1	Photodynamic therapy and diagnosis: Principles and comparative aspects
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# 19 Abstract

20 Photodynamic therapy (PDT) is an evolving method of treating superficial tumours that is 21 non-invasive and carries minimal risk of toxicity. PDT combines tumour-selective photosensitiser 22 dyes, tissue oxygen and targeted illumination to generate cytotoxic reactive oxygen species (ROS) within the tumour. In addition to directly acting on tumour cells, PDT damages and restricts tumour 23 24 microvasculature, and causes a local inflammatory response that stimulates an immune response 25 against the tumour. Unlike surgery or radiotherapy the surrounding extracellular matrix is 26 unaffected by PDT, thus tissue healing is excellent and PDT seldom scars. This, combined with the 27 ease of light application, has made PDT a popular treatment for cancers and pre-cancers in humans. 28 Moreover, because photosensitiser dyes are fluorescent and selectively accumulate in tumour tissues, they can additionally be used to visualise and discriminate tumour from normal tissues. 29 30 thereby improving the accuracy of tumour surgery.

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In veterinary practice, PDT has been used successfully for treatment of superficial squamous cell carcinoma of the feline nasal planum; urinary tract, bladder and prostate neoplasia in dogs; and for equine sarcoids. The purpose of this article is to make a comparative review of the current literature on PDT in human and veterinary medicine, to provide a basis for future development of PDT in veterinary medicine.

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#### 38 Key words

- 39
- 40 Photodynamic therapy; photodiagnosis, cancer, comparative and veterinary.
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### 42 Introduction

Photodynamic therapy (PDT) involves administration of a photosensitiser drug, or a prodrug, which selectively accumulates in target cells, followed by local illumination of the lesion with
visible light (Luksiene, 2003; Wachowska et al., 2011). It is a minimally invasive therapeutic
technique used in the management of various cancerous and pre-malignant diseases. The
photosensitiser can also be visualised in tumour cells using an appropriate set of imaging filters to
provide a means of tumour detection (Hefti et al., 2010, Mowatt et al., 2011, , Nguyen and Tsien
2013, Allison 2016).

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In addition to cancer treatment, PDT has been used for the treatment of microbial infections (Kharkwal et al., 2011, Sharma et al., 2012, Wardlaw et al., 2012), including veterinary applications in dogs (Fabris et al., 2014) and sheep (Sellera et al., 2016). PDT has also been used for lighttriggered uptake of pharmaceuticals that would otherwise become entrapped and destroyed within cellular endosomes (photochemical internalisation, PCI; reviewed by Selbo et al., 2015 and Madsen 2016). However, the focus of this review will be on the uses of PDT in cancer treatment and diagnosis.

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59 The origins of PDT can be traced back to ancient Egypt, where photosensitizing plant 60 pigment extracts were applied to the skin and exposed to sunlight, as a treatment for psoriasis 61 (Daniell and Hill 1991). The use of PDT for treatment of various human skin cancers was first 62 investigated in the 1970's by Dougherty et al (1978). Dougherty's use of a haematoporphyrin derivative was based on pioneering work of Policard et al., (1924) who demonstrated that 63 64 porphyrins were preferentially distributed into malignant rather than normal tissues. The technique 65 was slow to gain acceptance because the 'first generation' photodynamic agents were slow to clear from normal cells with the result that treated human patients had to remain out of bright light 66

(e.g.sunlight) for several weeks to avoid severe skin reactions. However, the potential for the
technique in treating locally advanced carcinomas of the head and neck (Wile et al., 1984), bladder
(Misaki et al., 1983), oesophagus and bronchus (Cortese and Kinsey 1984) outweighed this caveat
and stimulated further research.

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The availability of haematoporphyrin derivatives with faster tissue clearance times 72 stimulated more interest in PDT and numerous human clinical trials have now been published 73 74 showing encouraging results with photosensitizing dyes administered topically or systemically 75 (orally or intravenously) or instilled into hollow organs (e.g. bladder). A limited number of veterinary studies have been published, also showing promise. A previous review of PDT in 76 77 veterinary medicine was published in 2013 (Buchholz and Walt, 2013), since then further advances 78 have been made. The purpose of this review is to describe the basic principles of PDT and discuss 79 the clinical application of PDT in humans and animals.

80

# 81 Fundamentals and mechanisms

There are three basic requirements for PDT; (1) a compound with photosensitising 82 83 properties (photosensitiser, PS), (2) a source of visible light and (3) oxygen. The photosensitizer is a 84 chemical / dye that selectively accumulates in malignant tissues and can be activated by visible 85 light. Energy from the light-excited PS is transferred to oxygen molecules (O<sub>2</sub>) to give reactive oxygen species (ROS), notably singlet oxygen (<sup>1</sup>O<sub>2</sub>) and superoxides, that damage biological 86 87 molecules, initiating a cascade of biochemical events culminating in damage and death of neoplastic 88 cells (Fig. 1) (Dougerthy et al., 1998, Juzeniene et al., 2007). Increasing tissue oxygenation can lead 89 to increased ROS formation during PDT and improved outcomes (Maier et al., 2000).

91 The mechanisms by which different photosensitisers localise selectively in malignant tissues 92 are complex and not fully understood. Physical factors, such as increased vascular permeability and 93 poor lymphatic drainage in tumours, coupled with an affinity for proliferating endothelium likely 94 contribute to their accumulation in tumours (Dougherty et al., 1998).

95

96 Three main processes by which ROS contribute to the destruction of tumours by PDT are 97 direct cellular damage, indirect vascular shutdown and activation of immune response against 98 tumour cells (Dougherty et al., 1998, Dolmans et al., 2003, Solban et al., 2006). Direct damage to 99 tumour cells can result in cell death by both programmed (apoptotic) pathways and non-100 programmed (necrotic) pathways (Oleinick et al., 2002; Igney and Krammer 2002, Allison and 101 Moghissi 2013a). Generally, when the light intensity is low, apoptotic death may be initiated 102 (Agarwal et al., 1991, Allison and Moghissi 2013b). At higher light intensities, tumour cells are 103 rapidly ablated by necrosis due to destruction of cellular and subcellular membranes. This also leads 104 to release of cytokines and lysosomal enzymes (Henderson and Fingar 1987) causing damage to 105 cells nearby, the bystander effect (Dahle et al., 1997, Allison and Moghissi, 2013a). Release of 106 inflammatory mediators from the treated region stimulates activation of leucocytes including 107 neutrophils and macrophages and significant tumour cell death occurs through these activated 108 immune cells (Coutier et al., 1999; Gollnick et al., 2003, Castano et al., 2006). This observation has 109 led to the development of combination therapies of PDT with immunotherapy, by including 110 immunoadjuvants against tumour-specific epitopes (Qiang et al., 2008, Kleinovink et al., 2015).

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PDT also mediates a vascular effect within tumours (McMahon et al., 1994, Abels, 2004).
Neovascular tumour endothelial cells may accumulate higher levels of PS than normal endothelium
(Debefve et al., 2011) and following PDT, microvascular collapse can be observed and can lead to
severe and persistent post-PDT tumour hypoxia (Star et al., 1986, Henderson et al., 1987, Chen et

al., 2003). PDT may also lead to vessel constriction via inhibition of the production or release ofnitric oxide by the endothelium (Gilissen et al., 1993).

118

119	An important clinical consideration is effective analgesia. In humans PDT produces a
120	sensation of stinging or burning during illumination, especially in sensitive areas such the face, and
121	scalp (Halldin et al., 2011, Chaves et al., 2012). Treatment of large skin areas generally produces
122	more pain than smaller areas (Grapenglesser et al., 2002, Hallidin et al., 2011, Chaves et al., 2012).
123	

# 124 **Photosensitizers for PDT**

125 Photosensitising (PS) agents are natural or synthetic chemicals that transfer light energy to neighbouring molecules, importantly to dissolved oxygen (Allison et al., 2004). Most of the 126 127 photosensitizers used in cancer therapy are based on a tetrapyrrole structure, similar to that of the 128 protoporphyrin contained in haemoglobin. In clinical practice, a successful PS agent is: nontoxic 129 until light activated, hydrophilic for easy systemic application, activated by a clinically useful light 130 wavelength, and reliably generates a photodynamic reaction (PDR). It also concentrates in tumours, 131 clears normal tissue quickly, and is eliminated from the patient relatively rapidly (Allison and 132 Moghissi 2013a).

133

The first-generation photosensitizer, haematoporphyrin derivative (HPD) was a mixture of various monomers, dimers, and polymers of haematoporphyrin (Allison and Moghissi 2013a). The commercially available product, porfimer sodium, marketed under the tradename Photofrin was experimentally used in healthy dogs (Tochner et al., 1991; Panjehpour et al., 1993) and a canine glioma model (Whelan et al., 1993). It was approved for treatment of early stage of human lung cancer in 1998 and for Barrett's esophagus in 2003. The clinical application of Photofrin has been limited by two factors: its absorption peak occurs at 630 nm, too short a wavelength to allow deep

penetration of light in tissue. Secondly, Photofrin results in cutaneous photosensitivity lasting up to 141 142 6 weeks (Zhu and Finlay, 2008).

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144 These limitations stimulated the development of a second generation of photosensitizers with improved efficiency of ROS generation, more rapid clearance, fewer side effects, and 145 146 absorption peaks at longer wavelengths (>630 nm red light) where the tissue penetration of light is 147 deeper. One such second-generation photosensitiser is 5-aminolevulinic acid (ALA), a naturally 148 occurring pro-photosensitiser and precursor for the biosynthesis of heme. For therapeutic purposes, 149 ALA is administered topically (Morton et al., 2008, 2013), orally (Muller and Wilson, 2006), or 150 intralesionally (Hage et al., 2007; Kim et al., 2012) and enters into all cells; although uptake is 151 potentiated by transporters of beta-amino acids and GABA (Rud et al., 2000), highly expressed on some cancer cells and neurons (Zhang et al., 2013). ALA is then metabolised to the red-fluorescent 152 photosensitiser protoporphyrin IX (PpIX, absorption 635 nm) and finally to non-fluorescent heme 153 (Ajioka et al., 2006, Allison and Moghissi 2013a). This final step relies on ferrochelatase to add 154  $Fe^{2+}$  to PpIX and this rate-limiting enzyme is often deficient in cancer cells (Kemmner et al., 2008). 155 156 Thus, in the presence of excess ALA, cancer cells that combine high ALA uptake with low PpIX 157 destruction will accumulate PpIX photosensitiser (Collaud et al., 2004). Clinical advantages of 158 ALA treatment include rapid clearance of PpIX from the tissue within 12 hours, resulting in short-159 lived cutaneous photosensitivity. In human patients ALA has been used for the treatment of T cell 160 lymphoma (Coors et al., 2004), basal cell carcinoma (Kim et al., 2012) squamous cell carcinoma (SCC) and other head and neck cancers (Grant, et al., 1993, Morton et al., 1996). In veterinary 161 162 medicine, ALA has been used to treat SCC in a cow (Hage et al., 2007) and in cats (Bexfield et al., 163 2008), sarcoids in horses (Gustafson et al., 2004, Golding et al., 2017) and transitional cell 164 carcinoma in dogs (Lucroy et al., 2003a,b). See Tables 1 and 2.

166	The hydrophilic nature of ALA limits its ability to deeply penetrate intact skin and thereby			
167	restricts the use of topically applied ALA-PDT to the treatment of superficial diseases, where the			
168	tissue structure is disorganised. To overcome this limitation, ALA esters that are less hydrophilic			
169	than the parental compound have been developed. The methyl ester of ALA, methyl-			
170	aminolevulinate (MAL, Metvix, or Metvixia), was approved by the US Food and Drug			
171	Administration for PDT treatment of actinic keratosis in 2004 and has shown good results in			
172	treatment of equine sarcoids (Kemp-Symonds 2012, Golding et al., 2017). Hexaminolevulinate, th			
173	n-hexyl ester of ALA, (HAL, Hexvix, Cysview) which is converted to PpIX 50-100 times more			
174	efficiently than ALA, was licensed in US in 2010 for the detection of human bladder cancer (Furre			
175	et al., 2005). Hexaminolevulinate has also been used intra-operatively in a PDT model in dogs with			
176	prostate carcinoma (L'Eplattenier et al., 2008).			
177				
178	Several other second-generation photosensitisers have been, or are in the process of being			
179	developed, each with slightly different origins and characteristics. These include m-			
180	tetrahydroxophenyl chlorine (m-THPC, Foscan); 2-(1-hexyloxyethyl)-2-devinyl pyropheophorbide-			
181	a (HPPH, Photochlor); palladium bacteriopheophorbide (Padoporfin, TOOKAD) and its more			
182	water-soluble monolysotaurine derivative (Padeliporfin, TOOKAD-Soluble); motexafin lutetium			
183	(Lu-Tex, Lutrin); and Verteporfin (Visudyne). The advantages and indications for these newer			
184	agents are summarised in Table 1.			
185				
186	Photosensitisers for diagnosis			
187	Photodynamic diagnosis (PDD) uses the fluorescence of photosensitisers to identify tumour			
188	tissue in situ. PDD fits within the broader category of Fluorescence Guided Surgery (Allison 2016).			

189 The distinction being that, by increasing the illumination intensity or duration, PDD can become

190 PDT. However, whilst the generation of singlet oxygen by photosensitisers is essential for PDT,

191 these same reactive species can damage the photosensitiser and render it non-fluorescent.

192 ALA has been trialled for PDD in eleven different human tumour types (Nokes, 2013), and is 193 licensed in humans for intraoperative margin assessment in glioma (Hefti et al., 2010, Stummer et 194 al., 2006) and the n-hexyl derivative for bladder cancer (Kausch et al., 2010, Mowatt et al., 2011). 195 Each of the major surgical microscopy and endoscopy manufacturers (Leica, Olympus, Storz, and 196 Zeiss) have specialized imaging equipment for intraoperative PDD for human surgery. Research 197 versions are available for animal models (e.g. Solaris system, Perkin Elmer). However, relatively 198 little work has been done on translating human PDD to veterinary surgery. Veterinary examples 199 include intraoperative cancer imaging and staging in dogs (Knapp et al., 2007, Cabon et al., 2016, 200 Osaki 2016), and image-giuded surgery in cats (Wenk et al., 2013). The next generation of agents 201 for photodiagnosis are generally based on near infra-red dyes, which allow deeper views into 202 tissues, sometimes complexed with tumour-targeting peptides or antibodies (Luo et al., 2011, Wenk et al., 2013). 203

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# 205 Light sources and delivery systems

206 The primary requirement when treating lesions with PDT is to ensure that sufficient, homogenous light is delivered to the target tissue. Each PS has an optimal wavelength and intensity 207 208 (fluence) of light for activation (Sibata et al., 2001). Choice of light source should therefore be 209 based on PS absorption (fluorescence excitation and action spectra), location, size and accessibility 210 of lesions, and tissue characteristics. The clinical efficacy of PDT is dependent on complex 211 dosimetry: total light dose, light exposure time, and light delivery mode (single vs. fractionated or even metronomic). The fluence rate also affects PDT response (Henderson et al., 2006) and as 212 213 demonstrated in tumour bearing cats by Hahn et al. (1998).

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The wavelength of light used for PDT is typically in the range between 600–800 nm, the therapeutic window' (Wilson and Patterson, 1990). In this wavelength range, the energy of each photon is sufficient (1.5 eV) to excite the photosensitizer and yet is low enough to allow the light to
penetrate up to 2 cm into the tissue (Zhu and Finlay, 2008).

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220 The development of light sources and delivery devices with the appropriate dosimetric parameters are key components for the clinical application of PDT. Accurate delivery of the light to 221 222 the tumour tissue can be accomplished by a variety of light sources and fibre optic delivery devices. 223 Lasers have been one of the main light sources used in PDT. Modern diode lasers are portable and do not require specialized electrical supply or water cooling, providing excellent stability of output 224 power over long periods of time (Mang, 2004). Diode lasers have been approved for use with 225 226 Photofrin in oesophageal and lung malignancies at 630 nm and at 652 nm for Foscan (Yoon et al., 227 2013).

228

229 Alternatives to laser technology are non-coherent light sources (Reeds et al., 2004) and light 230 emitting diodes (LEDs), the latter where light is produced by a solid-state process called 231 electroluminescence. LEDs are compact, lightweight and require significantly less energy than lasers. LED systems are capable of output powers up to 150 mW/cm<sup>2</sup> over a 3 cm x 3 cm area. 232 LEDs have been manufactured with various light output wavelengths, such as 630, 670, and 690 233 234 nm, which can be used in PDT procedures for flat surface illumination (Mang, 2004 and 2009). 235 Light delivery for treatment of large surface areas, such as treatment of skin diseases, may also be 236 effectively accomplished using broad-spectrum fluorescent lamps (Marcus and McIntyre, 2002). However, LEDs have been shown to be more effective than fluorescent lamps for PDT treatment of 237 238 squamous cell carcinoma (Novak et al., 2016). One obvious source of light for PDT is the sun, and several recent studies have demonstrated the effectiveness of daylight PDT (reviewed by See et al., 239 240 2016). Daylight PDT has obvious potential for veterinary skin cancers, provided the tumour is 241 located where it will be in constant daylight.

243 In addition to the light source, delivery devices may be required to provide penetration of 244 light into the target tissue (Star et al., 1992). Fibre-optic devices have been developed for PDT light delivery and dosimetry (Sterenborg et al., 2014). The most widely used fibre-optic device in PDT is 245 246 a cylindrical diffusing fibre tip available in lengths of 1 - 9 cm depending on the specific application. Two light delivery methods have been developed: intraluminal irradiation using light 247 248 diffusers for the lung and oesophagus, and interstitial illumination methods to deliver adequate light 249 doses to the target tumour volume in head and neck cancers (Yoon et al., , 2013). Fibre optic 250 delivery of PDT has been used in dogs to treat intramedullary bone tumours (Burch et al., 2009).

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#### 252 Photodynamic therapy and diagnosis: clinical uses in humans and animals

In contrast, to its increasing use in human medicine, the use of PDT in veterinary medicine 253 254 has been relatively limited, and although results from small veterinary clinical studies have been 255 published and despite the fact that the dog and cat have been used as a preclinical model in several studies (Lucroy et al., 1999, 2003b, Griffin et al., 2001, Panjehpour et al., 2002, Tanabe et al., 256 257 2004), PDT is not well established as a treatment option for tumour bearing animals to date. The 258 main indication currently is in treatment of in situ carcinoma/SCC in cats. Other possible indications are urinary tract neoplasia and glioma in dogs and SCC and sarcoids in horses 259 260 (Buchholz and Walt, 2013). The following is a comparative review of the clinical experience of application of PDT in human and veterinary medicine to provide a basis for future development and 261 262 application of the technique in veterinary medicine.

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#### 264 Cutaneous tumours

265 *Carcinoma in situ / Squamous cell carcinoma (SCC)* 

ALA-PDT is mainly used to treat dermatological cancers in humans and several reviews of current guidelines have been published (Morton et al., 2008, 2013; Wan and Lin, 2014). The results of ALA-PDT in the treatment of human Bowen's disease (squamous cell carcinoma *in situ*) have

been promising; randomized, controlled trials comparing ALA-PDT or MAL-PDT to cryotherapy 269 270 (Morton et al., 1996) or 5-fluorouracil (5-FU) cream (Salim et al., 2003) reveal complete response rates of 82-100% for PDT vs 67-100% for cryotherapy or 79-94% for 5-FU at 12-24 months. The 271 272 efficacy of topical ALA-PDT in the management of primary cutaneous invasive SCC is variable, 273 with response rates of 54 - 100% reported for superficial lesions and recurrence rates ranging from 274 0-69%, but with reduced efficacy in more nodular lesions (Wolf et al., 1993; Morton et al 2002). 275 Current evidence supports the potential of topical ALA-PDT for superficial, micro-invasive SCC but in view of its metastatic potential topical PDT cannot be recommended for invasive SCC 276 277 (Morton et al., 2008, 2013). 278

Cutaneous in situ-carcinoma/SCC in the cat represents the main application for PDT in veterinary medicine to date (Fig. 2). A number of studies have reported response rates from 60 – 80+% and disease-free intervals of over 68 weeks, for topical and systemic PDT in cats using a variety of photosensitisers (as detailed in Table 2). As is the case in human patients, the smaller and less invasive tumours respond best to PDT (Magne et al., 1997). PDT has also been used to treat SCC in dogs (McCaw et al., 2000), horses (Giuliano 2008), a cow (Hage et al., 2007), snakes (Roberts WG et al., 1991) and a Great Hornbill (Suedmeyer et al., 2001).

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287 Basal cell Carcinoma

PDT has been successfully employed for treatment of basal cell carcinoma (BCC) in human patients as a sole agent or in neoadjuvant setting (Berroeta et al., 2007, Rhodes et al., 2007). A 92% complete response rate was reported with topical ALA-PDT in 330 patients with superficial BCC, but the response rate dropped to 71% in patients with nodular BCC (Zeitouni et al., 2001) , and when topical PDT (with ALA or MAL) is compared to surgery for BCC, PDT consistently shows an increased recurrence rate for both superficial and nodular BCC (Basset-Seguin et al., 2008). This may be due to insufficient penetration of the photosensitizer to deeply located tumour cells when the PS is applied topically. To overcome this problem, the PS may be injected intralesionally.
Twenty patients with nodular BCC were treated with ALA in 1% saline solution at estimated dose
of 1 mL/cm<sup>2</sup> injected into the base of tumour. PDT resulted in tumour necrosis, followed by
complete re-epithelization after 4-6 weeks with good cosmetic results, no histological evidence of
BCC after 3 months and no recurrence during follow-up of 19.5 months (Rodríguez-Prieto et al.,
2012).

301

302 Experience of intralesional injection of PS is very limited in animals. One study reported 303 PDT in a cow with ocular SCC using intratumoural injection of ALA. A complete response was 304 observed after 3 months and no relapse 12 months after the treatment (Hage et al., 2007). PDT has 305 also been used for treatment of periocular SCC in horses. A pilot study was conducted using 306 surgical resection plus PDT for periocular SCC in horses by infiltrating wound beds with HPPH 307 prior to illumination. This combination yielded disease-free intervals of 25-68 months. The overall 308 recurrence rate was 22% (2 of 9 horses) and for those horses where local PDT was the first and only 309 treatment modality used, the recurrence rate was 0% (Giuliano et al., 2008).

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#### 311 Equine sarcoids

312 Although of fibroblastic rather than of basal cell origin, equine occult and nodular sarcoids 313 form dermal nodules or plaques and as such bear some physical resemblance to the human nodular 314 BCC. Currently there is no 'gold standard' treatment for equine sarcoids, however, PDT has shown 315 promise in the treatment of these common and frustrating lesions. Several small studies have 316 reported encouraging response rates using topical or locally injected ALA or MAL in equine occult 317 and nodular sarcoids. For instance, Gustafson et al., (2004) found a 72% treatment response using ALA-PDT, with recurrence in 39% of lesions after 2 years (n=18). Due to their fibroblastic and 318 319 bulky nature, cytoreductive surgery may significantly improve response for larger lesions. In one study, CO<sub>2</sub> laser excision with adjunctive MAL-PDT was reported to achieve a 93% one-year 320

321 disease-free rate (Kemp-Symonds 2012). Most recently, a single application of topical ALA-PDT 322 followed by glycolysis inhibition has been shown to successfully treat equine sarcoids up to 5 mm 323 thick with a 93% response rate (n=27) after 1 month, compared with a 14% response rate using 324 ALA-PDT only (n=7). Treated sarcoids became scabby with desquamation for 2-4 weeks before 325 healing (Golding et al., 2017) (Fig. 3).

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#### 327 **Prostate cancer**

In humans definitive management of early stage prostate cancer with either surgery or ionizing radiation therapy is associated with significant associated morbidities due to the proximity of normal structures such as nerves, bladder and rectum. By contrast, PDT has the potential to selectively treat the prostate while sparing the surrounding normal tissues because light can be delivered to the entire prostate gland using interstitial cylindrically diffusing optical fibres. Prostate cancer is therefore an attractive target for PDT (Agostinis et al., 2011, Ahmed et al, 2012).

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335 Vascular-targeted PDT using Padeliporfin mediated PDT and a short drug-to-light interval 336 was shown to carry minimal toxicity in a phase I trial, of prostatic carcinoma patients (n = 24) with 337 local failure following radiotherapy (Weersink et al., 2005; Trachtenberg et al., 2007). In a follow-338 up phase II study, patients were treated with increasing light doses. At 6 months all patients where 339 >60% of the prostate was determined to be avascular by post-PDT magnetic resonance imaging, 340 had negative biopsies, however, 2 patients (of 28) developed urethrorectal fisulae (Trachtenberg et al., 2008). Following refinement of the technique, a recent phase III randomised controlled study of 341 342 padeliporfin vascular-targeted PDT (versus active surveillance) has shown this to be a safe and 343 effective treatment for low risk localized prostate cancer (Azzouzi et al., 2017).

344

The normal canine prostate has served as a useful preclinical model for evaluating responses
to PDT in vivo, since its size and general anatomical structure are similar to those of the human

347 prostate (Waters and Bostwick, 1997). An experimental study was conducted assessing padeliporfin 348 PDT on canine prostate pre-treated with ionizing radiation. All dogs presented normal spontaneous 349 urination upon recovery from the procedure, with no signs of incontinence or significant 350 macroscopic hematuria (Huang et al., 2004). Vascular-targeted photodynamic therapy with WST11 351 (TOOKAD Soluble) has been investigated in a dog model of benign prostatic hyperplasia and was 352 uneventful in all except one dog, which experienced urinary retention. Prostatic urethral width 353 increased as early as 6 weeks after treatment, while prostatic volume decreased, reaching 25% by 18 to 26 weeks, this response lasted up to 1 year (Chevalier et al., 2013). Unfortunately canine 354 prostatic carcinoma is not usually detected until symptomatic at which point the disease is in late 355 356 stage, often with metastatic disease, so it is unlikely that PDT would be beneficial in such patients.

357

#### 358 Bladder cancer

359 Photodiagnosis is used in management of human bladder cancers (Mowatt et al, 2011), and bladder cancer is also a potential target for PDT. Human bladder cancers are often superficial and 360 361 multifocal and can be assessed and debulked endoscopically. Furthermore, the geometry of the 362 bladder allows for homogeneous light delivery via diffusing fibres. In general, early response rates (2 to 3 months) to PDT have been about 50% to 80% of patients with longer-term (1 to 2 years) 363 364 durable responses in 20% to 60% of patients. It should be noted that many of the patients treated in 365 these studies had recurrent disease that developed after standard therapies such as Bacillus 366 Calmette-Guerin (BCG) (Agostinis et al., 2011). Treatment of superficial bladder cancer with PDT is generally well tolerated, with dysuria, hematuria, and skin photosensitivity being the most 367 368 common acute toxicities. Bladder wall fibrosis/diminished bladder capacity can be a problem in some patients (Prout et al., 1987; Uchibayashi et al., 1995). Studies of locally applied (intravesical) 369 370 ALA demonstrate that comparable complete response rates of 52-60% at 2-3 years can be achieved 371 for patients with treatment refractory bladder carcinoma in situ without the prolonged skin photosensitivity experienced using systemic Photofrin (Berger et al., 2003; Waidelich et al., 2003). 372

373 Despite these promising results, PDT for bladder cancer remains largely investigational with limited
374 use (Agostinis et al., 2011).

375

376 Canine transitional cell carcinoma (TCC) is most commonly located in the trigone region of 377 the bladder precluding complete surgical resection and palliative medical management is often the 378 only treatment available (Fulkerson and Knapp, 2015). PDT could represent a promising option for 379 dogs with TCC. However, canine TCC is often diagnosed late and is more invasive than human 380 bladder cancers, making comparisons with human studies difficult (Fulkerson and Knapp, 2015). In 381 vitro-studies have shown, that ALA-PDT destroys canine TCC cells (Ridgway and Lucroy, 2003). 382 When studied in vivo, 70% of dogs vomited after oral administration of ALA, but this did not appear to have a negative impact on pharmacokinetics and the active metabolite (PpIX) was shown 383 384 to accumulate in the bladder mucosa, compared to the muscularis and serosa. Five dogs with TCC 385 of the urinary bladder treated with ALA-PDT and a laser fibre delivery system, showed transient improvement of clinical symptoms with tumour progression free intervals ranging from 4 to 34 386 387 weeks (Lucroy et al., 2003a,b). The application of PDT for canine TCC clearly warrants further 388 investigation.

389

### 390 Brain tumours / glioma

391 Experimental and clinical studies have demonstrated that PDT can complement current 392 standard therapies (surgical resection, radiation therapy and chemotherapy) in the treatment of brain 393 tumours (Muller and Wilson, 1995, 1996). PDT may be particularly useful as an adjunct to surgery 394 as it can non-invasively target tumour cells infiltrating normal brain. Initial trials provided 395 encouraging results using various formulations of hematoporphyrin derivatives (HPD, Photofrin), 396 ALA as well as mTHPC with light sources including lamps, dye lasers and diode lasers (Agostinis 397 et al., 2011). One of the main indications for ALA in management of glioma is in fluorescence 398 guided surgery (FGS). ALA based FGS has been shown to provide longer survival times than

conventional surgery in patients with suspected malignant gliomas (*n*=322), 16.7 versus 11.8
months respectively (Stummer et al., 2006).

401

402	In a canine glioma model, dogs were given 0.75 mg/kg Photofrin-II intravenously, followed
403	24 h later by PDT, delivered using a fiberoptic catheter directly to the tumour via a burr hole in the
404	skull (Whelan et al., 1993). This destroyed the tumour without significant brain-stem injury.
405	
406	The new classes of PSs, the better understanding of dosimetry and further improvements in
407	technology may significantly change the currently achieved clinical outcome for glioma and other
408	brain tumours both in human and veterinary patients. Pre-clinical data indicating that protracted
409	light delivery may increase the therapeutic index of PDT in the brain combined with newer
410	technologies such as implantable, LED-based light delivery systems could lead to significant
411	improvements in treatment outcomes (Kostron, 2010).
412	
413	Future perspectives
414	Photodynamic therapy offers great potential due to its selective targeting of tumour cells and

415 minimal normal tissue toxicity. Several innovative strategies have been used to improve PS 416 penetration into tumour cells, including: using an electric current to draw PS deeper into the skin 417 (Lopez et al., 2003), intratumoural PS injection (Hage et al., 2007; Rodríguez-Prieto et al., 2012) 418 and pretreatment with chemical penetration enhancers (Malik et al., 1995; De Rosa et al., 2000; 419 Golding et al., 2017), liposomal formulations and nanoemulsions (Buchholz et al., 2005, 2007). 420 The efficacy of PDT may also be improved by overcoming the antioxidant defences of cancer cells. 421 Antioxidant defences that remove excess ROS are upregulated in many cancers (Tracootham et al. 422 2009), undermining the full potential of PDT. Combination of glycolysis inhibitors with PDT has 423 been shown to deplete cellular antioxidants and significantly improve PDT cytotoxicity against 424 human cancer cells in vitro (Golding et al., 2013) and this combination has proved effective in

425 treatment of equine sarcoids (Golding et al 2017). Other ways in which efficacy of PDT may be 426 improved clinically include: Metronomic PDT (mPDT) to delivery both the drug and light at very 427 low dose rates over an extended period (hours-days) (Lilge et al., 2000), and through use of 428 nanoparticles for PS delivery (Bechet et al., 2008). If the potential for use of PDT in veterinary 429 medicine could be realized this could make a significant contribution to the overall development of 430 the technique.

431

# 432 **Conclusions**

PDT is a safe and effective therapy for many cancers and pre-cancers that can be accessed
externally or endoscopically. Small, localised lesions can achieve long-term clearance with

435 negligible scarring or damage to adjacent structures.

436 The science of PDT has seen enormous progress within the past 30 years. For instance: the

437 development of improved photosensitisers, light sources (including endoscopic delivery and

438 daylight PDT), improved understanding of how PDT works, and an expansion of the uses of

439 photosensitisers to allow intraoperative detection of tumour margins. Although PDT has hitherto

440 been used as a monotherapy, the future of the technique undoubtedly lies in combining it with other

441 drugs and approaches as part of a synergistic multimodal treatment.

442 Despite the scientific advances, the clinical practice of PDT is still limited to a small number of

443 individual practitioners or centres of excellence; partly due to a vicious cycle of high photosensitiser

444 costs due to limited demand. With pun intended, veterinary PDT needs to come out of the shadows

445 and into the light. This will only happen if PDT becomes a standard part of the training syllabus and

446 existing PDT practitioners provide internships for the next generation of veterinary surgeons.

447

#### 448 **Conflict of Interest**

449 None of the authors of this paper have a financial or personal relationship with other people or

450 organisations that could inappropriately influence or bias the content of the paper.

451

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# 1080 **Table 1.**

1081 <u>Summary of characteristics and application of selected second generation photosensitizers.</u>

Agent (synonyms)/ manufacturer	Activation wavelength (nm)	Advantages	Reported tumour applications (human unless stated)	References
<b>Foscan</b> (m-tetrahydroxophenyl chlorine (mTHPC).	525 - 660	-Short duration of skin photosensitivity (15 days)	Pleural mesothelioma	Friedberg et al., 2003.
temoporphin)/ Biolitec Pharma.		-High quantum yield for singlet oxygen -Depth of tumour necrosis (10 mm)	Head and neck cancers	Rauschning et al., 2004; Biel et al., 2006.
			Oesophagus	Lovat et al., 2005; Etienne et al. 2004.
			Prostate	Nathan et al., 2002; Moore et al., 2006.
			Pancreas	Pereira et al., 2007.
			Skin tumours	Triesscheijn et al., 2006.
			Skin tumours (cats)	Buchholz et al., 2007.
Photochlor (2-(1- hexyloxyethyl)-2-devinyl pyropheophorbide (HPPH))/ AdooO	665 - 680	Extremely hydrophobic, increasing penetration into	Obstructive oesophageal cancer	Dougherty et al., 2000.
Bioscience.		tissue	oral squamous cell carcinomas (dogs)	McCaw et al., 2000.
			facial squamous cell carcinoma (cats)	Magne et al., 1997.
			squamous cell carcinoma (horses)	Giuliano et al., 2008.
TOOKAD (WST-09, padoporfin, palladium bacteriopheophorbide)/ Steba Biotech.	760	New generation photosensitiser with greater stability and short half-life	Prostate (dogs)	Nomura and Mimata, 2012. Huang et al., 2005.
<b>Padeliporfin</b> (TOOKAD Soluble, WST-11, palladium	760	Vascular-targeted PDT	Prostate	Azzouzi et al., 2017.
bacteriopheophorbide			Prostate (dogs)	Chevalier et al., 2013.

monolysotaurine)/ Steba Biotech.				
<b>Lu-Tex</b> (Motexafin lutetium, lutetium texaphyrin/ Pharmacyclics	730	Water soluble. Selectively retained in tumour.	Prostate	Patel et al., 2008.
Inc.		Only 24 – 48 h skin photosensitivity	Rectal (dogs)	Ross et al., 2006.
Talaporfin sodium (aspartyl chlorin, Laserphyrin, Aptocine)/ Meiji Seika Pharma.	664 - 667	Retained in tumour for 50 h	Lung. Esophageal.	Usuda et al., 2007. Yano et al., 2017.
			Intranasal (dogs)	Ishigaki et al., 2017.
ALA (5-aminolevulinic acid)/ various. Methyl-ALA (MAL, Metvix)/ Galderma.	Pro-drugs. Each metabolized to protoporphyrin IX (414, 635)	Short loading 3 h; short skin photosensitivity 12 h Epithelial	ALA: at least 11 different human tumours.	Nokes et al., 2013.
Hexvi-ALA (HAL Hexvix)/ Ipsen.		penetration improves in sequence ALA>MAL>HAL	Equine sarcoids	Golding et al., 2017.
			MAL: basal cell carcinoma.	Morton et al., 2008, 2013.
			Equine sarcoids.	Kemp- Symonds, 2012, Golding et al., 2017.
			HAL: prostate photodynamic detection.	Furre et al., 2005.
			Prostate (dogs)	L'Eplattenier et al., 2008.
Verteporfin (Visudyne)/ Novartis	689 - 693	Binds low density lipoprotein receptors on abnormal blood	Wet macular degeneration	Scott and Goa, 2000.
		vessels and tumours	Esophagus (dogs)	Panjehpour et al., 2002.
			Squamous cell carcinoma (horses)	Giuliano et al., 2014.

#### 1083 Table 2.

Clinical Reports of photodynamic therapy (PDT) for superficial squamous cell carcinoma (SCC) or 1084 1085 SCC in situ in cats 

Cases / tumour location	PDT agent	PDT method	Response rate / outcome / side effects	Reference
51 cats Cutaneous SCC facial skin	HPPH-23 Pyropheophorbid- alpha-hexyl-ether	Intravenous administration Argon-pumped dye laser	Overall 61% response rate at 1 year. 100% T1a tumours, 56% T1b and18% T2b. No toxicity, but some morbidity.	Magne et al., 1997
4 dogs and 4 cats Superficial carcinoma	НРРН	Intravenous administration LED (100 J/cm <sup>2</sup> , 33 min)	8/9 CR >50% PFS > 68 weeks. No cutaneous photosensitivity	Reeds et al., 2004
13 lesions / cats 10 nasal planum, 2 pinna 1 eyelid	ALA (Cream)	Topical application LED 635 nm 12 J/cm <sup>2</sup>	85% CR rate But with 64% local recurrence, median 21 weeks. Cats attempt to scratch lesion after treatment. Local analgesia required.	Stell et al., 2001
18 cats with 20 cutaneous SCC	Liposomal formulation of Foscan (m-THPC)	Intravenous administration 625 nm diode laser	100% CR rate Overall 1 year control rate 75% 20% recurrence, 172 days. Mild erythema/ edema in 15% of cats.	Buchholz et al, 2007
55 cats Superficial SCC nasal planum	ALA (Cream)	Topical application LED 635 nm 12 J/cm <sup>2</sup>	85% CR rate, 11% PR rate But with 51% recurrence; median 157 days. Transient, mild, local adverse effects.	Bexfield et al., 2008
12 cats Cutaneous SCC (7 pinna, 2 nasal planum)	Haematoporphyrin derivative (Photogem)	Intravenous administration LEDs (300 J/cm <sup>2</sup> 30 min)	No response in invasive tumours or pinna. Small non- infiltrative lesions of nasal planum (n=3) showed CR/PR. One cat developed nasal ocdema and died	Ferreira et al., 2009

 
 Abbreviations: LED (light-emitting diode), CR (complete response), PR (partial response), PFS
 1086 1087 (progression-free survival).

- 1088 Figure legends
- 1089
- 1090 **Figure 1.** Fundamentals of photodynamic therapy.

1091 A) Visible and near infra-red light spectrum showing the wavelengths (in nanometres) of maximum

1092 tissue penetration by light (above) and absorbance maxima of selected photosensitisers (below). B-

1093 D) Chemical structures of selected photosensitisers. E) Schematic of photosensitiser mechanism of

- action. Photosensitiser (PS) becomes activated (PS\*) by light (hv). PS\* can undergo two types of  $PS^*$
- 1095 reaction. In Type I reactions, biological material (BM) interacts directly with PS\* forming ion
- 1096 radicals of both species (PS<sup>-</sup> and BM<sup>+</sup>). BM radical interacts with oxygen and becomes oxidised.
- 1097 PS radical is either destroyed or reacts with oxygen to regenerate PS and make a superoxide anion
- 1098 (O2<sup>-</sup>) that can react with BM to oxidise it. In Type II reactions, PS\* interacts with oxygen to
- 1099 regenerate PS and make singlet oxygen  $({}^{1}O_{2})$ , which reacts with BM to oxidise it.

1100

1101 Figure 2. Feline nasal squamous cell carcinoma (SCC)

1102 A) An early SCC on the right nasal planum in a Domestic Short-haired cat. B) Application of

photodynamic therapy (PDT) using a high intensity light-emitting diode (LED). C) Complete
resolution of the lesion at 6 weeks, with minimal scar formation.

- 1105
- 1106 **Figure 3.** Treatment of equine sarcoids.
- 1107 A) Painting 5-aminolevulinic acid (ALA) onto sarcoid. B) Application of photodynamic therapy
- 1108 (PDT). C) Appearance of sarcoid at time of PDT treatment. D) Appearance of sarcoid 1 month after

1109 PDT.