

## Article

# Progress and Challenges in the Diagnosis of Dementia: A Critical Review

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1                   **Progress and challenges in the diagnosis of**  
2                   **dementia: a critical review**

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31 **ABSTRACT**

32 Longer life expectancies have led to an increased number of neurodegenerative disease cases  
33 globally. Accurate diagnosis of this devastating disorder is of crucial importance but is still  
34 feasible only by a brain biopsy after death. An enormous amount of attention and research has  
35 been in place over the years towards the better understanding of the mechanisms, as well as the  
36 early diagnosis, of neurodegeneration. However, numerous studies have been contradictory  
37 from time to time, while new diagnostic methods are constantly developed in a tireless effort  
38 to tackle the disease. Nonetheless, there is not yet a conclusive report covering a broader range  
39 of techniques for the diagnosis of different types of dementia. In this article, we critically  
40 review current knowledge on the different hypotheses about the pathogenesis of distinct types  
41 of dementia, as well as risk factors and current diagnostic approaches in a clinical setting,  
42 including neuroimaging, cerebrospinal (CSF) and blood tests. Encouraging research results for  
43 the diagnosis and investigation of neurodegenerative disorders are also reported. Particular  
44 attention is given to the field of spectroscopy as an emerging tool to detect dementias, follow-  
45 up patients and potentially monitor the patients' response to a therapeutic approach.  
46 Spectroscopic techniques, such as infrared and Raman spectroscopy, have facilitated numerous  
47 disease-related studies, including neurodegenerative disorders, and are currently undergoing  
48 trials for clinical implementation. This review constitutes a comprehensive report with an in-  
49 depth focus on promising imaging, molecular biomarker and spectroscopic tests in the field of  
50 dementive diseases.

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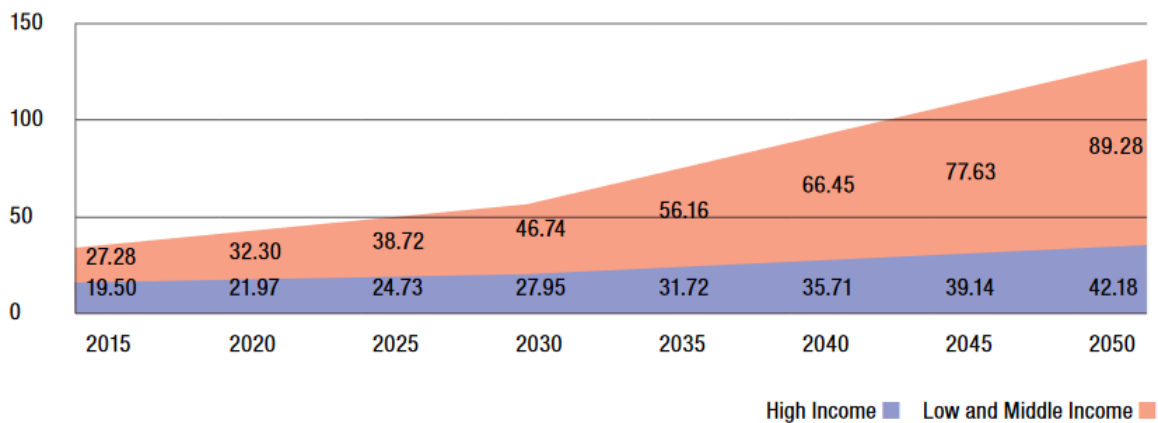
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55 **Keywords:** neurodegenerative disease; dementia; biomarkers; diagnostic methods;  
56 neuroimaging; spectroscopy

57 **INTRODUCTION**

58 Estimates of dementia prevalence have shown that 46.8 million people live with this  
59 condition worldwide and this is expected to reach 75 million by 2030 <sup>1</sup>. People living with  
60 dementia are under-detected in high income countries, with only 20-50% of cases being  
61 accurately diagnosed in primary care; lack of diagnosis is even more evident in low- and  
62 middle-income countries <sup>2-4</sup> (Fig. 1). The number of new cases of dementia every year was  
63 estimated to be over 9.9 million, implying one new case every 3.2 seconds <sup>5</sup>. A definitive  
64 diagnosis is still only been given post-mortem, thus an accurate detection is essential for  
65 providing an early intervention and improving the lives of those affected.



66  
67 **Figure 1:** Estimation of people with dementia, in millions, in high- and low/middle-income  
68 countries. Adapted from <sup>5</sup>.

69 Symptoms of different dementias vary depending on the type but they all share some  
70 common characteristics, such as loss of memory and other mental abilities. Under the  
71 “umbrella” term of dementia, Alzheimer’s disease (AD) and dementia with Lewy bodies  
72 (DLB) constitute the two most common types of underlying pathology <sup>6</sup>. Other, common types  
73 of dementia include vascular dementia (VaD), frontotemporal dementia (FTD), Parkinson’s  
74 disease dementia (PDD) and mixed dementia <sup>7-9</sup>. The majority of the above-mentioned  
75 dementias undergo the same pathological mechanism of protein misfolding, which  
76 subsequently leads to clumps of proteins and neuronal death, with VaD being an exception as

77 it has a distinct mechanism than the other dementias. Brain damage in VaD patients occurs due  
78 to the lack of blood supply from bleeding, clotting or narrowing of arteries which can cause  
79 nerve cell injury or death. As AD often co-exists with VaD, signs of both syndromes are most  
80 likely to be present. Furthermore, recent work by Novarino *et al.* has interestingly shown that,  
81 even though it does not fall into the spectrum of dementia, motor neuron disease (MND) has  
82 common features with other neurodegenerative disorders such as AD, PD and amyotrophic  
83 lateral sclerosis (ALS) <sup>10</sup>. This indicates that study of one neurodegenerative disease could  
84 possibly advance the understanding of others as well.

85 A number of risk factors have been associated with the development of  
86 neurodegenerative diseases and dementia. Increasing age, family history and susceptibility  
87 genes are some of the well-known unavoidable risk factors <sup>11-13</sup>. Numerous studies have  
88 associated neurodegeneration with a range of other risks which could be more easily managed,  
89 such as lifestyle choices (*e.g.*, diet, exercise and alcohol intake) <sup>14-16</sup>, environmental factors  
90 (*e.g.*, pesticides and neurotoxic metals, such as lead, mercury, arsenic) <sup>14, 17</sup>, education <sup>18</sup>,  
91 gender <sup>19, 20</sup>, Down syndrome <sup>21, 22</sup>, head injuries <sup>23, 24</sup> or diabetes and cardiovascular diseases  
92 <sup>25, 26</sup>. Recent findings have suggested that some factors could actually reduce risk in PD  
93 patients, including smoking, caffeine, and urate <sup>27</sup>. These could potentially act as  
94 neuroprotective agents and thus be beneficial for patients with early neurodegeneration. A use  
95 of these methods in clinical trials, facilitated by an accurate diagnosis with the techniques  
96 described in this paper, might be more effective at an early stage prior to significant brain  
97 damage. Current ongoing trials assessing long-term treatment with nicotine (using transdermal  
98 patches for over 60 months in early PD patients), caffeine (400 mg per day for five years) and  
99 inosine for urate elevation (using early PD patients to increase serum urate concentration within  
100 24 months) aim to conclude whether these factors could facilitate therapeutic intervention or  
101 secondary prevention.

102           It is most likely that the majority of neurodegenerative disorders occur as a result of  
103 complex interactions between any or all the above risk factors; this renders them complicated  
104 and difficult to study. The complexity of dementia is further demonstrated by the fact that drugs  
105 aiming to improve cognitive functions and delay the deterioration, such as cholinesterase  
106 inhibitors, still remain ineffective <sup>28, 29</sup>. Much effort has been put on clinical trials, over the  
107 years, to help treat people experiencing dementia but without much success <sup>30, 31</sup>. It is  
108 increasingly thought that drugs should be administered at an early, pre-symptomatic stage of  
109 dementia in order to provide successful treatment. However, there is yet no robust way to pre-  
110 clinically detect people who will develop dementia, which renders the need of early biomarkers  
111 crucial.

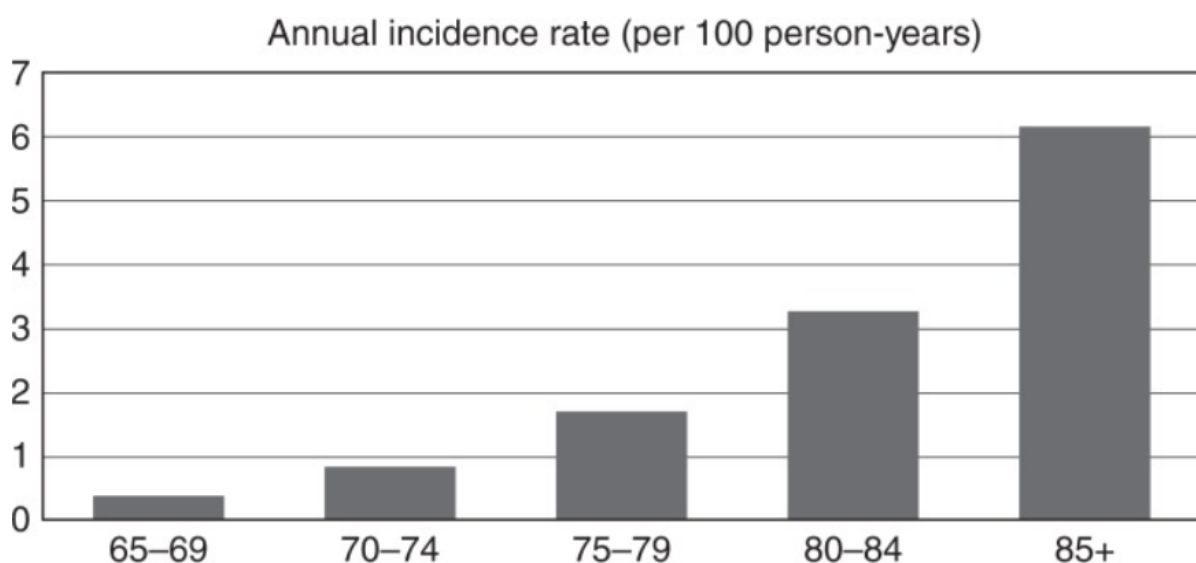
112           Research in the field of neurodegeneration and dementias currently undergoes fast  
113 progress. Promising results from recent studies have led to a wide consensus that dementia is  
114 a slowly progressive disease which means that a diagnosis may be feasible years before  
115 symptoms develop. An early diagnosis with biological markers would greatly facilitate and  
116 accelerate the development of effective drugs and/or allow the diagnosed individuals to make  
117 better lifestyle choices. However, different research groups have employed different diagnostic  
118 approaches and studied a range of diagnostic and/or prognostic biomarkers, thus causing  
119 controversy and debate regarding the optimal method to take forward. This review will present  
120 and evaluate current knowledge with regard to a number of different dementias, including both  
121 ‘traditional’ and novel diagnostic approaches.

## 122 **EPIDEMIOLOGY**

123           The types of dementia that will be studied in more detail in this critical review include  
124 AD, DLB, FTD, VaD, PDD and mixed dementia.

### 125 **Alzheimer’s disease (AD)**

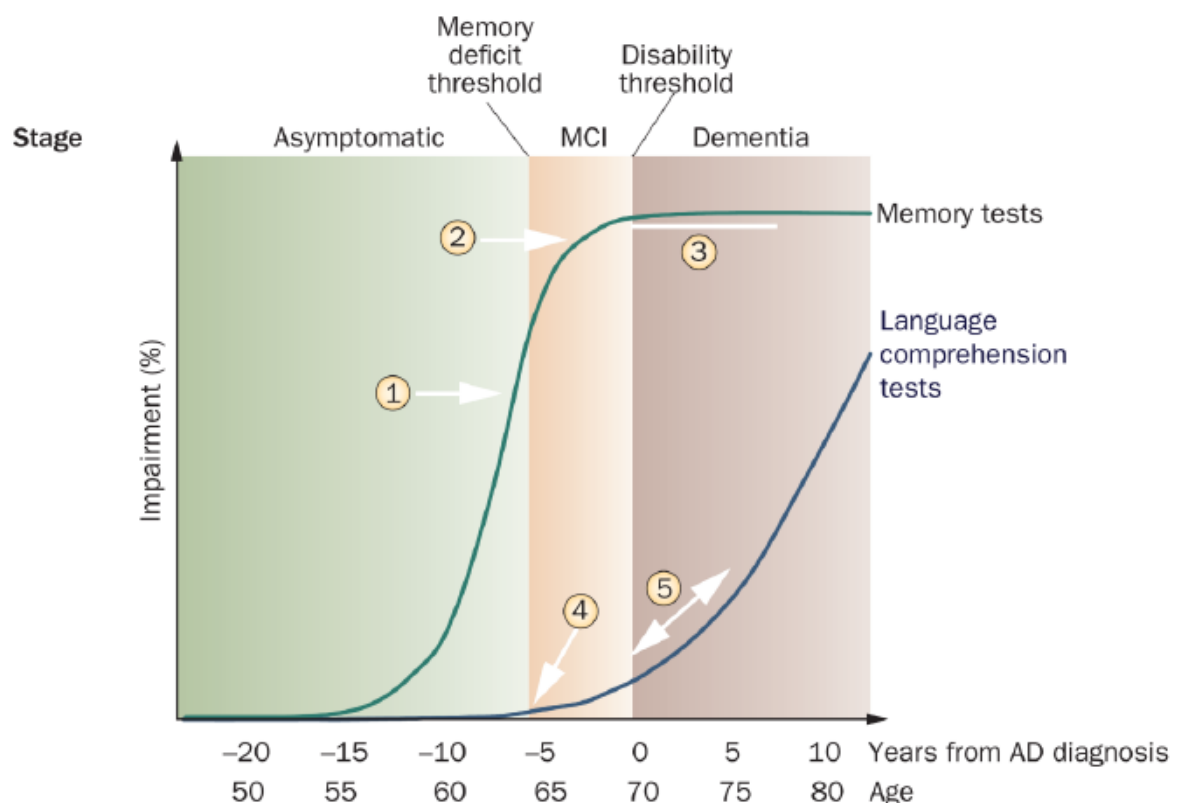
126 AD is the most common cause of dementia accounting for 60-80% of the cases.  
127 Previous estimates have shown that ~34 million people worldwide have AD, with the  
128 prevalence expected to triple by 2050 <sup>32</sup>. Determining the age of onset and defining a disease-  
129 free cohort have been two of the reasons that incidence rates for AD are difficult to calculate.  
130 After bringing together data from 24 published studies, Mayeux and Stern reported an  
131 approximate incidence of 0.5% per year for the age cohort 65-70 years which increased to 6-  
132 8% for the individuals over 85 years of age (Fig. 2) <sup>33</sup>.



133  
134 **Figure 2:** Annual incidence rate (per 100 person-years) for Alzheimer’s disease. The graph  
135 illustrates an estimate of data from 24 published studies. Adapted from <sup>33</sup>. With permission  
136 from Cold Spring Harbor Laboratory Press.

137 The terminology of AD has been revised in the 2011 guidelines (after almost 30 years  
138 from the original criteria) to also include cases from the time point of the initial pathologic  
139 changes in the brain; in other words, before symptoms of memory loss incur <sup>34</sup>. Three different  
140 stages were suggested to characterise the disease according to its progression: preclinical (or  
141 pre-symptomatic) AD; mild cognitive impairment (MCI) due to AD; and dementia due to AD  
142 (Fig. 3). In a preclinical stage, the key biological changes are under way but without presenting  
143 any obvious, clinical symptoms; this primary phase is thought to begin years in advance. MCI

144 includes some changes in memory and thinking that can be noticeable but do not affect the  
 145 ability for daily tasks; more importantly, not all people with MCI develop AD dementia  
 146 eventually. In a meta-analysis of 41 cohort studies, it was found that only 38% of MCI  
 147 progressed to dementia during a follow-up period of 5 years <sup>35</sup>. Finally, the last stage of AD  
 148 due to dementia includes the well-known symptoms of memory loss as well as cognitive and  
 149 behavioural impairment.



150  
 151 **Figure 3:** Known natural history of cognitive markers implies that memory tests, which change  
 152 relatively early in the disease course (1) and soon reach the maximal level of impairment (2),  
 153 are useful for diagnosis at the MCI stage, but are less useful for tracking later disease  
 154 progression (3). Verbal comprehension tests start to change later in the disease course: during  
 155 MCI they show mild or no impairment (4), and are of limited use in diagnosis. These markers  
 156 become more sensitive at the dementia stage, when the slope of change steepens (5). Adapted  
 157 from <sup>36</sup>. Reprinted by permission from: Springer Nature, Nature Reviews Neurology, The  
 158 clinical use of structural MRI in Alzheimer disease, Giovanni B. Frisoni, Nick C. Fox, Clifford  
 159 R. Jack Jr, Philip Scheltens, Paul M. Thompson (2010). License Number 4279300909074.

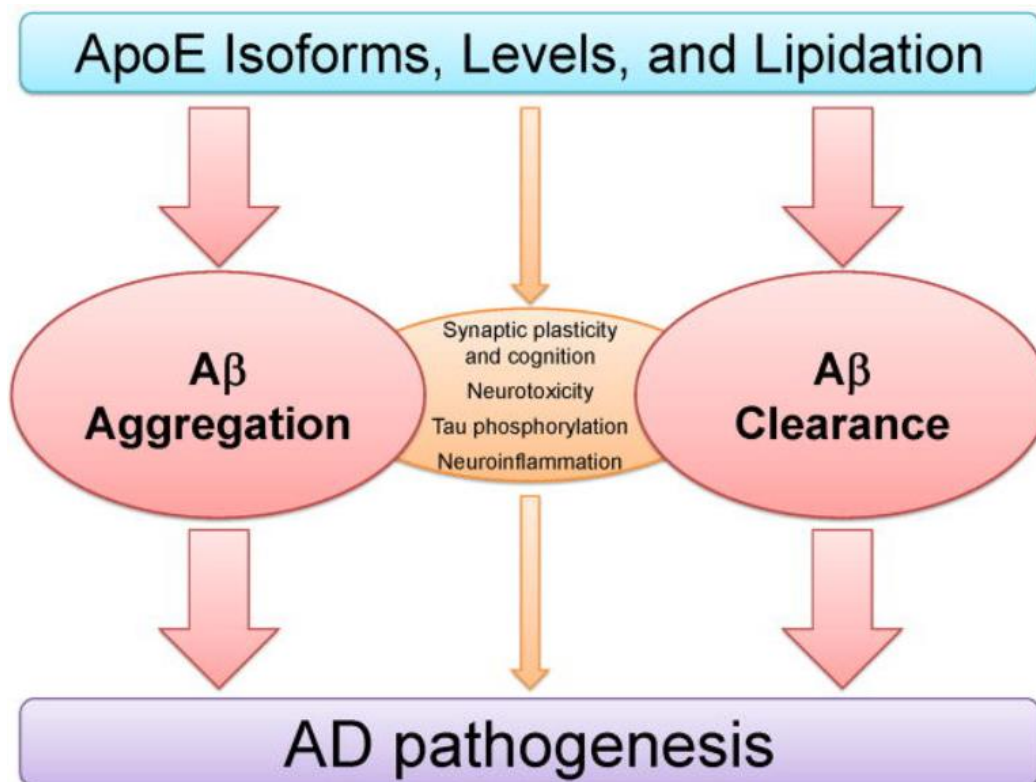


160 The greatest risk factor for AD is increasing age but other factors also play a significant  
 161 role in developing the disease. AD can be either familial, which is inherited by a family member  
 162 and is rarer, or sporadic. Family history and carrying the gene for the production of the  
 163 apolipoprotein  $\epsilon 4$  (ApoE  $\epsilon 4$ ) are now well-established risk factors. ApoE is a major cholesterol  
 164 carrier and has three distinct isoforms:  $\epsilon 2$ ,  $\epsilon 3$  and  $\epsilon 4$  <sup>37</sup>. The human ApoE protein contains 299  
 165 amino acids and despite the fact that the three isoforms differ by only one or two amino acids,  
 166 their structure and function is entirely different <sup>38</sup>. Individuals with two alleles of  $\epsilon 4$  have 12-  
 167 fold risk to develop the disease about 10-20 years earlier than others with no  $\epsilon 4$  alleles, whereas  
 168 one  $\epsilon 3$  allele increases the risk 3-fold. In contrast,  $\epsilon 2$  allele decreases the risk <sup>37, 38</sup>. Previous  
 169 studies have reported the frequency of AD and mean age at clinical onset being 91% and 68  
 170 years of age in  $\epsilon 4$  homozygotes; 47% and 76 years in  $\epsilon 4$  heterozygotes; and 20% and 84 years  
 171 in  $\epsilon 4$  non-carriers (Fig. 4) <sup>37</sup>. Strong evidence suggests that the major mechanism by which  
 172 ApoE influences AD is via its effects on A $\beta$  metabolism <sup>38</sup>. The toxic events of ApoE are  
 173 thought to initiate when the lipoproteins bind to several cell-surface receptors to deliver lipids  
 174 and to amyloid- $\beta$  (A $\beta$ ) peptide; this in turn leads to synaptic dysfunction <sup>37</sup>. Normally each  
 175 ApoE isoform enhances the degradation of A $\beta$  but ApoE  $\epsilon 4$  seems to be less effective in A $\beta$   
 176 clearance <sup>37</sup>. Several mechanisms have been proposed for the role of ApoE in AD, such as  
 177 promoting aggregation of A $\beta$  or phosphorylation of tau (Fig. 5).

	<i>APOE4</i>		
	Non-carrier	Heterozygous	Homozygous
AD frequency	20%	47%	91%
Mean age of clinical onset	84-yr	76-yr	68-yr

178  
 179 **Figure 4:** Apolipoprotein  $\epsilon 4$  (*APOE*  $\epsilon 4$ ) as a genetic risk factor for AD. Adapted from <sup>37</sup>.  
 180 Reprinted by permission from: Springer Nature, Nature Reviews Neurology, Apolipoprotein E

181 and Alzheimer disease: risk, mechanisms and therapy, Chia-Chen Liu, Takahisa Kanekiyo,  
182 Huaxi Xu, Guojun Bu (2013). License Number 4279310010694.  
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184  
185 **Figure 5:** Proposed mechanisms for the role of apolipoprotein (ApoE) in AD pathogenesis.  
186 The major effect of ApoE isoforms on AD development is via its effect on A $\beta$  aggregation and  
187 clearance. Other mechanisms, including the effects of ApoE isoforms on synaptic function,  
188 neurotoxicity, tau phosphorylation, and neuroinflammation, may also contribute. Independent  
189 of *ApoE* genotype, differences in the ApoE levels and lipidation state may also mediate  
190 processes involved in AD pathogenesis. Adapted from <sup>38</sup> (doi: [10.1038/nrneurol.2012.263](https://doi.org/10.1038/nrneurol.2012.263)).  
191 No changes have been made to the figure; License Number 4278980016081.

192 Other genetic factors that increase the risk of early-onset AD (*i.e.*, below 65 years of  
193 age) include mutations in *Amyloid Precursor Protein (APP)*, *Presenilin 1 (PSEN1)* and  
194 *Presenilin 2 (PSEN2)*. APP is cleaved into fragments by  $\alpha$ -,  $\beta$ - and  $\gamma$ -secretases; proteolysis by  
195  $\alpha$ - and  $\gamma$ -secretases results in non-pathogenic fragments whereas proteolysis by  $\beta$ - and  $\gamma$ -  
196 secretases produces a mixture of A $\beta$  peptides: A $\beta_{1-40}$  (90%) and A $\beta_{1-42}$  (10%). A $\beta_{1-42}$  peptides

217 are more likely to aggregate and form amyloid plaques in AD patients <sup>39</sup>. PSEN1 and PSEN2  
218 proteins are essential components of the  $\gamma$ -secretase; thus, mutations of *PSEN1* and *PSEN2*  
219 result in an increased ratio  $A\beta_{1-42} / A\beta_{1-40}$ , either through an increased  $A\beta_{1-42}$  production or  
220 decreased  $A\beta_{1-40}$  production, or a combination of both. However, other studies have  
221 demonstrated contradictory results showing decreased or unchanged levels of the proteins <sup>40</sup>,  
222 <sup>41</sup>. Another study has suggested that even though they found no differences in the CSF  $A\beta_{1-42}$   
223 or  $A\beta_{1-40}$  production rate, there was an impairment of the clearance rate which subsequently led  
224 to higher levels of the protein <sup>42</sup>.

225 Over the years, different mechanisms have been proposed for the pathogenesis of AD  
226 and many more are suggested as our knowledge of the disease continues to evolve <sup>43, 44</sup>. The  
227 two main hypotheses that have prevailed though include the amyloid cascade hypothesis which  
228 leads to the aggregation of toxic  $A\beta$  oligomers, subsequently creating the extracellular  $A\beta$   
229 plaques in the brain, and the tau hypothesis which involves hyperphosphorylation of protein  
230 tau causing aggregation and deposits in the brain as intracellular neurofibrillary tangles (NFTs)  
231 <sup>45</sup>. In a healthy brain, tau protein binds to microtubules to stabilise them with neuron cells and  
232 facilitate effective transport within the cell <sup>46</sup>; in AD, however, tau protein becomes hyper-  
233 phosphorylated which causes its detachment from the microtubules and subsequently the  
234 formation of oligomers and tangles. The theory of tau hyperphosphorylation is not universally  
235 accepted with some suggesting that post-translational modifications, other than  
236 phosphorylation, could promote the aggregation of tau; acetylation of tau, for instance, has  
237 been previously proposed to play a significant role in this <sup>47</sup>. The initial sites and spread of  
238 neurofibrillary tangles within the brain are entirely predictable; they start in the allocortex of  
239 the medial temporal lobe (entorhinal cortex and hippocampus), then spread to the associative  
240 isocortex, sparing the primary sensory, motor and visual areas until the very end stages <sup>48, 49</sup>.  
241 Similarly,  $A\beta$  deposition is also predictable <sup>50</sup>, starting in the isocortical areas of the brain, then

222 spreading to allocortical brain regions and in the later stages to subcortical structures, including  
223 the basal ganglia and the cerebellar cortex <sup>48</sup>.

#### 224 **Dementia with Lewy bodies (DLB)**

225 DLB is the second most common type of dementia after AD, sharing clinical and  
226 pathological characteristics with both AD and PD. The incidence of DLB had been estimated  
227 ~0.1% a year for the general population and accounts for 3.8% of new dementia cases <sup>51, 52</sup>.  
228 The pathological hallmark of this type of dementia is the formation of characteristic clumps of  
229 proteins, called Lewy bodies (LBs). The main structural component of LBs is  $\alpha$ -synuclein  
230 which is also found in patients with PD and multiple system atrophy (MSA), all of which are  
231 defined as synucleinopathies <sup>53</sup>. However, LBs have also been associated with neurofibrillary  
232 tangles and A $\beta$  plaques which are mostly present in AD. Alpha-synuclein consists of 140 amino  
233 acids and is encoded by the *SNCA* gene <sup>54</sup>. Nevertheless, due to the constant and abundant A $\beta$ <sub>42</sub>  
234 in DLB cases, it has been suggested that synucleinopathy is also promoted by *APP* dysfunction  
235 <sup>55</sup>.

236 DLB and AD have many symptoms in common leading to frequent misdiagnosis.  
237 Differential diagnosis of the two subtypes of dementia is crucial to provide a more accurate  
238 prognosis, administration of the appropriate treatment and/or inclusion to a suitable clinical  
239 trial. For instance, even though DLB cases respond well to drugs prescribed to AD patients,  
240 such as cholinesterase inhibitors, they also have severe neuroleptic sensitivity reactions, which  
241 are associated with significantly increased morbidity and mortality <sup>56</sup>. Previous work studying  
242 the survival and mortality differences between AD and DLB showed that DLB patients had  
243 increased risk of mortality with a median survival time of 78 years, which in AD was 84.6  
244 years <sup>57</sup>.

245 In an effort to improve the management of this disorder, new international guidelines  
246 were very recently established <sup>6</sup>. Clinically, DLB presents with symptoms of dementia and  
247 delirium-like alterations in cognition, attention and arousal. Other clinical symptoms, less  
248 frequent in AD, include visual hallucinations, rapid eye movement (REM) sleep behaviour  
249 disorder and Parkinsonism. Other, supportive symptoms indicating the disease are  
250 hypersomnia, presenting as excessive daytime sleepiness and hyposmia, which occurs earlier  
251 in DLB than AD cases. Imaging, genetic and fluid biomarkers have also been established for  
252 the diagnosis of DLB <sup>6</sup>. It has also been suggested that accumulation of LB pathology starts in  
253 the brainstem, then spreads progressively to limbic regions and finally cerebral neocortex <sup>58</sup>.

#### 254 **Frontotemporal dementia (FTD)**

255 Frontotemporal lobar degeneration (FTLD) is a broader term to describe three  
256 syndromes that affect the frontal and temporal lobes of the brain: frontotemporal dementia  
257 (FTD) mainly causing behavioural changes, semantic dementia (SD) mainly causing impaired  
258 word comprehension and semantic memory, and progressive non-fluent aphasia (PNFA)  
259 mainly causing impaired speech production <sup>59, 60</sup>. Of those, FTD, or else Pick's disease, is the  
260 most common clinical phenotype; it is thought to be third after AD and DLB, with a prevalence  
261 ranging from 3% to 26% in people with early onset dementia (*i.e.*, <65 years of age) <sup>61</sup>. This  
262 subtype is particular common in younger patients (*i.e.*, <45 years: 10% prevalence; 45-64  
263 years: 60% prevalence; >64 years: 30% prevalence). As the disease progresses with duration,  
264 patients develop global cognitive impairment and motor deficits which inevitably lead to death.  
265 Death usually occurs after eight years after symptom onset and is frequently due to pneumonia  
266 or secondary infections <sup>61</sup>.

267 Some of the clinical symptoms of FTD include progressive deterioration of behaviour  
268 and/or cognition as well as behavioural disinhibition (*e.g.*, socially inappropriate behaviour or

269 loss of manners), apathy or inertia, loss of sympathy and empathy (*e.g.*, diminished response  
270 to others' needs and feelings), stereotyped or compulsive/ritualistic behaviour (*e.g.*, repetitive  
271 movements) or hyperorality and dietary changes (*e.g.*, consumption of inedible objects, altered  
272 food preferences)<sup>62</sup>. Due to the similarity of behavioural changes with those seen in psychiatric  
273 disorders, such as compulsive behaviours, delusions and euphoria, diagnosing FTD can be  
274 challenging<sup>61</sup>. Also, overlap of symptoms with other neurodegenerative disorders such as AD,  
275 DLB, corticobasal syndrome (CBS) and progressive supranuclear palsy (PSP), renders the  
276 differential diagnosis even more difficult<sup>60</sup>.

### 277 **Vascular dementia (VaD)**

278 VaD, also known as a single- or multi-infarct dementia, causes around 10% of dementia  
279 cases and develops in around 15-30% of individuals three months after a stroke.<sup>63</sup> Risk factors  
280 for VaD can be divided into four categories: demographic (*e.g.*, age, gender, educational level),  
281 genetic (*e.g.*, ApoE4, familial vascular encephalopathies), atherosclerotic (*e.g.*, hypertension,  
282 smoking, myocardial infarction, diabetes mellitus) and stroke-related (*e.g.*, volume of cerebral  
283 tissue lost, bilateral cerebral infarction, white matter disease)<sup>64</sup>. Having one or two *ApoE4*  
284 alleles has been found to elevate the risk but not to the same extent as in AD<sup>65</sup>.

285 VaD patients can present with different extents of impaired memory and, in contrast to  
286 AD, this criterion of memory disturbance cannot provide an accurate diagnosis. Cognitive  
287 changes also vary significantly, and thus it is thought that the classical mini-mental state  
288 examination (MMSE) may be less efficient for VaD. Another difference from AD is that the  
289 brain pathology is not developing in a predictable pattern and there is still no agreed  
290 pathological scheme to facilitate diagnosis and staging. Trials that have utilised drugs originally  
291 destined for AD have shown that these may not be appropriate for VaD as well<sup>63</sup>. The rationale  
292 for trial of cholinesterase inhibitors and memantine (both established for AD) in VaD patients

293 was based on evidence of their common features and specifically the cholinergic deficit seen  
294 in VaD. However, it was later suggested that the cholinergic system might not be affected in  
295 VaD alone, but be affected to the same extent as in AD in cases of mixed dementia (*i.e.*, VaD  
296 and AD). Even though there has been substantial progress, VaD is yet under-investigated and  
297 further research is necessary to elucidate the pathologic mechanisms and facilitate treatment  
298 strategies.

### 299 **Parkinson's disease dementia (PDD)**

300 As patients with Parkinson's disease (PD) progress with time, they often develop a  
301 progressive dementia which is similar to AD and DLB. For PDD, a preceding diagnosis of PD,  
302 before any symptoms of dementia, is necessary; in contrast, when both parkinsonism and  
303 dementia arise in early stages, then DLB is the most likely cause of degeneration <sup>66</sup>. The  
304 prevalence of PDD has been estimated to almost 0.2-0.5% in individuals older than 65 years  
305 <sup>67</sup>, while the incidence rate was found 2.5 per 100,000 person/year for all ages (0-99 years),  
306 which increased to 23 per 100,000 person/year for older individuals (>65 years) <sup>68</sup>.

307 The major pathological feature of PDD is the aggregation of  $\alpha$ -synuclein mainly in the  
308 substantia nigra of the brain; these clumps impair dopaminergic nerve cells thus leading to  
309 the characteristic motor and non-motor symptoms of PD <sup>69, 70</sup>. Previous work on the clinical  
310 symptoms of PDD has shown that decline in attention, executive functions and visuo-spatial  
311 construction is greater than in AD, whereas verbal and visual memory as well as language  
312 function are less impaired than in AD <sup>71</sup>. Also, delusions have been reported to be less common  
313 than AD and DLB, prevalence of depression is thought to be higher than AD, anger and  
314 aggressive behaviour was found more common in AD and sleep quality in PDD and DLB was  
315 poorer than AD and normal controls <sup>71</sup>.

### 316 **Mixed dementia**

317 Current studies demonstrate that mixed dementia is more common than previously  
318 thought, with pathology resulting from more than one causes. Brain changes result from the  
319 combination of pathological hallmarks of different dementive diseases such as AD, DLB and  
320 VaD<sup>72, 73</sup>.

321 The coexistence of AD and VaD is a very common type of mixed dementia; according  
322 to an autopsy study, 45% AD patients also had cerebrovascular pathology<sup>74</sup>. A recent paper  
323 also indicated that in people over 80 years, mixed dementia is the norm, not the exception<sup>63</sup>.  
324 It has, thus, been proposed that assessing symptoms by investigating only one pathology may  
325 not apply to older patients who are at-risk from both AD and cerebrovascular disease<sup>9</sup>.  
326 Similarly, the majority of DLB cases also have co-existing AD pathology<sup>57, 75</sup>. A previous  
327 study has shown that combining different pathologies from AD and LBs (*i.e.*, A $\beta$ , tau and  $\alpha$ -  
328 synuclein) was a better predictor of PDD than assessing any single pathology<sup>76</sup>.

## 329 **CORRELATION OF DEMENTIA & HEAD INJURY**

330 Emerging evidence demonstrates that traumatic brain injury (TBI), occurring after  
331 repeated head injuries, is one of the risk factors for the development of dementia. Chronic  
332 traumatic encephalopathy (CTE), previously known as dementia pugilistica, is caused by TBI.  
333 The abnormal accumulation of hyperphosphorylated tau protein, along with A $\beta$  plaques, are  
334 the key components in the brains of CTE patients<sup>77</sup> which are also common to other dementia  
335 subtypes, rendering an accurate diagnosis challenging.

336 It is only after many years of repeated concussive or subconcussive injuries to the head  
337 that an individual eventually goes on to develop CTE<sup>23</sup>. This could serve as a time window  
338 and allow for a preclinical, early-phase diagnosis which may subsequently lead to the  
339 development of preventative and therapeutic strategies. Clinical symptoms accompanying CTE



340 include memory impairment, behavioural and personality changes, Parkinsonism, and  
341 abnormalities in speech and gait <sup>78</sup>.

342 Previous neuropathological studies have detected CTE in brains of athletes who played  
343 box, rugby, soccer, baseball and ice hockey, as well as in subjects who had experienced a brain  
344 trauma from physical abuse, head-banging or even an explosion in a military combat <sup>77</sup>. A very  
345 recent study on 202 deceased football players revealed that 177 of them (87%) had CTE at  
346 biopsy, suggesting that it may be related with their prior participation in football <sup>24</sup>. However,  
347 at present, a definitive diagnosis for CTE is only given after neuropathological examination  
348 and therefore, further research is needed for the further understanding and characterisation of  
349 the pathology <sup>77</sup>. Investigation is also necessary for the development of neuroimaging and other  
350 biomarkers such as CSF and blood biomarkers.

## 351 **CURRENT DETECTION METHODS**

352 A definitive diagnosis of dementia can only be given post-mortem after histopathological  
353 examination of the brain tissue. However, a working diagnosis can be provided clinically after  
354 a combination of different neuropsychological tests, brain imaging techniques as well as CSF  
355 and blood testing. Newly discovered biomarkers and techniques have been proposed to  
356 improve the diagnostic accuracy and characterization of dementive diseases (Table 1).

357 The Mini-Mental State Examination (MMSE) is the most widely used cognitive screening  
358 tool to provide an initial assessment of cognitive impairment, as well as to monitor the  
359 progression of the disease with time <sup>79</sup>. The MMSE is in the form of a 30-point questionnaire  
360 with a score less or equal to 24 denoting dementia; it assesses temporal and spatial orientation,  
361 memory as well as language and visuospatial functions. However, it requires the presence of  
362 symptoms and therefore it is not effective with preclinical, asymptomatic cases. Recent studies  
363 have shown that more tests, other than MMSE, should be used as its utility is decreased when

364 individuals with MCI and psychiatric conditions are assessed <sup>80, 81</sup>. Aside from MMSE,  
365 neurological assessment should be conducted in patients with possible cognitive impairment to  
366 evaluate ataxia, anosmia, involuntary movements, reflexes, visual acuity and other signs <sup>82</sup>. For  
367 instance, as AD progresses the patients may develop akinesia, rigidity and myoclonus due to  
368 the extended impairment of cortical and subcortical structures; patients with PDD will present  
369 with bradykinesia, akinetic-rigid symptoms, depression, early visual hallucinations due to  
370 subcortical dysfunctions in the areas of executive function and memory; the initial  
371 presentations of FTD patients include personality change, emotional problems and behavioural  
372 disturbance; in VaD some of the common clinical symptoms include dysarthria, dysphagia,  
373 rigidity, visuospatial deficits, ataxia and pyramidal or extrapyramidal signs; DLB often  
374 involves visual hallucinations, parkinsonism and fluctuating attention and alertness with  
375 intervals of clarity <sup>82</sup>. Predisposing family history is also important for a complete assessment.  
376 Even though having a first-degree relative with dementia increases the risk, it does not  
377 necessarily lead to dementia. Other environmental and lifestyle factors have been suggested to  
378 play a significant role as well <sup>83</sup>.

379 Brain imaging techniques, such as magnetic resonance imaging (MRI) and positron  
380 electron tomography (PET), are also widely used in the diagnosis and monitoring of dementias.  
381 Structural MRI can indicate the presence of neurodegeneration by showing the tissue damage  
382 and loss in characteristic regions of the brain such as the hippocampus and other temporal lobe  
383 structures <sup>36</sup>. PET imaging techniques can either use <sup>18</sup>F-fluorodeoxyglucose (<sup>18</sup>F-FDG) to  
384 measure the glucose hypometabolism and neurodegeneration, or <sup>11</sup>C Pittsburgh compound B  
385 (<sup>11</sup>C-PiB) to visualise the A $\beta$  plaques <sup>84, 85</sup>. Tau PET has been developed to visualise the  
386 regional distribution of tau pathology in vivo using suitable tau-specific tracers. The ability to  
387 investigate the patterns of tau deposition holds great promise for the future as it would facilitate  
388 the segregation between different neurodegenerative diseases, including tauopathies. It has also

389 been demonstrated that tau imaging, in contrast to A $\beta$  imaging, is strongly associated with  
390 patterns of neurodegeneration and clinical presentation of AD. It is, however, still in early  
391 stages of development and further research needs to be conducted to validate the sensitivity of  
392 tau PET for age-related tau accumulation <sup>86, 87</sup>.

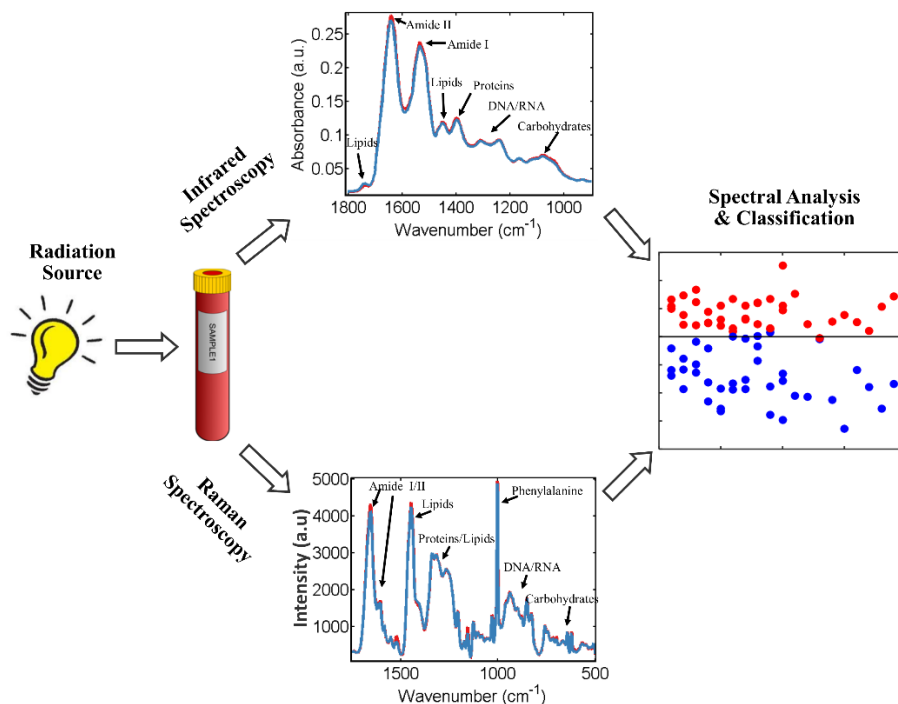
393 Biological fluids, such as cerebrospinal fluid (CSF) and blood, are increasingly utilised for  
394 the diagnosis, prognosis and monitoring of dementias <sup>88</sup>. Three of the main proteins that have  
395 been studied extensively are total tau (T-tau), phosphorylated tau (P-tau) and A $\beta_{42}$  <sup>36</sup>, but a  
396 number of other biomarkers have been recently reported to be moderately associated with AD  
397 as well, such as neurofilament light chain (NfL), vinisin-like protein 1 (VLP-1), neuron-  
398 specific enolase (NSE), heart fatty acid binding protein (HFABP) and glial activation (YKL-  
399 40) <sup>88</sup>. T-tau and P-tau have been repeatedly found elevated in patients with AD and are  
400 indicative of neuronal degeneration and accumulation of tau, respectively <sup>85</sup>. P-tau is more  
401 specific for AD whereas T-tau can be increased in other brain disorders as well, such as stroke  
402 and brain trauma non-AD dementias <sup>89</sup>. As previously mentioned, results have been  
403 controversial among different research groups <sup>90</sup>; for instance, A $\beta_{42}$  level in CSF has been  
404 reported to decrease <sup>85, 88</sup> or increase <sup>91</sup>, in comparison to healthy subjects, but was found  
405 unchanged in blood plasma samples <sup>88</sup>. Other studies have reported a reduction in plasma A $\beta_{42}$   
406 in MCI and AD subjects <sup>92</sup> while serum A $\beta_{42}$  was found unchanged in AD and healthy normals  
407 <sup>93</sup>. The inconsistent results may occur due to changes in age and timing relative to incident AD  
408 <sup>94</sup>. A more detailed summary of these biomarkers is given in Table 1.

## 409 **BIOSPECTROSCOPY AS AN EMERGING DIAGNOSTIC MEANS**

410 Vibrational spectroscopy has been increasingly used in biomedical research to  
411 discriminate and classify normal and pathology. Interrogation of samples with spectroscopic  
412 techniques, and more specifically infrared (IR) and Raman spectroscopy, allows for the

413 generation of a “spectral fingerprint” which subsequently facilitates the discrimination of  
414 different populations and identification of potential biomarkers. As previously described,  
415 mixed dementias are now recognised as a highly common phenomenon; with this in mind, we  
416 believe that targeting specific molecules and investigating separate pathological pathways may  
417 not provide a complete picture. On the contrary, with spectroscopy it is feasible to  
418 simultaneously study a range of different biomolecules. Unlike immunological methods, which  
419 detect only one molecule at a time, the spectra obtained from a clinical sample represent a range  
420 of biomolecules such as proteins, lipids and carbohydrates (Figure 6).

421 Briefly, spectroscopic methods explore the interaction between matter and light; the  
422 biological sample in question (*e.g.*, tissue, CSF, blood) is shone with light of specific  
423 electromagnetic radiation which causes the samples’ molecules to vibrate. These characteristic,  
424 generated movements are then detected and depicted in the form of a spectrum. Spectral peaks  
425 correspond to specific biomolecules and can be used as potential biomarkers for disease.  
426 Further spectral analysis can also allow classification of the diseased and healthy population  
427 and diagnostic values (*i.e.*, sensitivity and specificity) can be determined.



428

429 **Figure 6:** The basic principle of biospectroscopy: a source is used to direct radiation to the  
430 clinical sample and cause vibrations to its molecules – spectral information is generated –  
431 spectral analysis allows for classification and biomarker extraction.

432 At present, a number of spectroscopic studies have achieved promising results in  
433 diagnosing dementia subtypes and some examples will be presented in this section. Two decades  
434 ago, the first evidence of the structure of A $\beta$  plaques was revealed by IR microspectroscopy  
435 methods after in situ analysis of a section of AD brain <sup>95</sup>. This showed that the plaques in the  
436 brain consisted of  $\beta$ -sheet in contrast to the surrounding areas which gave signal of  $\alpha$ -helical  
437 and/or unordered conformation.

438 Low levels of unsaturated lipids have been suggested to increase the risk or severity of  
439 AD. Using IR imaging, Leskovjan *et al.*, visualised the unsaturated lipid levels in the axonal,  
440 dendritic and somatic layers of the hippocampus of an AD mouse model as a function of plaque  
441 formation <sup>96</sup>. As the disease progressed, the lipid unsaturation in the axonal layer was found  
442 significantly lower when compared to normal aging subjects, suggesting that maintenance the  
443 level of unsaturated lipid content may be critical in slowing down the disease.

444 A following paper tested 50 AD cases against 14 healthy subjects with both IR and  
445 Raman spectroscopy to account for potential changes in peripheral blood <sup>97</sup>. An increased  
446 spectral peak found in AD patients, denoted  $\beta$ -sheet enrichment and was attributed to A $\beta$  peptide  
447 formation. Diagnostic approaches were used to distinguish the patients from the healthy  
448 individuals and achieved an accuracy of ~94%.

449 Another study analysed both CSF and blood plasma using an immune-IR-sensor to  
450 measure the A $\beta$  peptide secondary distribution <sup>98</sup>. The IR-sensor detected a significant  
451 downshift of the Amide I spectral region in patients with AD. The authors concluded that the  
452 shift signalled the transition from a healthy to a dementive status which was depicted in the

453 spectra from a transition from  $\alpha$ -helical ( $1652\text{ cm}^{-1}$ ) to  $\beta$ -sheet ( $1627\text{ cm}^{-1}$ ) spectral region. The  
454 achieved diagnostic accuracy was 90% for CSF and 84% for blood samples.

455         Recently, Paraskevaidi *et al.* published the results of a large-cohort study showing IR  
456 spectroscopy's ability to discriminate different types of dementia in blood <sup>99</sup>. The study  
457 incorporated AD, DLB and FTD as well as other neurodegenerative disorders, such as PD, and  
458 achieved exceptionally high diagnostic accuracy. Distinctive patterns were seen between the  
459 dementia subtypes representing different pathological changes, mostly attributed to proteins  
460 and lipids. The high sensitivity and specificity achieved for distinguishing AD from DLB were  
461 outstanding (90%) and would potentially provide an excellent diagnostic test. A small number  
462 of early-stage AD cases was also included and showed 80% sensitivity and 74% specificity. A  
463 following study by the same group employed Raman spectroscopy achieving equal, and in  
464 some cases even higher, diagnostic accuracies, thus establishing the effectiveness of bio-  
465 spectroscopy as a diagnostic tool <sup>100</sup>. An additional advantage of Raman spectroscopy over IR  
466 is its ability to analyse aqueous samples which would allow the analysis of fresh samples  
467 without the need of prior dehydration; this would be particularly beneficial for use in a clinic.

468         The inherently weak signal of spontaneous Raman spectroscopy can be addressed by  
469 employing signal enhancement techniques, such as surface enhanced Raman spectroscopy  
470 (SERS) or coherent anti-Stokes Raman scattering (CARS). A recent review by Devitt *et al.*,  
471 has explored the promise of Raman spectroscopic techniques as an emerging tool to study and  
472 diagnose neurodegenerative disorders <sup>101</sup>. A number of diseases have been reviewed in this  
473 paper, namely AD, PD, prion diseases and Huntington's disease. The cost-effectiveness of  
474 spectroscopy over other expensive and laborious techniques has also been demonstrated,  
475 suggesting its potential for translation into clinic. More studies that have employed  
476 spectroscopy to study different types of dementias and their mechanisms are given in Table 1.

## 477 CONCLUSIONS AND FUTURE PERSPECTIVE

478

479 Improvement of health care and scientific breakthroughs have resulted in increased life  
480 expectancy. Data from the World Health Organization (WHO) have indicated that global  
481 average life expectancy increased by 5 years between 2000-2015, making it the fastest increase  
482 since 1960s; this is estimated to increase by 4 more years by 2030 <sup>102</sup>. Due to their common  
483 appearance at an older age, neurodegenerative diseases have become a major challenge for  
484 scientific and medical communities. It is now thought that future treatments aiming to delay or  
485 even stop/reverse the disease would be effective if administered at an early stage. Therefore, it  
486 is crucial to develop new techniques and biomarker tests that would allow the detection of  
487 presymptomatic individuals. An on-time diagnosis of patients who are destined to develop the  
488 disease would allow them to enroll in clinical trials with the hope that this would prevent the  
489 disease.

490 Another important consideration is that the affected persons and their families need to  
491 be adequately informed about the disease characteristics, symptoms, prognosis, available  
492 treatments and ongoing clinical trials so that they can plan their future, develop strategies and  
493 seek healthcare assistance if necessary.

494 A more reliable, affordable and less-invasive test is an unmet need in the field of  
495 neurodegeneration. Despite the significant advancement in deciphering the underlying  
496 pathology and mechanisms, these diseases remain incurable. Much effort has been put into  
497 alternative methodologies such as spectroscopic methods, which provide a panel of different  
498 biomolecules, rather than focusing on specific molecules, such as A $\beta$  and tau proteins.  
499 Biospectroscopy can be a label-free, non-destructive and inexpensive method and it has shown  
500 potential as a means for diagnosing and/or monitoring disease progression. Surely, as with  
501 every novel method or biomarker, additional research is needed for the repetition and validation

502 of current studies in larger cohorts and from different research groups. The new knowledge  
503 acquired could then be incorporated into the diagnostic criteria and guidelines. Minimally  
504 invasive sampling, such as in blood plasma and serum, are gaining increasing attention as  
505 biomarkers in neurodegenerative diseases. Changes in the blood are often subtle and may  
506 reflect a range of peripheral and central processes; however, with increasing age the blood-  
507 brain barrier is disrupted and it has also been found that 500 ml of CSF is daily discharged into  
508 the bloodstream which renders it an information-rich sample <sup>103, 104</sup>.

509 To summarise, there has been a great advancement in the understanding of the complex  
510 neurodegenerative processes. World-leading experts are now confident that we are  
511 approaching a major breakthrough in the field of dementia which could potentially improve  
512 patients' lives by alleviating or even curing the devastating symptoms of the condition. There  
513 is also a strong consensus that a definitive and early diagnosis would more likely be given after  
514 a combination of different biomarkers and analytical methods, rather than a focus on traditional  
515 approaches; perhaps an unconventional and “fresh” look on the problem is the key for a turning  
516 point in dementia research. Increasing research funding is also a very important factor that has  
517 to be secured in order to accelerate the pace of progress and continuous efforts should be made  
518 to maintain this.

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## 521 **AUTHOR CONTRIBUTIONS**

522 MP conducted the literature search and assessed the studies that were included in this review;

523 MP wrote the manuscript; PLMH and FLM provided constructive feedback during manuscript

524 preparation. All authors have contributed with critical revisions to manuscript.



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977 **Table 1: Biomarkers for the diagnosis of dementia subtypes.**

Study	Technique	Type of Dementia	Sample	Outcome/Accuracy
<i>Imaging Tests</i>				
Frisoni, 2017 <sup>85</sup>	MRI	AD	In vivo imaging	Decreased volume of hippocampus & temporal lobe structures due to tissue loss & neurodegeneration
	<sup>18</sup> FDG-PET	AD	In vivo imaging	Decreased uptake due to glucose hypometabolism & neurodegeneration
	Amyloid PET	AD	In vivo imaging	Increased binding due to A $\beta$ in the cortex
Saint-Aubert, 2017 <sup>105</sup>	Tau PET	AD, FTLN, DLB	In vivo imaging	In contrast to A $\beta$ plaques, tau protein aggregates primarily intracellularly rendering it difficult to access in vivo. Novel (~5 yrs) tau PET tracers show promise for the discrimination between neurodegenerative diseases and monitoring of disease progression; more research is required as, despite promising, it has been suggested that the tracer might not bind substantially to the tau burden
McKeith, 2017 <sup>6</sup>	SPECT/PET	AD, DLB	In vivo imaging	Reduced DAT uptake in basal ganglia provided 78% sensitivity and 90% specificity
	<sup>123</sup> Iodine-MIBG scintigraphy	AD, DLB	In vivo imaging	Reduced uptake on MIBG myocardial scintigraphy was reported in LB disease; sens (69%) and specif (87%) values that discriminated between probable DLB and AD, increased to 77% and 94% in milder cases
	CT/MRI	AD, DLB	In vivo imaging	Relative preservation of medial temporal lobe (MTL) structures on CT/MRI scan; in contrast to AD, DLB patients do not show a great atrophy of MTL; 64% sens and 68% specif were the values for separating AD from DLB
	Amyloid PET	AD, DLB	In vivo imaging	Increased A $\beta$ deposition in >50% DLB patients; limited value in differentiating from AD; combining biomarkers could improve differential diagnosis

	Tau PET	AD, DLB	In vivo imaging	Tau PET imaging, along with MTL atrophy, may indicate coexisting AD pathology in DLB
Ossenkoppele, 2016 <sup>87</sup>	Tau, A $\beta$ and <sup>18</sup> F-DG PET	AD	In vivo imaging	Tau imaging, in contrast to A $\beta$ , showed a strong regional association with clinical and anatomical heterogeneity in AD; results from a novel PET tracer were promising but still preliminary, requiring further research
Beach, 2014 <sup>106</sup>	Amyloid PET	AD	In vivo imaging	The diagnostic accuracy of a positive A $\beta$ scan was estimated at between 69%-95% sens and 83%-89% specif.
Richard, 2013 <sup>107</sup>	MRI	MCI	In vivo imaging	After administration of a short memory test, the added improvement in classification, coming from an MRI, was only +1.1%, showing it does not substantially affect the diagnostic accuracy for predicting progression in MCI patients; the study highlights the importance of the order of different tests when assessing cognitive complaints
Frisoni, 2010 <sup>36</sup>	MRI	AD	In vivo imaging	Atrophy of medial temporal structures is a valid biomarker of AD and its progression; MRI is also a partially validated candidate marker for MCI and non-AD dementias
McKeith, 2005 <sup>58</sup>	MRI	DLB	In vivo imaging	Preserved medial temporal lobes (relative to AD)
Neary, 1998 <sup>108</sup>	MRI	FTLD	In vivo imaging	Focal frontal or temporal atrophy
Roman, 1993 <sup>109</sup>	MRI	VaD	In vivo imaging	Strategic infarct or extensive white matter changes

### ***Biomarker Tests***

Frisoni, 2017 <sup>85</sup>	Proteomics	AD	CSF	Decreased A $\beta$ <sub>42</sub> or A $\beta$ <sub>42</sub> :A $\beta$ <sub>40</sub> ratio due to abnormal A $\beta$ metabolism; increased T-tau and P-tau due to neuronal damage and accumulation of tau
Mattsson, 2017 <sup>110</sup>	Proteomics	AD, MCI	CSF & Blood Plasma	Plasma NFL was correlated with CSF NFL and was increased in MCI and AD when compared to HC; high

				NFL levels were correlated with poor cognition and AD-related atrophy; diagnostic accuracy was 87%; however, plasma NFL levels are increased in other neurological disorders too and thus, could not be used for differential diagnosis of AD
McKeith, 2017 <sup>6</sup>	Proteomics	DLB	CSF, blood, peripheral tissue	Biomarkers for DLB are elusive and the understanding of the core biomarkers remains limited; CSF $\alpha$ -synuclein is not yet proven as a biomarker, while A $\beta$ and tau may be more useful in detecting coexisting AD
Tatebe, 2017 <sup>111</sup>	Proteomics	AD, VaD	Blood Plasma	Plasma levels of P-tau181 were significantly higher in AD than in HC, providing 60% sens and 86% specif; P-tau181 levels in AD and VaD were significantly correlated with those in CSF; further study was suggested to validate the preliminary results
Olsson, 2016 <sup>88</sup>	Proteomics	AD	CSF & Blood serum/plasma	The core CSF biomarkers for neurodegeneration (T-tau, P-tau and A $\beta$ 42), CSF NFL and plasma T-tau were associated with AD; the core biomarkers were strongly associated with MCI due to AD; promising CSF biomarkers also included NSE, VLP-1, HFBP and YKL-40; plasma A $\beta$ 42 and A $\beta$ 40 were not strongly associated with AD
Wolters, 2016 <sup>112</sup>	Proteomics	AD	Blood Serum	APOE associated with long-term risk of AD in general population; additional value was limited
Forlenza, 2015 <sup>113</sup>	Proteomics	AD	CSF	A $\beta$ 42 levels showed 89% sens and 70% specif; T-tau levels showed 82% sens and 67% specif; P-tau levels showed 83% sens and 49% specif; A $\beta$ 42:P-tau ratio showed 88% sens and 78% specif; A $\beta$ 42:T-tau ratio showed 80% sens and 80% specif; combining A $\beta$ 42 and A $\beta$ 42:P-tau ratio was able to predict the conversion in 2 yrs
González-Domínguez, 2015 <sup>114</sup>	Metabolomics	AD	Blood Serum	Alterations in the levels of 23 metabolites were detected in AD patients; metabolic pathway analysis showed different impairments such

				as hypometabolism, oxidative stress, hyperammonemia and others
Hye, 2014 <sup>115</sup>	Proteomics	AD, MCI	Blood Plasma	Sixteen proteins correlated with disease severity and cognitive decline; strongest associations were in the MCI group with a panel of 10 proteins predicting progression to AD with 85% sens and 88% specif
Mapstone, 2014 <sup>116</sup>	Lipidomics	AD	Blood Plasma	In a 5-yr observational study, a panel of ten lipids was shown to predict phenoconversion to either amnesic MCI or AD within a 2-3 yr. timeframe; accuracy was found 90%
Chiu, 2013 <sup>117</sup>	Proteomics	AD, MCI	Blood Plasma	A $\beta$ <sub>42</sub> and tau protein are significantly lower in the HC group; differentiation of MCI from AD was achieved with ~90% accuracy; combined biomarkers differentiate HC from MCI and AD
Trushina, 2013 <sup>118</sup>	Metabolomics	AD, MCI	CSF & Blood Plasma	Researchers found 23 altered pathways in plasma and 20 in CSF after the comparison of MCI <i>versus</i> HC; the number of affected pathways increased with disease severity; affected pathways included energy metabolism, mitochondrial function, lipid biosynthesis and others; data from this study suggested that metabolomics could reveal early disease mechanisms shared in progression from HC to MCI and AD
Richard, 2013 <sup>107</sup>	Proteomics	MCI	CSF	After administration of a short memory test, the added improvement in classification, coming from a CSF test (P-tau:A $\beta$ ratio), was -2.2%, showing it does not improve the diagnostic accuracy for predicting progression in MCI patients; the study highlights the importance of the order of different tests when assessing cognitive complaints
Zetterberg, 2013 <sup>119</sup>	Proteomics	AD, MCI	CSF & Blood Plasma	Tau levels in AD plasma were increased when compared to MCI and HC but with overlapping ranges across the groups which diminishes its utility as a diagnostic test; there was also no correlation between plasma tau and CSF tau which may

				be due to its clearance from the bloodstream (within 24 hrs)
Blennow, 2010 <sup>120</sup>	Proteomics	AD	CSF & Blood Plasma	CSF A $\beta$ <sub>42</sub> level is reduced in AD and prodromal AD; CSF P-tau and T-tau levels are increased in AD and prodromal AD and are indicative of tau phosphorylation and neuronal degeneration, respectively; a panel of 18 plasma proteins has been reported to diagnose & predict AD in MCI; contradictory results in plasma A $\beta$ <sub>42</sub> or A $\beta$ <sub>40</sub> may reflect that peripheral plasma does not reflect A $\beta$ metabolism; plasma levels of complement factor H (CFH) and alpha-2-macroglobulin (A2M) were increased in AD
Cedazo-Minguez, 2010 <sup>40</sup>	Proteomics	AD	Blood Plasma	Plasma total A $\beta$ or A $\beta$ <sub>42</sub> levels were found increased in familial AD but the results were not consistent in sporadic AD; elevated A $\beta$ <sub>42</sub> levels, low levels of A $\beta$ <sub>42</sub> or a reduced A $\beta$ <sub>42</sub> /A $\beta$ <sub>40</sub> ratio may indicate the conversion from HC to MCI or AD
Lui, 2010 <sup>92</sup>	Proteomics	AD	Blood Plasma	Lower A $\beta$ <sub>42</sub> :A $\beta$ <sub>40</sub> ratio in AD; A $\beta$ <sub>42</sub> reduction in MCI and AD
Brys, 2009 <sup>121</sup>	Proteomics	AD, MCI	CSF	P-tau <sub>231</sub> was the strongest predictor of the decline from MCI to AD; isoprostane levels showed longitudinal progression effects
Lambert, 2009 <sup>122</sup>	Genomics	AD	DNA samples	Markers with suggestive evidence of association with AD, apart from APOE, were examined; two loci gave replicated evidence: one within CLU (or else APOJ) on chromosome 8 and the other within CR1 on chromosome 1; CLU and CR1 are involved in the clearance of A $\beta$
Lopez, 2009 <sup>123</sup>	Proteomics	AD	Blood Plasma	Plasma levels of A $\beta$ <sub>40</sub> and A $\beta$ <sub>42</sub> were not associated with incident AD after adjustment for age and vascular risk factors; A $\beta$ not useful as a biomarker
Roher, 2009 <sup>124</sup>	Proteomics	AD	Blood Plasma, Platelets & Peripheral Tissues	Plasma A $\beta$ fluctuated over time and among individuals, failing as a biomarker; substantially higher A $\beta$ was found in liver tissue from AD; brain & skeletal muscle has elevated A $\beta$

Bian, 2008 <sup>125</sup>	Proteomics	AD, FTLD	CSF	T-tau and T-tau:A $\beta$ <sub>42</sub> levels were significantly lower in FTLD than in AD; T-tau:A $\beta$ <sub>42</sub> ratio was a sensitive biomarker distinguishing FTLD from AD with 79% sens and 97% specif
Blasko, 2008 <sup>126</sup>	Proteomics	AD, MCI	Blood Plasma	Plasma levels of A $\beta$ <sub>42</sub> alone is not a suitable biomarker for predicting AD; A $\beta$ <sub>42</sub> increase seems to be an initial event in AD and changes in the levels may reflect a transition from HC/MCI to AD. HC to MCI converters were found with ~60% sens/specif, while HC to AD converters with ~50% sens and 63% specif
Schupf, 2008 <sup>127</sup>	Proteomics	AD	Blood Plasma	Higher A $\beta$ <sub>42</sub> levels at the onset of this 4.6 yr follow-up study, were associated with a threefold increased risk of AD; conversion to AD was accompanied by a decline in A $\beta$ <sub>42</sub> and A $\beta$ <sub>42</sub> :A $\beta$ <sub>40</sub> ratio which may indicate compartmentalization of A $\beta$ in the brain
Sundelof, 2008 <sup>94</sup>	Proteomic	AD, VaD, FTD, PDD	Blood Plasma	Low A $\beta$ <sub>40</sub> levels predicted incident AD in elderly men (77 yrs); A $\beta$ <sub>42</sub> was not significantly associated with AD; high ratio of A $\beta$ <sub>42</sub> :A $\beta$ <sub>40</sub> was associated with VaD risk
Abdullah, 2007 <sup>93</sup>	Proteomics	AD	Blood Serum & Plasma	AD patients had significantly higher A $\beta$ <sub>40</sub> but no difference in A $\beta$ <sub>42</sub> levels; serum A $\beta$ <sub>42</sub> :A $\beta$ <sub>40</sub> ratio was lower in AD
Ewers, 2007 <sup>128</sup>	Proteomics	AD, MCI	CSF	Levels of A $\beta$ <sub>42</sub> are decreased in AD and MCI, while levels of T-tau and P-tau are increased; P-tau levels were a significant predictor of conversion from MCI to AD, independent of age, gender, MMSE and <i>APOE</i> genotype
Graff-Radford, 2007 <sup>129</sup>	Proteomics	AD, MCI	Blood Plasma	A $\beta$ <sub>42</sub> :A $\beta$ <sub>40</sub> ratio may be a useful premorbid biomarker for cognitive normal individuals who are at risk of MCI or AD; subject with lower A $\beta$ <sub>42</sub> :A $\beta$ <sub>40</sub> levels showed significantly higher risk for MCI or AD and had greater cognitive decline
Hansson, 2006 <sup>130</sup>	Proteomics	AD, MCI	CSF	CSF concentrations of T-tau, P-tau <sub>181</sub> and A $\beta$ <sub>42</sub> were strongly associated with future development of AD in MCI patients; combination of T-tau

				and A $\beta$ <sub>42</sub> yielded 95% sens and 83% specif for detection of incipient AD in MCI; combination of T-tau and A $\beta$ <sub>42</sub> /P-tau <sub>181</sub> yielded 95% sens and 87% specif
Pesaresi, 2006 <sup>131</sup>	Proteomics	AD, MCI	Blood Plasma	Reduction of plasma A $\beta$ <sub>42</sub> as marker for AD, specifically a transition from HC/MCI to AD
van Oijen, 2006 <sup>132</sup>	Proteomics	AD, VaD	Blood Plasma	High concentrations of A $\beta$ <sub>40</sub> along with low concentrations of A $\beta$ <sub>42</sub> showed increased risk of dementia; increased A $\beta$ <sub>42</sub> :A $\beta$ <sub>40</sub> ratio showed reduced risk of dementia; associations were similar for AD and VaD
Rüetschi, 2005 <sup>133</sup>	Proteomics	FTD	CSF	Forty-two protein peaks were differentially expressed in FTD in comparison to non-demented controls; ten peaks were selected, five of which were increased and five decreased, allowing sens of 94% and specif of 83%
Sobow, 2005 <sup>134</sup>	Proteomics	AD, MCI	Blood Plasma	Plasma levels of A $\beta$ <sub>42</sub> were higher in MCI in comparison to HC and AD; A $\beta$ <sub>40</sub> did not differ between the groups; A $\beta$ would not allow an accurate differential diagnosis of AD but might be useful for MCI patients (~95% sens and ~75% specif)
Assini, 2004 <sup>135</sup>	Proteomics	MCI	Blood Plasma	Levels of A $\beta$ <sub>42</sub> were slightly higher in MCI than in HC but did not reach significance; when grouped for sex, women with MCI had increased A $\beta$ <sub>42</sub> ; no significant sex-related were found for A $\beta$ <sub>40</sub>
Hempel, 2004 <sup>136</sup>	Proteomics	AD, MCI, VaD, FTD, DLB	CSF	P-tau <sub>181</sub> differentiated AD and DLB, whereas P-tau <sub>231</sub> differentiated AD and FTD; P-tau <sub>396/404</sub> was a promising biomarker to differentiate AD and VaD; high P-tau <sub>231</sub> levels may indicate progressive cognitive decline in MCI subjects
Fukumoto, 2003 <sup>137</sup>	Proteomics	AD	Blood Plasma	Plasma A $\beta$ levels increased significantly with age but were correlated to age rather than diagnosis, medication or <i>APOE</i> genotype, thus A $\beta$ is not sensitive or specific biomarker of AD or MCI
Zetterberg, 2003 <sup>138</sup>	Proteomics	AD, MCI	CSF	Combination of three CSF biomarkers (T-tau, P-tau, A $\beta$ <sub>42</sub> ) can



				detect early AD among patients with MCI with 68% sens and 97% specif
Mehta, 2000 <sup>139</sup>	Proteomics	AD	CSF & Blood Plasma	Plasma A $\beta$ <sub>40</sub> elevated in AD but not useful to support the clinical diagnosis due to considerable overlap; plasma A $\beta$ <sub>42</sub> similar between AD and HC; CSF A $\beta$ <sub>40</sub> similar between AD and HC; CSF A $\beta$ <sub>42</sub> lower in AD
Vanderstichele, 2000 <sup>140</sup>	Proteomics	AD, DLB	CSF, Urine, Blood Serum & Plasma	A $\beta$ <sub>42</sub> in serum and urine were below detection limit; in plasma no A $\beta$ <sub>42</sub> differences were seen between HC and patients; CSF A $\beta$ <sub>42</sub> was lower in AD and DLB suggesting it as a useful biomarker
Andreasen, 1999 <sup>141</sup>	Proteomics	AD	CSF	Decreased A $\beta$ <sub>42</sub> levels were could serve as diagnostic biomarker in AD (92% sens); no significant correlations between CSF A $\beta$ <sub>42</sub> level and duration or severity
Kanai, 1998 <sup>142</sup>	Proteomics	AD	CSF	Significant elevation of tau levels and A $\beta$ <sub>40</sub> :A $\beta$ <sub>42</sub> ratio, as well as decrease of A $\beta$ <sub>42</sub> levels, were observed in AD patients; the assays provided ~70% sens. and 83% specif.
Motter, 1995 <sup>143</sup>	Proteomics	AD	CSF	A $\beta$ <sub>42</sub> levels were found significantly lower in AD while total A $\beta$ levels were not, suggesting that diminished A $\beta$ <sub>42</sub> clearance may account for its reduction in CSF; tau levels were increased in AD

### *Spectroscopic Tests*

Huang, 2017 <sup>144</sup>	Raman spectroscopy	AD	Brain Tissue, Blood Serum & Plasma	Biomarkers of AD, such as A $\beta$ and tau proteins or the neurotransmitters involved in AD ( <i>e.g.</i> , glutamate and $\gamma$ -aminobutyric acid), have been identified to distinguish patients from HC individuals
Michael, 2017 <sup>145</sup>	Raman Spectroscopy	AD	Brain Tissue	Tissue imaging identified plaques and tangles in unstained, label-free brain tissue; two times more proteins and five times more $\beta$ -sheets were found inside the plaque- and tangle-like features, as compared to the surrounding tissue
Paraskevaidi, 2017 <sup>99</sup>	ATR-FTIR Spectroscopy	AD, DLB, FTD	Blood Plasma	AD patients were detected with 86% sens and specif when individuals had

				one or two alleles of APOE $\epsilon$ 4, while in individuals with no $\epsilon$ 4 alleles diagnostic accuracy was lower at 72% sens and 77% specif; early AD cases were distinguished with 80% sens and 74% specif; differences coming with AD duration were also noted; AD was also distinguished from DLB with 90% sens and specif; FTD was also segregated from HC
Paraskevaidi, 2017	Raman Spectroscopy	AD, DLB	Blood Plasma	Early-stage AD was detected with 84% sens and 86% specif; late-stage AD was detected with 84% sens and 77% specific; DLB was detected with 83% sens and 87% specif; late-stage AD was distinguished from DLB with 90% sens and 93% specif; wavenumbers assigned to specific biomolecules were also suggested as a panel of biomarkers
Mordechai, 2017 <sup>146</sup>	FTIR Spectroscopy	AD	Blood Plasma & White Blood Cells	Mild, moderate and severe cases of AD were distinguished from HC individuals with 85% accuracy when using white blood cells and ~77% when using blood plasma
Nabers, 2016 <sup>98</sup>	FTIR Spectroscopy	AD	CSF & Blood Plasma	Employing an immune-IR-sensor, there was a discrimination between AD and HC with a 90% accuracy in CSF and 84% in blood plasma; a significant downshift, indicative of the overall $\beta$ -sheet structure, was noted in the AD patients
Kiskis, 2015 <sup>147</sup>	CARS	AD	Brain Tissue	Enhanced Raman imaging of tissue sections from the prefrontal cortex showed evidence of lipid deposits co-localizing with A $\beta$ plaques
Demeritte, 2015 <sup>148</sup>	SERS	AD	Whole Blood	Antibody-coated nanoparticles were used to enhance the Raman signal; A $\beta$ and tau proteins were both detected in concentrations as low as 100 fg/mL level; the spectroscopic technique showed advantages over ELISA detecting A $\beta$ (0.312 ng/mL) and tau (0.15 ng/mL)
Ryzhikova, 2015 <sup>149</sup>	Raman Spectroscopy	AD, DLB, FTD	Blood Serum	Patients with AD were differentiated from HC and other dementias with ~95% sens and specif
Carmona, 2015 <sup>97</sup>	Raman and IR Spectroscopy	AD	Blood Plasma	Patients with AD and age-matched healthy controls were distinguished with a diagnostic accuracy of ~94%

Magierski, 2014 <sup>150</sup>	Magnetic Resonance Spectroscopy	AD, DLB	In vivo Brain Tissue Imaging	Proton magnetic resonance spectroscopy has been demonstrated as a noninvasive method to assess the biochemistry of brain tissue in vivo
Carmona, 2013 <sup>151</sup>	Raman and IR Spectroscopy	AD	Blood Plasma	Spectral biomarkers were identified in the Raman and IR region and were indicative of protein secondary structure, protein $\alpha$ -helices, protein tertiary structure and oxidative stress; the diagnostic accuracy achieved 89% sens and 92% specif
Luo, 2013 <sup>152</sup>	Raman Spectroscopy	AD	Platelets	Early and differential (from PD) diagnosis of AD was demonstrated; 80% sens. for 12-month AD, 75% sens. for 4-month AD and 100% specif. were achieved
Chen, 2011 <sup>153</sup>	Raman Spectroscopy	AD, VaD	Platelets	Early and differential diagnosis of AD from VaD; two peaks ( $740\text{ cm}^{-1}$ : protein side chain vibration and $1654\text{ cm}^{-1}$ : Amide I of the protein $\alpha$ -helix structure <sup>154</sup> ) were mostly responsible for the segregation between HC and AD
Leskovjan, 2010 <sup>96</sup>	FTIR Spectroscopy	AD	Brain Tissue	FTIR imaging was used to visualize the unsaturated lipid content in specific regions of the hippocampus in an AD mouse model as a function of plaque formation; the unsaturated lipid content was reduced in the hippocampal white matter during A $\beta$ pathogenesis
Burns, 2009 <sup>155</sup>	NIR Spectroscopy	AD	Blood Plasma	Five spectral bands corresponding to heme, R-CH, R-OH, H <sub>2</sub> O and R-NH were used to distinguish between AD and HC with 80% sens and 77% specif; spectra were not influenced by age, gender, exposure to cholinesterase inhibitors or sample storage time
Chen, 2009 <sup>156</sup>	Raman Spectroscopy	AD	Brain Hippocampus Tissue	In situ Raman analysis distinguished AD from normal tissue; biochemical changes that were observed included the increase of A $\beta$ protein, cholesterol and hyperphosphorylated tau
Peuchant, 2008 <sup>157</sup>	FTIR Spectroscopy	AD	Blood Plasma	A clear separation was achieved between AD and HC by using a restricted spectral range; changes were related to modified lipid and

				nucleic acid structures involved in oxidative stress processes of AD; the diagnostic accuracy was ~98%
Kantarci, 2004 <sup>158</sup>	Magnetic Resonance Spectroscopy	AD, VaD, DLB, FTLD	In vivo Brain Tissue Imaging	Metabolite ratio changes were evaluated and shown as useful imaging markers in common dementias; N-Acetylaspartate/creatine levels were decreased in dementias that undergo neuron loss such as AD, FTLD and VaD; myoinositol/creatine were elevated in dementias pathologically characterized by gliosis such as AD and FTLD; choline/creatine was increased in dementias with a profound cholinergic deficit such as AD and DLB
Choo, 1996 <sup>95</sup>	FTIR Spectroscopy	AD	Brain tissue	The structure of A $\beta$ protein within a slice of human AD brain tissue was reported for the first time; protein in grey matter existed predominantly in an $\alpha$ -helical and/or unordered conformation, whereas within amyloid deposits a beta-sheet structure predominated

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979 **Abbreviations:** A $\beta$ : amyloid beta; AD: Alzheimer's disease; APOE: apolipoprotein; APOJ:  
980 apolipoprotein J; ATR: attenuated total reflection; CSF: cerebrospinal fluid; CLU: clusterin;  
981 CR1: complement component (3b/4b) receptor 1; CT: computed tomography; CARS: Coherent  
982 anti-Stokes Raman Scattering; DAT: dopamine transporter; ELISA: enzyme linked  
983 immunosorbent assay; fg: femtogram; <sup>18</sup>FDG: <sup>18</sup>fluorodeoxyglucose; FTIR: Fourier transform  
984 infrared spectroscopy; FTD: frontotemporal dementia; FTLD: frontotemporal lobe  
985 degeneration; YKL-40: glial activation; HC: healthy controls; HFABP: heart fatty acid binding  
986 protein; hrs: hours; MRI: magnetic resonance imaging; MTL: medial temporal lobe; MIBG:  
987 metaiodobenzylguanidine; MCI: mild cognitive impairment; MMSE: mini mental state  
988 examination; NIR: near-infrared; NFL: neurofilament light chain; NSE: neuron-specific  
989 enolase; PD: Parkinson's disease; PDD: Parkinson's disease dementia; P-tau: phosphorylated  
990 tau; PET: positron emission tomography; sens: sensitivity; SPECT: single-photon emission  
991 computed tomography; specif: specificity; SERS: surface enhanced Raman spectroscopy; T-  
992 tau: total tau; VaD: vascular dementia; VLP-1: vinisin-like protein 1; yrs: years;