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¹ Using satellite radar amplitude imaging for monitoring syn-eruptive ² changes in surface morphology at an ice-capped stratovolcano

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8 Abstract

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Satellite-based measurements of synthetic aperture radar amplitude provide a method for monitoring volcanoes during unrest and eruptions even when visual observations are not possible, for example due to poor weather or at night, and when radar phase measurements are noisy or decorrelated. Here, we use high resolution radar amplitude images from the TerraSAR-X and COSMO SkyMed satellites to investigate surface changes associated with explosive eruptions of Cotopaxi volcano, Ecuador in August 2015. We generate change difference and amplitude ratio maps spanning the start of explosive activity at Cotopaxi, which show complex spatial variations in radar amplitude both on and around the summit ice-cap that we attribute to a number of processes related to the eruption. Observed amplitude decreases are caused by crater deepening, ashfall onto ice and surface smoothing by ashfall onto slopes facing away from the satellite, while amplitude increases are due to deposition of coarse lapilli and wet tephra, increased soil saturation due to geothermally driven glacier melting, and smoothing of slopes facing towards the satellite. We discuss the potential applications of radar amplitude images for monitoring and hazard evaluation at active volcanoes.

⁹ Keywords: Explosive Volcanism, Radar amplitude, SAR

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10 1. Introduction

During periods of volcanic unrest and eruption, frequent observations of the volcano are 11 required to monitor the development of activity, in order to update hazard assessments and 12 protect local populations (e.g. Tilling, 1989; Scarpa and Tilling, 1996; Tilling, 2008). For 13 instance, a volcano with a large, unstable, lava dome growing at the summit will be more 14 prone to a gravitational collapse that could generate large, hazardous, pyroclastic density 15 currents than a volcano without an active dome (e.g. Watts et al., 2002; Sparks, 2003), 16 and changes in the ice-cap at a glaciated volcano could indicate melting that may lead to 17 lahars (e.g. Pierson et al., 1990; Mothes and Vallance, 2015). Up-to-date observations of the 18 morphology and surface state of an erupting volcano are therefore crucial for evaluating the 19 current hazard level. 20

Observations of volcanoes can be made using a variety of techniques and sensors, but 21 all methods have limitations on either the temporal and/or spatial resolution of information 22 they can provide (e.g. Sparks et al., 2012; Pyle et al., 2013; Biggs and Pritchard, 2017; Na-23 tional Academies of Sciences Engineering and Medicine, 2017). Visual observations are not 24 possible in poor weather conditions such as low lying cloud, which is especially a problem at 25 volcanoes with high relief and/or in tropical regions (e.g. Wadge et al., 2005, 2011). Visual 26 observations are also often not possible at night, and even passive sensors such as thermal 27 IR cameras that can make observations in low light still require clear weather conditions. 28 Ground-based geophysical sensors, such as seismometers and GPS, can make continuous ob-29 servations regardless of weather conditions, however the information they provide is limited 30 to single locations, and instruments are generally located distally from active vents, due to 31 the difficulty and hazard of deploying, maintaining and preserving proximal instruments, 32 (e.g. Voight et al., 1998; LaHusen et al., 2008; Werner-Allen et al., 2006). 33

Active radar sensors provide their own illumination, so can make observations at day and night, and operate at wavelengths that are not strongly absorbed or scattered by water droplets or aerosols, so can see through clouds. Synthetic Aperture Radar (SAR) observations can therefore provide additional observations that can complement other monitoring techniques. Satellite-based SAR platforms are able to image areas tens to hundreds of kilometres wide with repeat times of days to weeks, and are therefore ideally suited to observations of volcanic systems, especially volcanoes that are difficult to monitor with ground-based techniques due to practical or financial considerations. The frequency of SAR observations can be increased by using images acquired by multiple satellite missions and from different viewing geometries (Elliott et al., 2016).

SAR amplitude observations can provide important observations, even at volcanoes with 44 an established ground-based monitoring network. For instance, amplitude observations 45 showed that the lava dome at Soufrière Hills Volcano, Montserrat had not been desta-46 bilised by a large Vulcanian explosion in 2008, thus allowing civil authorities to revoke a 47 precautionary evacuation ten days before visual confirmation was possible (Wadge et al., 48 2011). Conversely, SAR images provided information about the rate of lava dome growth 49 during the 2010 eruption of Merapi, Indonesia and helped to inform a broader evacuation 50 that potentially saved thousands of lives (e.g. Pallister et al., 2013). SAR amplitude ob-51 servations have also provided insights into lava flow distribution and morphology at poorly 52 monitored volcanoes (e.g. Wadge et al., 2012; Goitom et al., 2015; Wang et al., 2015), and 53 rockfall response to a tectonic earthquake (Ebmeier et al., 2014). 54

In this work, we use the August 2015 eruption of Cotopaxi, Ecuador to show the utility 55 of SAR amplitude images for monitoring changes to an ice-capped volcano during explosive 56 eruptions. Cotopaxi is a 5897 m high stratovolcano located approximately 50 km south of 57 Quito in the Interandean Valley (Fig. 1). Cotopaxi is one of the most active volcanoes in 58 Ecuador, with nine periods of major historically-observed eruption and at least eight more 59 minor eruptions (Pistolesi et al., 2011). Prior to 2015, the previous eruption of Cotopaxi was 60 minor explosive episode in 1942 that was confined to the crater (Pistolesi et al., 2011). In a 61 April 2015, Instituto Geofisico, Escuela Politecnica Nacional, Ecuador (IG-EPN) reported 62 an increase in seismicity beneath Cotopaxi and SO_2 emissions began to increase in May 63 2015, accompanied by up to 3 cm of surface uplift (Morales Rivera et al., 2017). Released 64 seismic energy remained above background levels until August 2015, when there was a rapid 65 increase in seismic activity on 13 August 2015 that culminated in four phreatic explosions 66



Figure 1: a) Map of Ecuador showing the location of Cotopaxi volcano (black triangle). The red rectangle shows the footprint of the TerraSAR-X (TSX) spotlight image and the blue rectangle shows the area covered by the COSMO-SkyMed (CSK) stripmap acquisitions. b) Google Earth image of Cotopaxi, with the yellow dashed line outlining the snow and ice covered summit. c) Interferogram formed from TSX acquisitions on 9 and 20 August 2015. No useful information can be retrieved over the ice-cap (black dashed line) due to phase incoherence. The white polygon west of the glacier shows phase changes due to atmospheric water vapour changes associated with orographic forcing of prevailing westerly winds. Similar phase anomalies are observed in Envisat and Radarsat-2 interferograms spanning 2002–2013, when there was no surface activity at Cotopaxi. d) Hillshaded Digital Elevation Model (DEM) of Cotopaxi. The dashed black box shows the location of b) and c).

on 14 August 2015. These explosions were followed by a two week period of near continuous
ash and steam venting, before activity declined at the beginning of September 2015, with
the eruption ending in November 2015 (Bernard et al., 2016; Gaunt et al., 2016).

70 2. Data and methods

Satellite SAR data involves the transmission of electromagnetic radiation at microwave 71 wavelengths (between 1 mm and 1 m) from the satellite towards the ground surface. On 72 contact with the ground, the radar signal is scattered, with a portion of the signal backscat-73 tered toward the satellite, which records a complex number for each pixel imaged. The 74 amplitude of this complex number represents the intensity of the radar signal received and 75 constitutes the proportion of the transmitted energy that was backscattered. The phase of 76 the complex number is equivalent to the fraction of the wavelength (between 0 and 2π) and 77 represents the distance between the satellite and the ground surface, wrapped by modulo 78 2π (e.g. Massonnet and Feigl, 1998). 79

The phase difference between two radar acquisitions separated in time and/or space is 80 frequently used to provide information about the shape of the land surface or the magni-81 tude and spatial extent of ground deformation associated with volcanic processes (e.g. Pinel 82 et al., 2014). In areas where the land surface changes rapidly, for example due to vegeta-83 tion growth or emplacement of volcanic deposits, the phase difference between neighbouring 84 pixels observed by Interferometric Synthetic Aperture Radar (InSAR) will appear random 85 (incoherent), and therefore no meaningful information can be retrieved (Fig. 1c). Phase 86 delays introduced by variation in atmospheric water vapour content can also introduce sig-87 nificant noise that can obscure ground deformation signals (e.g. Parker et al., 2015) (Fig. 1c). 88 The amplitude component of a SAR image represents the power of the backscattered 89 radar signal observed by the satellite. This amplitude is a function of local slope relative to 90 the SAR incidence angle, the surface roughness on the length scale of the radar wavelength, 91 and the dielectric constant of the surface material, which changes most with the presence 92 or absence of water (Wadge et al., 2011). In addition the SAR amplitude is a function of 93 the relative radar polarization (Zebker et al., 1987; Saepuloh et al., 2012; Solikhin et al., 94

⁹⁵ 2015). However, due to data availability, in this study we only consider single polarization
⁹⁶ data (HH).

The dielectric constant of a material is a measure of how polarizable that material is, and is defined as an effective complex relative permittivity, $\epsilon = \epsilon' - i\epsilon''$, where ϵ' is the real and ϵ'' is the complex component of relative permittivity (e.g. Adams et al., 1996). For a given material, ϵ' and ϵ'' are both dependent on the material density and shape, as well as its temperature and the frequency of incident electromagnetic radiation.

In practice it is not possible to estimate the dielectric constant of the ground surface from 102 the power of radar amplitude in a single image, or predict the radar amplitude if the dielectric 103 constant is known, since the amplitude is dependent on the sum of all scatterers within a 104 ground resolution element (pixel). Variations in the satellite viewing geometry with time 105 mean that for any given pixel the backscattered power will be the sum of a slightly different 106 set of scatterers at each time step, resulting in a pixel-by-pixel speckle pattern. However, by 107 comparing broader scale amplitude variations over multiple neighbouring pixels, changes in 108 observed radar amplitude can still provide useful information about areas that are affected 109 by volcanic eruptions, even when the surface has changed enough to decorrelate SAR phase 110 (Fig. 1). 111

Lab measurements of ϵ' and ϵ'' exist for some materials of interest — for andesitic ash, at X-Band frequency (9.6 GHz), ϵ' is between 5 and 6 and ϵ'' is between 0.1 and 0.2 (Adams et al., 1996; Oguchi et al., 2009). For dry snow at X-band frequency ϵ' is 1–2 and ϵ'' is less than 10⁴ (Tiuri et al., 1984; Mätzler and Wegmüller, 1987). We would therefore expect a surface covered by andesitic ash to have a higher magnitude radar amplitude return than a surface of identical slope and roughness covered by dry snow.

¹¹⁸ Snow is a mixture of air, ice and water, and the dielectric constant of snow is highly ¹¹⁹ dependent on the relative proportion of water, which dominates the value of ϵ (Tiuri et al., ¹²⁰ 1984). There is sufficient empirical data to derive a parameterisation of ϵ' and ϵ'' for wet ¹²¹ snow, based on W_v , the proportion of water in the snow by volume (Tiuri et al., 1984; ¹²² Kaatze, 1989; Artemov and Volkov, 2014). From this parameterisation, we would expect ¹²³ the snow covered ice-cap of Cotopaxi to have higher ϵ' compared to the snow-free part of the andesitic edifice if $W_v > 0.3$ and a higher ϵ'' if $W_v > 0.03$. The relative permittivity of andesitic ash also increases substantially when covered with thin films of water, however as yet there is no empirical relationship for this behaviour (Oguchi et al., 2009).

We investigated radar amplitude changes at Cotopaxi using data from two satellite SAR 127 missions, TerraSAR-X (TSX) and COSMO-SkyMed (CSK) that were acquired through the 128 Committee on Earth Observation Satellites' Ecuador Volcanoes Supersite. TSX has a repeat 129 observation frequency of 11 days and CSK is a constellation of four satellites, which each 130 have a repeat time of 16 days. Both satellites operate at X-band frequency (wavelength 3.1 131 cm). TSX images were acquired in spotlight viewing mode, which has a 10×10 km image 132 size and a horizontal pixel spacing of 2 m. CSK images were acquired in stripmap mode, 133 which acquires a swath 40 km wide at a pixel spacing of 3 m. Both TSX and CSK data 134 were acquired in HH polarisation. 135

We processed data from both satellites using the Caltech/JPL InSAR Scientific Com-136 puting Environment (ISCE) software (Rosen et al., 2012). TSX data were provided as 137 single-look complex (SLC) images, while CSK data were provided as raw data, which were 138 focused into SLCs with one look in range and azimuth directions to keep full image reso-139 lution. We corregistered SLC images using a subpixel amplitude correlation algorithm and 140 resampled the post-eruptive image to the geometry of the pre-eruptive scene (Rosen et al., 141 2012). We only consider the amplitude component of the SAR images, since at Cotopaxi, 142 the phase component of interferograms decorrelates rapidly on the glaciated ice-cap, and 143 is subject to high atmospheric noise elsewhere (Fig. 1). Sequential images also have large 144 perpendicular baselines, which reduces the phase coherence in interferograms (e.g. Zebker 145 and Villasenor, 1992). 146

We keep the SAR images in radar viewing geometry due to the lack of a high resolution digital elevation model (DEM) that could be used to geocode the images and to avoid warping of the image that occurs in inaccurately geocoded images at rapidly changing summits of erupting volcanoes (e.g. Wadge et al., 2011; Ebmeier et al., 2013; Arnold et al., 2016). SAR images in radar viewing geometry are subject to geometric distortion compared to orthorectified geocoded images. Slopes that face towards the satellite sensor will appear brighter and compressed, while slopes that face away from the radar look direction will appear darker and stretched. Where the angle of the slope is steeper than the satellite incidence angle, slopes facing away from the satellite will cast a radar shadow. The height of the feature casting the shadow is given by

$$h = w_{range} \cos \theta \tag{1}$$

where h is the height of the feature, w_{range} is the width of the shadow in the range direction in satellite radar geometry, and θ is the satellite incidence angle. Conversely, for slopes facing the satellite that are steeper than the normal to the incidence angle, returns from multiple backscatterers will overlap to create radar layover. The height of the feature causing layover is given by

$$h = \frac{w_{range} \sin \alpha}{\sin(\alpha - \theta)} \tag{2}$$

where α is the gradient of the feature causing layover.

¹⁶³ Changes in surface reflectivity between two radar images are easier to visualise when ¹⁶⁴ plotted either as ratio maps (Wadge et al., 2002) or change difference maps (Wadge et al., ¹⁶⁵ 2011). A map of the pixel-by-pixel ratio between two images suppresses the influence of ¹⁶⁶ the local slope on amplitude in areas that do not change between images, and decreases ¹⁶⁷ the effect of speckle (e.g. Wadge et al., 2002; Bovolo and Bruzzone, 2005). To reduce the ¹⁶⁸ contrast between high and low intensity pixels, the change in ratio X_R is given in decibels ¹⁶⁹ by taking the base 10 logarithm of the ratios (Rignot and van Zyl, 1993).

$$\mathbf{X}_{\mathbf{R}} = 10 \log_{10} \left(\frac{\mathbf{X}_2}{\mathbf{X}_1} \right) \tag{3}$$

where X_1 is the intensity of the earlier image and X_2 is the intensity of the later image. Pixels in the ratio map with a value greater than 0 dB represent an increase in amplitude between the two acquisition dates, while pixels less than 0 dB have a decreased amplitude. Alternatively, we can display differences in backscatter intensity as a change difference image. Change difference images are easier to interpret than ratio maps, but display reduced changes in areas of high amplitude, such as slopes facing towards the satellite (Rignot and van Zyl, 1993; Wadge et al., 2011). To generate a change difference image, we create a three-colour component image. Following Wadge et al. (2011); Ebmeier et al. (2014) we assign the earlier image to the red band, the later image to the green band and the scaled difference between the two images to the blue band. Surfaces that have increased reflectivity between the two acquisition dates will appear cyan in a change difference image, surfaces that have decreased reflectivity will appear magenta, and surfaces that have not changed reflectivity will appear yellow.

183 3. Results

We form change difference and ratio maps spanning the initial explosive activity at Cotopaxi on 14 August 2015 (Figs. 2 and 3). Both maps show complex spatial variations in radar amplitude associated with the eruption, on the ice-cap near the crater and on the flanks and plateau surrounding Cotopaxi, below the ice-cap.

188 3.1. Crater morphology

The most significant amplitude changes observed at Cotopaxi were at the summit, within 189 approximately 1 km of the crater. Both TSX and CSK images observe a large decrease in 190 amplitude inside the crater (A in Fig. 2, B in Fig. 4). The spatial pattern of the amplitude 191 decrease is consistent with an increase in the width of shadow cast by the crater wall closer to 192 the satellite. Using equation 1, we find that the height of the crater wall increased by 58 ± 4 193 m in the TSX imagery and 51 ± 5 m in CSK images. Since the geometry of the crater edge 194 remains effectively unchanged between pre- and post-eruptive images, this height change 195 must be caused by a lowering of the crater floor through removal of material by volcanic 196 explosions (Fig. 4c and d). Note that for both satellites the height change given is a lower 197 bound, as the shadow cast by the near crater wall is interrupted by layover. 198

If we make assumptions about the shape of the summit crater, then we can estimate the volume of material that was removed by the explosive activity. The crater rim is approximately circular, with a radius of 90 \pm 5 m (Fig. 2). If we consider the crater to be conical, from the TSX images, the bulk volume removed between the start of eruption on 14 and 20 August 2015 was $5 \pm 2 \times 10^5$ m³. Alternatively, if we assume the crater has vertical

sides then the volume removed during this time period was $1.5 \pm 6 \times 10^6$ m³. Realistically 204 the actual volume removed from the crater is likely to be between these end member cases. 205 Bernard et al. (2016) estimated an erupted volume of $1.18 \pm 0.33 \times 10^5$ m³ between 14 and 206 15 August 2015, with little volume erupted between 15 and 22 August based on isopleth 207 maps of tephra mass. Our volume estimates for this time period are substantially greater 208 than that observed from tephra deposition, so a relatively large proportion of the volume 209 removed from the crater must have been deposited proximally to the crater, possibly as bal-210 listic projectiles, which were observed on the upper slopes of Cotopaxi on 14 August 2015 211 (Bernard et al., 2016). 212

213 3.2. Proximal ash

Areas of bare rock within and proximal to the crater show a slight increase in amplitude (B in Fig. 2), while ice and snow covered areas north, east and south of the crater all show decreased amplitude (C in Fig. 2 and 4). Given the proximity to the eruptive vent and the rapid lateral changes between areas of increased and decreased amplitude on the flanks of Cotopaxi, it is likely that these differences were caused by variation in the pre-eruptive surface (ice or rock), rather than significant differences in the deposition during the eruption (Fig. 4e and f).

We assume that the erupted material in 2015 was similar in composition to previously 221 erupted products at this elevation on the edifice of Cotopaxi, therefore there should not 222 be any significant difference in dielectric constant between old and new deposits. The in-223 creased amplitude on bare rock therefore suggests that the proximal post-eruptive surfaces 224 were rougher than the pre-eruptive surface. For X-band (3.1 cm wavelength) radar, surfaces 225 appear rough if the small scale height variation is greater than about 4 mm (Wadge et al., 226 2011). While most of the erupted material from this time period was fine-grained tephra. 227 proximal deposits contained approximately 2 % lapilli (2–4 mm) and larger ballistic projec-228 tiles were observed on the upper flanks (Bernard et al., 2016; Gaunt et al., 2016). For a given 229 pixel in a SAR image, the backscatter is dominated by the largest objects contained within 230 that pixel (e.g. Ferretti et al., 2000; Hooper et al., 2004), therefore even a small proportion 231

of coarse grained lapilli and ballistics could be enough to increase the surface roughness atradar wavelengths.

Ice and snow covered areas within 1 km of the summit crater show a clear decrease in 234 radar backscatter by over 5 dB (Fig. 2b and d). This decrease in reflected power would be 235 expected if the glacier was covered with tephra that had a lower dielectric constant than the 236 glacier. However, aerial photographs acquired on 18 August show little to no tephra on the 237 north, east and south flanks of the glacier. Instead, this change might be due to changes 238 in the ice-cap, such as fresh dry snow covering older wet snow at high altitudes. While the 239 greatest thickness of ash deposits were on the west flank of Cotopaxi, the radar information 240 provide little information about the ice-cap on the west flank, which suffers from layover in 241 ascending TSX images, and is almost entirely in shadow in descending CSK images. 242

243 3.3. Ice-cap and crevasses

We observed crevasses on the west flank (D in Fig. 2 and 4) that provided a reflective 244 surface facing the CSK satellite. The steep sided crevasses appear as patches of layover, 245 which more than halved in width between the pre-eruptive and post-eruptive images. If we 246 assume that the crevases have vertical sides, then using equation 2, we estimate that they 247 decreased in height from 15 ± 2 m to 6 ± 2 m. Increased geothermal heating from below 248 combined with conductive heat transfer from tephra deposited on top of the glacier resulted 249 in high rates of glacier melting between August 18 and October 8 2015 compared to the 250 background rate (Ramón et al., 2016). However, it is highly unlikely that the observed 9 251 m decrease in crevasse height was due to melting, since this height decrease across the west 252 flank of the ice-cap would generate a very large volume of meltwater, which wasn't observed 253 at the time (IG-EPN special reports). 254

The 9 m decrease in crevasse depth could be due to infill by tephra and lapilli, however the measured tephra mass for this time period was 7600 g m⁻² at the nearest location to the glacier (Fig. 3g) (Bernard et al., 2016). The measured ash deposit density was 1343 \pm 128 kg m⁻³ (Bernard et al., 2016), which gives a deposit thickness of 6 mm — orders of magnitude less than required to account for the change in crevasse height. However, glacier



Figure 2: TSX (top) and CSK (bottom) images of the summit of Cotopaxi volcano during the August 2015 eruption. **a**) and **e**) pre-eruptive images; **b**) and **f**) post-eruptive images; **c**) and **g**) change difference maps; **d**) and **h**) amplitude ratio maps. TSX images were acquired on ascending passes in spotlight mode on 9 August 2015 (**a**) and 20 August 2015 (**b**). CSK images were acquired in stripmap mode on descending passes on 12 August 2015 (**e**) and 28 August 2015 (**f**). Images are in radar viewing geometry, with the white arrows showing the satellite look direction and incidence angle. Yellow polygons in **a**), **b**), **e**) and **f**) indicate the area of radar shadow in the summit crater. The scale bars are approximately accurate in the azimuth direction (perpendicular to the look direction), but are not valid on sloped topography in the range direction (parallel to the look direction). See main text for references to labels A-D.

meltwater or precipitation could wash tephra deposits into the crevasses, where they would accumulate a much greater thickness than if tephra was just deposited by airfall. Partial collapse of the crevasse sides, potentially induced by partial melting, would also move ice from the top of the crevasse to the base, reducing the height of the crevasse side causing the layover (Fig. 4g and h).

On the north, east and south side of the glacier is a ring of amplitude increase in both 265 TSX and CSK imagery (C in Fig. 3, A in Fig. 4). These areas were upwind of the summit, 266 and not affected by ashfall (Bernard et al., 2016), however visual observations on 18 and 23 267 August observed increased moisture and streams of water descending from the basal edge of 268 the glacier (Fig. 4a; Ramón et al., 2016). Increasing the water content of the area around the 269 glacier would increase the permittivity of the reflecting surface, resulting in the increased 270 amplitude observed (Oguchi et al., 2009). The paired inner ring of decreased amplitude 271 observed in Fig. 3b cannot be explained by the same mechanism, but could be due to the 272 presence of dry snow in the pre-eruptive image that had melted by the later image. 273

274 3.4. Distal amplitude changes

Changes in radar amplitude were observed up to 10 km away from the summit and below 275 the glacier. The area to the west of the summit, a few kilometres distal from the glacier edge, 276 shows increased amplitude in the CSK image, but is not covered by the smaller footprint 277 of the spotlight TSX image (A in Fig. 3). This area was covered by the greatest thickness 278 of tephra deposits, with over 7000 g m⁻² of ash deposition between 14 and 28 August 2015 279 (Bernard et al., 2016), and is immediately downwind of the Cotopaxi summit. Given the 280 fine-grained nature of the tephra fallout (Gaunt et al., 2016), we would expect the surface 281 roughness to decrease when covered by a layer of tephra (e.g. Wadge et al., 2011). 282

We propose two possible mechanisms to explain the localised amplitude increase that is spatially correlated with the thickest tephra deposits. The first mechanism involves initially lowering the surface roughness through tephra deposition. The tephra deposits are poorly consolidated and therefore more rapidly eroded than older, more consolidated, deposits by post-depositional rainfall, which creates micro-relief that is rougher than the pre eruption



Figure 3: TSX (top) and CSK (bottom) images of the flanks of the August 2015 eruption of Cotopaxi. a) and e) pre-eruptive images; b) and f) post-eruptive images; c) and g) change difference maps; d) and h) amplitude ratio maps. TSX images were acquired on ascending passes in spotlight mode on 9 August 2015 (a) and 20 August 2015 (b). CSK images were acquired in stripmap mode on descending passes on 12 August 2015 (e) and 28 August 2015 (f). Images are in radar viewing geometry, with the white arrows showing the satellite look direction and incidence angle. The scale bars are approximately accurate in the azimuth direction (perpendicular to the look direction), but are not valid on sloped topography in the range direction (parallel to the look direction). Yellow dashed lines in d) and h) show the edge of the ice-cap, and white boxes show the extent of Fig. 2. See main text for references to labels A-D.

surface (combining steps b and d from Fig. 1 of Wadge et al. (2011)). While we cannot rule 288 out this mechanism, the nearest weather station (located 30 km to the southwest at Cotopaxi 289 International Airport in Latacunga) recorded very little precipitation between 14 and 28 Au-290 gust 2015 (NOAA Global Surface Summary of the Day records, available online at https:// 291 www7.ncdc.noaa.gov/CD0/cdoselect.cmd?datasetabbv=GS0D&resolution=40). There were 292 also near continuous ash emissions during the last week in August (Bernard et al., 2016; 293 Gaunt et al., 2016), therefore providing constant resurfacing of the surface that would mit-294 igate against this effect. 295

Alternatively, the effective permittivity of andesitic ash greatly increases when covered 296 by a thin film of water (Oguchi et al., 2009). If the erupted ash was covered by a coat of 297 water, either acquired from meteorological clouds or a hydrothermal or phreatic source, and 298 the deposited tephra had not dried out by the time the second SAR image was acquired, 299 the area covered by ash would have an increase in radar backscatter, even if the surface 300 roughness was decreased. Given the phreatic nature of the initial explosive phase (Gaunt 301 et al., 2016), high glacier melt rates (Ramón et al., 2016), and frequent orographically forced 302 cloud around the summit of Cotopaxi (Fig. 4a), we suspect this "wet ash" mechanism is 303 more likely than post-depositional erosion. Wetted ash could also explain the amplitude 304 increase seen near to the crater (B in Fig. 2), even if the proximal ash was fine-grained. 305

Closer to the summit, west and northwest of the glacier there were amplitude changes 306 in both TSX and CSK imagery, but with differing sign (B in Fig. 3, E in Fig. 4). The TSX 307 pair shows amplitude increase, while the CSK pair shows decreased amplitude in the same 308 area. This area is on the flank of Cotopaxi, which slopes 20–30° to the west. Covering 309 the slope with ash will decrease the surface roughness, which will change the amount of 310 backscattered energy received by the satellite depending on the incidence angle of the SAR 311 signal. Reducing roughness will cause the surface to act more like a specular reflector, 312 which will reflect more energy back towards the east-looking, ascending pass (TSX) while 313 more energy will be reflected away from the west looking, descending pass (CSK) causing a 314 reduction in SAR amplitude (Fig. 4i and j). 315

To the north, east and south, and at distances greater than 5 km from the summit of



towards satellites looking approximately orthogonal to the slope, but less energy towards satellites looking at an oblique angle. change (B in Fig. 3). The smoother ash-covered post-eruptive surface acts more like a specular reflector, and therefore backscatters more energy deposits in f) contain coarse ballistic clasts. g) and h) Decreased layover width of crevasses, likely due to partial collapse of the crevasse walls difference in amplitude between glaciated (C in Fig. 2) and non-glaciated (B in Fig. 2) areas within 1 km of the summit. Post-eruptive ash changes at Cotopaxi observed by radar amplitude. c)-j) Sketch interpretations of amplitude changes observed between pre- (top) and postof Cotopaxi looking south and the ash plume was heading west-southwest. See main text for references to labels A-E. b) Sketch of surface respectively. The height of the layover forming slope deceases from h_1 to h_2 . i) and j) Effect of satellite look direction on observed amplitude and accumulation of ice and volcaniclastic material at the bottom of the crevasse. w_1 and w_2 are the pre- and post- eruptive layover widths difference between h_1 and h_2 . Note that due to radar layover on the far crater wall, w_2 and h_2 are lower bounds only. e) and f) Relative the pre- and post- eruptive shadow widths, respectively. h_1 and h_2 are the pre- and post-eruptive crater depths, respectively, and Δh is the (bottom) eruptive SAR images. c) and d) Increased shadow width, caused by deepening of the summit crater (A in Fig. 2). w_1 and w_2 are Figure 4: a) Photograph of the eruption of Cotopaxi taken by P. Mothes at 3.45 pm, on 23 August 2015. The photo was taken from the north

³¹⁷ Cotopaxi, there are no significant amplitude changes in either TSX or CSK imagery (D in ³¹⁸ Fig. 3), showing that these areas were unaffected by the eruption.

319 3.5. Baseline measurements of amplitude change

In addition to volcanic activity, radar amplitude can be affected by changes in precipitation and vegetation cover, and we would therefore expect to observe amplitude variations during inter-eruptive periods (e.g. Wadge et al., 2011; Kubanek et al., 2017). These amplitude changes can vary systematically throughout the year due to seasonal changes in vegetation growth and precipitation (Kubanek et al., 2017) however, we would not expect a strong seasonal signal at a high altitude equatorial volcano such as Cotopaxi.

In order to determine which amplitude changes are due to eruptive activity, we need 326 background measurements during periods of quiescence to establish a baseline of typical 327 amplitude changes. To create this baseline, we use eight TSX scenes to form four image 328 pairs, each with 11 day separation, acquired throughout the year following the eruption 329 (there is not a sufficiently long catalog of pre-eruptive X-band imagery at Cotopaxi to form 330 pre-eruption baseline). We follow the same data processing scheme detailed in Section 2 \mathbf{a} 331 to create four additional post-eruptive change difference images (Fig. 5) that we compare 332 with the syn-eruptive images shown in Figs. 2 and 3. 333

In the post-eruptive change difference images, we observe amplitude variations with different spatial patterns to those seen in the syn-eruptive imagery (Fig. 5a and f). Amplitude changes on the glacier appear more spatially homogenous, such as between 7 and 18 April 2016 (Fig. 5c and h) and between 4 and 15 July 2016 (Fig. 5d and i), where there is an amplitude increase across the entire Cotopaxi glacier. Post-eruptive images also show no change in amplitude west of the summit, supporting our interpretation that the syn-eruptive amplitude changes on the western flank were due to ashfall.

Proximal to the Cotopaxi crater, between 22 October and 2 November 2016 (Fig. 5e) we observe amplitude variations that have a similar spatial pattern to the syn-eruptive changes, with decreased amplitude on ice covered ground and decreased amplitude on exposed rock. Since there was no eruptive activity at Cotopaxi in 2016, the pattern in the post-eruptive



Figure 5: Change difference images of Cotopaxi formed from the 11-day TSX image pairs acquired on ascending passes in spotlight mode. **a)** and **f)** are the syn-eruptive images from 12 – 28 August 2015 (Fig. 2c and 3c). **b)–e)** and **g)–j)** are post-eruptive image pairs collected throughout 2016. **b)** and **g)** 10 – 21 January 2016; **c)** and **h)** 7 –18 April 2016; **d)** and **i)** 4 – 15 July 2016; **e)** and **j)** 22 October – 2 November 2016. The dashed black and white box in **f)** give the location of zoomed images **a)–e)**.

³⁴⁵ imagery cannot be due to deposition of ash and lapilli (Fig. 4e and f). However, snowfall ³⁴⁶ between the two image acquisition dates could cause a similar pattern, with snow deposited ³⁴⁷ onto rock causing an amplitude increase, while depositing fresh dry snow onto wetter snow ³⁴⁸ would appear as an amplitude decrease. Fig. 5j shows that there are concentric amplitude ³⁴⁹ variations on the cone, which are likely due to altitude and temperature differences in the ³⁵⁰ structure and water content of fresh snowfall between the images (Tiuri et al., 1984; Kaatze, ³⁵¹ 1989; Artemov and Volkov, 2014).

352 4. Discussion

353 4.1. Application of radar amplitude to volcanoes

Here we have demonstrated the ability of radar amplitude images to record changes in surface character associated with explosive eruptive activity at a glaciated volcano. Radar amplitude was used to retrieve information about changes to the crater and ice-cap of Cotopaxi, even where radar phase was incoherent (Fig. 1c). Only processing the amplitude component of SAR images also provides a substantial reduction in processing time, which can be crucial when responding to a rapidly evolving volcanic system. In particular, the computationally expensive steps of topographic flattening, filtering and phase unwrapping can all be skipped when only dealing with amplitude imagery (e.g. Werner et al., 2000; Rosen et al., 2004, 2012).

Previous work has shown the benefit of the amplitude images for tracking lava dome growth (Wadge et al., 2011; Pallister et al., 2013; Wang et al., 2015), lava flow development (Wadge et al., 2012; Goitom et al., 2015, Chapters 3 and 4), rockfalls (Ebmeier et al., 2014), and pyroclastic density current and block-and-ash flow deposition (Wadge et al., 2011). Results presented here represent one of the first cases of using radar amplitude to study explosive volcanism and tephra dispersion, and additionally show changes in glacier morphology associated with volcanic activity.

We also show the benefit of comparing results from different satellite sensors and viewing geometries. Higher resolution spotlight TSX imagery was better at observing small scale, localised changes on the glacier and at the summit crater. However, the larger footprint of the stripmap CSK images enabled us to observe more distal ash depositions that fell outside the TSX footprint.

The interpretation of amplitude images can be greatly aided by validation data, such as 375 field measurements of ashfall thickness (Bernard et al., 2016). For example, the edge of the 376 area that shows significant amplitude variation due to ashfall (A in Fig. 3) approximately 377 intersects with a location where Bernard et al. (2016) measured 1719 g m⁻² of tephra 378 deposition during the same time period. Using their measured density of 1343 ± 128 kg 379 $\rm m^{-3}$ give a tephra thickness of 1.28 \pm 0.12 mm. Therefore X-band radar amplitude could be 380 used to map tephra deposits of similar composition and with a thickness greater than ~ 1 381 mm. Optical images (e.g. Fig. 4a) of the eruption also aid with the interpretation of changes 382 on and around the glacier, such as increased melt water at the base of the glacier, and 383 confirming that ash deposition was almost entirely concentrated to the west of the summit. 384 More detail about syn-eruptive changes in volcano morphology could be obtained by 385 adding data from other SAR platforms that operate at different wavelengths. Sentinel-1 386

and ALOS-2 both acquire data with a pixel size of 10–20 m, which would be less well 387 suited to tracking small scale, proximal changes. However, the swath width of Sentinel-1 is 388 250 km and ALOS-2 is 350 km, therefore both systems could be better suited to tracking 389 distal ashfall. Since the frequency dependence of surface roughness and dielectric constant 390 is known generally in volcanic terrains (e.g. Ford et al., 1998), observations of the same 391 area at X-band ($\lambda = 3.1$ cm, e.g. CSK, TSX), C-band ($\lambda = 5.6$ cm, e.g. Sentinel-1) and 392 L-band ($\lambda = 23.6$ cm, e.g. ALOS-2) should make it possible to better attribute changes 393 in radar amplitude to either changes in surface roughness or dielectric constant. However, 394 quantitative interpretation of amplitude changes requires more laboratory measurements of 395 how the dielectric constants of surfaces change when covered by volcanic products of different 396 types (e.g. Ulaby and Long., 2014). 397

398 4.2. Volcanic hazard

Radar amplitude imaging can be a valuable tool for volcano monitoring, and can either 399 supplement other observation techniques, or provide critical information about volcanic haz-400 ard when visual observations are not possible (e.g. Wadge et al., 2011; Pallister et al., 2013). 401 As demonstrated here, radar amplitude can be particularly useful for assessing changes at 402 ice-capped volcanoes, where radar phase rapidly decorrelates. Given that both the deadliest 403 (Nevado del Ruiz, 1985: 23000 fatalities, e.g. Pierson et al., 1990) and the most costly (Ey-404 jafjallajökull, 2010: \$5 billion, e.g. International Air Transport Association, 2011) eruptions 405 of recent decades have both involved volcano-ice interactions, ice-capped volcanoes, such as 406 Cotopaxi, pose a much greater potential hazard through primary lahar generation than sim-407 ilar volcanoes that do not have an ice-cap, and generally generate smaller secondary lahars 408 (e.g. Tungurahua: Loughlin et al., 2015; Mothes and Vallance, 2015). 409

The amplitude images presented here can readily be processed within hours of receiving the satellite data, and incorporated into real-time volcano monitoring. Due to a five day latency period between satellite acquisition and image delivery by DLR, IG-EPN were unable to incorporate TSX images into their real-time monitoring procedures, however later radar amplitude images confirmed aerial and ground-based observations that had been made im⁴¹⁵ mediately after the 14 August 2015 eruption. Image delivery times are more rapid for other
⁴¹⁶ SAR platforms — CSK interferograms were successfully incorporated into IG-EPN daily
⁴¹⁷ briefings during a period of unrest at Volcan Chiles-Cerro Negro in October 2014 (Ebmeier
⁴¹⁸ et al., 2016, Pritchard et al., in prep.), and Sentinel-1 interferograms have been included in
⁴¹⁹ IG-EPN special reports on unrest at Cerro Azul in March 2017 (IG-EPN, 2017).

When interpreting amplitude changes, frequent image acquisitions can aide interpreta-420 tion (e.g. Wadge et al., 2011). Any amplitude changes observed are the cumulative sum of 421 all changes that occurred between the first and second date, therefore attributing amplitude 422 changes to a specific event or time period can be more difficult for change difference maps 423 spanning a longer time interval. Having access to local meteorological records could prove 424 very useful in this regard. However, amplitude changes can be measured even when there 425 are large temporal or perpendicular baselines between images. So, unlike differential In-426 SAR, ratio maps can be generated even if the previous image was acquired months or years 427 previously, which can be a serious limitation for differential InSAR (e.g. Ebmeier et al., 428 2013). 429

430 5. Conclusion

We use radar amplitude images from the high resolution TerraSAR-X and COSMO 431 SkyMed satellites to track changes associated with mild explosive volcanism at Cotopaxi, 432 Ecuador in August 2015. We observe spatially complex variations in radar backscatter with 433 adjacent areas showing simultaneous increases and decreases in radar amplitude. We also 434 show that some amplitude changes, due to smoothing of surfaces on sloped topography, are 435 dependent on the radar incidence angle. These surfaces show increased amplitude for satel-436 lites facing orthogonal to the slope and decreased amplitude for satellites looking obliquely 437 at the slope. We attribute changes in radar amplitude to a variety of processes, including 438 changes in summit crater morphology and deepening of the crater floor, deposition of ash 439 and lapilli on the summit ice-cap, accumulation of ice and volcaniclastic material at the base 440 of crevasses, increased melt water at the base of the glacier and downwind deposition of wet 44 ash. Radar amplitude images presented here can provide critical information about changes 442

to volcanic systems, even when radar phase provides no useful information, and could be
used to supplement volcano monitoring observations at other eruptions across the range of
terrestrial volcanic settings and eruption styles.

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