

| 1 | Natural Convection Heat Transfer of A Straight-fin Heat Sink |
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| 7 | ABSTRACT |
| 8 | The influence of mounting angle on heat dissipation performance of a heat sink under natural |
| 9 | convection condition is investigated in this paper by numerical simulation and experimental |
| 10 | test. It is found that the heat sink achieves the highest cooling power when its mounting angle |
| 11 | is 90 °, while it reaches the lowest when the mounting angle is 15 °, which is 6.88% lower than |
| 12 | that of 90 °. A heat transfer stagnation zone is the main factor that affects the cooling power of |
| 13 | the heat sink, and its location and area vary with the mounting angle. It is identified that cutting |
| 14 | the heat transfer stagnation zone is an effective way to improve the heat sink performance. |
| 15 | Keywords: |
| 16 | Natural Convection Heat Transfer, Heat Sink, Mounting Angle, Stagnation Zone |
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26 **1. Introduction**

27 Heat sink is a passive heat exchanger that transfers heat generated by an electronic or a 28 mechanical device to a fluid medium, such as air and liquid coolant. Heat dissipation is very 29 important in the modern electronic industry, according to the statistical data, high temperature 30 causes more than 55% failures of electronics [1]. The heat sink is also used in other areas, for 31 example, heat dissipation of DSC (Dye-Sensitized Solar Cell) [2]. The heat sink has different 32 structures, and can be classified into active and passive types. Compared to the active heat sink, 33 the passive heat sink dissipates thermal energy through the nature convection, and usually it is 34 made of aluminium finned radiator, so it has high reliability and low cost characters. The driving force in the passive heat sink is buoyancy force generated by temperature difference. 35 The natural convection of heat sink can be divided into limited and infinite space convections 36 37 according to the external space.

38 Most of the passive heat sinks have simple structure and low cost characters because of 39 their straight fins. Elenbaas [3] carried out the earliest investigation on natural convective heat 40 dissipation for a parallel fin heat sink, Bodoia and Osterle [4] deduced a theoretical solution of 41 the natural convection heat dissipation for the parallel vertical fin heat sink on the basis of 42 theoretical analysis. Other researchers studied and optimised the geometrical dimensions of 43 parallel fin heat sink, and gave out some formulas for calculating geometrical dimensions [5-44 11]. Heat dissipation performance of the parallel straight fin heat sink can be improved by 45 increasing air turbulence between the fins, such as arranging staggered cylinders [12], drilling holes on base plate [13], opening slots [14] or drilling holes on the fins [15]. 46

| 47 | The above studies are all conducted with the horizontal or vertical heat sink [16-18], |
|----|--|
| 48 | nevertheless, the influence of the heat sink mounting angle on heat dissipation is rarely |
| 49 | mentioned. Mehrtash et al. [19] studied the effect of inclination of fin-plate heat sink on heat |
| 50 | dissipation by numerical simulation with three-dimensional steady-state natural convection. |
| 51 | Based on Mehrtash's research results, Tari et al. [20] developed a Nusselt number formula, and |
| 52 | found that the fin spacing is an important parameter affecting heat sink thermal performance |
| 53 | [21]. Shen et al. [22] investigated heat dissipation properties of the heat sinks placed in eight |
| 54 | different directions, and discovered that the denser the fin arrangement, the more sensitive the |
| 55 | directionality. There are two main factors limiting the sink natural convection heat dissipation, |
| 56 | one is that the heat transfer direction does not match with natural convection flow, and the other |
| 57 | one is that the convection between the fins is blocked. |

In this paper, the influence of heat sink mounting angle on its heat dissipation is investigated. A test rig is designed and built to measure heat dissipation performances of a heat sink at different mounting angles. The numerical simulation of the heat sink performance is carried out, and the simulation results are compared with the experimental data. The optimum mounting angle of the heat sink is obtained, which is useful for heat sink design and installation.

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2. Experimental apparatus

64 2.1. General description

The main components of the test rig include a JP1505D DC power supply, a DC heating
plate, an Agilent 34970A Data Acquisition, a number of K-type thermocouples and PT100
RTDs. The schematic of the test rig is shown in Fig. 1. The experimental system is located in

68 a large closed space without the external interference to achieve the heat sink natural convection environment. A special support is designed to ensure the heat sink could rotate 360° 69 70 freely, as shown in Fig. 2. The heat sink and heating plate are fastened by bolts to reduce the 71 contact thermal resistance and prevent the relative displacement between them. The heating 72 plate is controlled by the JP1505D DC power supply for different heating powers. The 73 maximum output power of the power supply is 750W, its output voltage range is from 0V to 74 150V with accuracy ± 0.3 V and its current range is from 0A to 5A with accuracy ± 0.01 A. The 75 electric heating power is constant during the testing, the surface temperature of heat sink is 76 measured and used to judge heat dissipation performance of the heat sink. The lower surface 77 temperature of the heat sink, the better heat dissipation performance. Assuming heat is only 78 dissipated by the heat sink when the temperature of the heat sink substrate became constant, 79 the heat sink performance can be assessed by its surface temperatures.



Fig. 1. Schematic of the test rig: (1) DC power; (2) Agilent 34970A; (3) computer; (4) heat sink; (5) heating
plate; (6) thermal insulation



Fig. 2. Schematic of support

The data collection system consists of TC, RTD and Agilent 34970A Data Acquisition, 85 86 the locations of the measuring points are shown in Fig. 3. TCs are set at Points 1 to 6 to get the 87 heat sink bottom temperatures, RTDs are set at Points 7 to 9 to measure the fin surface temperatures. Agilent 34970A Data Acquisition with module 34902A, which features a built-88 89 in thermocouple reference and 16 two-wire channels, has 6 1/2-digit (22-bit) internal DMM 90 and can scan up to 250 channels per second. The K-type armoured thermocouple WRNK-191 91 is used in the experiment. The material of WRNK-191 is nickel-chromium & nickel-silicon 92 and its measurement temperature range is from 0°C to 600°C with accuracy \pm 0.5°C. Because 93 of high thermoelectric power, the WRNK-191 TC has high sensitivity and its thermal response time is 3S. The measurement temperature range of SMD Pt100 RTD Temperature Sensor used 94 for the fin surface is from -50°C to 200 °C with accuracy ± 0.15 °C. It can be directly pasted to 95 the fin surface with thermally conductive glue. The tested heat sink is an aluminium straight-96 97 fin type, its geometries are listed in Table1.



Fig. 3. Arrangement of measuring points. Points 1-6 K-type thermocouples; Points 7-9, PT100 RTDs

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Table 1The geometries of heat sink

| Length | Width | Base | Fin | Fin | Fins | Number |
|--------|-------|-----------|--------|-----------|-------|---------|
| (mm) | (mm) | thickness | height | thickness | pitch | of fins |
| | | (mm) | (mm) | (mm) | (mm) | |
| 150 | 76 | 5 | 50 | 3 | 9.17 | 7 |

102 2.2. Experimental procedure

Coating thermal grease evenly on the heat sink bottom before fixing it to the heating
 substrate with screws.

105 2) Adjusting the mounting angle of the heat sink to a certain angle, and then checking the

106 output voltage of DC power supply to insure the constant heating power.

107 3) The data of each measuring point are to be collected after the heat sink begin to be

108 heated.

4) The equilibrium between heating and dissipation is reached as the maximum
temperature fluctuate on the bottom surface of the heat sink substrate is less than 0.5°C
within 20 min.

112 The least thermal resistance method is used to assess the heat sink heat dissipation 113 performance. Thermal resistance R_{th} can be calculated by following equation [5]:

$$R_{th} = \frac{T_{ave} - T_{sur}}{Q_{hs}} \tag{1}$$

115 Where T_{ave} and T_{sur} are the average temperatures of the heat plate and the ambient 116 respectively, Q_{hs} is the heat dissipation power. With constant input power for the heat sink, the 117 lower the thermal resistance, the higher the heat dissipation ability. Heat transfer coefficient *h* 118 of the heat sink can be calculated from the following:

$$h = \frac{Q_{hs}}{A \cdot \Delta T} \tag{2}$$

120 Where *A* is heat sink surface area, ΔT refers to temperature difference between the heat sink 121 surface and ambient.

122 2.3. Error Analysis

123 The experimental errors mainly include systematic and accidental errors. The error δ_y 124 of a variable *y* can be obtained by the quadratic equation of the experimental data as the 125 variables are assumed as $y=f(x_1, x_2, x_3, \dots x_n)$:

$$\delta_{y} = \sqrt{\left(\frac{\partial y}{\partial x_{1}}\right)^{2} \delta x_{1}^{2} + \left(\frac{\partial y}{\partial x_{2}}\right)^{2} \delta x_{2}^{2} + L + \left(\frac{\partial y}{\partial x_{n}}\right)^{2} \delta x_{n}^{2}}$$
(3)

126

127 Where, $x_1, x_2, x_3, ..., x_n$ are independent variables, δx_1 , δx_2 , δx_3 , ... δx_n are their errors. The 128 maximum errors of variables and measurement ranges are listed in Table 2. In addition, each 129 test is repeated several times in order to minimise accidental errors, so the average data are 130 likely to be close to the true values.

131 **Table 2** Accuracies of sensors and the maximum relative errors of variables

| | Temp | erature | Voltage | Current | Heating | Thermal |
|----------|--------------------|---------------|-------------|--------------|---------|------------|
| | TC | RTD | | | power | resistance |
| Accuracy | $\pm 0.5^{\circ}C$ | ± 0.15 °C | $\pm 0.3 V$ | $\pm 0.01 A$ | - | - |

| Maximum | 1.823% | 1.367% | 0.411% | 0.909% | 0.998% | 2.08% |
|---------|--------|--------|--------|--------|--------|-------|
| error | | | | | | |

132 **3. Numerical simulation model**

133 The CFD simulation is carried out for the tested straight-fin heatsink using the symmetric model because the object is symmetric. The simulation zone is shown in Fig. 4 and 134 its dimension is 900mm×456mm×330mm. Because of the regular geometric shape of the 135 136 simulation zone, the hexahedral grid is adopted in the meshing procedure which will not only 137 get high-quality grid but also be easy to modify the meshing strategy. The mesh density near the fin surface is increased for its $Y^+ < 1$ [23]. The simulation is carried out with different 138 139 meshing strategies, the average surface temperatures of the fin are monitored and compared. The simulation results are shown in Fig. 5, it can be seen that the optimum meshing strategy is 140 one with the grid number of 464058, and the optimum mesh model is shown in Fig. 6. 141





Fig. 4. Scope of the simulation



Fig. 5. Grid independence check



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Fig. 6. Final meshed model

- 149 In order to reduce the amount of computational resources, the following assumptions
- 150 are made:
- 151 1) The air flow is treated as a three-dimensional steady laminar flow.
- 152 2) Boussinesq model is used in air zone.
- 153 3) The temperature and heat flow of the heat plate are even.
- 154 4) Except density, the properties of air are constant.
- 155 5) Air is nonslip on the fin surface.
- 156 6) The viscous dissipation and radiation heat transfer are not considered.
- 157 3.1. Model for fluid region

158 Based on the mass conservation principle, the following continuity equation is

adopted.

$$\frac{\partial(\rho u)}{\partial x} + \frac{\partial(\rho v)}{\partial y} + \frac{\partial(\rho w)}{\partial z} = 0$$
(4)

160

161 Where ρ is air density, *u*, *v*, *w* are components of velocity, *x*, *y*, *z* are components of coordinate.

162 Energy equation is obtained on the basis of energy balance characteristics.

163
$$\frac{\partial(\rho uT)}{\partial x} + \frac{\partial(\rho vT)}{\partial y} + \frac{\partial(\rho wT)}{\partial z} = \frac{\kappa}{c_p} \left(\frac{\partial^2 T}{\partial x^2} + \frac{\partial^2 T}{\partial y^2} + \frac{\partial^2 T}{\partial z^2}\right)$$
(5)

Where T is air temperature, *k* is air thermal conductivity, *Cp* is air specific heat
capacity.
The natural-convection flow is driven by the air density change and gravity force under

167 heating condition. For external natural convection flow, the momentum equations can be168 written as:

169
$$\frac{\partial(\rho u^2)}{\partial x} + \frac{\partial(\rho u v)}{\partial y} + \frac{\partial(\rho u w)}{\partial z} = -\frac{\partial p}{\partial x} + \mu(\frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + \frac{\partial^2 u}{\partial z^2})$$
(6)

170
$$\frac{\partial(\rho u v)}{\partial x} + \frac{\partial(\rho v^2)}{\partial y} + \frac{\partial(\rho v w)}{\partial z} = -\frac{\partial p}{\partial y} + \mu(\frac{\partial^2 v}{\partial x^2} + \frac{\partial^2 v}{\partial y^2} + \frac{\partial^2 v}{\partial z^2}) + g(\rho - \rho_0)$$
(7)

171
$$\frac{\partial(\rho uw)}{\partial x} + \frac{\partial(\rho vw)}{\partial y} + \frac{\partial(\rho w^2)}{\partial z} = -\frac{\partial p}{\partial z} + \mu(\frac{\partial^2 w}{\partial x^2} + \frac{\partial^2 w}{\partial y^2} + \frac{\partial^2 w}{\partial z^2})$$
(8)

172 Where *p* is air static pressure, μ is air viscosity.

173 3.2. Model for solid region

174 There is no internal heat source in the heat sink, so energy equation of solid region175 can be written as:

$$\frac{\partial^2 T}{\partial x^2} + \frac{\partial^2 T}{\partial y^2} + \frac{\partial^2 T}{\partial z^2} = 0$$
(9)

177 For natural-convection flow, the simulation can get quick convergence with the178 Boussinesq model,

179 $\rho = \rho_0 [1 - \beta (T - T_0)]$ (10)

180 Where ρ is air density at temperature T, ρ_0 is air density at T_0 , β is air thermal expansion 181 coefficient. 182 The interface between the fluid and solid domains is treated as the fluid-solid coupling 183 surface, and no-slip condition is used for the fluid-solid boundary. The bottom of the heat sink 184 is set as 'WALL' with a constant heat flux.

Low Reynolds number k-ε Turbulent Model is adopted in the simulation program because more accurate results can be obtained compared with the wall function method [24]. Especially, it can limit the error no more than 2% when coupled with full pressure outlet boundary condition. The other settings are Pressure based solver, SIMPLE algorithm, PRESTO! (Pressure Staggered Option) for pressure, Second order upwind format for other parameters. The residual value used as convergence indicator is 1e-06. The heat flux at the heat sink bottom and the fin surface temperature are also used as ancillary convergence indicators.

192

4. Results and discussion

193 4.1. Temperature distribution of heat sink

194 The fin temperature measurement results are listed in Table 3 at the mounting angle of 195 0° . It can be found from this table, the fin bottom temperatures (Points 1-6 in Fig. 3) are almost 196 same, but the surface temperatures (Points 7-9 in Fig. 3) are different. The surface temperature 197 at the heat sink centre (Point 8) is obviously higher than those near the heatsink edge. As shown 198 in Fig. 7, the temperature distributions of the heat sink fin and bottom are not uniform whether 199 the mounting angle is 0° or 45° . At the mounting angle of 0° , the highest temperature appears 200 at the heat sink centre while the lowest temperature happens at the fin corner. The fin 201 temperature in the middle of the heatsink is always higher than the others. This is consistent



203 temperature zone moves to the fin end edge at the mounting angle of 45° .

with the experiment results in Table 3. Compared with the mounting angle of 0°, the highest

202

210

211 Table 3 Fin temperatures at measuring points

| Heating | | Temperature at measuring point (°C) | | | | | | | | | |
|---------|----|-------------------------------------|----|----|----|----|----|----|----|------|--|
| power | | | | | | | | | | | |
| (W) | | | | | | | | | | | |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | (°C) | |
| 5 | 24 | 22 | 24 | 24 | 23 | 22 | 17 | 20 | 18 | 23.2 | |
| 10 | 33 | 35 | 35 | 34 | 34 | 34 | 30 | 33 | 30 | 34.2 | |

| 20 | 47 | 48 | 46 | 47 | 47 | 47 | 41 | 45 | 42 | 47 |
|----|----|----|----|----|----|----|----|----|----|------|
| 30 | 52 | 53 | 53 | 51 | 52 | 52 | 48 | 50 | 47 | 52.2 |
| 40 | 62 | 61 | 61 | 61 | 62 | 63 | 57 | 60 | 55 | 61.7 |
| 50 | 67 | 68 | 67 | 67 | 70 | 70 | 64 | 69 | 64 | 68.2 |
| 60 | 82 | 80 | 80 | 80 | 84 | 83 | 72 | 76 | 74 | 81.5 |
| 70 | 87 | 88 | 86 | 86 | 87 | 88 | 81 | 84 | 82 | 87 |
| 80 | 92 | 92 | 92 | 93 | 94 | 94 | 86 | 91 | 85 | 92.8 |

212 4.2. Influence of heating power on heat transfer coefficient

213 Heat transfer coefficients from simulation and experimental test are shown in Fig. 8 at 214 the heat sink mounting angle of 0° . It is found that the variation of simulation data is similar to 215 that of experiment results. The maximum error between them is about 10.5% which is 216 acceptable. Heat transfer coefficient increases rapidly with the heating power when the heating 217 power is below 50W, but it increases moderately when the heating power is over 50W. The 218 heat transfer driving force in the heat sink is the air flow, the air will get more heat from the 219 heat sink as the heating power increases, and its flow velocity will increases as well, so the heat 220 transfer coefficient becomes higher. The air flow resistance, however, will increase with the 221 velocity, therefore the increase rate of heat transfer coefficient will decrease synchronously.





Fig. 8. Experimental and simulation results of heat transfer coefficient

4.3. Influences of heating power and mounting angle on thermal resistance

The variation of thermal resistance with the mounting angle is shown in Fig. 9. The 225 226 thermal resistance increases with the mounting angle at first and reaches the maximum when 227 the mounting angle is 15°, then it decreases. The variation of thermal resistance is not 228 significant when the mounting angle is over 60°, it reaches the minimum when the mounting 229 angle is 90°. When heating power is higher than 50W, the fluctuation of thermal resistance is 230 moderate, especially there is nearly no variation when the mounting angle is bigger than 60° . 231 The ratios between the maximum and minimum thermal resistances for the heating powers of 232 5W, 30W, 50W and 80W, are 29.78%, 18.12%, 6.88% and 13.98% respectively. So in practice, the mounting angle should be set as 90°. The variation of thermal resistance with heating power 233 234 is shown in Fig. 10. It is found that thermal resistance decreases with heating power. Thermal 235 resistances at the mounting angle of 15° are the biggest for all heating powers, while the 236 resistances are the smallest and have no obviously different when the mounting angles are 60° , 237 75°, and 90°.





239 240

Fig. 9. Thermal resistance variation with mounting angle (experimental results)



241 242

Fig. 10. Thermal resistance variation with heating power (experimental results)

243 4.4. Fluid temperature distribution and thermal resistance

244 Air streamlines and temperature distribution between the fins under 50W heating power condition are shown in Fig. 11. The variation of temperature contour has relevance to the fluid 245 246 flow. The air flow direction moves to the upper side of the heat sink when the mounting angle 247 increases. The position of the high-temperature zone changes following the fluid flow direction. 248 The highest temperature always appears at the zone where the air flows out of the heat sink. 249 The red zone in Fig. 11 represents that the air temperature is in the range from 70°C to 75°C. 250 According to Fig. 7, the fin surface temperature at one zone is in the same range because of 251 high thermal conductivity of the heat sink material, which means that the temperature 252 difference between the fin and airflow is less than 5°C in this zone, calling it 'D zone' for easy 253 identification. It also can be seen from Fig. 7 and Fig. 11 that in the other zones, the temperature 254 difference between the fin and airflow is larger than 30°C, calling it 'A zone'. According to 255 heat transfer equation $Q = hA\Delta T$, ΔT will be the key factor when h is kept constant or slightly fluctuate. Therefore, heat transfer in the 'D zone' will be very small compared to that in the 'A 256 zone' and even can be ignored, so the 'D zone' is named as 'heat transfer stagnation zone'. The 257

bigger the area of heat transfer stagnation zone, the lower the heat transfer. Then the area ratio (R_{hts}) of heat transfer stagnation zone to the fin is assessed. Fig. 12 shows R_{hts} variation with the mounting angle. It is found that the R_{hts} variation is similar to that of thermal resistance. They reach the maximum values at the mounting angle of 15°. The R_{hts} increases at first and then decrease sharply, afterwards it declines mildly. Fig. 12 confirms that the heat transfer stagnation zone is the main factor influencing the heat sink performance.





Fig. 11. Streamlines and temperature contours at different mounting angles



266

Fig. 12. Thermal resistance (R_{th}) and area ratio (R_{hts}) variations with mounting angle at 50W heating power

268 4.5. Cutting effect

The area and location of red zone change with the mounting angle as shown in Fig. 11. The location of red zone is just at the corner of the fin when the mounting angle is 30°, so cutting this corner would decrease thermal resistance, this will confirm the inference that the heat transfer stagnation zone is the main aspect to affect the heat sink performance. Another reason for cutting this corner is that there is less influence on original air flow.

274 The new heat sink is shown in Fig. 13. The size of the cut corner is 20mm×20mm, the 275 cut area takes up 2.5% of the whole fin area. The experimental results of the new heat sink at 276 50W and 80W heating powers are indicated in Fig. 14 and Fig. 15. It is found from Fig. 14 that the thermal resistance reduces about 2.64%~3.77% at heating power of 50W and 6.00%~10.13% 277 278 at heating power of 80W. It is also found from Fig. 15 that the heat transfer coefficient increases 279 about 7.30%~10.77% at heating power of 50W and 11.46%~17.07% at heating power of 80W. The variations of thermal resistance at the mounting angles of 0° , 15° and 30° are smaller than 280 281 those under other mounting angles. According to Fig. 11, this is in line with the previous 282 assumption because the heat transfer stagnation zone is located at the fin corner.





Fig. 13. 3D drawing of cut corner heat sink



Fig. 14. Thermal resistance variation with mounting angle



Fig. 15. Heat transfer coefficients at different mounting angles

291 **5 Conclusion**

292 Heat transfers of a straight fin heat sink under natural convection condition are 293 investigated at different mounting angles in this paper. The heat sink surface temperatures are 294 measured and used to judge its performance under constant heating power condition. The 295 simulation results are verified by the experimental data with the maximum error less than 296 10.5%. The heat sink performance is the worst at the mounting angle of 15°, and the best 297 performance happens at the angle of 90°. The heat transfer stagnation zone is identified where 298 the temperature difference is less than 2°C in this study. The heat transfer stagnation zone area 299 reaches the maximum when the mounting angle is 15°, that leads to the lowest performance because the effective heat dissipation area of the heatsink is the smallest. This is verified by 300 301 cutting the corner portion of the heat sink where is the heat transfer stagnation zone. The heat 302 transfer could be enhanced by cutting appropriate corner of the heat sink, the thermal resistance 303 reduces 2.64%~3.77% at heating power of 50W and 6.00%~10.13% at heating power of 80W, 304 and heat transfer coefficient increases 7.30%~10.77% at heating power of 50W and 305 11.46%~17.07% at heating power of 80W.

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307 **Conflict of interest**

308 The authors declared that there is no conflict of interest.

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315 Nomenclature

- *A* heat sink surface area, m^2
- c_p specific heat capacity of fluid, J.kg⁻¹.K⁻¹
- *h* heat transfer coefficient, W.m⁻²·K⁻¹
- 319 k thermal conductivity, $W.m^{-1}.K^{-1}$
- Q_{hs} heatsink input power, W
- R_{th} thermal resistance, K.W⁻¹
- R_{hts} the ratio of stagnation zone accounts for the fin whole area, dimensionless
- T_{ave} average temperature of heat sink plate, K
- T_{am} ambient temperature, K
- ΔT temperature difference between heat sink surface and the ambient temperature, K
- u, v, w components of velocity, m.s⁻¹
- x, y, z components of coordinate
- $x_1, x_2, x_3, \dots x_n$ independent variables
- $\delta x_1, \delta x_2, \delta x_3... \delta x_n$ errors of independent variables
- 330 Greek Symbols

| 331 | β | thermal expansion coefficient, K ⁻¹ |
|-----|------------------------------|---|
| 332 | ρ | air density, kg.m ⁻³ |
| 333 | $ ho_{\scriptscriptstyle 0}$ | air density at T_0 , kg.m ⁻³ |
| 334 | μ | viscosity, N.s.m ⁻² |
| 335 | | |
| 336 | | |
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