

# Controller Strategy for Open-Winding Brushless Doubly-Fed Wind Power Generator with Common Mode Voltage Elimination

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**Abstract**—This paper presents the theoretical derivation and implementation of a novel direct power control for open-winding brushless doubly-fed reluctance generator (OW-BDFRG). As one of the promising brushless candidates, the OW-BDFRG is characterized with two stator windings fed by a dual controllable two-level three-phase converters through a common DC bus with common mode voltage elimination. The parameter-free control strategy is designed to obtain maximum power point tracking with variable speed constant frequency (VSCF) for wind energy conversion systems (WECSs). Compared to the traditional three-level converter systems, the DC bus voltage, AC-side voltage and capacity ratings of the proposed converter system are notably high while the reliability, redundancy and fault tolerance are significantly improved. Effectiveness, correctness and robustness of the proposed control strategy and the common mode voltage elimination scheme are evaluated and confirmed through simulation and experimental tests on a 42 kW generator prototype typical for VSCF-WECS.

**Index Terms**—Brushless doubly-fed machines, power control, common mode voltage, open-winding, variable speed constant frequency, maximum power point tracking.

## NOMENCLATURE

$u_p, u_c$	Power, control winding phase voltages [V]
$i_p, i_c$	Power, control winding phase currents [A]
$R_p, R_c$	Power, control winding resistances [ $\Omega$ ]
$p_p, p_c, p_r$	Power, control and rotor pole pairs
$L_p, L_c$	Power, control winding self-inductan. [H]
$\psi_p, \psi_c$	Power, control winding flux linkages [Wb]
$\psi_{pc}, L_{pc}$	Mutual flux [Wb] and inductance [H]
$f_p, f_c, \omega_p, \omega_c$	Power, control winding frequencies [Hz] and their angular frequencies [rad/s]

$n_r, \omega_{rm}$	Rotor speed [r/min] and angular velocity [rad/s]
$T_{em}$	Electromagnetic torque [N·m]
$\sigma$	Leakage factor = $1 - L_{pc}^2 / (L_p L_c)$
$D$	Differential operator = $d/dt$
$P_m, P_{pm}, P_{cm}$	Mechanical (shaft) power and its power control components [W]
$P_p, Q_p$	Real power [W] and reactive power [VAr]
$P_{pem}, P_{cem}$	Power, control-winding electromagnetic power [W]
$p_{pFe}, p_{pCu}$	Power winding core and copper losses [W]
$p_{rFe}, p_m, p_{ad}$	Rotor core, mech. and additional losses

## I. INTRODUCTION

**B**RUSHLESS doubly-fed generators (BDFGs) [1]–[3] have been regarded as forthcoming alternatives of the slip-ring doubly-fed induction generator (DFIG) for variable speed constant frequency (VSCF) with limited speed applications [3]. The recent advancement in the field indicates that BDFGs entail comparable performance advantages to DFIG in terms of economic benefits of reduced converter rating, inherently decoupled control of power winding torque (active) and reactive power for a typical speed range of 2:1 in wind energy conversion systems (WECS) [4], [5]. Another distinct advantage of BDFGs is the flexible modes of operation [6], [7], making them suitable for maximum power point tracking (MPPT) for VSCF-WECS [1], [3]. Due to the increasing development of new energy distributed generation, grid demands for new and challenging requirements imposing WECS to provide reactive and active power to help stabilize the power system under some disturbances [8].

Giving recognition to the BDFGs relatively higher leakage inductances and thus lower transient fault current in low-voltage ride-through without the need of supplementary crowbar circuitry makes BDFGs seemingly superior to the DFIG for grid-connected power generation [9]. Comparing the drawbacks of reliability and maintenance of DFIG for long-term use in medium or large-scale applications, the brushes and slip-rings have been removed in BDFGs design by moving the DFIG controllable rotor winding to the stator as the control winding to obtain higher robustness and maintenance-free operation [10], [11]. Except for the above features, due to the absence of rotor winding, open-winding brushless doubly-fed reluctance generator (OW-BDFRG) has further merits in

Manuscript received October 22, 2017; revised January 26, 2018; accepted February 10, 2018. This work was supported in part by the National Natural Science Foundation of China under Grant 51537007, and the Natural Science Foundation of Liaoning Province, China, under Grant 201602540 and Youth Foundation of University of Science and Technology Liaoning, China, under Grant 2012QN29.

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terms of competitive efficiency [10] and simpler dynamic model, thus easier to implement control [2], [6]. The OW-BDFRG consists of two distributed stator windings of normally different applied frequencies and pole numbers, with a cageless reluctance rotor having half the total number of two stator poles to provide the shaft position dependent indirectly coupling between windings and torque production [3]. The power (primary =  $p_p, f_p$ ) winding is grid-connected while the open-circuited control (secondary =  $p_c, f_c$ ) winding is supplied through a dual two-level converter via the DC-link in Fig. 1.

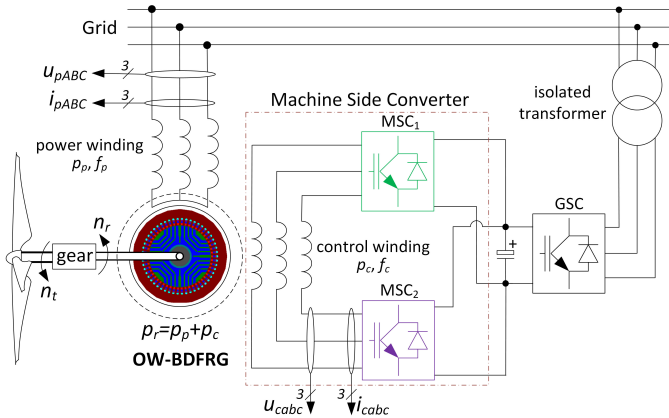


Fig. 1. A simplified schematic of the OW-BDFRG wind turbine system.

The control schemes investigated and validated for the BDFRG include voltage oriented control [12], field oriented control with [13], [14] or without [15] an encoder position sensor, torque control with [15], [16] and without [17] a speed sensor for speed regulation under different loading profiles. The direct power control (DPC) inferred from direct torque control (DTC) scheme [15], has the advantage of parameter-freedom, more robust and simpler power controllability.

Although the DPC is achieved by controlling the converter control winding, the flux sectorial location of control winding need to be identified from the measurable power winding of the reactive power but not the exact flux position information [18]. Considering the rated capacity limits of the two-level [19], inherent disadvantages of three-level systems [19], [20], improvised performance of four-level strategies [21] in terms of complex topology, high voltage of the DC bus and the potential drift of neutral point [22], makes them all difficult to implement in the megawatt applications. To improve the aforementioned challenges and shortcomings of such converters for the megawatt in VSCF-WECS, the correctness and effectiveness of the proposed control strategy for the promising OW-BDFRG are investigated through simulation and experimental tests. Consequently, the design methodology and its feasibility are evaluated, while the main contributions of the paper are brought to focus.

- 1) A parameter-free direct power control strategy combined with maximum power point tracking are investigated to examine the efficacy of the controller performance, accuracy and robustness.
- 2) Dual two-level four-quadrant machine side converter (MSC<sub>1</sub> and MSC<sub>2</sub>) arrangement using a common DC bus

through a single grid side converter (GSC) is configured to drive the emerging OW-BDFRG.

- 3) An optimized pulse width modulation switching scheme with common mode voltage elimination is proposed, investigated and applied to the OW-BDFRG controller design.

Compared to the three-level converter systems, the reliability, redundancy and fault tolerance of the proposed OW-BDFRG system is greatly improved. Subsequently, the DC-link voltage and the rated capacity of power devices on the MSC<sub>1</sub> and MSC<sub>2</sub> are reduced by about 50%, while keeping the complexity of the main circuit topology the same in contrast to the two-level converter structure.

This paper is organized as follows. The mathematical model, operating principles and variable speed constant frequency aligned with maximum power point tracking configuration are constructed in Section II. Dual two-level converter (MSC<sub>1</sub> and MSC<sub>2</sub>) driving the OW-BDFRG, a combination of direct power control mechanism, common mode voltage elimination and effects of voltage vector on power variations are developed and outlined in Section III. Simulation and experimental results verify the accuracy and robustness of the control strategy in Section IV. Finally, Section V draws conclusion.

## II. OW-BDFRG MODELING AND OPERATING PRINCIPLES

### A. Dynamic Modeling

The space-vector model of the power and control windings in arbitrary rotating  $d-q$  frame employing *generating* convention can be represented as (1) and (2) [6].

$$\begin{cases} u_{pq} = -R_p i_{pq} - D L_p i_{pq} + D L_{pc} i_{cq} + \omega \psi_{pd} \\ u_{pd} = -R_p i_{pd} - D L_p i_{pd} + D L_{pc} i_{cd} + \omega \psi_{pq} \\ u_{cq} = R_c i_{cq} + D L_c i_{cq} - D L_{pc} i_{pq} - (\omega - \omega_r) \psi_{cd} \\ u_{cd} = R_c i_{cd} + D L_c i_{cd} + D L_{pc} i_{pd} - (\omega - \omega_r) \psi_{cq} \end{cases} \quad (1)$$

where, ‘ $D$ ’ represents the differential operator ( $d/dt$ ), subscripts ‘ $p$ ’ and ‘ $c$ ’ denote corresponding stators and ‘ $r$ ’ represents rotor components;  $\omega, \omega - \omega_r$  and  $\omega_r$  indicates the rotating speed of  $d_p - q_p, d_c - q_c$  frames and the rotor angular.

$$\begin{cases} \psi_{pq} = L_p i_{pq} - L_{pc} i_{cq} \\ \psi_{pd} = L_p i_{pd} + L_{pc} i_{cd} \\ \psi_{cq} = L_c i_{cq} - L_{pc} i_{pq} \\ \psi_{cd} = L_c i_{cd} + L_{pc} i_{pd} \end{cases} \quad (2)$$

where,  $\omega = \omega_p, \omega_r - \omega_p = \omega_c$ , thus the power and control winding expressions refer to different reference frames rotating at  $\omega_p$  and  $\omega_c$  as depicted in Fig. 2.

### B. Principle operation of OW-BDFRG with VSCF-MPPT

The magnetic barrier reluctance rotor affords such a magnetic coupling between stator windings by modulating their magneto-motive forces in a frequency conversion based on the angular velocity relationship and its unusual electromagnetic torque production:

$$f_p = \frac{n_r(p_p + p_c)}{60} \pm f_c \quad (3)$$

$$\omega_{rm} = \frac{2\pi n_r}{60} = \frac{\omega_p + \omega_c}{p_r} = \omega_{syn} \left(1 + \frac{\omega_c}{\omega_p}\right) \quad (4)$$

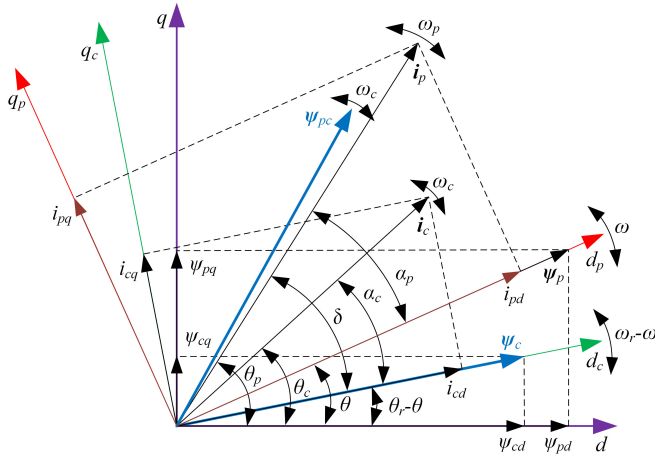


Fig. 2. Characteristic space vectors and reference frame alignment.

$$T_{em} = \frac{3p_r}{2\sigma L_c} |\psi_{pc} \times \psi_c| = \frac{3p_r}{2\sigma L_c} |\psi_{pc}| |\psi_c| \sin \delta \quad (5)$$

where,  $\omega_{syn} = \omega_p/p_r$  for  $\omega_c = 0$  (DC control winding) represents the synchronous speed,  $\sigma = 1 - L_{pc}^2/(L_p L_c)$  indicates the leakage factor,  $\Psi_{pc} = \Psi_p(L_{pc}/L_p)$  denotes the mutual flux linkage between the two stator windings. Note that if  $\omega_{rm} > \omega_{syn}$ , (3) gets ‘-’ for super-synchronous and  $\omega_c > 0$ , the phase sequence of control winding is the same as the power winding; if  $\omega_{rm} < \omega_{syn}$ , (3) takes ‘+’ for sub-synchronous, and  $\omega_c < 0$ , where the phase sequence is opposite in the stator windings. Using (4), the mechanical power balance shows individual contributions of each winding considering angular velocity and pole arrangements can be represented as [11]:

$$P_m = T_{em}\omega_{rm} = T_{em} \cdot \underbrace{\frac{\omega_p}{p_r}}_{P_{pm} \approx P_p} + T_{em} \cdot \underbrace{\frac{\omega_c}{p_r}}_{P_{cm} \approx P_c} = P_{pm}(1 - s) \quad (6)$$

where,  $P_{cm}(P_c) < 0$  (producing power to the grid) for super-synchronous and  $P_{cm}(P_c) > 0$  (absorbs power from the grid) for ‘sub-synchronous’ mode. The power flow chart implying to (6) is presented in Fig. 3. Note that only  $P_{pm} < 0$  (i.e.,  $P_p < 0$ ) component is investigated in this paper for VSCF-WECS.

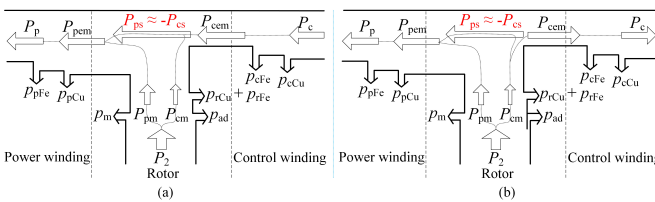


Fig. 3. Power flow chart of the OW-BDFRG for generator operation. (a) Sub-synchronous mode. (b) Super-synchronous mode ( $n_r < n_p$ ).

By adjusting  $f_c$  and its phase sequence along with  $n_r$  can maintain constant line frequency  $f_p$  and make OW-BDFRG suitable candidate in VSCF applications such as wind turbines, ship shaft power generation and hydroelectric generators. Moreover, expression (5) is not used for control purpose but only helping to illustrate the controller principles as further detailed in the Section III. C.

### C. Maximum Power Point Tracking of the OW-BDFRG

The mechanical power captured by the wind turbine can be expressed as (7), which meets expression (8) [8]:

$$P_m = \frac{1}{2} C_p \rho \pi R^2 v_w^3 \quad (7)$$

$$C_p(\lambda, \beta) = 0.5176 \left( \frac{116}{\lambda_i} - 0.4\beta - 5 \right) e^{-\frac{21}{\lambda_i}} + 0.0068\lambda \quad (8)$$

where,  $P_m$  (kW),  $\beta$  ( $^\circ$ ),  $\lambda$ ,  $C_p$ ,  $v_w$  (m/s) and  $n_r$  (r/min), denoting the mechanical power of the wind turbine, pitch angle, tip speed ratio, wind power utilization coefficient, wind speed and the rotor speed of OW-BDFRG, respectively. The wind turbine parameters are deduced as the start-up, rated and intermittent range of wind speeds as 3 m/s, 12 m/s and 3–25 m/s. While the wind wheel diameter considered is 11.85 m and air density being 1.225 kg/m<sup>3</sup>. The rated power is 50 kW, with the gear ratio of 5.2, consequently the performance curves of the wind turbine is presented in Fig. 4. It is worth noting that  $\beta$  should be minimized in order to make the best of wind energy captured by the turbine as indicated in Fig. 4(a), when  $v_w$  is below its rating value, one can set  $\beta = 0$  for capturing the maximum power coefficient  $C_p = 0.48$ . Furthermore, the rotor speed is adjusted according to each maximum power tracking point as illustrated in Fig. 4(b).

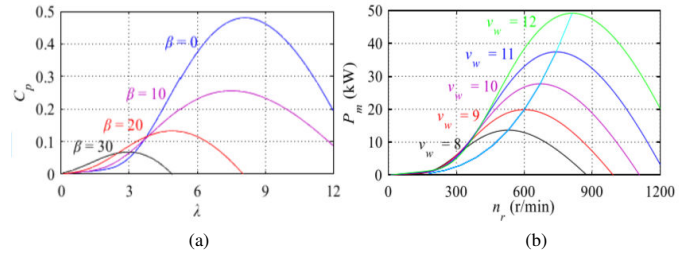


Fig. 4. Performance curves of the wind turbine. (a)  $C_p$ - $\lambda$  curves at different  $\beta$  and (b)  $P_m$ - $n_r$  curves and their MPPT at different speeds.

## III. DPC STRATEGY WITH COMMON MODE VOLTAGE ELIMINATION FOR OW-BDFRG

### A. Dual Two-level Converter Structure

The control phase windings are open-circuited fed by the dual two-level converters using a common DC bus (Fig. 1). Consequently, the topology can be described in two-fold: upper bridge arm ( $MSC_1$ ) configured as 1 to 6 and lower bridge arm ( $MSC_2$ ) allocated as 1' to 6' as illustrated in Fig. 5, whereby comparing OW-BDFRG with the OW-PMSG(M) [23], [24] and OW-IM (induction motor) [21], [25], one can obtain overwhelming virtues in terms of its partially-rated capacity and four-quadrant power. Advantages of the multi-phase machines over the traditional three-phase machines, such as capabilities in starting and continue running even with one or more of stator phases open-circuited or short-circuited, reduction in the torque pulsation and rotor copper loss hence result in improving overall performance and increasing power rating without enhancing every phase voltage, which make them be suitable for naval vessel propulsion systems and so on. However, the multi-phase machines still face many

important technical challenges which need to be overcome as comprehensively addressed in [26]. In this paper, the proposed open-winding BDFRG fed with dual two-level converters can also improve the reliability, redundancy, reduce the rating in single converter but can increase the output level, which makes OW-BDFRG easier to implement in VSCF and MPPT by only adjusting the  $f_c$  amplitude and its phase sequence along with different rotor speed (sub-synchronous, synchronous or super-synchronous mode).

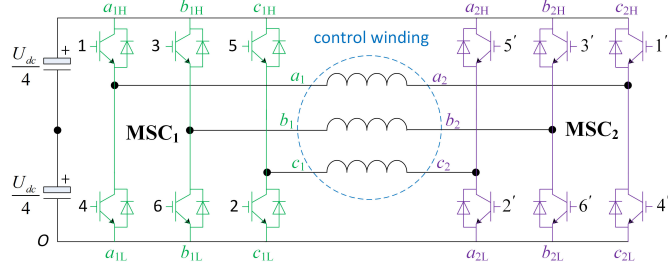


Fig. 5. Configuration of OW-BDFRG with dual two-level converters.

Therefore, the voltage relationships are denoted as:

$$\begin{cases} u_{ca} = u_{a1o} - u_{a2o} = u_{a1a2} \\ u_{cb} = u_{b1o} - u_{b2o} = u_{b1b2} \\ u_{cc} = u_{c1o} - u_{c2o} = u_{c1c2} \end{cases} \quad (9)$$

The voltage (also current and flux) in the control winding can be controlled by applying the coordinated voltage space vectors via selecting the suitable switching mode in  $MSC_1$  and  $MSC_2$ , for the combination 15'. One can also select the  $MSC_1$  and  $MSC_2$  as '100' and '001' mode, respectively as shown Fig. 6(a), thus leads to  $u_{ca} = u_{dc}/2$ ,  $u_{cb} = 0$  and  $u_{cc} = -u_{dc}/2$ .

### B. Combinations and Optimization Methods of the Voltage Space Vectors

For the traditional two-level converter topology ( $MSC_1$  and  $MSC_2$ ), their voltage vectors can be expanded and described with the space span divided into six corresponding sectors as shown in Fig. 6(a), where the switching mode is  $2^3 = 8$ , and the effective and zero vectors are represented as 1–6, 1'–6' and 7, 8, 7', 8'. The combinations of the voltage space vectors in Fig. 5 are embodied in Fig. 6(b) by synthesizing the former with the switching modes increasing to  $2^3 \times 2^3 = 64$  (vectors illustrated as 11'–88'), and the space span dividing into 24 sectors obtaining identical voltage vectors (OA, OB, ..., OS) which is the same as Fig. 7 in the conventional three-level converter [19]. However, unlike  $3^3 = 27$  switching modes in Fig. 7, the redundancy and fault tolerance of the proposed topology are much higher ( $64 > 27$ ). By comparing Fig. 6(b) with Fig. 7, the numbers of long vectors are all equal to 6 (OG, OI, OK, OM, OP, OR), the medium vectors are twice to the latter (12 vs 6, OH, OJ, OL, ON, OQ, OS), while the short vectors are three times to the latter (36 vs 12, OA, OB, OC, OD, OE, OF), and the zero vectors (O) add up to 10 from 3. Note that the DC-link voltage in the proposed topology (Fig. 5) is only 50% ( $U_{dc}/2$ ) of the traditional three-level-converter fed systems ( $U_{dc}$ ) [19].

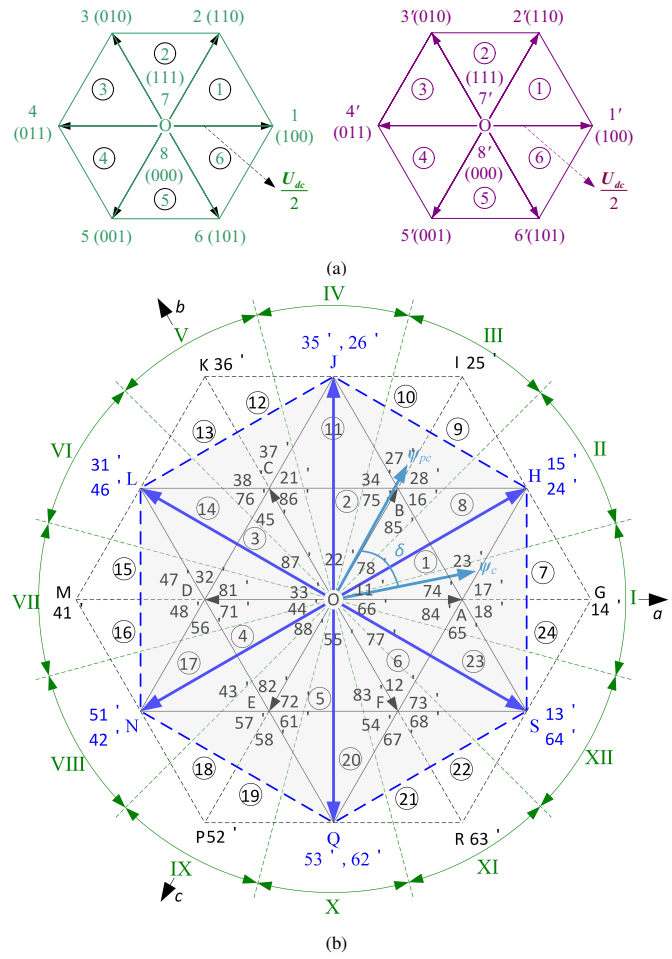


Fig. 6. Voltage space vectors characteristic. (a) Vectors in  $MSC_1$  and  $MSC_2$  and (b) Vectors,  $60^\circ$  sectors and effects on power variations of the specified vector combinations with  $CMV = 0$  in dual two-level converters.

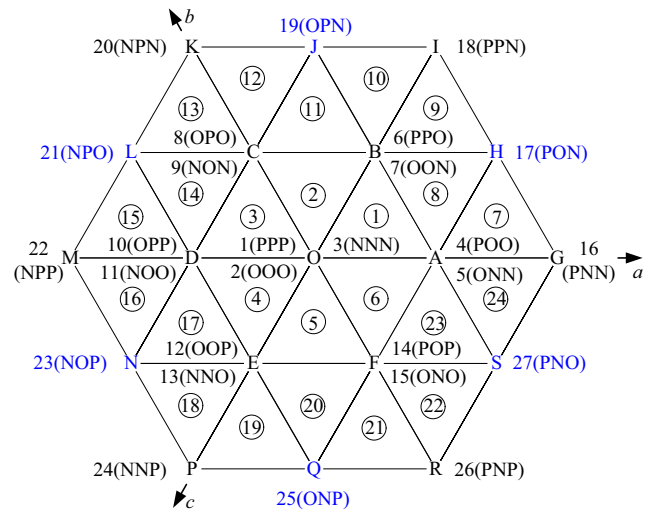


Fig. 7. The voltage space vector and sector representation of the traditional three-level converter.

When using a common DC bus, the common mode voltage

of the control winding in Fig. 5 can be represented as:

$$\begin{cases} u_{CMV1} = (u_{a1o} + u_{b1o} + u_{c1o})/3 \\ u_{CMV2} = (u_{a2o} + u_{b2o} + u_{c2o})/3 \\ u_{CMV} = u_{CMV1} - u_{CMV2} \end{cases} \quad (10)$$

For the vector 15', the CMV is 0 as expressed in (11), hence other vectors with CMV = 0 can be obtained as OH, OJ, OL, ON, OQ, OS and O, thus the effective vectors are only the middle ones. To implement the proposed direct power control strategy, the voltage space vectors with CMV elimination are shown in Fig. 6(b), divided into 12 sectors (I-XII).

$$\begin{aligned} u_{CMV} &= u_{CMV1(100)} - u_{CMV2(001)} \\ &= (U_{dc}/2 + 0 + 0) - (0 + 0 + U_{dc}/2) = 0 \end{aligned} \quad (11)$$

### C. DPC Mechanisms and Controller Strategy

The proposed direct power control schematic diagram is presented in Fig. 8, where  $P_{pref}$  denotes the optimum performance indicators by considering MPPT in VSCF-WECS, while  $Q_{pref}$  is dedicated to regulate and achieve unity power factor control.

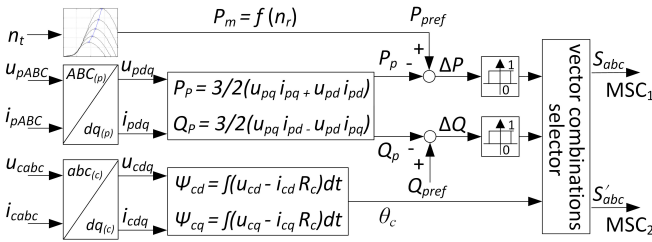


Fig. 8. A structural diagram of the proposed DPC strategy for OW-BDFRG wind turbine with maximum power point tracking controller.

Furthermore, by employing (12), the instantaneous primary electrical real and reactive power in the power winding can be expressed as:

$$\begin{cases} P_p = 3/2(u_{pq}i_{pq} + u_{pd}i_{pd}) \\ Q_p = 3/2(u_{pq}i_{pd} - u_{pd}i_{pq}) \end{cases} \quad (12)$$

To explicate the direct power control mechanism one can neglect the copper losses in view of the larger machines having inherently lower resistances [18] and also ignoring the variation of energy stored in the magnetic field ( $dW/dt$ ) with a view to the  $\Psi_p \approx \text{constant}$  (also  $\Psi_{pc} \approx \text{constant}$ ) since the power winding is grid-connected,  $dW/dt \approx d\Psi_p/dt \approx 0$ . Thus, the active power is almost equal to its mechanical power,  $P_p \approx P_{pm}$  (also,  $P_c \approx P_{cm}$ ) according to (6). Referring to the DTC approach in [15], [16] and providing the power and control winding flux in (13) and (14), then the mechanisms of the proposed DPC can be interpreted (but not for control) as:  $P_p$  is controllable by adjusting the  $\psi_c$  angle ( $\theta_r - \theta = \theta_c$ ), if  $|P_p|$  is expected to increase/decrease (generating more/less power to the grid). One can increase/reduce  $\delta$  according to electromagnetic torque ( $T_{em}$ ) expression in (5) and mechanical power balance contribution to individual winding in (6) as reinstated in Fig. 3.

$$\begin{cases} \psi_p = \int (u_p - R_p i_p) dt \\ \psi_c = \int (u_c - R_c i_c) dt \end{cases} \quad (13)$$

$$\begin{cases} \psi_{cd} = \int (u_{cd} - R_c i_{cd}) dt \\ \psi_{cq} = \int (u_{cq} - R_c i_{cq}) dt \end{cases} \quad (14)$$

The  $Q_p$  control can be explicated with similar notion to  $P_p$ , however, different in logic due to the doubly-excited principles. Since power and control windings jointly participate in establishing the machine flux, one winding participates more/less flux, while the other winding contributes less/more in the process of excitation and flux build-up. Moreover, the changes of  $\Psi_p$  are closely related to that of the reactive power, thus, the increasing/decreasing  $Q_p$  can be attained by increasing/decreasing the magnetizing current ( $\Psi_p$ ) from the power winding. Such an intricate procedure can be achieved by decreasing/increasing the corresponding related control winding component ( $\Psi_c$ ), from the controllable optimal combinations of the output vectors on  $MSC_1$  and  $MSC_2$ .

### D. Effects of Voltage Vectors on Power Variations

In order to fulfil the proposed direct power control strategy using the optimized voltage space vectors for  $MSC_1$  and  $MSC_2$  through a common DC bus with CMV elimination the optimized voltage vectors selected 15', 35', 31', 51', 53', 13', 24', 26', 46', 42', 62', 64' are displayed in Fig. 6(b) with shaded hexagon area as HJLNQS. The  $\psi_c$  and active power dynamics are reliant on the flux instant position, whereby assuming that  $\psi_c$  is located in sector I and lag  $\psi_{pc}$  with  $\delta$  at a given time instant as shown in Fig. 6(b).

In generating mode,  $P_p < 0$ , the OW-BDFRG absorbs mechanical power ( $P_{pm} < 0$ ) from the rotor and produces positive  $P_p$  to the grid by the power winding generated by the wind turbine (Fig. 3). Employing voltage vectors OH or OL moves  $\psi_c$  rotation anti-clockwise, resulting in the decrease with angle  $\delta$  and increase with  $P_p$  refers to (5), the  $P_p$  becoming less negative, which indicates the OW-BDFRG would produce less real power to the grid. On the other hand if vectors ON or OS were chosen subsequently the  $\psi_c$  moves clockwise with increasing  $\delta$  and reducing  $P_p$  (more negative), which would produce more real power to the grid. Irrespective of whether the BDFMs operate as a motor or a generator the effects of voltage space vectors on the real powers have the same result. If  $\psi_c$  is located in the  $k^{th}$  ( $k = I, II, \dots, XII$ ) sector, then the vector combinations in  $(k^{th} + 1)$  or  $(k^{th} + 2)$  increases the  $P_p$  and the vectors in  $(k^{th} - 1)$  or  $(k^{th} - 2)$  decreases the  $P_p$  (Fig. 6(b)).

According to subsection C, using any vectors of OH or OS increases  $\Psi_c$  and  $\Psi_{cd}$  (Fig. 2), indicating that there will be more reactive power to be supplied by the converters, hence the  $Q_p$  will be reduced. Inversely, vectors OL or ON will reduce  $\Psi_c$ ,  $\Psi_{cd}$  and  $Q_c$ , consequently, the  $Q_p$  will be increased. Similarly to the  $P_p$  control summary, the  $Q_p$  control rules can be concluded as follows, if the  $\psi_c$  lies in the  $k^{th}$  sector, then the vector combinations in  $(k^{th} - 1)$ ,  $k^{th}$  or  $(k^{th} + 1)$  reduces and vectors in  $(k^{th} + 2)$ ,  $(k^{th} + 3)$  or  $(k^{th} - 2)$  will increase  $Q_p$  (Fig. 6(b)). The voltage vector effects on real and reactive power variations of the power winding in each sectors are tabulated in Table I, where  $dP_p > 0$ ,  $dQ_p > 0$  (or  $< 0$ ) denoting that the  $P_p$  and  $Q_p$  will be increased (or reduced), respectively.

TABLE I  
VECTOR EFFECTS ON POWER VARIATIONS

Sector\Change	$dP_p > 0$	$dP_p < 0$	$dQ_p > 0$	$dQ_p < 0$
I	OH, OL	ON, OS	OL, ON	OH, OS
II	OJ, OL	OQ, OS	OL, OQ	OJ, OS
III	OJ, ON	OH, OQ	ON, OQ	OH, OJ
IV	OL, ON	OH, OS	ON, OS	OH, OL
V	OL, OQ	OJ, OS	OQ, OS	OJ, OL
VI	ON, OQ	OH, OJ	OH, OQ	OJ, ON
VII	ON, OS	OH, OL	OH, OS	OL, ON
VIII	OQ, OS	OJ, OL	OJ, OS	OL, OQ
IX	OH, OQ	OJ, ON	OH, OJ	ON, OQ
X	OH, OS	OL, ON	OH, OL	ON, OS
XI	OJ, OS	OL, OQ	OJ, OL	OQ, OS
XII	OH, OJ	ON, OQ	OJ, ON	OH, OQ

TABLE II  
EXPECTED  $\Delta Q$  (+/-) AND SECTOR INCREMENTS (+1/-1)

Sec.\Vec.	OH	OJ	OL	ON	OQ	OS
I	- -1	x	+ +1	+ -1	x	- +1
II	x	- +1	+ -1	x	+ +1	- -1
III	- +1	- -1	x	+ +1	+ -1	x
IV	- -1	x	- +1	+ -1	x	+ +1
V	x	- +1	- -1	x	+ +1	+ -1
VI	+ +1	- -1	x	- +1	+ -1	x
VII	+ -1	x	- +1	- -1	x	+ +1
VIII	x	+ +1	- -1	x	- +1	+ -1
IX	+ +1	+ -1	x	- +1	- -1	x
X	+ -1	x	+ +1	- -1	x	- +1
XI	x	+ +1	+ -1	x	- +1	- -1
XII	- +1	+ -1	x	+ +1	- -1	x

Analyzing Fig. 6(b) and Table I, one can attain the relation between the expected rate of change of  $Q_p$  and inferred direction of the control winding flux sector as shown in Table II, where '+' or '-' shows  $Q_p$  need to be increased/decreased ( $Q_{pref} - Q_p > \Delta Q_p$ ,  $dQ_p = 1$ , or  $Q_{pref} - Q_p < -\Delta Q_p$ ,  $dQ_p = 0$ , in Table III). The selected vector combinations in each sectors, 'x' indicates the abandoned combination(s) taking into account the opposite effect on  $Q_p$ , '+1' or '-1' represents increasing/reducing the sector number ( $k$ ) (moving  $\psi_c$  anti-clockwise or clockwise) by using the specified vectors.

According to Table I, II and Fig. 6(b), one can deduce the post-optimized vectors for the DPC strategy shown in Table III. At any moment/sector, only one specialized vector combination is used to fulfil  $P_p$  and  $Q_p$  control requirements. In sector I, only vector OS can decrease both  $P_p$  and  $Q_p$ , and only OH can increase  $P_p$  and reduce  $Q_p$ . An important merit of the proposed OW-BDFRG for VSCF-WECS is that each of these vectors has two switching combination schemes (with hexagon HJLNQS), which is twice of the three-level converter systems shown in Fig. 7 [19]. Allowing for the same voltage space vectors of up/down row in Table III, only the up row switching combinations is adopted in this paper.

TABLE IV  
PARAMETER SPECIFICATIONS AND RATINGS OF THE OW-BDFRG

Parameter	Value
Nominal power	42 kW
Nominal voltage	380 [V]
Pole-pairs number of power winding	3
Pole-pairs number of control winding	1
Resistance of power winding	0.1662 [ $\Omega$ ]
Resistance of control winding	0.1882 [ $\Omega$ ]
Self-inductance of power winding	17.37 mH
Self-inductance of control winding	23.51 mH
Mutual-inductance among the two stator windings	18.13 mH
Moment of inertia	0.3 kgm <sup>2</sup>

#### IV. SIMULATION RESULTS AND EXPERIMENTAL VERIFICATION

##### A. Simulation Results

The 6/2-pole 42 kW OW-BDFRG prototype parameters obtained via off-line tests are shown in Table IV. To make the simulations accurate, the natural wind speed is simulated in a step signals superimposed fashion with high-frequency uncorrelated white noise and unknown DC offset in view of the voltage/current sensors errors. Setting  $\Delta P_p$  to  $\pm 0.6$  kW,  $\Delta Q_p$  to  $\pm 0.4$  kvar. The wind speed employed in this investigation are 8 m/s at the time interval of 0 s, then ramps up to 9 m/s and 10 m/s at 1.5 s and 3.5 s intervals, while the corresponding rotor speed values are changed in a ramp fashion with a 350 r/mins slope in order to simulate the real wind energy conversion system. The self-starting procedure has been neglected for ease of simplicity and also given the fact that the control action is not engaged after a certain time interval. The simulation results (Figs. 9–11) have been generated based on MATLAB/Simulink and SimPowerSystems toolbox, which have been successfully verified with the experimental measurements in Figs. 13–16. Given the test scenarios the discussion of the simulation and experimental results will be jointly discussed in the next subsection.

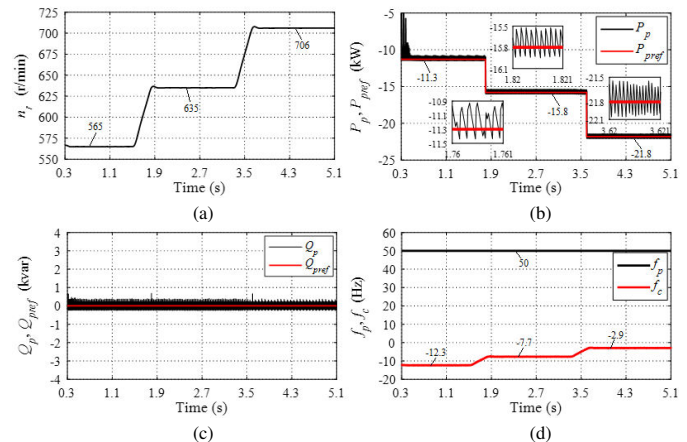


Fig. 9. Simulation results of DPC with MPPT. (a) rotor speed, (b) real power, (c) reactive power and (d) frequency.

TABLE III  
OPTIMUM SWITCHING VECTORS FOR THE PROPOSED DIRECT POWER CONTROL STRATEGY

Error		Sector( <i>k</i> )											
$dP_p$	$dQ_p$	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
1	1	31'	31'	51'	51'	53'	53'	13'	13'	15'	15'	35'	35'
		46'	46'	42'	42'	62'	62'	64'	64'	24'	24'	26'	26'
	0	15'	35'	35'	31'	31'	51'	51'	53'	53'	13'	13'	15'
		24'	26'	26'	46'	46'	42'	42'	62'	62'	64'	64'	24'
0	1	51'	53'	53'	13'	13'	15'	15'	35'	35'	31'	31'	51'
		42'	62'	62'	64'	64'	24'	24'	26'	26'	46'	46'	42'
	0	13'	13'	15'	15'	35'	35'	31'	31'	31'	51'	53'	53'
		64'	64'	24'	24'	26'	26'	46'	46'	42'	42'	62'	62'

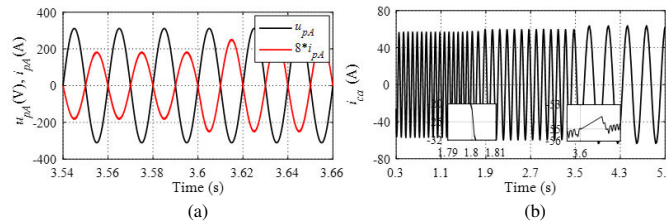


Fig. 10. Simulation traces for voltage and current in power winding and current in control winding.

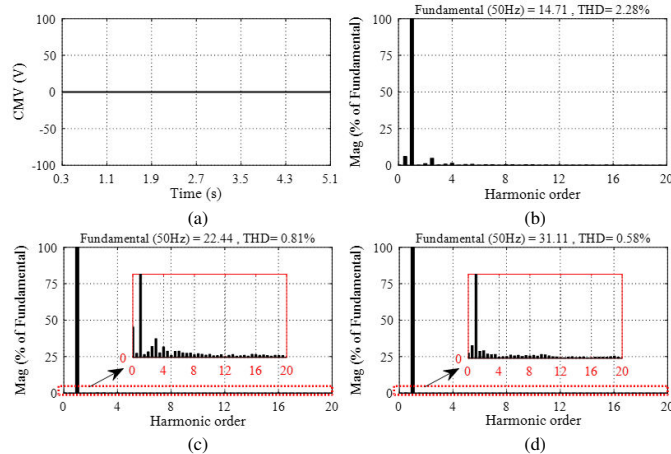


Fig. 11. Simulation results for CMV and FFT analysis of  $i_{pA}$  in different time intervals. (a) CMV. (b) FFT result in 1.01–1.05 s, THD = 2.28%. (c) FFT result in 2.01–2.05 s, THD = 0.81%. (d) FFT result in 4.01–4.05 s, THD = 0.58%.

### B. Experimental Verification and Analysis

In compliance with Fig. 1 and Fig. 8, the implemented direct power control algorithm for the prominent OW-BDFRG was executed on SED-DSP28335 digital signal controller in laboratory environment as depicted in Fig. 12. A commercial induction machine (Vacon) emulating a prime mover (wind turbine) characteristics of the OW-BDFRG. The rated capacity of  $MSC_1$ ,  $MSC_2$  and GSC are rated at 15 kVA, while the IGBTs adopts Infineon. The isolated transformer is configured (380V/220V-50/60Hz-Y/D), LC filter (2.3 mH/2.5  $\mu$ F-1200 VAC), power analyzer (N4L-2530), and intelligent load.

The corresponding experimental waveforms are depicted in Figs. 13–16. The OW-BDFRG rotor speed ( $n_r$ ) is demonstrated in Fig. 9(a) and Fig. 13(a), and their steady state values correlate as 565 r/min, 635 r/min and 706 r/min, respectively.

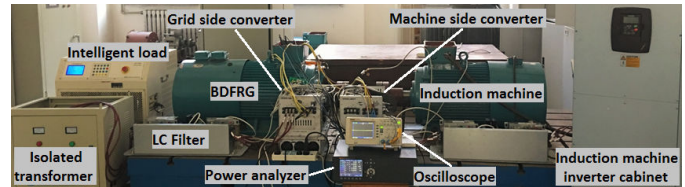


Fig. 12. The OW-BDFRG laboratory test rig for VSCF-WECS emulation.

The real power winding and its given values under the MPPT are illustrated in Fig. 9(b) and Fig. 13(b) depicted as -11.3 kW, -15.8 kW and -21.8 kW, where the tracking performance is obtained and  $P_p$  fluctuation is limited to -0.3 kW to 0.6 kW.

The  $Q_p$  trace is shown in Fig. 9(c) and Fig. 13(c), where the decoupled feature between  $Q_p$  and  $P_p$  is proven. The  $Q_p$  is virtually unaffected in an average sense, especially in high speed region after 3.5 s (Fig. 9 (c)) by a notable real power disturbances during the speed alterations. As a result, the unity power factor control (as Fig. 10 (a), the phase voltage and current of power winding is  $\pi$  rad out of phase, i.e., -1 for generating mode) can also be achieved by controlling  $Q_p \approx 0$ , which will better meet the requirement of VSCF-WECS. Furthermore, one can also observe another outstanding power decoupling control peculiarity of the proposed DPC strategy for OW-BDFRG by setting  $Q_p$  as other values, however, this is not the focus of this paper.

The power and control winding frequency,  $f_p$  (the line/grid value) and  $f_c$ , are denoted in Fig. 9(d) and Fig. 13(d). Evidently,  $f_p$  is 50 Hz, however,  $f_c$  changes to -12.3 Hz, -7.7 Hz and -2.9 Hz according to the speed plot in Fig. 9(a) and Fig. 13(a) due to the requirement in VSCF-WECS. It is worth noting that  $f_c$  is negative value which only represents its reversed phase sequence with the power windings in (3), where  $\omega_c$  (also  $\delta$ ) is rotating clockwise in Fig. 6(b) and Fig. 14(b).

The control winding phase current waveform ( $i_{ca}$ ) can be obtained as Fig. 10(b) and Fig. 14(a), where the  $f_c$  values correspond to Fig. 9(d) and Fig. 13(d) for the OW-BDFRG VSCF-WECS. As shown as the enlarged view in Fig. 10(b), one can find that the proposed DPC system has a fast dynamic performance of  $i_{ca}$  along with  $n_r$  changes for keeping  $f_p$  constant (50 Hz). Fig. 14(b) shows that the sector numbers of  $\psi_c$  changes descendingly, also the  $\omega_c$  rotates clockwise, ' $f_c < 0$ ' as Fig. 9(d) and Fig. 13(d).

The CMV of the proposed dual two-level converters are

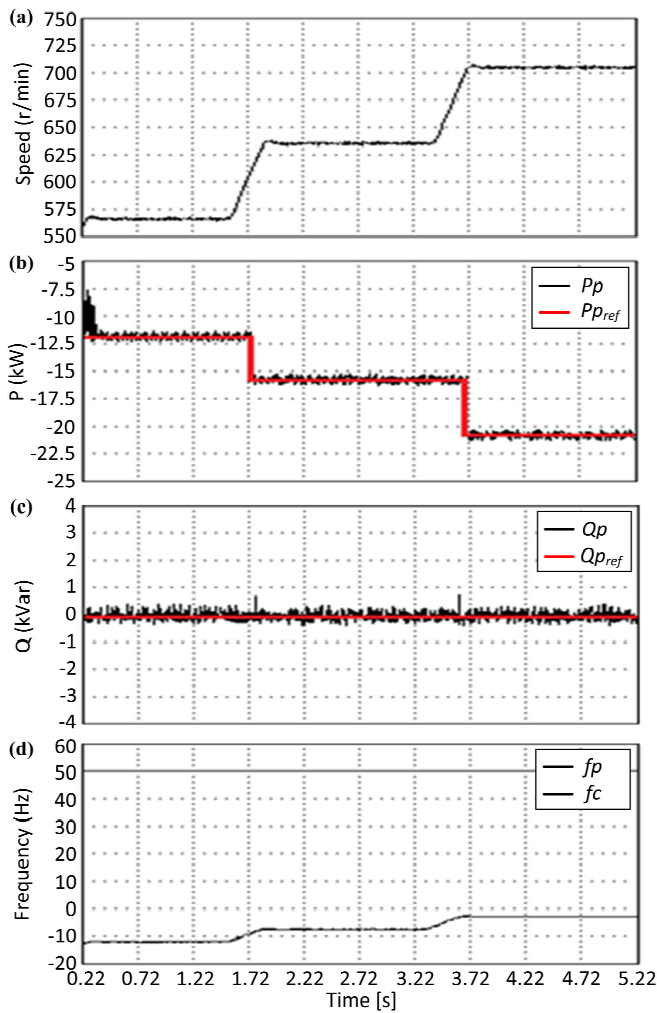


Fig. 13. Experimental results of DPC performance for speed, active power, reactive power and power winding frequency ( $f_p$ ) and control winding frequency ( $f_c$ ) in a limited speed range.

illustrated as Fig. 11(a) and Fig. 15(b), where the CMV is almost kept to zero with  $CMV_{MSC1} \approx CMV_{MSC2}$  shown in Fig. 15(a) considering (10) and Table III. Then, the common mode current and induction shaft current are eliminated/reduced, thus the service life of the OW-BDFRG will be prolonged. The power winding phase current ( $i_{pA}$ ) and its total harmonic distortion (THD) during different speeds in Fig. 9(a) and Fig. 13(a) in different time intervals 1.01–1.05 s, 2.01–2.05 s and 4.01–4.05 s are given in Fig. 11(b)–(d) and Figs. 16(a)–(c), where the fundamental amplitudes of  $i_{pA}$  are approximately 14 A, 22 A and 31 A, and their THD are 2.28%, 0.81%, 0.58% and 2.42%, 0.83%, 0.59% according to the simulation results and experimental measurements, respectively.

From the above analyses, one can obtain that the proposed DPC strategy for OW-BDFRG fed by dual two-level converters with CMV elimination has many good and advantageous features for VSCF-WECS, which makes the BDFGs as a prime candidate for broader application prospect, especially for the off-shore VSCF-WECS.

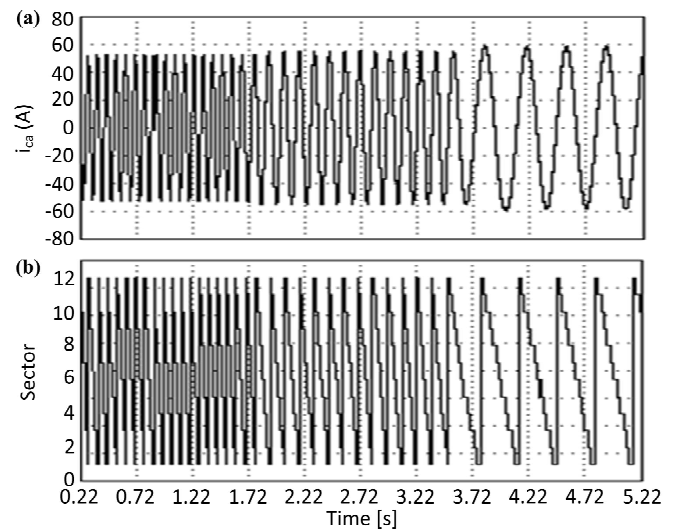


Fig. 14. Experimental traces of the DPC strategy illustrating the control a-phase current and the inferred sector number of the secondary flux.

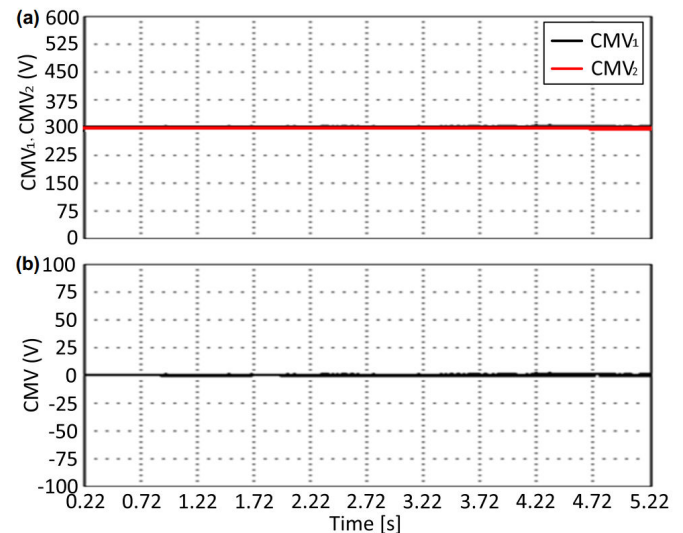


Fig. 15. Experimental waveform of the DPC strategy for common mode voltage (CMV) elimination under consideration.

## V. CONCLUSION

In this paper a robust and machine parameter independent direct power control for the OW-BDFRG fed by dual two-level converter topology using a common DC bus with common mode voltage elimination is investigated. With the optimized synthesis scheme of the switching vectors on the machine side converter, as a result, the validity and feasibility of the proposed controller strategy are evaluated and validated through simulation and experimental test results. Compared to the traditional three-level converter systems usually adopted in the medium to large capacity converter-fed systems, the main circuit structure is simpler and easier to control. Moreover, the DC bus voltage is much lower, the redundancy of medium vectors are twice of the three-level converter and fault tolerances are much higher. In addition, the voltage levels are much better hence the smaller THD than the typical two-level converter-fed systems.



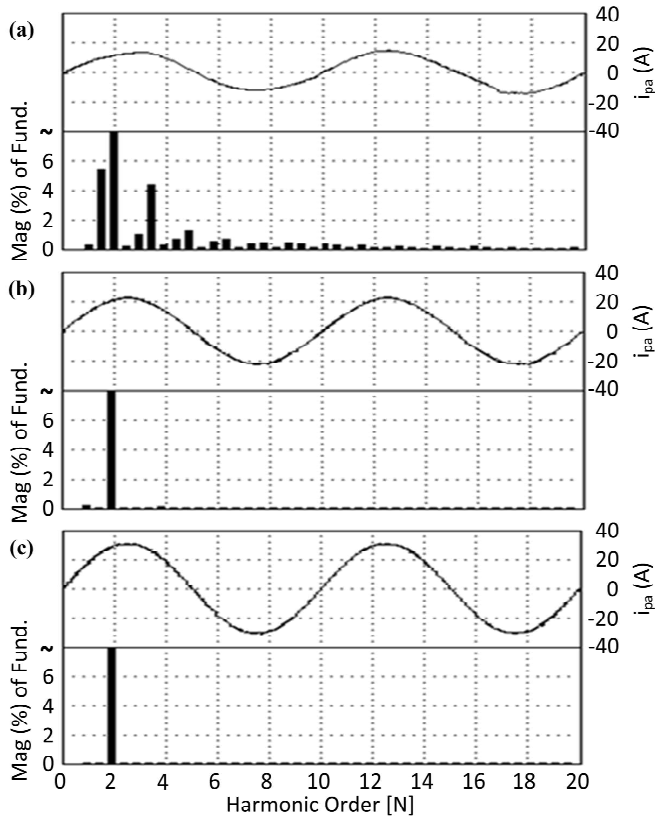


Fig. 16. Experimental results showing the power winding phase current and fundamental frequency at three different time intervals in correspondence to Fig. 13(a).

Other important features of the proposed strategy are the response and disturbance rejection aptitudes of active and reactive power controllers, coupled with the fast computation efficacy and ease of execution, which could further strengthen the controller strategy standing as a viable competitor to model-based or proportional-integral control strategies. Such advantages, besides the rotor position and speed independence formulate the basis for facilitated parameter independence DPC of VSCF-WECS. Therefore, this paper provides a good reference to further research on the relevant control strategy and also improve the performance of the large-scale wind turbines, hydro-power generators or pump-alike applications.

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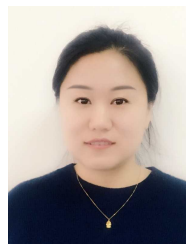


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