

Automated lithological mapping using airborne hyperspectral thermal infrared data: A case study from Anchorage Island, Antarctica

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Abstract

The thermal infrared portion of the electromagnetic spectrum has considerable potential for mineral and lithological mapping of the most abundant rock-forming silicates that do not display diagnostic features at visible and shortwave infrared wavelengths. Lithological mapping using visible and shortwave infrared hyperspectral data is well developed and established processing chains are available, however there is a paucity of such methodologies for hyperspectral thermal infrared data. Here we present a new fully automated processing chain for deriving lithological maps from hyperspectral thermal infrared data and test its applicability using the first ever airborne hyperspectral thermal data collected in the Antarctic. A combined airborne hyperspectral survey, targeted geological field mapping campaign and detailed mineralogical and geochemical datasets are applied to small test site in West Antarctica where the geological relationships are representative of continental margin arcs. The challenging environmental conditions and cold temperatures in the Antarctic meant that the data have a significantly lower signal to noise ratio than is usually attained from airborne hyperspectral sensors. We applied preprocessing techniques to improve the signal to noise ratio and convert the radiance images to ground leaving emissivity. Following preprocessing we developed and applied a fully automated processing chain to the hyperspectral imagery, which consists of the following six steps: (1) superpixel segmentation, (2) determine the number of endmembers, (3) extract endmembers from superpixels, (4) apply fully constrained linear unmixing, (5) generate a predictive classification map, and (6) auto-

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matically label the predictive classes to generate a lithological map. The results show that the image processing chain was successful, despite the low signal to noise ratio of the imagery; reconstruction of the hyperspectral image from the endmembers and their fractional abundances yielded a root mean square error of 0.58%. The results are encouraging with the thermal imagery allowing clear distinction between granitoid types. However, the distinction of fine grained, intermediate composition dykes is not possible due to the close geochemical similarity with the country rock.

Keywords: hyperspectral, thermal infrared, geology, automated, mapping, Antarctica

1 Introduction

Remote sensing in the solar reflective spectral range has been widely demonstrated to be an invaluable methodology to assist geological analysis (van der Meer et al., 2012). Hyperspectral data collected at visible and near infrared (VNIR; 0.4–1 μm) and shortwave infrared (SWIR; 1–2.5 μm) wavelengths have been widely reported in the literature for mapping mineral absorption features occurring within transition metals (i.e. Fe, Mn, Cu, Ni, Cr etc.) and alteration minerals that display absorption features associated with Mg-OH and Al-OH bonds (e.g. Abrams et al., 1977; Kruse et al., 1990; Hook and Rast, 1990; Hook et al., 1991; Clark et al., 1993; Kruse et al., 1993b; Abrams and Hook, 1995; Clark and Swayze, 1996; Resmini et al., 1997; Rowan et al., 2003).

Although these reflectance-based datasets have been successful for mapping of minerals associated with alteration, from a geological mapping perspective, mapping of rock-forming silicates is critical. When considering only VNIR/SWIR data there are significant limitations in the range and quality of the geological parameters that can be retrieved, as many important rock-forming minerals do not display diagnostic absorption features at VNIR/SWIR wavelengths (e.g. Drury, 2001; Gupta, 2003; van der Meer et al., 2012).

The longwave or thermal infrared (TIR; 8–14 μm) wavelength range has the capability of retrieving additional physical parameters and more accurately resolving the composition and physical condition of a material than solar reflected radiation (Hook et al., 1998, 2005; Hecker et al., 2012). Many common rock-forming minerals such as quartz, feldspars, olivines, pyroxenes, micas and clay minerals have spectral features in the 8–14 μm wavelength region (van der Meer et al., 2012). For silicate minerals, a pronounced emittance minimum caused by fundamental Si-O stretching vibrations occurs

25 near 10 μm (Hunt and Salisbury, 1975; Salisbury, 1991). The vibrational frequency, and
26 thus the wavelength of the minimum, depends on the degree of coordination among the
27 silicon-oxygen tetrahedra in the crystal lattice. Framework silicates, such as quartz
28 and feldspar, have emittance minima at shorter wavelengths (9.3 and 10 μm , respec-
29 tively) than do sheet silicates such as muscovite (10.3 μm) and chain silicates such
30 as the amphibole minerals (10.7 μm) (Hunt, 1980). Emission Fourier transform in-
31 frared (FTIR) spectroscopy has been successfully used to predict modal mineralogy of
32 rock-forming minerals such as feldspars, pyroxene, and quartz and their composition
33 in igneous and metamorphic rocks (e.g. Feely and Christensen, 1999; Hamilton and
34 Christensen, 2000; Milam et al., 2004; Hecker et al., 2010). Carbonates have features
35 associated with CO_3 internal vibrations both in the 6-8 μm region (Adler and Kerr,
36 1963; Hunt and Salisbury, 1975) and also at 11.4 and 14.3 μm due to C-O bending
37 modes. Sulphate minerals have an intense feature near 8.7 μm caused by fundamental
38 stretching motions (van der Meer, 1995; Lane and Christensen, 1997).

39 The majority of geological mapping studies using thermal infrared remote sensing
40 data have utilised multispectral data; multispectral sensors measure a small number of
41 (< 20) broadly spaced, often non-contiguous bands (Kramer, 2002). The Advanced
42 Spaceborne Thermal Emission and Reflection Radiometer (ASTER) and the Thermal
43 Infrared Multispectral Scanner (TIMS) sensors have demonstrated the utility of TIR
44 data to discriminate a wide range of minerals, especially silicates, as well as proving
45 useful for lithological mapping (e.g. Rowan and Mars, 2003; Chen et al., 2007; Rogge
46 et al., 2009; Haselwimmer et al., 2010, 2011; Salvatore et al., 2014); however, these
47 satellite platforms are limited by their coarse spatial and spectral resolution.

48 The development of airborne hyperspectral TIR sensors producing images with tens
49 to hundreds of contiguous spectral channels provided the potential for a step-change in
50 the range of mineralogical information and accuracy of surface composition retrievable
51 remotely. Currently, there are a number of operational airborne hyperspectral TIR
52 instruments, including the Spatially Enhanced Broadband Array Spectrograph System
53 (SEBASS), the Airborne Hyperspectral Scanner (AHS), the ITRES Thermal Airborne
54 Spectrographic Imagery (TASI), and the Specim AisaOWL (van der Meer et al., 2012).
55 Previous studies using airborne hyperspectral TIR data have illustrated the exceptional
56 potential of these types of sensors for mapping silicates, carbonates, sulphates, and
57 clays (e.g. Hewson et al., 2000; Cudahy et al., 2001; Calvin et al., 2001; Vaughan

58 et al., 2003, 2005; Aslett et al., 2008; Riley and Hecker, 2013; Kruse and McDowell,
59 2015).

60 However, a number of issues relating to processing of the imagery remain, which
61 significantly affects the accuracy of the temperature-emissivity separation and subse-
62 quently the quality of the interpretation of the generated mineralogical and lithological
63 maps. These issues include the coarser spectral resolution and poorer spectral cali-
64 bration of currently available instruments (compared to VNIR/SWIR instruments), in-
65 accurate correction of the effects of the atmosphere, low signal-to-noise ratios and a
66 lack of understanding of the influence of a wide range of compositional, morphologi-
67 cal, topographical and environmental factors on the spectral emissivity signal received
68 at-sensor (Salvaggio and Miller, 2001; Shimoni et al., 2007; Feng et al., 2012). The
69 complexity of the processing chain (atmospheric correction and the underdetermined
70 nature of temperature emissivity separation; Gillespie et al., 1998) and lack of defined
71 methodologies for processing of hyperspectral airborne TIR datasets relative to the pro-
72 cessing of VNIR and SWIR hyperspectral datasets is an additional factor in limiting the
73 usefulness of the data and the quality of geological interpretation (van der Meer et al.,
74 2012).

75 A key objective of this study was to develop a fully automated processing chain,
76 robust to noise, in order to produce a lithological map from airborne hyperspectral
77 TIR data. The processing chain, with minimal inputs and parameters, is designed to
78 assist geologists in processing, analysing and interpreting hyperspectral TIR datasets;
79 we use established techniques which are routinely applied to VNIR/SWIR datasets and
80 integrate them into a fully automated processing chain applied to hyperspectral TIR
81 data.

82 Additionally, this paper also presents the first known analysis of airborne hyper-
83 spectral TIR data from the Antarctic. We tackle the significant challenges presented
84 by the extreme environment in the Antarctic, which produced a dataset with a very
85 low signal to noise ratio. The results are validated and interpreted in the context of
86 the study area in conjunction with a full suite of ancillary data: detailed high qual-
87 ity ground reference spectral data collected using a new, high resolution field portable
88 FTIR spectrometer, thin section and scanning electron microscope analysis, electron
89 microprobe analysis, whole rock geochemical data and mineral modal analysis.

90 **2. Study area and datasets**

91 *2.1. Study area and geological context*

92 The Antarctic Peninsula is part of the proto-Pacific continental margin arc that was
93 magmatically active at least from the Permian through to ~ 20 Ma. The range of
94 igneous rocks emplaced in continental margin arcs informs us about the tectonic his-
95 tory of the margin, and even relatively subtle difference between granitoid types (e.g.
96 tonalite, diorite, granodiorite, granite) are significant as they record variations in melt-
97 ing depths and the stress regime in the lithosphere.

98 Anchorage Island is located in Ryder Bay to the south of the larger Adelaide Island,
99 on the Antarctic Peninsula. The British Antarctic Survey (BAS) main research station
100 is located close by on Rothera Point, Adelaide Island (Figure 1C). Anchorage Island
101 was surveyed as part of a hyperspectral airborne campaign in February 2011 and visited
102 for follow-up ground truth fieldwork in January/February 2014 (Figure 1D).

103 A local-scale geological map of the study area, based on previous geological map-
104 ping updated with recent field observations, is shown in Figure 2. The main geologic
105 unit on Anchorage Island is the Adelaide Island Intrusive Suite (AIIS). The AIIS is
106 dominated by granodiorites, tonalites and gabbroic rocks; granodiorite and hybrid gab-
107 bro/granodiorite plutons are the most abundant. The granodiorite is leucocratic and
108 is dominated by plagioclase (~ 50 – 60 %), which often weathers orange/brown; quartz
109 typically accounts for ~ 10 % of the rock and K-feldspar ~ 5 %. Mafic minerals are
110 common (25 %), with green/brown amphibole abundant, along with minor amounts of
111 biotite and epidote. The plutonic rocks are cut by dolerite and intermediate-felsic com-
112 position dykes, which are typically < 1 m thick, dip steeply ($> 75^\circ$ to the southeast)
113 and strike in the range 210 – 230° .

114 *2.2. Airborne hyperspectral data*

115 Airborne hyperspectral TIR imagery was acquired on the 3rd February 2011 by
116 the ITRES TASI sensor with 32 spectral bands from 8– $11.4 \mu\text{m}$ at a full-width half-
117 maximum (FWHM) of 109.5 nm. The acquisition system hardware and other equip-
118 ment (inertial measurement unit and instrument control units) were installed into a De
119 Havilland Twin Otter aircraft and flown unpressurised. Radiometric correction and ge-
120 ometric correction were carried out by ITRES Research Ltd., where a total of 17 flight
121 lines were orthorectified and a mosaicked image in calibrated at-sensor radiance units

122 (Level 1B) at a ground spatial resolution of 1 m was delivered. The full preprocessing
123 of the hyperspectral imagery is described in Section 3.3 and the automated lithological
124 mapping in Section 3.4.

125 *2.3. Field reflectance and emission spectral survey*

126 Ground TIR emissivity spectra were acquired from the survey region during a field
127 campaign in February 2014. A total of eight field localities were surveyed (Figure 1D)
128 encompassing a northeast-southwest transect across Anchorage Island, though specific
129 localities were selected due to their accessibility. At each locality, between 3 and 5
130 hand specimens were collected from representative lithological units, mafic enclaves
131 and mineral veins (e.g. quartz) within close proximity (<10 m) of each field locality.
132 Hand specimens were collected from weathered, nadir facing rock surfaces. Although
133 varying levels of lichen cover were present, samples were measured from lichen-free
134 (or minimal lichen covered) areas on each sample. Hand specimens were measured
135 using an ABB full spectrum reflectometer (FSR) to gather measurements of spectral
136 reflectivity and emissivity.

137 The FSR is a FTIR spectrometer which uses a Michelson interferometer (MB-3000)
138 with mercury cadmium telluride (MCT) and indium arsenide (InAs) detectors. It has
139 a wavelength range from 0.7–14 μm , a spectral resolution of <1 nm and a spot size of
140 ~ 4 mm. The FSR was developed by ABB for the Canadian Department for Research
141 and Defence (DRDC). It represents a significant improvement over existing field FTIR
142 spectrometers; it is compact and portable, has a high signal to noise ratio due to its
143 cooled MCT and InAs detectors, as well as covering a large spectral range from the
144 VNIR to TIR. The FSR is also a contact probe instrument, similar to spectral radiome-
145 ters conventionally used for VNIR/SWIR spectroscopy. The spectral resolution was set
146 to 0.1 nm and the instrument was set up such that each spectrum represented the aver-
147 age of 128 individual spectral measurements. A calibrated gold panel is built into the
148 FSR allowing for the calculation of emissivity; the gold panel was used to recalibrate
149 the instrument at the start of each batch of measurements at each field locality. Figure
150 3 shows 18 spectra collected from exposed nadir facing samples (excluding samples
151 from enclaves or vein material).

152 **3. Methodology**

153 The processing of the airborne hyperspectral TIR imagery was split into two main
154 phases; (1) data preprocessing and (2) fully automated image processing and litholog-
155 ical mapping. To assist in the analysis of the results from the airborne remote sensing
156 study a comprehensive field mapping survey was carried out supported by field re-
157 flectance and emission spectroscopy (Section 2.3). The field spectral data underwent
158 spectral resampling (Section 3.1). Laboratory geochemical and petrographic analyses
159 were carried out to determine mineralogical information and aid in interpretation of
160 field spectral data (Section 3.2).

161 *3.1. Spectral resampling*

162 All of the emissivity spectra collected in the field (Figure 3) using FSR were con-
163 volved to the spectral response functions of the TASI sensor through

$$\varepsilon_i = \frac{\int \varepsilon_s(\lambda) r_i(\lambda) \delta\lambda}{\int r_i(\lambda) \delta\lambda} \quad (1)$$

164 where ε_i is convolved emissivity, $\varepsilon_s(\lambda)$ is the sample's emissivity at band i and wave-
165 length λ , $r_i(\lambda)$ is the spectral response function of band i at wavelength λ_j , over the
166 wavelength interval of the sample $\delta\lambda$.

167 *3.2. Geochemical and petrographic analyses*

168 Four samples representative of the main lithological units (granite, granodiorite
169 and dolerite) were further investigated to understand their geochemistry; two gran-
170 odiorite samples (J13.19.10 and J13.22.5), one granite (J13.21.10) and one dolerite
171 sample (J13.22.10) were chosen. These samples were selected to ensure that each of
172 the geological units on Anchorage Island were investigated; as weathered granodiorite
173 represents the major lithological unit on Anchorage Island, two weathered granodiorite
174 samples were chosen to determine their homogeneity. Thin sections were examined
175 using a petrological microscope, a FEI Quanta 650F QEMSCAN scanning electron
176 microscope and a Cameca SX-100 electron microprobe. Backscattered electron (BSE)
177 images were collected on the QEMSCAN using an accelerating voltage of 20 kV and
178 a working distance of ~ 13 mm. Major element geochemistry and the identification
179 of minerals and mineral phases was carried out through electron microprobe analysis

180 (EPMA) of points ($\sim 5 \mu\text{m}$) from grains within thin sections. Point counting (Gale-
181 house, 1971) was used to determine mineral composition; 500 points were counted in
182 thin section on each of the four samples.

183 The samples were also analysed by X-ray fluorescence spectrometry (XRF) to de-
184 termine whole-rock major and trace elements using a PANalytical Axios-Advanced
185 XRF spectrometer at the University of Leicester. Powders from whole-rock samples
186 were obtained through crushing in a steel jaw crusher and powdering in an agate ball
187 mill. Major elements were determined from fused glass discs and trace elements from
188 powder pellets. Loss on ignition (LOI) values were calculated by igniting ~ 3 g of each
189 sample in ceramic crucibles at 950°C . Glass discs were prepared from 0.6 g of non-
190 ignited powder and 3 g of lithium metaborate flux, melted in a Pt-Au crucible over a
191 Spartan burner then cast into a Pt-Au mould. Powder pellets of 32 mm diameter were
192 produced from mixing 7 g of fine ground sample powder with 12-15 drops of a 7%
193 polyvinyl alcohol (PVA) solution (Moviol 8-88) and pressed at 10 tons per square inch.

194 3.3. Hyperspectral data preprocessing

195 Figure 4 shows a flowchart of the preprocessing steps. Radiometric correction and
196 geometric correction were carried out by ITRES Research Ltd. using their proprietary
197 tools. In the first step, radiometric and spectral calibration coefficients were applied
198 to convert the raw digital numbers into spectral radiance values. In the second step,
199 the ITRES proprietary geometric correction software utilised the navigation solution,
200 bundle adjustment parameters, and digital elevation models (DEMs) to produce georef-
201 erenced radiance image files for each flight line. In addition, flight lines were combined
202 into an image mosaic of the area. The nearest neighbour algorithm was used to populate
203 the image pixels so that radiometric integrity of the pixels could be preserved. Where
204 the pixels of adjacent flight lines overlapped the pixel with the smallest off-nadir angle
205 was written to the final mosaic image.

206 Whilst the TIR domain is an atmospheric window, there is atmospheric influence
207 which needs to be compensated for, especially for quantitative applications (Liang
208 et al., 2002). Here we performed atmospheric correction through the inversion of radia-
209 tive transfer modelling, following a similar approach to our corrections of VNIR/SWIR
210 Antarctic hyperspectral data (Black et al., 2014).

211 The basic radiative transfer equation in the TIR domain as given by Dash et al.
212 (2002) is (where each term is a function of wavelength, λ , omitted for clarity)

$$L_s = L_p + \tau \cdot L_g + \tau \cdot [1 - \varepsilon] \cdot \frac{F}{\pi} \quad (2)$$

213 where L_s is the total thermal radiance received at-sensor, L_p the thermal path radi-
 214 ance emitted by the atmosphere between the ground and the sensor, τ the ground-to-
 215 sensor transmittance, L_g the ground emitted radiance, ε the ground surface emissivity
 216 and F the downwelling thermal sky flux at the ground (Richter and Coll, 2002). We
 217 utilised ATCOR-4 (Richter and Schläpfer, 2002, 2014) to perform atmospheric cor-
 218 rection; ATCOR-4 applies equation 2 by interpolating the required atmospheric pa-
 219 rameters for each pixel based on their individual viewing geometry where the radia-
 220 tive transfer parameters are selected from a database of MODTRAN-5 (Berk et al.,
 221 2005) simulations. The two inputs required by ATCOR-4 to approximate the atmo-
 222 spheric conditions are the visibility and column water vapour amount. Visibility data
 223 is continually measured at the nearby Rothera research station using an automated BI-
 224 RAL HSS VPF-730 Combined Visibility & Present Weather Sensor. The water vapour
 225 value was derived using an assumed value of 2.0 g cm^{-3} by comparison to radiosonde
 226 data. The mosaicked image was processed one flight line at a time to convert the at-
 227 sensor non-atmospherically corrected radiance into ground-leaving radiance. Temper-
 228 ature and emissivity separation (TES) was performed following atmospheric correction
 229 using the maximum-minimum difference of emissivity technique, which is commonly
 230 applied to ASTER TIR data (Gillespie et al., 1998).

231 Investigation of the emissivity imagery following atmospheric correction and TES
 232 showed lower than expected emissivity values, along with residual atmospheric absorp-
 233 tions. This was likely due to the challenging acquisition conditions and calibration con-
 234 ditions of the instrument, along with inadequate representation of the atmosphere due
 235 to the approximations in the atmospheric correction process (Black et al., 2014). An
 236 empirical correction, through the Emissive Empirical Line Method (EELM; Distasio
 237 Jr. and Resmini, 2010) was applied. The EELM generates scalar multiplicative values
 238 for each band of the image through regression of image pixel spectra to the assumed
 239 “target” spectra - this approach is comparable to the use of pseudo invariant features
 240 (PIFs; Freemantle et al., 1992; Philpot and Ansty, 2011) and the empirical line method
 241 (ELM; Smith and Milton, 1999) which is commonly applied to VNIR/SWIR data (*e.g.*
 242 Tuominen and Lipping, 2011). Here we applied EELM utilising pixels selected from
 243 homogeneous regions of granite, dolerite, snow and sea water.

244 High levels of salt and pepper noise along with within-in track striping and flight
 245 line illumination differences were still apparent in emissivity imagery so an additional
 246 processing step was applied to improve the signal-to-noise ratio (SNR). The minimum
 247 noise fraction (MNF; Boardman and Kruse, 1994; Green et al., 1998) was applied. The
 248 MNF involves two cascaded principal component (PC) transformations; the first trans-
 249 formation, based on an estimated noise covariance matrix, decorrelates and rescales the
 250 noise in the data. The second step is a standard PC transformation of the noise-reduced
 251 data. The MNF is an effective technique for reducing a large hyperspectral dataset into
 252 fewer components which contain the majority of information (spectral variance) in a
 253 small number of components. Unlike a PC transform, the resulting axes (components)
 254 from MNF are not orthogonal (as in PC analysis) but are ordered by decreasing signal
 255 to noise ratio (Keshava and Mustard, 2002). After the MNF was applied, the first four
 256 MNF bands were then used in the inverse MNF to produce the noise-reduced emissiv-
 257 ity image. Additionally a median filter with a radius of 2 was applied in the spectral
 258 domain to remove shot noise which was not addressed by the MNF noise reduction
 259 step (*e.g.* Gilmore et al., 2011).

260 We investigated the SNR of the image before and after preprocessing by utilising
 261 an area of sea water in the image and calculating the SNR through

$$\text{SNR} = \frac{\mu_{ij}}{\sigma_{ij}} \quad (3)$$

262 where i and j are the rows and columns of the image, μ_{ij} is the mean of the pixels and
 263 σ_{ij} is the standard deviation of the pixels. The signal to noise ratio is often reported
 264 using the logarithmic decibel (dB) scale; we can express the SNR in dB through

$$\text{SNR}_{dB} = 20 \log_{10}(\text{SNR}) \quad (4)$$

265 Finally, prior to processing, the image was masked to remove snow/ice and sea
 266 water. The mask was generated from the temperature image where pixels $< 5^\circ\text{C}$ were
 267 removed.

268 3.4. Image processing and lithological mapping

269 In order to produce a lithological map, we applied a six step processing chain,
 270 shown in Figure 5. The processing chain is fully automated, with only a small num-

271 ber of inputs/parameters; algorithms were selected from the existing literature based on
272 their ability to cope with low SNR datasets. The six steps are: (1) superpixel segmenta-
273 tion; (2) identify the number of endmembers to extract from the superpixels; (3) extract
274 endmembers from the image using an endmember extraction algorithm (EEA); (4) per-
275 form spectral mixture analysis (SMA; also known as spectral unmixing) to determine
276 the fractional abundances each endmember; (5) produce a predictive classification map
277 from endmember fractional abundances; (6) identify endmembers and label the predic-
278 tive map classes to produce a lithological map.

279 Here we consider an endmember to be a unique spectrum derived from the hy-
280 perspectral scene itself. Endmembers are found directly from the image, regardless
281 of the composition of materials (within individual pixels or within the scene itself) or
282 any imperfections in the dataset (e.g. sensor noise, atmospheric influence and so on)
283 (Winter, 1999). Through the careful interpretation of endmembers in reference to the
284 local geological context, ancillary data (e.g. geochemical analysis) and knowledge of
285 the imperfections within the data, endmembers which are *recognisable* are determined
286 and interpreted in a geological context (Winter, 1999; Rogge et al., 2009).

287 These steps are fully automated in a MATLAB environment (MathWorks, 2011)
288 and do not require any user interaction. Steps 1 to 5 require the hyperspectral scene
289 and few parameters as input. In this study, we also perform step 6 automatically with
290 the additional input of the field spectral data (convolved to TASI spectral response func-
291 tions; Equation 1), which are used to automatically label the predictive map classes. In
292 the absence of field spectral data, step 6 could be performed through manual inter-
293 pretation of endmembers and subsequent labelling of the predictive map classes by an
294 expert user. Due to the automated nature of the processing chain, the results are also
295 completely repeatable unlike approaches which rely on manual endmember identifica-
296 tion. The following sections describe each step of the processing chain.

297 3.4.1. Step 1: Superpixel segmentation

298 Firstly, we apply superpixel segmentation, which adds a spatial component to end-
299 member extraction. Superpixels are homogeneous image regions comprised of sev-
300 eral pixels having similar values and are generated by intentional over-segmentation of
301 the emissivity image which aggregates scene features into segments (Thompson et al.,
302 2010; Gilmore et al., 2011); the spectra of each of the original image pixels within a
303 superpixel segment are averaged to produce the superpixel's spectrum.

304 Briefly, the superpixel segmentation uses graph-based image segmentation (Felzen-
305 szwalb and Huttenlocher, 2004), where the pixel grid is shattered into an 8-connected
306 graph with nodes connected by arcs representing the Euclidean spectral distance and
307 the nodes are then iteratively joined using an agglomerative clustering algorithm (Felzen-
308 szwalb and Huttenlocher, 2004; Thompson et al., 2010, 2013). A stable bias parameter,
309 k controls the size of the superpixels, a minimum superpixel size is enforced, and in a
310 final step smaller regions are merged to their nearest adjacent clusters (Felzenszwalb
311 and Huttenlocher, 2004; Thompson et al., 2010). The superpixel approach has been
312 shown to be beneficial on low SNR datasets and can aid in deriving endmembers that
313 more closely resemble manually derived endmembers (Thompson et al., 2010). This
314 is due to averaging several pixel spectra within a single superpixel and thus the tech-
315 nique reduces the noise variance proportionally to the superpixel area. However the
316 technique can act to degrade spectral purity by aggregating multiple pixels and can
317 suppress subtle spectral features (Thompson et al., 2010).

318 For the superpixel segmentation we set the bias parameter k to 0.1 and the mini-
319 mum superpixel region size to 30 pixels using the Euclidean spectral distance as the
320 divergence measure. These parameters were determined quantitatively by investigating
321 the sensitivity of the segmentation to small features, such as the stoped granite block in
322 the northeast of Anchorage Island (Figure 2). These parameters are determined based
323 on the scale of features present in the scene and the spatial resolution of the imagery,
324 thus may require local tuning on other imagery collected at different resolutions or
325 where geological features occur at different scales. The superpixel segmentation step
326 also serves as an image reduction step, thereby speeding up processing times; the raw
327 image contains over 7.6 million pixels (3062×2489) and the superpixel segmentation
328 reduces this to 9810 superpixels.

329 *3.4.2. Step 2: Estimating the number of endmembers*

330 Following the generation of superpixels, Virtual Dimensionality (VD; Chang and
331 Du, 2004) was used to determine the number of endmembers (n). The number of end-
332 members, or the intrinsic dimensionality (ID) of a hyperspectral image is considerably
333 smaller than the component dimensionality (number of bands), and accurately deter-
334 mining the ID is crucial for the success of endmember extraction and spectral mixture
335 analysis (Chang and Du, 2004). The high spatial and spectral resolution of hyperspec-
336 tral imagery means that the sensor is capable of uncovering many unknown endmem-

337 bers, which cannot be identified by visual inspection or known *a priori* (Chang and
 338 Du, 2004). In order to determine the number of endmembers (or signal sources, i.e. the
 339 intrinsic dimensionality) we applied the VD algorithm, prior to endmember extraction.
 340 The VD concept formulates the issue of whether a distinct signature is present or not
 341 in each of the spectral bands as a binary hypothesis testing problem, where a Newman-
 342 Pearson detector is generated to serve as a decision-maker based on a prescribed false
 343 alarm probability P_{fa} (Chang and Du, 2004; Plaza et al., 2011). In our preliminary
 344 investigations, we varied the P_{fa} from 10^{-3} to 10^{-6} , however, the estimated number
 345 of endmembers did not change; we therefore fixed the P_{fa} value to 10^{-4} in line with
 346 previous studies (Chang and Du, 2004; Plaza et al., 2011).

347 3.4.3. Step 3: Endmember extraction

348 In step (3) we applied Vertex Component Analysis (VCA; Nascimento and Bioucas-
 349 Dias, 2005), to extract n endmembers from the superpixels. Vertex component analysis
 350 exploits the fact that endmembers occupy the vertices of a simplex and assumes the
 351 presence of pure pixels in the data. The algorithm iteratively projects data onto a direc-
 352 tion orthogonal to the subspace spanned by the endmembers already determined and the
 353 new endmember signature corresponds to the extreme of the projection; iteration con-
 354 tinues until the number of endmembers is exhausted. The algorithm has been shown to
 355 be comparable to state of the art endmember extraction algorithms, such as N-FINDR
 356 (Winter, 1999) and outperforms manual techniques such as the Pixel Purity Index (PPI;
 357 Boardman, 1993). It is an order of magnitude less computationally complex than other
 358 state of the art endmember extraction algorithms which yields significantly faster pro-
 359 cessing times for large datasets (Nascimento and Bioucas-Dias, 2005).

360 3.4.4. Step 4: Spectral mixture analysis

361 The endmembers derived from the VCA algorithm were used as input to step (4)
 362 where linear SMA is used to produce fractional abundances of the n endmembers using
 363 the original image (without superpixel segmentation). Due to its ease of implementa-
 364 tion, we applied fully constrained linear spectral unmixing (FCLSU; Heinz and Chang,
 365 2001) to derive fractional abundances of each endmember, given as

$$R_b = \sum_{i=1}^n F_i S_{ib} \quad (5)$$

366 where R_b is the fractional abundance of the pixel at band b , F_i is the fractional abun-
 367 dance of endmember i , S_{ib} describes the emissivity of endmember i at band b , and n is
 368 the number of endmembers. Equation 5 was solved subject to the constraints that frac-
 369 tional abundances sum-to-one (ASC; abundances sum-to-one constraint) and fractional
 370 abundances are non-negative (ANC; abundance non-negative constraint) (e.g. Rogge
 371 et al., 2009). This step results in fractional abundance images, where, for each pixel
 372 in the image, the abundance of each endmember is determined. The algorithms used
 373 at this and the preceding processing steps were selected due to their availability and
 374 implementation in the MATLAB environment (MathWorks, 2011), along with their
 375 relatively quick processing times and proven success at extracting endmembers under
 376 moderate to high noise conditions (Nascimento and Bioucas-Dias, 2005; Chang and
 377 Plaza, 2006; Plaza et al., 2012).

378 3.4.5. Step 5: Predictive map classification

379 Utilising the abundance images a predictive classification map was generated fol-
 380 lowing a similar approach to Rogge et al. (2009). The map was generated by de-
 381 termining the endmember with the maximum fractional abundance for each pixel and
 382 assigning that pixel to the given endmember class. For a pixel to be assigned to a partic-
 383 ular class, the endmember abundance must be above a minimum fractional abundance
 384 threshold (or confidence level), otherwise a null class was assigned. The minimum
 385 fractional abundance was set to the intermediate value of 0.5 for practical purposes,
 386 however this value could be increased to identify spectrally purer regions (Rogge et al.,
 387 2009).

388 3.4.6. Step 6: Class labelling

389 The interpretation step was carried out to produce geological labels which were
 390 automatically applied to the classification map generated from step (5). The image
 391 derived endmember spectra were compared to field emissivity spectra (e.g. Harris et al.,
 392 2005; Rogge et al., 2009) through calculation of spectral angle (SA), also known as
 393 Spectral Angle Mapper (SAM; Kruse et al., 1993a) through the application of

$$SA = \cos^{-1} \left(\frac{\vec{t} \cdot \vec{r}}{\|\vec{t}\| \cdot \|\vec{r}\|} \right) \quad (6)$$

394 where t represents the spectrum of the target (endmember), r represents the spectrum
 395 of the reference (field spectra) and SA is the spectral angle (in radians; 0 to 2π). This

396 technique to determine similarity is insensitive to gain factors as the angle between two
 397 vectors is invariant with respect to the lengths of the vectors, and allows for laboratory
 398 spectra to be directly compared to remotely sensed spectra (Kruse et al., 1993a). Pre-
 399 dictive map classes were automatically labelled by their closest match from the field
 400 spectral data (e.g. Rivard et al., 2009).

401 3.5. Image processing validation

402 In order to validate our findings, we use the root mean square error metric (RMSE)
 403 for assessment (e.g. Plaza et al., 2012). We define \hat{y}_{ij} as the reconstructed hyperspectral
 404 image, following

$$\hat{y}_{ij} = \sum_{n=1}^n (M_n \times S_n) \quad (7)$$

405 where i and j are the rows and columns of the image, n is the number of endmembers,
 406 M_n denotes the endmember spectrum of n and S_n denotes the fractional abundance
 407 of endmember n . Following this reconstruction we calculate the RMSE between the
 408 original hyperspectral image, y and the reconstructed hyperspectral image, \hat{y} as

$$\text{RMSE}(y, \hat{y}) = \left(\frac{1}{B} \sum_{j=1}^B [y_{ij} - \hat{y}_{ij}]^2 \right)^{\frac{1}{2}} \quad (8)$$

409 where B is the number of spectral bands and \hat{y}_{ij} and y_{ij} are pixels of the original hy-
 410 perspectral image and the pixels of the reconstructed hyperspectral image respectively.
 411 Summary statistics were calculated from the RMSE of the pixels of each endmember
 412 class as well as the whole RMSE image.

413 Additionally, we also extract the original image spectra and the reconstructed image
 414 spectra (calculated from the endmembers and their fractional abundances). Using areas
 415 of granite and granodiorite we extract spectra from pixels of high purity (0.9 fractional
 416 abundance), medium purity (0.75 fractional abundance) and low purity (0.5 fractional
 417 abundance) and compare the spectra, their fractional abundances, and the RMSE values
 418 to validate the findings in a spectral context.

419 4. Results and Discussion

420 4.1. Field data

421 Table 1 shows whole-rock major and trace element data from XRF spectroscopy.
 422 Table 2 shows the abundances of minerals as determined from point counting. Spectral

423 data collected from in situ samples is displayed in Figure 3. The majority of Anchorage
424 Island is composed of weathered granodiorite, however some areas contain amphibole
425 rich granodiorites (J13.24, J13.25 and J13.26), and areas in the southwest of the island
426 display strongly weathered and altered granodiorites (J13.19 and J13.20).

427 The spectral variability of the granodiorites is shown in Figure 3A. Numerous do-
428 lomite dykes cut the granodiorite unit; a spectral measurement from a dolerite dyke in
429 the northwest of Anchorage Island is shown in Figure 3B. The field spectra for dolerite
430 and granodiorite show similar spectral features; a small relative increase in emissivity
431 at 8.6 μm and 9.5 μm , and two broad flat absorption features centred around 9 μm and
432 10 μm . The whole-rock XRF data shown in Table 1 support the spectral similarity of
433 the dolerite and granodiorite samples - there is very little difference in the chemical
434 composition of these samples, hence the similar spectra of the samples. The amphi-
435 bole rich granodiorite spectra display an additional weak feature at 10 μm with reduced
436 magnitude of the emissivity maximum at 8.6 μm . The strongly weathered (and altered)
437 granodiorite spectra are significantly different to weathered/amphibole rich granodior-
438 ite spectra, displaying a broad deep feature at 9 μm and a smooth spectrum above 9.8
439 μm . We attribute the broad deep absorption centred around 9 μm to high temperature
440 feldspar alteration into clay minerals (e.g. sericite).

441 The spectrum of granite is dominated by a quartz signal which leads to an emis-
442 sivity maximum at 8.7 μm and a deep feature with an emissivity minimum at 9.4 μm
443 (Figure 3B). Although similar spectral features to granodiorite are present in the gran-
444 ites, the overall magnitude of the absorption features is much larger in the granite than
445 in any of the granodiorite or dolerite spectra.

446 4.2. TIR data preprocessing results

447 Figure 6 shows the first 10 bands of the MNF transform. As higher MNF compo-
448 nents are considered, the levels of noise dramatically increase (Figure 6G-J). The MNF
449 images also clearly highlight the differences between flight lines which cause ‘striping’
450 in the images (e.g. Figure 6E and F). The first four of these MNF components (Figure
451 6A-D) were retained and processed through an inverse MNF transform prior to input
452 in the superpixel and endmember extraction algorithms.

453 Figure 7 shows the SNR for the image after atmospheric correction and TES com-
454 pared to the SNR for the final image after all preprocessing (atmospheric correction,
455 TES, EELM and MNF noise reduction). Overall the SNR is increased from a mean

456 value of 60:1 (35.6 dB) to 92:1 (39.3 dB) after preprocessing. With the exception of
457 one band at $\sim 9 \mu\text{m}$, the SNR increased for all wavelengths, with significant increases
458 seen at the higher wavelengths ($> 10.5 \mu\text{m}$). Whilst increases in SNR are seen after
459 preprocessing, on whole SNR values are relatively low and far lower than that which
460 are regularly reported by others using airborne hyperspectral TIR sensors such as SE-
461 BASS; for example Vaughan et al. (2003) report SNR values of 2000:1 (66 dB) using
462 SEBASS data in Nevada.

463 The low SNR values reported here are likely a direct result of the challenging op-
464 erating conditions in the Antarctic; the instruments were flown in an unpressurised
465 aircraft, operating at extreme temperatures which were up to $20 \text{ }^\circ\text{C}$ ($68 \text{ }^\circ\text{F}$) outside of
466 the instrument's normal operating range, as well as being subject to repeated heating
467 and cooling cycles during storage and operation (Black et al., 2014).

468 *4.3. Predictive map generation and geological interpretations*

469 A total of 9810 superpixels were input into the VD algorithm which determined
470 there were 5 endmembers. The endmembers were extracted using the VCA algorithm
471 and are shown in Figure 8. Endmember abundances were determined using FCLSU;
472 the abundances images were utilised to generate a classified map, where classes were
473 assigned to the predominant endmember if the abundance was greater than 0.5.

474 The classes were subsequently labelled by automatic matching to the field spectral
475 data; the closest match (in terms of spectral angle; Equation 6) was applied to label the
476 endmembers (Figure 8) and their respective class in the predictive classification map
477 (Figure 9). The results were validated through visual inspection of the classification
478 map with respect to the local geological map (Figure 2), comparison of the endmember
479 spectra and the ancillary data (Sections 2.3 and 3.2), as well as using the RMSE metric
480 (Section 3.5 and Section 4.4). Endmember-4 was excluded as it represented sea water
481 from pixels which were not captured at the masking step and is not discussed further.
482 The resulting lithological map is shown in Figure 9.

483 For each endmember, a match was determined from the field spectra where the
484 SA was ≤ 0.03 radians; we found confident matches for granite, two types of weath-
485 ered granodiorite and altered granodiorite. The endmember spectra display absorption
486 features consistent with the field measured spectra (Figure 3) and their mapped distri-
487 butions (Figure 9) are largely in agreement with the generalised geological map (Figure
488 2).

489 The granite endmember (Endmember-1; Figure 8A) displays good agreement with
490 the field spectral data and its distribution on the predictive map (Figure 9A and B).
491 We accurately delineate the stoped granite block in the northeast of Anchorage Island,
492 along with the larger outcrops south of the granite block and along the northeast coast.
493 The predictive map indicates the likelihood of additional outcrops of granite occurring
494 predominantly in the northeast of Anchorage Island (Figure 9B).

495 The occurrence of granite *sensu stricto* in continental margin arcs is rare, typically
496 accounting for 1-2% of the total volume of granitoid rocks exposed at the surface.
497 Granites exposed at the surface on the western margin of the Antarctic Peninsula are
498 rare and not previously identified at all from Adelaide Island (or the Ryder Bay islands,
499 including Anchorage Island, prior to mapping carried out in this study). The identifi-
500 cation of stoped blocks of granite within a granodiorite pluton indicates the presence
501 of granite at relatively shallow depths.

502 Two of the endmembers (Endmember-2 and Endmember-3; Figure 8B and C) show
503 good matches to granodiorite spectra measured in the field; both are measured from
504 weathered granodiorite, however Endmember-3 is from yellow/orange weathered gra-
505 nodiorite. The spatial distribution of this endmember is largely limited to low lying
506 coastal regions, perhaps indicating recent weathering due to coastal processes, which
507 distinguishes it from the remaining granodiorite (Endmember-2). Endmember-3 also
508 shows a higher abundance in the extreme southwest of Anchorage Island, correspond-
509 ing to the diorite outcrop (c.f. Figure 2), though does not allow for distinguishing the
510 diorite as a separate unit; this is likely as the diorite and granodiorite units would have
511 a similar chemical composition and thus would be difficult to differentiate spectrally.
512 Endmember-5 shows a good agreement with a measured spectrum from the strongly
513 altered granodiorite (Figure 8D), with a deep emissivity feature centred at 9 μm , how-
514 ever there are additional features located at 10 and 11 μm which are not seen in the field
515 spectrum. Endmember-5 is largely distributed proximal to, or within the larger spatial
516 lithological unit of the granodiorite (Endmember-2) and is distinct from the granite unit
517 (Endmember-1). The yellow/orange weathered and altered grandiorites (Endmember-3
518 and Endmember-5 respectively) have their greatest abundance in the central southwest
519 region of the Island (concurring with the field observations; Section 4.1).

520 None of the endmembers correspond to the dolerite, most likely due to the chemical
521 and spectral similarity to the granodiorite unit (Table 1; Figure 3). The granodiorite

522 and dolerite were distinguished in the field due to the differences in their grain size;
523 however, the spectral features present in the imagery do not allow for a distinction to
524 be made. Even in the field spectra, there is little difference between the granodiorite
525 and dolerite (Figure 3), hence there are no endmembers extracted that match dolerite.

526 At the wavelengths considered by the TASI sensor (8 to 11.5 μm), we have been
527 able to differentiate granite and granodiorite, whilst struggled to find a clear distinction
528 between the relatively similar chemical composition of the country rock (granodiorite)
529 and the dolerite dykes on Anchorage Island. The ability to more accurately discrimi-
530 nate potassium and plagioclase feldspar(s) could be possible if data were available at
531 wavelengths where additional features could aid in feldspar discrimination (e.g. 12-14
532 μm ; Hecker et al., 2012).

533 4.4. Validation of image processing

534 Figure 10 shows the RMSE histogram and image calculated through Equation 8.
535 Summary statistics calculated for each of the predicated class pixels (Figure 9) within
536 the RMSE image are shown in Table 3.

537 Endmembers 1, 2 and 5 produce RMSE values of $<0.5\%$, with standard deviations
538 of $\sim 0.45\%$ and a maximum RMSE of 7.83% (Endmember-1). These values indicate
539 that the unmixing procedure with just 5 endmember spectra yielded a high quality
540 reconstruction of the original image spectra for these classes. Endmember-3 has a mean
541 RMSE which is significantly higher at 0.94% with an increased standard deviation
542 of 1.25% and a maximum error of 23%; this indicates pixels which are classed as
543 Endmember-3 have higher overall and specific reconstruction errors, likely a result of
544 incorrect or inadequate endmember spectra for these pixels and hence higher errors.

545 On the whole, the average RMSE for the image is 0.58%; this figure is significantly
546 higher than the RMSE values that are routinely achieved using VCA (e.g. RMSE of
547 0.1% in Plaza et al., 2012), however this is likely a direct result of the low SNR of
548 the imagery (Figure 7). As the SNR is reduced (below 1000:1, 60 dB) the perfor-
549 mance of endmember extraction algorithms begins to degrade significantly and RMSE
550 values increase (Plaza et al., 2012). Conversely, with larger SNRs, the RMSE error
551 will decrease and the performance of endmember extraction algorithms will improve
552 (Nascimento and Bioucas-Dias, 2005; Plaza et al., 2012). Other factors may also affect
553 the RMSE values, including the pure pixel assumption and spectral mixture analysis

554 techniques, as discussed in Section 4.5. However, these errors did not inhibit the suc-
555 cess of the processing chain.

556 Figure 11 shows the spectra of pixels from high, medium and low purity pixels,
557 comparing the original image spectra with the reconstructed image spectra (from end-
558 members and their fractional abundances), for granite, granodiorite and altered gran-
559 odiorite. In all cases the RMSE is $\leq 2\%$, indicating a good fit between the original
560 and reconstructed spectra. The high purity pixels (Figure 11A) more closely resemble
561 the original endmembers and their equivalent field spectra (c.f. Figure 8), indicating a
562 good degree of reconstruction of the original spectra and that endmember lithologies
563 are accurately represented. When considering the medium and low purity spectra (Fig-
564 ure 11B and C), the RMSE values are still low, indicating a high degree of fit between
565 the original and reconstructed spectra; however, as the mixing of endmembers is in-
566 creased, the pixel spectra begin converge and become increasingly similar (especially
567 at low purities, Figure 11C). This indicates that as pixels become increasingly mixed
568 (lower fractional abundances) the pixel spectra are similar yielding lower confidence in
569 assigning a distinct lithology for low purity pixels. In this study we defined our abun-
570 dance threshold at 0.5, however with careful examination of reconstructed and original
571 image spectra, this threshold value could be increased to yield greater confidence in
572 lithological units (as pixel spectra would more closely resemble endmember spectra).

573 4.5. Processing chain and algorithm considerations

574 Here we considered a pure pixel scenario, the assumption that at least one ‘pixel’
575 contains a pure endmember spectrum. We note that a pure endmember spectrum rep-
576 represents an independent signal source in the image and in some cases is not necessarily
577 a geologically meaningful (or interpretable) spectrum; for example some endmember
578 spectra could be related to image noise or atmospheric effects (Winter, 1999). How-
579 ever, processing hyperspectral imagery assuming a pure pixel scenario has been widely
580 researched, with a variety of pure pixel techniques for each step of the processing chain
581 along with the optimised implementation and proven success of published algorithms.
582 The pure pixel approach has been successful when images contain pure pixels (Plaza
583 et al., 2012); however, given the presence of the mixing at different scales (even at
584 microscopic levels), the pure pixel assumption is not always true, as some images may
585 only contain pixels which are completely mixed (Plaza et al., 2012).

586 The complexity of endmember extraction from hyperspectral imagery is increased
587 in a mixed pixel scenario, since the endmembers, or at least some of them, are not
588 in the image (Bioucas-Dias, 2009). We note a point for future research into mixed
589 pixel endmember extraction techniques which follow from the seminal ideas of Craig
590 (1994), based on the minimum volume transform, with a number of recently pub-
591 lished algorithms building from this work (Berman et al., 2004; Miao and Qi, 2007;
592 Li and Bioucas-Dias, 2008; Chan et al., 2009; Bioucas-Dias, 2009). Currently, the
593 major shortcoming of mixed-pixel techniques is long processing times due to their
594 computational complexity (Bioucas-Dias, 2009). However mixed pixel techniques are
595 an active area of research and as the algorithms mature they should be integrated into
596 future studies. Additionally, the long established pure pixel methods should not yet be
597 discounted; technological advances such as miniaturisation of sensors will inevitably
598 lead to very high spatial resolution as sensors are deployed from platforms such as
599 Unmanned Aerial Vehicles (UAVs).

600 For SMA, also known as spectral unmixing, we considered the fully constrained
601 linear model due to its ease of implementation and flexibility in different applications
602 (Chang, 2003). We have not considered linear unmixing using iterative spectral mix-
603 ture analysis (ISMA; Rogge and Rivard, 2006), which seeks to minimise the error by
604 unmixing on a per pixel basis using optimised endmember sets. Alternatively, non-
605 linear SMA may best characterize the resultant mixed spectra for certain endmember
606 distributions, such as those in which the endmember components are intimately mixed
607 (Guilfoyle et al., 2001; Plaza et al., 2009). In those cases, the mixed spectra collected
608 at the imaging instrument are better described by assuming that part of the source radi-
609 ation has undergone multiple scattering prior to being measured at the sensor.

610 In a non-linear model, the interaction between the endmembers and their fractional
611 abundance is given by a non-linear function, which is not known *a priori*. Various
612 techniques have been proposed in the field of machine learning, with neural networks
613 some of first non-linear SMA approaches proposed (Benediktsson et al., 1990). The
614 performance of non-linear SMA algorithms on large, real-world hyperspectral data is
615 currently limited by the computational complexity of the techniques; however, recent
616 advances have aimed to take advantage of parallel processing techniques to reduce
617 computational time (e.g. Plaza et al., 2008) and such algorithms remain an area for
618 future research as their implementations become publicly available.

619 4.6. Future applicability of the processing chain

620 The processing chain presented here is fully automated and repeatable; after pre-
621 processing, the six step processing chain is fully automated, using few inputs and pa-
622 rameters, followed by predictive map generation and automatic class labelling using the
623 field spectral data. This is a direct attempt to address the current paucity of such au-
624 tomated approaches in the geological remote sensing community (van der Meer et al.,
625 2012). We anticipate the technique could be applied by geologists without the need for
626 ‘expert’ remote sensing knowledge or complicated image processing techniques / soft-
627 ware packages, and the processing chain is more automated and less manually involved
628 than traditional techniques. Indeed, this processing chain is particularly advantageous
629 in the polar regions where higher detail lithological mapping can be obtained using
630 remote sensing than compared with traditional field mapping.

631 The main parameters which affect the lithological mapping processing chain are
632 the superpixel bias parameter and minimum size segment size. The parameters are
633 discussed and explained in detail by Thompson et al. (2010). The bias and minimum
634 size segment size parameters control the size of the superpixels and should be scaled
635 appropriately depending on the features of interest in each particular scene. The pa-
636 rameters used in this study were quantitatively determined by inspecting the superpixel
637 segmentation image and considering the scale of the geological areas of interest (e.g.
638 dykes), however these parameters would require local tuning for other study areas, and
639 particularly for other scales and image resolution (such as coarser resolution satellite
640 imagery). The abundance threshold can be tuned to extract purer regions, however we
641 demonstrate the results here using a moderate threshold of 0.5; higher values would
642 yield spectrally purer regions (e.g. Rogge et al., 2009).

643 We have achieved the results presented here in spite of what might be described
644 as ‘extremely high noise conditions’ ($\text{SNR} \leq 40$ dB; Plaza et al., 2012), thereby serv-
645 ing as a validation of the processing chain and its ability to operate effectively at low
646 SNR values. We confirm the findings of the Thompson et al. (2010) and Gilmore et al.
647 (2011), such that superpixel segmentation aids in the determination of *recognisable*
648 endmembers which are interpretable in a geological context despite low SNR values.
649 Such a finding is crucial for future studies in the Antarctic where the environmental
650 conditions mean that achieving high SNR values is much more challenging compared
651 with temperate parts of the world. Indeed, this finding is also advantageous for many

652 studies, not just the Antarctic, where challenging conditions can yield lower than ex-
653 pected SNRs; hence, it is advantageous that the processing chain can yield successful
654 results even at low SNRs.

655 It is an important point to note for future applications that prior knowledge of the
656 local geology (and/or vegetation) is required for the successful application of the pro-
657 cessing chain. The identification of the image derived endmembers (step 6) was suc-
658 cessful here, in large part due to availability of high quality field spectral measurements
659 to allow for comparison along with ancillary data (geochemical analysis). However, in
660 lieu of field spectral measurements, a user could produce geological interpretations
661 with knowledge of the local geological context, an understanding of the imperfections
662 in the hyperspectral imagery (e.g. residual noise due to inadequate atmospheric com-
663 pensation) and the identification of endmembers could be aided through comparison to
664 spectral libraries (e.g. Christensen et al., 2000).

665 The techniques presented here could be easily transferred to other TIR data (or even
666 VNIR/SWIR data), including currently available satellite data, such as ASTER, or even
667 planned future satellite TIR data; for example, the HypsIRI satellite has a planned TIR
668 instrument which includes 7 bands in the 7-13 μm spectral range (Hulley et al., 2012).
669 The coarser spatial and spectral resolution of this data would yield difficulties in the
670 exact identification of minerals, though previous TIR data, such as ASTER, has been
671 used to reliably discriminate a wide range of minerals, especially silicates, as well as
672 proving useful for lithological mapping (e.g. Rowan and Mars, 2003; Chen et al., 2007;
673 Rogge et al., 2009; Haselwimmer et al., 2010, 2011; Salvatore et al., 2014). Addition-
674 ally, technological advances and increasing miniaturisation will eventually lead to the
675 availability of UAV-deployable research grade hyperspectral sensors which could be
676 used operationally by field geologists as a tool to compliment traditional field mapping
677 techniques. The use of an automated processing chain in such a situation would be
678 highly advantageous in delivering fast, automated and repeatable lithological mapping
679 results which could aid and inform traditional mapping approaches operationally in the
680 field.

681 **5. Conclusion**

682 We have presented a fully automated processing chain to produce lithological maps
683 using airborne hyperspectral thermal infrared data in spite of low signal to noise ratios.

684 We utilised an airborne hyperspectral TIR dataset, collected for the first time from
685 Antarctica, to accurately discriminate granitoids. The challenging conditions and cold
686 temperatures in the Antarctic yielded data with a significantly lower SNR compared
687 with data collected in more temperate environments. As a result, several preprocessing
688 steps were employed to refine the imagery prior to analysis; atmospheric correction
689 and temperature emissivity separation were applied, followed by further empirical cor-
690 rections and noise removal through the minimum noise fraction technique. Areas of
691 snow and sea water were subsequently masked using the temperature image.

692 The processing chain was established and applied to the preprocessed imagery.
693 Firstly, superpixel segmentation was applied to aggregate homogeneous image regions
694 comprised of several pixels having similar values into larger segments (superpixels).
695 The superpixels were input into the VD algorithm to determine the number of end-
696 members, which were subsequently extracted using VCA and unmixed using FCLSU
697 to generate abundances of each endmember. A predictive classification map was cre-
698 ated where endmember fractions were thresholded (> 0.5). The endmembers extracted
699 were automatically matched to their closest spectrum from the field spectral data, and
700 the observations made in the field from these measurements were used to label the
701 predictive map classes and generate a lithological map.

702 The fully automated processing chain was successful in identifying 4 geologically
703 interpretable endmembers from the study area. Reconstruction of the hyperspectral im-
704 age from the endmembers and their fractional abundances yielded a root mean square
705 error (RMSE) of 0.58%. The RMSE value, almost twice as large as previous studies, is
706 likely a result of the low SNR of the Antarctica data; nonetheless the processing chain
707 was still able to accurately discriminate the majority of lithological units with strong
708 agreement to existing geological maps.

709 The results were validated and interpreted in the context of the study area in con-
710 junction with a full suite of ancillary data: detailed high quality ground reference
711 spectral data collected using a field portable Fourier transform infrared spectrometer,
712 thin section and scanning electron microscope analysis, electron microprobe analysis,
713 whole rock geochemical data and mineral modal analysis. The results are promising,
714 with the thermal imagery allowing clear distinction between granitoid types. However,
715 the distinction of fine grained, intermediate composition dykes is not possible due to
716 the close spectral similarity with the country rock (granodiorite).

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Table 1: Geochemical analyses of Anchorage Island samples from XRF spectroscopy.
† total iron (FeO and Fe₂O₃).

Sample Unit	J13.22.10 Dolerite	J13.19.10 Granodiorite	J13.22.5	J13.21.10 Granite
<i>Major elements (%)</i>				
SiO ₂	54.40	55.19	59.59	78.29
TiO ₂	1.02	0.94	0.87	0.20
Al ₂ O ₃	16.62	18.18	16.35	11.64
Fe ₂ O ₃ †	8.66	8.55	6.67	0.86
MnO	0.124	0.112	0.147	0.013
MgO	3.96	3.29	3.52	0.16
CaO	8.57	7.49	6.16	0.53
Na ₂ O	3.14	4.04	3.51	2.74
K ₂ O	0.958	1.066	2.115	5.610
P ₂ O ₅	0.241	0.176	0.185	0.018
SO ₃	0.170	0.009	< 0.003	< 0.003
LOI	2.05	0.88	0.97	0.31
Total	99.92	99.93	100.09	100.38
<i>Trace elements (ppm)</i>				
As	6.7	8.4	5.1	4.4
Ba	365.0	432.2	698.4	475.5
Ce	44.2	27.9	48.4	11.4
Co	25.7	18.3	21.6	< 1.1
Cr	112.0	6.4	37.0	< 0.6
Cu	110.8	19.9	32.8	3.5
Ga	18.2	21.2	17.8	9.9
La	20.0	13.7	21.3	7.9
Mo	3.9	2.3	3.3	0.9
Nb	4.8	4.4	6.8	4.7
Nd	23.6	16.7	25.2	7.7
Ni	12.8	< 0.7	18.7	< 0.5
Pb	8.2	9.7	7.5	9.7
Rb	15.6	36.7	55.6	140.3
Sc	30.6	34.1	23.1	3.3
Sr	458.2	481.4	415.7	111.2
Th	6.9	3.5	10.2	17.3
U	2.6	1.3	1.4	2.5
V	229.0	267.8	159.2	10.9
Y	30.8	27.1	29.6	20.7
Zn	48.8	71.2	72.4	14.8
Zr	179.1	43.3	230.9	98.2

Table 2: Results from point counting, where mineral counts are given as percentages. A total of 500 points were counted on each sample (n=500).

Sample Unit	J13.22.5 Granodiorite	J13.22.10 Dolerite	J13.21.10 Granite
<i>Point Count (%)</i>			
Biotite			1.2
Chlorite	8.8		
Clinopyroxene		33	
Hornblende	12.6		
K-Feldspar			27.8
Muscovite		1.4	
Opaques	1	4.6	1
Plagioclase	44.8	61	35
Quartz	32.8		35

Table 3: Root Mean Square Error statistics.

<i>RMSE (%)</i>	Mean	Max	StDev
Endmember-1	0.498	7.830	0.464
Endmember-2	0.473	3.447	0.439
Endmember-3	0.939	23.223	1.246
Endmember-5	0.425	5.952	0.451
All Endmembers	0.584	23.223	0.650

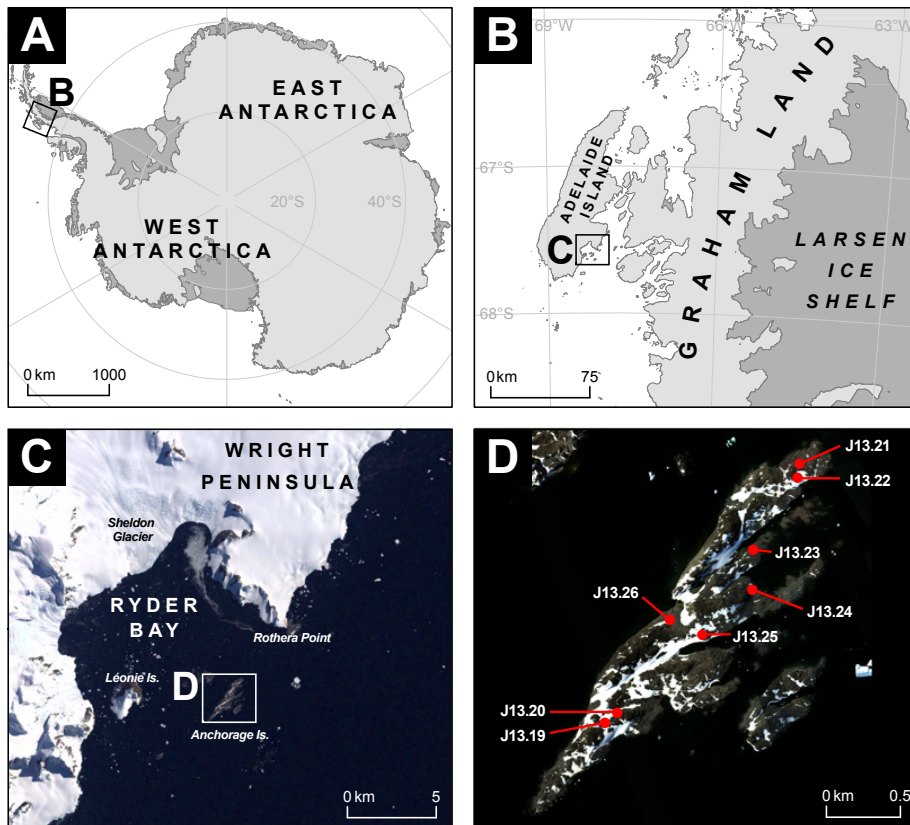


Figure 1: Location maps showing the context of the study area within Antarctica (A), the location of Adelaide Island within the Antarctic Peninsula (B) and the location of Anchorage Island in the context of Ryder Bay (C; labelled). (D) shows a true colour composite of Anchorage Island with field localities (labelled red circles).

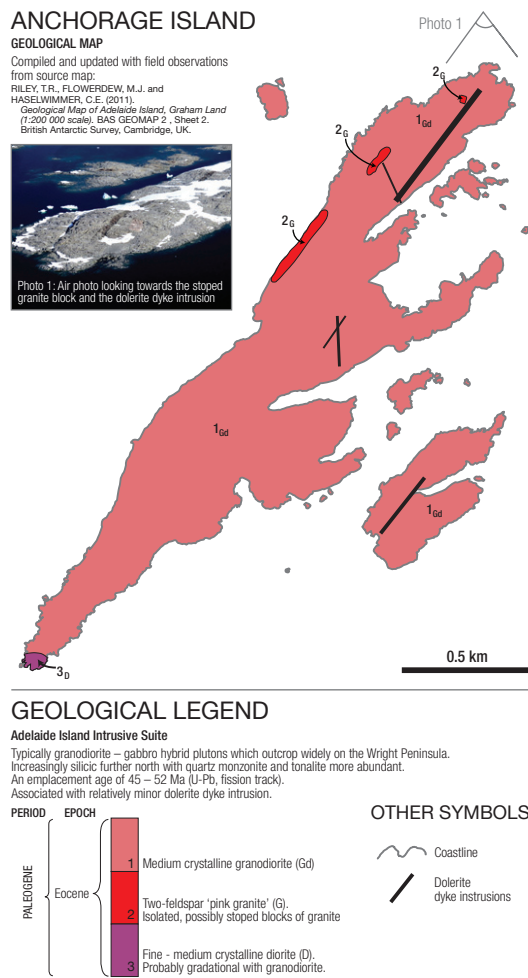


Figure 2: Local scale geological map of Anchorage Island.

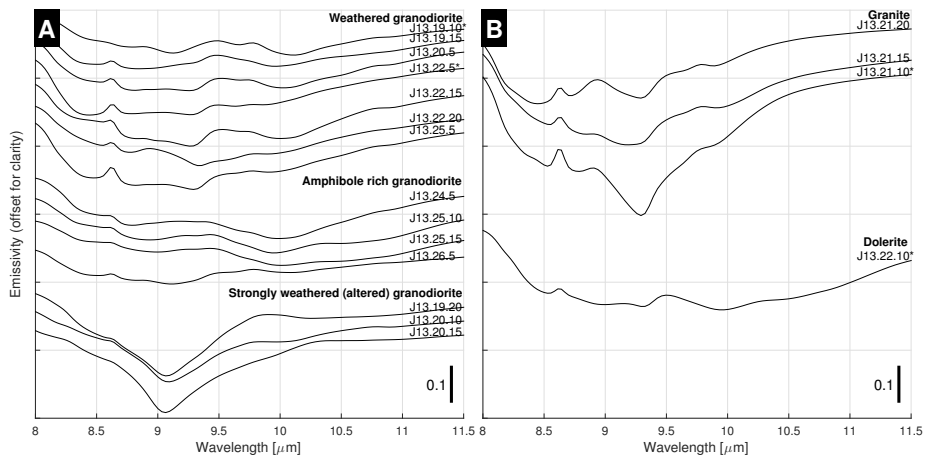


Figure 3: Spectral emissivity measured in the field using a Fourier Transform Infrared Spectrometer (FTIR) of relevant lithological units from Anchorage Island. (A) shows granodiorite spectra (B) shows granite and dolerite spectra.

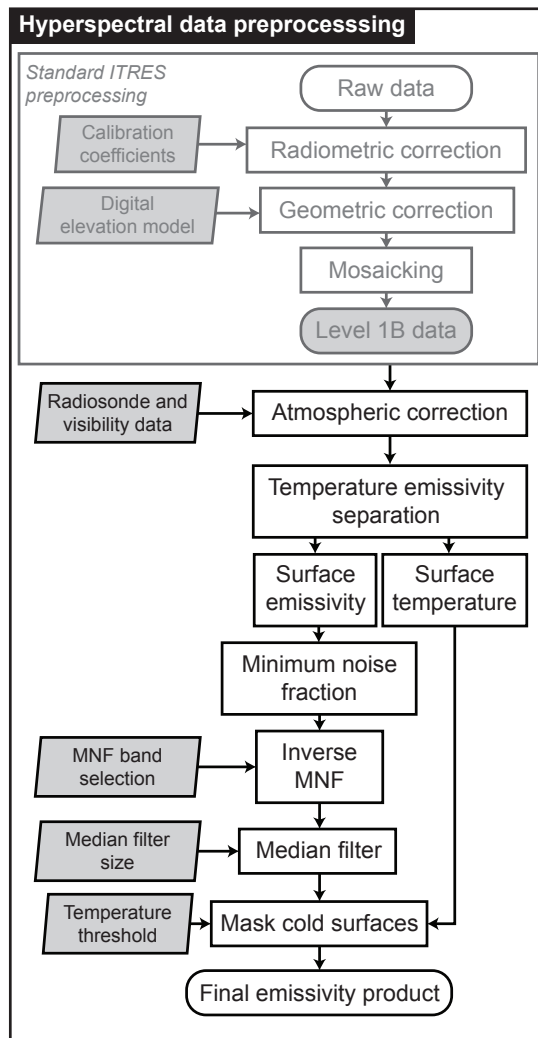


Figure 4: Flow chart summarising the preprocessing of the hyperspectral imagery. Inputs and parameters are shown in the left column (light grey boxes). Abbreviated processing steps are as follows: MNF, minimum noise fraction.

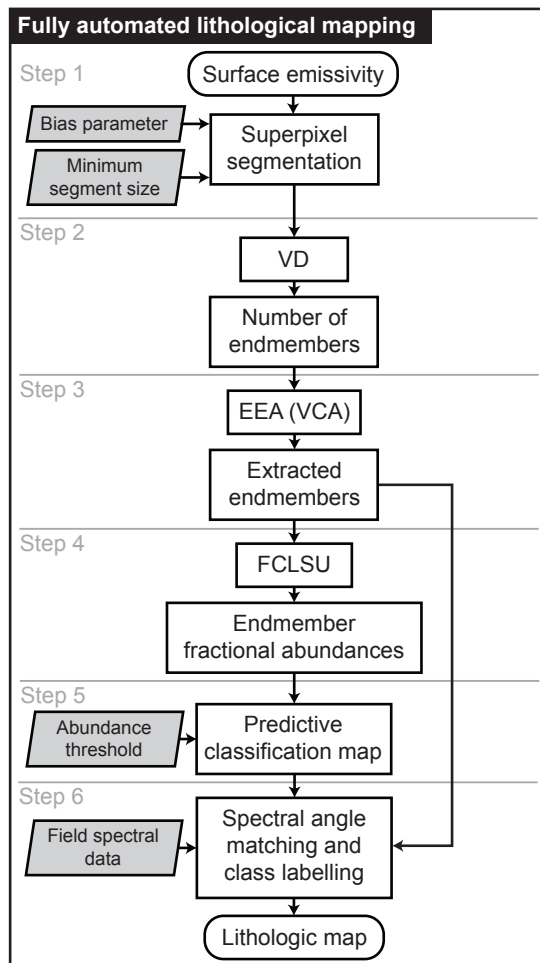


Figure 5: Flow chart summarising the methods of the fully automated lithological mapping process. Inputs and parameters are shown in the left column (light grey boxes). Abbreviated processing steps are as follows: VD, virtual dimensionality; EEA, endmember extraction algorithm; VCA, vertex component analysis; FCLSU, fully constrained linear spectral unmixing.

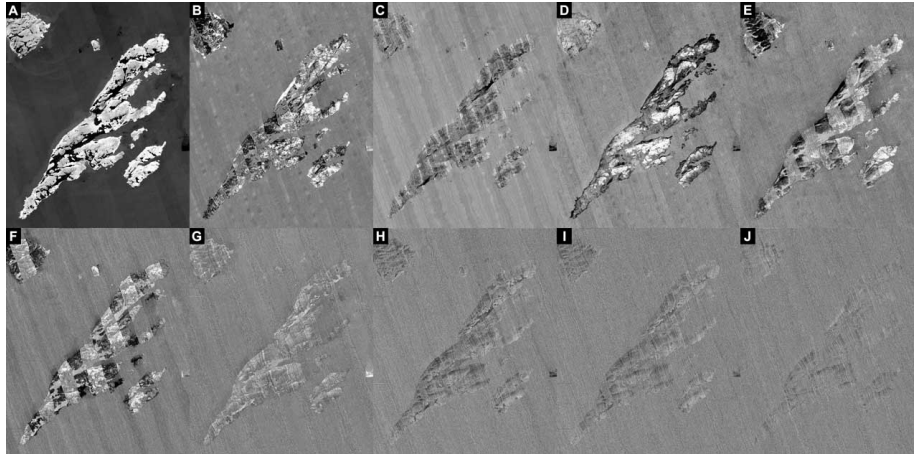


Figure 6: Images for the first 10 components of the Minimum Noise Fraction (MNF) transform (A-J). Components 1 to 4 (A to D) were utilised in the inverse MNF procedure.

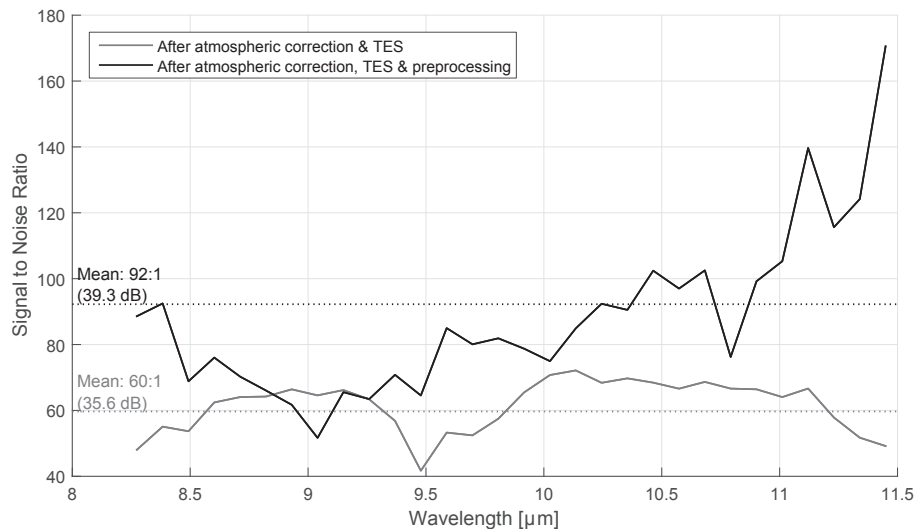


Figure 7: Signal to noise ratio (SNR) calculated from the image after atmospheric correction and temperature emissivity separation (TES; grey line) compared to the SNR for the final image after all preprocessing (atmospheric correction, TES, emissive empirical line correction and minimum noise fraction for noise reduction) (black line). Mean values are shown in the annotations.

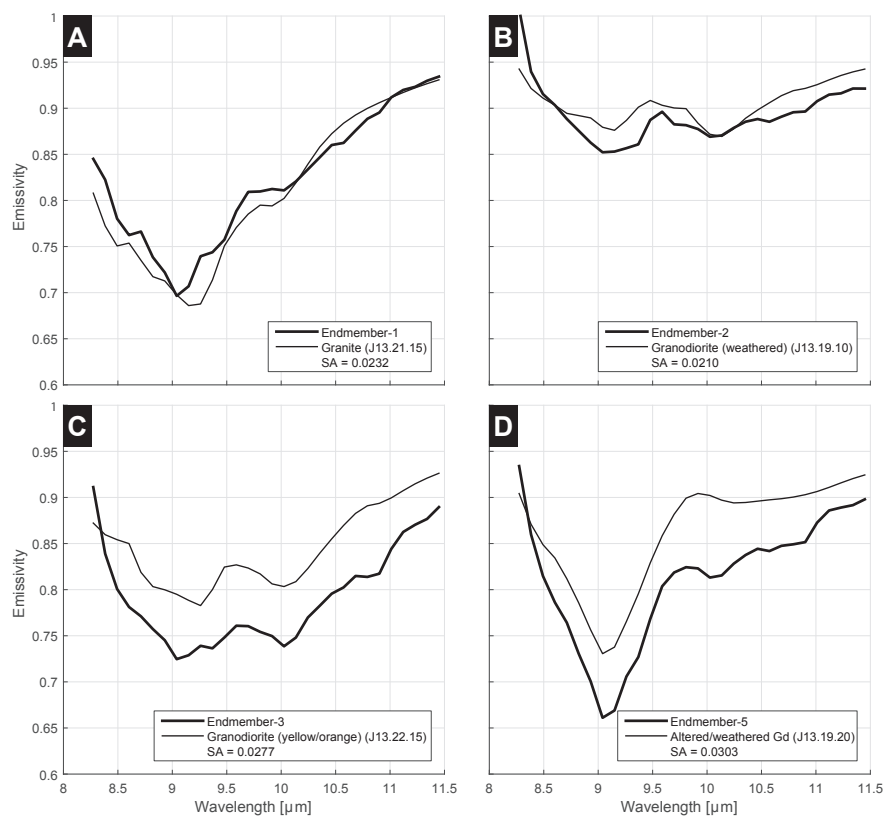


Figure 8: Four extracted endmembers (thick line) and their closest match from the field spectral data (thin line). The spectral angle (SA), in radians, is shown in each figure legend.

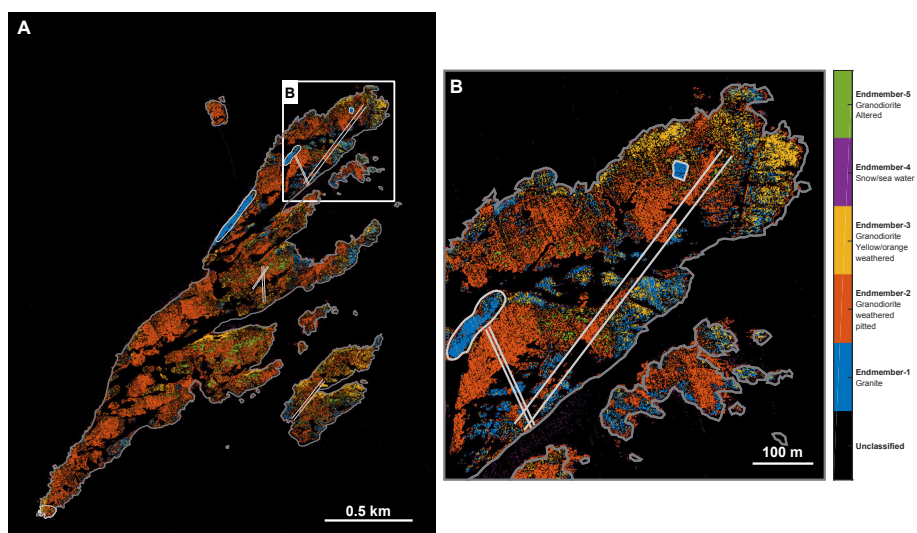


Figure 9: Lithological map generated from the automated processing chain. (A) shows the whole of Anchorage Island and inset (B) shows an area of interest around a stoped granite block in the North East of Anchorage Island. Compare Figure 2 for geological boundaries.

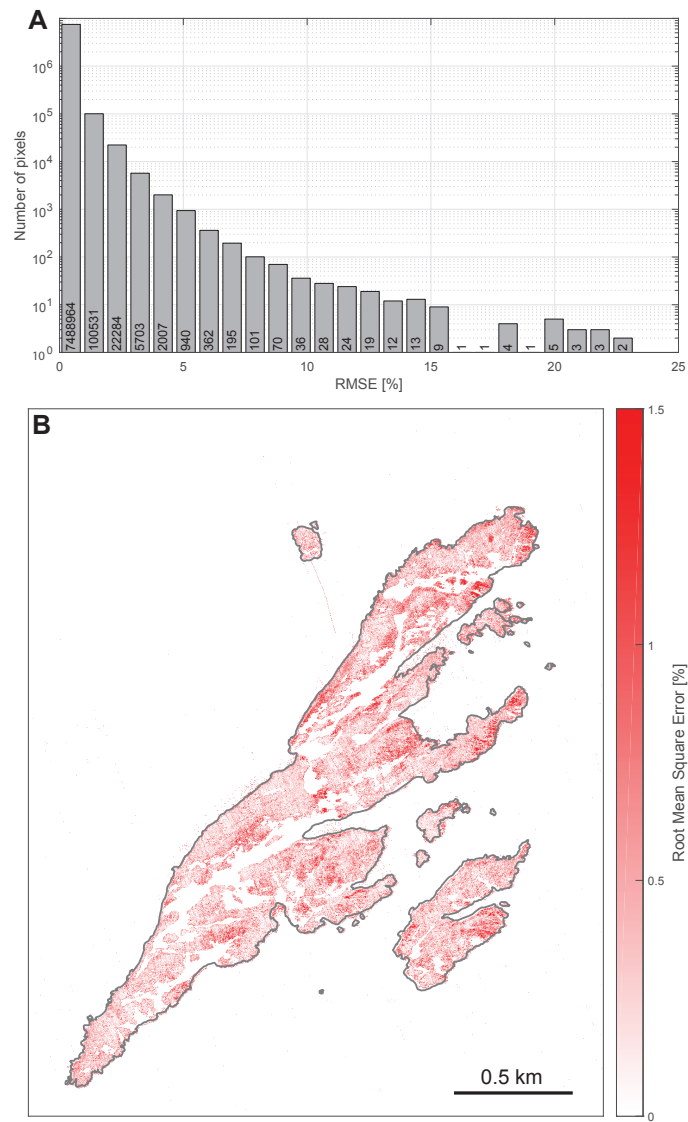


Figure 10: (A) histogram of Root Mean Square Error (RMSE; %) values; note the log scale. (B) shows the RMSE image of Anchorage Island. Note: the colorbar is capped at 1.5% as the predominant distribution of RMSE values is below this threshold.

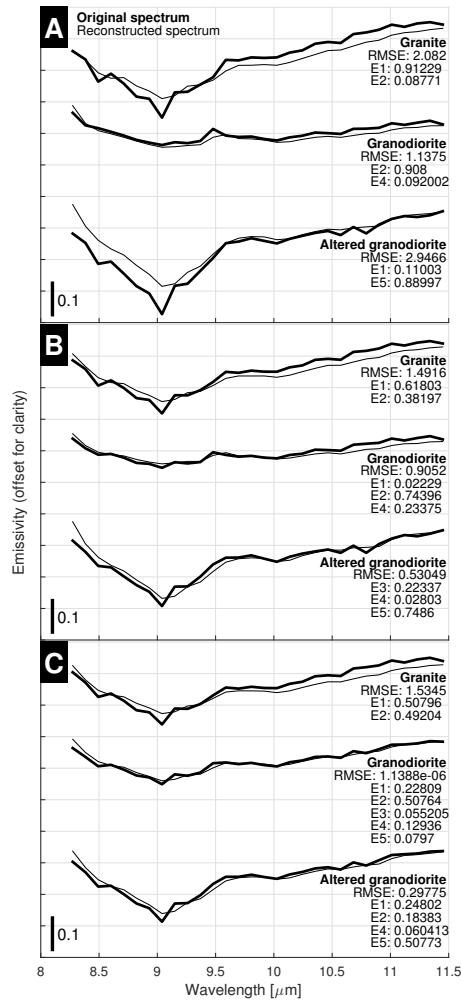


Figure 11: Original image spectra (bold line) and their reconstructed spectra (thin line; calculated using the endmember spectra and the fractional abundances). Figure annotations are as follows: RMSE; root mean square error, %; E1 to E5; fractional abundances of endmember-1 to endmember-5. (A) high purity (0.9 fractional abundance) spectra, (B) medium purity (0.75 fractional abundance) spectra and (C) low purity (0.5 fractional abundance) spectra.