

Elsevier Editorial System(tm) for Water Research
Manuscript Draft

Manuscript Number:

Title: What are the costs and benefits of biodiversity recovery in a highly polluted estuary?

Article Type: Research Paper

Keywords: biodiversity valuation; water treatment investment; recovery of aquatic systems; Nervión Estuary; Basque Country.

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Abstract: There is an important lack of focus on biodiversity restoration measures when dealing with the restoration of degraded aquatic systems. Furthermore, the application of biological valuation methods has been applied only spatially in previous studies, and not both, in a temporal and spatial scale. The intense monitoring efforts carried out in a highly polluted estuary, in northern Spain (Nervión estuary), allowed for the valuation of, both economically and biologically, the costs and benefits associated with a 21 years sewerage scheme application. It can be concluded that the total amount of money invested into the sewage scheme has contributed to the estuary's improvement of both abiotic and biotic factors, as well as increasing the uses and services provided by the estuary. However, different direct or time-lagged responses were observed at the inner and outer parts of the estuary. Understanding the costs and know-hows of the environmental recovery of degraded aquatic systems helps policy makers and regulators to formulate robust, cost-efficient and feasible management decisions.

Highlights:

- Biodiversity restoration valuation as a measure of the recovery of a polluted estuary
- We established cost-benefit links between restoration investment, abiotic and biotic recovery
- The cost-benefit analysis of the abatement actions can assist decision-makers with management

1 **What are the costs and benefits of biodiversity recovery in a highly polluted estuary?**

2

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12

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15 restoration of degraded aquatic systems. Furthermore, the application of biological valuation
16 methods has been applied only spatially in previous studies, and not both, in a temporal and
17 spatial scale. The intense monitoring efforts carried out in a highly polluted estuary, in
18 northern Spain (Nervi3n estuary), allowed for the valuation of, both economically and
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25 makers and regulators to formulate robust, cost-efficient and feasible management decisions.

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28 Nervión Estuary; Basque Country.

29 **1. Introduction**

30 Due to increasing habitat degradation (Halpern *et al.*, 2008), there is an increasing need
31 to restore degraded ecosystems (Lotze, 2010). Hence, legislation worldwide, such as the Clean
32 Water Act, in the USA, or the Water Framework Directive (WFD; 2000/60/EC) and the
33 Marine Strategy Framework Directive (MSFD), in Europe, includes restoration of degraded
34 aquatic habitats as one of their primary goals (Apitz *et al.*, 2006; Borja *et al.*, 2008a, 2010).

35 From an economic perspective, estuaries offer a wide range of economically
36 quantifiable goods and services to society, such as productive biological resources, and other
37 non-commercial ones, such as intrinsic biological diversity and its protection and filtration
38 functions (America, 2008). Thus, their ecological restoration may be a worthwhile investment
39 for society as it can lead to improvements or enhancements in the supply and quality of
40 ecosystem services to society (Aronson *et al.*, 2010).

41 However, most contributions use the recovery of the ecosystems structure and
42 functioning or the recovery of a specific biological ecosystem component / species as
43 indicators of restoration (Borja *et al.*, 2006b; Elliott *et al.* 2007; Gorostiaga *et al.*, 2004; Mialet
44 *et al.*, 2010; Whitfield & Elliott, 2002) rather than societal benefits. Likewise, no studies have
45 looked at total biodiversity recovery when dealing with restoration.

46 Many methods have recently been developed which value biodiversity directly
47 (Balvanera *et al.*, 2006; Beaumont *et al.*, 2007; Derous *et al.*, 2007; Nijkamp *et al.*, 2008;
48 Pascual *et al.*, submitted) or indirectly (using contingent valuation; choice experiments; etc.).

49 Despite this, most measures of biodiversity valuation look at the spatial biodiversity and none
50 of the studies have approached its valuation on a spatial and temporal scale.

51 Furthermore, there are very few examples of long-term monitoring data sets, that
52 include different biological and physico-chemical data from both water and sediments, which
53 would be useful for showing the recovery trajectories after remediation or restoration
54 processes (Borja *et al.*, 2010).

55 One of these examples occurs at the Nervión estuary (northern Spain), where the
56 intense monitoring system carried out for many years, gives the opportunity for observing the
57 development of the ecosystem as water quality improves; providing a valuable record of the
58 status of the different ecosystem components.

59 The estuary of the Nervión was one of the most polluted areas on the northern coast of
60 Spain (Cearreta *et al.*, 2004; Borja *et al.*, 2006a). This estuary, which harbours one of the most
61 important ports in Spain, has suffered from serious environmental degradation as a result of
62 many pollutant discharges (both industrial and domestic), since the 19th Century, together with
63 the development of the iron, steel and shipbuilding industries and mining activities (Cearreta
64 *et al.*, 2004). This industrialisation led to a sharp increase in population, with the consequent
65 intensification of domestic untreated wastewater inputs into the estuary (García-Barcina *et al.*,
66 2006).

67 Furthermore, the original morphology of the estuary was strongly modified, with the
68 consequent loss of wetlands and sand dunes. Nowadays only 68% of its original extension
69 remains, due to the channelling and straightening of its course, the diking of large intertidal
70 areas, intense dredging activities to maintain navigation in the channel, etc. (Fernández Pérez,
71 2005). Hence, the Nervión estuary represents a good example of man induced alteration and is

72 regarded as a heavily modified water body, according to the European WFD (Borja *et al.*,
73 2009).

74 However, in 1979 the Sewage Scheme for the area was approved by the competent
75 local water management authority (*Consortio de Aguas Bilbao-Bizkaia (CABB)*), establishing
76 as the overall objective the restoration of good aesthetic and sanitary conditions along the
77 estuary, and fixing a water quality standard of 60% dissolved oxygen saturation; the
78 environmental clean-up of the catchment waters began in 1990 which included physical and
79 chemical treatments; the biological treatment began in 2001 (García-Barcina *et al.*, 2006). The
80 latter describes the sewage treatment scheme, which includes more than 200 km of sewer
81 network, where the waters of more than one million inhabitants convey into a central Waste
82 Water Treatment Plant (WWTP), with a biological treatment capacity of $6 \text{ m}^3 \text{ s}^{-1}$ (Figure 1).

83 As a consequence of this sewage scheme start-up, a change in the condition of the
84 Nervión estuary from an 'open-navigable-sewer' to an aerobic tideway, supporting many
85 ecosystem components, has occurred over the last 21 years (García-Barcina *et al.*, 2006).

86 The water quality improvement of the Nervión estuary has been described by many
87 authors (Borja *et al.*, 2006b, 2010; García-Barcina *et al.*, 2006, González-Oreja & Sáiz-
88 Salinas, 1999) throughout the different phases of the water treatment. At least, a total
89 investment of around €600 million was made, including support from national, Basque, and
90 provincial governments, as well as through higher water service user charges (Barreiro &
91 Aguirre, 2005). However, up until now, the real costs and benefits of the sewage scheme still
92 remain unknown, both in terms of economic input quantification and biological valuation.

93 Hence, the aims of this study are: (i) to value, both biologically and economically, the
94 costs and benefits associated with the sewage scheme over the last 21 years, and the
95 subsequent ecological recovery that has taken place in the waters of the estuary of Nervión,

96 and (ii) to highlight the benefits of using these biological and economic techniques as
97 instruments to formulate the robust, cost-efficient and feasible water treatment decisions as
98 required by policy makers and regulators.

99 **2. Materials and Methods**

100 **2.1. Study area**

101 The Nervión estuary is located on the northern coast of Spain (Figure 1) and drains a
102 watershed of about 1,700 km², which provides an annual average freshwater inflow of 25 m³ s⁻¹
103 ¹. The estuary has two areas: a narrow, relatively shallow and highly stratified channel of
104 about 15 km in length, that crosses the metropolitan area of the city of Bilbao (hereafter, ‘inner
105 part’) and a semi-enclosed coastal embayment, with an area of about 30 km² and an average
106 water depth of about 25 m (hereafter, ‘outer part’) (Figure 1).

107 Extensive monitoring of the area has taken place since 1989 (Franco *et al.*, 2010),
108 within the framework of regional projects. A synthesis of the methods used and the ecosystem
109 components sampled (which included zooplankton, macroalgae, macrobenthos and fishes) are
110 given in Franco *et al.* (2010), Borja *et al.* (2006b; 2010) and Díez *et al.* (2009).

111

112 **2.2. Databases**

113 Economic, abiotic and biological temporal trends were analysed from 1989 to 2010.
114 All the public and private economic environmental expenditure information, publicly available
115 in the annual economic reports of local businesses and environmental incentive investments
116 announced in the official bulletins of the regional area, were gathered into an economic input
117 database.

118 A filtering was applied in order to gather only the information for the sewage scheme
119 actions from 1985 to 2010 and, following Pollution Abatement Costs and Expenditures
120 (PACE) 2005 survey guidelines (U.S. Census Bureau, 2008), expenditure actions were divided

121 into four types of activities: treatment / capture, disposal, recycling, and pollution prevention.
122 The criteria for this subdivision are presented in Table 1.

123 Although certain expenditures may have multiple benefits, for the purposes of this
124 survey, only those for treatment / capture, for which pollution abatement is the primary
125 purpose, were considered. When pollution abatement capital expenditures include any
126 installation or equipment for the treatment / capture activities, only incremental capital
127 expenditures and incremental operating costs additional to annual operating investments or
128 maintenance costs were taken into account (following U.S. Census Bureau, 2008). These
129 expenditures were budgeted and adjusted, where possible, as a time-frame cost according to
130 the estimation of the average life of the equipment. By doing so, we avoided referring to
131 capital expenditures as one-off costs, which could also lead to an overestimation of the
132 investment efforts being made at the beginning of each installation commissioning.

133 In order to determine the aggregate economic effort being put into abatement, GDP
134 deflator values (per year) (World Bank) were applied to all investments.

135 As a proxy for the water treatment results, the temporal trends in annual load of
136 biochemical oxygen demand (BOD) and nutrient load discharges, evaluated as ammonia
137 (NH₃), were studied. The BOD was computed from the main sources: domestic, industrial,
138 WWTP effluent and river pollution. These data were obtained from García-Barcina *et al.*
139 (2006) and were updated using CABB unpublished data.

140 The biological information of the ecosystem components, for which detailed spatial
141 distribution data were available (zooplankton, macroalgae, macrobenthos and demersal fish),
142 were included and integrated in a database, in order to obtain a Biological Valuation Map
143 (BVM) of the Nervión estuary for each of the 21 years that the sampling period lasted. The
144 zooplankton relative abundance database covered a total of 16 sampling years (1994-2009)
145 (Villate *et al.*, 2004; Aravena *et al.*, 2009). The three year macroalgae sampling period

146 database, with percentages of spatial cover, was only available for the hard-bottom substrata
147 (Díez *et al.*, 2009).

148 The macrobenthos was intensively sampled and studied during the period 1989-2010
149 for the soft-bottom substrata (Borja *et al.*, 2006b, 2010) and only for three years of the
150 sampling period for hard-bottom substrata (Pagola-Carte and Sáiz-Salinas, 2001). The soft-
151 bottom database consisted of a set of sample sites where abundances (per sampled surface
152 area) were known, while the hard-bottom database samples only provided presence / absence
153 data. Fish abundance data, from 1989 to 2010, were obtained from trawling capture surveys
154 (Uriarte and Borja, 2009). Trawl data covering multiple grid cells were treated so that every
155 grid cell visited by the trawl was given the abundance value of the entire trawl.

156 Although there has been an important increase in the use of the Nervión estuary by
157 seabirds (Soler *et al.*, 2008; Borja *et al.*, 2010), due to the lack of seabird point spatial data, the
158 changes in this ecosystem component were excluded.

159 Finally, general data quality control was undertaken (geographical coordinates, dates,
160 time, and taxonomy). The taxonomy was checked against ERMS (European Register of
161 Marine Species), in order to avoid the use of synonymous taxa that could overestimate the
162 number of species (Pascual *et al.*, submitted).

163

164 **2.3. Methodology**

165 The Biological Value (BV) of the four ecosystem components was analysed according
166 to the Biological Valuation Methodology developed for the Belgian part of the North Sea, by
167 Derous *et al.* (2007). The same methodology has already been applied by Pascual *et al.*
168 (submitted) to the entire Basque continental shelf. This methodology allows for a better
169 valuation of the overall improvement of the ecosystem biological components identified in the
170 Nervión estuary. Due to the inherent differences of the two parts of the estuary (inner and

171 outer), regarding the pollutant discharges and human pressures, the changes of the BV in both
172 areas were assessed independently. In fact, these differences in human pressures were the
173 reasons for dividing the estuary into two water bodies (inner and outer), for the
174 implementation of the WFD (Borja *et al.*, 2006a). The minimum width of the inner part of the
175 estuary is 50 m, thus, it was decided to divide the whole study area into 25 x 25 m grid cells
176 for the valuation of the ecosystem components.

177 The aim of the BV methodology is to provide an integrated view on nature's intrinsic
178 non-anthropogenic value of the subzones (relative to each other) within a study area (Deraus,
179 2007). By interrogating a set of assessment questions (Table 2a), within the database, through
180 mathematical algorithms, it is possible to visualize all the biodiversity aspects linked to the
181 biological and ecological valuation. These questions were determined in a European
182 workshop, after expert judgement, and focus their criteria on rarity and aggregation-fitness
183 consequences (Deraus *et al.*, 2007).

184 As this methodology aimed to determine the costs and benefits of restoration, Elliott *et*
185 *al.* (2007) and Simenstad *et al.* (2006) ask the necessary question 'what are we restoring to?'.
186 In this case, a literature review was used to determine the different criteria for each assessment
187 question and ecosystem component. A summary of the application criteria is shown in Table
188 2b.

189 Table 2a questions five and six address the occurrence and quantity of Habitat-Forming
190 (HF) or Ecologically Significant (ES) species. The selection of the species in each of these
191 categories was the result of both a large scale and detailed literature review and local expert
192 judgement on each ecosystem component.

193 Where possible, this analysis is as objective as possible although subjectivity cannot
194 always be excluded in this BV method and, therefore, this selection should be regarded as
195 expert judgment assessment choices (Deraus *et al.*, 2007). A detailed classification of the

196 selected species, per ecosystem component, for each category and the criteria followed is
197 shown in Table 3.

198 Due to the lack of subzone specific data, quantitative scoring is often not possible and
199 the subzones are weighted qualitatively, scored against each other, or semi-quantitatively,
200 ranking subzones in categories of high, medium or low value (Derous *et al.*, 2007). In this
201 study, each of the ecosystem components was valued separately by averaging the scores of the
202 used assessment questions, giving each assessment question an equal weight over the total
203 score. The integrated BV of each of the subzones was then determined by averaging the values
204 obtained for the different ecosystem components (when values were available). Five biological
205 value classes were used in the proposed scoring system (very low, low, medium, high and very
206 high) as this allowed for a better detection of value patterns without losing too much detail
207 (Pascual *et al.*, submitted).

208 In order to avoid possible bias, which could occur when the amount of information for
209 each subzone was not equal (Breeze, 2004), ‘reliability’ and ‘sampling effort’ labels were
210 attached to each of the BV for a better interpretation of the results. Reliability and sampling
211 effort labels display the quality and amount of data (respectively) used to assess the BV.

212 The results of the BV of the study area per year were then presented on various maps
213 (Figure 2) which integrate all of the available biological information for the different
214 ecosystem components; each subzone was assigned a colour corresponding to its value.
215 Reliability and sampling effort values, together with the BV per year, are displayed in Table 4.

216 In order to determine the significance of the relationships between variables,
217 correlation analyses were performed using Statgraphics Plus 5.0 (Statsoft, Inc. 2000).

218

219 **2.4. Interpolation**

220 The BV for each of the ecosystem components cannot be calculated for all cells of the

221 Nervión estuary, but only at those locations sampled. However, values can then be
222 interpolated to give estimates at sites where no samples are available using GIS-aided inter-
223 and extrapolation methods, as interpolation gives values in such points where no
224 measurements are available, by converting point data to surface data. This approach allows the
225 creation of a full-coverage BV for each of the ecosystem components for the whole Nervión
226 estuary (Pascual *et al.*, submitted).

227

228 **3. Results**

229

230 The economic data (Figure 3a) show that, almost €658 million have been spent to date
231 on the sewage scheme on actions directed towards the improvement of the quality of the
232 estuary conditions (99% of it came from CABB and 1% from private businesses). Investments
233 over €30 million were applied for the years 1989, 1990, 1993, 1997, 1998 and 2000, which
234 coincides with the years of major treatment and capture equipment acquisition (tanks, waste
235 water treatment facilities, etc.).

236 The abiotic data (Figure 3b) show that the BOD of the industrial and domestic waste
237 water inputs into the estuary has decreased since 1990, with a noticeable decrease in the BOD
238 input by the WWTP from 2001 onwards. Uncontrolled BOD river pollution loads continued to
239 fluctuate between these years. Ammonia loads also show an overall decreasing trend from
240 1990 onwards.

241 The BV of both inner and outer parts of the Nervión estuary (Figure 3c) shows some
242 fluctuations throughout the CABB sewage scheme period (1989-2010) (Table 4). Decreases in
243 the inner part occurred for the 1992-1993, 1999-2000 and 2001-2002 periods, while decreases
244 in the outer part are observed for the 1989-1990 and 2001-2002 periods.

245 However, in general, a clear improvement in the BV, from low (2.00) to almost very
246 high (4.77), is observed for the inner part of the Nervión estuary. This improvement is also
247 noticeable, to a lesser extent, for the same period, for the outer part of the Nervión estuary
248 where BV increases from almost medium (2.83) to high (4.46) values.

249 This improvement in BV is clearly seen in Figure 2, together with the BV clearly
250 increasing upstream along the estuary with time.

251 The performance of the reliability and sampling effort values along the sewage scheme
252 period (Table 4), in general show that both inner and outer areas increased from having lower
253 reliability values towards medium reliabilities, with some higher reliability values obtained
254 during specific years. Both of the decreased reliabilities obtained for 2010 were due to having
255 obtained the latest data for only two of the four ecosystem components (soft substratum
256 macrobenthos and demersal fish). Sampling effort remained constant in the inner part of the
257 Nervión estuary, whilst these efforts increased from medium to high at the outer part of the
258 estuary.

259 When comparing these improvements in the total ecosystem component BV with the
260 cumulative money invested in abatement actions (€), BOD and NH₃ annual loads into the
261 estuary, there is a clear increase in the BV upgrade together with a cumulative increase in the
262 total abatement action investments and the decrease in the BOD and NH₃ into the estuary
263 (Figure 3).

264 There are significant direct or time-lagged responses correlations between BOD /
265 Cumulative Investment / NH₃ with the changes to the BV of the inner and outer parts (Table
266 5a); the results of the correlation analysis between the inner BV and the outer BV are shown in
267 Table 5b.

268 There is a highly significant negative correlation, between the cumulative amount of
269 money invested and the loads of BOD and NH₃ into the estuary (Table 5a), i.e. an increase of

270 funding reduced the inputs; while the correlation between BOD and NH₃ is also significant but
271 positive, i.e. the higher BOD and NH₃ loadings occurred in the same years.

272 In addition, there is a significant positive correlation between the cumulative
273 investments and the inner part BV, showing an increasing ecological response to the
274 investments, although the same relationship is non-significant for the outer part of the estuary
275 (Table 5a).

276 The correlation between the BOD and NH₃ and the BV at the inner part of the estuary
277 is significant but negative and it increases along with the increase in the time lags (Table 5a).
278 However, only a significant negative correlation between BOD and outer BV evolution is
279 observed when applying a time lag of 6 years between them and no significant relationships
280 are observed between NH₃ and outer BV.

281 There is a significant positive correlation between the BV of the inner and outer areas
282 (Table 5b).

283 The overall summary of the significance of the relationships between the variables is
284 shown in Figure 4.

285

286 **4. Discussion**

287

288 Knowing the total amount of money invested during the 21 year period of the sewage
289 scheme, allows us to obtain the cost values of the pollution abatement actions (Figure 3a).
290 However, although care was taken when gathering the economic investment data, the total of
291 the abatement costs should also be treated with caution. The difficulties when accessing the
292 separation of businesses economic data allowed us identifying particular types of capital
293 expenditures and operating costs related only to pollution abatement, for some of the
294 businesses, but not for others.

295 In addition, by referring to some of the capital expenditures as one-off costs could also
296 lead to an overestimate of the investment effort being made at the beginning of all installation
297 commissioning. However, an incorrect splitting of capital expenditure by the estimation of the
298 average life of installations, could underestimate the investment being applied to the sewage
299 scheme.

300 As stated above, public users have also paid an environmental tax in order to fund the
301 clean-up programme (35% of the total sewage scheme funding is thought to come from
302 customers). While this payment is involuntary, however, we suggest using these customers'
303 payment boundaries as baseline values of society's Willingness to Pay (WTP), for the
304 environmental improvement of the Nervión estuary, as it reflects the actual value given by
305 society for a change in the water resource under the pollution contingency.

306 Both BOD and NH₃ loads show a direct negative correlation with the cumulative
307 investment. García-Barcina *et al.* (2006) support this finding as they reported that water
308 quality showed statistically significant increases in dissolved oxygen saturation and decreases
309 in ammonia nitrogen, which can primarily be attributed to the pollution abatement measures
310 undertaken by the local water authority.

311 The overall BV improvement (Table 4 and Figures 2 & 3c) shows a clear biological
312 improvement in the Nervión estuary (see also Borja *et al.*, 2010). This improvement is more
313 apparent in the inner part of the estuary especially as this area suffered the worst
314 environmental conditions, such as notable oxygen depletion, loss of fauna and flora species,
315 and aesthetic problems (Saiz-Salinas and González-Oreja, 2000). The improved oxygen level
316 in the water also supported the increased penetration upstream of species which are sensitive
317 to pollutants, allowing for the improvement of the BV at this inner part (Borja *et al.*, 2006b;
318 Leorri *et al.*, 2008).

319 It is notable that the inner and outer parts of the estuary react differently to the financial
320 investments and abiotic improvements. While there is a direct increase response in the BV in
321 the inner part, together with the increase in cumulative investment and the decrease in the
322 BOD and NH₃ loads, the BV at the outer part only seems to respond to the direct increase in
323 the inner BV and the decrease in the BOD within a time lag of six years.

324 This allows us to further corroborate the fact that pressures, and therefore responses,
325 differ between the inner and outer parts of the Nervión estuary and that, as stated in Borja *et*
326 *al.* (2006a), these should be regarded as two different water bodies with different management
327 approaches and different times of recovery.

328 Despite this, there is a strong response of the BV to specific management actions
329 (Figure 3c). As such, in 1990, as a consequence of the commissioning of Galindo WWTP, the
330 data shows an immediate increase in the BV in the outer part of the estuary, as well as a 2 year
331 time-lag response in the inner part of the estuary.

332 This one unit increase in the BV of the outer part is followed by a BV decrease in 1992
333 probably due to the start of the external port dock works, that has persisted to the present, and
334 which involved dredging and working activities that led to the re-suspension of polluted
335 sediments.

336 The increasing treatment and capture of the waste water discharges by the WWTP
337 reduced pollutant loads to the Nervión estuary (García-Barcina *et al.*, 2006). This allowed for
338 the respective increase and stabilization of the BV in the inner and outer parts.

339 The further closure in 1996 of one of the main iron and steel industries, Altos Hornos
340 de Vizcaya (AHV), allowed for a further BV improvement observed both in the inner and
341 outer parts (Borja *et al.*, 2006b, 2008b, 2010).

342 The implementation of the biological treatment at the Galindo WWTP (in 2001), which
343 provides organic matter and nitrogen removal, greatly reduced the contribution of the plant
344 effluent (see Figure 3b) to the overall load (García-Barcina *et al.*, 2006). As a result, a primary
345 decrease was observed in the BV of both areas subsequent to the treatment start-up and a
346 posterior increase and stabilization of the BV in the inner and outer parts, respectively.

347 The decrease in the BV in the outer part of the Nervión estuary coincided with the
348 periods of major port and dock building works at the Abra of Bilbao (Phases I & II) (1991-
349 1993) and with major dredging in the area (2001), which could be responsible for this decrease
350 of almost half a unit in the BV, as detected in the benthic component (Borja *et al.*, 2009).

351 The application of the BVM allows us to collate all observed improvements into a
352 single value whose evolution can be studied throughout the whole sewage scheme period. This
353 approach has previously been used by other authors (Derous *et al.*, 2007; Forero, 2007; Rego,
354 2007; Vanden Eede, 2007; Weslawski *et al.*, 2009; Pascual *et al.*, submitted) although most
355 have concentrated on the spatial biodiversity and have not approached its valuation in a joint
356 spatial and temporal scale. The Nervión estuary provides the opportunity for observing the
357 response of the ecosystem to investment and as water quality improves, providing a valuable
358 record of the status of the different ecosystem components throughout time.

359 There are many other examples of estuarine condition improvements due to sewage
360 abatement actions worldwide (Aslan-Yilmaz *et al.*, 2004; Brosnan and O'Shea, 1996; Conley
361 and Josefson, 2001; Hawkins *et al.*, 2002) including the notable example from the Thames
362 estuary (Andrews, 1984; and Attrill, 1998). However, until now there has been no comparison
363 between investment and biodiversity valuation.

364 As Boesch (2002) states, the high variability in environmental conditions together with
365 the existence of time lags in recovery responses make the evaluation of progress in achieving
366 goals in pollution reduction a challenge. But having time lags between responses also allows

367 us to determine the resilience times or the “habitat restoration / recovery times” of an
368 ecosystem (Drechsler and Hartig, 2011).

369 Comparing the recovery times obtained by Andrews (1984), for the Thames estuary,
370 with the ones for the Nervión estuary, similar time spans of 10 to 11 years are obtained, which
371 coincides with the recovery time boundaries stated in Borja *et al.* (2010), for most of the
372 aquatic ecosystem components. Following the earlier model in Elliott *et al.* (2007), Borja *et al.*
373 (2010) have partly quantified a conceptual model of changes to the state of the Nervión
374 estuary, where the different states, to which the estuary can evolve, according to a total or
375 incomplete resilience, are discussed. Therefore, knowing the resilience time of both inner and
376 outer parts of the estuary allows us to determine which of the recovery states the system is in
377 and, thus, help to formulate robust, cost-efficient and feasible water treatment decisions as is
378 required from regulators and policy makers.

379 As stated above, estuaries offer a wide range of economically quantifiable goods and
380 services to society (America, 2008). Together with the estuary’s improvement, new uses and
381 services have developed in the Nervión estuary: European bathing water quality standards
382 were met at local beaches (García-Barcina *et al.*, 2006) and different recreational activities
383 started occurring on and around the estuary (rowing competitions, recreational fishing
384 competitions, canoeing, boat-cruises, etc.). However, this study was unable to analyse the
385 further link between the environmental improvement of the estuary and the increase on its
386 services provision. However, the authors highlight this as a possible area for further study in
387 the Nervión estuary. Figure 4 summarizes the overall findings of our study and allows us to
388 conclude that the total amount of money invested into the sewage scheme of the Nervión
389 estuary has contributed to the improvement of: firstly, the abiotic factors and secondly, the
390 biotic factors.

391 However, there is still the potential for further improvements in the BV of the estuary

392 (especially at the outer part). Forthcoming sewage scheme activities announced by the CABB
393 will enable these further improvements; these include the building of a sewage sludge
394 incinerator, another WWTP, a possible submarine outfall (to avoid the development of
395 harmful algae blooms within the inner estuary (Fernández-Pérez, 2005), and the total renewal
396 of the pipelines.

397 Furthermore, the better control and limiting of dredging activities and diffuse and
398 riverine pollution (i.e. through the building of storm tanks (Fernández-Pérez, 2005)), would
399 further reduce the loads of uncontrolled inputs into the estuary, allowing for its continued
400 recovery.

401

402 **5. Conclusions**

403 Our approach successfully combined the ecological and economic data allowing us to
404 fulfil the cost / benefit analysis of the Nervión estuary sewage scheme plan.

405 Pollution abatement actions carried out in the Nervión estuary, which reduced the BOD
406 and total NH₃ waste input values, resulted in a significant increase in the BV for the inner and
407 outer parts of the estuary.

408 However, the BV at the outer part showed a time lag of six years between performing
409 the actual actions and its response.

410 Furthermore, variations in BV, along the sewage scheme, respond to the different
411 human impacts and actions that have occurred along the Nervión estuary and the findings are
412 complicated by interactions between different management measures at different places.

413 An increase in the uses and services provided by the estuary is observed together with
414 its environmental and ecological recovery.

415 The successful clean-up of the Nervión estuary shows that it is possible to redeem even
416 an extremely polluted aquatic ecosystem, acting as an example for decision makers on how
417 and by how much the recovery of a highly polluted estuary is possible.

418

419 **6. Acknowledgements**

420 Data for this study were obtained from different projects funded by the Consorcio de
421 Aguas Bilbao-Bizkaia. M. Pascual was supported by a grant from the *Technological Centres*
422 *Foundation* of the Basque Country. We wish to thank the Institute of Estuarine and Coastal
423 Studies and the University of Hull, UK, for receiving M. Pascual in an internship stay. Ainhize
424 Uriarte (AZTI-Tecnalia) kindly provided us with fish data. The Department of Applied
425 Economy, at the University of the Basque Country, is also thanked for kindly advising us on
426 some details of this contribution. This is paper number XXX from the Marine Research
427 Division (AZTI-Tecnalia).

428

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Table 1. Division criteria table for the total sewage scheme abatement actions applied at the Nervión estuary (Modified from U.S. Census Bureau, 2008)

	<i>Activity Category</i>	<i>Examples</i>
<i>Pollution Abatement Capital Expenditures</i>	Treatment / Capture	Purchase, installation and start-up costs of “end of pipe” pollution abatement equipment: <ul style="list-style-type: none"> - Absorption and filtering systems. - Emissions capture systems. - Oil/water separating systems. - Dewatering systems. - Loads control and capture systems. - Decontamination systems. - Treatment and purification systems. - Pollutant substances elimination processes and systems. - Pouring-off systems. - Distillation columns; compactors, etc.
	Recycling	<ul style="list-style-type: none"> - Reuse, recovery and recirculation systems. - Water consumption reducing systems. - Recycling equipment. - Recycling facilities installations.
	Disposal	Construction of waste storage facilities or retention ponds
	Pollution Prevention	<ul style="list-style-type: none"> - Set-up; fit-up and renewal actuations. - Sewage management decisions. - Pollution prevention; disposal; efficiency; environmental impact and measures to emplace reporting. - Environmental appointment establishment (ISO 14001 and others).

Table 2:

a) Set of assessment questions, related to the different structure and processes of biodiversity (Derous *et al.*, 2007)

(†) When only abundance / presence / covertures data was available

(‡) When only mean density values data was available, as occurring with the macrobenthos_hard ecosystem component data

b) Set of assessment questions criteria for each of the ecosystem components assessed

* Rarity and Commonness defined as those <0.05% of the total number of individuals and as those >0.5% of total number of individuals, respectively (Gering *et al.*, 2003)

¹According to Borja & Collins, 2004 (Table 18.1)

²According to AMBI's defined Ecological Groups I-V

³According to Borja *et al.*, 2004

⁴According to Wells *et al.*, 2007 (Table 3)

⁵According to Uriarte & Borja, 2009

a)

<i>Question Code</i>	<i>Assessment Questions</i> (Following Derous <i>et al.</i> , 2007)
Q1	Is the subzone characterized by High counts / presence / covertures of many species?† The mean density values? ‡
Q2	Is the abundance / relative abundance / coverture / presence of a common* species very high in the subzone? Is the subzone characterized by mean biodiversity values?
Q3	Is the subzone characterized by the presence of many rare species?
Q4	Is the abundance / relative abundance / coverture of rare species high in the subzone?
Q5	Is the abundance / relative abundance / coverture of habitat forming species high in the subzone?
Q6	Is the abundance / relative abundance / coverture of ecologically significant species high in the subzone?
Q7	Is the species richness in the subzone high?

b)

<i>Ecosystem Component</i>	<i>Data availability</i>	<i>Q1</i>	<i>Q2</i>	<i>Q3</i>	<i>Q4</i>	<i>Q5</i>	<i>Q6</i>	<i>Q7</i>
Zooplankton	1994-2009	† (count)	Relative abund.	Rarity*	Relative abund.	-----	≥ 80% of Relative abund.	n° sp.
MB_Soft	1989-2010	‡ ¹	Mean biodiv ¹	Rarity*	Abund.	Abund.	≥ 50% of abund. ²	n° sp. ¹
MB_Hard	2004/2006/2008	† (pres.)	Presence	Rarity*	-----	Au or All	C; H; DF;FF	n° sp.
Macroalgae_Hard	2003/2004/2006	† (cov.)	Coverture	Rarity*	Cov.	≥ 75% of Cov.	% of Cov. ⁴	n° sp. ³
Dem. Fish	1989-2010	-----	-----	Rarity*	Abund.	-----	% ⁵	% ⁵

Table 3

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Table 3: List of selected a) Habitat-Forming (HF) and b) Ecologically-Significant (ES) species for each of the ecosystem components.

Key: 5 = Very High Biological Value; 4 = High Biological Value; Au = Autogenic; All = Allogenic; C = Carnivores; H = Herbivores; FF = Filter feeders; DF = Deposit feeders.¹According to Uriarte & Villate, 2004; ²According to Pascual *et al.*, (submitted); ³According to AMBI's defined Ecological Groups I-V; ⁴According to Wells *et al.*, 2007 (Table 3); ⁵According to Uriarte & Borja, 2009.

<i>a) Habitat- Forming (HF) Species</i>					
Zooplankton	MB_Soft ²	MB_Hard ²		Macroalgae_Hard ²	Dem. Fish
-----	Abra alba (5) Abra nitida (4) Abra prismatica (5) Abra sp. (4) Cerastoderma edule (4) Scrobicularia plana (5) Tellina tenuis (5) Venus sp. (5)	Anomia ephippium (Au) Balanus amphitrite (All) Balanus crenatus (All) Balanus perforatus (All) Balanus sp. (Au) Balanus trigonus (All) Chthamalus sp. (Au) Chthamalus stellatus(Au) Mytilaster minimus (All)	Mytilaster solidus (All) Mytilus galloprovincialis (Au) Ostrea edulis (All) Ostreidae (Au) Pollicipes pollicipes (All) Sabellaria spinulosa (All) Sabellidae (Au) Spongia caudigera (Au) Verruca stroemia (Au)	Bifurcaria bifurcata (5) Corallina elongata (5) Corallina officinalis (5) Cystoseira baccata (5) Cystoseira tamariscifolia (5) Gelidium corneum (5) Gelidium spinosum (5) Halopteris filicina (5) Lichina pygmaea (5) Lithophyllum byssoides (5) Mesophyllum lichenoides (5) Stypocaulon scoparium (5) Verrucaria maura (5)	-----
<i>b) Ecologically- Significant (ES) Species</i>					
Zooplankton ¹	MB_Soft ³	MB_Hard ²		Macroalgae_Hard ⁴	Dem. Fish ⁵
Phyllum Cnidaria (5) Phyllum Rotifera (1) Class Gastropoda (5) Class Maxillopoda (5) Class Polychaeta (1) Order Tintinnida (5) Genus Acartia (5)	Ecological Group I (5) Ecological Group II (4) Ecological Group III (3) Ecological Group IV (2) Ecological Group V (1)	Actinia equina (C) Amphiglena mediterranea (FF) Apherusa jurinei (FF) Aplysia punctata (H) Bittium reticulatum (H) Campecopea hirsuta (H) Caprella danilevskii (H) Caprella penaltis (C) Cymodoce truncata (DF) Dynamene bidentata (H) Eulalia viridis (C) Gastrochaena dubia (FF) Hyalae perieri (H) Hyalae spinidactyla (H) Hyalae stebbingi (H) Ischyromene lacazei (H) Jassa falcata (FF)	Jassa marmorata (FF) Lasaea adansonii (FF) Melarhaphe neritoides (DF) Modiolula phaseolina (H) Modiolus barbatus (H) Musculus costulatus (H) Paracentrotus lividus (H) Patella depressa (H) Patella rustica (H) Patella ulyssiponensis (H) Patella vulgata (H) Platynereis dumerilii (H) Polydora sp. (DF) Syllis amica (C) Syllis gracilis (C) Tanaeis dulongii (FF)	Phyllum Chlorophyta (1) Phyllum Rodophyta (5)	Omnivorous (5) Piscivorous (5) Flat fish (5)

Table 4[Click here to download Table: Table 4_article.doc](#)

Table 4. A summary of the total Nervión estuary Biological Values, Reliability and Sampling effort; mean values and standard deviations, per year are shown.

Year	Outer			Inner		
	BV	Reliability	Sampling effort	BV	Reliability	Sampling effort
1989	2.83 ± 0.75	3.00 ± 0.00	1.67 ± 0.82	2.00 ± 0.00	1.00 ± 0.00	3.00 ± 0.00
1990	3.56 ± 0.82	1.10 ± 0.45	2.55 ± 0.83	1.97 ± 0.16	1.05 ± 0.32	3.00 ± 0.00
1991	4.65 ± 0.56	1.10 ± 0.45	2.98 ± 0.13	2.00 ± 1.01	1.03 ± 0.23	2.03 ± 1.01
1992	4.41 ± 0.64	1.20 ± 0.60	2.93 ± 0.31	2.95 ± 0.31	1.12 ± 0.46	3.00 ± 0.00
1993	3.93 ± 1.24	1.05 ± 0.22	2.49 ± 0.50	2.01 ± 0.11	1.03 ± 0.23	3.00 ± 0.00
1994	4.34 ± 0.55	2.12 ± 0.92	2.38 ± 0.68	3.29 ± 1.18	2.35 ± 0.81	2.79 ± 0.41
1995	4.40 ± 0.62	2.53 ± 0.50	2.95 ± 0.22	3.64 ± 0.84	2.35 ± 0.81	2.57 ± 0.82
1996	4.02 ± 1.03	1.58 ± 0.55	2.97 ± 0.22	3.43 ± 1.42	2.01 ± 0.11	2.44 ± 0.82
1997	4.42 ± 0.59	2.53 ± 0.50	2.97 ± 0.16	3.42 ± 1.55	2.56 ± 0.50	2.77 ± 0.45
1998	3.94 ± 0.75	2.07 ± 1.00	2.94 ± 0.27	4.09 ± 1.04	1.70 ± 0.69	2.98 ± 0.21
1999	4.42 ± 0.59	2.07 ± 1.00	2.70 ± 0.46	4.49 ± 0.69	2.44 ± 0.90	2.98 ± 0.19
2000	4.62 ± 0.89	2.98 ± 0.12	2.86 ± 0.43	3.65 ± 1.07	2.13 ± 0.99	2.79 ± 0.41
2001	4.82 ± 0.62	2.55 ± 0.50	3.00 ± 0.00	4.43 ± 0.84	2.13 ± 0.99	2.79 ± 0.41
2002	4.15 ± 0.62	2.01 ± 0.97	2.72 ± 0.47	3.43 ± 1.16	2.02 ± 1.00	2.74 ± 0.68
2003	4.06 ± 1.33	2.10 ± 0.99	2.45 ± 0.88	3.51 ± 0.77	2.00 ± 0.98	2.55 ± 0.70
2004	3.76 ± 1.01	1.77 ± 0.94	2.26 ± 0.70	4.02 ± 0.89	1.16 ± 0.54	2.70 ± 0.54
2005	4.11 ± 1.05	2.23 ± 0.98	2.41 ± 0.76	3.76 ± 0.54	2.08 ± 1.00	2.93 ± 0.34
2006	4.37 ± 0.91	2.15 ± 0.98	2.71 ± 0.68	4.22 ± 1.24	2.01 ± 0.98	2.79 ± 0.43
2007	3.83 ± 0.79	2.13 ± 0.99	2.79 ± 0.57	4.00 ± 0.64	2.03 ± 1.00	2.85 ± 0.38
2008	4.36 ± 0.94	2.18 ± 0.98	2.72 ± 0.50	4.49 ± 0.66	2.04 ± 1.00	2.84 ± 0.42
2009	4.32 ± 0.71	2.11 ± 1.00	2.90 ± 0.40	4.78 ± 0.58	2.04 ± 1.00	2.84 ± 0.42
2010	4.46 ± 0.50	1.02 ± 0.13	3.00 ± 0.00	4.77 ± 0.42	1.00 ± 0.00	3.00 ± 0.00

Table 5. a) Correlation analysis results between the BV of both inner and outer parts of the estuary with the total annual loads of Biochemical oxygen demand (BOD); with the total cumulative economic investments and with the total annual loads of ammonia nitrogen (NH₃). b) Correlation analysis results between the BV of inner and outer parts. Numbered suffixes determine the time lag applied (ex. BV_inner_1= the Biological value at the inner part at a time lag of 1 year).

Significant correlations are highlighted in bold and leveled * $\alpha=0.05$; ** $\alpha=0.01$ or *** $\alpha=0.001$

(Key: n= number of samples; r= correlation coefficient; p-value= probability value)

a)	BOD			Cum. Investment			NH ₃		
	n	r	p-value	n	r	p-value	n	r	p-value
BOD				18	-0.9726	0.0000***	18	0.9385	0.0000***
Cum. Invest.	18	0.0820	0.7464				18	-0.9107	0.0000***
BV_inner	18	-0.6646	0.0026**	18	0.7342	0.0005***	18	-0.5087	0.0311*
BV_outer	18	0.1279	0.6130	18	-0.0730	0.7734	18	0.1621	0.5205
BOD_1				17	-0.9638	0.0000***	17	0.8990	0.0000***
Cum. Invest_1	18	0.2556	0.3059				17	-0.9161	0.0000***
BV_inner_1	18	-0.7645	0.0002***	17	0.7675	0.0003***	17	-0.5386	0.0257*
BV_outer_1	18	-0.2908	0.2418	17	0.0561	0.8305	17	-0.0944	0.7186
BOD_2				16	-0.9533	0.0000***	16	0.8967	0.0000***
Cum. Invest_2	18	-0.1464	0.5620				16	-0.9270	0.0000***
BV_inner_2	17	-0.7418	0.0007***	16	0.7633	0.0006***	16	-0.5375	0.0318*
BV_outer_2	17	-0.2954	0.2497	16	0.0340	0.9005	16	-0.0295	0.9135
BOD_3				15	-0.9411	0.0000***	15	0.8851	0.0000***
Cum. Invest_3	17	-0.1968	0.4491				15	-0.9332	0.0000***
BV_inner_3	16	-0.8335	0.0001***	15	0.8038	0.0003***	15	-0.6380	0.0105*
BV_outer_3	16	-0.3447	0.1911	15	0.0728	0.7966	15	-0.0973	0.7300
BOD_4				14	-0.9119	0.0000***	14	0.8752	0.0000***
Cum. Invest_4	16	-0.2902	0.2757				14	-0.9406	0.0000***
BV_inner_4	15	-0.7670	0.0008***	14	0.7988	0.0006***	14	-0.6482	0.0122*
BV_outer_4	15	-0.3867	0.1545	14	0.2393	0.4100	14	-0.1405	0.6320
BOD_5				13	-0.8994	0.0000***	13	0.8688	0.0001***
Cum. Invest_5	15	-0.3834	0.1583				13	-0.9312	0.0000***
BV_inner_5	14	-0.8288	0.0002***	13	0.8462	0.0003***	13	-0.7663	0.0022**
BV_outer_5	14	-0.5161	0.0589	13	0.3352	0.2628	13	-0.2977	0.3232
BOD_6				12	-0.9364	0.0000***	12	0.9144	0.0000***
Cum. Invest_6	14	-0.2959	0.3043				12	-0.9079	0.0000***
BV_inner_6	13	-0.8442	0.0003***	12	0.9120	0.0000***	12	-0.8774	0.0002***
BV_outer_6	13	-0.5574	0.0478*	12	0.4500	0.1421	12	-0.3426	0.2757
BOD_7				11	-0.9284	0.0000***	11	0.9103	0.0001***
Cum. Invest_7	13	-0.4346	0.1378				11	-0.8879	0.0003***
BV_inner_7	12	-0.8682	0.0002***	11	0.8532	0.0008***	11	-0.8449	0.0011**
BV_outer_7	12	-0.5566	0.0602	11	0.3272	0.3260	11	-0.2001	0.0552
BOD_8				10	-0.9132	0.0002***	10	0.8623	0.0013**
Cum. Invest_8	12	-0.2421	0.4483				10	-0.8655	0.0012**
BV_inner_8	11	-0.9301	0.0000***	10	0.9102	0.0003***	10	-0.8673	0.0012**
BV_outer_8	11	-0.4163	0.2029	10	0.2107	0.5589	10	-0.0805	0.8250

b)

	BV_outer			BV_outer_1			BV_outer_2		
	n	r	p-value	n	r	p-value	n	r	p-value
BV_inner	22	0.4563	0.0328*	22	0.4087	0.0589	21	0.2884	0.2048
BV_inner_1	21	0.1264	0.5850	21	0.4356	0.0484*	21	0.4080	0.0718
BV_inner_2	20	0.0789	0.7408	20	0.0766	0.7482	20	0.4332	0.0564

Figure 1
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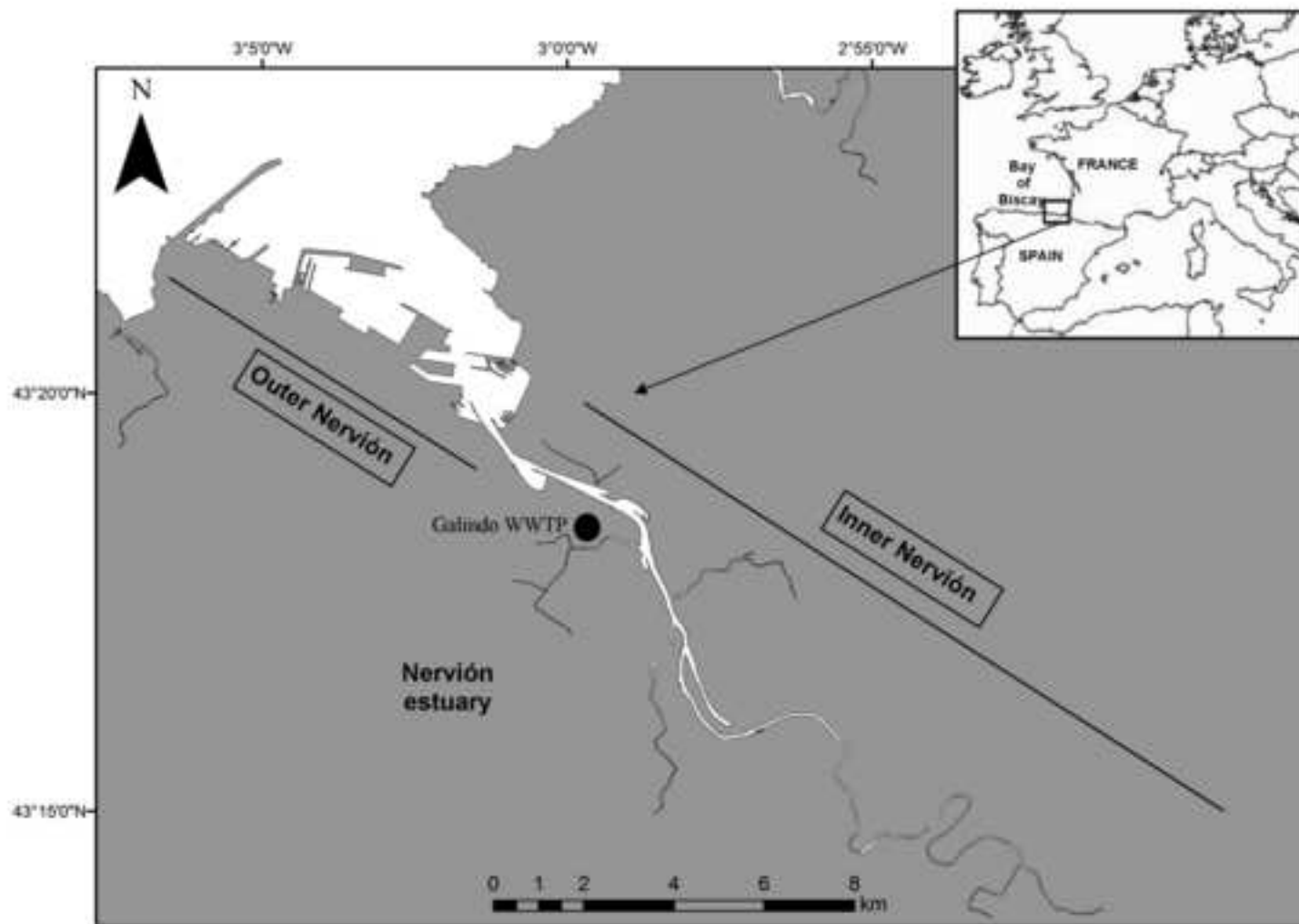


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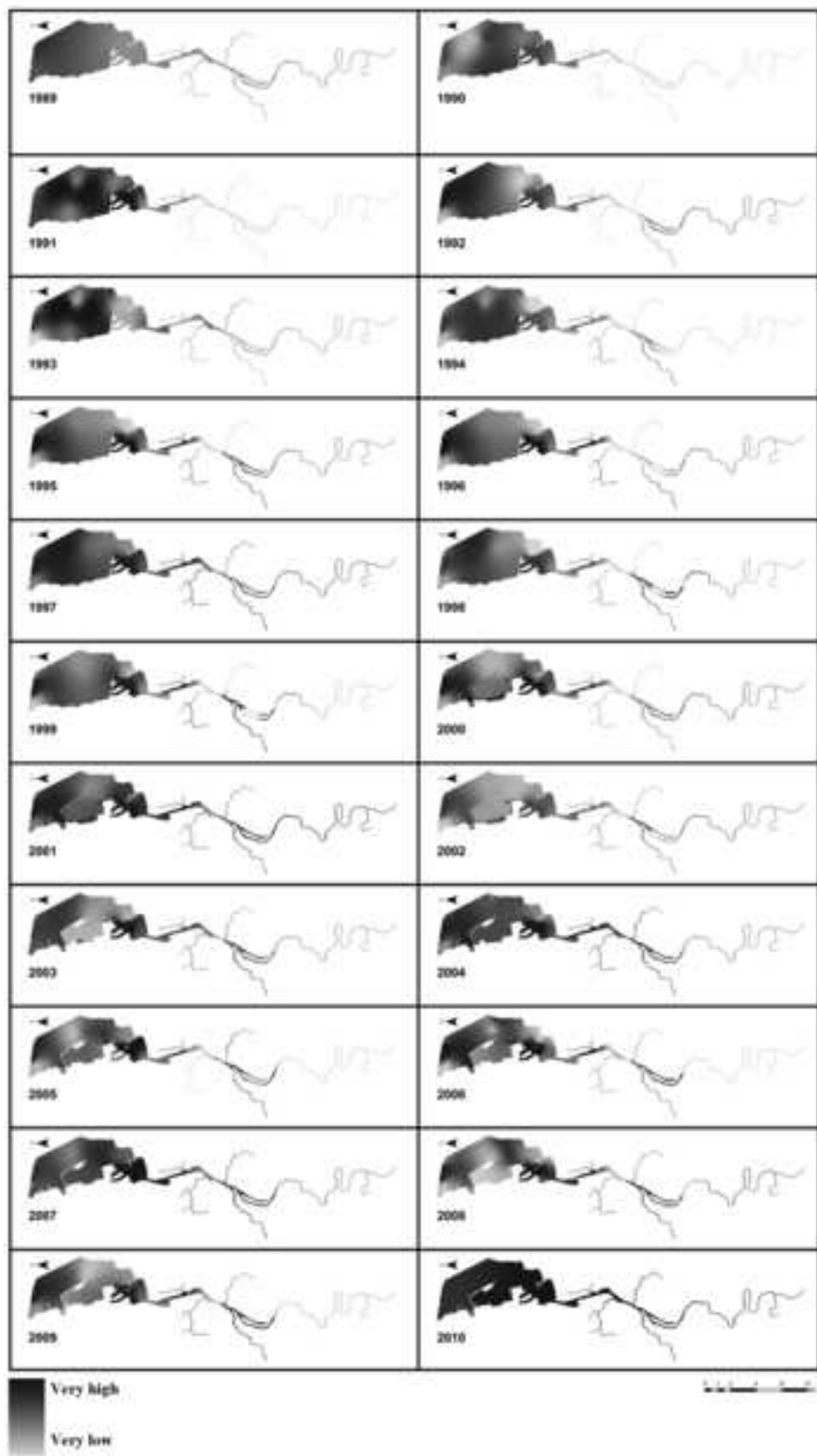


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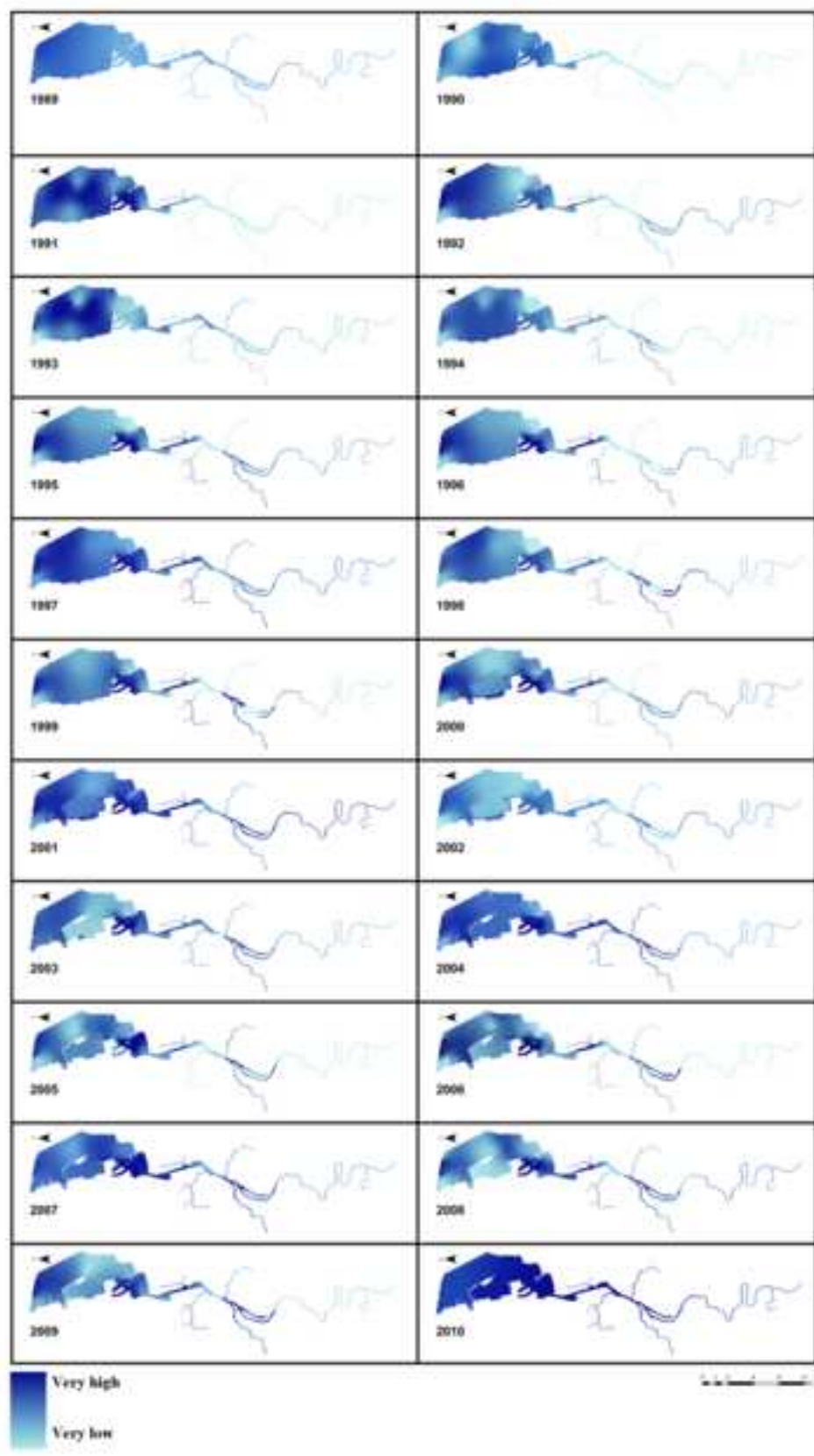


Figure 3

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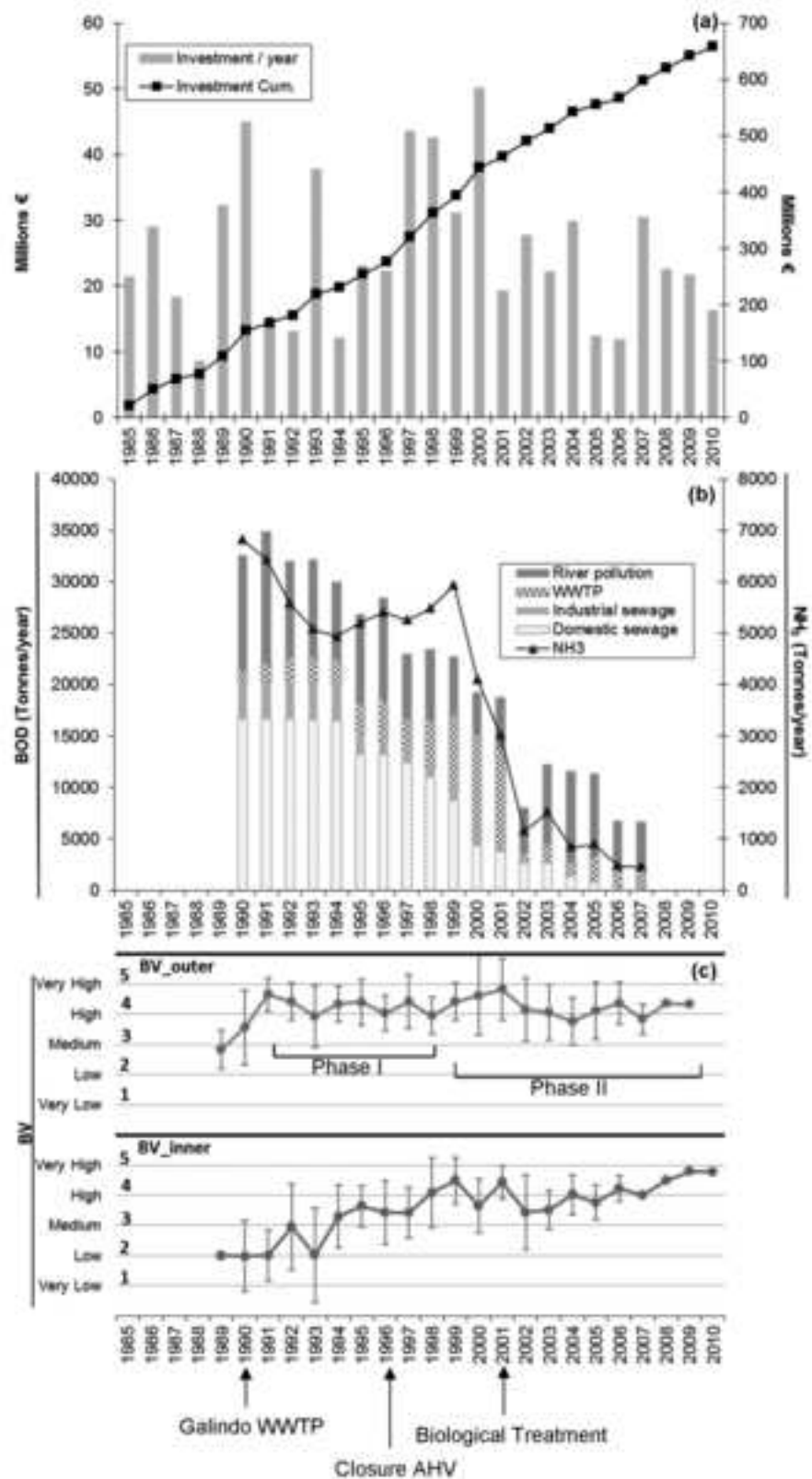
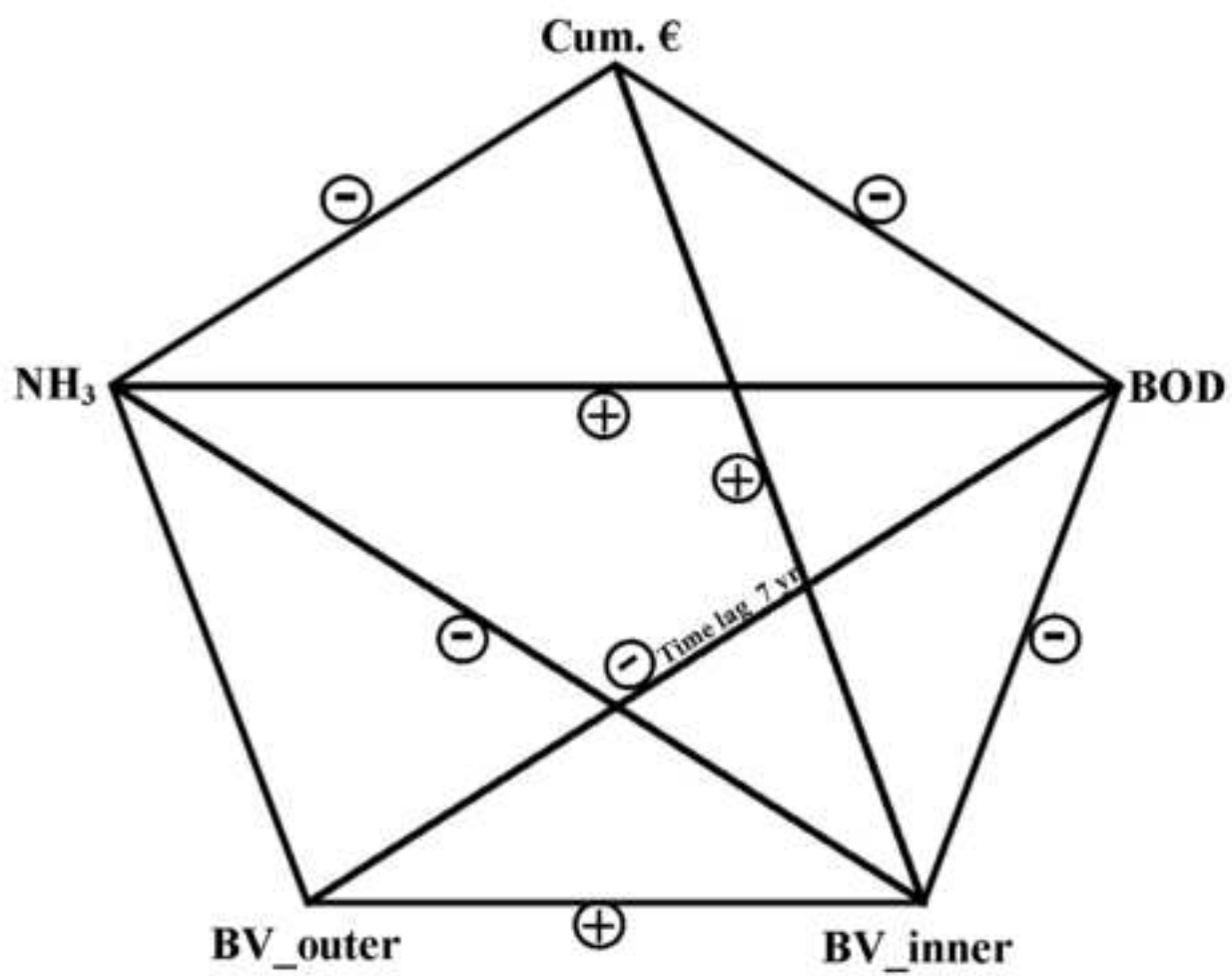


Figure 4
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Figures:

Figure 1. Study area: Nervión estuary location at the western part of the Basque Coast together with a scheme of both inner and outer parts of the estuary. The localization of the main Galindo's Waste Water Treatment Plant (WWTP) is also highlighted.

Figure 2. Biological Value evolution mapping: BV changes along the estuary throughout the sewage scheme period.

Figure 3. Investment and responses: (a) Cumulative Economic Investment (Cum. €); (b) Annual loads of Biochemical Oxygen Demand (BOD) and Ammonia nitrogen (NH_3) (t yr^{-1}), and (c) Averaged Total Biological Values and Standard Deviations (BV) per year; being 1= low and 5 = very high.

Main facts that occurred in the Nervión (Key: Phase I & II= External port widening Phases; WWTP= Waste Water Treatment Plant; AHV= Altos Hornos de Vizcaya)

Figure 4. Investment and responses correlation results summary: Per year and cumulative Economic Investment (€); Annual loads of Biochemical Oxygen Demand (BOD) and Ammonia nitrogen (NH_3) and Averaged Total Biological Values and Standard Deviations (BV) in inner and outer estuary.