

# Mortality after bypass surgery versus stenting for coronary artery disease: an individual patient-data pooled analysis of 11,518 patients from 11 randomized trials

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## ABSTRACT

49 **Background:** Numerous randomized trials have compared coronary artery  
50 bypass grafting (CABG) and percutaneous coronary intervention (PCI) for  
51 patients with multivessel (MVD) or left main (LM) coronary artery disease. No  
52 studies have been powered to detect a difference in mortality.

53 **Methods:** We performed a collaborative individual patient-data, pooled analysis  
54 of 11 randomized clinical trials comparing CABG with PCI using stents, in which  
55 a Heart Team selected patients with estimated clinical equipoise between CABG  
56 and PCI; ERACI II (n=450), ARTS (n=1205), MASS II (n=408), SoS (n=988),  
57 SYNTAX (n=1800), PRECOMBAT (n=600), FREEDOM (n=1900), VA CARDS  
58 (n=198), BEST (n=880), NOBLE (n=1184) and EXCEL (n=1905). Mortality rates  
59 up to 5 years were estimated using Kaplan-Meier curves, with comparisons  
60 between PCI and CABG performed in a random-effects Cox proportional hazards  
61 model stratified by trial. Consistency of treatment effect was explored in  
62 subgroup analyses according to baseline clinical and anatomical characteristics.

63 **Findings:** A total of 11,518 patients were randomly assigned to PCI (n=5753) or  
64 CABG (n=5765). Mean SYNTAX score was  $26.0 \pm 9.5$ , with 1798 patients (22.1%)  
65 having a SYNTAX score  $\geq 33$ . Over a mean follow-up of  $3.8 \pm 1.4$  years, 976  
66 deaths occurred. Five-year all-cause mortality was 11.2% (539 deaths) after PCI  
67 and 9.2% (437 deaths) after CABG (HR=1.20, 95% CI 1.06-1.37; P=0.0038). All-  
68 cause mortality was significantly different in patients with MVD (PCI: 11.5%  
69 versus CABG: 8.9%; HR=1.28, 95% CI 1.09-1.49; P=0.0019) but not in patients  
70 with LM disease (PCI: 10.7% versus CABG: 10.5%; HR=1.07, 95% CI 0.87-1.33;  
71 P=0.52). In patients with MVD, mortality was significantly higher with PCI versus  
72 CABG in diabetics (15.5% versus 10.0%, P=0.0004) but not in non-diabetics

73 (8.7% versus 8.0%, P=0.49). Moreover, the difference between PCI and CABG in  
74 patients with MVD showed a stepwise increase from SYNTAX score 0-22  
75 (P=0.59) to 23-32 (P=0.0129) to  $\geq 33$  (P=0.0094). In patients with LM disease,  
76 comparable outcomes were not significantly influenced by the presence of  
77 diabetes or increasing SYNTAX scores.

78 **Interpretation:** In this individual patient-data, pooled analysis of 11  
79 randomized trials in which a Heart Team selected patients, five-year mortality  
80 was significantly higher after PCI than CABG in patients with MVD, specifically in  
81 those with diabetes and higher coronary complexity. There were no significant  
82 differences in 5-year mortality between PCI and CABG in patients with LM  
83 disease, regardless of diabetes and SYNTAX score. Longer follow-up is needed to  
84 better define mortality differences.

85 **Keywords:** Coronary artery bypass grafting; CABG; Percutaneous coronary  
86 intervention; PCI; Stenting; Left main; Multivessel; Survival; Mortality

87

## INTRODUCTION

88 Numerous randomized trials have compared coronary artery bypass grafting  
89 (CABG) and percutaneous coronary intervention (PCI) using either balloon  
90 angioplasty, bare-metal stents (BMS) or drug-eluting stents (DES) for the treatment  
91 of multivessel (MVD) or left main (LM) coronary artery disease.<sup>1-3</sup> No individual trial  
92 has convincingly demonstrated a significant difference in all-cause mortality  
93 between the revascularization strategies. Hlatky and colleagues performed a pooled  
94 individual patient-data analysis of ten randomized trials including 7812 patients  
95 who underwent CABG with PCI using balloon angioplasty or BMS and reported five-  
96 year mortality to be 8.4% after CABG and 10.0% after PCI (P=0.12).<sup>1</sup> More  
97 contemporary trials comparing CABG versus PCI using DES have reported similar  
98 mortality rates. Despite the large number of clinical trials, all were underpowered to  
99 detect a difference in all-cause mortality. The objective of the present study was to  
100 overcome this limitation by pooling individual patient-data from all randomized  
101 trials comparing CABG with PCI using contemporary techniques (e.g. stents for PCI)  
102 to examine their comparative effects on long-term all-cause mortality in all patients,  
103 and separately in patients with MVD and LM disease.

104

105

## METHODS

106 Reporting of this individual patient-data, pooled analysis concurs with specific  
107 PRISMA guidelines.<sup>4</sup> This study is not registered and no protocol has been published.

108

109 *Study Selection and Data Collection*

110 A literature search of the MEDLINE, EMBASE, and Cochrane databases was  
111 performed on July 19, 2017 using the following keywords: “coronary artery bypass

112 grafting”, “percutaneous coronary intervention”, “stent”, and “random\*”. Two  
113 researchers (SJH and MM) independently identified randomized trials comparing  
114 CABG and PCI with the following characteristics: (i) patients were randomly  
115 assigned to undergo CABG or PCI treatment, (ii) patients had multivessel and/or left  
116 main coronary artery disease, (iii) patients did not present with an acute myocardial  
117 infarction (MI); (iv) PCI was performed using stents (BMS or DES) and not balloon  
118 angioplasty, and (v) more than one-year follow-up for all-cause mortality was  
119 available (Appendix 1). Abstracts from meetings were not considered, nor were  
120 unpublished trials. Reference lists from potentially relevant literature were checked  
121 to ensure no studies were missed.

122 From the 19 trials that were identified from the literature search, four trials were  
123 excluded because patients did not have multivessel or LM disease, one trial was  
124 excluded because only 54% of patients received a stent, and two trials were  
125 excluded because follow-up was only available up to one year (Appendix 1).

126 Principal investigators of the remaining 12 trials were contacted to obtain individual  
127 patient data for a pooled analysis. One trial was unable to provide the data (n=105),<sup>5</sup>  
128 and investigators from the other 11 trials provided data in a standardized  
129 spreadsheet for the current pooled analysis: ERACI II<sup>6</sup>, ARTS<sup>7</sup>, MASS-II<sup>8</sup>, SoS<sup>9</sup>,  
130 SYNTAX<sup>10</sup>, PRECOMBAT<sup>11</sup>, FREEDOM<sup>12</sup>, VA CARDS (Cooperative studies program  
131 [CSP] study #557)<sup>13</sup>, BEST<sup>14</sup>, NOBLE<sup>15</sup>, and EXCEL<sup>16</sup>. Data were cross-checked  
132 against the publication of the primary endpoint and long-term follow-up  
133 publications. Several minor inconsistencies were resolved by contacting trial  
134 principal investigators. Baseline and procedural characteristics of individual trials  
135 are presented in the Appendix 2, with information of missing data for specific  
136 characteristics. Core laboratory assessed SYNTAX scores were available from 6 trials  
137 and a total of 8138 patients (CABG: n=4057, PCI: n=4081).

138 The quality of individual trials was assessed using the Cochrane Collaboration's tool  
139 for assessing risk of bias.<sup>17</sup> All trials were considered to have a high quality  
140 according to the criteria, despite not being able to blind investigators and patients  
141 (Appendix 3).

142 Local Medical Ethics Committees approved each trial at the time of study execution,  
143 and all patients provided written informed consent.

144

#### 145 *Outcomes and Follow-up*

146 To allow a consistent definition of follow-up time among trials, the duration of  
147 follow-up was calculated from the procedure. If patients died before the procedure,  
148 the time from randomization to death was used to calculate the duration of follow-  
149 up. All-cause mortality was the primary endpoint of this study, with analyses  
150 planned in all patients, and separately in patients with MVD and LM disease. Planned  
151 analyses were also performed for trials using BMS, all DES, and for first-generation  
152 DES and newer-generation DES. First-generation DES were paclitaxel-eluting stents  
153 or sirolimus-eluting stents. Newer-generation DES were everolimus-eluting stents,  
154 zotarolimus-eluting stents, and biolimus-eluting stents. The VA CARDS trial was  
155 excluded from the separate analysis of first-generation and newer-generation DES,  
156 because a mix of first-generation and newer-generation DES was used. We  
157 furthermore pre-specified subgroup analyses according to the following baseline  
158 characteristics: sex, age, body mass index, hypertension, hyperlipidemia, diabetes  
159 mellitus, peripheral vascular disease, previous MI, left ventricular ejection fraction,  
160 and lesion complexity as defined by the SYNTAX score. Post-hoc subgroup analyses  
161 were performed according to SYNTAX score tertiles in the overall groups of patients  
162 with or without diabetes.

163 In all trials, a Clinical Events Committee (CEC) adjudicated the events.

164

165 *Statistical Analysis*

166 All analyses were performed according to intention-to-treat, with patients stratified  
167 according to the procedure assigned to by randomization. Individual patient  
168 baseline, procedural, and outcome data were pooled. Continuous variables were  
169 expressed as mean  $\pm$  standard deviation and compared using t-tests, and discrete  
170 data were presented as frequencies and compared using chi-square tests. We pooled  
171 data from all 11 trials to provide unadjusted Kaplan-Meier estimates of all-cause  
172 mortality in overall and landmark analyses at 30 days, five years, and between 31  
173 days and five years. Comparisons between PCI and CABG were performed using Cox  
174 proportional hazards models stratified by trial, using a gamma frailty term to  
175 account for heterogeneity between trials. In this model, each trial is considered as an  
176 individual study and the random-effects model establishes a single hazard ratio  
177 (HR). Frailties are unobserved factors, distributed as  $\gamma$  random variables with a  
178 mean of 1 and variance  $\vartheta$ . Hence, the variance of the frailty terms represents  
179 heterogeneity in baseline risk among trials. The statistical significance of the  
180 variance parameter was assessed using the likelihood ratio test. The proportionality  
181 assumption was tested for the overall analysis and was not violated ( $P=0.12$ ).  
182 Nevertheless, visual inspection of the Kaplan-Meier curves suggests a time-  
183 dependent variance in the HR of PCI versus CABG, and therefore time-dependent  
184 models were also performed. Subgroup analyses according to baseline clinical,  
185 procedural and anatomical characteristics were performed using the same Cox  
186 models. A two-sided  $P<0.05$  was considered to indicate statistical significance;  
187 adjusting for multiplicity was not performed. All statistical analyses were performed  
188 using SPSS software version 21 (IBM Corporation, Armonk, NY, USA) or R software  
189 version 3.2.4 (Institute for Statistics and Mathematics of WU, Wien, Austria).

190

191 *Role of Source Funding and Trial Sponsors*

192 This current study was performed without funding, although individual trials were  
193 sponsored (see the end of the manuscript).

194 A team consisting of three epidemiologists and statisticians (M.M, E.B, and G.P)  
195 performed the statistical analyses. The decision to submit the manuscript for  
196 publication was made together by the principal investigators of the individual trials.  
197 Sponsors of the individual trials were involved with data collection in the individual  
198 trials, but uninvolved in performance of the analyses, interpretation of the data, or  
199 drafting of the manuscript.

200

201

## RESULTS

### 202 **Study Population and Procedures**

203 The 11 trials randomly assigned 11,518 patients to CABG (n=5765) or PCI (n=5753).  
204 Four trials were performed with BMS (n=3051), four trials with first-generation DES  
205 (n=4498), and three trials with newer-generation DES (n=3969). PCI was performed  
206 with BMS in 26.6%, with first-generation DES in 39.2%, and with newer-generation DES  
207 in 34.2% of patients (Table 1). Data from individual trials are presented in the Appendix  
208 2, including information on actual treatments performed (Appendix 4).  
209 Patients had a mean age of 63.6 ± 9.8 years and 23.8% were female (Table 1). Diabetes  
210 was present in 38.1% of patients, and 12.4% were on insulin treatment. Unstable  
211 angina was present in 34.4% of patients and 27.7% had a prior MI, although only 1.0%  
212 had a left ventricular ejection fraction <30%. Three-vessel disease was present in 60.2%  
213 of patients, and 38.9% had significant LM disease. The mean SYNTAX score was 26.0 ±  
214 9.5, with 1798 patients (22.1%) having a SYNTAX score ≥33.  
215 Surgery was performed with a left internal mammary artery in 96.2% of patients, with  
216 bilateral internal mammary arteries in 18.7%. Procedures were performed off-pump in



217 27.5% of patients. In 73.4% of patients DES were used during PCI, with 53.4% of those  
218 being first-generation DES and 46.6% being newer-generation DES.  
219 Patients were discharged with aspirin after CABG and PCI in 95.5% and 97.3%,  
220 respectively, and 44.0% and 95.1% with dual antiplatelet therapy, respectively  
221 ( $P<0.0001$  for both analyses). Other secondary prevention was also higher at discharge  
222 after PCI than after CABG (Table 1).

223 The mean duration of follow-up was  $3.8 \pm 1.4$  years.

224

### 225 **Mortality in all patients**

226 A total of 976 deaths occurred during follow-up. Five-year all-cause mortality was  
227 11.2% (539 deaths) after PCI and 9.2% (437 deaths) after CABG (HR=1.20, 95% CI  
228 1.06-1.37;  $P=0.0038$ ) (Figure 1; Table 2). At 30-day follow-up, mortality occurred in 76  
229 patients (1.3%) after PCI and in 78 patients (1.4%) after CABG (HR=0.97, 95% CI 0.71-  
230 1.33;  $P=0.84$ ). In a landmark analysis, mortality between 31 days and 5 years occurred  
231 in 463 patients (10.0%) following PCI and in 359 patients (8.0%) following CABG  
232 (HR=1.26, 95% CI 1.09-1.44;  $P=0.0009$ ). A time-dependent model showed that the  
233 hazard of mortality was comparable between PCI and CABG during the first year of  
234 follow-up (HR=0.97, 95% CI 0.80-1.19;  $P=0.80$ ), but was in favour of CABG beyond one-  
235 year follow-up (HR=1.39, 95% CI 1.17-1.62;  $P<0.0001$ )(Appendix 5). The estimate of  
236 the frailty parameter for heterogeneity was significant ( $\theta=0.39$ ,  $P<0.0001$ ).

237 Patients randomized in trials in which DES were used were significantly older, had more  
238 comorbidities, and more complex coronary disease than patients randomized in trials in  
239 which BMS were used (Table 3). Particularly, in DES versus BMS trials, diabetes was  
240 present in 45.4% versus 17.8%, respectively, LM disease was present in 52.5% versus  
241 1.0%, respectively, and three-vessel disease in 70.6% versus 41.9% ( $P<0.0001$  for all).  
242 Five-year mortality in BMS trials ( $n=3051$ ) was 8.7% (131 deaths) after PCI and 8.2%  
243 (125 deaths) after CABG (HR=1.05, 95% CI 0.82-1.34;  $P=0.72$ ). In DES trials ( $n=8467$ ),

244 5-year mortality was 12.4% (408 deaths) after PCI with DES and 10.0% (312 deaths)  
245 after CABG (HR=1.27, 95% CI 1.09-1.47; P=0.0022). The interaction for CABG versus  
246 PCI with BMS or DES was not significant (P for interaction = 0.53). Although there were  
247 significant differences in clinical and anatomical characteristics between trials using  
248 first-generation DES and those using newer-generation DES (Table 3), the difference in  
249 5-year mortality between PCI and CABG was consistent when analyzing the 4300  
250 patients enrolled in trials using first-generation DES (PCI: 13.2% (254 deaths) versus  
251 CABG: 11.1% (201 deaths); P=0.0391) and the 3969 patients enrolled in trials using  
252 newer-generation DES (PCI: 10.3% (136 deaths) versus CABG: 7.9% (106 deaths);  
253 P=0.0684) (P for interaction = 0.78).

254 In subgroup analyses, the difference in mortality was consistent according to most  
255 baseline characteristics (Figure 2; Table 2). Diabetes was the only baseline  
256 characteristic for which a significant treatment interaction was present (P for  
257 interaction = 0.0077). In patients with diabetes there was a higher mortality with PCI  
258 compared with CABG (15.7% versus 10.7%, respectively; HR=1.44, 95% CI 1.20-1.74;  
259 P=0.0001), whereas mortality was comparable in patients without diabetes (PCI 8.7%  
260 versus CABG 8.4%; HR=1.02, 95% CI 0.86-1.21; P=0.81) (Figures 2 and 3B). Of note,  
261 although the interaction was not significant, the mortality benefit of CABG over PCI  
262 tended to be progressively greater with increasing SYNTAX scores (Table 2). Similar  
263 trends were found in subgroups of patients with or without diabetes (Appendix 6).

264

### 265 **Multivessel disease**

266 Among patients with multivessel disease randomized to PCI (n=3520) versus CABG  
267 (n=3520), there were 644 deaths during a mean of  $4.1 \pm 1.4$  years follow-up. The 5-year  
268 rate of all-cause mortality was higher after PCI: 11.5% (365 deaths) versus 8.9% (279  
269 deaths) after CABG (HR=1.28, 95% CI 1.09-1.49; P=0.0019) (Figure 3D; Table 2).

270 Results of time-dependent models are provided in the Appendix 5; similar as for the

271 overall patient cohort, the benefit of CABG in MVD was particularly present with longer  
272 follow-up.

273 In patients with multivessel disease, mortality was 15.5% after PCI versus 10.0% after  
274 CABG, in the 3266 patients with diabetes (HR=1.48, 95% CI 1.19-1.84; P=0.0004), and  
275 8.7% after PCI versus 8.0% after CABG, in the 3774 patients without diabetes (HR=1.08,  
276 95% 0.86-1.36; P=0.49) (P for interaction = 0.0453) (Table 2).

277 The mortality benefit of CABG over PCI was greater with increasing SYNTAX scores in  
278 patients with multivessel disease. The respective mortality rates after PCI and CABG  
279 were 10.5% versus 8.4% in 1381 patients with a SYNTAX score of 0-22 (HR=1.11, 95%  
280 CI 0.77-1.62, P=0.57), 14.0% versus 9.5% in 1599 patients with a SYNTAX score of 23-  
281 32 (HR=1.50, 95% CI 1.09-2.08; P=0.0129), and 19.2% versus 11.2% in 820 patients  
282 with a SYNTAX score of  $\geq 33$  (HR=1.70, 95% CI 1.13-2.55; P=0.0094) (P for interaction =  
283 0.32) (Table 2).

284

### 285 **Left main disease**

286 Among patients with LM disease randomized to PCI (n=2233) versus CABG (n=2245),  
287 there were 322 deaths during a mean of  $3.4 \pm 1.4$  years follow-up. The 5-year rate of all-  
288 cause mortality was comparable with 10.7% (174 deaths) after PCI and 10.5% (158  
289 deaths) after CABG (HR=1.07, 95% CI 0.87-1.33; P=0.52) (Figure 3C; Table 2). Results  
290 of time-dependent models are provided in the Appendix 5; in contrast to the overall  
291 cohort and MVD subgroup, the benefit of CABG was not seen with longer follow-up.

292 In subgroup analysis according to diabetes in patients with LM disease, there was no  
293 significant interaction in the treatment effect (P for interaction = 0.13). In 1120 patients  
294 with diabetes mortality was 16.5% after PCI versus 13.4% after CABG (HR=1.34, 95%  
295 CI 0.93-1.91; P=0.11) and 8.8% after PCI versus 9.6% after CABG in 3358 patients  
296 without diabetes (HR=0.94, 95% CI 0.72-1.23; P=0.65) (Table 2).

297 Analyses according to SYNTAX score in patients with LM disease revealed that there  
298 were no differences in mortality among PCI and CABG in any of the groups: 8.1% versus  
299 8.3% among 1737 patients with a SYNTAX score of 0-22 (HR=0.91, 95% CI 0.60-1.36,  
300 P=0.64), 10.8% versus 12.7% among 1623 patients with a SYNTAX score of 23-32  
301 (HR=0.92, 95% CI 0.65-1.30; P=0.65), and 15.0% versus 12.4% among 978 patients  
302 with a SYNTAX score of  $\geq 33$  (HR=1.39, 95% CI 0.94-2.06; P=0.1006) (P for interaction =  
303 0.38) (Table 2).

304

305

## DISCUSSION

306 This collaborative analysis of individual patient data from 11 randomized trials is the  
307 first large-scale analysis of data comparing CABG and PCI performed using stents. In a  
308 total of 11,518 randomly assigned patients, the 5-year mortality rate was significantly  
309 higher after PCI than after CABG. However, this difference was not consistent among  
310 subgroups. Specifically, the mortality benefit of CABG over PCI was seen only in patients  
311 with multivessel disease and diabetes. Conversely, there were no significant differences  
312 in mortality between CABG and PCI in patients without diabetes who had multivessel  
313 disease, or in all patients with left main disease (with or without diabetes). Coronary  
314 lesion complexity was an important effect modifier, particularly in patients with  
315 multivessel disease.

316 The difference between CABG and PCI using stents is a topic of debate that is fueled each  
317 time stent design is enhanced. Due to these improvements, randomized trials comparing  
318 CABG and PCI have increasingly included higher-risk patients with more complex  
319 disease, such as three-vessel or left main disease. This is also reflected in our data  
320 wherein 5-year all-cause mortality in both the CABG and PCI cohorts was higher in  
321 contemporary trials with DES versus earlier trials in which BMS were used.

322 It is important to acknowledge that in these trials, both an interventional cardiologist  
323 and a cardiac surgeon had to assume clinical equipoise between PCI and CABG for

324 patients to be randomized. Such a Heart Team concept has received more emphasis over  
325 the recent years to determine the best revascularization strategy for individual  
326 patients.<sup>18</sup> Some patients were not eligible for inclusion in the selected randomized  
327 trials due to coronary lesion complexity too severe to be treated by PCI or an operative  
328 risk deemed to high to undergo CABG.<sup>19</sup> The results of this analysis therefore do not  
329 correspond to the entire population of patients with coronary artery disease that  
330 require revascularization.

331 The mortality benefit of CABG versus PCI in the overall group was retained over a  
332 variety of patient baseline characteristics. However, the presence of diabetes remained  
333 as an important factor, as demonstrated in previous analyses.<sup>1</sup> The benefit of CABG in  
334 patients with diabetes may be attributed to more effective revascularization of diffuse,  
335 complex coronary disease. This is consistent with the findings of the subgroup analyses  
336 according to SYNTAX score. In the total cohort, there was a step-wise increase in the  
337 difference between CABG and PCI with higher SYNTAX scores. Other studies have  
338 identified sex as an effect modifier,<sup>20</sup> but we were unable to confirm a significant  
339 treatment-by-sex interaction for 5-year mortality.

340 Patients with multivessel disease have lower mortality with CABG, as shown in the  
341 SYNTAX trial that compared CABG with PCI with first-generation DES.<sup>21,22</sup> The BEST trial  
342 in which second-generation, everolimus-eluting stents were used to treat multivessel  
343 disease also found that CABG was associated with lower rates of major adverse cardiac  
344 or cerebrovascular events, driven by a reduced rate of MI and repeat  
345 revascularization.<sup>14</sup> However, both trials failed to show a survival benefit for either  
346 treatment. Large real-world registries that applied propensity matching of CABG versus  
347 PCI with DES for multivessel disease have attempted to find such differences with larger  
348 sample sizes.<sup>23,24</sup> The ASCERT study, the largest such analysis, reported an adjusted 4-  
349 year mortality of 16.4% for CABG and 20.8% for PCI among a cohort of patients aged 65  
350 years or older, which was consistent in multiple subgroups.<sup>24</sup> Notably, a similar pattern

351 of the survival curves of CABG versus PCI is observed when comparing those of the real-  
352 world ASCERT study in which patients were treated with first-generation DES and that  
353 of the current analysis: PCI shows a benefit within the first year of follow-up but with  
354 longer follow-up there is a larger benefit with CABG. We were able to show that this  
355 reversal of the hazard resulted in a significant benefit of CABG over PCI at a mean of 4.1  
356 years, which may potentially become larger with prolonged follow-up as the hazard  
357 ratio was more in favour of CABG at later follow-up in time-varying models.

358 Among patients with LM disease randomized in the SYNTAX trial, comparable 5-year  
359 mortality between CABG and paclitaxel-eluting, first-generation DES was noted.<sup>25</sup> Two  
360 major trials have since focused on finding the optimal revascularization strategy for LM  
361 disease and have recently reported conflicting outcomes of CABG versus PCI. The EXCEL  
362 trial reported non-inferiority of PCI versus CABG after 3 years, while the NOBLE trial did  
363 not demonstrate non-inferiority of PCI versus CABG at 5 years.<sup>15,16</sup> The differences in  
364 timing and composition of the primary endpoints make a comparison of these trials  
365 difficult and can presumably explain the apparent difference in results. Three-year  
366 individual endpoints in the NOBLE trial were later confirmed to be remarkably similar  
367 to EXCEL.<sup>26</sup> In the current pooled analysis of data from 4 different trials, mortality in  
368 patients with LM disease was similar after CABG and PCI at 5-year follow-up. The  
369 mortality comparison was consistent in a subgroup analysis according to diabetes,  
370 unlike the analysis of all patients and those with multivessel disease, although this may  
371 be due to smaller sample size in the diabetic subgroup of LM patients. Coronary  
372 complexity by SYNTAX score did not show to impact the mortality comparison, although  
373 patients with a high SYNTAX score were relatively underrepresented due to specific  
374 inclusion criteria (e.g. in the EXCEL trial) and a Heart Team preference for CABG.<sup>19</sup>  
375 Therefore, the degree of complexity should still be important to consider when  
376 proposing a specific treatment for individual patients with LM disease. Patients with a  
377 complex LM lesion and additional three-vessel disease with a high SYNTAX score may

378 still benefit from CABG in terms of mortality, as well as MI and repeat revascularization,  
379 while patients with a non-complex LM lesion and one or two-vessel disease may be  
380 excellent candidates for PCI. Clinical guidelines have not been revised since the release  
381 of the EXCEL and NOBLE trial data. Based on the current data of comparable mortality,  
382 the indication for PCI with contemporary DES may be broadened to patients with more  
383 complex LM disease (e.g. intermediate SYNTAX scores). However, since only 978  
384 patients in the present LM cohort had high SYNTAX scores, additional data is required  
385 before PCI can be routinely recommended in patients with complex LM disease. Longer  
386 follow-up is essential to better define differences in survival between CABG and PCI, as  
387 landmark analyses from the EXCEL trial showed that the hazard of mortality after CABG  
388 and PCI was different according to the period of follow-up and may show a benefit of  
389 CABG with longer follow-up.<sup>16</sup>

390 The major strength of the current analysis is that we were able to find clinically relevant  
391 differences in all-cause mortality between CABG and PCI due to the collaboration of the  
392 principal investigators from 11 high-quality randomized trials, allowing pooled data to  
393 provide sufficient power to examine an outcome that occurs relatively infrequently.  
394 Indeed, all-cause mortality is considered to be the most clinically important and least  
395 biased endpoint, which is another strength of this analysis. Having individual patient  
396 data also facilitated formation of Kaplan-Meier curves so the temporal relationships of  
397 mortality could be examined, and analysis of outcomes in important subgroups, which  
398 in the present study were highly informative.

399 Nevertheless, several limitations should also be considered. First, all the included trials  
400 randomized patients with estimated clinical equipoise between CABG and PCI. These  
401 trials had specific inclusion and exclusion criteria, and many patients were excluded  
402 because CABG or PCI was thought to be the preferred revascularization strategy based  
403 on the age, risk profile, or coronary complexity.<sup>19</sup> This resulted in a population with only  
404 22.1% having a SYNTAX score  $\geq 33$ . Second, these inclusion and exclusion criteria have

405 resulted in significant variance in the baseline characteristics of the patients from  
406 different trials, as shown by our assessment of frailty. Third, besides mortality, other  
407 outcome measures that impact morbidity and quality of life, such as MI, stroke, and  
408 repeat revascularization, are also important for the patient and should be taken into  
409 account by the Heart Team when deciding on the best revascularization option for each  
410 individual patient. In the current era of exponentially growing health care costs and the  
411 need to reduce expenses, the cost-effectiveness of PCI and CABG should furthermore be  
412 evaluated. Fourth, the mean patient age was 63.6 years, and the mean follow-up was 3.8  
413 years. Considering the life expectancy of patients, this follow-up is still relatively short  
414 to determine the full impact of revascularization method on survival, especially  
415 considering the diverging or converging Kaplan-Meier curves in specific subgroups.  
416 Fifth, definitions of patient characteristics may have slightly differed between trials,  
417 which may have impacted the results of the subgroup analyses. Sixth, we were unable to  
418 include data from the LE MANS trial<sup>5</sup>, although it is very unlikely that inclusion of these  
419 105 patients with LM disease would significantly alter the results, and thus the  
420 outcomes of this study are robust with respect to the available evidence.

421

## 422 **CONCLUSIONS**

423 In this individual patient-data, pooled analysis from 11 trials in which a Heart Team  
424 randomized 11,518 patients with estimated clinical equipoise between PCI and CABG, 5-  
425 year mortality was significantly lower after CABG as compared with PCI. The benefit  
426 was demonstrated only in patients with multivessel disease and diabetes, but not in  
427 patients with multivessel disease without diabetes. Nor was there a benefit of CABG or  
428 PCI in patients with LM disease. Coronary lesion complexity is an important factor to  
429 consider when choosing the appropriate revascularization strategy, especially in  
430 patients with multivessel disease. Longer follow-up is needed to better define mortality



431 differences. These results may not be applicable to patients excluded from randomized  
432 trials for various reasons (e.g. coronary complexity or procedural risk).

433

## 434 **RESEARCH IN CONTEXT**

### 435 **Evidence before this study**

436 A literature search of the MEDLINE, EMBASE, and Cochrane databases was performed  
437 on July 19, 2017 to identify randomized clinical trials comparing coronary artery bypass  
438 grafting and percutaneous coronary intervention with stents using the following  
439 keywords: “coronary artery bypass grafting”, “percutaneous coronary intervention”,  
440 “stent”, and “random\*”, with the following characteristics: (i) patients had multivessel  
441 and/or left main coronary artery disease, (ii) patients did not present with an acute  
442 myocardial infarction (MI); (iii) PCI was performed using stents (BMS or DES) and not  
443 balloon angioplasty, and (iv) more than one-year follow-up for all-cause mortality was  
444 available. We identified 12 high-quality trials, none of which found a significant  
445 difference in all-cause mortality between PCI and CABG at 3-10 year follow-up. Separate  
446 meta-analyses of randomized clinical trials that included patients with multivessel  
447 disease or with left main disease showed no significant differences in all-cause mortality  
448 between PCI and CABG. Meta-analyses did show that patients with diabetes have a  
449 benefit with CABG over PCI as opposed to patients without diabetes where no difference  
450 was found, although this has been contradicted in other pooled analyses.

### 451 **Added value of this study**

452 This study is the largest analysis of patients randomly assigned to PCI with stents or  
453 CABG. It shows for the first time, to the best of our knowledge, that all-cause mortality is  
454 significantly lower with CABG than with PCI in an overall randomized population of  
455 patients with multivessel or left main disease. However, because of the use of individual  
456 patient data, important subgroups are identified that have a survival benefit from CABG,

457 which are patients with multivessel disease and diabetes, and high coronary lesion  
458 complexity. Patients with left main disease and lower coronary lesion complexity have  
459 comparable survival with PCI and CABG.

460 **Implications of all the available evidence**

461 Some patients have specific indications for PCI or CABG because of too high coronary  
462 complexity for PCI or too high operative risk for CABG. In patients with estimated  
463 clinical equipoise as determined by a Heart Team, it is crucial to consider the presence  
464 of multivessel or left main disease, the coronary complexity as determined by the  
465 SYNTAX score, and the presence of diabetes, as these are important effect modifiers in  
466 terms of PCI versus CABG and should impact the decisions for coronary  
467 revascularization in daily practice. However, longer follow-up of randomized trials is  
468 required to better define mortality differences in overall patients and specific  
469 subgroups.

470

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484

485

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489 SJP, AER, JFS, RHS, GWS, PWS, APK

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491 Figures: MM

492 Data interpretation: SJH, MM, JD, MF, MAH, EB, APK

493 Drafting of the manuscript: SJH

494 All authors provided a critical revision of the manuscript and approved the final  
495 version.

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## TABLES

**Table 1. Baseline, procedural, and discharge data of randomized cohorts.**

Characteristic	PCI (n=5753)	CABG (n=5765)	P value
Age	63.6 ± 9.8 (5753)	63.7 ± 9.9 (5765)	0.72
Female sex	23.9% (1373/5753)	23.8% (1371/5765)	0.91
BMI >30 kg/m <sup>2</sup>	28.1% (1548/5506)	28.3% (1558/5511)	0.82
Smoking current	22.3% (1274/5701)	22.3% (1273/5703)	0.97
Diabetes	38.5% (2215/5753)	37.7% (2171/5765)	0.35
Insulin treated	12.9% (545/4234)	11.9% (504/4245)	0.16
Hypertension	67.6% (3880/5739)	68.1% (3913/5748)	0.59
Hypercholesterolemia	69.5% (3982/5726)	67.3% (3862/5735)	0.0112
Peripheral vascular disease	8.2% (424/5158)	8.5% (440/5164)	0.58
Carotid artery disease	7.8% (161/2072)	8.1% (168/2074)	0.69
Previous TIA or CVA	5.4% (218/4052)	6.2% (253/4054)	0.0977
Previous MI	28.0% (1438/5138)	27.5% (1417/5156)	0.57
Moderate LVEF (30-49%)	15.2% (807/5303)	14.3% (779/5430)	0.20
Poor LVEF (<30%)	0.9% (49/5303)	1.0 (54/5430)	0.71
Unstable angina pectoris	34.6% (1786/5158)	34.2% (1767/5160)	0.68
Three-vessel disease	58.6% (2460/4201)	61.8% (2594/4197)	0.0627
Left main disease	38.8% (2233/5753)	38.9% (2245/5765)	0.89
SYNTAX score	26.0 ± 9.3 (4081)	26.0 ± 9.8 (4057)	0.91
0-22	37.6 (1533/4081)	39.1 (1585/4057)	0.16
23-32	41.1 (1677/4081)	38.1 (1545/4057)	0.0053
≥33	21.3 (871/4081)	22.8 (927/4057)	0.10
PCI – stents*	100% (5610/5610)	-	-
BMS	26.6% (1490/5610)	-	-
DES	73.4% (4120/5610)	-	-
First-generation DES	39.2% (2199/5610)	-	-
Newer-generation DES	34.2% (1920/5610)	-	-
PCI – number of stents	3.1 ± 2.0 (4935)	-	-
CABG – LIMA use	-	96.2% (4574/4753)	-
CABG – BIMA use	-	18.7% (771/4122)	-
CABG – off-pump	-	27.5% (1085/3945)	-
Aspirin at discharge	97.3% (4487/4612)	95.5% (3814/3994)	<0.0001
Thienopyridine at discharge	96.7% (4479/4630)	45.1% (1815/4026)	<0.0001
DAPT at discharge	95.1% (4384/4612)	44.0% (1759/3994)	<0.0001
Statin at discharge	88.1% (3052/3464)	84.0% (2843/3384)	<0.0001
Beta-blocker at discharge	79.1% (2741/3464)	76.2% (2557/3356)	0.0040

ACEi or ARB at discharge	63.7% (2205/3464)	46.9% (1588/3383)	<0.0001
Calcium-channel blocker at discharge	27.7% (959/3463)	21.8% (736/3383)	<0.0001

\*Data only for patients who were randomized to PCI and indeed underwent PCI. The type of DES used was not available for one patient enrolled in the VA CARDS trial.

Values are present as mean  $\pm$  SD or n/N (%). PCI, percutaneous coronary intervention; CABG, coronary artery bypass grafting; ACEi, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; BMI, body mass index; BMS = bare-metal stent; TIA, transitory ischemic attack; CVA, cerebrovascular attack; MI, myocardial infarction; LVEF, left ventricular ejection fraction; DES, drug-eluting stents; LIMA, left internal mammary artery; BIMA, bilateral internal mammary artery; DAPT, dual antiplatelet therapy.

**Table 2. Five-year mortality outcomes in all patients and according to multivessel or left main disease**

		All patients				Multivessel disease				Left main disease			
		CABG (n=5765)	PCI (n=5753)	HR [95% CI] P value	Heteroge neity	CABG (n=3520)	PCI (n=3520)	HR [95% CI] P value	Heteroge neity	CABG (n=2245)	PCI (n=2233)	HR [95% CI] P value	Heteroge neity
<b>Overall mortality</b>		9.2% (437/5765)	11.2% (539/5753)	HR=1.20 [1.06-1.37] P=0.0038	̑=0.39 P<0.0001	8.9% (279/3520)	11.5% (365/3520)	HR=1.28 [1.09-1.49] P=0.0019	̑=0.40 P<0.0001	10.5% (158/2245)	10.7% (174/2233)	HR=1.07 [0.87-1.33] P=0.52	̑=0.0845 P<0.0001
<b>Diabetes</b>				P <sub>int</sub> 0.008				P <sub>int</sub> 0.0453				P <sub>int</sub> 0.13	
	<b>Yes</b>	10.7% (185/2171)	15.7% (278/2215)	HR=1.44 [1.20-1.74] P=0.0001	̑=0.11 P<0.0001	10.0% (134/1622)	15.5% (207/1644)	HR=1.48 [1.19-1.84] P=0.0004	̑=0.16 P<0.0001	13.4% (51/549)	16.5% (71/571)	HR=1.34 [0.93-1.91] P=0.11	̑=0.0536 P=0.0177
	<b>No</b>	8.4% (252/3594)	8.7% (261/3538)	HR=1.02 [0.86-1.21] P=0.81	̑=0.0884 P<0.0001	8.0% (145/1898)	8.7% (158/1876)	HR=1.08 [0.86-1.36] P=0.49	̑=0.0992 P<0.0001	9.6% (107/1696)	8.8% (103/1662)	HR=0.94 [0.72-1.23] P=0.65	̑=0.0603 P=0.0027
<b>SYNTAX score</b>				P <sub>int</sub> 0.21				P <sub>int</sub> 0.32				P <sub>int</sub> 0.38	
	<b>0-22</b>	8.1% (100/1585)	8.8% (105/1533)	HR=1.02 [0.77-1.34] P=0.91	̑=0.0459 P=0.0092	8.4% (51/691)	10.5% (60/690)	HR=1.11 [0.77-1.62] P=0.57	̑=0.0523 P=0.0131	8.3% (49/894)	8.1% (45/843)	HR=0.91 [0.60-1.36] P=0.64	̑<0.0001 P=0.0001
	<b>23-32</b>	10.9% (122/1545)	12.4% (163/1677)	HR=1.20 [0.94-1.51] P=0.14	̑=0.0656 P=0.0031	9.5% (59/775)	14.0% (96/824)	HR=1.50 [1.09-2.08] P=0.0129	0.0621 P=0.0066	12.7% (63/770)	10.8% (67/853)	HR=0.92 [0.65-1.30] P=0.65	̑=0.0626 P=0.0093
	<b>≥33</b>	11.6% (83/9276)	16.5% (117/871)	HR=1.52 [1.15-2.02] P=0.0029	̑=0.0189 P=0.0609	10.9% (38/423)	17.7% (61/397)	HR=1.70 [1.13-2.55] P=0.0094	̑=0.0252 P=0.0504	12.4% (45/504)	15.0% (56/474)	HR=1.39 [0.94-2.06] P=0.1006	̑=0.0217 P=0.0652

Percentages are from unadjusted Kaplan-Meier estimates; the number of events is provided between brackets. Hazard ratios with confidence intervals and p-values are from random-effects Cox proportional hazards models stratified by trial. CABG, coronary artery bypass grafting; PCI, percutaneous coronary intervention; P<sub>int</sub>= P for interaction



**Table 3. Differences in patient characteristics among BMS and DES trials.**

Characteristic	BMS (n=3051)	All DES (n=8467)	P-Value	First-generation DES (n=4300)	Newer-generation DES (n=3969)	P-Value
Age	60.8 ± 10.1 (3051)	64.7 ± 9.6 (8467)	<0.0001	63.8 ± 9.5 (4300)	65.7 ± 9.6 (3969)	<0.0001
Female sex	23.2% (707/3051)	24.1% (2037/8467)	0.32	25.3% (1087/4300)	23.9% (948/3969)	0.14
BMI >30 kg/m <sup>2</sup>	22.3% (578/2593)	30.0% (8424/2528)	<0.0001	32.4% (1388/4290)	25.6% (1010/3939)	<0.0001
Smoking current	27.5% (843/3049)	20.4% (1704/8355)	<0.0001	19.6% (833/4260)	21.2% (827/3900)	0.0642
Diabetes	17.8% (543/3051)	45.4% (3843/8467)	<0.0001	59.2% (2544/4300)	27.7% (1101/3969)	<0.0001
Insulin treated	3.4% (48/1396)	14.1% (1001/7083)	<0.0001	19.0% (816/4299)	6.6% (185/2784)	<0.0001
Hypertension	51.1% (1558/3051)	73.9% (6235/8436)	<0.0001	76.5% (3278/4287)	70.1% (2770/3954)	<0.0001
Hypercholesterolemia	58.3% (1776/3047)	72.1% (6068/8414)	<0.0001	75.4% (3230/4285)	69.2% (2727/3938)	<0.0001
Peripheral vascular disease	7.6% (233)	8.7% (631/7271)	0.0813	9.2% (396/4300)	7.5% (208/2776)	0.0116
Carotid artery disease	5.6% (25/450)	8.2% (304/3696)	0.0479	8.2% (148/1800)	8.2% (156/1896)	0.99
Previous TIA or CVA	3.3% (47/1438)	6.4% (424/6668)	<0.0001	5.8% (215/3688)	6.8% (189/2782)	0.11
Previous MI	42.1% (1285/1766)	21.7% (1570/7243)	<0.0001	25.8% (1105/4280)	13.9% (384/2768)	<0.0001
Moderate LVEF (30-49%)	16.1% (442/2746)	14.3% (1144/7987)	0.0239	15.7% (668/4242)	11.9% (425/3568)	<0.0001
Poor LVEF (<30%)	0.1% (4/2746)	1.2% (99/7987)	<0.0001	1.6% (66/4242)	0.6% (21/3568)	<0.0001
Unstable angina pectoris	41.2% (850/2063)	32.7% (2703/8255)	<0.0001	31.8% (1369/4287)	33.7% (1334/3955)	0.0672
Three-vessel disease	41.9% (1280/3051)	70.6% (3774/5348)	<0.0001	69.4% (2976/4287)	77.2% (679/3969)	<0.0001
Left main disease	1.0% (29/3051)	52.5% (4449/8467)	<0.0001	30.5% (1313/4300)	79.0% (3136/3969)	<0.0001
Mean follow-up (years)	4.7 ± 1.0 (2795)	3.5 ± 1.4 (7726)	<0.0001	4.0 ± 1.4 (3830)	3.1 ± 1.2 (3723)	<0.0001

BMI, body mass index; BMS, bare-metal stents; TIA, transitory ischemic attack; CVA, cerebrovascular attack; MI, myocardial infarction; LVEF, left ventricular

ejection fraction; DES, drug-eluting stents

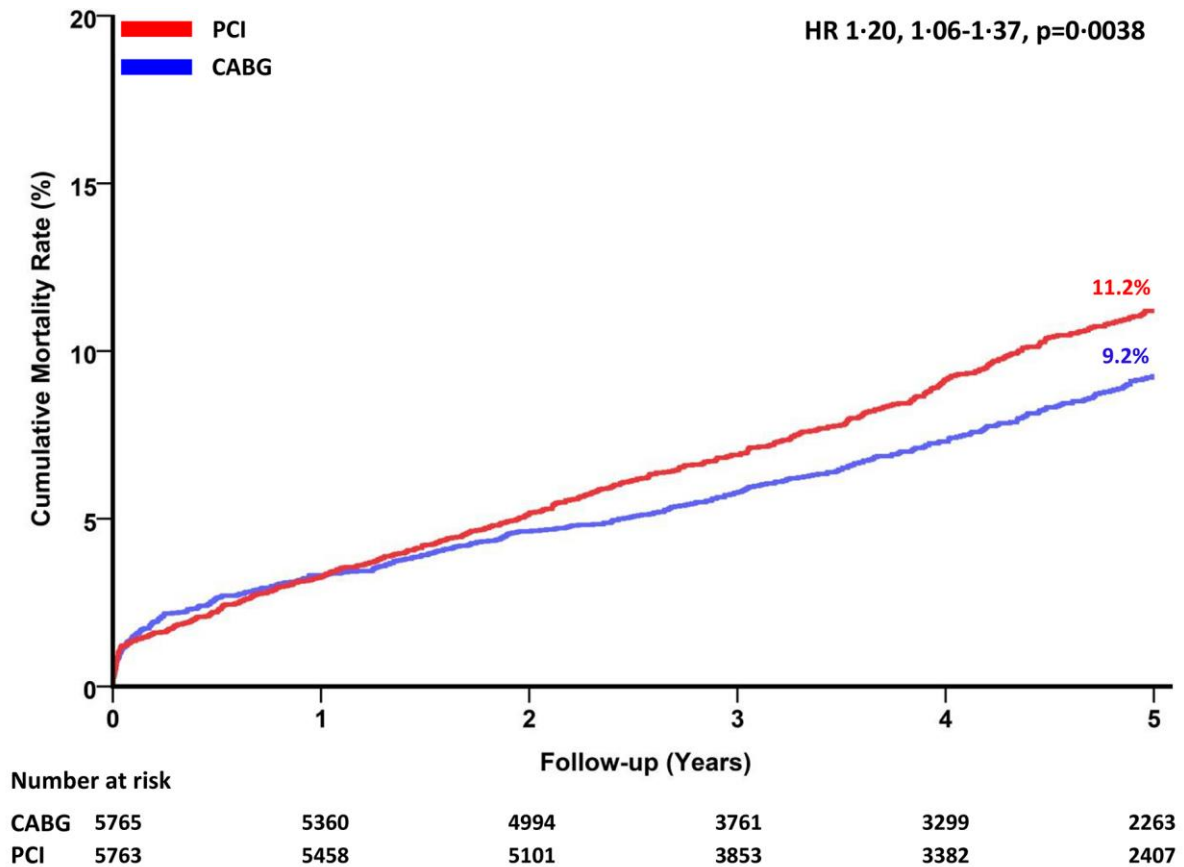
## FIGURE LEGENDS

**Figure 1. Mortality after coronary artery bypass grafting versus percutaneous coronary intervention during 5-year of follow-up.** Kaplan-Meier estimates are from the overall pooled patient population. The hazard ratio (HR) with confidence intervals is derived from a Cox proportional hazards random-effects model stratified by trial. CABG, coronary artery bypass grafting; PCI, percutaneous coronary intervention.

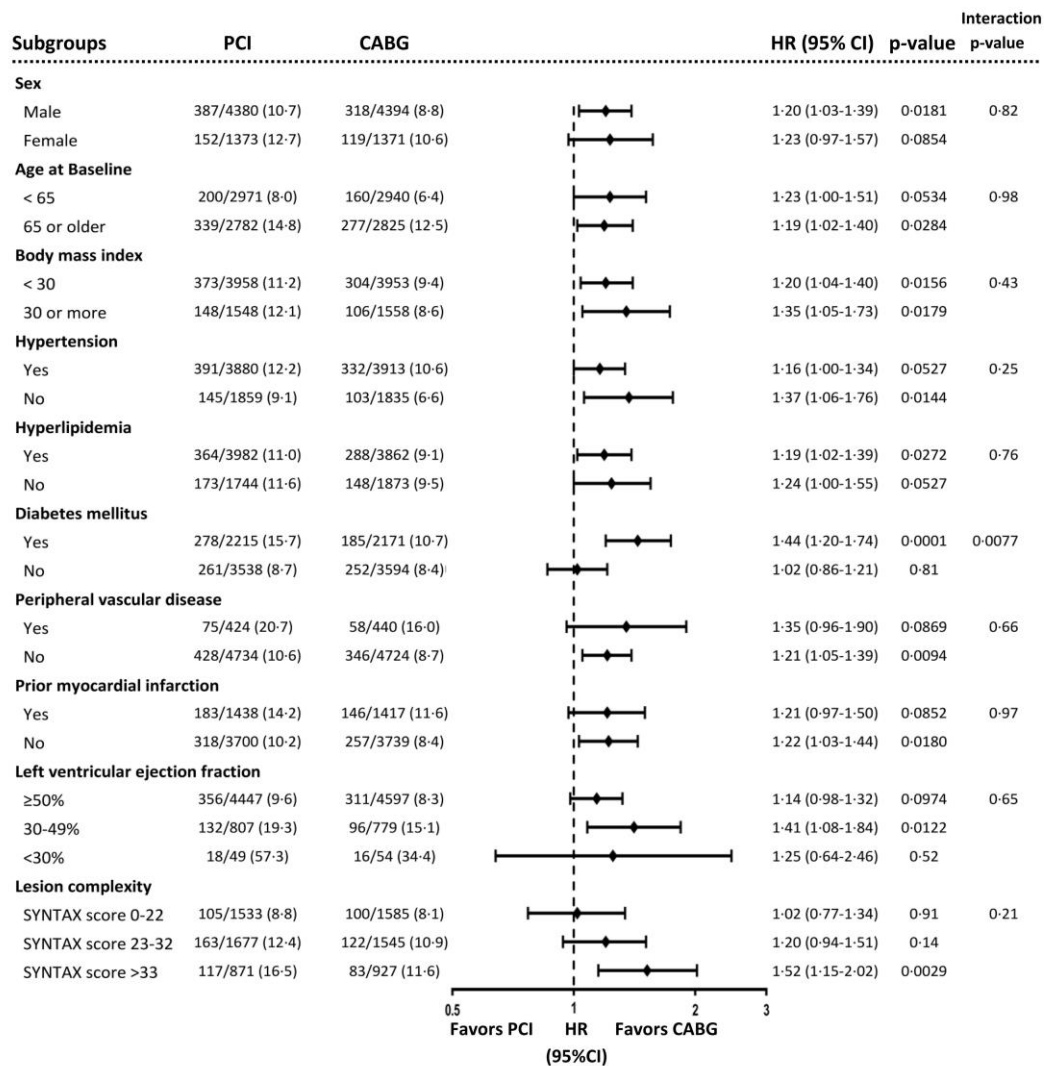
**Figure 2. Mortality after coronary artery bypass grafting versus percutaneous coronary intervention during 5-year follow-up in subgroup analyses according to baseline and procedural characteristics.** Kaplan-Meier estimates are from the overall pooled patient population. Hazard ratios (HRs) with confidence intervals (CIs) are derived from Cox proportional hazards random-effects models stratified by trial. HR, hazard ratio; CI, confidence interval.

**Figure 3. Mortality after coronary artery bypass grafting versus percutaneous coronary intervention during 5-year follow-up of patients with and without diabetes mellitus (A and B), and with left main or multivessel disease (C and D).** Kaplan-Meier estimates are from the overall pooled patient population. Hazard ratios (HRs) with confidence intervals (CIs) are derived from Cox proportional hazards random-effects models stratified by trial. CABG, coronary artery bypass grafting; DM, diabetes mellitus; LM, left main disease; MVD, multivessel disease; PCI, percutaneous coronary intervention.

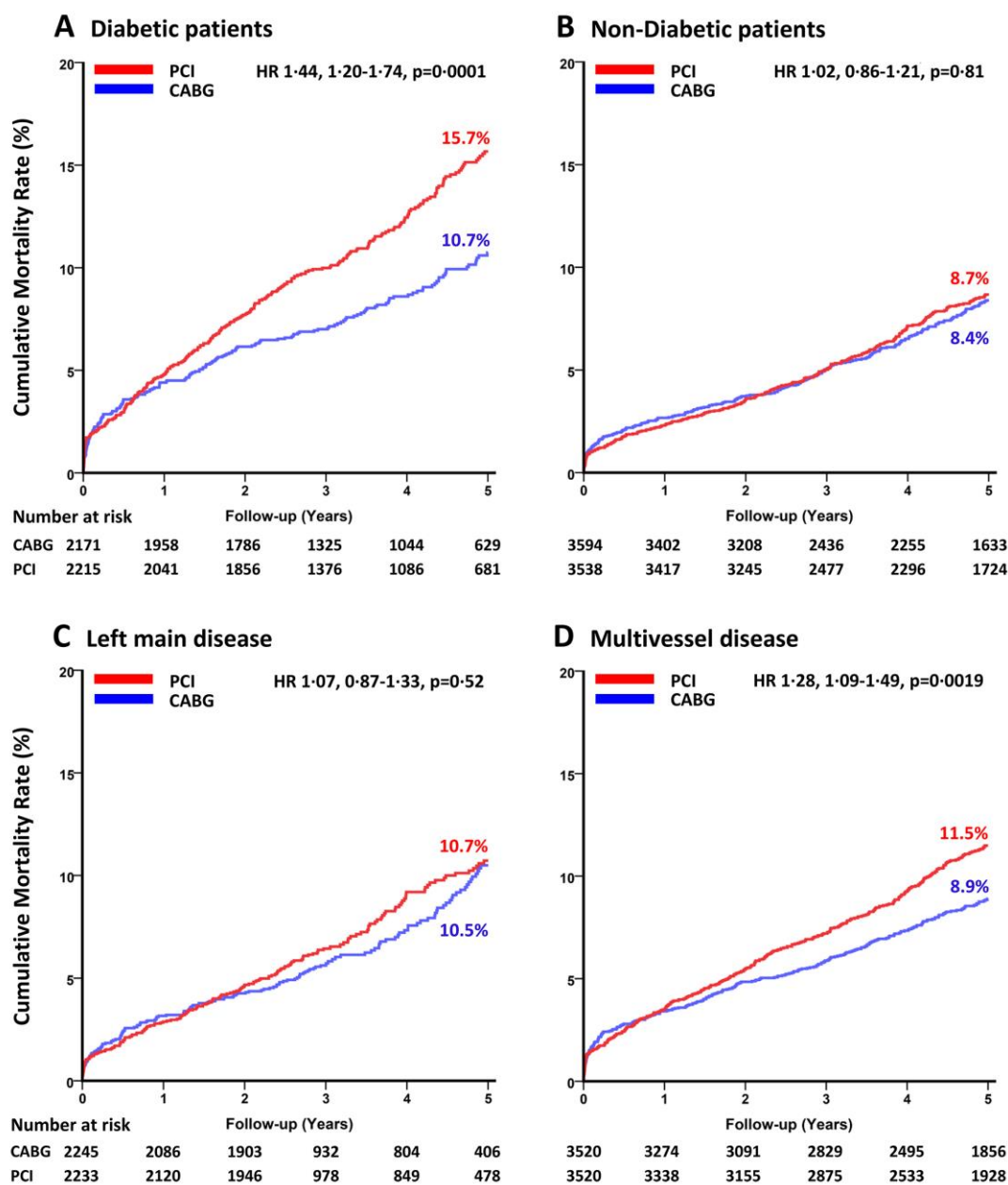
## FIGURES



**Figure 1. Mortality after coronary artery bypass grafting versus percutaneous coronary intervention during 5-year of follow-up.** Kaplan-Meier estimates are from the overall pooled patient population. The hazard ratio (HR) with confidence intervals is derived from a Cox proportional hazards random-effects model stratified by trial. CABG, coronary artery bypass grafting; PCI, percutaneous coronary intervention.



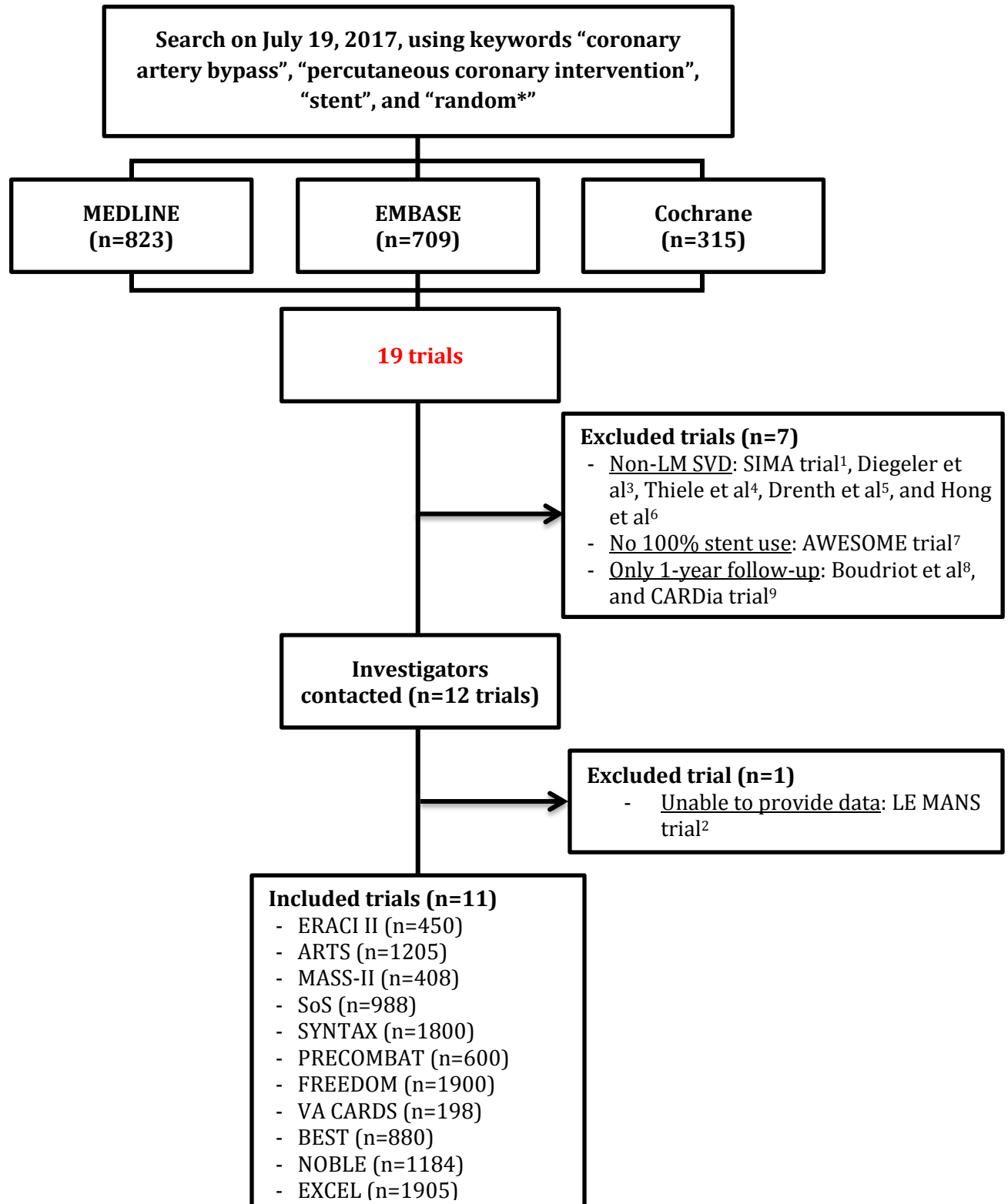
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**Figure 3. Mortality after coronary artery bypass grafting versus percutaneous coronary intervention during 5-year follow-up of patients with and without diabetes mellitus (A and B), and with left main or multivessel disease (C and D).** Kaplan-Meier estimates are from the overall pooled patient population. Hazard ratios (HRs) with confidence intervals (CIs) are derived from Cox proportional hazards random-effects models stratified by trial. CABG, coronary artery bypass grafting; DM, diabetes mellitus; LM, left main disease; MVD, multivessel disease; PCI, percutaneous coronary intervention.

## APPENDICES

### APPENDIX 1. Study selection flow-chart



## APPENDIX 2. Baseline and procedural characteristics in individual trials.

Characteristic	ERACI II (n=450)	ARTS (n=1205)	MASS-II (n=408)	SoS (n=988)	SYNTAX (n=1800)	PRECOMB AT (n=600)	FREEDOM (n=1900)	VA CARDS (n=198)	BEST (n=880)	NOBLE (n=1184)	EXCEL (n=1905)
Patient inclusion	1996-1998	1997-1998	1995-2000	1996-1999	2005-2007	2004-2009	2005-2010	2006-2010	2008-2013	2008-2015	2010-2014
Study location	Argentina	Europe, South America, Australasia	Brazil	Europe, Canada	Europe, US	Korea	North America, South America, Europe, India, Australasia	US	Asia	Europe	North America, South America, Europe, India, Australasia
Heart team composition	“Clinical cardiologist, interventionalist, cardiac surgeon”	“Interventional cardiologist and cardiac surgeon”	“Inter- ventionalist and surgeon”	“Inter- ventionalist and surgeon”	“Interventional cardiologist and cardiac surgeon”	“Physicians and surgeons”	Not explicitly reported	“Inter- ventional cardiologist and cardio- thoracic surgeon”	“Physicians and surgeons”	“Inter- ventional cardiologist and cardiac surgeon”	“Inter- ventional cardiologist and cardiac surgeon”
Age	60.7 ± 10.2	60.6 ± 10.8	59.8 ± 9.0	61.4 ± 9.3	65.1 ± 9.7	62.2 ± 9.7	62.1 ± 9.1	62.4 ± 7.2	64.5 ± 9.4	66.2 ± 9.7	65.9 ± 9.6
Female sex	21% (93/450)	23% (283/1205)	31% (125/408)	21% (206/988)	22% (402/1800)	24% (141/600)	29% (544/1900)	1% (2/198)	29% (251/880)	22% (256/1184)	23% (441/1905)
BMI >30 kg/m <sup>2</sup>	NA	22% (260/1203)	25% (100/408)	22% (220/982)	32% (579/1799)	3% (20/595)	42% (789/1896)	68% (132/195)	4% (35/880)	29% (336/1155)	34% (639/1904)
Smoking current	52% (233/540)	27% (323/1203)	33% (134/408)	15% (149/988)	21% (363/1760)	29% (172/600)	16% (298/1900)	25% (48/195)	20% (177/880)	20% (235/1170)	22% (415/1850)
Diabetes	17% (78/450)	17% (208/1205)	28% (115/408)	14% (142/988)	25% (452/1800)	32% (192/600)	100% (1900/1900)	100% (198/198)	41% (363/880)	15% (184/1184)	29% (554/1905)
Insulin treated	NA	NA	5% (20/408)	3% (28/988)	10% (182/1800)	3% (19/600)	32% (615/1900)	NA	4% (38/880)	NA	8% (147/1905)
Hypertension	71% (318/450)	45% (540/1205)	62% (253/408)	45% (447/988)	75% (1349/1787)	53% (317/600)	85% (1612/1900)	96% (187/195)	67% (591/880)	66% (775/1182)	74% (1404/1892)
Hypercholesterol emia	61% (275/450)	58% (694/1201)	73% (298/408)	52% (509/988)	78% (1391/1785)	41% (247/600)	84% (1592/1900)	58% (111/191)	52% (461/880)	80% (946/1183)	70% (1320/1875)
Peripheral vascular disease	23% (103/450)	5% (64/1205)	0% (0/408)	7% (66/988)	10% (177/1800)	4% (22/600)	10% (197/1900)	14% (27/195)	3% (27/880)	NA	9% (181/1896)
Carotid artery	6% (25/450)	NA	NA	NA	8%	NA	NA	NA	NA	NA	8%

disease					(148/1800)						(156/1896)
Previous TIA/stroke	2% (10/450)	NA	NA	4% (37/988)	8% (150/1788)	NA	3% (65/1900)	10% (20/198)	8% (70/879)	NA	6% (119/1903)
Previous MI	28% (126/450)	43% (520/1205)	47% (191/408)	45% (448/988)	33% (585/1780)	6% (33/567)	26% (487/1900)	42% (81/195)	6% (54/880)	NA	17% (330/1888)
Moderate VEF (30-49%)	20% (88/446)	17% (189/1121)	4% (16/408)	19% (149/771)	17% (313/1800)	5% (26/542)	17% (329/1900)	29% (51/177)†	12% (90/744)	12% (120/1020)	12% (215/1804)
Poor LVEF (<30%)	0% (0/446)	0% (0/1121)	0% (0/408)	1% (4/771)	2% (34/1800)	1% (5/542)	1% (27/1900)	7% (12/177)	1% (5/744)	1% (5/1020)	1% (11/1804)
Unstable angina pectoris	92% (412/450)	36% (438/1205)	0% (0/408)	0% (0/988)	29% (513/1800)	45% (272/600)	31% (584/1900)	NA	44% (384/880)	17% (206/1183)	39% (744/1892)
Number of lesions	2.6 ± 0.6	2.8 ± 1.0	2.8 ± 0.8	2.8 ± 1.1	4.0 ± 1.7	3.0 ± 1.0	NA	3.6 ± 1.5	3.4 ± 1.2	1.7 ± 1.0	NA
Three-vessel disease	49% (220/450)	33% (403/1205)	58% (238/408)	42% (419/988)	61% (1095/1800)	51% (308/600)	83.4% (1573/1887)	66% (120/181)	77% (679/880)	NA	NA
Left main disease	5% (21/450)	0.1% (1/1205)	0% (0/408)	1% (7/988)	39% (705/1800)	100% (600/600)	0.4% (8/1900)	0% (0/198)	5% (47/880)	100% (1184/1184)	100% (1905/1905)
SYNTAX score	NA	NA	NA	NA	28.7 ± 11.4	25.1 ± 10.0	26.2 ± 8.6	NA	24.8 ± 7.7	22.4 ± 7.3	26.5 ± 9.3
PCI – DES used	0% (0/222)	0% (0/593)	0% (0/205)	0% (0/488)	100% (885/885)	100% (276/276)	100% (939/939)	99% (92/93)	100% (413/413)	100% (580/580)	100% (935/935)
DES type	-	-	-	-	Paclitaxel	Sirolimus	Paclitaxel and Sirolimus	Mixed paclitaxel, sirolimus, everolimus, zotarolimus	Everolimus	Majority Biolimus	Everolimus
PCI – number of stents	1.4 ± 0.6	NA	1.2 ± 0.9	2.6 ± 1.4	4.6 ± 2.3	2.7 ± 1.4	4.1 ± 1.9	NA	3.4 ± 1.4	2.2 ± 1.2	2.4 ± 1.5
CABG – LIMA use	95% (198/209)	NA	95% (188/198)	93% (450/485)	97% (827/854)	94% (233/248)	94% (843/893)	NA	100% (382/382)	96% (545/565)	99% (908/923)
CABG – BIMA use	0.5% (1/209)	NA	32% (65/203)	10% (50/485)	28% (236/854)	NA	12% (110/893)	NA	NA	8% (44/549)	29% (265/923)
CABG – off-pump	NA	NA	NA	NA	15% (128/854)	63% (155/248)	18% (165/893)	32% (26/82)	66% (252/382)	16% (88/564)	29% (271/923)
Complete revascularization	68% (303/448)	82% (992/1205)	57% (224/408)	70% (693/988)	60% (1043/1741)	69% (416/600)	90% (1701/1900)	NA	61% (518/855)	94% (543/577)*	NA
Aspirin at discharge	100% (450/450)	NA	98% (391/397)	NA	92% (1633/1766)	99% (593/600)	98% (1826/1867)	98% (172/176)	97% (852/880)	93% (539/580)*	98% (1823/1867)



Thienopyridine at discharge	53% (238/450)	NA	48% (194/408)	NA	59% (1037/1766)	94% (565/600)	62% (1158/1867)	55% (96/176)	93% (818/880)	97% (566/580)*	66% (1227/1867)
DAPT at discharge	53% (238/450)	NA	47% (187/397)	NA	56% (987/1766)	93% (560/600)	81% (1513/1867)	54% (94/176)	92% (806/880)	92% (532/580)*	65% (1204/1867)
Statin at discharge	NA	NA	NA	NA	80% (1425/1766)	73% (431/592)	88% (1566/1770)	NA	83% (733/880)	NA	95% (1740/1840)
Beta-blocker at discharge	NA	NA	NA	NA	80% (1412/1766)	51% (303/592)	83% (1477/1770)	NA	56% (489/880)	NA	89% (1617/1812)
ACEI or ARB at discharge	NA	NA	NA	NA	59% (1042/1766)	33% (198/592)	75% (1334/1770)	NA	35% (307/880)	NA	50% (912/1839)
Calcium-channel blocker at discharge	NA	NA	NA	NA	22% (391/1766)	54% (320/592)	23% (405/1770)	NA	52% (459/880)	NA	7% (120/1838)
Mean follow-up (years)	4.7 ± 1.1	4.8 ± 0.9	4.5 ± 1.3	4.7 ± 0.9	4.4 ± 1.4	4.7 ± 1.0	3.5 ± 1.4	1.4 ± 0.9	4.0 ± 1.3	3.2 ± 1.5	2.6 ± 0.7

\*Data are available only for the PCI group.

†In the VA CARDS trial, the cut-off for a moderate LVEF was 35-55%.

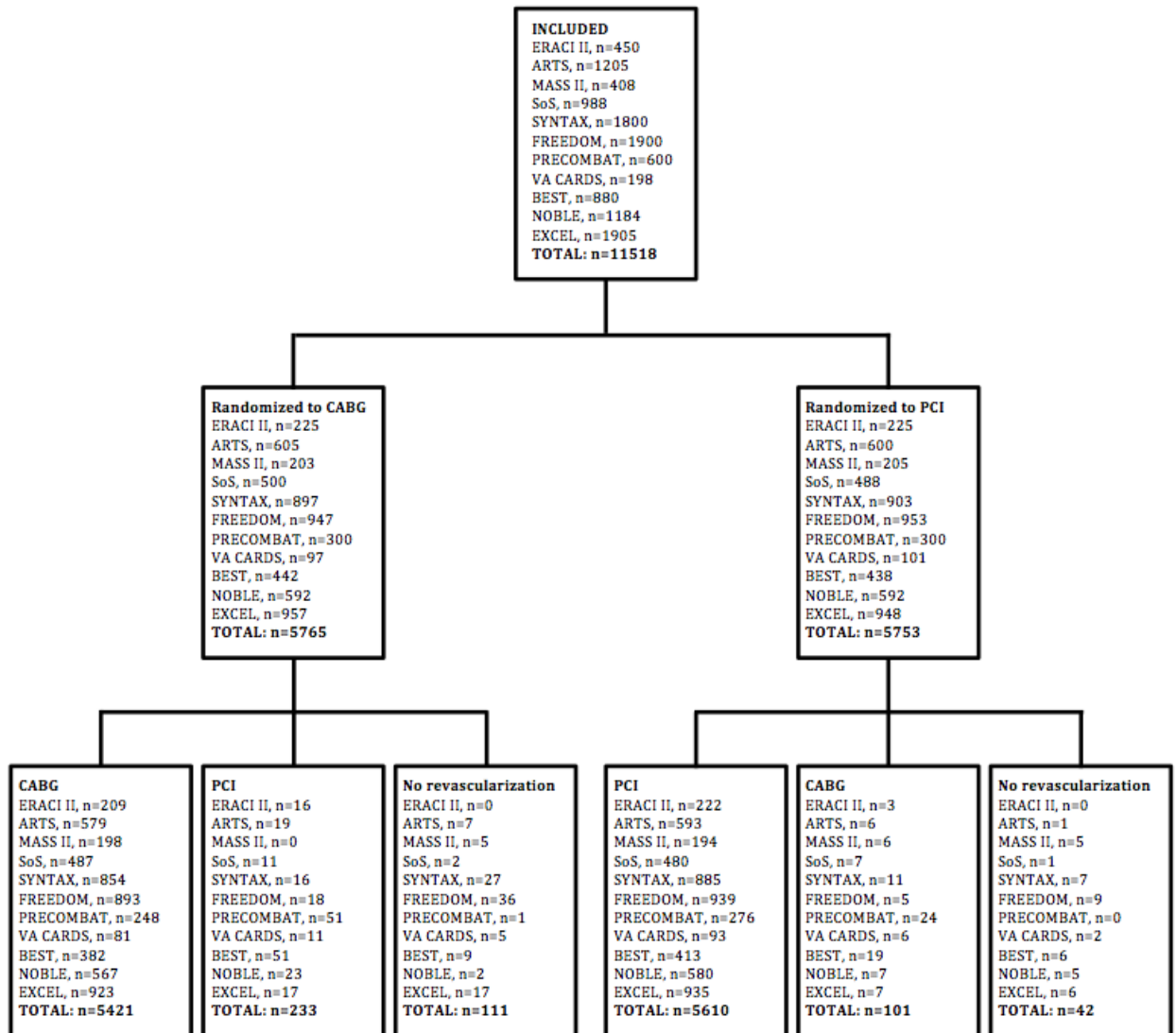
Values are present as mean ± SD or n/N (%). NA, not available; ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; PCI, percutaneous coronary intervention; CABG, coronary artery bypass grafting; BMI, body mass index; TIA, transient ischemic attack; CVA, cerebrovascular attack; MI, myocardial infarction; LVEF, left ventricular ejection fraction; DES, drug-eluting stents; LIMA, left internal mammary artery; BIMA, bilateral internal mammary artery; DAPT, dual antiplatelet therapy

### APPENDIX 3. Assessment of risk of bias in individual trials.

Trial	Random sequence generation	Allocation concealment	Blinding patients and personnel	Blinding outcome assessment	Incomplete outcome data	Selective reporting	Other Bias
ERACI II	+	-	-	+	+	+	+
ARTS	?	-	-	+	+	+	+
MASS-II	?	-	-	+	+	+	+
SoS	+	-	-	+	+	+	+
SYNTAX	+	-	-	+	-	+	+
PRECOMBAT	+	-	-	+	+	+	-
FREEDOM	+	-	-	+	+	+	+
VA CARDS	+	-	-	+	+	+	-
BEST	+	-	-	+	+	+	-
NOBLE	+	-	-	+	+	+	+
EXCEL	+	-	-	+	+	+	+

The assessment of “Random sequence generation” was rated “?” for the ARTS and MASS-II trials because it was not specified exactly how randomization took place. The assessment of “Allocation concealment” was rated standard as “-“ for all trials because patients had to be informed on the allocated procedure, since these trials evaluate interventional procedures. The assessment of “Blinding of patients and personnel” was rated standard as “-“ for all trials because the two interventional procedures evaluated are inherently different and patients cannot be blinded. The assessment of “Blinding of outcome assessment” was rated standard as “+” for all trials as no bias can be introduced for the endpoint of all-cause mortality, and thus blinding is irrelevant; yet still a clinical events committee reviewed all events. The assessment of “Incomplete outcome data” was “-“ for the SYNTAX trial because >10% of patients after CABG were lost to follow-up, while this rate was only 3.5% after PCI. The assessment of “Selective reporting” was rated “+” for all trials because all trials reported all-cause mortality. The assessment of “Other bias” was rated as “-“ in the RECOMBAT, VA CARDS, and BEST trials because a relatively high percentage (>10%) of patients did not receive the allocated treatment because of cross over or no interventional treatment.

**APPENDIX 4. Information on randomization and actual treatments performed.**



**APPENDIX 5. Time-dependent models of PCI versus CABG**

Patient group		First hazard		Second hazard		Frailty term ( $\theta$ )	P for heterogeneity
		Time interval	HR [95% CI]	Time interval	HR [95% CI]		
<b>Overall</b>	<b>All</b>	0-365 days	0.97 [0.80-1.19]	365-1825 days	1.38 [1.17-1.62]	0.39	<0.0001
	<b>Diabetes</b>	0-280 days	1.05 [0.78-1.42]	280-1825 days	1.76 [1.38-2.24]	0.11	<0.0001
	<b>No diabetes</b>	0-280 days	0.84 [0.62-1.15]	280-1825 days	1.12 [0.90-1.37]	0.0880	<0.0001
	<b>SYNTAX score 0-22</b>	0-470 days	0.63 [0.41-0.99]	470-1825 days	1.40 [0.97-2.01]	0.0454	0.0094
	<b>SYNTAX score 23-32</b>	0-470 days	1.03 [0.72-1.46]	280-1825 days	1.36 [0.99-1.87]	0.0657	0.0031
	<b>SYNTAX score <math>\geq</math>33</b>	0-470 days	1.83 [1.18-2.82]	280-1825 days	1.34 [0.93-1.95]	0.0191	0.0602
	<b>Bare-metal stent</b>	0-730 days	0.90 [0.64-1.27]	730-1825 days	1.22 [0.86-1.73]	0.16	<0.0001
	<b>Drug-eluting stent</b>	0-500 days	1.08 [0.87-1.34]	500-1825 days	1.45 [1.18-1.77]	0.36	<0.0001
	<b>First-generation drug-eluting stent</b>	0-730 days	1.12 [0.87-1.45]	730-1825 days	1.31 [1.01-1.73]	0.23	<0.0001
	<b>Newer-generation drug-eluting stent</b>	0-180 days	0.68 [0.43-1.10]	180-1825 days	1.65 [1.21-2.25]	0.13	0.0020
<b>MVD</b>	<b>All</b>	0-280 days	0.99 [0.76-1.29]	280-1825 days	1.46 [1.20-1.77]	0.40	<0.0001

	<b>Diabetes</b>	0-280 days	1.11 [0.78-1.58]	280-1825 days	1.77 [1.34-2.34]	0.16	<0.0001
	<b>No diabetes</b>	0-370 days	0.94 [0.64-1.40]	370-1825 days	1.16 [0.88-1.53]	0.090	<0.0001
	<b>SYNTAX score 0-22</b>	0-600 days	0.65 [0.37-1.14]	600-1825 days	1.78 [1.05-3.01]	0.0935	0.0140
	<b>SYNTAX score 23-32</b>	0-600 days	1.43 [0.91-2.24]	600-1825 days	1.60 [1.00-2.55]	0.0720	0.0065
	<b>SYNTAX score ≥33</b>	0-600 days	1.72 [0.97-3.04]	600-1825 days	1.70 [0.95-3.01]	0.0252	0.0505
<b>LM</b>	<b>All</b>	0-730 days	1.09 [0.82-1.44]	730-1825 days	1.06 [0.76-1.48]	0.0845	<0.0001
	<b>Diabetes</b>	0-730 days	1.22 [0.79-1.86]	730-1825 days	1.70 [0.86-3.35]	0.0543	0.0172
	<b>No diabetes</b>	0-730 days	0.98 [0.67-1.43]	730-1825 days	0.90 [0.61-1.32]	0.0604	0.0027
	<b>SYNTAX score 0-22</b>	0-570 days	0.68 [0.37-1.25]	570-1825 days	1.12 [0.64-1.94]	<0.0001	0.0001
	<b>SYNTAX score 23-32</b>	0-570 days	0.79 [0.50-1.25]	570-1825 days	1.13 [0.70-1.90]	0.0626	0.0093
	<b>SYNTAX score ≥33</b>	0-570 days	1.70 [0.96-3.02]	570-1825 days	1.16 [0.67-1.99]	0.0222	0.0647
<b>DM</b>	<b>SYNTAX score 0-22</b>	0-730 days	0.60 [0.36-0.99]	730-1825 days	2.70 [1.40-5.21]	<0.0001	0.0001
	<b>SYNTAX score 23-32</b>	0-730 days	1.30 [0.90-1.89]	730-1825 days	1.35 [0.78-2.34]	0.0159	0.0713
	<b>SYNTAX score ≥33</b>	0-730 days	1.78 [1.06-2.97]	730-1825 days	1.75 [0.92-3.34]	<0.0001	0.0001

<b>NO DM</b>	<b>SYNTAX score 0-22</b>	0-730 days	0·91 [0·52- 1·59]	730-1825 days	0·99 [0·55- 1·79]	<0·0001	0·0193
	<b>SYNTAX score 23-32</b>	0-730 days	0·90 [0·54- 1·48]	730-1825 days	1·19 [0·70- 2·03]	0·0807	0·0096
	<b>SYNTAX score ≥33</b>	0-730 days	1·80 [1·00- 3·23]	730-1825 days	1·00 [0·58- 1·73]	0·0089	0·0884

Results of time-dependent models provide a hazard ratio for a first time interval and a second interval with the duration of this interval being dependent on when the hazard changes, which can be different according to the patient cohort. CI, confidence interval; DM, diabetes mellitus; HR, hazard ratio.

**APPENDIX 6. Five-year outcomes within groups with and without diabetes according to SYNTAX score tertiles.**

	Diabetes				No diabetes			
	PCI (n=1819)	CABG (n=1782)	HR [95% CI] P-value	P for interaction	PCI (n=2262)	CABG (n=2275)	HR [95% CI] P-value	P for interaction
SYNTAX score 0-22	13.0% (58/622)	9.8% (53/655)	1.09 [0.75-1.58] P=0.66	P <sub>int</sub> =0.25	6.6% (47/911)	7.0% (47/930)	0.95 [0.63-1.42] P=0.80	P <sub>int</sub> =0.66
SYNTAX score 23-32	15.1% (101/814)	12.5% (67/723)	1.32 [0.97-1.79] P=0.0817		9.9% (62/863)	9.4% (55/822)	1.03 [0.71-1.48] P=0.88	
SYNTAX score ≥33	20.0% (63/383)	12.3% (38/404)	1.77 [1.18-2.64] P=0.0056		13.6% (54/488)	11.1% (45/523)	1.32 [0.89-1.96] P=0.16	

Kaplan-Meier estimates are from the overall pooled patient population. Hazard ratios (HRs) with confidence intervals (CIs) are derived from Cox proportional hazards random-effects models stratified by trial.

## **APPENDIX 7. Supplementary references in the appendix.**

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