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The human immune response to respiratory syncytial virus infection

Citation for published version:

Russell, C, Unger, SA, Walton, M & Schwarze, J 2017, 'The human immune response to respiratory syncytial virus infection' *Clinical Microbiology Reviews*, vol. 30, no. 2, pp. 481-502. DOI: 10.1128/CMR.00090-16, 10.1128/CMR.00090-16

Digital Object Identifier (DOI):

[10.1128/CMR.00090-16](https://doi.org/10.1128/CMR.00090-16)
[10.1128/CMR.00090-16](https://doi.org/10.1128/CMR.00090-16)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Clinical Microbiology Reviews

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1 The human immune response to respiratory syncytial virus infection

2

3

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12 Running Head: Human immune response to RSV infection

13

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16

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66 **SUMMARY**

67

68 Respiratory syncytial virus (RSV) is an important aetiological agent of respiratory infections,
69 particularly in children. Much data regarding the immune response to RSV comes from
70 animal models and *in vitro* studies. Here, we provide a comprehensive description of the
71 human immune response to RSV infection, based on a systematic literature review of
72 research in infected humans.

73

74 There is an initial strong neutrophil response to RSV infection in humans, positively
75 correlated with disease severity and mediated by IL-8. Dendritic cells migrate to the lungs as
76 the primary antigen presenting cell. An initial systemic T-cell lymphopenia is followed by a
77 pulmonary CD8⁺ T-cell response, mediating viral clearance. Humoral immunity to re-
78 infection is incomplete but RSV-IgG and -IgA are protective. B-cell stimulating factors
79 derived from airway epithelium play a major role in protective antibody generation. IFN- γ
80 has a strongly protective role and a Th2-biased response may be deleterious. Other cytokines
81 (particularly IL-17A), chemokines (particularly CCL-5 and CCL-3) and local innate immune
82 factors (including cathelicidins and IFN- λ) contribute to pathogenesis.

83

84 In summary, neutrophilic inflammation is incriminated as a harmful response whereas CD8⁺
85 T-cells and IFN- γ have protective roles. These may represent important therapeutic targets to
86 modulate the immunopathogenesis of RSV infection.

87

88 **INTRODUCTION**

89

90 Respiratory syncytial virus (RSV) is an enveloped single-stranded RNA virus belonging to
91 the *Pneumoviridae* family of the *Mononegavirales* order. Infections occur worldwide, with
92 outbreaks in temperate climates occurring primarily during the winter months. RSV is an
93 important aetiological agent of respiratory infections, particularly in children, causing a
94 spectrum of illness encompassing upper respiratory tract infections (URTI) and lower
95 respiratory tract infections (LRTI), including pneumonia and bronchiolitis which are
96 associated with greater morbidity and mortality. Natural infection results in incomplete
97 immunity, permitting recurrent infection in childhood as well as infections in adults and the
98 elderly. Much data regarding the immune response to RSV comes from murine and other
99 animal models and *in vitro* human cell culture studies. While important for hypothesis
100 generation, these methodologies may not provide a completely accurate reflection of the
101 immune response during infection in humans. Here, we provide a comprehensive description
102 of the human immune response to RSV infection, based on a systematic literature review
103 exclusively of clinical, *ex vivo* and post mortem data from naturally and experimentally
104 infected humans.

105

106 In this review we consider the existing data describing the major cellular and humoral
107 components of the immune response to RSV, distinguishing events occurring systemically
108 from those occurring locally within the respiratory tract. First we describe the behaviour of
109 all major immune cell types, encompassing neutrophils, dendritic cells, monocytes,
110 macrophages, eosinophils and T-lymphocytes. Secondly, the anti-RSV antibody response and
111 its regulation is discussed. Next, the distinct Th1 and Th2 responses to RSV and the effect of
112 their balance on disease progression are considered. Several chemokines, cytokines and other

113 immune molecules have been demonstrated to be involved in the immune response and are
114 reviewed. The global host transcriptional response is also discussed in the context of
115 immune-related pathways. Certain key pathogen-host interactions described herein may
116 represent targets for the development of novel therapeutics. For completeness, we summarise
117 the association between RSV infection and subsequent asthma and also key differences
118 between immune responses in humans and animals used in model systems of infection.

119

120 **METHODS OF SYSTEMATIC LITERATURE REVIEW**

121

122 We conducted a systematic literature review following PRISMA (Preferred Reporting Items
123 for Systematic Reviews and Meta-Analyses) guidelines (PROSPERO registration number
124 CRD42016047320). An electronic literature search of Medline, Embase and Web of Science
125 was performed using the following search terms: ((RSV[Title] OR respiratory syncytial
126 virus[Title])) AND (Immune response OR T-cell OR B-cell OR lymphocyte OR macrophage
127 OR neutrophil OR monocyte OR natural killer cell OR dendritic cell OR immunoglobulin
128 OR IgG OR IgA OR IgE OR cytokine OR chemokine OR interleukin OR interferon) AND
129 (Human OR clinical OR experimental OR neonate OR infant OR children OR adult OR
130 elderly)).

131

132 The last search was conducted on 16th May 2016. The results from the databases were
133 merged and duplicates removed. The combined results of the electronic database search were
134 assessed independently by two authors and discrepancies discussed and agreed upon
135 according to the inclusion and exclusion criteria. Publications in all languages describing
136 primary research in humans were included (clinical, *ex vivo*, post mortem). Editorials,
137 reviews, commentaries and opinion pieces were excluded. Articles were limited to those

138 published after 1990. Additional articles of interest were identified from reviewing the
139 bibliographies of relevant articles. The literature search resulted in 2541 publications after
140 removal of duplicates and pre-1990 publications. Two authors reviewed titles and abstracts
141 and identified 268 records that then underwent full text review. Of these, 166 met the
142 inclusion criteria. A further 9 articles were identified through other sources including
143 bibliographies of identified articles.

144

145 **SYSTEMIC AND PULMONARY IMMUNE CELL RESPONSES TO RSV** 146 **INFECTION**

147

148 **Neutrophils**

149

150 RSV infection elicits a strong systemic and especially respiratory tract neutrophil response
151 (1-4). Neutrophils are the predominant cell type in bronchoalveolar lavage (BAL) from the
152 lungs of ventilated infants with severe RSV-bronchiolitis and those with milder infection (5).
153 These cells are activated during the initial pathogenesis of RSV-LRTI, producing neutrophil
154 elastase (6, 7) and expressing activation markers (CD11b, CD18 and CD54 [ICAM-1]) (8, 9).
155 The peak neutrophil response coincides with maximum clinical severity and viral load, and
156 by the time infants with severe infection are discharged from the intensive care unit (ICU)
157 after ventilation, neutrophil counts in peripheral blood have normalised (10). Widespread
158 neutrophil infiltration is seen in lung tissue from fatal cases of RSV-LRTI (3, 11).

159

160 During severe infection the virus interacts directly with neutrophils. Cells from peripheral
161 blood and BAL express RSV proteins F, G, and N proportionately, implying stoichiometric
162 expression thus intact intracellular virions (12). RSV genomic RNA and mRNA is also

163 present intracellularly (12, 13). This could be explained by phagocytosis of virions or
164 replication of RSV within neutrophils. These RSV-containing neutrophils detected in the
165 peripheral blood may have transmigrated from the lungs into the circulation.

166

167 Neutrophil apoptosis and neutrophil extracellular trap formation ('NETosis'; a unique form
168 of neutrophil cell death) are active during infection. Proteins involved in apoptosis (Annexin
169 V and the Fas death receptor CD95) are up-regulated in nasopharyngeal fluid and NETs are
170 present in BAL from ventilated children (8, 14). NETs may prevent spread of infectious
171 virions and comprise a web-like DNA backbone studded with histones and
172 cytotoxic/antimicrobial proteins.

173

174 **Natural Killer (NK) cells**

175

176 RSV infection results in reduced total systemic NK cell counts albeit with an increase in an
177 activated sub-set that lacks expression of CD94 (15, 16). Circulating NK cells have higher
178 expression of the inhibitory leukocyte immunoglobulin-like receptor subfamily B member
179 (LILRB1) suggesting they may contribute to regulation of inflammation during infection
180 (17). Lower systemic total counts correlate with greater severity of infection and NK cells are
181 sparse in lung tissue from fatal cases (3, 15, 18, 19). In contrast, there is accumulation of
182 granzyme B-expressing NK cells in the respiratory tract of infants ventilated due to severe
183 RSV-bronchiolitis (BAL and tracheal aspirate), possibly suggesting migration to the lungs
184 (20, 21).

185

186 **Dendritic Cells (DC)**

187

188 Conventional (cDC) and plasmacytoid (pDC) DCs are mobilized from the circulation to the
189 nasal mucosa early during infection with a further increase in DC counts during subsequent
190 convalescence (22, 23). The RSV fusion protein is present within HLA-DR+ DCs in the nasal
191 mucosa and the selective emigration of DCs, but not monocytes, highlights their likely role as
192 the primary antigen presenting cell during RSV infection (23). Low numbers of blood pDCs
193 have been associated with the development of RSV-bronchiolitis suggesting either increased
194 emigration to the respiratory tract or an insufficient pDC response in severe RSV infection
195 (24).

196

197 cDCs and pDCs have also been found in the lower airways of infants ventilated due to severe
198 RSV-bronchiolitis where cDCs exhibit an activated pro-inflammatory phenotype (20).
199 Circulating cDCs express the activation marker CD83 and the co-stimulatory molecule
200 CD40. Concentrations of innate immune pro-inflammatory cytokines (IL-6, TNF- α , IL-8)
201 and T-cell derived cytokines (IFN- γ , IL-13, IL-10, IL-2) in BAL correlate with cDC counts.
202 In subsets of infants with severe RSV-bronchiolitis (pre-term infants and infants aged four
203 months or more) pulmonary pDC counts are low compared to term born and younger infants,
204 suggesting an inadequate antiviral response as a factor in severe RSV disease (20).

205

206 **Macrophages and Monocytes**

207

208 Alveolar macrophages obtained from BAL from RSV-infected infants and adult transplant
209 recipients co-express RSV surface glycoproteins, HLA-DR molecules, IL-1 β and
210 cytoplasmic TNF- α , suggesting a local immune-regulatory and antigen presenting role (25,
211 26). The cells appear to be infected productively, as viral replication from the cells can be
212 confirmed *ex vivo* (25).

213

214 CD69+ monocytes are present in lung tissue from fatal cases of RSV infection (11). In the
215 peripheral blood, monocytes display reduced TLR8 expression and TNF- α production during
216 acute RSV-infection, which subsequently normalises in convalescence (27). In contrast,
217 circulating monocytes increase their expression of TLR4 in RSV infection (28).

218 **Eosinophils**

219

220 Eosinophils are activated during the acute phase of RSV-LRTI and may contribute to
221 recovery. Expression of the myeloid activation marker CD11b on circulating eosinophils
222 from infants with RSV-LRTI is increased, and inversely correlates with the required duration
223 of supplemental oxygen (29). In comparison to children hospitalised due to influenza virus or
224 adenovirus infection, those with RSV infection have higher systemic eosinophil counts
225 during recovery but not at presentation (30). Despite a lack of data demonstrating significant
226 eosinophil *recruitment* to the respiratory tract, there is evidence of eosinophil *activity* during
227 bronchiolitis. Leukotriene C4, eosinophil-derived neurotoxin (EDN) and eosinophil cationic
228 protein (ECP) are elevated in the respiratory tract in RSV-bronchiolitis, detectable in nasal
229 fluid (leukotriene C4 and ECP) and lower airway secretions (EDN and ECP) (31-33), while
230 one study did not find increased ECP levels (34). Nasopharyngeal ECP concentrations are
231 also elevated in children with RSV-LRTI (not specifically bronchiolitis) and URTI (35-39).
232 Nasal ECP concentrations correlate with nasal concentrations of the neutrophil
233 chemoattractant CCL-3 (MIP-1 α) and systemic neutrophil and eosinophil counts (37, 39).
234 Concentrations of CCL-5 (RANTES), an eosinophil chemoattractant, ECP and eotaxin all
235 increase during the progression from acute illness to recovery in RSV-LRTI and correlate
236 with respiratory tract eosinophil counts suggesting this response may have a role in resolution
237 (30, 38, 40, 41). In contrast to the apparent pro-resolution role of eosinophils themselves

238 during RSV infection it seems that a Th2-biased response, of which eosinophilia is a
239 component, may be associated with more severe disease and this is discussed in detail in the
240 section on Th2 responses below.

241

242 **T-lymphocytes**

243

244 An initial transient systemic T-cell lymphopenia occurs during RSV-LRTI. Counts of CD8+,
245 CD4+, CD3+ and $\gamma\delta$ -T-cells are all reduced, compared to convalescence and non-infected
246 infants (2, 15, 16, 18, 19, 30, 42-44). There is no increased expression of CD11a (LFA-1 α) in
247 circulating T-cells suggesting that these cells are not activated, nor is there increased
248 expression of CTLA-4, a marker of down-regulated T-cell activation (45, 46). Absolute T-
249 cell counts during RSV-infection are inversely associated with age, thus T-cell lymphopenia
250 is more pronounced in younger patients (42). Children with more severe illness and those
251 requiring ventilation have reduced circulating T-cell counts (all sub-sets) compared to those
252 with less severe infection and in lung tissue from fatal cases CD4+ and CD8+ T-cells are
253 sparse (3, 16, 43, 47, 48). During the course of disease, circulating CD8+ T-cell counts
254 increase (16, 49). In mechanically ventilated infants with severe RSV-LRTI, systemic
255 effector CD8+ T-cell counts are low during maximum symptoms and viral load and then
256 peak during convalescence (after the systemic neutrophil response) (10, 49). At the time of
257 ICU discharge, circulating CD8+ T-cell counts are temporarily elevated, whereas neutrophils
258 are normal.

259

260 Circulating FOXP3 mRNA and counts of FOXP3+ CD4+ regulatory T-cells (comprising
261 suppressive resting Treg cells [CD45RA+ FOXP3^{lo}] and suppressive activated Treg cells
262 [CD45RA+ FOXP3^{hi}]) are reduced in infants hospitalized with RSV-bronchiolitis and for at

263 least 3 weeks following acute infection (50, 51). Whether this represents apoptosis or
264 recruitment to the lungs is unknown. Absolute counts of circulating regulatory T-cells do not
265 correlate with disease severity (52).

266

267 CD4⁺ and CD8⁺ T-cells are present in BAL obtained from infants with RSV-LRTI, with a
268 predominance of CD4⁺ T-cells (4, 5). During the course of infection, the expansion of CD8⁺
269 T-cells is greater than that of CD4⁺ T-cells, and the CD8⁺ T-cells exhibit an effector
270 phenotype (HLA-DR⁺, granzyme-B⁺, CD38⁺). Lower respiratory tract (tracheal aspirate and
271 BAL) granzyme A and B levels are elevated in ventilated patients and granzyme B is
272 expressed by CD8⁺ T-cells (21). In bronchiolitis specifically, peripheral blood RSV-specific
273 cell-mediated cytotoxic immune responses are more frequent in infants with mild compared
274 to severe infection (53). In experimental RSV infection of adults, the arrival of CD8⁺ T-cells
275 to the lungs (in BAL) is associated with a reduction in pulmonary viral load (54). The
276 frequency of pre-existing RSV-specific pulmonary CD8⁺ T-cells in BAL is inversely
277 associated with pulmonary viral load and symptom severity.

278

279 During acute infection, there is up-regulation of Fas and TRAIL receptor expression on
280 circulating CD4⁺ and CD8⁺ T-cells compared to convalescence (42). Systemic
281 concentrations of soluble Fas ligand and caspase-1 are elevated. An inverse correlation exists
282 between CD4⁺ T-cell Fas expression and cell counts. Therefore, one mechanism underlying
283 systemic lymphopenia may be the induction of T-cell apoptosis as a viral immune evasion
284 strategy (Figure 1a). Furthermore, programmed cell death 1 (PD-1) protein expression is
285 specifically up-regulated on pulmonary CD8⁺ T-cells during RSV-LRTI (55). PD-1 is a T-
286 cell co-inhibitory receptor that is inhibitory to activated T-cells, therefore PD-1 upregulation
287 could be another immune evasion strategy to blunt the cytotoxic T-cell response (Figure 1b).

288 RSV infection may also impair differentiation of CD8⁺ T-cells into memory cells by
289 inducing mammalian target of rapamycin (mTOR) activation (Figure 1c) (56). mTOR mRNA
290 expression is increased in the lungs of infants with RSV-bronchiolitis compared to human
291 metapneumovirus and rhinovirus infection (and healthy controls) and the RSV cases have a
292 higher proportion of CD8⁺mTORser2448⁺ T cells, indicating activation of the mTOR
293 pathway by phosphorylation on serine 2448 (56). Higher prolactin and lower leptin levels
294 have been associated with lymphopenia in severe RSV infection suggesting a neuroendocrine
295 component although these hormonal differences could also be explained by the systemic
296 effects of critical illness (57).

297

298 **Defective T-cell responses**

299

300 Deficits in systemic CD4⁺ and CD8⁺ T-cell responses may contribute to RSV susceptibility
301 in the elderly as these subjects have lower levels of RSV-specific CD4⁺ and CD8⁺ T-cells
302 compared to younger adults (58, 59). Interestingly, there is no decrease in the level of
303 influenza virus-specific CD8⁺ T-cells with increasing age (59). Furthermore,
304 immunosuppressant drugs prescribed for solid organ transplant recipients (glucocorticoids,
305 calcineurin inhibitors, azathioprine, mycophenolate mofetil, sirolimus) all have inhibitory
306 activity against T-cells thus impairing the ability of these patients to clear opportunistic RSV
307 infection, resulting in more severe RSV disease (60). Similarly, haematopoietic stem cell
308 transplant recipients are also at increased risk of severe RSV disease and peripheral blood
309 lymphopenia has been identified as a specific risk factor for RSV-LRTI (61)

310

311 **Cellular Response in Term and Pre-Term Infants**

312

313 Total cellularity, neutrophil counts, macrophage counts and lymphocyte counts in BAL from
314 infants ventilated due to RSV-bronchiolitis are all higher in term compared to pre-term
315 infants, possibly related to immune system maturation (62).

316

317 **B-LYMPHOCYTE RESPONSES AND ANTIBODY PRODUCTION DURING RSV** 318 **INFECTION**

319

320 **Antibody Production and B-Lymphocyte Stimulation**

321

322 There is an increase in circulating B-cells, including mature (CD19+ CD5+) and precursor
323 (CD19+ CD10+) cells, in infants with RSV-LRTI and CD20+ B-cells and IgM+, IgG+, and
324 IgA+ plasma cells are prominent in post-mortem lung tissue from infants with fatal RSV-
325 bronchiolitis (43, 63, 64). Antibody responses target the F and G glycoproteins and increase
326 between the acute and convalescent phases of natural primary infection of infants (65).
327 Bronchiolitis may lead to a greater IgG response (66). Type I interferon (IFN) is implicated
328 in early anti-viral B-cell responses and type I IFN-induced proteins (myxovirus resistance
329 protein A, 2',5'-oligoadenylate synthetase 1) are present in high concentrations in bronchiolar
330 and alveolar epithelial cells from RSV-infected infants (63). The B-cell stimulating factors, a
331 proliferation-inducing ligand (APRIL) and B-cell-activating factor (BAFF), are also present,
332 co-localized to infected epithelial cells. APRIL and BAFF receptors are expressed on a subset
333 of perialveolar plasma cells. In infants ventilated due to severe RSV-bronchiolitis, pulmonary
334 BAFF levels are increased (67, 68). BAFF mRNA levels are elevated in bronchial brushings,
335 further suggesting airway epithelial cells are the source (67). RSV-IgA, -IgG, and -IgM are
336 present in the lungs of infants with RSV-LRTI together with higher quantities of BAFF and
337 APRIL, but lower levels of T-cell-dependent cytokines (IL-2, IL-4 and IL-10) (63, 69).

338 APRIL concentrations correlate positively with RSV-IgA and IgM levels and inversely with
339 hypoxia. Thus, the pulmonary antibody response to RSV seems to be predominantly driven
340 by T-cell-independent antibody production *via* B-cell stimulating factors (APRIL and BAFF),
341 likely derived from infected pulmonary epithelial cells. In adults with RSV infection, a longer
342 duration of virus shedding is associated with prolonged presence of circulating RSV-specific
343 plasma cells, suggesting that persistent antigenic stimulation in the lung drives B-cell
344 stimulation (70). Similarly, in elderly adults with nosocomial RSV infection, the highest IgG
345 and IgA responses post-infection are seen in patients with more severe illness, perhaps
346 correlating with viral load (71).

347

348 In comparison to healthy controls and rotavirus-infected infants, there is a high prevalence of
349 anti HEp-2 (antinuclear) antibodies in infants with RSV-LRTI (72). Decay of these auto-
350 antibodies was not studied (nor their presence pre-infection) but further investigation of
351 subsequent development of autoimmune disease seems warranted.

352

353 **Protective Effects of RSV-IgG and RSV-IgA**

354

355 In experimental infection of healthy adults, higher pre-inoculation nasal RSV-IgA and serum
356 anti-RSV neutralizing antibody titres are associated with protection from infection and
357 reduced viral replication (73-77). RSV-specific nasal IgA, serum IgG and serum neutralizing
358 titres in adults are also all associated with protection against natural RSV re-infection (78,
359 79). In experimental infections, nasal RSV-IgA appears to confer more protection than serum
360 neutralizing antibody and the response may be more durable (74, 80). Similarly, in infants
361 and children with natural infection it is the development of the IgA response that appears to
362 correlate with recovery (81). During convalescence, circulating RSV-IgG but not -IgA

363 producing memory B-cells are present in contrast to natural influenza virus infection, where
364 influenza-IgA producing memory B-cells are detectable (74). Overall, a possible deficit in
365 IgA memory especially in children, when IgA appears to offer important protective
366 immunity, may contribute to recurrent infections (74, 81). In contrast, in elderly patients it is
367 a deficit in circulating serum neutralizing antibodies that appears to predispose to RSV
368 disease (79).

369

370 In symptomatic RSV-infected and non-infected children, circulating RSV-IgG is present at
371 the highest level in those <1 month old, likely derived from trans-placental maternal antibody
372 transfer (82). IgG levels decrease after three months until two years, when levels increase
373 again. The avidity of IgG is significantly lower amongst symptomatic RSV infected infants
374 aged 1-3 months than in age-matched controls. Similarly, in children aged ≥ 24 months, total
375 IgG affinity was lower for children with RSV-LRTI compared to milder URTI. Serum RSV-
376 IgG and nasal RSV-IgA neutralizing activity is quantitatively higher in children aged 9-21
377 months compared to those aged 4-8 months (the age group with a higher incidence of RSV
378 infection) (83). In infants there is a reverse correlation between pre-existing serum IgG and
379 the development of nasal IgA following infection, suggesting maternally derived IgG may
380 suppress the IgA response (84). These observations suggest that good IgG and IgA avidity for
381 RSV contributes to protection against both the development of symptomatic infection and
382 against more serious lung involvement. Following natural re-infection in adulthood, there is
383 an eightfold increase in serum neutralization titre but this is short-lived, with a fourfold drop
384 by one year in the majority of cases (85).

385

386 The serum neutralizing antibody response and nasal IgA and IgG response to the G
387 glycoprotein are RSV-group specific (86, 87). In contrast, antibodies to the F glycoprotein
388 are cross-reactive between RSV groups (88).

389

390 **Other Mechanisms of RSV-specific Antibody Activity**

391

392 Maximal cell-bound C3 is present during the convalescent phase and is associated with cell-
393 bound IgG and IgM (89). RSV antigen containing immune complexes are detectable in the
394 upper airways of infected infants from three days after the onset of illness, and detectable up
395 to 36 days after (90). The appearance of such immune complexes coincides with the failure to
396 detect RSV antigen in airway epithelial cells, possibly due to antibody-dependent cell-
397 mediated cytotoxicity (ADCC), which occurs in infants with primary RSV infection (91).
398 ADCC activity correlates with the titre of RSV-IgG in the upper airways and is greater during
399 re-infection than primary infection.

400

401 **Immunoglobulin E**

402

403 An IgE response is mounted against the RSV F and G glycoproteins and may play a
404 deleterious role (92). In infants with RSV-bronchiolitis there is a higher proportion of
405 circulating CD23+ B-cells (CD23 is the low-affinity IgE receptor on mature and activated B-
406 cells) than in non-RSV-bronchiolitis and non-infected infants (93). Nasopharyngeal RSV-
407 IgE, histamine and leukotriene C4 levels are inter-related and associated with bronchiolitis
408 (where peak levels correlate with hypoxia), compared to other manifestations of infection
409 (URTI or pneumonia) (36, 94). In children with RSV-bronchiolitis or pneumonia, higher

410 serum IgE at admission has been associated with prolonged fever and worse symptoms and
411 IgE titres and eosinophil counts with the development of wheeze during RSV-LRTI (95-97).

412

413 **Th1 AND Th2 RESPONSES TO RSV INFECTION**

414

415 **Th1 Responses**

416

417 Th1 responses are characterised by production of IFN- γ , IL-1, IL-2, IL-12, IL-18, TNF- α . IL-
418 12 induces IFN- γ production and favours Th1 cell differentiation. The Th1 response is pro-
419 inflammatory and important in the generation of cell-mediated immunity required for the
420 control of intracellular pathogens. Therefore, it is an inherently appropriate response to viral
421 infection.

422

423 **Systemic**

424

425 Markers of the Th1 response (IFN- γ , soluble tumor necrosis factor receptor II, soluble
426 interleukin-2 receptor [sCD25]) are elevated in the circulation during RSV-LRTI and
427 systemic IFN- γ exerts a protective effect (97-100). Children ≤ 6 months with RSV-
428 bronchiolitis have a reduced IFN- γ response, possibly contributing to the increased incidence
429 of RSV disease in the younger age group (101). In infants with RSV-LRTI, systemic IFN- γ
430 concentrations are lower in those with severe disease (48, 98). Infants with RSV-bronchiolitis
431 requiring ventilation have lower IFN- γ concentrations compared to those with milder disease
432 and undetectable circulating IFN- γ positively correlates with the need for ventilation (102).
433 Low IFN- γ :IL-10 ratios are associated with hypoxia and wheeze (99). During the acute phase
434 of RSV-LRTI, peripheral blood mononuclear cell IFN- γ mRNA expression is lower in

435 hypoxic patients(47). Furthermore, circulating IL-12 levels are lower in severe RSV-LRTI
436 compared to mild infections or controls (48, 98).

437

438 **Respiratory tract**

439

440 IFN- γ levels are also elevated in the nasal mucosa (37, 103-106) and in the lung (20, 106).

441 The respiratory tract IFN- γ response exerts a protective effect, with lower IFN- γ production

442 associated with increased severity scores, hypoxia and need for ventilation (106-110). In

443 RSV-LRTI, the nasopharyngeal IFN- γ :IL-10 ratio increases from presentation to discharge,

444 in parallel with clinical recovery, strengthening the association of IFN- γ with protection (41).

445

446 Other Th1-associated cytokines are also elevated in the nasal mucosa (IL-1, IL-2, IL-12, IL-

447 18, TNF- α) (37, 111, 112) and in the lungs (IL-1, IL-2, TNF- α) (20, 113). TNF- α levels are

448 highest during the acute phase of infection then decline during recovery (37, 105, 113-115).

449 Raised IL-6 mRNA and protein have been observed in BAL and nasopharyngeal fluid from

450 infants with severe RSV infection and a high ratio of IL-6:TNF- α is associated with reduced

451 disease severity (113, 116). In children with only URTI there is reduced nasal production of

452 anti-inflammatory IL-10 and this is inversely related to TNF- α production (117). It has been

453 suggested that a reduction in IL-10 production facilitates a robust TNF- α response, limiting

454 the infection to the upper airway.

455

456 Increased nasal concentrations of IL-1 α are associated with the need for ventilation in

457 children with RSV-LRTI (118, 119). There is also an increase in nasal IL-18 concentrations

458 and the number of IL-18 positive cells in children with RSV-bronchiolitis compared to URTI

459 (117). In bronchiolitis, nasal IL-18 production is associated with non-hypoxic infection,
460 consistent with its role in stimulating IFN- γ production (117, 120).

461

462 **Th2 Responses**

463

464 The Th2 response, characterised by IL-4, IL-5, IL-6, IL-9, IL-10, and IL-13 production, is
465 involved in the generation of antibody (in particular IgE) and eosinophil responses. This
466 response is associated with atopy and also protection against parasitic infections, and may
467 counteract and limit Th1-mediated inflammation.

468

469 **Systemic**

470

471 Systemic IL-4, IL-6, IL-10 and IL-13 levels are elevated in children with RSV-LRTI (37, 97,
472 101, 121-123). Systemic IL-6 and IL-10 levels correlate with disease severity in RSV-LRTI
473 including the requirement of supplemental oxygen (99, 122, 124, 125). In comparison to
474 influenza A virus infection, the systemic concentrations of IL-4, IL-5 and CCL-5 are higher
475 during RSV-LRTI (126).

476

477 **Respiratory tract**

478

479 Elevated concentrations of IL-4, IL-6, IL-9, IL-10 and IL-13 have been found in nasal washes
480 (37, 109, 127-130) and in the lung (20, 131-133) in children with RSV-LRTI. Respiratory
481 tract IL-10 production appears to exert a protective effect in RSV-LRTI, with concentrations
482 inversely correlating with the duration of required supplemental oxygen and symptom
483 severity (108, 128, 132). In very young infants (<3 months) this effect appears to be reversed,

484 with IL-10 concentrations correlating with severity (125), and nasal IL-10:CCL-5 ratios are
485 only inversely correlated with duration of mechanical ventilation when infants older than 5
486 months are considered (134).

487

488 IL-6 levels are strongly elevated in BAL from infants ventilated due to severe RSV-
489 bronchiolitis (20, 113) and are elevated to a lesser extent in the respiratory tract in infants
490 with milder infection (37, 117, 132). There are inconsistent data associating the nasal IL-6
491 response with severity. In infants with RSV-bronchiolitis, nasal IL-6 concentrations are
492 higher in those requiring ventilation and correlate with the degree of hypoxia (111, 118, 135,
493 136). Similarly, adults hospitalised due to RSV infection have higher nasal IL-6
494 concentrations than those not requiring hospitalisation (137). In experimentally infected
495 adults, nasal IL-6 concentration is positively correlated with viral load and symptom severity
496 (138). In contrast, in a cohort of children with RSV-bronchiolitis, higher nasal IL-6
497 concentrations are associated with a shorter requirement for supplemental oxygen (108).

498

499 **Th1/Th2 Balance**

500

501 A high nasal and systemic IL-4:IFN- γ ratio, a marker of Th2-bias, is associated with severe
502 (hypoxic) RSV-bronchiolitis (103, 123, 139). Independent of the ratio, IFN- γ concentrations
503 are lower and IL-4 concentrations higher in infants with severe bronchiolitis. Also in severe
504 RSV-bronchiolitis, circulating CXCR3+ T-cell (Th1) counts are significantly reduced during
505 acute infection compared to convalescence, but CCR4+ T-cells (Th2) are not (140). An
506 excessive Th2 or deficient Th1 response may be associated with the development of
507 bronchiolitis compared to milder URTI with RSV: the nasal IL-4:IFN- γ and IL-10:IL-12 ratio
508 is higher in infants with bronchiolitis (141). In a cohort of children with hypoxic RSV-LRTI,

509 comparison of systemic and respiratory tract cytokines showed a predominance of Th2
510 cytokines in nasopharyngeal fluid (higher pulmonary:systemic ratios of IL-4:IL-12, IL-10:IL-
511 2, IL-10:IFN- γ , IL-6:IFN- γ , IL-6:IL-2) (37). Overall, these data suggest a Th2-biased
512 response may be associated with more severe manifestations of RSV infection, consistent
513 with it being either an inappropriate response to acute viral infection or one that is required to
514 limit a potentially detrimental Th-1 response in severe RSV infection.

515

516 However, such findings are not entirely consistent throughout the literature and there are
517 reports of elevated IFN- γ :IL-4 ratios in children with more severe manifestations of RSV
518 infection (bronchiolitis, pneumonia, any LRTI) compared to controls albeit not stratified by
519 severity of infection within the groups (98, 142, 143). A heterogeneous polarization of
520 pulmonary Th responses in infants with severe RSV-bronchiolitis has also been described,
521 with 25% of infants only expressing IFN- γ and 50% only expressing IL-4; although again
522 overall supporting a Th2-bias in severe disease (144). In comparison to infection with human
523 metapneumovirus (hMPV), infants with RSV infection have similar nasopharyngeal IFN- γ
524 levels but higher IL-4 consistent with a Th2-biased response that is distinct from the response
525 to hMPV (34).

526

527 There are lower counts of *in vivo* RSV-specific T-cells in the elderly and in *in vitro*
528 experiments both isolated T-cells and peripheral blood mononuclear cells from healthy
529 elderly patients produce less IFN- γ when stimulated with RSV F protein or RSV respectively
530 (58, 59, 145). Although this finding has not been confirmed by *in vivo* experiments, it does
531 hint at a defective Th1 response in the elderly which may contribute to the higher incidence
532 of severe RSV disease in this population.

533

534 **CHEMOKINES, CYTOKINES AND OTHER IMMUNE MOLECULES EXPRESSED**
535 **DURING RSV INFECTION**

536

537 **Overview**

538

539 A comprehensive list of immune and lung structural proteins involved in the response to RSV
540 infection is presented in Table 1. Key molecules are discussed here.

541

542 **Interleukin-8**

543

544 Systemic and respiratory tract production of IL-8, a neutrophil chemoattractant, is increased
545 during RSV-LRTI and circulating concentrations normalise during convalescence (37, 102,
546 105, 111, 121, 122, 133, 146-149). Higher circulating and respiratory tract IL-8 levels are
547 associated with hypoxia and need for ventilation in infants (18, 37, 102, 135, 136, 147). IL-8
548 production in the nasal mucosa is also higher during LRTI in children caused by RSV
549 compared to rhinovirus (150). When comparing term and pre-term infants with RSV-LRTI of
550 similar severity, nasal IL-8 and leucocyte counts are higher in the term infants suggesting a
551 more vigorous inflammatory response (151).

552

553 **Interleukin-17A**

554

555 Compared to non-RSV-LRTI, circulating Th17 cell counts and IL-17 levels are higher in
556 infants with RSV-bronchiolitis (51). In these infants, nasal concentrations of pro-
557 inflammatory IL-17A are higher in patients requiring ventilation (118). When ventilated,
558 tracheal IL-17A concentrations positively correlate with neutrophil counts (152). In infants

559 with mild bronchiolitis, although nasal IL-17A levels are lower initially, they increase during
560 the convalescent phase, hinting at a dual role for IL-17A: deleterious in the acute phase,
561 possibly related to neutrophil recruitment, but potentially involved in the resolution of milder
562 infections (118).

563

564 **CC Chemokines**

565

566 CCL-5 (RANTES), eotaxin and CCL-3 (MIP-1 α) production in the nasal mucosa and lung
567 (in BAL) is increased during RSV-LRTI and bronchiolitis (32, 37, 38, 129, 132, 133, 143,
568 149, 153-155). However, nasal and systemic CCL-5 concentrations are lower in patients
569 requiring ventilation (18, 132) inversely correlating with the duration of ventilation and
570 required supplemental oxygen. In RSV-LRTI, the duration of required supplemental oxygen
571 is positively associated with nasal CCL-3 and inversely with CCL-4 (MIP-1 β) (107, 108).
572 CCL-3 and eotaxin concentrations in the nasal mucosa are higher in hypoxic bronchiolitis
573 compared to URTI or non-hypoxic bronchiolitis (103, 155, 156). Nasal CCL-3 concentrations
574 are higher in RSV-infected adults who require hospitalisation, compared to those who do not,
575 and are associated with symptom severity in experimentally infected adults (137, 138).
576 However, one study of RSV-LRTI found that increased nasal CCL-2 (MCP-1), CCL-3 and
577 CCL-4 are all positively associated with severity (119).

578

579 **Pattern Recognition Receptors (PRR)**

580

581 PRRs are involved in innate immune recognition of viral pathogens in order to stimulate
582 interferon and cytokine responses. In comparison to healthy controls or infants with
583 rhinovirus or bocavirus infection, in infants with RSV-bronchiolitis there is increased

584 pulmonary expression of TLR-7, TLR-8, RIG-1 and MDA-5 (157). RIG-1 mRNA in the
585 lungs correlated with RSV viral load (157). Furthermore, an individual's TLR4 genotype
586 influences the severity of RSV-bronchiolitis and this is significantly influenced by
587 environmental lipopolysaccharide exposure (139).

588

589 **Innate Interferons**

590

591 IFN- α is produced systemically and in the respiratory tract in response to RSV infection
592 (158). Nasopharyngeal IFN- α titres peak on day 1 of illness and remain elevated for ~6 days,
593 then decrease in parallel with nasopharyngeal RSV antigen levels (158). In peripheral blood,
594 IFN- α levels peak by day 2. Infants aged less than 3 months produce the lowest levels of
595 IFN- α in both the nasopharynx and peripheral blood (158). RSV may be a comparatively
596 weak inducer of type I IFN since nasopharyngeal IFN- α levels are higher in infants with
597 influenza virus, adenovirus and parainfluenza virus infection (158).

598

599 Type III interferons (IFN- λ) are produced in response to viral infection and have type I IFN-
600 like activities. Their receptor complex is primarily expressed on epithelial cells and IFN- λ
601 responsiveness is greatest in organs with high epithelial content such as the lungs. There is a
602 IFN- λ response to RSV-bronchiolitis, with higher nasal levels of IFN- λ 1-3 seen compared to
603 rhinovirus infection (159, 160). IFN- λ mRNA levels correlate with IFN-stimulated gene
604 expression (*MxA* and *ISG56*) (159). Despite their association with antiviral gene expression,
605 higher nasal IFN- λ -1 levels are associated with increased disease severity (159).

606

607 Immunostimulatory defective viral genomes (iDVGs) have been detected in the nasal fluid of
608 around half of RSV-infected children in one study (161). These RSV genomes have large

609 deletions rendering them unable to replicate without the presence of helper virus. The
610 presence of iDVGs correlates with mRNA levels of *IFNA4* and the ISGs *IFIT1* and *RSAD2*,
611 suggesting they are sufficient to stimulate an innate interferon response (161).

612

613 **microRNA**

614

615 Viral infection (especially with RNA viruses) can subvert cellular microRNA expression
616 potentially to the benefit of the virus. A distinct microRNA expression profile is detectable in
617 the nasal mucosa of RSV-infected infants compared to non-infected controls (downregulation
618 of miR-34b, miR-34c, miR-125b, miR-29c, miR-125a, miR-429 and miR-27b; upregulation of
619 miR-155, miR-31, miR-203a, miR-16 and let-7d) (162). miR-125a and miR-429 are
620 downregulated in mild but not severe infection; the former has roles in NF-kappa B signaling
621 and macrophage function (162). miR-26b (thought to target TLR4 based on miRNA target
622 prediction software) has been studied in PBMCs from children with RSV-bronchiolitis where
623 it is up-regulated, negatively correlating with TLR4 expression (163).

624

625 **GLOBAL HOST TRANSCRIPTIONAL RESPONSE TO RSV INFECTION**

626

627 Genes and pathways associated with neutrophil function, interferon signalling (including
628 *STAT1*, *STAT2*, *IFITM1*, *OAS2*, *MX1*, *IFI27*, *IFI35* and *IFIT3*), interferon-inducible proteins
629 (including *IFI44*, *EIF2AK2*, *IFI44L*, *IFI6*, *OAS3* and *GIP2*), dendritic cell maturation and
630 inflammation are up-regulated in the circulation of children with RSV infection (164-166).
631 Genes and pathways associated with NK cell, B-cell and T-cell responses, cytotoxic
632 lymphocyte-mediated apoptosis of target cells, HLA class I and II and antigen presentation
633 are under-expressed (164-166). Under-expression is greater in infants <6 months compared to

634 those aged 6-24 months (164) and may reflect either low gene expression or migration of
635 peripheral blood immune cells to the infected tissues. In severe disease there is greater up-
636 regulation of neutrophil and inflammatory gene expression and greater suppression of T-cell,
637 NK cell and plasma cell associated-genes (164). In comparison, this dysregulation of genes
638 relating to neutrophil, B-cell and T-cell function is not seen in children with rhinovirus or
639 influenza virus infection (164).

640

641 A different transcriptional response is seen in the upper airways of RSV-infected children. In
642 infants requiring supplemental oxygen or mechanical ventilation, *ubiquitin D*, *tetraspanin*
643 *δ*, *mucin 13* and *β-microseminoprotein* are up-regulated and *chemokine ligand 7* is down-
644 regulated compared to milder RSV infection (167).

645

646 **RELATIONSHIP BETWEEN MOLECULAR AND CELLULAR IMMUNE** 647 **RESPONSES TO RSV AND PATHOPHYSIOLOGY**

648

649 Molecular and cellular events during RSV infection are reflected in changes in host
650 physiology observed during the course of disease (Figure 1). The initial development of
651 cough, wheezing and tachypnoea, usually peaking on days 4-5, develops in parallel to the
652 maximal neutrophil response and viral load (10). This is followed by a convalescent period
653 with a CD8⁺ T-cell predominant response involved in viral clearance which coincides with
654 the reduction in the above respiratory symptoms over a period of 2-3 weeks.

655

656 Many of the different cytokines, chemokines and other immune molecules that are involved
657 in the immune response to RSV infection have been associated with protective or deleterious
658 effects, as listed in Table 1, depending on the perceived severity of disease in the studied

659 patients. This is usually based on the need for ICU admission, endotracheal intubation and
660 mechanical ventilation, but also on composite scores of clinical parameters including
661 respiratory rate, oxygen saturations, the need for supplemental oxygen or the need for
662 hospitalisation.

663

664 We know that pre-existing differences in immune status may modulate molecular and cellular
665 responses during RSV infection. Younger infants have more pronounced lymphopenia and
666 reduced IFN- γ responses possibly reflecting the immunological immaturity of early life (42,
667 101). Term infants seem to have a stronger inflammatory response with higher leucocyte
668 counts and IL-8 levels compared to preterm infants (151). On the other hand, preterm babies
669 may have an inadequate antiviral response with reduced pulmonary pDC counts (20). These
670 observations may provide an explanation for the increased frequency of severe RSV disease
671 in preterm and younger term born infants.

672

673 Furthermore, early life microbiome changes in the gut and respiratory tract may influence the
674 host immune responses during RSV disease (168), similar to the distinct patterns of
675 nasopharyngeal microbiota development that have been reported in young infants with cystic
676 fibrosis (169). Certainly, associations between the respiratory and gut microbiome, host
677 transcriptional immune responses, RSV load and clinical status are now evident and require
678 further detailed investigation (170).

679

680 **RSV INFECTION AND SUBSEQUENT RESPIRATORY HEALTH**

681

682 RSV-bronchiolitis during early life has been associated with an increase in susceptibility to
683 subsequent episodic wheeze, physician diagnosed asthma and decreased FEV₁ and FVC
684 measurements on pulmonary function testing (171). Evidence for a causal relationship comes
685 from an intervention trial in premature infants (gestational age 33-35 weeks) who received
686 either palivizumab, a humanized monoclonal anti-RSV IgG used in the prevention of severe
687 RSV disease, or placebo during RSV season (172). Palivizumab treatment almost halved (-
688 46.4%) the proportion of infants with subsequent recurrent wheeze compared to placebo.
689 Possible molecular and cellular explanations for such a relationship have been described.
690 There is little human data on potential immune mechanisms for the long term effects of RSV-
691 bronchiolitis, but levels of the cytokines IL-3 and IL-12p40 during RSV disease have been
692 found to correlate with subsequent development of recurrent wheeze (133). Furthermore,
693 elevation of VEGF, G-CSF, and IL-10 persists after the RSV episode, all mediators that have
694 been related to asthma and post-virus induced wheeze (173). Higher proportions of nasal
695 pDCs may reflect a heightened antiviral response in the respiratory tract, potentially due to
696 higher viral load, leading to the development of recurrent wheeze and asthma (174). IL-33,
697 although not reported to be associated with severity of disease, has also been implicated in a
698 Th2-biased response to RSV and may relate to RSV-mediated asthma in later life (130).

699

700 **KEY DIFFERENCES IN THE IMMUNE RESPONSE TO RSV BETWEEN ANIMAL** 701 **MODELS AND HUMANS**

702

703 Contemporary data from animal models of RSV infection have been comprehensively
704 reviewed by Borchers and colleagues recently (175). Although similarities are evident the
705 critical fact remains that human RSV has no animal reservoir and has evolved to infect
706 humans as its natural host, not the commonly used rodent models, which require infection

707 doses far in excess of those needed for human RSV infection. Neutrophilic inflammation
708 contributes significantly to pathogenesis in humans (where neutrophils can constitute up to
709 85% of BAL cell counts) but appears to have a less dominant role in mice (15-20% of cells)
710 (67). In humans the contribution of Th1 and Th2 immune responses is variable and related to
711 pathogenesis, whereas in mice there is generally a robust and reliable Th1 (IFN- γ) response
712 (175). The evidence for an important contribution of eosinophils in humans has been
713 reviewed earlier, but there is no evidence that these cells have a major role in the
714 pathogenesis of disease in mice (175, 176).

715

716 **CONCLUSION**

717

718 By synthesizing the results of a systematic literature review of data exclusively from infected
719 humans, we propose the following model to describe our current understanding of the
720 immune response to RSV infection in humans (Figure 2).

721

722 Large quantities of pro-inflammatory cytokines are produced in the respiratory tract, with an
723 initial strong activated pulmonary and systemic neutrophil response which correlates with
724 disease severity and is mediated by the neutrophil chemoattractant IL-8. Eosinophil
725 degranulation occurs in the lungs during RSV-bronchiolitis and there may also be a role for
726 CCL-5-mediated eosinophil recruitment to the lungs during recovery from RSV-LRTI.
727 Dendritic cells migrate into the lungs where they are the primary antigen presenting cell.
728 Circulating cDCs exhibit an activated phenotype, and pulmonary cDC counts correlate with
729 pro-inflammatory and T-cell derived cytokine concentrations suggesting they contribute to
730 the inflammatory response in a potentially deleterious manner. Alveolar macrophages have
731 an immune-regulatory and antigen presenting role.

732

733 Initially, there is a systemic CD4+ and CD8+ T-cell lymphopenia, without evidence for
734 pulmonary sequestration of T-cells. There is active T-cell apoptosis, upregulation of the T-
735 cell co-inhibitory molecule PD-1 and mTOR-mediated suppression of memory CD8+ T-cell
736 differentiation, suggesting T-cell interference is a key viral immune evasion strategy (Figure
737 1). Following the initial neutrophilic response there is a pulmonary CD8+ T-cell response
738 coinciding with clearance of RSV from the lungs. CD8+ T-cells are protective, likely
739 mediating viral clearance and therefore enabling resolution of infection. Humoral immunity
740 to RSV re-infection is incomplete but RSV-specific circulating IgG and secretory IgA are
741 protective against infection and possibly modify the severity of infection. T-cell-independent
742 B-cell antibody production via B-cell stimulating factors (BAFF and APRIL) derived from
743 airway epithelium seems to play a major role in protective antibody generation. On the other
744 hand, RSV-IgE production is associated with bronchiolitis, where it may have a deleterious
745 effect. There is strong evidence that IFN- γ (and related to this, IL-12 and IL-18 which
746 promote IFN- γ production/Th1 differentiation) has a protective role in RSV infection. In
747 contrast, a Th2-biased response may be associated with more severe disease manifestations.
748 Global host transcriptional profiling reveals up-regulation of innate inflammatory (e.g.
749 neutrophil related) genes and suppression of genes associated with the adaptive immune
750 response. This is exaggerated in severe disease and is specific to RSV infection. Other
751 cytokines (particularly IL-17A), chemokines (particularly CCL-5 and CCL-3) and local
752 innate immune factors (cathelicidins, IFN- λ , G-CSF, sICAM-1) have also been associated
753 with the course of disease. Elderly patients are at increased risk of severe RSV disease and
754 this susceptibility may relate to defects in circulating neutralizing antibody titres and RSV-
755 specific CD4+ and CD8+ T-cells.

756

757 Overall, neutrophilic pulmonary inflammation is incriminated as a damaging process and
758 protective effects of CD8⁺ T-cells and IFN- γ production are consistently reported. While
759 these processes may be important therapeutic targets to modulate the immunopathogenesis of
760 RSV infection, less well characterised immune processes, especially occurring in the lower
761 airways and lung, require further investigation.

762

763 **ACKNOWLEDGEMENTS**

764

765 We would like to thank Ronnie Grant and Patrick Lane for their assistance with the
766 illustrations used in Figures 1 and 2.

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1396 **Dr. Clark D. Russell**

1397

1398 Clark is a medical trainee in the Regional Infectious Diseases Unit in Edinburgh, Scotland
1399 and an honorary clinical fellow of the University of Edinburgh.

1400

1401 Clark's undergraduate medical training was in Edinburgh with an elective in Vancouver in
1402 infectious diseases and microbiology. He graduated from the University of Edinburgh with a
1403 BMedSci in Infectious Diseases in 2010 then MBChB with honours in 2013 and completed
1404 the MRCP (UK) diploma in 2016. He is interested in academic infection medicine and his
1405 research experience includes molecular diagnostics, bacterial pathogenesis, host genetics and
1406 descriptive clinical studies.

1407

1408 **Dr. Stefan Unger**

1409

1410 Dr. Stefan Unger is a clinical lecturer at the Department of Child Life and Health at the
1411 University of Edinburgh and a pediatrician specialised in respiratory and sleep medicine.

1412

1413 Originally from Germany Dr. Unger qualified in medicine at the University of Edinburgh and
1414 trained in paediatrics in Scotland. Dr. Unger conducted an RCT of nutritional supplements in
1415 acutely unwell children in rural West Africa during his Medical Research Council (MRC)
1416 Career Development Fellowship studying the effect on infectious disease presentations with a
1417 focus on respiratory disease. After completion of his PhD with the London School of
1418 Hygiene and Tropical Medicine (LSHTM) he specialised in pediatric respiratory medicine
1419 with an interest in improving clinical management of bronchiolitis. As a clinical lecturer at
1420 the University of Edinburgh his research focuses on the relationship between under-nutrition
1421 and immune modulation in lower respiratory tract infections in infancy and subsequent
1422 respiratory health in high- and low-income settings.

1423

1424 **Mr. Marc Walton**

1425

1426 Marc Walton is an undergraduate student at the University of Edinburgh who recently
1427 completed the second year of his medical degree (MBChB). He is currently undertaking a
1428 one year 'intercalated' degree in neuroscience (Honours) after which he will return to clinical
1429 training to complete his medical degree. Marc started working on this systematic review
1430 whilst undertaking a period of laboratory work in Professor Jürgen Schwarze's group. His

1431 main research interests lie in neuroscience and paediatrics and he is currently involved in
1432 projects relating to the use of outcome measures in intellectual disability; the design of neural
1433 implants; and the neuropathology of Alzheimer's disease.

1434

1435 **Prof. Jürgen Schwarze**

1436

1437 Dr Jürgen Schwarze is the Edward Clark Chair of Child Life and Health at the University of
1438 Edinburgh. He is an internationally recognised expert in immune mechanisms of RSV-
1439 bronchiolitis and associated airway allergy and a paediatrician specialised in allergy and
1440 respiratory medicine.

1441

1442 After qualifying in medicine from Freiburg University, Germany, and training in paediatrics,
1443 Dr Schwarze started to work on immune responses in RSV-bronchiolitis and allergic airway
1444 disease as a post-doctoral fellow at National Jewish Medical and Research Centre in Denver,
1445 Colorado. He then continued his research in this field at Ruhr-University Bochum (Germany)
1446 and as a Wellcome Trust Senior Clinical Fellow at Imperial College London. In 2007 he
1447 moved to the MRC-Centre for Inflammation Research at the University of Edinburgh. Dr
1448 Schwarze's research focuses on the interface between innate (lung epithelial cells, dendritic
1449 cells) and adaptive immunity in RSV-infection and subsequent reactive airway disease.

1450

1451 **FIGURE LEGENDS**

1452

1453 **Figure 1: Mechanisms of RSV T-cell interference as a potential immune evasion**
1454 **strategy**

1455 RSV infection is associated with an initial systemic T-cell lymphopenia that is quantitatively
1456 associated with disease severity. RSV may interfere with T-cell responses by (A) inducing
1457 apoptosis (CD4+ and CD8+ T-cells), (B) inducing increased expression of the programmed
1458 cell death 1 (PD-1) protein which is inhibitory to activated T-cells (CD8+ T-cells) and (C)
1459 promoting activation of the mammalian target of rapamycin (mTOR) pathway, thus
1460 preventing memory CD8+ T-cell formation.

1461

1462 **Figure 2: Summary of the human immune response to RSV and potential novel**
1463 **therapeutic targets**

1464 The role of major cell types (neutrophils, dendritic cells, macrophages, CD8+ T-cells and B-
1465 cells) is summarised, in addition to key antibody, cytokine, chemokine and other immune
1466 molecule responses. Major transcriptional changes (in peripheral blood) of immune-related
1467 pathways are shown. The deleterious role of neutrophilic inflammation and protective role of
1468 CD8+ T-cell mediated viral clearance is emphasised. Finally, we highlight areas where novel
1469 therapeutic interventions could potentially modulate the immune response in favour of the
1470 host.

1471 ↑ indicates immune cell recruitment to the respiratory tract

1472 *associated with increased disease severity

1473

1474

1475

Table 1: Chemokines, cytokines and other immune molecules involved in the human immune response to RSV infection

Immune molecule	Respiratory tract ^a		Systemic	Additional comments	Ref ^b
	Nasal mucosa	Lung			
Th1 cytokines					
IFN- γ	+	+	+	Protective	
IL-12	+	+	+	Protective	
IL-1 α & IL-1 β	+		+	Deleterious	
IL-2	+	+	+	No association reported with severity	
TNF- α	+	+	+	Deleterious	
IL-18	+			Protective	
sCD25			+	Deleterious	
Th2 cytokines					
IL-4	+	+	+	Deleterious	
IL-6	+	+	+	Variable association with severity: see text	
IL-9	+	+		No association reported with severity	
IL-10	+	+	+	Variable association with severity: see text	
IL-13	+	+	+	No association reported with severity	
Other cytokines					
IL-8	+	+	+	Deleterious: neutrophil chemoattractant	
IL-17A	+	+		Variable association with severity: see text	
IL-33	+			No association reported with severity	130
Chemokines					
CCL-2 (MCP-1)	+	+		Deleterious	
CCL-3 (MIP-1 α)	+	+		Deleterious	
CCL-4 (MIP-1 β)	+			Variable association with severity: see text	
CCL-5 (RANTES)	+	+		Protective	
CXCL-10 (IP-10)	+		+	Deleterious	
Eotaxin	+	+		Deleterious	
Other					
IFN- λ	+			Deleterious despite stimulating ISG expression	159, 160
IFN- α	+		+	No association reported with severity	158
G-CSF	+		+	Circulating G-CSF levels are higher in infants with RSV-LRTI requiring ventilation	18, 105
Soluble ICAM-1	+		+	sICAM-1 levels in nasal fluid positively correlate with severity	40, 124, 126
Substance P	+	+		Lower concentrations associated with increased severity	106
MBL			-	No association reported with severity	44
Cathelicidin LL-37	+			Protective: in human experimental infection, higher constitutive nasal cathelicidin LL-37 is associated with reduced development of infection	177
Olfactomedin 4			+	Greater PBMC <i>Olfactomedin 4</i> gene expression was associated with need for ventilation in RSV-LRTI	19
Surfactant A, B, D		-	+	The pulmonary level of surfactant A and measurable surfactant activity increases during recovery.	178-181
MMP-9, MMP-3, PGP		+		Elevated pulmonary levels in ventilated infants are associated with hypoxia and acute lung injury	182-184
KL-6			+	Circulating KL-6 is greater in infants with RSV-LRTI requiring ventilation	185
sTRAIL		+		No association reported with severity	186

Key. +: increased production; -: reduced production

^a'Nasal mucosa' refers to measurements made in nasal fluid or nasopharyngeal aspirate; 'Lung' refers to measurements made in bronchoalveolar lavage or tracheal aspirate.

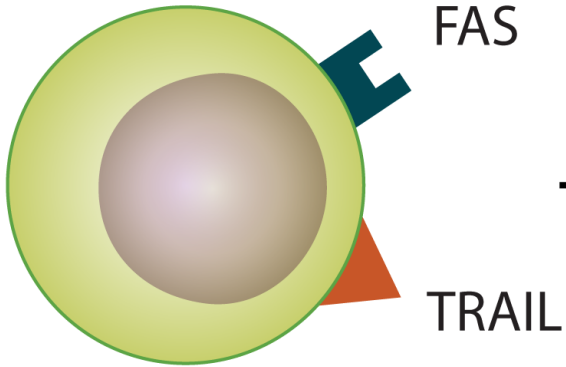
^bReferences are provided for molecules not discussed in detail in the main text.

Abbreviations used in table. CCL: C-C motif chemokine ligand; CXCL: C-X-C motif chemokine ligand; G-CSF: granulocyte colony stimulating factor; ICAM: intercellular adhesion molecule; IFN: interferon; IL: interleukin; IP-10: IFN- γ inducible protein-10; ISG: interferon stimulated gene; MBL: mannose binding lectin; MCP-1: monocyte chemoattractant protein-1; MIP: macrophage inflammatory protein; MMP: matrix metalloproteinase; PGP: proline-glycine-proline (the product of MMP hydrolysis of collagen); RANTES: regulated on activation, normal T expressed and secreted; sTRAIL: soluble TNF-related apoptosis-inducing ligand; TIMP: tissue inhibitor of metalloproteinase; TNF: tumour necrosis factor.

Possible RSV immune evasion strategies

A

CD8⁺ and
CD4⁺ T-cells



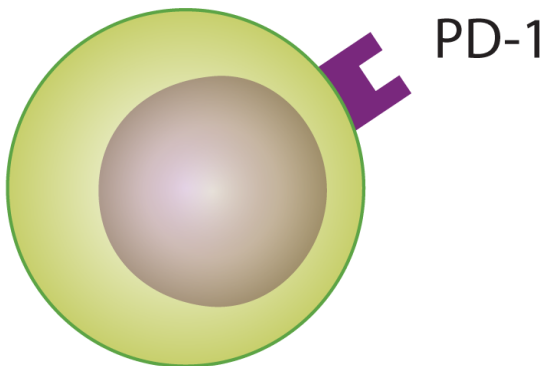
Apoptosis



T-cell lymphopenia

B

CD8⁺ T-cell



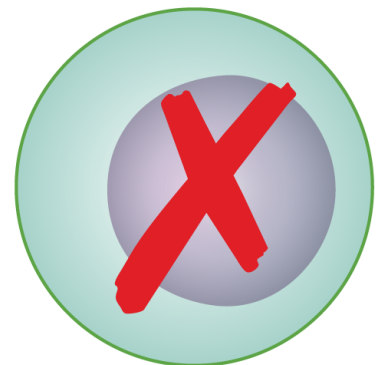
Inhibition of
activated T-cells

C

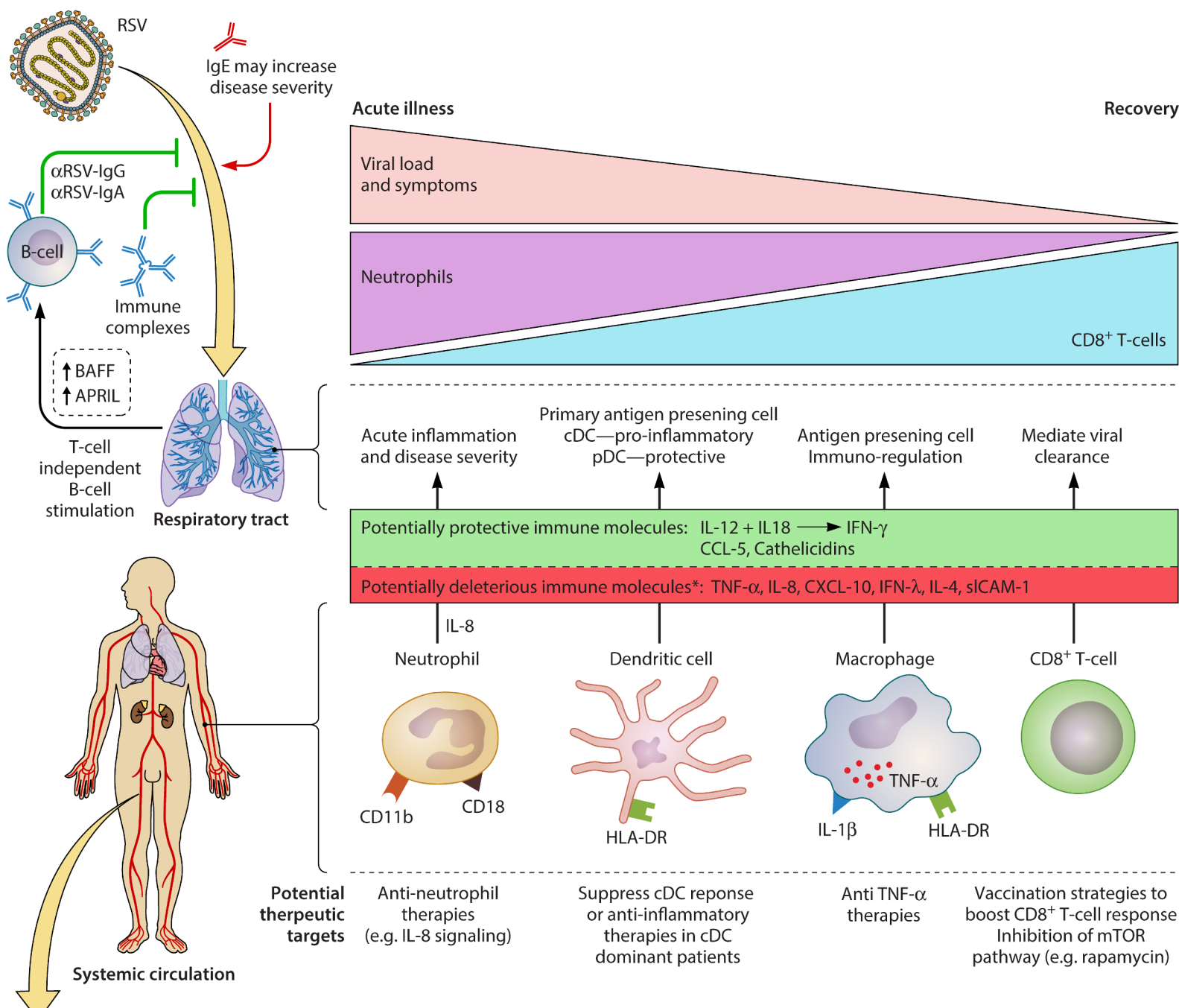
↑ Activation of
mTOR pathway



↓ Differentiation of
memory CD8⁺ T-cells



Memory CD8⁺ T-cell



Global transcriptional response (peripheral blood)

Regulation	Pathways
\uparrow	Neutrophil functioning Type 1 IFN signalling IFN-stimulated genes Dendritic cell maturation
\downarrow	NK cell functioning B-cell and T-cell responses HLA-I and HLA-II

Potential therapeutic targets:

- Anti-neutrophil therapies (e.g. IL-8 signaling)
- Suppress cDC response or anti-inflammatory therapies in cDC dominant patients
- Anti TNF- α therapies
- Vaccination strategies to boost $CD8^+$ T-cell response
Inhibition of mTOR pathway (e.g. rapamycin)