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### **Contrasting shrub species respond to early summer temperatures leading to correspondence of shrub growth patterns**

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## Contrasting shrub species respond to early summer temperatures leading to correspondence of shrub growth patterns

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6 **1 Contrasting shrub species respond to early summer temperatures**  
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9 **2 leading to correspondence of shrub growth patterns**

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11 3 Stef Weijers<sup>1\*</sup>, Roland Pape<sup>1</sup>, Jörg Löffler<sup>1</sup>, Isla H. Myers-Smith<sup>2</sup>  
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15  
16 5 <sup>1</sup>Department of Geography, University of Bonn, Meckenheimer Allee 166, D-53115 Bonn,  
17  
18 6 Germany  
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20  
21 7 <sup>2</sup> School of GeoSciences, King's Buildings, West Mains Road, University of Edinburgh,  
22  
23 8 Edinburgh, EH9 3FF, United Kingdom  
24  
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26  
27 10 \*Corresponding author  
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29  
30 11 Phone: +49 228 73 2093  
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33 12 E-mail: [weijers@uni-bonn.de](mailto:weijers@uni-bonn.de)  
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## 13 **Abstract**

14 The arctic-alpine biome is warming rapidly, resulting in a gradual replacement of low  
15 statured species by taller woody species in many tundra ecosystems. In northwest North  
16 America, the remotely sensed normalized difference vegetation index (NDVI), suggests an  
17 increase in productivity of the arctic and alpine tundra and a decrease in productivity of  
18 boreal forests. However, the responses of contrasting shrub species growing at the same sites  
19 to climate drivers remain largely unexplored.

20  
21 Here, we test growth, climate, and NDVI relationships of two contrasting species: the  
22 expanding tall deciduous shrub *Salix pulchra* and the circumarctic evergreen dwarf shrub  
23 *Cassiope tetragona* from an alpine tundra site in the Pika valley in the Kluane Region,  
24 southwest Yukon Territories, Canada.

25  
26 We found that annual growth variability of both species at this site is strongly driven by early  
27 summer temperatures, despite their contrasting traits and habitats. Shrub growth chronologies  
28 for both species were correlated with the regional climate signal and showed spatial  
29 correspondence with interannual variation in NDVI in surrounding alpine and Arctic regions.  
30 Our results suggest that early summer warming represents a common driver of vegetation  
31 change for contrasting shrub species growing in different habitats in the same alpine  
32 environments.

33

## 34 **Keywords**

35 alpine, browning, greening, NDVI, productivity, shrubs, tundra

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## 1. Introduction

Arctic-alpine ecosystems are sensitive to climate change (Settele *et al* 2014) and the rate of warming increases with latitude and elevation (Pepin *et al* 2015). Consequently, the arctic-alpine biome in the northern hemisphere is expected to shift north- and upward (Settele *et al* 2014). Evidence from experimental warming (Walker *et al* 2006, Elmendorf *et al* 2012a), repeated vegetation surveys (Elmendorf *et al* 2012b), repeated photography (Sturm *et al* 2001, Tape *et al* 2006), and dendrochronology (Myers-Smith *et al* 2011, 2015a), suggests that shrub growth is sensitive to summer warming and that shrub cover has increased in response to climate warming throughout the tundra biome. Pollen records indicate greater shrub dominance in tundra during past warm episodes in Late Quaternary North America (Hu *et al* 2002, Higuera *et al* 2008) and Russia (Velichko *et al* 1997). In addition, a growing number of studies indicate shrubline ecotone advance up- and north-ward into tall-shrub free tundra (Myers-Smith and Hik 2017). However, growth responses of contrasting shrub species with different traits and habitats, occupying separate niches, are rarely compared in the same plant communities. Thus, we do not yet know whether all shrub functional groups are responding similarly to the rapidly warming tundra climate.

In general, taller deciduous shrubs are expected to replace lower statured species, and positive effects of ambient and experimental warming were predominantly observed on the abundance of taller deciduous shrubs (Elmendorf *et al* 2012a, Elmendorf *et al* 2012b). Dwarf shrub cover was found to decline in a tundra biome-wide synthesis study on experimental warming (Elmendorf *et al* 2012a), especially in warmer areas; perhaps as a result of increased competition for light. Evergreen dwarf shrub species have, however, been shown to be climate sensitive (Bär *et al* 2008, Buizer *et al* 2012, Weijers *et al* 2012, Weijers *et al* 2017) and have been observed to increase their leaf size and height in response to experimental

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3 61 warming (Hudson *et al* 2011) and cover in response to ambient warming (Hudson and Henry  
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5 62 2009) at some High Arctic sites.  
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10 64 Satellite observations of vegetation productivity, as measured by the normalized difference  
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12 65 vegetation index (NDVI), have shown a greening of large parts of the tundra biome in the  
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14 66 northern hemisphere (Jia *et al* 2003, Goetz *et al* 2005, 2011, Guay *et al* 2014). Increases in  
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16 67 NDVI over northern (Forbes *et al* 2010, Macias-Fauria *et al* 2012), northeastern Siberia  
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18 68 (Blok *et al* 2011), and northwest North America (Tape *et al* 2012) have been related to  
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20 69 increases in growth of deciduous shrubs and summer temperatures. Such links have yet to be  
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22 70 explored for evergreen dwarf shrub species. Using aerial photography and satellite imagery,  
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24 71 an increase in NDVI over the Low Arctic Tuktoyaktuk Coastal Plain, Northern Territories,  
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26 72 western Canada, was shown to be related with an increase in shrub canopy cover (Fraser *et al*  
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28 73 2014). If remote sensing data are documenting tundra shrub expansion, then these data  
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30 74 indicate that multiple species are responding synchronously to the changing climate  
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32 75 conditions.  
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40 77 Here, we explore the links between NDVI, climate, and annual shrub growth of the tall  
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42 78 deciduous shrub *Salix pulchra* and the evergreen dwarf shrub *Cassiope tetragona* co-  
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44 79 occurring at an alpine tundra site in the Pika valley in the Kluane Region, southwest Yukon  
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46 80 Territories, Canada. In this region *Salix* species have recently been shown to be expanding  
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48 81 upward through new recruitment along the shrubline through winter warming and their  
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50 82 growth has been shown to be sensitive to summer temperatures (Myers-Smith and Hik 2017).  
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52 83 Annual growth of *C. tetragona* has been shown to be driven by summer temperatures at many  
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54 84 High Arctic sites (Callaghan *et al* 1989, Havström *et al* 1995, Johnstone and Henry 1997,  
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56 85 Rayback and Henry 2006, Rozema *et al* 2009, Weijers *et al* 2010, Weijers *et al* 2012, Weijers  
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3 86 *et al* 2017), but may be more sensitive to fluctuations in nutrient availability at warmer sites  
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5 87 in the Subarctic (Havström *et al* 1993). However, climate sensitivity of contrasting shrub  
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7 88 species including a tall deciduous willow and a dwarf evergreen *Cassiope* species have yet to  
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9 89 be directly compared.  
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15 91 Our research questions were:

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17 92 1. What are the main climate drivers of annual growth variability of the co-occurring  
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19 93 evergreen dwarf shrub *Cassiope tetragona* and the deciduous tall shrub *Salix pulchra*  
20  
21 94 in the Pika valley of the Kluane Region, Yukon Territory, Canada?  
22  
23  
24 95 2. Does the climate signal in the growth chronologies of the two species represent the  
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26 96 regional climate?  
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28 97 3. How does the interannual variation in growth correspond with interannual variation in  
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30 98 productivity as observed through the Normalized Difference Vegetation Index  
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33 99 (NDVI)?  
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## 100 2. Materials and Methods

### 101 2.1 Study site and sampling

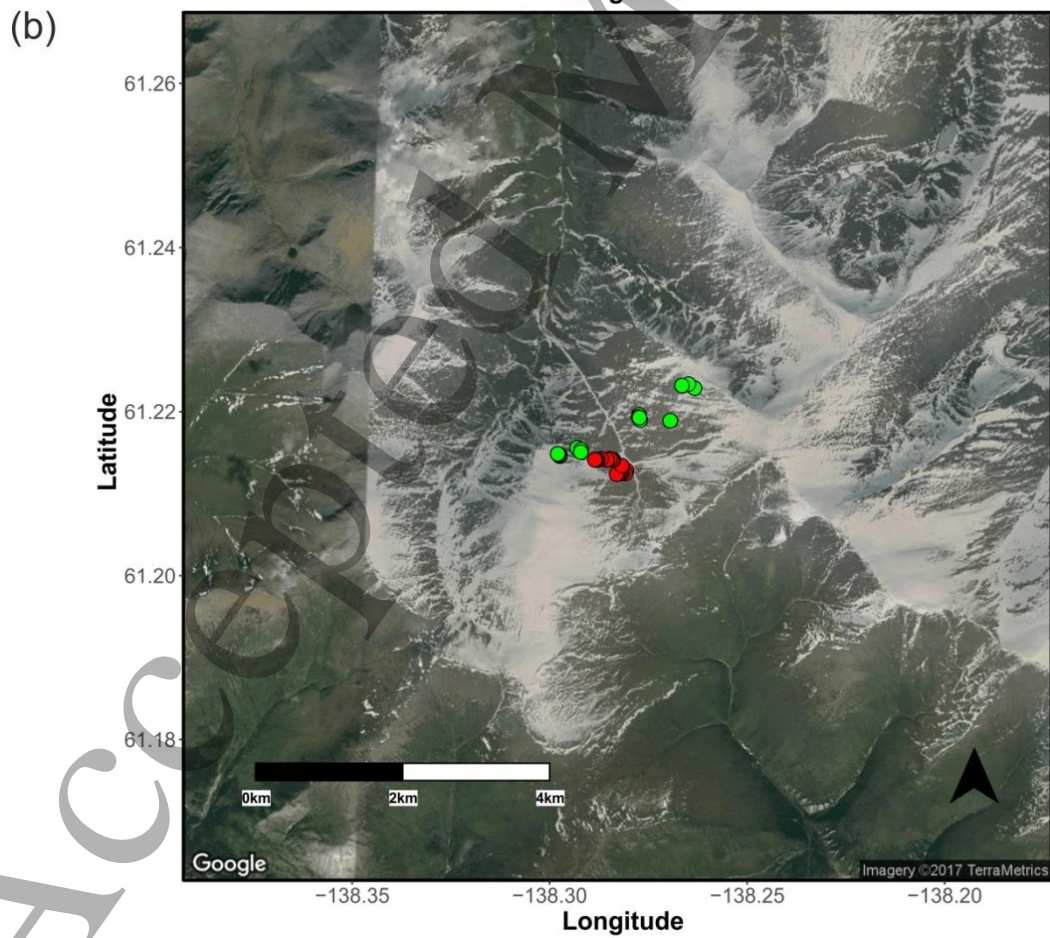
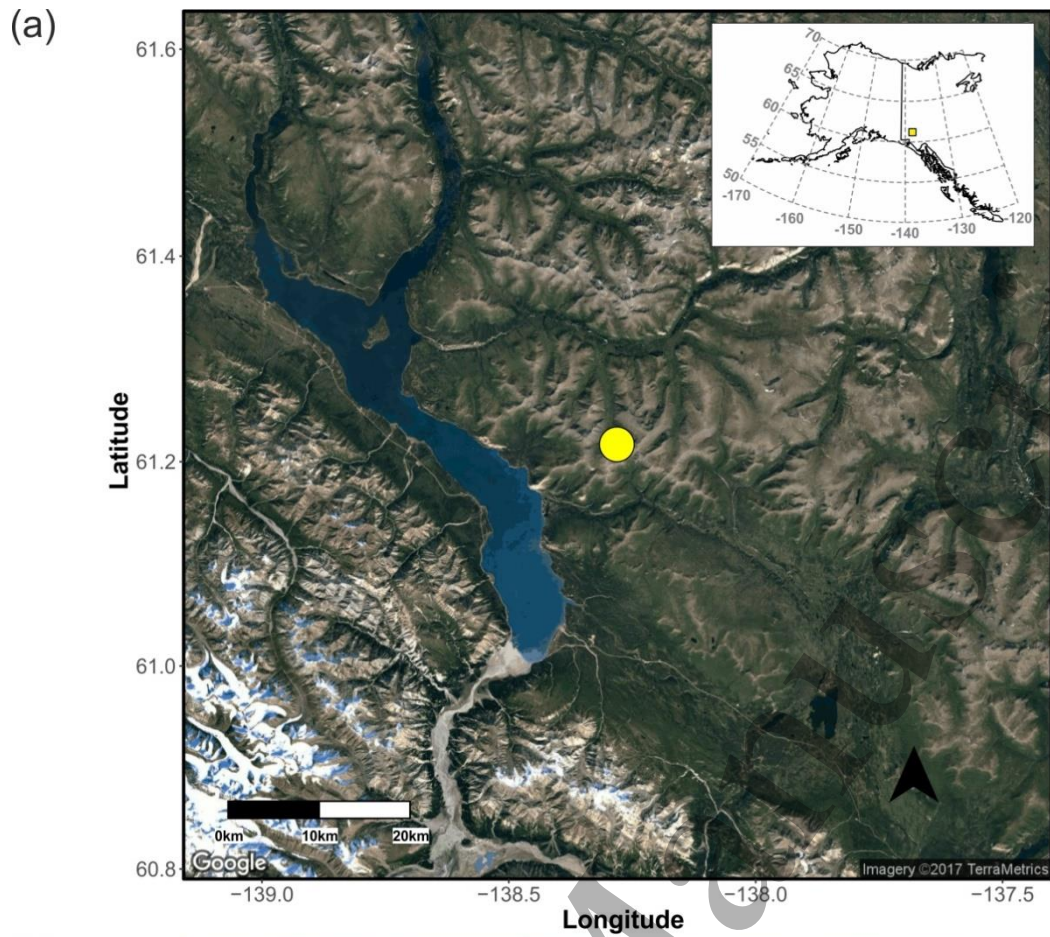
102 Our research site is located on the Pika valley slopes in the Kluane Region, Yukon Territory,  
103 northwest Canada (61.22 °N, 138.28 °W; Fig. 1a).

104  
105 On August 16, 2010, 16 samples of *Cassiope tetragona* were collected from the east-  
106 northeast-facing slope of the valley (Fig. 1b) at elevations of 1640-1673 m above sea level  
107 (a.s.l.). Several meters distances were kept between sampling spots to prevent repeated  
108 sampling of the same genet. *Cassiope tetragona* (L.) D. Don. (Ericaceae), or Arctic bell  
109 heather, is a multi-branched, clonal, hemi-prostrate, evergreen dwarf shrub with a  
110 circumarctic distribution (Eidesen *et al* 2007, Weijers *et al* 2017). At this site, *C. tetragona* is  
111 dominant in depressions with long-lasting snow cover and grows up to 30 cm in height.

112 Samples of the tall deciduous shrub *Salix pulchra* were collected between 19 and 21 August,  
113 2007 from both valley slopes (Fig. 1b). Samples were taken at the shrubline (~1812 and 1970  
114 m a.s.l. on the east-northeast and west-southwest-facing slope, respectively) and at more  
115 downslope positions with a *Salix* species cover of approx. 50% (~1715 m a.s.l.). In total, 17  
116 *S. pulchra* specimens were sampled: four and three at the shrubline and three and seven  
117 downslope on the east-northeast and west-southwest-facing slopes, respectively. A 3-5 cm  
118 long disc was taken just above the stem-root boundary of the largest stem of each individual  
119 for growth ring analysis. Only distinct *Salix* patches were sampled, likely representing  
120 distinct genets. *Salix pulchra* Cham. (Salicaceae) is a canopy-forming deciduous shrub found  
121 in the Siberian and northwest North American tundra (CYSIP 2017). It is the most dominant  
122 willow species in the Kluane Region east of Kluane Lake (Myers-Smith and Hik 2017). The  
123 species reaches heights between 20 and 80 cm at our site.

124





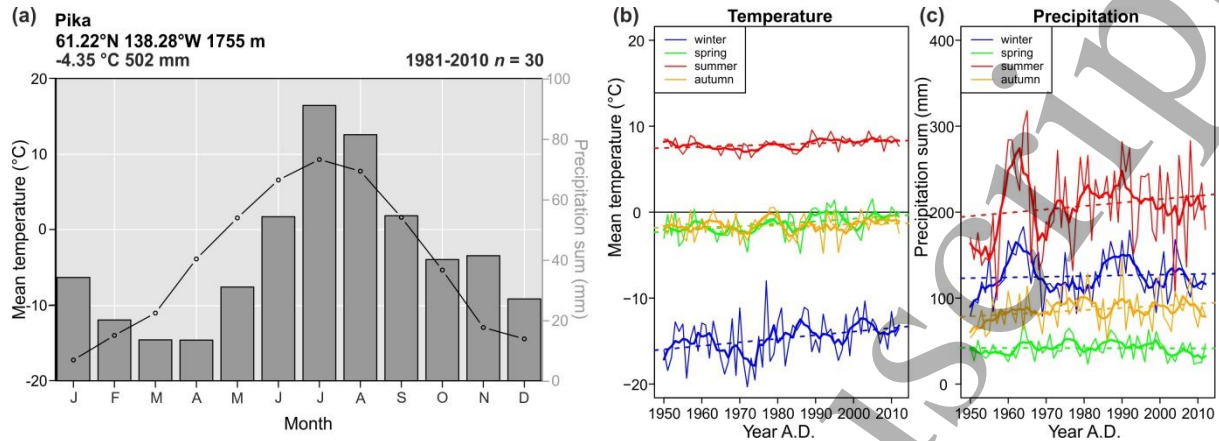
1  
2  
3 126 **Figure 1** Location of the Kluane Region in the Yukon Territory northwest Canada indicated  
4  
5 127 with a yellow square on the inset map and the location of the Pika valley (yellow circle)  
6  
7 128 northwest of Kluane Lake (a). Satellite image map of the Pika valley and adjacent areas (b);  
8  
9 129 sampling spots of *Cassiope tetragona* are marked with red dots; sampling locations of *Salix*  
10  
11 130 *pulchra* are indicated with green dots.  
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## 17 132 **2.2 Climate**

18  
19 133 We calculated monthly precipitation sums (mm), and mean, mean minimum, and mean  
20  
21 134 maximum monthly temperatures (°C) as valid, i.e. lapse rate adjusted, for our research site  
22  
23 135 for each month over the period 1901-2012 in ClimateWNA v5.40 (Wang *et al* 2017). In this  
24  
25 136 program monthly normal data from climate mapping systems are downscaled to scale-free  
26  
27 137 point data, which are then used as a baseline, together with monthly anomaly data from the  
28  
29 138 Climate Research Unit Time Series (CRU TS3.23; Harris *et al* 2014), for the calculation of  
30  
31 139 historical climate variables for specific locations and elevations (Wang *et al* 2016).  
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38 141 The mean annual temperature at our study site is -4.35 °C and mean annual precipitation sum  
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40 142 is 502 mm (Fig. 2a). July is both the warmest and the wettest month with a mean temperature  
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42 143 of 9.28 °C and mean precipitation sum of 69 mm. January is the coldest month (mean  
43  
44 144 temperature -17.25 °C). We defined November-March as the winter months, April-May as  
45  
46 145 spring, June-August as summer, and September-October as autumn, and calculated seasonal  
47  
48 146 means and trends therein over two periods: 1950-2012 (Fig. 2b-c) and 1902-2012 (Fig. S1),  
49  
50 147 as trends over these periods may have been relevant for shrub growth (cf. Fig. S2). Seasonal  
51  
52 148 mean temperatures have risen significantly in winter ( $r=0.29$ ,  $p<0.05$ ), spring ( $r=0.38$ ,  
53  
54 149  $p<0.01$ ), and summer ( $r=0.33$ ,  $p<0.01$ ) over the period 1950-2012. Over the period 1902-  
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150 2012 mean temperatures have risen significantly in spring ( $r=0.26$ ,  $p<0.01$ ) and summer  
 151 ( $r=0.38$ ,  $p<0.001$ ; Fig. S1). Trends in seasonal precipitation sums were not significant.



153

154 **Figure 2** Mean monthly air temperatures (°C; lines) and mean monthly precipitation sums

155 (mm; bars) as calculated for the Pika valley in the Kluane Region, Yukon Territory, Canada

156 in Climate WNA v5.40 (Wang *et al* 2017) (a). Coordinates (decimal degrees) are given below

157 the site name, followed by the elevation (m a.s.l.). In the left-hand corner the mean annual

158 temperature (°C) and mean annual precipitation sum (mm) are given. The period over which

159 the monthly means were calculated is given in the upper right corner, followed by the number

160 of years included in the calculation of the means (n). Lapse rate adjusted seasonal mean

161 temperatures (b) and precipitation sums (c), as valid for our research site for the period 1950-

162 2012. Thick lines are the 5-year running means through the annual seasonal values (thin

163 lines). Dashed lines indicate the linear trends.

164

### 165 2.3 Climate-growth analyses: linear mixed models

166 We measured annual growth of *C. tetragona* as shoot length increments and that of *S.*

167 *pulchra* as ring width increments. See Supplementary Information for details on annual

168 growth measurement. We used linear mixed model analyses to test the influence of monthly

169 and seasonal climatic parameters on shrub growth. Climate-growth models were compared

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2  
3 170 over the periods 1902-2009 and 1949-2009 (*C. tetragona*), or 1949-2006 (*S. pulchra*). The R-  
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5 171 package nlme (Pinheiro *et al* 2017) was used for the mixed model analyses, with maximum  
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7 172 likelihood estimation for model comparison and restricted maximum likelihood estimation  
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9 173 for slope estimates (Crawley 2007). Before the analyses, the climate and shrub shoot length  
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11 174 and ring-width chronologies were normalized at the individual level through subtraction of  
12  
13 175 the mean, followed by a division by the standard deviation. Annual shoot lengths or ring  
14  
15 176 widths of individual shrubs were included in the models as the response variable, and climate  
16  
17 177 variables were included as fixed effects. A random intercept for year and an autocorrelation  
18  
19 178 structure (AR1, autoregressive process of order one) were additionally included in the  
20  
21 179 models. Conditional pseudo- $R^2$  values were calculated for each model with the  
22  
23 180 `r.squaredGLMM` function of the MuMIn package (Nakagawa and Schielzeth 2013).  
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31 182 We tested 94 climate-growth models including temperature means, mean maximums, mean  
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33 183 minimums, and precipitation sums from 17 individual months (previous June to current  
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35 184 October), the four seasons, and early (June-July) and late (July-August) summer as fixed  
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37 185 effects, besides a null model for both species. In a first step, climate models that performed  
38  
39 186 better than the null model were selected based on the Akaike Information Criterion  
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41 187 ( $\Delta AIC > 2$ ). Subsequently, Akaike weights for the selected models were calculated for model  
42  
43 188 comparison. Akaike weights are a relative weight of evidence for each model and can be  
44  
45 189 interpreted as the probability a certain model is the best model, given the selected set of  
46  
47 190 models, for the observed data (Johnson and Omland 2004). High numbers of models in a  
48  
49 191 comparison analysis increases the chances that a model has a lower AIC-value than the  
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51 192 accompanying null model. By including 94 models for each species, models might thus come  
52  
53 193 up by chance having a  $\Delta AIC$ -value greater than 2. However, it is unlikely that such models  
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55 194 will have an AIC value much lower than the related null model or have a high Akaike weight.  
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## 195 **2.4 Climate-growth analyses: site chronologies**

196 We tested climate-growth relationships between monthly climate parameters and  
197 standardized site chronologies. See Supplementary Information for details on standardization,  
198 and chronology construction and statistics (Table S1 and Fig. S2).

199  
200 We calculated Pearson's correlation coefficients and response function coefficients between  
201 each standardized site chronology and monthly mean temperatures as well as monthly  
202 precipitation sums, with monthly parameters from previous June to current October. This was  
203 done over the period 1907-2009 for *C. tetragona* and 1971-2006 for *S. pulchra*. Parts of the  
204 chronologies with  $n < 5$ , were excluded from the analyses, as were the most recent growth  
205 years. The latter were excluded due to possible incomplete growth at the time of harvest. In  
206 addition, Pearson's correlation coefficients and response coefficients were calculated over  
207 25-year moving windows over the period 1907-2009, with a two-year window offset with the  
208 same monthly parameters, separately for mean monthly temperatures and monthly  
209 precipitation sums. This was only done for *C. tetragona* (1907-2009;  $n \geq 5$ ), due to the  
210 relatively shortness of the *S. pulchra* chronology (1971-2006;  $n \geq 5$ ). Correlation and response  
211 coefficient significance was determined through a 1000 bootstrapped iterations. Response  
212 function analysis takes multicollinearity between climate parameters into account, through  
213 the regression of growth chronologies against principal components of climate parameters  
214 (Zang and Biondi 2015). The correlation and response analyses were performed with the R-  
215 package treeclim (Zang and Biondi 2015) in R version 3.4.1 (R Core Team 2017).

216  
217 Spatial relationships in the area between 50°-75°N and 170°-120°W over the period 1981-  
218 2006 were calculated between the standardized site chronologies, June-July NDVI (0.22°  
219 spatial resolution), and June-July mean maximum temperatures from the 0.5°×0.5° monthly

220 gridded meteorological dataset CRU TS4.00 (Harris *et al* 2014). Furthermore, the spatial  
221 relationships in the same area and over the same period between local mean June-July  
222 maximum temperatures (ClimateWNA v5.40), mean June-July NDVI, and mean June-July  
223 maximum temperatures (CRU TS4.00) were calculated. These calculations were carried out  
224 in KNMI Climate Explorer (Trouet and van Oldenborgh 2013), which uses a Monte Carlo  
225 approach for the determination of confidence intervals. The months June and July were  
226 chosen for the spatial analyses, because of their influence on growth of both species (see  
227 below). NDVI data for the period 1981–2006 were obtained from the GIMMS dataset  
228 (Tucker *et al* 2005) via KNMI Climate Explorer.

229

### 230 **3. Results**

#### 231 **3.1 Climate-growth analyses**

232 Variation in annual growth of both species was best explained by early summer temperature  
233 models (Table 1 and S2), with evidence for the mean June-July temperature model and the  
234 mean maximum June-July temperature as best model for *C. tetragona* and the mean  
235 maximum June-July temperature model as best model for *S. pulchra*. In addition, we found  
236 some support for mean (maximum) summer temperature-growth models for *C. tetragona* and  
237 mean (maximum) July temperature-growth models for *S. pulchra* (Table 1). Besides summer  
238 climate models some current spring as well previous year late summer and early autumn  
239 temperature models were among the selected models for *C. tetragona*.

240

241 **Table 1** Results of the mixed model analyses with annual shoot lengths of *Cassiope*  
242 *tetragona* or annual ring widths of *Salix pulchra* included in the models as the response  
243 variable and climate variables as fixed effects, calculated over the period 1949-2009 (*C.*  
244 *tetragona*) or 1949-2006 (*S. pulchra*). Selected models are models with AIC values of at least  
245 2 lower than the corresponding null model.  $R^2$ -values are conditional pseudo- $R^2$  values.

246 Akaike weights are the relative weight of evidence for each model. T: mean temperature;

247  $T_{\max}$ : mean maximum temperature;  $T_{\min}$ : mean minimum temperature; P: precipitation sum.

Species	Selected Models	$\Delta AIC$	Slope $\pm$ SE	$R^2$	Akaike weight
<i>Cassiope tetragona</i>	June-July T	29.78	0.37 $\pm$ 0.06	0.29	0.53
	June-July $T_{\max}$	29.02	0.36 $\pm$ 0.06	0.29	0.36
	Summer T	25.25	0.34 $\pm$ 0.06	0.29	0.05
	Summer $T_{\max}$	25.13	0.34 $\pm$ 0.06	0.29	0.05
	July T	17.05	0.30 $\pm$ 0.06	0.29	<0.01
	June-July $T_{\min}$	15.75	0.29 $\pm$ 0.06	0.29	<0.01
	June T	14.61	0.28 $\pm$ 0.06	0.29	<0.01
	June $T_{\max}$	14.49	0.28 $\pm$ 0.06	0.29	<0.01
	Previous August T	12.71	0.27 $\pm$ 0.07	0.29	<0.01
	Summer $T_{\min}$	12.35	0.26 $\pm$ 0.07	0.29	<0.01
	July-August T	11.93	0.26 $\pm$ 0.07	0.29	<0.01
	July $T_{\min}$	11.85	0.26 $\pm$ 0.07	0.29	<0.01
	July $T_{\max}$	10.27	0.24 $\pm$ 0.07	0.29	<0.01
	Previous August $T_{\min}$	10.22	0.25 $\pm$ 0.07	0.29	<0.01
	July-August $T_{\max}$	9.57	0.24 $\pm$ 0.07	0.29	<0.01
	Previous August $T_{\max}$	8.61	0.23 $\pm$ 0.07	0.29	<0.01
	June $T_{\min}$	8.46	0.23 $\pm$ 0.07	0.29	<0.01
	July-August $T_{\min}$	7.03	0.21 $\pm$ 0.07	0.29	<0.01
	Previous July $T_{\min}$	4.96	0.19 $\pm$ 0.07	0.29	<0.01
	April $T_{\max}$	4.37	0.18 $\pm$ 0.07	0.29	<0.01
	May $T_{\min}$	4.15	0.18 $\pm$ 0.07	0.29	<0.01
	Spring $T_{\max}$	3.57	0.17 $\pm$ 0.07	0.29	<0.01
	August $T_{\max}$	3.48	0.17 $\pm$ 0.07	0.29	<0.01
	Spring T	3.41	0.17 $\pm$ 0.07	0.29	<0.01
	August T	2.90	0.16 $\pm$ 0.07	0.29	<0.01
	Summer P	2.75	-0.16 $\pm$ 0.07	0.29	<0.01
April T	2.28	0.15 $\pm$ 0.07	0.29	<0.01	
Previous September T	2.13	0.15 $\pm$ 0.07	0.29	<0.01	
May T	2.01	0.15 $\pm$ 0.07	0.29	<0.01	
<i>Salix pulchra</i>	June-July $T_{\max}$	18.66	0.28 $\pm$ 0.06	0.16	0.80
	July T	14.74	0.26 $\pm$ 0.06	0.16	0.11
	July $T_{\max}$	12.99	0.25 $\pm$ 0.06	0.17	0.05
	June-July T	11.78	0.24 $\pm$ 0.06	0.16	0.03
	Summer $T_{\max}$	9.63	0.23 $\pm$ 0.06	0.16	<0.01
	June $T_{\max}$	6.97	0.19 $\pm$ 0.06	0.15	<0.01
	Summer T	6.33	0.20 $\pm$ 0.07	0.16	<0.01
	July $T_{\min}$	5.26	0.19 $\pm$ 0.07	0.17	<0.01
	July-August T	4.06	0.17 $\pm$ 0.07	0.16	<0.01
	July-August $T_{\max}$	4.06	0.17 $\pm$ 0.07	0.16	<0.01
	June T	3.26	0.15 $\pm$ 0.06	0.15	<0.01
	Summer P	2.99	-0.16 $\pm$ 0.07	0.15	<0.01
	June-July P	2.65	-0.14 $\pm$ 0.06	0.15	<0.01
June-July $T_{\min}$	2.02	0.14 $\pm$ 0.07	0.16	<0.01	

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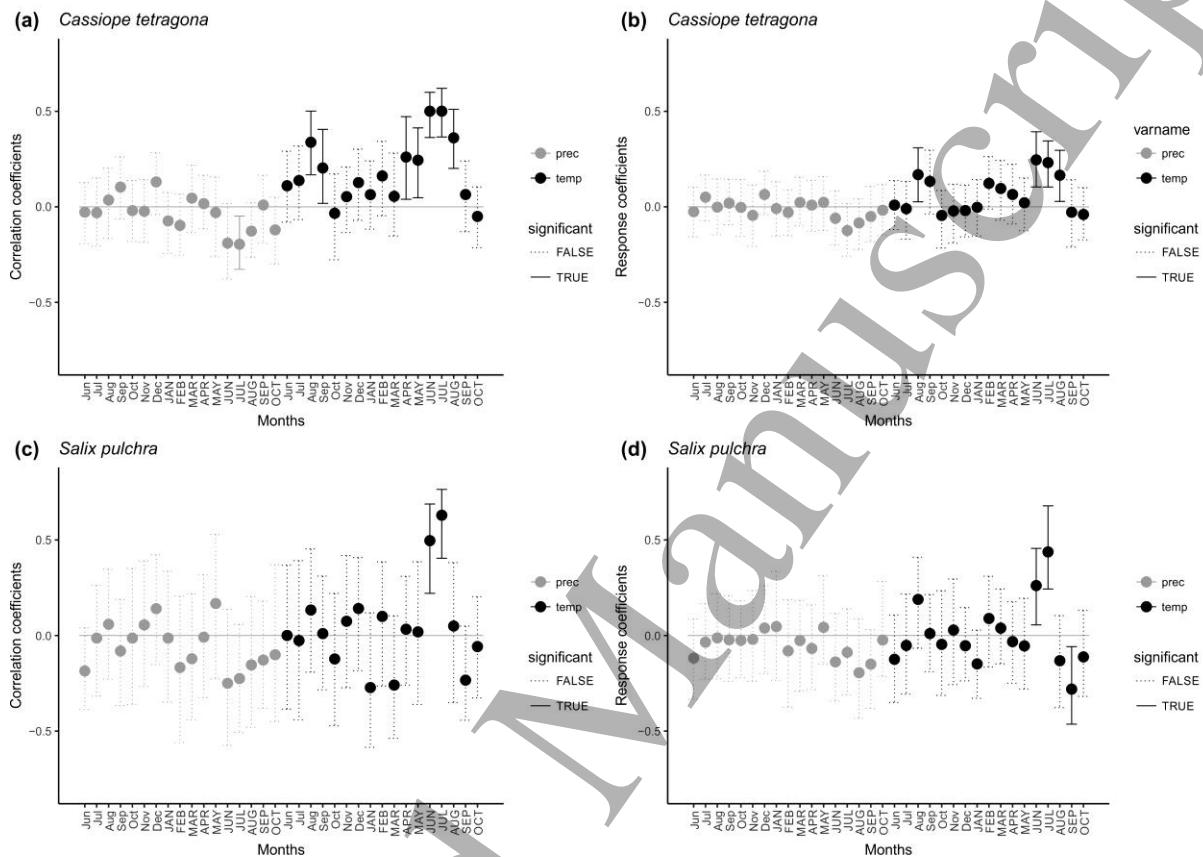
249 A similar picture arises from the correlation and response function analyses, with positive

250 correlations between mean monthly temperatures of April-August of the growing season, as

251 well as previous August and September and *C. tetragona* growth (Fig. 3a). Mean June, July,

60

252 August temperatures of the current year, and previous August remain significant predictors of  
 253 *C. tetragona* shoot length growth, taken collinearity between the monthly climate parameters  
 254 into account (Fig. 3b). Mean June and July temperatures were again identified as the main  
 255 predictors of *S. pulchra* radial growth (Fig. 3c and 3d).  
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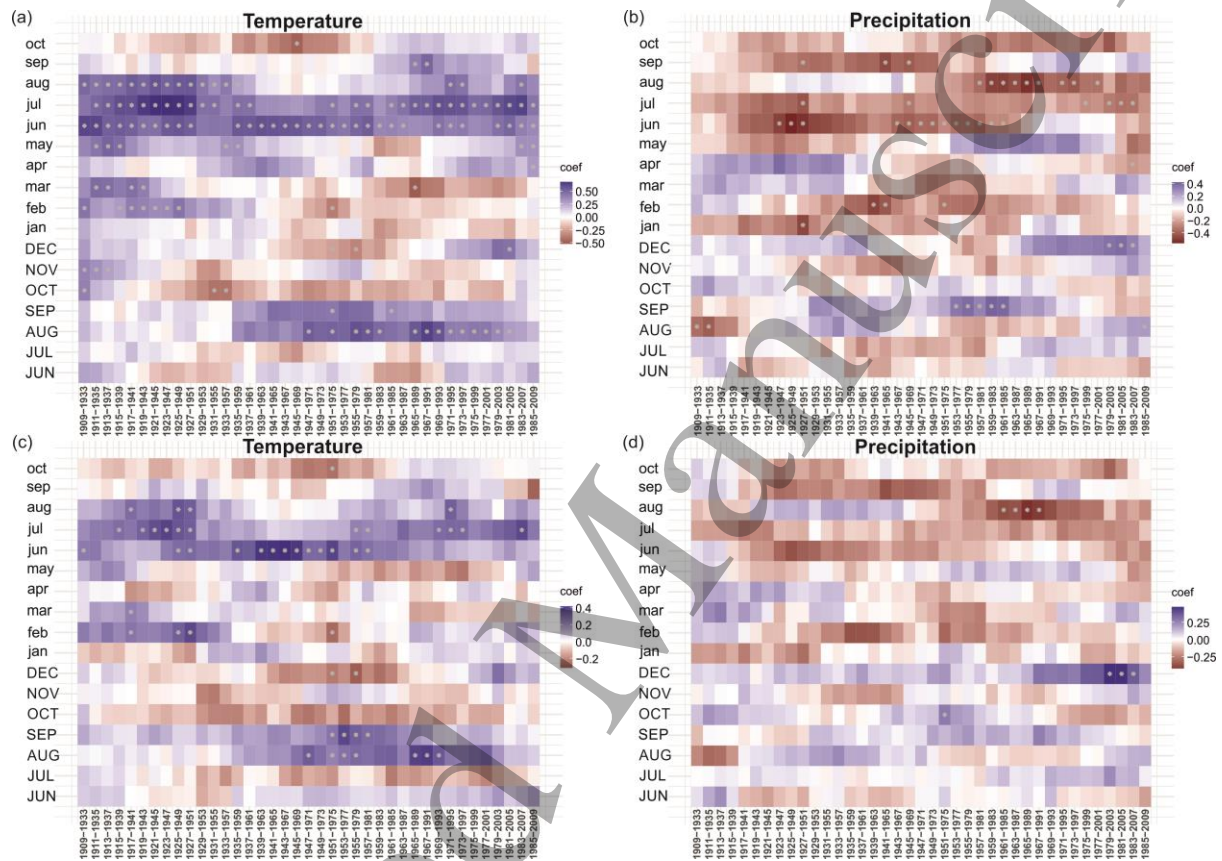


257 **Figure 3** Pearson's correlation coefficients (a,c) and response function coefficients (b,d) with  
 258 bootstrapped confidence intervals calculated between the standardized *Cassiope tetragona*  
 259 (1907-2009) and *Salix pulchra* (1971-2006) site chronologies and monthly precipitation sums  
 260 (grey) and mean monthly temperatures (black) as modelled for the Pika valley in the Kluane  
 261 Region, Yukon Territory, Canada. Abbreviations of months from the year of growth are in  
 262 lowercase letters and those of the current year are given in capitals.  
 263

264  
 265 The influence of mean June and July temperatures has been stable throughout the growth  
 266 record of *C. tetragona* (Fig. 4). There has been a shift, however, in the influence of August  
 267 temperatures from the current year, which have influenced growth until the late 1950s.



268 Thereafter, August temperatures started to influence *C. tetragona* growth in the next year  
 269 (Fig. 4a). The negative moving correlations found between *C. tetragona* growth and monthly  
 270 precipitation sums, mainly of summer months (Fig. 4b), are likely a result of collinearity  
 271 between the monthly climate variables (cf. Fig. 4d). The influence of June and July  
 272 temperatures may have been shifting back and forth between these two months (Fig. 4c).



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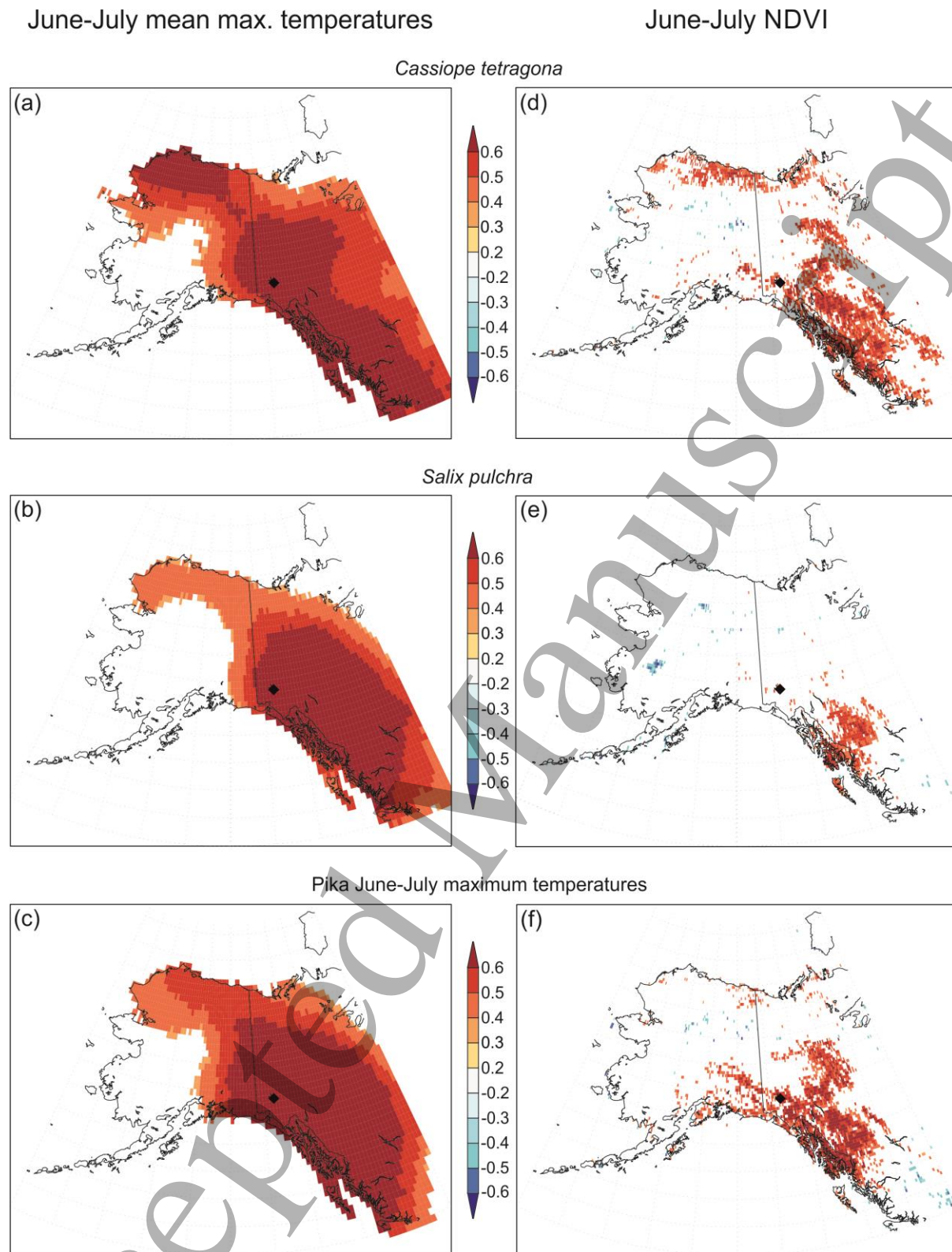
274 **Figure 4** Moving correlation coefficients (a,b) and response function coefficients (c,d),  
 275 calculated over 25-year windows with 2 year offsets over the period 1907-2009, between the  
 276 standardized *Cassiope tetragona* shoot length chronology and mean monthly temperatures as  
 277 well as monthly precipitation sums, as modelled for the Pika valley, Kluane Region, Yukon  
 278 Territory, Canada. Asterisks (\*) indicate significant coefficients. Abbreviations of months  
 279 from the year of growth are in lowercase letters and those of the current year are given in  
 280 capitals.

281

### 282 3.2 Spatial analyses

283 Both standardized chronologies as well as the Pika valley mean June-July maximum  
284 temperatures are strongly correlated to mean June-July maximum temperatures over a large  
285 area in northwest North America (Fig. 5a-c). Furthermore, the *C. tetragona* chronology  
286 reflects mean June-July NDVI-values from a large area, mainly from the tundra and boreal  
287 treeline vegetation at higher elevations and/or latitudes such as at the Stikine plateau,  
288 Mackenzie mountains, Coast mountains, Babine range, Muskwa ranges, and in the area north  
289 of the Brooks ranges (Figure 5d). The standardized *S. pulchra* chronology shares the spatial  
290 correlations with June-July NDVI over the alpine tundra southeast to our research site, as  
291 found for *C. tetragona*, but lacks those to the north and northwest (Fig 5e). Neither  
292 chronology is related to the June-July NDVI-values and temperatures of the boreal forests of  
293 the Alaskan interior. The spatial relationship between the lapse rate corrected mean June-July  
294 maximum temperatures as valid for the Pika valley and NDVI (Figure 5f) is similar as that  
295 for the *C. tetragona* chronology, but it lacks the relationships with June-July NDVI north of  
296 the Brooks Range.

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**Figure 5** Pearson correlation coefficients calculated over the period 1981-2006 between the

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standardized *Cassiope tetragona* chronology, the standardized *Salix pulchra* chronology,

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Pika valley mean maximum June-July temperatures, and gridded mean June-July maximum

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temperatures from CRU TS4.00 (a-c), and mean June-July NDVI from GIMMS (d-f). Only

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3 303 significant correlations are shown on the maps ( $p < 0.05$ ). Black diamonds indicate the  
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5 304 location of our research site at Pika valley in the Kluane Region, Yukon Territory, Canada.  
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#### 10 306 **4. Discussion**

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12 307 We have shown that *Cassiope tetragona* and *Salix pulchra* growth at the Pika valley in the  
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14 308 Kluane Region, Yukon Territory, Canada is driven by early (June-July) growing season  
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16 309 temperatures. Despite contrasting plant traits of dwarf evergreen versus tall deciduous shrubs  
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18 310 and different habitats related to snow distribution, consistent climate sensitivity to early  
19  
20 311 growing season temperatures was observed. Early summer temperatures, which coincide with  
21  
22 312 the time of year with maximum insolation, are warming across northwest North America and  
23  
24 313 shrub growth chronologies for both species were correlated with the regional climate and  
25  
26 314 showed spatial correspondence with interannual variation in NDVI in surrounding alpine and  
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28 315 Arctic regions. Our results suggest a common driver of vegetation change for contrasting  
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30 316 shrub species growing in different habitats in the same alpine environments.  
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38 318 In contrast to *S. pulchra*, we found some influence of late summer and early autumn  
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40 319 temperatures of the previous year on growth of *C. tetragona*, besides the influence of early  
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42 320 summer temperature, as was earlier observed for this species on Svalbard (Weijers *et al*  
43  
44 321 2010). This is likely a result of the evergreen nature of *C. tetragona* leaves and the formation  
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46 322 of its primordial leaves at the end of the growing season. Photosynthesis in *C. tetragona*  
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48 323 leaves starts before snowmelt is completed (Starr and Oberbauer 2003) and shoot elongation  
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50 324 may thus benefit from warm early summer temperatures. Annual growth of *C. tetragona* has  
51  
52 325 previously been shown to be driven by summer temperatures at several High Arctic sites,  
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54 326 through experimental warming in Ellesmere Island, Canada (Hudson *et al* 2011), Greenland  
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57 327 (Carnioli *et al* 2013) and Svalbard (Havström *et al* 1993, Rozema *et al* 2009, Weijers *et al*  
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1  
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3 328 2012), and dendrochronological analyses in Ellesmere Island, Canada (Havström *et al* 1995,  
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5 329 Johnstone and Henry 1997, Rayback and Henry 2006), North Greenland (Weijers *et al* 2017),  
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7 330 and Svalbard (Callaghan *et al* 1989, Aanes *et al* 2002, Weijers *et al* 2010, 2012, 2013b).  
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9 331 However, at warmer Subarctic alpine tundra sites, as our site, environmental growth controls  
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11 332 of *C. tetragona* may be less uniform. Growth in Subarctic Abisko, North Sweden, for  
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13 333 example, showed a greater response to nitrogen addition than to experimental warming, and  
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15 334 may be more nitrogen- than temperature-limited (Havström *et al* 1993). Still, after longer  
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17 335 timespans (22 years) neither fertilization nor warming was found to affect *C. tetragona*  
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19 336 growth at the same experiment (Campioli *et al* 2012).  
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26 338 The *C. tetragona* shrubs in our study were from downslope depressions with late snowmelt.  
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28 339 Winter snow depth at arctic-alpine localities is, unlike at flat lowland sites, relatively  
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30 340 independent of the winter precipitation sum, as excess snow is removed by wind and  
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32 341 redistributed according to topography (Erickson *et al* 2005). Snowmelt date and growing  
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34 342 season length at these *C. tetragona* localities are thus likely largely determined by  
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36 343 temperature instead of precipitation, which may explain the strong relationship found  
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38 344 between early summer temperatures and growth. In addition, mean growth rate at our site  
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40 345 ( $5.21 \text{ mm}\cdot\text{year}^{-1}$ ) is close to the  $5.05 \text{ mm}\cdot\text{year}^{-1}$  reported for High Arctic Svalbard (Weijers *et*  
41  
42 346 *al* 2012), despite the warmer conditions at our site (mean July temperatures of  $9.28 \text{ }^{\circ}\text{C}$  versus  
43  
44 347  $6.43 \text{ }^{\circ}\text{C}$ ). This suggests relatively harsh conditions at the downslope snowbeds on the east-  
45  
46 348 northeast-facing slope at our site, which may explain the dominance there of *C. tetragona*,  
47  
48 349 which is generally a more High Arctic species. Relatively harsh conditions may persist at  
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50 350 these places due to a shortened growing season in snowbeds, cooling of soils by (upslope)  
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52 351 meltwater, and low amounts of direct sunlight due to the east-northeast slope aspect. During  
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54 352 mornings, sunlight is blocked by the opposing mountain.  
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6 354 Early growing season temperatures have earlier been identified as the most important factor  
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8 355 influencing *S. pulchra* growth in the northeastern Siberian tundra (Blok *et al* 2011) and may  
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10 356 stimulate its growth as leaf flush in *S. pulchra* takes place within days after snowmelt (Borner  
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12 357 *et al* 2008). Moreover, *Salix spp.* growth was found to be driven predominantly by summer  
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14 358 temperatures, and their recruitment by winter temperatures, throughout the Kluane Region  
15  
16 359 (Myers-Smith and Hik 2017). In contrast, secondary growth of *S. pulchra* showed little  
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18 360 response to fertilization and warming treatments when in competition with *Betula nana* (Bret-  
19  
20 361 Harte *et al* 2002) and its abundance declined under both treatments (Bret-Harte *et al* 2001) in  
21  
22 362 the moist tussock tundra at Toolik Lake in the northern foothills of the Brooks Range,  
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24 363 Alaska. However, at a nearby site, June temperatures were found to be important for radial  
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26 364 growth of *S. pulchra* (Ackerman *et al* 2017). Despite some variation among studies, June and  
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28 365 July temperatures appear to be consistent drivers of variation in *S. pulchra* shrub growth.  
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33 366  
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35 367 We found some indication of a negative, but no positive, influence of summer precipitation  
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37 368 on growth of both species. This corresponds with the lack of a *C. tetragona* growth response  
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39 369 to experimentally doubled summer precipitation in Svalbard (Weijers *et al* 2013a) and the  
40  
41 370 probably high growing season soil moisture content at the *C. tetragona* localities resulting  
42  
43 371 from snowmelt. *S. pulchra* growth may be limited by precipitation at some sites, as it was  
44  
45 372 found to increase its shoot length growth in response to experimentally increased  
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47 373 precipitation (Keuper *et al* 2012). However, the low-Arctic northeast Siberian tundra site at  
48  
49 374 which that experiment was conducted receives less than half of the precipitation annually  
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51 375 falling in our study area, which may explain the lack of a positive influence of precipitation at  
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53 376 our site. Still, a positive influence of summer precipitation was reported for *Salix spp.* at some  
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55 377 other sites in the Kluane Region (Myers-Smith and Hik 2017).  
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5 379 Negative links between summer precipitation and *C. tetragona* growth were earlier reported  
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8 380 by Callaghan *et al* (1989) for sites in Svalbard and Swedish Lapland. *C. tetragona* may suffer  
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10 381 from soil subsidence due to thaw of deeper ice-rich permafrost layers under wetter  
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12 382 conditions, as observed in a snow accumulation experiment in Svalbard (Blok *et al* 2015).  
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14 383 However, the observed negative correlations between precipitation sums during summer  
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16 384 months and growth at our site likely result from the negative relationship between summer  
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18  
19 385 precipitation and temperature at our site. This hypothesis is further supported by the low  
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21 386 Akaike weights for summer precipitation models for both species and the near absence of  
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23 387 significant response function coefficients between growth and monthly summer precipitation.  
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28 389 Annual *C. tetragona* and *S. pulchra* growth at our site correspond with mean maximum June-  
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30 390 July temperatures for a large part of northwest North America. *C. tetragona* shoot length  
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32 391 growth furthermore tracked June-July NDVI-values of the vegetation at higher elevations and  
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34 392 latitudes in this region and radial growth of *S. pulchra* corresponded with mean maximum  
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36 393 NDVI values of a large area southeast of the study site. This suggests that annual growth  
37  
38 394 variability of *C. tetragona* and *S. pulchra* respond to climate drivers with wide spatial extents  
39  
40 395 and that productivity in these alpine regions may correspond across the whole region. Our  
41  
42 396 findings correspond with NDVI observations of a greening Alaskan and Yukon tundra since  
43  
44 397 1982 (Verbyla 2008, Beck and Goetz 2011, Guay *et al* 2014, Ju and Masek 2016). Similar  
45  
46 398 inter-correlations between annual shrub growth, summer temperatures, and NDVI have  
47  
48 399 earlier been found for the deciduous shrubs *S. lanata* and *Alnus fruticosa* in northern Siberia  
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50 400 (Forbes *et al* 2010, Macias-Fauria *et al* 2012) and for *S. pulchra* in northeastern Siberia (Blok  
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52 401 *et al* 2011).  
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3 403 The climate signal in *S. pulchra* is somewhat less strong than that in *C. tetragona* shrubs and  
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5 404 its growth corresponded with NDVI variability over a smaller area than *C. tetragona* growth.  
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7 405 This might be because the evergreen dwarf shrub *C. tetragona* is not grazed upon, in contrast  
8  
9 406 to many deciduous arctic-alpine shrub species (Mallik *et al* 2011). At our site, the deciduous  
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11 407 *S. pulchra* shrubs may have been prone to ptarmigan browsing new buds in spring, non-cyclic  
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13 408 insect herbivores, stem herbivory by small mammals including marmots and rare browsing  
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15 409 by moose or other large herbivores (Myers-Smith and Hik 2017). Expansion of deciduous  
16  
17 410 shrubs has earlier been shown to be suppressed by reindeer grazing in the Scandinavian  
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19 411 alpine tundra, in contrast to that of evergreen shrubs (Vowles *et al* 2017).  
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26 413 Although arctic tundra greening has mainly been attributed to an expansion of erect and tall  
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28 414 deciduous shrub cover (Elmendorf *et al* 2012a, Elmendorf *et al* 2012b, Fraser *et al* 2014), we  
29  
30 415 found that annual growth of the hemi-prostrate evergreen dwarf shrub *C. tetragona* largely  
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32 416 corresponds with NDVI as a proxy for productivity over large parts of the northwest North  
33  
34 417 American tundra. *C. tetragona* might be able to expand its cover through a densification of  
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36 418 existing shrub patches in the warmer parts of the tundra biome in certain environmental  
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38 419 niches with long lasting snow cover, where relatively harsh conditions may persist during the  
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40 420 growing season. *C. tetragona* is capable of forming dense mats, which might inhibit the  
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42 421 recruitment of other taller shrubs in such locations.  
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## 49 423 **5. Conclusions**

50  
51 424 We found a strong positive growth response of the co-occurring evergreen dwarf shrub  
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53 425 *Cassiope tetragona* and the deciduous tall shrub *Salix pulchra* to early summer warming in  
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55 426 the Pika valley of the Kluane Region, Yukon Territory, Canada. Despite differences in plant  
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57 427 species traits and habitats, the two contrasting species demonstrated surprisingly consistent  
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3 428 growth responses to climate drivers. Moreover, our findings show that the annual growth  
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5 429 variability of these species are likely representative of the annual variability in tundra  
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7 430 vegetation productivity of large parts of northwest North America. Early summer warming  
8  
9 431 has likely enhanced growth rates of entire shrub communities in this region, resulting in the  
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11 432 densification of shrub stands and a greening of the arctic-alpine tundra of Alaska and the  
12  
13 433 Yukon, as reported in the literature.  
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17 434

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