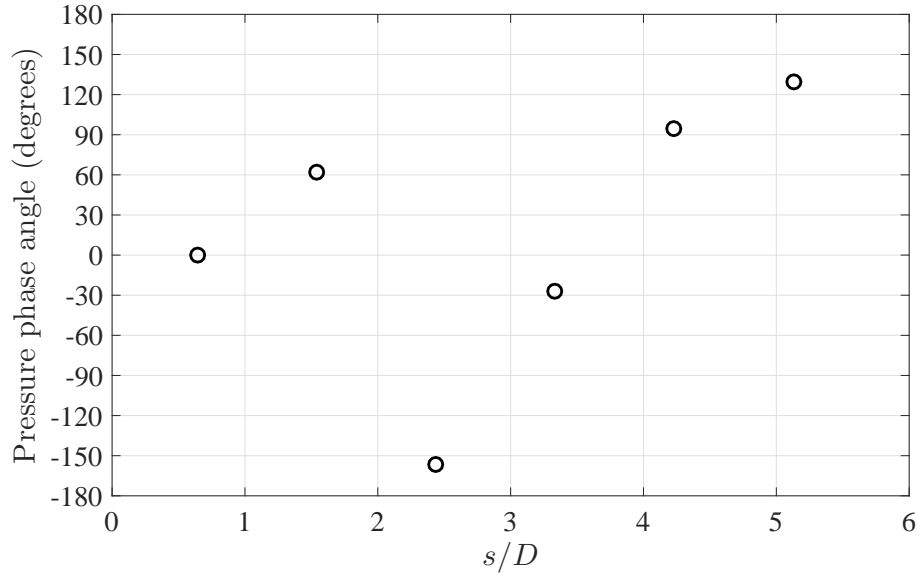


Figure 20: Phase angles of vortices rolling on the surface of the cylinder experiencing the pitching VIV response, measured in the wind tunnel dynamic test at $U_R = 1.17$, i.e. at the pitching VIV peak.

414 The variation of the surface pressure correlation measured along the leading edge (Po-
415 sitions A and B) and along the trailing edge (Positions C and D) during the pitching VIV
416 lock-in is summarised in Figure 21. In comparison to what was observed when the cylinder
417 was restrained to the heaving mode only, certain similarities can be drawn. After the max-
418 imum structural response was reached, a reduction in the pressure correlation occurred at
419 Position C and led to a decrease in the amplitude of the structural response. Knowing the
420 phase shift between the surface pressure and the angular displacement, the development of
421 the flow field around the cylinder during two successive cycles of the motion is illustrated
422 in Figure 22. After one cycle of motion, the motion-induced leading-edge vortex travelled
423 downstream a distance up to two-thirds of the width of the cylinder. In the next quarter of
424 the cycle, the upward accelerating motion of the trailing edge caused this vortex to impinge
425 on the surface, leading to a rise in the moment acting on the cylinder. Afterwards, the
426 motion of the cylinder slowed down; the vortex was pushed towards the trailing edge and
427 eventually shed into the wake. This result highlighted the different role of the motion-induced
428 leading-edge vortex and its impingement in the VIV of the 5:1 rectangular cylinder.

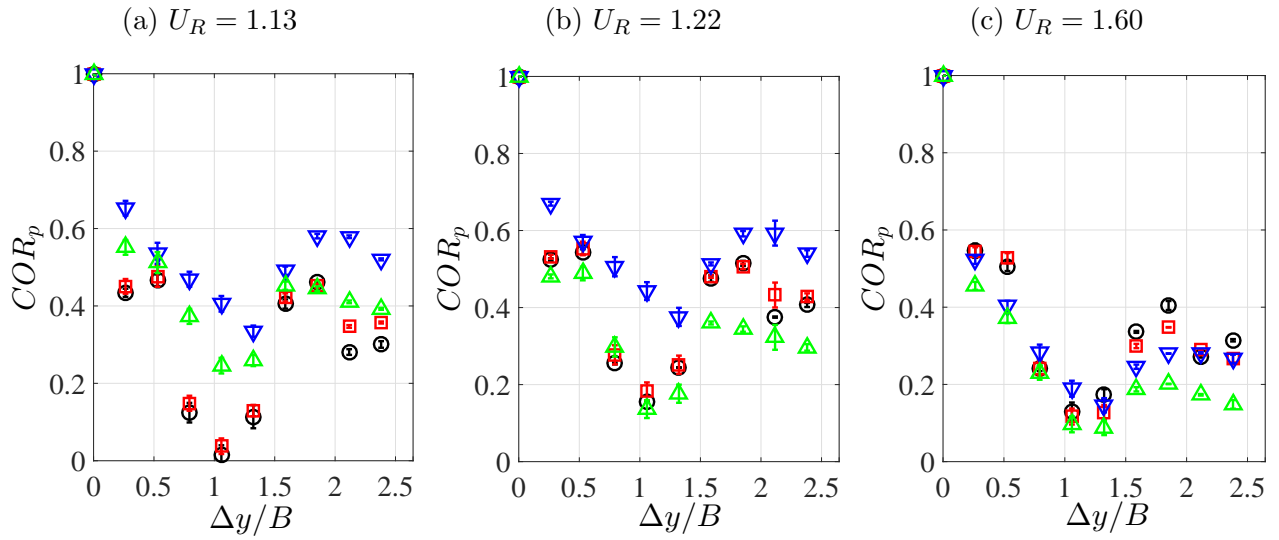


Figure 21: Wind tunnel results of the span-wise pressure correlation measured at 4 stream-wise positions in the smooth flow during the pitching VIV lock-in; *black*: Position A; *red*: Position B; *blue*: Position C; *green*: Position D.

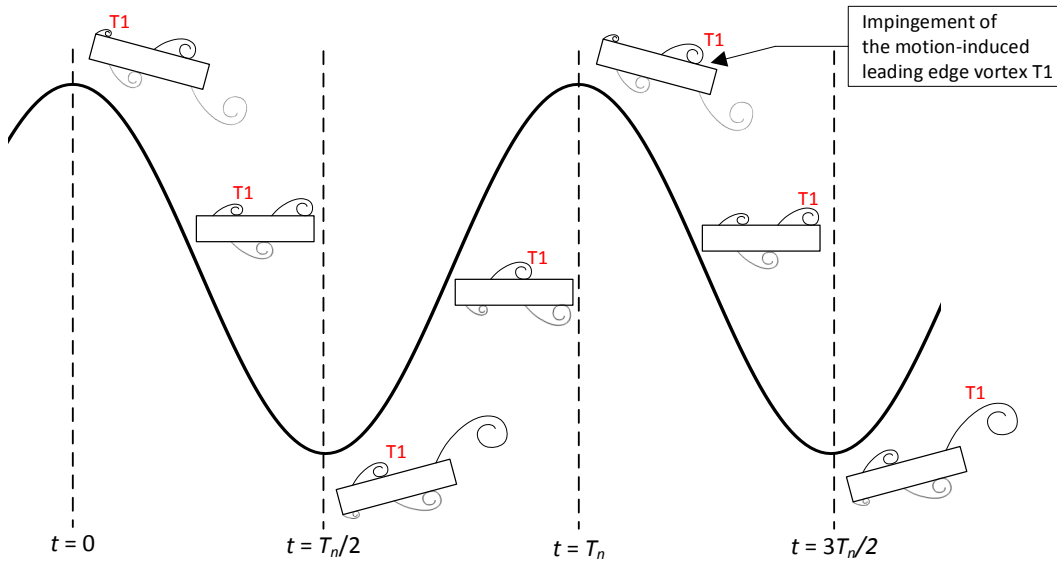


Figure 22: Schematic illustrating the development of the motion-induced leading-edge vortex $T1$ throughout 1.5 cycles of the pitching motion during the VIV lock-in.

429 6. Conclusion

430 By analysing the surface pressure correlation along the leading edge and trailing edge
431 and investigating the flow field offered by the computational simulation, this paper presents a
432 comprehensive explanation of the VIV mechanism of the 5:1 rectangular cylinder. Regardless
433 of being restrained to the heaving mode only or the pitching mode only, there were two
434 key flow features which were important for the VIV of this particular geometry. The first
435 one was the leading-edge vortex, which was responsible for triggering the motion, resulting
436 in some initial structural displacement at the start of the lock-in. The second one was
437 the impingement of the motion-induced leading-edge vortex on the surface of the cylinder,
438 occurring close to the trailing edge. This flow feature led to a rise in the suction and in the lift
439 force or moment acting on the cylinder, causing an increase in the structural response during
440 the lock-in. As part of a boarder wind tunnel and computational studies, these outcomes
441 will be analysed to provide more insight into the turbulence-induced effect of the VIV of a
442 5:1 rectangular cylinder; further results and discussion will be presented in a separate paper.

443 There were a number of limitations to the work presented in this paper. As for CFD
444 simulations, the use of finer computational domains particularly in the span-wise direction is
445 of importance to minimise issues related to the mesh sensitivity. In addition, experimental
446 errors were observed in WT dynamic tests; the combination of the end plates, the finite span-
447 wise length of the model and its rolling oscillation caused some resonance effect limiting the
448 usability of the pressure data to investigate the span-wise correlation. This issue should be
449 studied and a standard guideline to perform dynamic wind tunnel tests should be produced

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