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Article

## Wood Density-Climate Relationships Are Mediated by Dominance Class in Black Spruce (*Picea mariana* (Mill.) B.S.P.)

Wei Xiang <sup>1,\*</sup>, David Auty <sup>2</sup>, Tony Franceschini <sup>3</sup>, Mathew Leitch <sup>1</sup> and Alexis Achim <sup>2</sup>

<sup>1</sup> Faculty of Natural Resources Management, Lakehead University, Thunder Bay, ON P7B 5E1, Canada; E-Mail: [mleitch@lakeheadu.ca](mailto:mleitch@lakeheadu.ca)

<sup>2</sup> Renewable Materials Research Centre, Université Laval, Sainte-Foy, QC G1V 0A6, Canada; E-Mails: [auty.david.1@ulaval.ca](mailto:auty.david.1@ulaval.ca) (D.A.); [alexis.achim@sbf.ulaval.ca](mailto:alexis.achim@sbf.ulaval.ca) (A.A.)

<sup>3</sup> Chaire de recherche sur la forêt habitée, Département de Biologie, Chimie et Géographie, Université du Québec à Rimouski, 300 allée des Ursulines, Rimouski, QC G5L 3A1, Canada; E-Mail: [tony.franceschini@uqar.ca](mailto:tony.franceschini@uqar.ca)

\* Author to whom correspondence should be addressed; E-Mail: [wxiang@lakeheadu.ca](mailto:wxiang@lakeheadu.ca); Tel.: +1-807-343-8659; Fax: +1-807-343-8116.

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**Abstract:** The relationships between climate and wood density components, *i.e.*, minimum ring density, maximum ring density and mean ring density have been studied mainly in dominant trees. However, the applicability of the findings to trees of other dominance classes is unclear. The aim of this study was to address whether climate differentially influences wood density components among dominance classes. X-ray densitometry data was obtained from 72 black spruce (*Picea mariana* (Mill.) B.S.P.) trees harvested in Northwestern Ontario, Canada. Dominant, co-dominant and intermediate trees were sampled and the data analysed using mixed-effect modelling techniques. For each density component, models were first fitted to the pooled data using ring width and cambial age as predictors, before monthly climatic variables were integrated into the models. Then, separate models were fitted to the data from each dominance class. In general, the addition of climatic factors led to a small but significant improvement in model performance. The predicted historical trends were well synchronized with the observed data. Our results indicate that trees from all dominance classes in a stand should be sampled in order to fully characterize wood density-climate relationships.

**Keywords:** wood density; climate; black spruce; dominance class; X-ray densitometry

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## 1. Introduction

Over the past several decades, boreal forest biomass production has increased along with increasing mean annual temperatures [1]. As a measure of forest productivity, annual biomass increment has often been estimated by considering the effect of climate on tree ring width [2,3]. In gymnosperms, ring width has been shown to vary with temperature [4,5], water availability [6,7] and the concentration of atmospheric carbon dioxide [8,9]. By necessity, calculations of annual biomass increment must also include wood density, for which constant values are often assumed [10,11]. Since wood density is known to change significantly according to stand conditions, genetics and climate [12], more detailed knowledge of this variability may help to improve biomass estimations [13].

In gymnosperms, anatomical changes throughout the growing season generally lead to a transition from large, thin-walled earlywood tracheids to smaller, thicker-walled latewood tracheids, hence wood density increases across annual rings [14]. These anatomical changes result from variation in cambial activity triggered by crown processes [15–17], which are under a degree of climatic control, at least for the onset of growth and dormancy [18,19].

Considering these relationships, a degree of correlation between climate and wood density components is expected in spruce and other temperate or boreal conifer species, e.g., see Bouriaud *et al.* [20]. Generally, the association between climatic variables and wood density components is stronger for maximum than for minimum or mean ring density [21,22]. As a consequence, maximum density series are frequently used in dendroclimatic studies [23,24]. Such studies typically focus on trees occupying dominant or co-dominant positions in the canopy. However, the response of intermediate trees may also be important, as they represent a significant proportion of the final composition of mature forest stands, and therefore contribute both ecologically and commercially to forest functions [25]. Information from intermediate trees might improve the accuracy of predictions from carbon accounting models. Many previous studies have shown that functional processes change with both tree age and size [26]. The interrelated effects of these variables are difficult to disentangle [27], although recent research suggests that size may be the most important factor [27,28].

In a closed-canopy stand, smaller trees in lower canopy positions are likely to respond differently to climatic factors because of their more restricted access to resources [29]. Little scientific attention has been given to the potential effect of tree dominance on wood density-climate relationships, while the few studies that have studied the relationship between tree growth and climate have reported contrasting results. Dominant trees of silver fir (*Abies alba* Mill.) and Norway spruce (*Picea abies* (L.) Karst.) were reported to be more sensitive to climatic variables than trees in lower canopy positions [29,30]. Conversely, De Luis *et al.* [31] found that growth was more sensitive to climate in small individuals of *Pinus halepensis* and *P. pinea* than in larger trees. In lodgepole pine (*Pinus contorta* Dougl. ex Loud.), Chhin *et al.* [32] reported a constant growth response to climatic variables across tree sizes.

This study presents an analysis of wood density–climate relationships among different dominance classes in black spruce (*Picea mariana* (Mill.) B.S.P.). This species constitutes an abundant resource over a vast area of distribution covering the entire North American boreal forest biome. Within-stem ring density variation in this species has been described radially [33,34], longitudinally [35], as a function of growth rate [33], and in various site conditions [36]. Although it is reasonable to hypothesize that black spruce might exhibit some variability in wood density–climate relationships among trees occupying different levels of the canopy, there is currently little information about the exact nature of these interactions. The aims of this study were therefore: (1) to characterize the relationship between climatic factors and minimum, maximum and mean ring density of black spruce from even-aged, closed-canopy stands; and (2) to quantify the variation in wood density–climate relationships among trees occupying three different canopy positions, *i.e.*, dominant, co-dominant and intermediate classes.

## 2. Materials and Methods

### 2.1. Sampling and Climate Data

We sampled 13 natural black spruce stands (stand age from 60 to 138) in the Lake St. Joseph Ecoregion, 400 km northwest of Thunder Bay, Ontario, located between 51°42' N and 52°6' N and between 90°3' W and 94°31' W, at an elevation of 400 m. Each site was pre-selected according to the following criteria: (1) species composition (dominated by black spruce); (2) stand age (>50 years old) and (3) crown closure (>55% crown closure). All stands were of natural origin and had never been managed. The mean length of the growing season was between 162 and 179 days. Further information is available in the Ecoregions Working Group [37] publication. Monthly temperature (mean, minimum and maximum) and precipitation were obtained from the nearest Environment Canada weather station (Sioux Lookout at 50°07' N, 91°54' W). A small number of missing meteorological data points was substituted with data from a neighbouring station (Kenora at 49°48' N, 94°32' W). Climate data were collected over a period of 94 years, from 1915 until 2008. Over this period, mean annual temperature ranged from −1.8 to 4.3 °C, mean minimum temperature varied between −8.4 and −0.7 °C, and mean maximum temperature between −3.0 and 9.4 °C. Annual precipitation ranged from 393 to 1092 mm, while May to September rainfall was between 141 and 758 mm. From 1915 to 2008, there were increasing trends in both average annual temperature and precipitation (Figure 1).

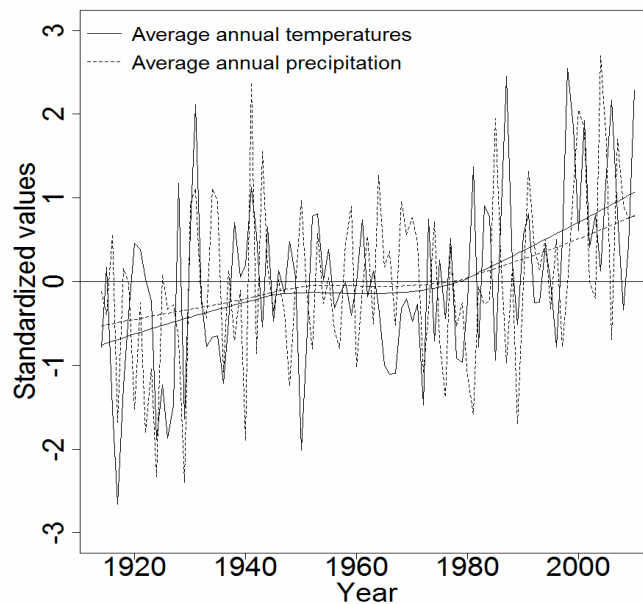
Three temporary sample plots with a radius of 5.64 m (100 m<sup>2</sup>) were established at each site, and diameter at breast height (DBH, cm) was measured on all live black spruce trees. From each plot, three trees with no visible signs of damage were harvested: (1) the tree with the largest DBH; (2) the tree with the DBH closest to the quadratic mean DBH of the plot and (3) the tree with the DBH closest to the average of the quadratic mean DBH and that of the smallest tree in the plot, which we will refer to as dominant, co-dominant and intermediate trees, respectively. After felling, the total height of each sample tree was recorded and a 5-cm thick transverse disc was cut at breast height (*i.e.*, 1.3 m above ground level).

## 2.2. Annual Ring Width and Wood Density Measurements

From each sample disk, a pith-to-bark strip with dimensions of 2 mm in the longitudinal direction and 25 mm tangentially was cut using a twin-blade circular saw. The strips were stored in a conditioning chamber at 60% relative humidity and 20 °C until they reached a constant mass, corresponding to an equilibrium moisture content of 12%. Pith-to-bark density profiles were obtained using a QTRS-01X Tree Ring Analyzer (Quintek Measurement Systems Inc., Knoxville, TN, USA). The samples were scanned in the longitudinal direction with an X-ray beam at a resolution of 40 µm (For details, see [38]). From these measurements, minimum ring density (*MnD*), maximum ring density (*MxD*) and mean ring density (*RD*) were calculated. For explanations of all the abbreviations used in this article, refer to Table 1.

Ring density was highly variable in the juvenile zone [38], but remained relatively constant after a cambial age (*CA*) of around 25 years. In addition, a density decline close to the bark was detected in some rings older than 60 years. Therefore, to minimize variation in density due to cambial age, only the rings with a *CA* between 25 and 60 years were included in the subsequent analyses. Furthermore, climatic data for the years prior to 1915 were unavailable for this region, so the rings formed during that period were also omitted. Tree-ring series were cross-dated using the COFECHA software [39]. Some discs, mostly from intermediate trees, contained extremely narrow rings, particularly close to the bark [38]. Since some of these narrow rings (<0.2 mm) cannot be reliably cross-dated, they were also omitted from the analysis. Additionally, 45 entire trees were omitted from the analysis because of damaged or small-diameter (<8 cm) stems. The final dataset therefore consisted of radial samples from 72 trees (30 dominant, 28 co-dominant and 14 intermediate trees), totalling 2455 annual rings. Details of annual ring width (*RW*) and density components for each dominance class are shown in Table 2.

**Figure 1.** Mean annual temperature and precipitation (each value was standardized by subtracting the population mean and then dividing by the standard deviation) from 1915 to 2008 in the sampling area. General trends are illustrated using loess smoothing lines.



**Table 1.** Abbreviations used in this article.

Abbreviations	Description (unit)
<i>MnD</i>	Minimum ring density (kg m <sup>-3</sup> )
<i>MxD</i>	Maximum ring density (kg m <sup>-3</sup> )
<i>RD</i>	Ring density (kg m <sup>-3</sup> )
<i>RW</i>	Ring width (mm)
<i>CA</i>	Cambial age (years)
<i>Tmean.i</i>	Mean daily temperature of month <i>i</i> in the current year (°C)
<i>Tmin.i</i>	Average minimum daily temperature of month <i>i</i> in the current year (°C)
<i>Tmax.i</i>	Average maximum daily temperature of month <i>i</i> in the current year (°C)
<i>ExTmax.i</i>	Extreme maximum daily temperature of month <i>i</i> in the current year (°C)
<i>Prec.i</i>	Precipitation of month <i>i</i> in the current year (mm)
<i>Prec.i.p</i>	Precipitation of month <i>i</i> in the previous year (mm)

**Table 2.** Mean (standard deviation) ring-level characteristics for each dominance class.

Dominance Class	Tree Age (years)	DBH (cm)	Height (m)	Number of Rings	RW (mm)	Mean Ring Density (kg/m <sup>3</sup> )	Minimum Ring Density (kg/m <sup>3</sup> )	Maximum Ring Density (kg/m <sup>3</sup> )
Dominant	84	19.7	17.6	1015	1.16 (0.48)	481.76 (58.59)	348.25 (45.51)	776.96 (101.44)
Co-dominant	81	13.3	14.7	968	0.78 (0.35)	529.68 (66.53)	384.35 (61.72)	784.16 (86.44)
Intermediate	79	11.5	14.5	472	0.64 (0.24)	535.07 (65.53)	396.38 (64.81)	750.28 (81.51)

### 2.3. Data Analysis

A statistical modelling approach was applied to remove the long-term trends in tree-ring series. Such techniques have been shown to produce similar results to those obtained using traditional dendrochronological methods [40]. First, models were developed to describe the variation in *MnD*, *MxD*, and *RD* as functions of *RW* and *CA*. The main purpose of this step was to detrend the time series to remove the known effects of ring width and cambial age on wood density. Our results therefore describe, for any ring number from the pith, the additional effects of climatic variables on ring density components, *i.e.*, over and above the well-documented relationship between climate and radial growth.

As the data were longitudinal (*i.e.*, a series of repeated measurements on the same samples), the developed models included both fixed and random effects. Such mixed-effects models account for dependence among observations on the same sample, allowing more accurate estimation of parameter standard errors [41]. Random intercepts were included at the site- and tree-level, without further partitioning the sources of within-group errors for each parameter. A first-order autoregressive (AR1) correlation structure was also included to account for correlation among observations at successive cambial ages [41]. After testing different mathematical transformations of the covariates, the fixed effects for each wood density component (Model 1) were as follows:

$$WD_i = f_i(CA, RW) = \begin{cases} MnD: \beta_0 + \frac{\beta_1}{\sqrt{RW}} \\ MxD: \beta_0 + \frac{\beta_1}{RW} + \frac{\beta_2}{1 + \sqrt{CA}} \\ RD: \beta_0 + \frac{\beta_1}{1 + \sqrt{RW}} + \frac{\beta_2}{1 + \sqrt{CA}} \end{cases} \quad (1)$$

where  $WD_i$  represents  $MnD$ ,  $MxD$ , or  $RD$ ,  $f_i(CA, RW)$  a function of  $CA$  and  $RW$ , and  $\beta_0$ ,  $\beta_1$ , and  $\beta_2$  denote the fixed effects parameters to be estimated.

In a second step, the residuals from each density component model in Equation (1) were correlated to climatic variables. Density components from each annual ring were modelled as functions of monthly climate data from January in the year prior to wood formation to October during the current year. These data were selected because in the study region, cambial activity generally ceases in October [18], while effects carried over from the previous year have also been identified [42,43]. These monthly climatic variables were then introduced into the wood density models (Model 1) to test for any significant effects. The general equation (Model 2) for the fixed effects was therefore expressed as follows:

$$WD_i = f_i(CA, RW) + g_i(climate) \quad (2)$$

where  $g_i(climate)$  is the effect of a given climate variable on a given density component.

To establish the general form of the fixed effects, models were initially fitted to the pooled dataset before separate models were fitted to data from each dominance class. The residuals for the models were assumed to be normally distributed, with  $N(0, \sigma_i^2)$ . Model selection was based on Akaike's [44] information criterion (AIC) and likelihood ratio tests for nested models. For a given dataset, AIC provides information on the relative adequacy of nested statistical models, and models with lower values were selected. All models were fitted using functions contained in the *nlme* library [45] of the R statistical programming environment [46]. Because of the large number of candidate explanatory variables, stepwise regression was first used to progressively fit each model with no random effects [47]. Then, random effects were introduced in the best model obtained from the first step. Additionally, coefficients of determination were calculated for each level of the fixed and random effects [48]. The accuracy of the predictions were evaluated using two error statistics, *i.e.*, mean percentage error (ME%) and mean absolute percentage error (|ME|%). The agreement between the predicted and observed chronologies was assessed for their degree of correlation using the Spearman's rank correlation coefficient, and for their synchronicity using the Gleichläufigkeit statistic, or g-score [49] from the *gfk* function in the *Dplr* library [50].

### 3. Results

#### 3.1. Detrending the Effects of Cambial Age and Ring Width on Wood Density Components (Model 1)

For each density component, the effects of cambial age varied, and different mathematical forms of ring width were used in the models (Tables 3–5). There was a small positive effect of  $CA$  on both  $MxD$  and  $RD$ . Additionally, while annual ring width was negatively related to both  $MnD$  and  $RD$ , it was positively related to  $MxD$  (see appendix Figure A1).

The non-climatic model (Model 1) was generally unbiased for all density components, despite the moderately high |ME|% value (Tables 3–5). The highest  $R^2$  (calculated from the fixed effects of the models) was 0.22 in the *MnD* model compared to 0.07 and 0.01 in the *RD* and *MxD* models, respectively. For all density components, between-tree differences accounted for most of the random variation in the models, *i.e.*, 41%, 52%, and 51%, for *MnD*, *MxD*, and *RD*, respectively, with only a small random effect of site.

### 3.2. Inclusion of Climate Variables in the Models (Model 2)

In models fitted to the pooled data, *MnD* was found to decrease with lower minimum temperatures during May, and increased with lower minimum temperatures in January and July. In addition, precipitation in May, June, and the previous March were negatively related to *MnD*, while the opposite relationship was found with precipitation in the previous October (Table 3). Temperature in the early growing season (April to June) was positively correlated with *MxD*. Conversely, extreme high temperatures in August were correlated with lower *MxD*, while high precipitation levels in July and August and lower rainfall in May of the previous year were associated with lower *MxD* (Table 4). Mean temperatures in April and May were positively related to *RD*, while mean temperatures in September, along with the minimum temperatures in January and July were negatively related to *RD*. Additionally, lower precipitation in June, August of the current year, and in March, August and December of the previous year, were associated with higher *RD*. However, lower precipitation in April and the previous May were linked with lower *RD* (Table 5). In general, the inclusion of climatic variables (Model 2) resulted in lower AIC values and improved error statistics (Tables 3–5). The climatic variables increased the contribution of the fixed effects to the total variability of *MnD*, *MxD*, and *RD* by 2%, 2%, and 4%, respectively. The increase in both Spearman's correlation coefficients and g-scores from Model 1 to Model 2 (Table 6) also confirmed that the inclusion of climatic variables was necessary.

The separate versions of model 2 fitted to data from the different dominance classes included different non-climatic variables for each model. For each density component the magnitude of *RW* and *CA* differed slightly among the dominance classes (Tables 3–5). The effects of climatic variables also varied between dominance classes. The negative relationship between minimum temperature in January and *MnD* that was found in the pooled data was not detected in intermediate trees, while a positive relationship between the minimum temperature in May and *MnD* was found across all dominance classes (Table 3). However, the negative effect of July minimum temperature on *MnD* was not found in co-dominant trees. Furthermore, *MnD* in intermediate trees was less sensitive to precipitation in the early growing season, although it was negatively related to June precipitation levels. The precipitation during the previous March was significant in the *MnD* models for the dominant and co-dominant trees only, while the precipitation level in the previous October was significant only for the co-dominant trees (Table 3).

**Table 3.** Estimated coefficients (Est.) and associated standard error (S.E.) for minimum ring density at different dominance classes.

Minimum Density	Pooled				Dominant		Co-dominant		Intermediate	
	Model 1		Model 2		Model 2		Model 2		Model 2	
	Est.	S.E.	Est.	S.E.	Est.	S.E.	Est.	S.E.	Est.	S.E.
(Intercept)	277.2 ***	7.8	291.7 ***	10.2	302.8 ***	14.1	273.8 ***	14.0	291.8 ***	21.9
$\frac{1}{\sqrt{RW}}$	81.8 ***	4.2	83.3 ***	4.1	59.5 ***	6.6	84.9 ***	6.2	100.5 ***	9.1
<i>Tmin.1</i>			-0.7 ***	0.1	-0.7 ***	0.2	-0.8 **	0.3		
<i>Tmin.5</i>			2.4 ***	0.2	2.2 ***	0.3	2.3 ***	0.5	1.8 ***	0.6
<i>Tmin.7</i>			-1.7 ***	0.4	-1.9 ***	0.5			-2.1 *	1.1
<i>Prec.5</i>			-0.1 ***	0.0	-0.1 ***	0.0	-0.1 ***	0.0		
<i>Prec.6</i>			-0.1 ***	0.0	-0.1 ***	0.0	-0.1 ***	0.0	-0.1 *	0.0
<i>Prec.3p</i>			-0.2 ***	0.0	-0.2 ***	0.0	-0.2 **	0.1		
<i>Prec.10p</i>			0.0*	0.0			0.1 *	0.0		
<b>Coefficients of Determination</b>										
Fixed	0.22		0.24		0.05		0.25		0.14	
Site	0.31		0.33		0.51		0.36		0.25	
Tree	0.72		0.73		0.72		0.73		0.62	
<b>Error Statistics Calculated from the Fixed Effects</b>										
ME%	0.2		0.2							
ME %	10.3		10.1							

Note: ME%, and |ME|% represent mean percentage error and mean absolute percentage error, respectively. \*\*\* *p*-value < 0.001; \*\* *p*-value < 0.01; \* *p*-value < 0.05. *Tmin.i* represents the average minimum daily temperature of month *i* in the current year; *Prec.i* and *Prec.i.p* represent mean precipitation of month *i* in the current and the previous year, respectively.



**Table 4.** Estimated coefficients (Est.) and associated standard error (S.E.) for maximum ring density at different dominance classes.

Maximum Density	Pooled				Dominant		Co-dominant		Intermediate	
	Model 1		Model 2		Model 2		Model 2		Model 2	
	Est.	S.E.	Est.	S.E.	Est.	S.E.	Est.	S.E.	Est.	S.E.
(Intercept)	945.6 ***	20.1	928.8 ***	29.4	753.5 ***	25.2	961.3 ***	32.6	1077.8 ***	53.8
$\frac{1}{RW}$	-45.9 ***	3.1	-45.4 ***	3.1	-53.9 ***	8.0	-47.2 ***	4.3	-39.1 ***	4.5
$\frac{1 + \sqrt{CA}}{1}$	-802.4 ***	123.9	-638.8 ***	122.3			-1033 ***	180.9	-1161.4 ***	228.4
<i>Tmean.4</i>			2.0 ***	0.6					3.2 ***	1.2
<i>Tmean.5</i>			3.8 ***	0.5	4.5 ***	0.8	4.8 ***	0.8	3.8 ***	1.1
<i>Tmean.6</i>			1.8 *	0.7	2.8 **	1.1				
<i>ExTmax.8</i>			-1.8 ***	0.6					-3.4 ***	1.1
<i>Prec.7</i>			-0.1 ***	0.0			-0.1 *	0.1	-0.2 ***	0.1
<i>Prec.8</i>			-0.2 ***	0.0	-0.2 ***	0.0	-0.1 ***	0.0	-0.2 ***	0.1
<i>Prec.5p</i>			0.1 ***	0.0			0.2 ***	0.1		
<b>Coefficients of determination</b>										
Fixed	0.01		0.03		0.03		0.04		0.07	
Site	0.01		0.03		0.21		0.04		0.41	
Tree	0.53		0.56		0.61		0.49		0.54	
<b>Error statistics calculated from the fixed effects</b>										
ME%	0.3		0.3							
ME %	9.4		9.2							

Note: ME%, and |ME|% represent mean percentage error and mean absolute percentage error, respectively. \*\*\*  $p$ -value < 0.001; \*\*  $p$ -value < 0.01; \*  $p$ -value < 0.05. *Tmean.i* represents the mean daily temperature of month *i* in the current year; *ExTmax.i* represents the extreme maximum daily temperature of month *i* in the current year; *Prec.i* and *Prec.5p* represent mean the precipitation of month *i* in the current and the previous year, respectively.

**Table 5.** Estimated coefficients (Est.) and associated standard error (S.E.) for ring density at different dominance classes.

Ring Density	Pooled				Dominant		Co-dominant		Intermediate	
	Model 1		Model 2		Model 2		Model 2		Model 2	
	Est.	S.E.	Est.	S.E.	Est.	S.E.	Est.	S.E.	Est.	S.E.
(Intercept)	556.6 ***	21.5	562.8 ***	24.1	500.6 ***	34.6	564.0 ***	39.1	621.2 ***	32.8
$\frac{1 + \sqrt{RW}}{1}$	68.4 **	26.3	86.2 ***	25.4	77.3 *	39.1	104.6 *	41.8		
$\frac{1 + \sqrt{CA}}{1}$	-617.0 ***	77.8	-621.8 ***	75.4	-335.7 ***	112.4	-661.7 ***	121.6	-1045.0 ***	185.6
<i>Tmin.1</i>			-0.7 ***	0.2	-0.6 *	0.2	-0.7 *	0.3	-1.05 *	0.4
<i>Tmean.4</i>			0.9 ***	0.3	1.2 ***	0.4				
<i>Tmean.5</i>			2.8 ***	0.3	2.5 ***	0.4	3.0 ***	0.5	3.1 ***	0.7
<i>Tmin.7</i>			-1.7 ***	0.5	-1.4 *	0.7				
<i>Tmean.9</i>			-1.7 ***	0.5	-1.9 ***	0.6	-2.7 ***	0.8		
<i>Prec.4</i>			0.1 *	0.0					0.2 ***	0.1
<i>Prec.6</i>			-0.1 ***	0.0	-0.1 ***	0.0	-0.1 ***	0.0		
<i>Prec.8</i>			-0.1 ***	0.0	-0.1 ***	0.0	-0.1 ***	0.0		
<i>Prec.3,p</i>			-0.1 *	0.0	-0.1 *	0.1				
<i>Prec.5,p</i>			0.1 ***	0.0	0.1 *	0.0	0.2 ***	0.0		
<i>Prec.8,p</i>			-0.1 ***	0.0			-0.1 ***	0.0		
<i>Prec.12,p</i>			-0.1 **	0.0					-0.2 *	0.1
<b>Coefficients of determination</b>										
Fixed	0.07		0.11		0.08		0.12		0.09	
Site	0.19		0.23		0.48		0.25		0.40	
Tree	0.70		0.73		0.72		0.69		0.64	
<b>Error statistics calculated from the fixed effects</b>										
ME%	0.4		0.3							
ME %	9.9		9.6							

Note: ME%, and |ME|% represent mean percentage error and mean absolute percentage error, respectively. \*\*\* *p*-value < 0.001; \*\* *p*-value < 0.01; \* *p*-value < 0.05. *Tmin.i* represents the average minimum daily temperature of month *i* in the current year; *Tmean.i* represents the mean daily temperature of month *i* in the current year; *Prec.i* and *Prec.i,p* represent mean the precipitation of month *i* in the current and the previous year, respectively.

**Table 6.** Spearman’s correlation coefficient and g-score for observed and predicted density based on Model 1 (without climatic variables) and Model 2 (including climatic variables) for each wood density component and dominance class combination.

Density Component	Dominance Classes	Correlation		G-Score	
		Model 1	Model 2	Model 1	Model 2
<i>MnD</i>	Dominant	0.23	0.30	0.58	0.62
	Co-dominant	0.54	0.55	0.65	0.66
	Intermediate	0.35	0.38	0.52	0.54
<i>MxD</i>	Dominant	0.09	0.22	0.54	0.56
	Co-dominant	0.24	0.28	0.57	0.58
	Intermediate	0.22	0.33	0.64	0.66
<i>RD</i>	Dominant	0.20	0.36	0.54	0.59
	Co-dominant	0.35	0.36	0.56	0.62
	Intermediate	0.25	0.30	0.42	0.54

There was a positive relationship between mean May temperatures and *MxD* for all dominance classes, as was also found in the pooled data (Table 4). However, mean April and June temperatures were only significantly related to *MxD* in the intermediate and dominant trees, respectively. Extreme high temperatures in August were negatively correlated with *MxD* in intermediate trees, but, unlike in the pooled data, there was no significant relationship between July precipitation and *MxD* in the dominant trees. Additionally, only the co-dominant trees showed a significant relationship between *MxD* and precipitation during May of the previous year (Table 4).

Minimum temperatures in January and July were negatively related to *RD*. The former effect was significant across all dominance classes, while the latter was only significant in dominant trees (Table 5). The positive relationship between mean April temperatures and *RD* found in the pooled data was only significant in dominant trees, while a positive relationship between mean May temperatures and *RD* was statistically significant in all dominance classes. There was a negative relationship between mean September temperatures and *RD* in the pooled data that was not detected in intermediate trees. In addition, *RD* was positively related to April precipitation only in intermediate trees, while it was negatively related to precipitation in June and August in the other two dominance classes. Negative relationships between *RD* and precipitation during the previous March, August, and December were significant in dominant trees, co-dominant trees and intermediate trees, respectively. Precipitation during the previous May was only positively related to *RD* for the dominant and co-dominant trees (Table 5).

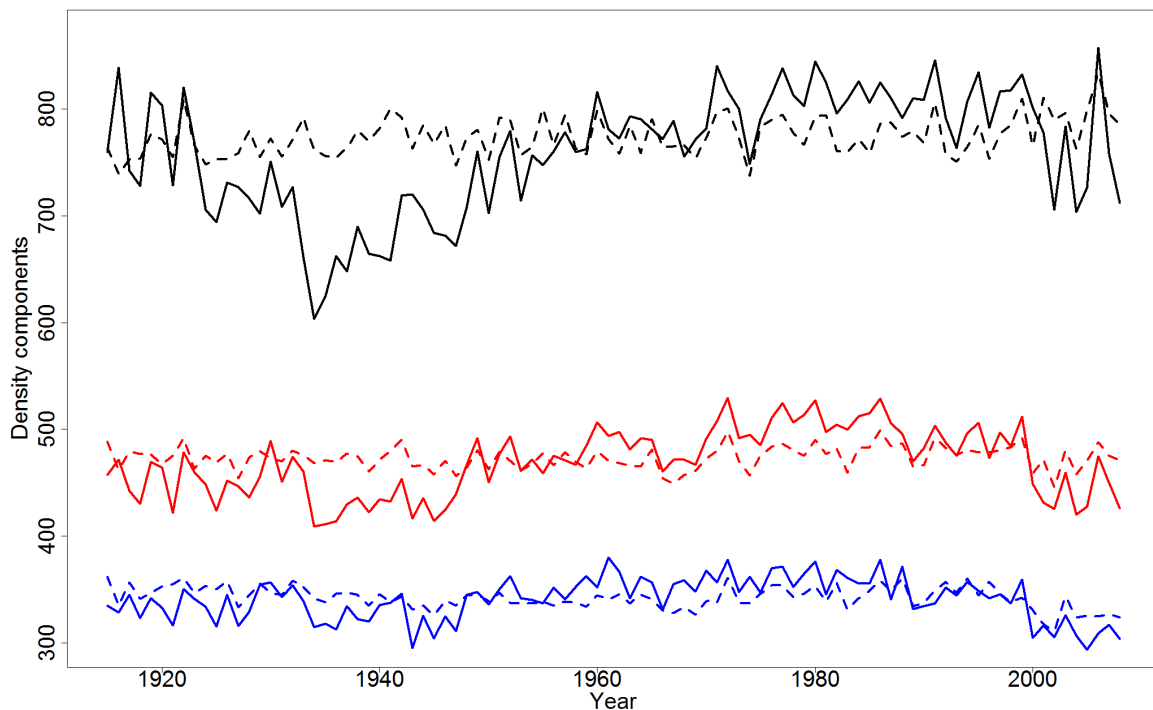
Using the developed models, we were able to reproduce the observed trends of wood density variation for each dominance class with a reasonable degree of accuracy (Figures 2–4). Although the correlation coefficients had lower values in the dominant trees than in the other dominance classes, the similar g-scores between the dominance classes indicated that the model predictions were well synchronized with the observed data (Table 6). In both co-dominant and intermediate trees, the largest increases in the correlation coefficient between Model 1 and Model 2 were observed for *MxD*, indicating that climatic variables had a stronger relationship with *MxD* than with the other wood density components.

## 4. Discussion

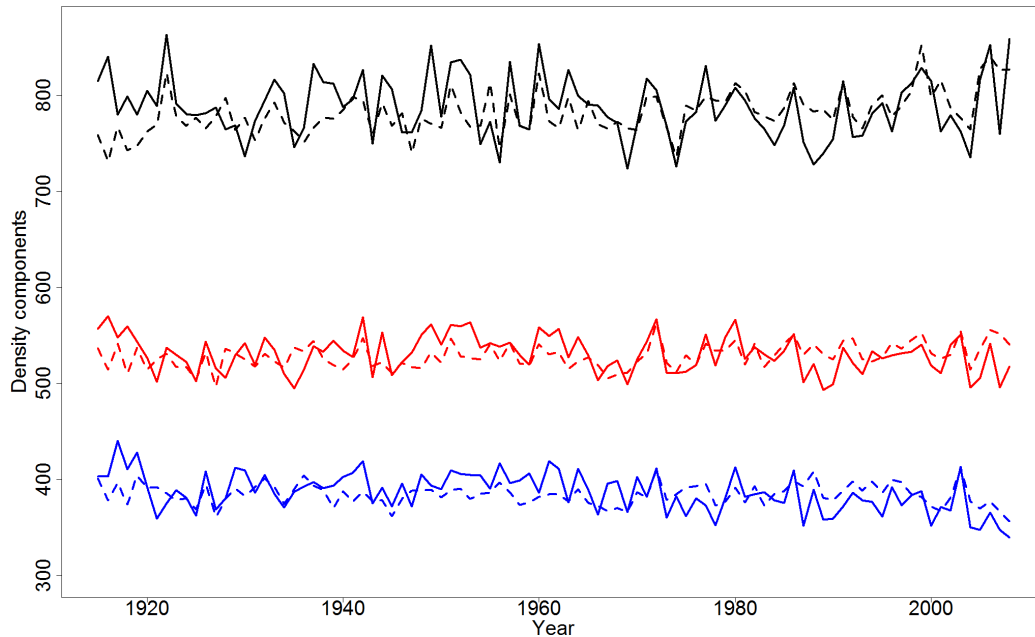
### 4.1. The Effects of Cambial Age and Ring Width on Wood Density Components

Although the data were deliberately truncated to minimize variation, there remained weak but significant negative effects of cambial age on *MnD* and *RD*. A weak positive relationship between *MxD* and cambial age was also identified. These findings are in accordance with previous studies on Norway spruce [51,52] and black spruce [38]. The negative relationship between annual ring width and *RD* is also in agreement with previous studies on spruce species [33,53]. This is thought to be a consequence of a lower latewood proportion in wider annual rings [52]. In this context, although the positive relationship between *MxD* and annual ring width seems biologically counterintuitive, it has also been reported in a previous study on black spruce [22]. Indeed, higher *MxD* does not necessarily imply higher *RD* because the latter is largely determined by earlywood density and latewood proportion [33].

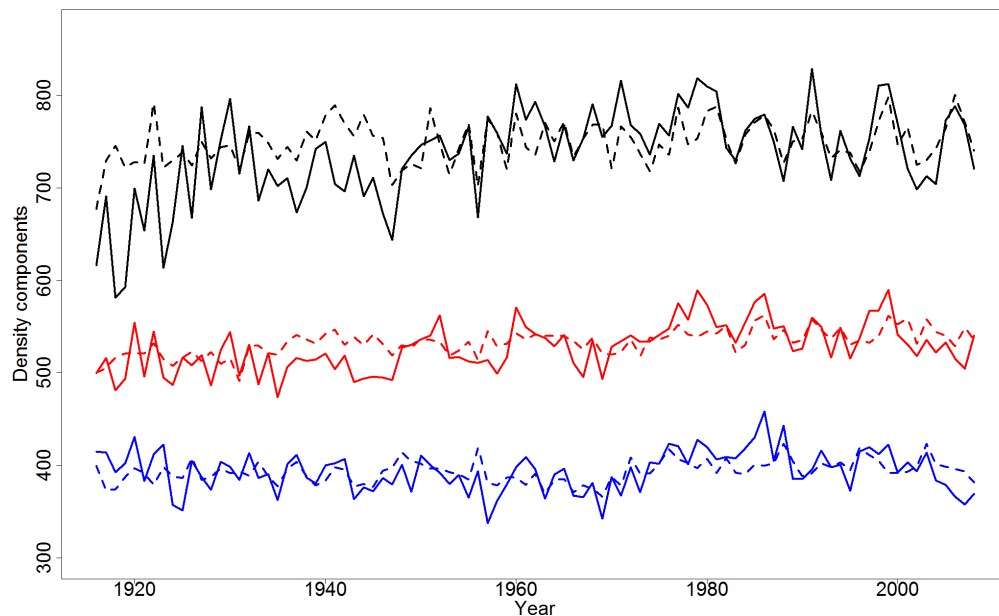
**Figure 2.** Ring density components (mean observed and predicted values) against calendar year for dominant trees from 1915 to 2008. Observations were displayed by solid lines and predictions were depicted by dashed lines. Different density components are represented by the different colors, minimum ring density (blue lines); maximum ring density (black lines) and average ring density (red lines).



**Figure 3.** Ring density components (mean observed and predicted values) against calendar year for co-dominant trees from 1915 to 2008. Observations were displayed by solid lines and predictions were depicted by dashed lines. Different density components are represented by the different colors, minimum ring density (blue lines); maximum ring density (black lines) and average ring density (red lines).



**Figure 4.** Ring density components (mean observed and predicted values) against calendar year for intermediate trees from 1915 to 2008. Observations were displayed by solid lines and predictions were depicted by dashed lines. Different density components are represented by the different colors, minimum ring density (blue lines); maximum ring density (black lines) and average ring density (red lines).



#### 4.2. Effect of Climatic Variables on Wood Density Components in the Pooled Data

Our results are consistent with several previous studies that have described in detail the links between climatic factors and the intra-annual variation in physiological processes affecting wood formation, e.g., the onset of cambial activity or the rate and duration of cell production and secondary cell wall development over the growing season [21,54,55]. In boreal and temperate forests, temperature is an important factor controlling cell differentiation [17,56]. For example, the minimum daily temperature is thought to determine the onset of cambial activity [18,57]. This may explain the observed positive relationship between average daily minimum temperatures in May and *MnD* [24]. A significant negative relationship between *MnD* and climatic variables measured later in the growing season (July) was also reported by Franceschini *et al.* [52], which was thought to be a consequence of the prolongation of the maturation stage of earlywood tracheids. Another possible explanation is that cambial activity peaks during the warmest part of the growing season [22]. At this time, increased minimum temperatures may significantly increase cell production [58], thus, producing larger rings with a lower minimum density [52,59].

The negative relationship between minimum January temperatures and *MnD* is in accordance with other studies conducted on gymnosperms growing at the tree line [6,60,61]. This could be due to reduced photosynthetic capacity during the growing season following needle loss after exposure to low winter temperatures [60]. During the growing season, the negative relationship between early season (May and June) precipitation and *MnD* may be due to the greater vapor pressure deficit that occurs in drier periods. This could affect cell enlargement, and, hence, wood density, via the control of turgor pressure [62].

The positive effect of spring temperatures on *MxD* found in this study can also be seen in many other studies [21,63–65], although no explanation was provided. A tentative explanation might be that the first latewood cells can form in spring [54], so that increased temperatures around that time might enhance the wall thickening stage and thus lead to higher *MxD*. The negative effect of August precipitation on *MxD* could be linked to increased water loss from evapotranspiration as a result of lower rainfall and low soil water reserves at the end of the summer [66–68]. This could lead to increased production of latewood cells, and, thus, higher *MxD* [7,69].

Ring density is the result of both the absolute values of earlywood and latewood density and their relative proportions within an annual ring. As expected, *RD* was highly correlated with *MnD* ( $R^2 = 0.82$ ) and moderately with *MxD* ( $R^2 = 0.47$ ). The influence of climatic variables on *RD* was generally the same as for the other density components, but with the additional influence of mean September temperature, precipitation in April, and precipitation in both August and December of the previous year. Similarly to *MxD*, the positive effect of April precipitation on *RD* could result from conditions favourable to the formation of new needles [42], which would increase the amount of photosynthates available for stem wood formation. However, the negative effect of September temperatures on *RD* is harder to explain, because lower temperatures late in the growing season are thought to slow down the rate of latewood formation [22], which in turn should lead to reduced overall *RD*. While it is possible that the observed effect arose by chance, it could also be a result of correlations between some explanatory variables (e.g., in our data, mean September temperatures and minimum temperatures in July were negatively correlated).

### 4.3. The Effects Dominance Class on Density-Climate Relationships

There was a negative relationship between minimum January temperatures and *MnD* in dominant and co-dominant trees, but not in intermediate trees. In addition, there was no significant effect of May precipitation on *MnD* in intermediate trees. Several studies have highlighted the fact that growth-climate relationships are mediated by tree size [29–31]. Explanations generally relate to effect of tree social status in the stand on transpiration rate, root system development and changes in respiratory maintenance costs from spring to summer [70,71]. These explanations may also apply to the differences observed in this study between dominance classes. For example, because they are overlapped by neighbouring crowns, intermediate trees receive less solar radiation and wind stimuli, which could reduce transpiration [70,72]. This could lead to a reduction in sensitivity to precipitation at a time of the year when there is usually no shortage of water in the ground [73,74]. Conversely, the smaller root systems of intermediate trees [75] may be more sensitive to precipitation later in the growing season, when the soil water content is usually lower. This may explain the effect of summer precipitation on *MxD* in the intermediate trees in this study, as well as the similar effect on growth presented by Martín-Benito *et al.* [71].

Mean spring temperatures were found to be positively related to *MxD* in intermediate trees (April and May), co-dominant trees (May) and dominant trees (May and June). There may thus be a shift in the relationship between temperature and *MxD* across dominance classes as the growing season progresses. This might result from the earlier initiation of latewood cells in trees that are in a less favourable position in the canopy. Greater competitive stress might also explain why the negative effect of extreme high August temperatures on *MxD* was more pronounced in intermediate trees. Due to limited access to resources, these trees tend to favour the formation of heterotrophic tissue (mainly stem, branch and root xylem) over autotrophic (*i.e.*, needle) tissue, which has relatively higher respiratory costs [76]. In such instances, respiration can exceed photosynthesis, especially during high temperature events [71]. Therefore, by reducing the availability of photosynthates for cell wall construction, high temperatures in August may result in reduced latewood production and, thus, lower *MxD*.

The carry-over effects of precipitation on wood density components observed in this study were in agreement with previous findings [21,77]. These effects may reflect the importance of soil water reserves to the process of wood formation. However, except for the influence of December precipitation on *RD*, the delayed effects of precipitation were not significant in intermediate trees. Again, this could be explained by lower evapotranspiration rates and associated lower water requirements [70,71]. While this appears logical in the case of rainfall, the effect of snow might be more indirect. A deep snowpack can protect lichens from the effects of extreme cold, which in turn can help maintain soil moisture in the following growing season [78].

### 4.4. Simulations of Yearly Variation in Wood Density

When we compared the predicted and observed chronologies in dominant trees, wood density components were overestimated during the first part of the time series but underestimated in a later period, particularly *MxD*. This phenomenon affected the correlation statistics for the model applied to

dominant trees, but not the synchronicity. While the precise reasons for the divergence are difficult to identify, this may further emphasize the importance of changes in within-stand competition on wood density-climate relationships. Trees that were dominant at the time of sampling might not always have been in such a position. In fact, the level of tree-to-tree competition is typically very high in juvenile even-aged stands, *i.e.*, during the stage of competition-induced mortality [25]. It is possible that the dominant trees in our study had been subjected to larger changes in competitive stress levels throughout their development than trees occupying lower positions in the canopy. Our results show that the most accurate predictions of wood density variation are obtained from co-dominant trees, a fact that might be considered in climate reconstruction models.

After accounting for the influence on tree growth, there were significant additional effects of climatic variables on wood density components, and these were strongest for *MxD*. This is largely in agreement with previous studies, which have commonly used the relationships between climatic variables and *MxD* as a basis for temperature reconstruction models [24,42]. It may be that *MnD* is mainly controlled by genetics and ontogeny [21,59], while *MxD* is generally more influenced by external factors, such as climate [79].

## 5. Conclusions

This study used a modelling approach to explore the relationships between climatic variables and wood density components. Our results imply that wood density-climate relationships are influenced by differences in tree social status within a stand. Consequently, wood density-climate relationships should be characterized using data from trees occupying different positions within the forest canopy. However, the influence of climate on wood density is complex and multifaceted, and further work will therefore be necessary to gain a deeper understanding of the underlying processes, and to identify true causal links. In addition, because the models were developed on samples from a restricted geographical area, further validation will be necessary using data from a wider range of sites, species and growing conditions.

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## Author Contributions

Wei Xiang: wrote the manuscript and analyzed the data. David Auty and Tony Franceschini: co-wrote and revised the manuscript. Mathew Leitch: coordinated and supervised the research project. Alexis Achim: supervised the analysis, manuscript writing and revisions.

## Conflicts of Interest

The authors declare no conflict of interest.

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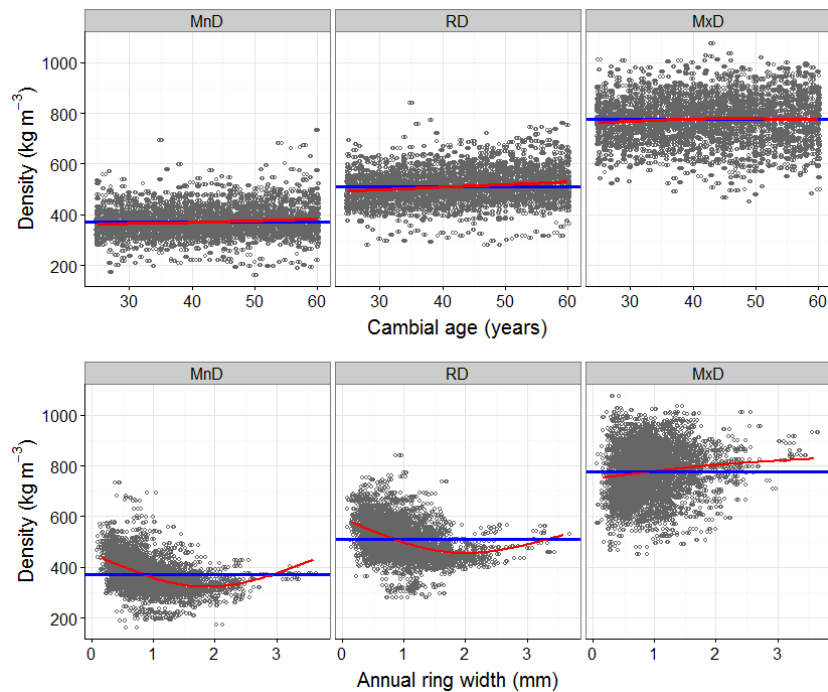
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## Appendix

**Figure A1.** Minimum, mean and maximum ring density against cambial age and ring width. The red lines represent the mean predictions from model 1 for each density component (Tables 3–5), and the blue lines represent the overall mean values.



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