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Title: The effects of temporary exclusion of activity due to wind farm construction on a lobster (*Homarus gammarus*) fishery suggests a potential management approach.

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## Abstract

Offshore wind farms form an important part of many countries strategy for responding to the threat of climate change but their development can conflict with other offshore activities. Static gear fisheries targeting sedentary benthic species are particularly affected by spatial management that involves exclusion of fishers. Here we investigate the ecological effect of a short-term closure of a European lobster (*Homarus gammarus* (L.)) fishing ground, facilitated by the development of the Westermost Rough offshore wind farm located on the north-east coast of the United Kingdom. We also investigate the effects on the population when the site is reopened on completion of the construction. We find that temporary closure offers some respite for adult animals and leads to increases in abundance and size of the target species in that area. Reopening of the site to fishing exploitation saw a decrease in catch rates and size structure, this did not reach levels below that of the surrounding area. Opening the site to exploitation also allows the fishery to recuperate some of the economic loss during the closure. We suggest that our results may indicate that temporary closures of selected areas may be beneficial and offer a management option for lobster fisheries.

Keywords: crustacean fisheries, offshore wind energy, rotational harvest, spatial management, static gear, temporary closure.

## 1 Introduction

2 Globally there has been an increase in energy provided from the wind industry,  
3 surpassing 63 GW in 2015, an 18% increase since 2014 (Global Wind Energy  
4 Council, 2015). Wind energy developments are often the most used tool by national  
5 governments to meet their energy demands from renewable sources, seeing an  
6 increase in offshore wind developments in recent years. Offshore wind  
7 developments are often located to exploit the optimum wind energy and be able to  
8 transmit the energy to shore. For example, the United States is estimated to have a  
9 4000 GW capacity for wind energy (US Department of Energy 2012). The  
10 development of offshore wind farms can cause spatial conflicts with other sea users.  
11 For example, the eastern sea board of the US is prime location for both offshore  
12 wind energy and nationally important crustacean fisheries (Breton and Moe 2009,  
13 Brehme *et al.*, 2015;). Co-location of marine users and spatial management of  
14 resources is being observed in the UK; one of the world leaders in offshore wind  
15 exploitation (Hooper and Austen, 2014, Kota *et al.*, 2015).

16 UK government has a target of 15% of its energy from renewable sources by 2020  
17 (European Commission, 2016). There are currently 25 offshore wind farms (OWF)  
18 operational or under construction within UK waters currently providing  
19 approximately 5% of the UK demand with a further 16 with development consent,  
20 (Crown Estates, 2017). There has been a steady increase in research into the  
21 impacts of OWF on the marine ecosystem. This increase in literature is largely  
22 review-based with the few empirical studies available, not being able to give a  
23 reliable assessment of the cumulative impact of offshore wind development  
24 (Lindeboom *et al.*, 2015). The current empirical studies have largely focussed on  
25 the impact to seabird interactions (15 out of 78 publications reviewed by Hooper *et*

26 *al.*, (2017), marine mammals (Madsen *et al.*, 2006, Thomsen *et al.*, 2008, Bailey *et*  
27 *al.*, 2010, Brandt *et al.*, 2011), substrate and infauna disturbance (De Backer *et al.*,  
28 2014, Coates *et al.*, 2014, Vandendriessche *et al.*, 2015) and fish populations  
29 (Wahlberg and Westerberg 2005, De Troch *et al.*, 2013, Bergman *et al.*, 2014,  
30 Stenberg *et al.*, 2015). Most empirical studies investigating effects on macrobenthic  
31 crustaceans form part of an environmental impact assessment or statutory  
32 monitoring programmes.

33 To date the majority of OWF constructed in European waters are located in shallow  
34 water (typically less than 30 m) on sand based substrates. The introduction of  
35 individual turbines and associated stone protection (used to protect monopole  
36 bases from sand scour), can introduce a new hard substrate habitat to an area. This  
37 can increase shelter and hard substrate habitat in areas that it may not have  
38 previously existed. This introduced habitat has been found to increase biodiversity  
39 and biomass of associated fauna in some areas (Lindeboom *et al.*, 2011, De Backer  
40 *et al.*, 2014, Stenberg *et al.*, 2015). Krone *et al.*, (2017) observed over 5000 *Cancer*  
41 *pagurus* on individual monopoles with scour stone protection, which was more than  
42 double that found on monopoles without scour stone protection. However, this was  
43 observed in areas characterised by sandy substrate, the effect of scour stone  
44 protection on areas characterised by rock and cobble is yet to be understood. Using  
45 studies from sites that are not comparable to each other to understand effects of  
46 OWF installations can lead to misunderstanding of the processes involved  
47 (Lindeboom *et al.*, 2015).

48 OWF and individual turbines can act as fish aggregation devices, providing a refuge  
49 for fish species from predation and exploitation, although the effects can be spatially  
50 limited to the OWF (Griffin *et al.*, 2016). An OWF can act as a quasi-marine

51 protected area (MPA) or no take zone (NTZ). This can be due to exclusion during  
52 construction or operation, to all fishing vessels or the physical presence of the  
53 turbines excluding certain gear types such as mobile gear (Bergman *et al.*, 2014;  
54 Krone *et al.*, 2017). There is potential for co-location of fisheries and OWF  
55 developments, however these are predominantly static fisheries (Christie *et al.*,  
56 2014, Hooper and Austen 2014, Stelzenmüller *et al.*, 2016). The effects of OWF on  
57 mobile benthic megafauna that are targeted by static gear fisheries are little  
58 understood (Hooper and Austen 2014; Lindeboom *et al.*, 2015). The potential of  
59 spill-over effects of MPA/NTZ can be difficult to ascertain (Moland *et al.*, 2013,  
60 Smyth *et al.*, 2015, Vandendriessche *et al.*, 2015), the temporal scale of studies can  
61 often not be of sufficient duration to observe the spill over. However Goñi *et al.*,  
62 (2010) and Hoskin *et al.*, (2011) observed spill-over effects of different lobster  
63 populations within a closed area over a period of 10 and 4 years respectively.  
64 *Homarus gammarus* have been shown to have strong site fidelity and defined  
65 home ranges (Bannister and Addison, 1998; Smith *et al.*, 1998; Moland *et al.*, 2011)  
66 although there is a seasonal migration to deeper water during the colder months.  
67 Any spill-over effects of closed areas are likely to be only observed locally or during  
68 immigration/emigration from the site. The implementation of an MPA/NTZ has often  
69 been met with resistance by commercial fisheries. The potential for positive  
70 ecological and possible economic effects of closed areas are often met with  
71 scepticism from the fishing industry. This is due to the implementation of surveys  
72 not reflecting the way fishermen operate and the fact that data are not in the public  
73 domain (Hooper and Austen 2014, Hooper *et al.*, 2015).

74 The spill over effect can lead to the process of 'fishing the line', where fishing  
75 intensity is increased on the boundaries of a closed area (Kellner *et al.*, 2007).

76 Spatial displacement of effort into another area can increase pressure on fisheries  
77 and lead to increased competition among fishers. This is especially the case in static  
78 gear fisheries where individual fishers can have a strong fidelity to specific sites  
79 (Hart *et al.*, 2002, Turner *et al.*, 2013). The implementation of closed areas can often  
80 be considered by industry and some in the scientific community to be conducted for  
81 political purposes as opposed to ecological. The use of MPA's as a fisheries  
82 management tool should be treated as a rigorously designed experiment with  
83 accurate cost/benefit analysis (Kaiser 2005, Caveen *et al.*, 2014). During  
84 construction of OWF the fishing industry are often excluded from the area for safety  
85 reasons; this can have a potential short-term positive effect on the local population  
86 due to the removal of fishing mortality.

87 Here we investigate the short-term effects of construction of an OWF on a  
88 commercially exploited European Lobster (*Homarus gammarus*, Homaridae (L.)  
89 subsequently referred to as lobster) population. We also highlight the effects of  
90 reopening the site to exploitation on completion of the OWF construction and their  
91 use as a potential management tool. The study also highlighted the potential  
92 positive effects of the fishing industry engaging in research of OWF effects.

## 93 Methodology

### 94 Site Description

95 The Holderness coast lobster fishery is the largest lobster fishery in the UK,  
96 representing approximately 20% of national lobster landings. Landings of European  
97 lobster, into the regions' main port of Bridlington in 2015 were 405 tonnes with an  
98 estimated first sale value of £4.2 million (Marine Management Organisation 2015).

99 The fishery in the area targets lobster almost exclusively using static creels

100 generally baited with mackerel. Creels are immersed for varying periods depending  
101 on the fisher, but generally 2 – 3 days.

102 The Westernmost Rough wind farm, constructed in 2014/15 at a cost of £800 million,  
103 and is located within the Holderness fishery, situated within one of the fisheries main  
104 target areas. The site was one of the first to be located on a rock and cobble  
105 substrate. The Westernmost Rough OWF extends from 7.7 km off the coast to 13.3  
106 km offshore and is approximately 35 km<sup>2</sup> in area (Figure 1). It consists of 35, 6 MW  
107 turbines and associated substation, located in a depth of water ranging from 15 - 23  
108 metres. The substrate is predominantly rock and cobble with sand patches, the area  
109 was subjected to boulder removal prior to the construction phase.

110 The study was conducted using a fishing industry managed research vessel, the  
111 R.V. Huntress. The study was a collaboration between the local fishery; The  
112 Holderness Fishing Industry Group ([www.hfig.org.uk](http://www.hfig.org.uk)) and the OWF developer,  
113 DONG Energy.

#### 114 [Sampling Methods](#)

115 There were two sites chosen to assess the effects of the construction of the  
116 Westernmost Rough OWF, one site in the OWF (treatment (subsequently referred to  
117 as the wind farm)) and a site to the north of the OWF (control) (Figure 1). The sites  
118 were restricted in their spatial distribution within the OWF due to the process of the  
119 construction of the OWF. The site was agreed with the developer as the area that  
120 could be surveyed without disruption to the sampling protocol. The control site was  
121 located 1 km to the north of the OWF. The prevailing current drifts north/south, any  
122 effects of the construction should not have been observed. This site was also  
123 selected due to the substrate reflecting that within the OWF. There were further

124 spatial restrictions of the control site due to displacement of fishing gear from the  
125 OWF to the surrounding area, care was taken to avoid gear conflict.

126 Sampling strings consisting of 30 creels were deployed both within the wind farm  
127 and the control. The strings consisted of 25 standard commercial creels with a 70  
128 mm mesh and 96.5 cm base; and 5 creels with a 30 mm mesh and a 76.2 cm base.  
129 All creels were exempt from local byelaws ordering the use of escape gaps. The  
130 smaller mesh creels were used to sample catch that may escape the larger mesh  
131 creels. On every haul, each creel was baited with two mackerel 'frames', which are  
132 commonly used in the region to target lobsters. Each string was secured at either  
133 end with a 20 kg anchor and marked with a surface marker buoy. The gear  
134 configuration mirrored that of the commercial fishing strings in the area.

135 A baseline survey was carried out prior to the wind farm construction, taking into  
136 account the spatial restrictions that were predicted once the construction began.  
137 The survey was timed to target the lobster fishery between June and September of  
138 2013, maintaining a mean immersion period of 3.0 days (s.d. +/- 1.34 days) and all  
139 creels from both the control and treatment were processed on every survey day (n  
140 = 24 hauls each site). Following the before/after, control/impact (BACI) approach  
141 (Carstensen *et al.*, 2006, Hoskin *et al.*, 2011, Moland *et al.*, 2013, Vandendriessche  
142 *et al.*, 2015) sampling was mirrored in June to September of 2015 for the first-year  
143 post build of the wind farm. The immersion period of the creels in 2015 was 3.9 days  
144 (s.d. +/- 2.1 days) and all creels from both the control and treatment were processed  
145 on every survey day (n = 23 hauls each site). Variation in immersion periods to the  
146 baseline survey was due to inclement weather. There was no survey during 2014  
147 as the site was under construction.



148 During the baseline survey of 2013 both the wind farm and control were subjected  
149 to fishing exploitation for the entire period. During construction, the wind farm was  
150 closed to fishing exploitation for a period of 20 months during 2014/15, until the  
151 middle of August 2015 (13/08/2015). This was part way through the 2015 sampling  
152 period, with 13 sample days when the site was closed and 11 sample days when  
153 the site was open to exploitation. For the entire survey periods in both 2013 and  
154 2015 there were no restrictions to fishing exploitation in the control site and the main  
155 management of effort in the area was based on minimum landing size (87 mm  
156 carapace length (CL)) of the catch.

157 Abundance of lobster was recorded from each creel. Sex, condition, ovigerous  
158 status and size (CL) was recorded for the aggregated catch within each string. All  
159 animals were returned to sea after recording. The survey was timed and designed  
160 to assess the effects of the wind farm construction on the region's most valuable  
161 fishery, this study reports lobster status only.

## 162 [Data Analysis](#)

163 Analysis was conducted on the overall differences in size and catch rates between  
164 the baseline (2013) and the first-year post build (2015). Because the previously  
165 closed site was re-opened to fishing during the 2015 sampling regime, analysis was  
166 also conducted on the status of the wind farm (open/closed to fishing exploitation).

## 167 [Size distribution](#)

168 Differences in size frequency for both between years and between statuses of the  
169 wind farm (open and closed) were analysed using the two-sample Kolmogorov  
170 Smirnov test. Empirical cumulative distribution (ECDF) plots were generated to  
171 demonstrate the proportion of lobsters between the two sites that are less than each

172 observed length (Thomas *et al.*, 2015). Generalised Linear Mixed Models (GLMM)  
173 are used when the data are not normally distributed and when there is the potential  
174 for pseudo-replication (Zuur and Ieno, 2016). Due to the limitations of survey sites,  
175 the size data not conforming to normality (Kolmogorov Smirnov,  $p < 0.05$ ) and the  
176 variability in the number of lobsters sampled on each day (range = 13 – 137 (2013),  
177 44 – 179 (2015)), GLMM was deemed a more suitable analysis. We therefore  
178 applied a GLMM in which the relative catch probability of the lobsters entering the  
179 pots within each site was the response variable, carapace length (size) of lobster  
180 as the fixed effect and haul (survey day) was used as a crossed random intercept.  
181 A binomial error was applied due to the response variable being the relative catch  
182 probability of lobsters entering pots within each site. Sex, ovigerous status and  
183 condition of the lobsters were investigated as factors within the model but were  
184 rejected due to either insignificance ( $P > 0.05$  (Sex and Condition)) or unsuitable  
185 factors to include (Ovigerous status). Soak time was investigated whether it should  
186 be included as an offset within the model. There was a poor relationship ( $R^2 < 0.1$   
187 on all occasions) between the daily abundance of lobsters within each string and  
188 the soak time they were subjected to. Soak time was also negated for the between  
189 sites comparison within the survey design, as both sites were subjected to the same  
190 soak time on all occasions. It was decided that soak time was not required to be  
191 offset within the GLMM. Therefore, the simplest model was the best description of  
192 the relative catch probability of lobsters of each size entering the strings/pots  
193 between the two sites/status of the wind farm (open/closed);

194 
$$\Pr\left\{\frac{Test}{Test + Control}\right\} = 1/(1 + e^{-(haul + \beta_1 \times length + \beta_2 \times length^2)})$$

195

196 GLMM was applied using the lme4 package in R statistical software (Bates *et al.*,  
197 2015). This follows an accepted methodology described by Holst and Revill, (2009),  
198 analysing differences in catch composition at length between tests and controls  
199 (Van Marlen *et al.*, 2014; Vogel *et al.*, 2017).

200 Validation of each GLMM model was conducted checking that the normality of the  
201 standardised residuals conformed to a normal distribution (Shapiro Wilkes,  $p > 0.05$ )  
202 (Thomas *et al.*, 2015) and also comparing the GLMM results to the 2-sample  
203 Kolmogorov Smirnov analysis. GLMM results were also presented graphically,  
204 allowing for inference as to where within the distribution the significance lay.

#### 205 [Catch comparison](#)

206 Catch per unit of effort (CPUE) was determined as the total number of lobsters  
207 caught in a string (Davies *et al.*, 2015). Landings per unit of effort (LPUE) was  
208 determined as the total number of lobsters per string that were above the minimum  
209 landing size (87 mm CL) and of a good enough quality (i.e. not missing limbs and  
210 no visible signs of disease) to be landed to market. The CPUE and LPUE data  
211 conformed to a normal distribution (Kolmogorov Smirnov,  $p > 0.05$ ) but the  
212 variances could not be considered equal (F test,  $p < 0.05$ ). A Welch's t-test  
213 assuming unequal variances was applied to the CPUE and LPUE to analyse the  
214 differences in site, year and wind farm status (open/closed).

215

## 216 Results

217 A total of 1440 creels (720 at each site) were hauled during the baseline data  
218 collection in 2013 (n = 24 survey days) recording 6051 lobsters. During the 2015  
219 post build survey (n = 23 survey days) 1380 creels (690 at each site) were hauled  
220 and 8734 lobsters were recorded.

### 221 Size Distribution

222 The size frequency distributions of lobsters differed significantly between the two  
223 years (Kolmogorov Smirnov,  $D = 0.10$ ,  $p < 0.001$ ). The windfarm in 2015 showed a  
224 larger proportion of lobsters at a larger size ( $>100\text{mm CL}$ ) than sampled in 2013  
225 (Figure 2a & b), there was a greater proportion of lobsters from the MLS (87mm) –  
226 96 mm CL in sampled in 2013. There was a broader size range, 39 – 126 mm CL  
227 in 2015 as opposed to 56 – 114 mm CL in 2013. The empirical cumulative  
228 distribution function (ECDF) plot (Figure 2c) demonstrates that the greatest  
229 difference in distributions were between 75 & 92 mm CL. This was supported by the  
230 GLMM plot (Table 1, Figure 2d), which demonstrates that there was a greater  
231 proportion of lobsters sampled over 70 mm CL in 2015 than in 2013.

232 During the wind farm closure in 2015 (prior to 13/08/2015) the size distribution of  
233 lobsters in the control site (Figure 3b) had a narrower distribution (39 -117 mm CL)  
234 than within the wind farm (40 – 126 mm CL) and there was generally a greater  
235 proportion of lobsters within the wind farm than within the control site (Figure 3a).  
236 The size distribution of lobsters within the wind farm was significantly different to  
237 both the control site (Kolmogorov Smirnov,  $D = 0.32$ ,  $p = < 0.0001$ ) and the baseline  
238 data (Kolmogorov Smirnov,  $D = 0.14$ ,  $p < 0.0001$ ) (Figure 3a & b). Although Figure  
239 3c shows that the size of lobsters within the wind farm (red) differed from the

240 baseline (black and grey) and the control (blue) between 60 – 107 mm CL, Figure  
241 3d shows that the distribution was split approximately at the MLS (vertical line). The  
242 graphical representation of the GLMM (Table 1) shows that there was a greater  
243 proportion of lobsters below the MLS in the control site and the inverse in the wind  
244 farm.

245 There was a decline in the proportion of lobsters above MLS in the control site after  
246 the wind farm had been opened to fishing (Figure 4a) in comparison to the period  
247 when the wind farm was closed (Figure 3a) (Kolmogorov Smirnov,  $D = 0.07$ ,  $p <$   
248  $0.05$ ). This was also reflected within the wind farm site (Figure 3b & 4b) (Kolmogorov  
249 Smirnov,  $D = 0.28$ ,  $p < 0.0001$ ). The sampling period post opening of the wind farm  
250 to fishing demonstrated a greater proportion of lobsters within the wind farm in  
251 comparison to the control site (Kolmogorov Smirnov,  $D = 0.11$ ,  $p < 0.0001$ ).  
252 Although there was a difference in the cumulative distribution between the wind farm  
253 and the control site between 70 – 100 mm CL, both sites also showed a difference  
254 from the baseline data (Figure 4c). GLMM analysis (Table 1) shows that after  
255 opening of the site to fishing there was a greater proportion of lobsters below MLS  
256 in the control site as opposed to the wind farm (Figure 4d). There was no significant  
257 difference in the proportion of lobsters above MLS between the two sites post  
258 opening of the site.

#### 259 [Catch and landings per unit of effort between years](#)

260 Mean CPUE (Table 2) was significantly greater in 2015 for both sites than in 2013  
261 ( $p < 0.01$  (Table 4)), however did not differ significantly between control and wind  
262 farm within the same year ( $p > 0.05$  (Table 4)). Mean LPUE (Table 2) was also  
263 significantly greater in the wind farm in 2015 than 2013 and it was also significantly  
264 greater in the wind farm than the control site in the year 2015 ( $p < 0.01$  (Table 4)).

265 The control site showed no significant difference in mean LPUE between sample  
266 years ( $p > 0.05$  (Figure 5 & Table 4)). The greatest ratio between CPUE & LPUE  
267 (0.25) was within the wind farm during the year 2015, this was when the wind farm  
268 was closed for a period during the sampling regime (Figure 5).

#### 269 Influence of wind farm opening

270 After the wind farm opened to fishing exploitation, mean CPUE (Table 3) within the  
271 wind farm reduced significantly ( $p < 0.001$  (Table 5)), this was not the case in the  
272 control site ( $p > 0.05$  (Table 5)). Mean CPUE (Table 3) was also significantly greater  
273 prior to the wind farm being opened to fishing exploitation ( $p < 0.001$  (Figure 6 &  
274 Table 5)). Mean LPUE (Table 3) was significantly greater in the wind farm when it  
275 was closed than the control site during the same period and once the wind farm was  
276 opened to fishing ( $p < 0.001$  (Table 5)). Mean LPUE (Table 3) was significantly  
277 greater in the control site when the wind farm was closed than the period when the  
278 area was open to fishing exploitation ( $p < 0.05$  (Figure 6 & Table 5)). The greatest  
279 ratio of LPUE against CPUE (0.33) was during the period when the wind farm was  
280 closed to fishing, indicating a higher proportion of high quality lobsters that were not  
281 being exploited (Figure 6).

## 282 Discussion

### 283 Size distribution

284 The exclusion of fishing effort within the OWF was observed to have an effect on  
285 the size distribution of lobsters within the area. There was a greater total number of  
286 lobsters observed during the post-build survey than during the baseline survey ( $n =$   
287 2683 difference). Within the wind farm, there was a greater proportion of lobsters,  
288 greater than MLS observed in 2015 than in 2013 (Figure 2). The absence of fishing

289 exploitation within the wind farm during construction acted as a NTZ, protecting  
290 lobsters greater than the MLS. There is potential for spill-over effects of an  
291 MPA/NTZ (McClanahan and Mangi 2000, Smyth *et al.*, 2015), this can be observed  
292 locally for species with reduced movement patterns. (Moland *et al.*, 2011). When a  
293 NTZ is created it has been reported to initially show an increase in lobster  
294 abundance and biomass (Hoskin *et al.*, 2011, Wootton *et al.*, 2012, Davies *et al.*,  
295 2015). The increase in the proportion of larger lobster (> 100 mm CL) reported in  
296 Figure 2 and the overall higher number of lobsters observed was expected due to  
297 the closure of the site when compared to the baseline data.

298 Prior to the wind farm being opened, the size distribution of lobsters within the wind  
299 farm was significantly different to the control site and the wind farm baseline  
300 distribution (Figure 3). The density of lobsters can be influenced by the availability  
301 of shelters within a habitat (Ball *et al.*, 2001, Steneck 2006). The size of lobsters  
302 within a population has also been demonstrated to be limited by the size and  
303 number of shelters available (Bushman and Atema 1997, Debusse *et al.*, 1999).  
304 The addition of scour stone protection to the base of each monopole could  
305 potentially increase the available habitat and shelters for lobsters. The Westernmost  
306 Rough OWF site was subjected to boulder removal prior to construction so the  
307 additional habitat creation may have been negated by the boulder removal. As the  
308 difference in size within the wind farm was described by lobsters over 75mm CL  
309 (Figure 3d), the absence of fishing effort in the site is most likely to have greater  
310 influence than the habitat change.

311 Opening of the site to fishing exploitation led to a rapid, short-term increase in fishing  
312 mortality in the wind farm in comparison to the surrounding area. After the wind farm  
313 was reopened to fishing the previously unfished population of larger lobsters was

314 reduced by intensive fishing over a short period. This reduction however did not  
315 drop below that reflected by the control site which was subjected to exploitation for  
316 the entire period. The proportion of lobsters above MLS did not differ between the  
317 wind farm and the control site in the period after opening to fishing (Figure 4d).  
318 However, there was a greater proportion of smaller lobsters observed in the control  
319 site than within the wind farm (Figure 4d). The presence of a greater abundance of  
320 larger lobsters may have deterred the smaller lobsters from the wind farm (Steneck  
321 2006, Émond *et al.*, 2010). Their immigration into the site once lobsters above MLS  
322 were again being exploited may not have occurred during the timeframe of the  
323 survey. The smaller lobsters may have also been displaced into areas surrounding  
324 the wind farm due to inter-specific competition for resources (Wahle *et al.*, 2013)  
325 which was reflected in the control site (Figure 3b & 4b). This indicates potential  
326 overspill effects, however, of the pre-recruits rather than recruits into a fishery.  
327 There are also influences in catch dynamics of a creel, smaller lobsters can use  
328 creels as shelter from predation. The greater abundance of larger lobsters in the  
329 area that were subsequently caught in the survey creels, may have deterred the  
330 smaller lobsters from entering the creels (Jury *et al.*, 2001). This interaction could  
331 have skewed the data to present a population biased in favour of larger lobsters.  
332 Alternatively the construction of the OWF and associated disturbance may have  
333 had a greater effect on the smaller, less robust lobsters (Rodmell and Johnson,  
334 2002). As the fishing pressure returns to a stable state, again removing lobsters  
335 above MLS, it's likely that smaller lobsters will again be observed within the area.

### 336 [Catch and landings per unit of effort](#)

337 The increase in lobster abundance observed in 2015 was reflected by the CPUE,  
338 showing a significant increase in catch rate of lobsters for both sites in 2015. There



339 was no significant difference in catch rates of lobsters between the wind farm and  
340 control site in 2015 (Figure 5). Indicating that the difference between the years was  
341 due to natural variation and not just the closure of the wind farm. The LPUE, i.e. the  
342 number of lobsters of good quality per string that were above the MLS of 87 mm CL  
343 also showed a significant increase within the wind farm between years and with the  
344 control site in 2015. Wootton *et al.*, (2012) & Davies *et al.*, (2015) both observed a  
345 greater prevalence of injury and disease in lobsters within an NTZ. The increased  
346 LPUE observed in this study, indicating a greater abundance of lobsters without  
347 injury above MLS, suggests that this was not the case here. This could be attributed  
348 to the period of closure, as this site was only closed for 20 months in comparison to  
349 2 and 5 years in their respective studies. The area may not have been closed long  
350 enough for true competition of resources that can result in increased occurrences  
351 of injuries.

352 After the wind farm was opened to fishing the CPUE reduced significantly within the  
353 wind farm when compared to when the site was closed. It also differed significantly  
354 to the control site after opening (Figure 6 & Table 5). This demonstrates the effect  
355 of opening the area to exploitation after a period of closure. The mean LPUE  
356 however, after opening of the wind farm did not differ significantly to the control site  
357 (Figure 6 & Table 5). This indicates that although effort was high, within a relatively  
358 short period (6 weeks survey period after opening), the landings fishermen were  
359 getting within the site reflected the surrounding area.

360 It has been demonstrated that periodic (Murawski *et al.*, 2000) or permanent  
361 (Bergman *et al.*, 2014) closure of areas to exploitation can enhance commercial  
362 fisheries. Closure of areas can allow the larger, more fecund lobsters to contribute  
363 to the spawning stock without fishing pressure (Moland *et al.*, 2010, Leal *et al.*,

364 2012). Periodically closing and reopening of the site has the potential to offset the  
365 possible detrimental effects of a permanent NTZ as observed by Wootton *et al.*,  
366 (2012) & Davies *et al.*, (2015). Economic loss to the fishery of a closed area may  
367 be offset by the increased earning potential once the site has been opened. Figure  
368 6 demonstrates a 22% increase in LPUE in comparison to the control site. This  
369 however was only a short-term effect as the LPUE within the wind farm reflected  
370 the control site during the period of the survey (six weeks after opening).

371 There is the potential for OWFs with their easily identifiable delineation to be used  
372 as a stock management tool for lobster fisheries. Combined with other suitable and  
373 easily identifiable sites, rotational closures could protect spawning stocks whilst  
374 offsetting economic loss and detrimental effects of permanently closed areas  
375 (Cohen and Foale, 2013).

## 376 Conclusion

377 This study has demonstrated the short-term effects on size distribution, CPUE and  
378 LPUE of offshore wind farm construction on a commercially important lobster  
379 fishery. The construction of the OWF created a temporary NTZ during the  
380 construction period which resulted in an increase in larger, good quality lobsters in  
381 comparison to both the baseline data and control sites. The opening of the wind  
382 farm during the sampling period has highlighted that exploitation levels immediately  
383 following reopening of a site are high but quickly return to reflect surrounding areas.  
384 This study, whilst spatially limited has also presented a BACI approach to monitoring  
385 effects of OWF construction. Presenting a high number of individuals sampled, that  
386 represented the main fishing season for lobsters in the area. The collaboration  
387 between industry and developers has led to a study using industry data collection,

388 that enables a high number of lobsters sampled, to aid in addressing a current gap  
389 in the literature. Subsequent monitoring of the site will highlight any longer-term  
390 effects of the OWF construction and its operation on the local lobster stocks when  
391 fishing exploitation is stable. Opening of the site during the sampling period has also  
392 highlighted the potential for OWF sites to be used as a stock management tool for  
393 periodic closures.

### 394 Acknowledgements

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397 time and advice on local fisheries and Rebecca Skirrow for assistance with data  
398 recording.

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579

580

581 **Table 1:** GLMM parameters for both the comparison between years and the  
 582 comparison between the control and wind farm, in relation to the status of the wind  
 583 farm being subjected to fishing exploitation.

Treatment	Response	Intercept Variance	Parameter	Estimate	Standard Error
Wind farm	Between 2013 and 2015	0.755	$\beta_0$	0.215	0.347
			$\beta_1$	-0.009	0.004
Wind farm closed	Wind farm and control	0.031	$\beta_0$	6.678	0.385
			$\beta_1$	-0.081	0.005
Wind farm open	Wind farm and control	0.036	$\beta_0$	2.045	0.464
			$\beta_1$	-0.020	0.006

584

585 **Table 2:** Descriptive statistics of CPUE and LPUE of lobsters sampled at both sites  
 586 of the Westernmost Rough OWF during the 2013 and 2015 surveys.

Year	Site	Effort	Mean	s.d.
2013	Wind Farm	CPUE	63.14	34.68
2013	Control	CPUE	74.27	45.48
2015	Wind Farm	CPUE	93.30	32.14
2015	Control	CPUE	107.30	29.46
2013	Wind Farm	LPUE	11.51	6.75
2013	Control	LPUE	11.28	5.71
2015	Wind Farm	LPUE	23.39	16.68
2015	Control	LPUE	10.26	4.67

587

588 **Table 3:** Descriptive statistics of CPUE and LPUE of lobsters sampled at both sites  
 589 of the Westernmost Rough OWF before and after the wind farm was opened to  
 590 fishing exploitation.

Status	Site	Effort	Mean	s.d.
Closed	Wind Farm	CPUE	113.08	29.31
Closed	Control	CPUE	107.08	35.44
Open	Wind Farm	CPUE	71.73	18.59
Open	Control	CPUE	107.55	22.98
Closed	Wind Farm	LPUE	36.83	10.43
Closed	Control	LPUE	12.08	4.23
Open	Wind Farm	LPUE	8.73	6.25
Open	Control	LPUE	8.27	4.47

591

592 **Table 4:** Results from Welch's 2 sample t test for the mean CPUE/LPUE data  
 593 analysed between the control and treatment sites of the Westernmost Rough OWF  
 594 and between the baseline and post build surveys. The significant results are  
 595 displayed in **bold**.

Factors analysed	Response	<i>P</i>	t	DF
Treatment between years	CPUE	<b>&lt; 0.01</b>	<b>- 3.02</b>	<b>29.27</b>
Control between years	CPUE	<b>&lt; 0.01</b>	<b>- 2.88</b>	<b>35.75</b>
Treatment between years	LPUE	<b>&lt; 0.01</b>	<b>- 3.16</b>	<b>29.27</b>
Control between years	LPUE	n.s.	0.65	40.62
Treatment v Control in 2013	CPUE	n.s.	0.91	39.25
Treatment v Control in 2015	CPUE	n.s.	1.54	43.67
Treatment v Control in 2013	LPUE	n.s.	- 0.12	40.88
Treatment v Control in 2015	LPUE	<b>&lt; 0.01</b>	<b>- 3.64</b>	<b>25.43</b>

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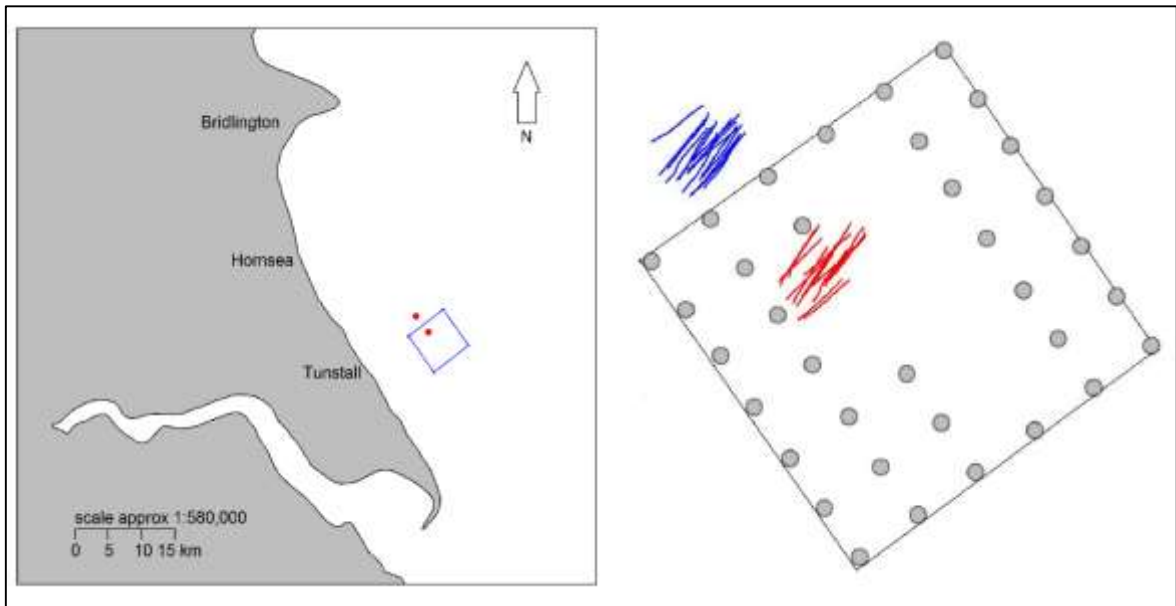
602

603 **Table 5:** Results from Welch's 2 sample t test for the mean CPUE/LPUE data  
 604 analysed between the status of the Westernmost Rough offshore wind farm (OWF)  
 605 in 2015, i.e. open or closed to fishing. The significant results are displayed in **bold**.

Factors analysed	Response	<i>P</i>	t	DF
Treatment between status of OWF	CPUE	<b>&lt; 0.001</b>	<b>4.08</b>	<b>18.79</b>
Control between status of OWF	CPUE	n.s.	- 0.04	19.01
Treatment between status of OWF	LPUE	<b>&lt; 0.0001</b>	<b>7.92</b>	<b>18.23</b>
Control between status of OWF	LPUE	<b>&lt; 0.05</b>	<b>2.10</b>	<b>20.56</b>
Treatment when OWF was open	CPUE	<b>&lt; 0.001</b>	<b>4.02</b>	<b>19.16</b>
Control when OWF was closed	CPUE	n.s.	- 0.45	21.25
Treatment when OWF was open	LPUE	n.s.	- 0.20	18.12
Control when OWF was closed	LPUE	<b>&lt; 0.0001</b>	<b>- 7.62</b>	<b>14.53</b>

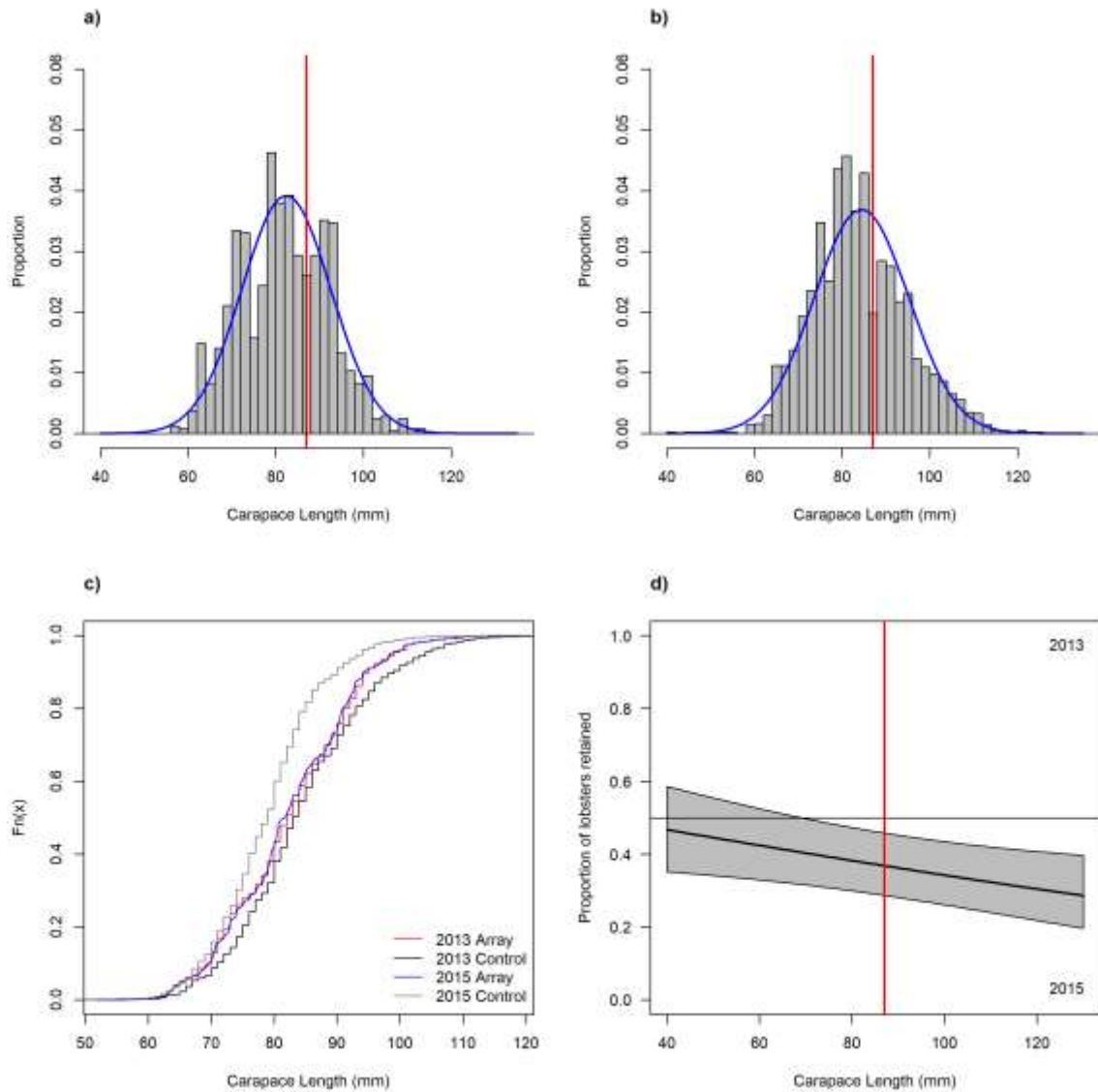
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608

609 **Figure 1:** Location of the Westermost Rough OWF, the individual turbine locations  
610 marked in grey and the locations of the treatment strings (red) and the control strings  
611 (blue) to the North of the site.



612

613 **Figure 2:** Size distributions of lobsters sampled within the Westermost Rough OWF

614 for the baseline survey in 2013 (a) and the first-year post build survey in 2015 (b),

615 both plots fitted with the density curve of the distribution and the bins set to 2mm

616 carapace length. (c) Empirical cumulative distribution function (ECDF) for the

617 sampled lobsters for the wind farm and control site in 2013 (red and black) and the

618 wind farm and control site in 2015 (blue and grey). (d) Plot derived from GLMM

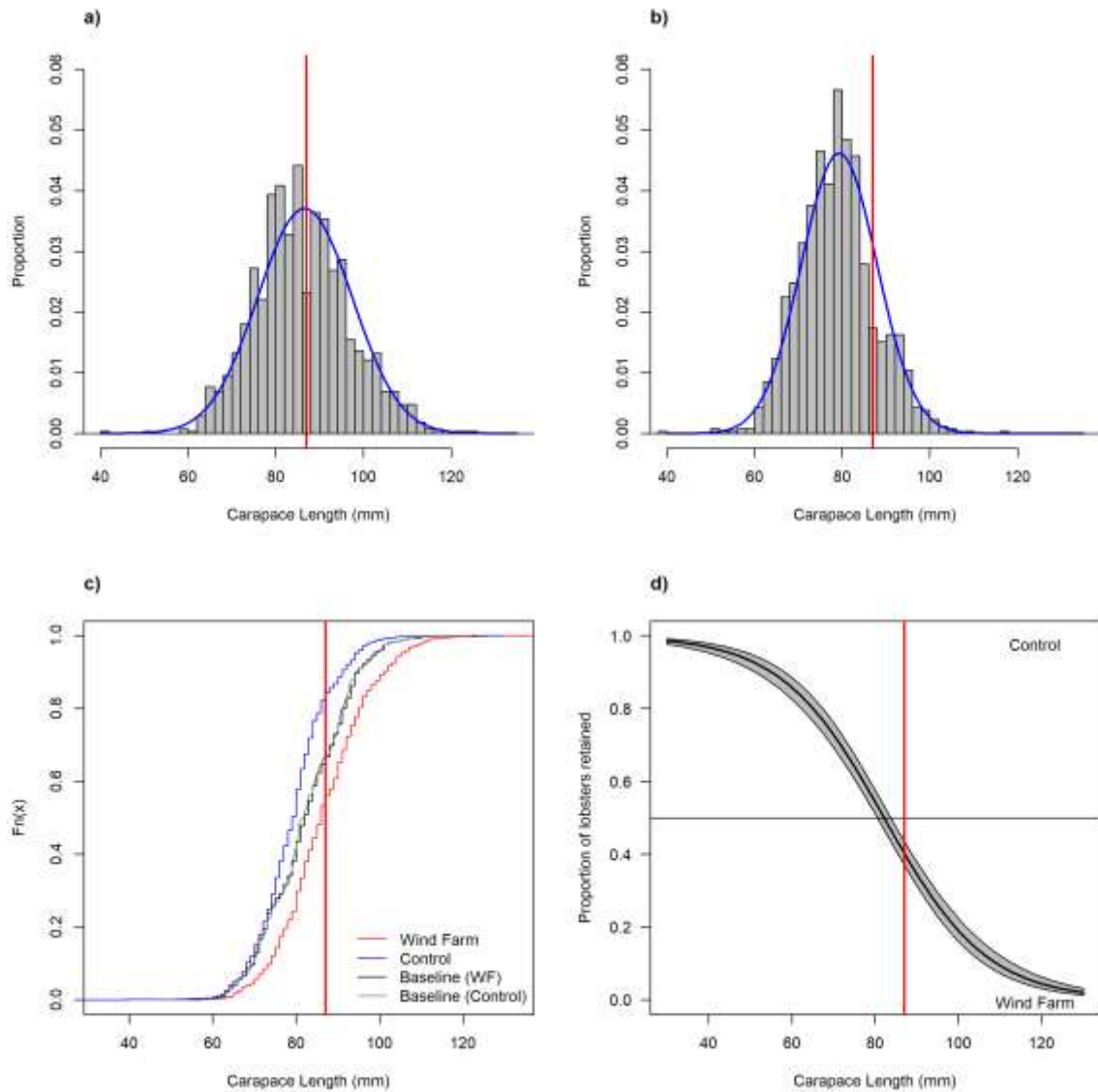
619 modelling of the proportion of the lobsters sampled at each size in 2013 (top box)

620 and 2015 (bottom box). The grey shaded areas represent the 95% confidence

621 intervals and the bold black line the mean value. The central horizontal line

622 represents the 0.5 (50%) value, points overlapping this line indicate that there was  
623 no significant difference in the proportion of that sized animal between the two  
624 years. A value of 0.75 indicates that 75% of the lobsters sampled at that size were  
625 sampled in 2013 and the other 25% were sampled in 2015. This applies to all  
626 subsequent plots derived from GLMM analysis. The vertical line on all plots  
627 represents the minimum landing size of lobsters in the fishery which is 87mm  
628 carapace length. This applies to all subsequent plots reported.

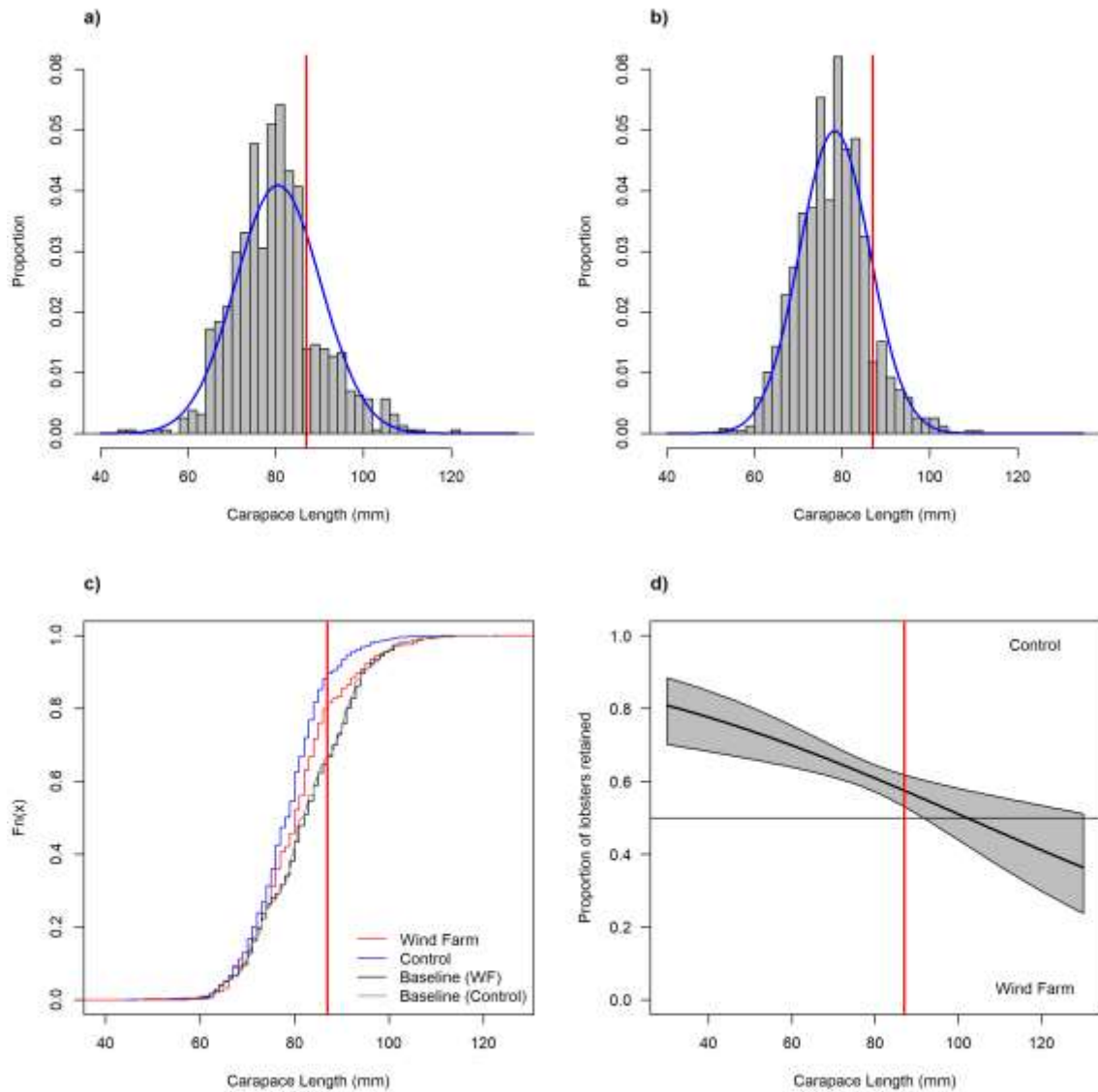
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630

631 **Figure 3:** Size distributions of lobsters sampled at the Westernmost Rough OWF for  
 632 both the wind farm site (a) which was closed to fishing for the period and the control  
 633 (b) which was subjected to fishing throughout the period. ECDF plot for the period  
 634 before the wind farm site was opened to fishing showing the wind farm (red), control  
 635 (blue) and baseline for the two sites (black (wind farm), grey(control) (c) and plot  
 636 derived from GLMM analysis for both the control and wind farm site (d) for the period  
 637 before the wind farm was opened to fishing.

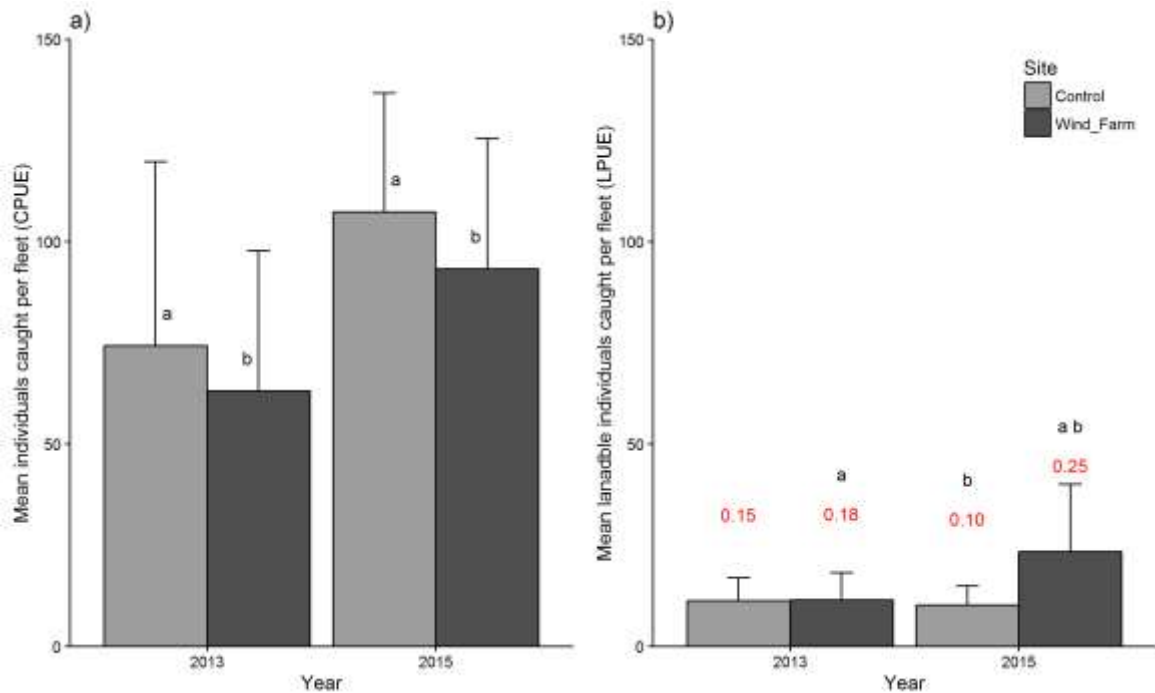
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639

640 **Figure 4:** Size distributions of lobsters sampled at the Westermost Rough OWF for  
 641 both the wind farm site (a) after the site was opened to fishing and the control (b)  
 642 which was subjected to fishing throughout the period. ECDF plot for the period after  
 643 the wind farm site was opened to fishing showing the wind farm (red), control (blue)  
 644 and baseline for the two sites (black (wind farm), grey(control) (c) and plot derived  
 645 from GLMM analysis for both the control and wind farm site (d) for the period after  
 646 the wind farm was opened to fishing.

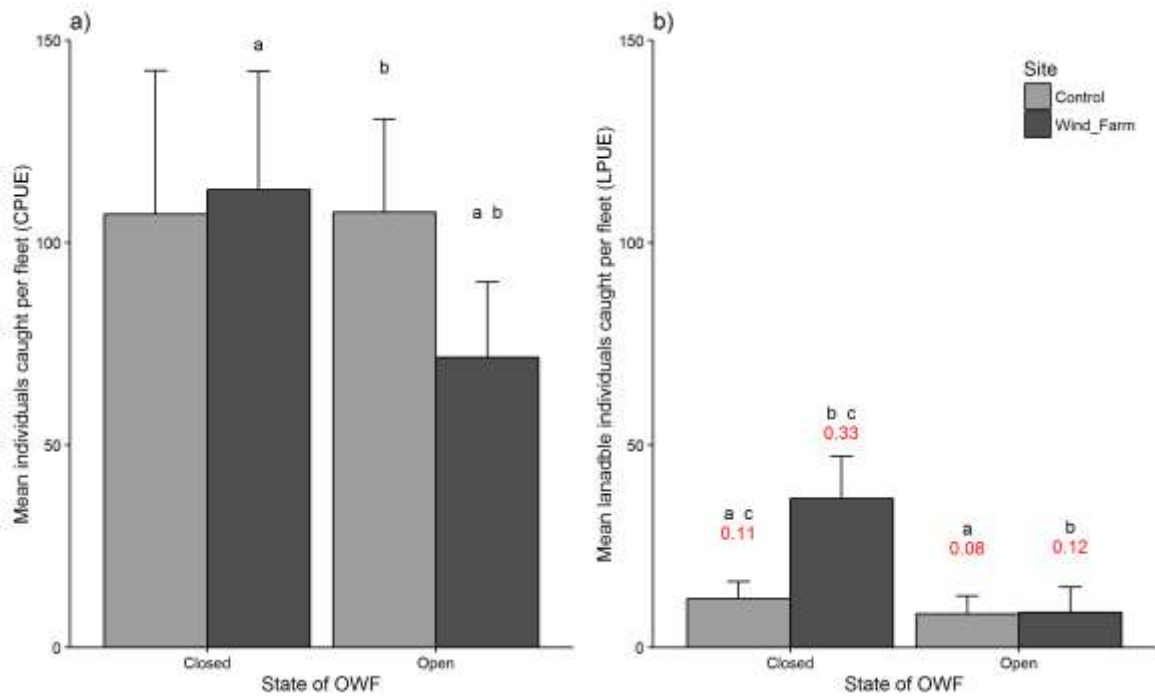
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649

650 **Figure 5:** Mean catch per unit effort (a) and landings per unit effort (b) for the wind  
 651 farm (dark grey) and the control site (light grey) for the baseline survey (2013) and  
 652 the first-year post build survey (2015). The top of the bars represents the mean  
 653 CPUE/LPUE and the error bars the standard deviation of the data. The values  
 654 above the LPUE bars represent the ratio between CPUE and LPUE. The letters  
 655 above the bars indicate the factors that showed a significant difference. This applies  
 656 to all subsequent bar plots reported.

657



658

659 **Figure 6:** Mean CPUE (a) and LPUE from the wind farm (dark grey) and the control  
 660 site (light grey) before and after the wind farm was opened to fishing exploitation.