Abolishing the Security Dilemma: Why we need to integrate the militaries

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Abstract:

This article argues that the Security Dilemma can in fact be abolished by integrating the militaries into one common global organisation, possibly under one common command. The existence and workings of NATO are an approximate example of this ideal in a geographically limited space. For illustrating this argument, this article discusses the logic of the Prisoners Dilemma, as the intellectual model underlying the Security Dilemma, and proposes an alternative version of the Prisoners Dilemma. It is then argued that the Security Dilemma only persists in a politically and economically ever farther integrated world because the international militaries are not integrated and hence partial anarchy persists at least in the military realm. The solution to remaining international conflicts, such as arguably one between the West and Russia recently, would be to expand NATO to include 'threatening' states' militaries until all militaries are joined in a global organisation. Finally, revised non-violent functions for NATO, as well as a global welfare state and an early warning system for civil wars, are proposed and discussed.

Keywords: Security Dilemma, Prisoners Dilemma, NATO, world state, global democracy, Russia Word count: 13.683

Introduction

Realism and Liberalism have been in opposition since the inception of the discipline of International Relations in 1919.¹ Realism traditionally focuses on power, struggles for security and survival, and the 'hard' part of politics². Liberalism, or Idealism or Utopianism, as they were originally termed,³ instead focuses on democracy, trade, and international institutions.⁴ Both approaches seem irreconcilable. However, on a second look, they are not. Realism and Liberalism are sometimes combined in theory – so, for example, the Institutionalist approach and the English School claim to be located at the intersection between the Realist and Liberal approach⁵ – and in practice, where the ideological battles might not always be as fierce or might be even fiercer as in academia. So, for example in 2003, when the Global War on Terrorism was still at its beginning, it had been termed 'idealist Realism'.⁶ If policy combines approaches that seem irreconcilable, then we must ask what really distinguishes them.

¹ Herz, J.H. (1951): Political Realism and Political Idealism. A Study in Theory and Realities. Chicago: Chicago University Press; Booth, K. (2008): Navigating the 'Absolute Novum': John H. Herz's Political Realism and Political Idealism. International Relations, 22:4, 510–526. See also Ashworth, Lucian (2002): Did the Realist-Idealist Great Debate Really Happen? a Revisionist History of International Relations. International Relations, 16: 1, 33 – 51, Schmidt, Brian (1998): The Political Discourse of Anarchy: A Disciplinary History of International Relations. New York: SUNY Press; Wilson, P. (1998): The myth of the 'first great debate'. Review of International Studies, 24:05, 1-16; Lake, D. A. (2013): Theory is dead, long live theory: The end of the Great Debates and the rise of eclecticism in International Relations. European Journal of International Relations, 19:3, 567-587.

² Korab-Karpowicz, W. J. (2010). Political realism in international relations. <u>https://stanford.library.sydney.edu.au/entries/realism-intl-relations/</u>.

³ Carr, E. H. (1946): The twenty years' crisis, 1919-1939: an introduction to the study of international relations. London: Macmillan.

⁴ Brown, Michael E. et al (2001): Theories of War and Peace. An International Security Reader. Cambridge: MIT Press. Cf. also for example Kacowicz, Arie (2000): Stable Peace among Nations. Lanham: Rowman & Littlefield; Russett, Bruce (1993): Grasping the Democratic Peace. Principles for a Post-Cold War World. Princeton: Princeton University Press; Kegley, Charles W. Jr. (1995): Controversies in International Relations Theory. Realism and the Neoliberal Challenge. New York: Palgrave.

⁵ Buzan, B. (1993). From international system to international society: structural realism and regime theory meet the English school. International Organization, 47:03, 327-352.

⁶ The Global War on Terrorism was referred to as idealist Realism by Thomas Risse in personal communication in 2002.

While Realism rather seems to take a pessimist view and focuses at the causes of war,⁷ Liberalism is more optimist and looks at the conditions of peace.⁸ Both peace and war are present in international relations concomitantly most of the time. Hence, neither Realism nor Liberalism got it wrong or exclusively right, they rather differ in perspective. Therefore, both approaches are needed to understand international relations and to fulfil the goal of International Relations as a discipline to understand the conditions of and to create peace in the world. Realism might suit better for identifying the causes of war and violence, and might even be adapted to explain new forms of violence, such as civil wars and terrorism.⁹ It might therefore better be understood to resemble a root cause analysis approach of war, rather than a problem solving approach to war,¹⁰ the latter of which would be Liberalism. Liberalism, for its positive perspective, might be better functional for creating peace with being able to identify the conditions leading to peace between nation states, such as the creation of global institutions¹¹ – for example the United Nations – or processes, such as globalisation and democratisation. Both are needed for addressing international concerns, and both fulfil important functions. Potentially, we need to look into Realism first, to understand what causes international conflict, and look into Liberalism second, to identify the possibilities of overcoming these problems. However, it would in all cases be very fruitful if both approaches would continue to be in intense dialogue, as both can and must learn from each other.¹²

⁷ Cf. for example: Van Evera (2001): Offense, Defense, and the Causes of War. In: Brown, Michael E. et al (eds): Theories of War and Peace. An International Security Reader. Cambridge: MIT Press, 55-93.

⁸ Kant, Immanuel. 1795. Perpetual Peace. London: Swan Sonnenschein; Levi, Werner. 1960. On the Causes of War and the Conditions of Peace. The Journal of Conflict Resolution, 4:4, 411-420; Levi, Werner. 1964. On the Causes of Peace. The Journal of Conflict Resolution, 8:1, 23-35; Levi, Werner. 1965. The Concept of Integration in Research on Peace. Background, 9:2, 111-126; Mitrany, David. 1966. A Working Peace System. Chicago: Quadrangle Books.

⁹ Beyer, Anna Cornelia (2016): Inequality and Violence: A Re-appraisal of Man, the State and War. London: Routledge.

¹⁰ Franks, J. (2006): Rethinking the roots of terrorism. New York: Springer.

¹¹ Keohane, Robert and Lisa Martin (2001): The Promise of Institutionalist Theory. Brown, Michael E. et al (2001): Theories of War and Peace. An International Security Reader. Cambridge: MIT Press, 384-396.

¹² Cf. Sleat, Matt (2013): Liberal Realism. A realist theory of liberal politics. Manchester: Manchester University Press.

The Security Dilemma, which this article seeks to address, is thought to be a Realist concept. In fact, it holds a prominent place in Realist's explanation of why wars occur under the condition of anarchy. Under anarchy, states threaten each other with their military capabilities and, as trust is difficult to achieve, conflict might break out¹³. For many IR theorists, the Security Dilemma is an accepted problem for international peace. And it is generally understood to be one that cannot be overcome, just mitigated or 'transcended'¹⁴. However, as Kenneth Waltz in *Man, the State and War* already hinted at¹⁵, and as the current world state discussion further indicates¹⁶, there might well be ways not only to mitigate the Security Dilemma and make it more benevolent and less threatening, but there might be ways to abolish it altogether. For this purpose, we need to apply insights from other approaches, such as Institutionalism and Liberalism, as well as Marxism and Constructivism, to overcome the limitations of Realism as a singularity.

This article will argue that one way of abolishing the Security Dilemma would be to integrate the militaries of the world into one common organisation. This would in essence mean to expand NATO to include the militaries of states that seem to threaten us (i.e. the original members of NATO) or that NATO originally was supposed to defend against, and finally all militaries. One such first step could be to integrate Russia into NATO.¹⁷ This, it is here argued, would logically

¹³ Booth, K. and N. J. Wheeler (2008): The Security Dilemma. Fear, Cooperation and Trust in World Politics. Basingstoke: Palgrave.

¹⁴ Booth, K. and N. J. Wheeler (2008): The Security Dilemma. Fear, Cooperation and Trust in World Politics. Basingstoke: Palgrave; Collins, A. (1997): The Security Dilemma and the End of the Cold War. New York: St. Martin's Press.

¹⁵ Waltz, K. N. (1959): Man, the State and War: A Theoretical Analysis. New York: Columbia University Press. Waltz argued that the only possibility to overcome the detrimental effects of anarchy, which produces the security dilemma and is the major cause of war, is the creation of a world government. However, he believed this to be impractical.

¹⁶ Craig, C. (2004): Glimmer of a New Leviathan: Total War in the Realism of Niebuhr, Morgenthau, and Waltz. New York: Columbia University Press. Craig believes a world state to be the remedy for the nuclear security dilemma and the threat posed by nuclear weapons in general.

¹⁷ While there is literature promoting the expansion of NATO, there is no literature yet calling for Russia's inclusion into the alliance. CF. Daalder, I. and J. Goldgeier (2006): Global NATO. Foreign Affairs, 85, 105-113; Mowle, T.S. and D.H. Sacko (2007): Global NATO: Bandwagoning in a Unipolar World. Contemporary Security Policy, 28:3, 597-618; Bunde, T. and T. Noetzel (2010): Unavoidable Tensions: The Liberal Path to Global NATO. Contemporary Security Policy, 31:2, 295-318; The Royal United Services Institute (2012). John Ikenberry Opposes a Global NATO.

abolish the Security Dilemma, at least in the former case between Russia and the West. If the militaries would be integrated into one common organisation, such as NATO, they would not pose a threat to each other anymore. NATO is a defence community,¹⁸ and at present within NATO it is believed that the Security Dilemma is not active¹⁹. Hence, we could argue that within NATO the Security Dilemma is abolished. Expanding NATO to include more countries, and in particular those that seem a potential threat, such as Russia, could abolish still existing Security Dilemmata. To make this result even stronger, the global NATO should later on be put under a common command, which would also long-term necessitate a world state that needs to be accompanied by a globally directly elected parliament, a global welfare state, and (at least in theory) a world government²⁰, in addition to the beneficial institutions and processes already present.

This article will first discuss what is known about the Security Dilemma in the literature to show that it exists primarily because of the (partial) condition of anarchy and the lack of integration of militaries.²¹ It will here also propose a revised version of the original Prisoners Dilemma, the latter of which has been taken as an illustration of the underlying logic in the Security Dilemma, and which is based on partially revisable assumptions, in particular if we want to apply it to international relations. Based on the new model, this article will argue that, for integrating actors, such as

Online: <u>http://www.dailymotion.com/video/xvm92n_john-ikenberry-opposes-a-global-nato_news.</u>

¹⁸ Ojanen, H. (2006): The EU and NATO: two competing models for a common defence policy. JCMS: journal of common market studies, 44:1, 57-76.

¹⁹ Jones, S. G. (2003): The European Union and the Security Dilemma. Security Studies, 12:3, 114-156, 128. Jones argues here that the American military presence in Europe served to 'solve' the Security Dilemma, which was provided through NATO. At another place, he argues also for the beneficial effect of common European institutions, including military institutions in Europe.

²⁰ The most prominent justification for the necessity of a world state is presented by Wendt 2003. Wendt, A. (2003): Why a world state is inevitable. European Journal of International Relations, 9:4, 491-542. Before and since then, and more recently in particular, a large number of authors have engaged with the world state idea. Cf. Hoeffe, O. (1999): Demokratie im Zeitalter the Globalisierung. Muenchen: C.H. Beck; Falk, Richard (1975): A Study of Future Worlds. Amsterdam: North Holland.

²¹ Paul Roe maintains that the Security Dilemma has been conceptualised almost exclusively in military terms. Roe, P. (1999): The Intrastate Security Dilemma: Ethnic Conflict as a 'Tragedy'? Journal of Peace Research, 36:2, 183-202, 185.

militaries, and bringing them to peace, it is not necessary to have a common threat, it is also possible to integrate actors based on a common project that they can only solve jointly,²² as well as by creating common authorities. Connected to this, the article will here also present challenges to the theorem of 'tit for tat',²³ which is believed to be at least in part culturally determined and might not present the only or necessarily most successful strategy for creating cooperation.

The second part of this article will present some ideas on how to apply these theoretical concepts in practice, for example with steps to creating a global, or at least more global, NATO by including Russia, and by starting a process towards more global integration by discussing a global United Nations Parliament²⁴ and presenting the idea of a Global Welfare State²⁵ and an Early Warning System for conflicts based on global mental health data.²⁶ All of the latter are thought necessary to avoid conflicts stemming from inequalities, oppression, or other known causes for conflict that exist apart from the Security Dilemma.²⁷ These additional causes are more important for understanding intra-national and transnational conflicts, as the most common conflicts in today's world, rather than the Security Dilemma itself. They need to be addressed likewise to avoid traditional international conflicts developing out of them. This part of the article will also discuss

²² Sherif, M. (1966): Group Conflict and Co-operation. Their Social Psychology. Oxford: Routledge.

²³ Axelrod, R. (1990): The evolution of co-operation. London: Penguin.

²⁴ Bummel, A. (2010): Developing International Democracy. For a Parliamentarian Assembly at the United Nations. Berlin: Kommittee fuer eine Demokratische UNO; Schwartzberg, J.E. (2013): Transforming the United Nations System. Designs for a Workable World. Tokyo et al.: United Nations University Press.

²⁵ This is an entirely novel idea, and is not presented elsewhere in the literature yet. The first ideas for a welfare state on a national basis had been laid out by Bismarck for Wilhelmine Germany.

²⁶ Beyer, Anna Cornelia (2016): International Political Psychology: Explorations into a New Discipline. London: Palgrave.

²⁷ Cashman, G., 2000. What Causes War? An Introduction to Theories of International Conflict. New York: Lexington Books; Dixon, J., 2009. What causes civil wars? Integrating quantitative research findings. International Studies Review, (11)4, pp.707-735; Levy, J. and Thompson, W., 2010. Causes of War. West Sussex: Wiley-Blackwell; Lindemann, T., 2010. Causes of War: The Struggle for Recognition. Essex: ECPR Press; Bjorgo, T., 2005. The Root Causes of Terrorism: Myths, Reality and Ways Forward. London: Routledge; Kaarthikeyan, S., 2005. Root causes of terrorism? A case study of the Tamil insurgency and the LTTE. In: Bjorgo, T., ed. Root Causes of Terrorism: Myths, Reality and Ways Forward. London: Routledge, pp.119-130.

the risks that the arguments in here entail and a reflection that the here proposed arguments might be useful in times of crises, but are not necessarily necessary, but probably beneficial, in times of peace.

The Security Dilemma

The term 'Security Dilemma' had been coined by John H. Herz. In *Political Realism and Political Idealism*²⁸ he describes the Security Dilemma as a psychological artefact: he calls it the 'kill or perish' dilemma, similar to the 'fight or flight' syndrome,²⁹ and he proposes that competition for security derives out of it.³⁰ John Herz connected the Security Dilemma to the individual. Since then, however, much of the writing on the Security Dilemma explains it with the fundamental condition of anarchy in the international system.³¹ Furthermore, it is explained with the 'military preparations' of states that, even if defensive, threaten other states and create a situation of uncertainty and mutual fear. Herbert Butterfield, likewise, related the Security Dilemma to the individual and explained it with 'universal sin of humanity',³² a term that later became key in Ken Booths and Nicholas Wheelers work ³³. Later on, the Security Dilemma was adopted in the Realist parlance, and today is mainly understood as the tension between states that exists because, in the absence

²⁸ Herz, J.H. (1951): Political Realism and Political Idealism. A Study in Theory and Realities. Chicago: Chicago University Press.

²⁹ Goligorsky, M. S. (2001): The concept of cellular "fight-or-flight" reaction to stress. American Journal of Physiology-Renal Physiology, 280:4, F551-F561.

³⁰ Furthermore, in an article in *World Politics* he discusses the Security Dilemma (SD) as the political tension that is created by revolutionary movements, and proposes as a solution the integration of Realism and Idealism: a 'synthesis' of utopia and cynicism. Herz, J. H. (1950): Idealist Internationalism and the Security Dilemma. World Politics, 2:2, 157-180.

³¹ Roe, P. (1999): The Intrastate Security Dilemma: Ethnic Conflict as a 'Tragedy'? Journal of Peace Research, 36:2, 183-202; Jervis, R. (1978): Cooperation under the Security Dilemma. World Politics, 30:2, 167-214; Snyder, G. H. (1984): The Security Dilemma in Alliance Politics. World Politics, 36:4, 461-495; Glaser, C. L. (1997): The Security Dilemma Revisited. World Politics, 50:1, 171-201. ³² Tang, S. (2009): The security dilemma: A conceptual analysis. Security studies, 18:3, 587-623. Cf. also Butterfield, Herbert (1951): History & Human Relations. London: Collins.

³³ Roe, P. (1999): The Intrastate Security Dilemma: Ethnic Conflict as a 'Tragedy'? Journal of Peace Research, 36:2, 183-202; Booth, K. and N. J. Wheeler (2008): The Security Dilemma. Fear, Cooperation and Trust in World Politics. Basingstoke: Palgrave.

of overarching authority, states at least potentially threaten each other always. As Ken Booth and Nicholas Wheeler write:

... the military preparations of one state create an unresolvable uncertainty in the mind of another as to whether those preparations are for defensive purposes only (to enhance its security), or whether they are for offensive purposes (to weaken another's security)³⁴

These military preparations and the insecurity that derives are thought to be responsible for arms races and finally military conflict, as soon as crisis occurs. This aspect will be discussed further below. It is first necessary to look at the underlying theoretical models explaining the incentive structure that causes the Security Dilemma.

In a seminal 1978 article in *World Politics*, Robert Jervis applied the Prisoners Dilemma to international relations, hence implicating that the Prisoners Dilemma symbolises the Security Dilemma, at least in part³⁵. The Prisoners' Dilemma (PD) is actually a good illustration of the underlying logic in the Security Dilemma as traditionally understood. In the PD, in its original form, two criminals get caught by the police. They are kept in separate cells, so that they cannot communicate. Each are told that if they confess first, they will go free (receive an amnesty) and the other one will get a heavy sentence. To avoid a heavy sentence, and because they both cannot trust that the other won't take advantage first, they will both confess. This results in a suboptimal outcome for them, as two prisoners confessing is of course good for the police, but not good for them. Both will, according to the game, receive a sentence. However, in the original version, if both could have trusted each other and both remained silent, they still would have received a

³⁴ Wheeler, N. and K. Booth (1992): The Security Dilemma. In: Baylis, J. and N. J. Rengger (eds.): Dilemma of World Politics: International Issues in a Changing World. Oxford Clarendon Press, 29-60, 30.

³⁵ Also, the Stag Hunt game was used to illustrate the Security Dilemma. Cf. Jervis, R. (1978): Cooperation under the Security Dilemma. World Politics, 30:2, 167-214.

sentence, even if a lighter one than if both confessed. So, for each of them, the best outcome would not have been to remain both silent, but to confess first.³⁶ DC (defect, while the other side cooperates) is according to this game the individually preferred outcome over CC (cooperate while the other side cooperates), making mutual defection likely and resulting in a mutually suboptimal outcome.³⁷ According to Jervis, this logic can be applied to international crises, when the Security Dilemma applies, and when, as Jervis pointed out, the offense has the advantage. According to Jervis, when the offense has the advantage, it is better to increase in offensive armament before the other state can match one's capability in an arms race.³⁸ In a real world situation, this results in arms races, such as before the First World War and in the Cold War.³⁹ So, the Prisoners' Dilemma in fact illustrates the tragic logic behind some of the Security Dilemmas' applications. According to game theory, however, a repeated Prisoners' Dilemma situation is solved better by cooperation rather than by defection (CC, rather than CD).⁴⁰ This logic has not been observed in the Cold War and before the First World War, but indicates already that the application of the Prisoners' Dilemma to international relations might be misguided and fail to explain international processes, at least in its original form.

Therefore, I believe that the assumptions of this game generally are dangerous, as they influence our thinking about cooperation under uncertainty, and this might have negative implications for

³⁶ Dixit, A. and B. Nalebuff (undated): Prisoners' Dilemma. In: The Concise Encyclopedia of Economics. Online: <u>http://www.econlib.org/library/Enc/PrisonersDilemma.html</u>; Stanford Encyclopedia of Philosophy (2014): Prisoner's Dilemma. Online: <u>http://plato.stanford.edu/entries/prisoner-dilemma/</u>.

³⁷ Kreps, D. M., Milgrom, P., Roberts, J., & Wilson, R. (1982): Rational cooperation in the finitely repeated prisoners' dilemma. Journal of Economic theory, 27:2, 245-252; Bhaskar, V., Mailath, G. J., & Morris, S. (2008): Purification in the infinitely-repeated prisoners' dilemma. Review of Economic Dynamics, 11:3, 515-528; Linster, B. G. (1992): Evolutionary stability in the infinitely repeated prisoners' dilemma played by two-state Moore machines. Southern economic journal, 880-903.

³⁸ Jervis, R. (1978): Cooperation under the Security Dilemma. World Politics, 30:2, 167-214.

³⁹ Blackbourne, D. (2003): History of Germany 1780-1918. The Long Nineteenth Century. Oxford: Blackwell Publishing; Wenger, Andreas and Doron Zimmermann (2003): International Relations. From the Cold War to the Globalized World. London: Boulder.

⁴⁰ Axelrod, R. (1990): The evolution of co-operation. London: Penguin.

real world Security Dilemmata. Also, even the Stag Hunt (the other game that Jervis presents)⁴¹ is not a good model for the Security Dilemma, at least in today's world, as it still does not go far enough to show ways towards cooperation. I will, for this purpose, provide a revised version of the Prisoners' Dilemma (PD) and then discuss further revisions as well as implications.

Let me present a revised version of the PD: two criminals get caught by the police. Both of them are imprisoned separately. They are told that if they confess before their companions, they receive a lighter sentence. If the other companion confesses first, they receive a heavier sentence. If they both confess, they also receive the heaviest sentence. But if they both remain silent, and collaborated henceforth to work for legal and benign purposes, they cannot be held in prison. The important argument here is that they were told that both would not be held in prison if they collaborated and committed to work for mutual and general gain (i.e. the common good), of course without committing further crimes. The ideal outcome for them, in this game, would be that they both cooperated. In that case, they could both achieve freedom without punishment. The ideal, and expected, outcome would be CC (cooperate-cooperate) over DC (defect-cooperate), or CD or DD. Cooperation then is simply achieved by retaining the joint institution - the prison authority - and changing incentives.

Therefore, I argue that the original representation of the PD in fact has a mistake: It assumes and presents one sided defection (DC) to be the best outcome for actor A⁴². However, this is a misguided and misguiding assumption. In fact, the assumptions of the original Prisoners Dilemma are quite dangerous, as they imply that winning by sacrificing the other prisoner is a rational strategy. If, however, DC would result in a worse outcome for A than CC, this would have fundamental implications for our thinking about cooperation. It would mean that cooperation generally becomes more likely than exploitation, and this would bring forth much higher probabilities for cooperation to occur naturally. The challenge then would be to just create

⁴¹ Jervis, R. (1978): Cooperation under the Security Dilemma. World Politics, 30:2, 167-214.

⁴² Even though not for both actors together.

appropriate incentives for cooperation in security to abolish the Security Dilemma, as well as retaining and strengthening common institutions that can set these incentives.⁴³ Furthermore, the PD in itself is only partially appropriate for explaining the Security Dilemma, as indeed it does assume a common authority in existence (in this case the prison authority). The Security Dilemma, however, is believed to be in existence mainly in situations of anarchy, hence where a common authority is missing.⁴⁴

In its application to international relations, according to Jervis, the revised game would more likely apply if the defence has the advantage⁴⁵. In that case, offensive capability is to be avoided. This increases the security of both states (if we assume a 2 person game, or a situation of bipolarity, as in the Cold War).⁴⁶ The logic then becomes more similar to the Stag Hunt game: In the Stag Hunt, according to Rousseau, all members of a group have the most beneficial outcome if they cooperate to hunt a stag (CC). However, as it is not certain that they will be able to catch a stag this particular day, any single individual will be tempted to defect from the general hunt and chase a hare instead. This will be better for the individual than no hunting result at all, but will be worse for the group, as the group can successfully hunt the stag only if all members cooperate.⁴⁷ The principal logic of this game favours CC over CD, but with a condition that it is not certain that CC will bring a beneficial outcome, depending on if a stag will appear. This risk is the incentive for any of the

⁴³ As will be explained below, this would require a supranational security authority, such as the Security Council or some other organ, and would result in, or at least allow for, an integrated NATO.

⁴⁴ Cf. Kydd, Andrew H. (2005): Trust and Mistrust in International Relations. Princeton: Princeton University Press. The latter applies in the situation between the 'West' and Russia, as an example of a possible Security Dilemma situation in the real world, where the highest authority is the Security Council in which both the US and Russia are equals in power, hence there is no superior authority present. Schwartzberg, J. E. (2013): Transforming the United Nations System. Designs for a Workable World. Tokyo et al.: United Nations University Press. In situations where a common authority is present, the revised game could be more securely applied.

⁴⁵ Jervis, R. (1978): Cooperation under the Security Dilemma. World Politics, 30:2, 167-214, 199.
⁴⁶ Waltz, K. N. (1979): Theory of International Politics. New York: Mc Graw Hill.

⁴⁷ Skyrms, B. (2004): The Stag Hunt and the Evolution of Social Structure. Cambridge: Cambridge University Press.

group members to defect and chose CD instead⁴⁸. In the revised PD, the outcome CC is more certain, however, the stag will appear and cooperation will happen.

The Stag Hunt game can be applied better to disarmament talks than arms races. While all countries might believe that the world would be a safer one, for example without nuclear weapons, it is difficult to achieve disarmament because each country fears that the overall goal of a nuclear free world might not be achievable because general cooperation will not come about. Without the trust in the achievability of the overall better outcome, to be achieved by general multilateral cooperation (CC), cooperation is not going to be achieved and CD, DC or DD will be the result. The necessary trust is thought to be possibly be achieved by creating common institutions who protect the rights and enforce the duties of the members, such as is provided within a functioning state, hence as in my revised PD.⁴⁹ Therefore, my revised PD could have implications not only for hard core Security Dilemmata, but also for creating cooperation in international affairs more widely. Further below I will explain how the integration of the militaries, maybe under one common command, would allow for addressing the problem of international warfare and the possibility of abolishing nuclear weapons.

The revised PD that I presented above indicates that it is not in the self-interest of the prisoners to defect (which is the original assumption of the PD), but that it is in their self-interest to cooperate.⁵⁰ It is therefore more similar to the Stag Hunt than to the original PD, with the difference that the overall better outcome can in fact be securely achieved if mutual cooperation would come about (i.e. the stag can be caught).

What evidence is there that CC is better for both actors than CD or DC?

⁴⁸ Skyrms, B. (2004): The Stag Hunt and the Evolution of Social Structure. Cambridge: Cambridge University Press, 2.

⁴⁹ Cf. Craig, C. (2004): Glimmer of a New Leviathan: Total War in the Realism of Niebuhr, Morgenthau, and Waltz. New York: Columbia University Press.

⁵⁰ There might still be barriers to cooperation, but they might not necessarily be only lack of trust, they can also stem from a desire to revenge or other causes. These motivations, too, however, can be addressed by the changed incentives and a common authority.

In international relations, defection is usually thought of as an armed attack, or a foreign intervention, in our times. This raises the question if one state is better off attacking another one and securing control over another state, or if it is better of engaging in peaceful foreign policies, such as trade, with the other state. The answer is obvious. However, if under attack, winning a war is better than losing a war. However, is winning a war and attacking first against weak defences really better than not engaging in a war at all and remaining in peaceful relations with the other state, and, for example, trading? Most argue that war in itself is costly,⁵¹ and not beneficial to the warring state, even if colonies or – in our present time – friendly democratic regimes – might be gained by war.⁵² As we have seen, for example in Afghanistan and Iraq, an interventionist strategy often desperately fails.⁵³ On the other hand, successful democratisation has been achieved historically more successfully by soft means (CC), such as the fall of the Berlin Wall, which was not inspired mainly or solely by the increase in arms expenditure of the US, but more so by the joint cooperation in the form of the Helsinki accords, which inspired the desire for and belief in change in the Eastern populations⁵⁴ and probably Gorbachev also⁵⁵.

Furthermore, war in times of nuclear weapons is simply potentially too destructive to support any justification for it whatsoever. The original PD was developed in the Cold War era when nuclear

⁵¹ Cf. Belasco, A. (2009): Cost of Iraq, Afghanistan, and Other Global War on Terror Operations Since 9/11. Diane Publishing.

⁵² The end of warfare by the West has changed from colonialization in the 19th century to democratisation and stablisiation in the current era. However, it is here argued that even the current practice is a suboptimal approach, and better ways of resolving conflicts need to be devised in the future. Cf. for a critique of the latter practice Barkawi, T., & Laffey, M. (1999): The imperial peace: democracy, force and globalization. European Journal of International Relations, 5:4, 403-434.

⁵³ Robinson, Linda (2008): Tell me How this Ends. General David Petraeus and the Search for a Way out of Iraq. New York: Public Affairs.

⁵⁴ Beyer, Cornelia (2013): Interview with Wolfgang Beyer on the GDR Peace Movement. International Affairs Forum. <u>http://ia-forum.org/Content/ViewInternalDocument.cfm?ContentID=7993</u>.

⁵⁵ For a discussion of alternative explanations on how the Cold War ended and Gorbachev's rationales, cf. Collins, A. (1997): The Security Dilemma and the End of the Cold War. New York: St. Martin's Press, chapter 7.

deterrence was at its height. However, as Kenneth Waltz himself indicated⁵⁶, mutual deterrence, such as exemplified in Mutually Assured Destruction⁵⁷, does not necessarily provide absolute safety. If mutual deterrence (Mutually Assured Destruction, MAD) fails, which is at least a theoretical possibility, DD becomes the worst outcome, and CD or DC are not far behind. In terms of nuclear weapons or a conflict between Russia and the West it could mean the complete annihilation of humanity. Furthermore, with many of the 'low politics' problems that we are facing today, for example climate change, CC is the preferred outcome over CD. Global 'externalities' cannot be solved without widespread cooperation.⁵⁸ An outcome of CC is generally required for achieving global goals of peace and prosperity.⁵⁹

Cooperation becomes rational when we assume that PDs do not occur only once in international relations, or in the world in general, but due to what I might call the 'fate of a shared world' tends to occur in its repeated and multilateral version (the n-person iterated PD, as it is called in game theory). Iterated PDs are better solved by cooperation in game theory⁶⁰. Defection, even if beneficial in the short run, turns out to become less optimal in iterated PDs, as revenge action in the form of future defection by the other side and punishment for former defection needs to be feared. Iterated PDs make cooperation rational. In addition, it could be argued that cooperation is

⁵⁶ Kenneth Waltz indicated to me in personal communication that when gunpowder was invented, people probably believed that it was too dangerous to be used, indicating that nuclear weapons might lose the taboo that is connected with them at some point in time and be used like any other weapon if solutions are not found to abolish them. Cf. also Steiner, Barry (1991): Bernard Brodie and the Foundations of American Nuclear Strategy. Lawrense: University of Kansas.

⁵⁷ Sokolski, H. D. (2004): Getting MAD: nuclear mutual assured destruction, its origins and practice. DIANE Publishing; Waltz, K. N. (1990): Nuclear myths and political realities. American Political Science Review, 84:03, 730-745.

⁵⁸ Kudrle, R., 199.: Three types of globalization: Communication, market and direct. In: Vayrynen, R., Cortright, D. and Vayrynen, R., eds. Globalization and Global Governance. Lanham: Rowman and Littlefield.

⁵⁹ Principally, it can be stated that war is an evil that the whole discipline of International Relations was created to abolish, hence this argument that it needs to be avoided at all cost will be taken as a basic assumption here and not further discussed.

⁶⁰ Rapoport, A. and A. M. Chammah (1965): Prisoner's Dilemma. Ann Arbor: The University of Michigan Press.

the human default choice.⁶¹ Defections, such as aggression, seems normally to occur due to negative 'impacts' such as threats, fear, traumata, or unmet needs, or even simply unfulfilled expectations (frustrations), according to Psychology.⁶²

In the original PD, each player is enticed to exploit (defect) the other for its own gain. Successful exploitation is the maximum winning strategy (DC), while the normal equilibrium will be that both players attempt to exploit each other, this will mean an outcome of DD.⁶³ In the revised PD, exploitation, even if successful (DC instead of DD), is not the winning strategy. It has the second best outcome only. The winning strategy in the revised PD is CC⁶⁴. In the revised PD, the only problems to overcome to achieve the mutual winning strategy are issues of trust⁶⁵, communication, coordination and time pressures and capability to solve complex cooperation problems. It would be assumed that in the revised PD both sides are willing to cooperate, and what is remaining as inhibitors of cooperation might be structural and individual constrains. The problem becomes less one of 'self-interest' in power maximisation, as in Realism⁶⁶, and more one of mutual management, communication and coordination, which require supranational institutions.⁶⁷ Supranational institutions are necessary for providing a safety net for cooperation, and they are necessary for

⁶¹ Pievani, T. (2011): Born to Cooperate? Altruism as Exaptation and the Evolution of Human Sociality. In Origins of Altruism and Cooperation. New York: Springer, 41-61.

⁶² Cf. Beyer, Anna Cornelia (2016): Inequality and Violence: A Re-appraisal of Man, the State and War. London: Routledge and Beyer, Anna Cornelia (2016): International Political Psychology: Explorations into a New Discipline. London: Palgrave; Berkowitz, L., 1989. The frustration-aggression hypothesis: An examination and reformulation. Psychological Bulletin, (106), pp.59-73; Berkowitz, L., 1993. Aggression. Its Causes, Consequences, and Control. New York: Mc Graw Hill; Maslow, A.H. (2013): A Theory of Human Motivation. Uitgever: Wilder Publications; Gurr, T.R., 1971. *Why Men Rebel.* Princeton: Princeton University Press.

⁶³ Cf. Nash, J. F. (1950): Equilibrium points in n-person games. Proceedings of the national academy of sciences, 36:1, 48-49.

⁶⁴ This is in line with what the theory of 'tit for tat' proposes. Cf. Axelrod, R. (1990): The evolution of co-operation. London: Penguin.

⁶⁵ Booth, K. and N. J. Wheeler (2008): The Security Dilemma. Fear, Cooperation and Trust in World Politics. Basingstoke: Palgrave; Kydd, Andrew H. (2005): Trust and Mistrust in International Relations. Princeton: Princeton University Press.

⁶⁶ Waltz, K., 1959. Man, the State and War: A Theoretical Analysis. New York: Columbia University Press; Waltz, K., 1979. Theory of International Politics. New York: Mc Graw Hill.

⁶⁷ Rittberger, V. and Zangl, B., 2003. Internationale Organisationen, Politik und Geschichte. Opladen: Leske + Budrich.

facilitating coordination, setting incentives and rules, and for monitoring, as well as for solving disputes, if they occur. The challenge then simply becomes one of creating supranational institutions and creating integration. The most promising approach towards creating joint institutions has been put forth with the writings on Security Communities and Institutionalism.⁶⁸ The logic of the revised PD as presented above is also understood by Booth and Wheeler, who propose that 'trust', not self-interest, would therefore be a solution to overcome the Security Dilemma, if it only could be achieved⁶⁹. Booth and Wheeler present the Security Dilemma as a problem of trust and interpretation. The Security Dilemma persists because we don't know what others think⁷⁰ and they might or might not threaten us. Booth and Wheeler describe this as 'uncertainty'. To be cautious, according to the traditional notion of the Security Dilemma, it is best to act pre-emptively with increasing one's defences. This in turn might be interpreted as a threatening move by the other side, who will in turn increase their defences, and arms races ensue. This plays into my understanding of control, rather than power or mere survival, to be the basic drive in politics, in line with Morgenthau⁷¹. In the end, the 'other minds problem' means that we lack control over the other, and this threatens us potentially.⁷² Booth and Wheeler propose regimes, cooperation and trust for mitigating the SD. This means, to use a cooperative strategy even in the face of non-cooperation, as well as establishing institutions (regimes) that protect against being cheated. For looking further into this argument, we need to consider theories on cooperation further.

⁶⁸ Adler, E. and M. Barnett (eds. 1998): Security Communities. Cambridge: Cambridge University Press; Kupchan, C. et al. (1999): Power in Transition: The Peaceful Change of International Order. New York: United Nations University Press.

⁶⁹ Booth, K. and N. J. Wheeler (2008): The Security Dilemma. Fear, Cooperation and Trust in World Politics. Basingstoke: Palgrave, chapter 9.

⁷⁰ This is called 'the other minds problem'.

⁷¹ Beyer, Anna Cornelia (2016): International Political Psychology: Explorations into a New Discipline. London: Palgrave.

⁷² Theoretically, the other minds problem could only be solved by telepathy.

As Axelrod has shown in his research on the iterated PD⁷³, the most effective strategy in cooperation games seems to be 'tit for tat'. In the anarchical condition, players fare best who generally cooperate, but who punish non-cooperation with non-cooperation (but not aggression) and then return to cooperation again. The forgiving player therefore is the most successful player. However, this effect apparently cannot be maximised by being always forgiving and never punishing. In fact, players who never punish a defector will be exploited, Axelrod argues. The iterated PD however also illustrates that cooperation and trust have their important role: the most effective strategy of 'tit for tat' necessitates to always cooperate, until the other side defects. This implies, so to speak, a strict 'no first strike' strategy.

The problem of defection would then be abolished in case all players would comply with the most successful strategy always and if no other interfering factors would be present. Then no one would ever strike first, everyone would always cooperate. In practice, this could mean a general compliance with a 'no first strike strategy', which would result in a higher likelihood of peaceful relations.⁷⁴ Furthermore, the function of punishment, if necessary, should be taken over by supranational authority. Furthermore, Buddhist logic challenges tit for tat, as it argues for accepting 'defections' in the other side as a result of one's own past actions (i.e. karma) which can be better addressed by understanding and correcting past mistakes with present and future beneficial actions (i.e. atonement).⁷⁵ In essence, this means that there are even more arguments for beneficial action than the strategy of tit for tat. These can be applied in case tit for tat as a strategy fails. And it would be better to apply those strategies rather than to escalate an exchange in case tit for tat does not work.

⁷³ Axelrod, R. (1990): The evolution of co-operation. London: Penguin.

⁷⁴ President Obama announced a 'no first strike' strategy for the US in 2016, along the lines of this argument.

⁷⁵ Another option would be to go beyond tit for tat and apply more Christian inspired strategies, which allow for returning favours for harms done (i.e. 'turning the other cheek'). While this might not be a successful strategy if it is always applied (it opens up room for exploitation and oppression, as long as no superior authority intervenes), it might possibly be a better strategy than tit for tat always.

This is in line with Alan Collins idea on how to address some of the Security Dilemmata (SD) in their presentation in the real world: Alan Collins presents solutions for mitigating the SD in his 2004 article⁷⁶: he presents different versions of the SD, which can be solved by various means.⁷⁷ The first version of the SD is the system-induced SD. It exists because of anarchy, and therefore resembles most closely the classical idea of the SD. It represents a tragedy because even though neither actor intends harm to the other and both have compatible interests fear persists. Collins maintains that uncertainty is the main enabling factor for this SD. The solution he presents is in conciliatory policies. In his later work The Security Dilemma and the End of the Cold War, Collins presents how the application of this strategy by Gorbachev helped to bring about the end of the decades-long confrontation between the Soviet Union and the West.⁷⁸ His second SD is presented as state-induced. It exists in principle because of oppression by a hegemon. A hegemon controls the international system, and it requires that the subordinate states are insecure (for its ability to control). The solution Collins presents is in threats and conciliatory policies. The final SD that Collins presents is in fact not a true Security Dilemma. He calls it 'imperialist'. It presents itself as an aggressive state that wants to change the status quo. Collins maintains that this is in fact not an SD, it cannot be mitigated, and war is likely. This image can be illustrated at the case of an openly revisionist state, such as Nazi Germany. If we think of Nazi Germany in such a case, this brings forth the idea that the imperialist SD might present simply the other side of the coin of the stateinduced SD, and therefore it is possible that there is a solution to this SD also. To give the example of Germany: while Appeasement failed, it is possible that the Second World War could have been avoided if the Marshall Plan (intensive aid and reconstruction) would have been applied in combination with Appeasement in the 1920ies. Of course, this is speculation, but the point here

⁷⁶ Collins, A. (2004): State-Induced Security Dilemma. Maintaining the Tragedy. Cooperation and Conflict: Journal of the Nordic Studies Association, 39:1, 27-44.

⁷⁷ One version, however, according to Collins cannot be solved.

⁷⁸ Collins, A. (1997): The Security Dilemma and the End of the Cold War. New York: St. Martin's Press.

is that we should maybe not assume that there are unsolvable SDs, but that at least theoretically all SDs have a solution, even if it might not be understood in time, or the information, knowledge, or means might be hard to get in time. The main overall interesting point with Collins' solution to the SD is however that he proposes conciliatory policies. This is in line with my previous arguments in other publications, such as that intensive foreign aid could help reduce the threat of terrorism towards the West⁷⁹.

All of these approaches applied could mean a transition from Alexander Wendt's culture of anarchy (Hobbesian) to a culture of friendship (Kantian)⁸⁰. Interfering factors can occur, for example, simply by the number of interacting players in international relations and the practical difficulties this raises. Waltz discusses, to illustrate this, that multi-polarities are more conflict prone than bipolarities as there are more players and hence more interactions to consider⁸¹. This brings about problems of miscalculation and misinterpretation due to the sheer number of interactions and the complexity of the playing field. As Waltz already understood in *Man, the State and War*, global integration under one common authority with common rules would be one possible solution for this problem⁸².

In the state, to give an analogy, the problem of cooperation on the road between motorists is solved by creating norms and rules for driving, implementing these rules via education and signals, and enforcing them with the law and the police.⁸³ Interestingly, broadly these rules are complied with out of pure self-interest. Most drivers do comply with the traffic rules for fear of accidents. We generally do not fear other drivers on the road, we usually trust broadly that they will comply

⁷⁹ Beyer, Anna Cornelia (2012): Ways Forward in Global Counterterrorism. Journal of International Affairs Online. Online: <u>http://jia.sipa.columbia.edu/ways-forward-global-counterterrorism</u>.

⁸⁰ Wendt, A. (1992): Anarchy is what states make of it: the social construction of power politics. International Organization, 46:2, 391-425.

⁸¹ Waltz, K. N. (1979): Theory of International Politics. New York: Mc Graw Hill.

⁸² Waltz, K. N. (1959): Man, the State and War: A Theoretical Analysis. New York: Columbia University Press.

⁸³ Cf. Axelrod, Robert (1997): The Complexity of Cooperation. Agent-Based Models of Competition and Collaboration. Princeton: Princeton University Press, chapter 3.

with the traffic rules. Still, it is sensible to be cautious and alert when driving on the road. Those drivers that do not comply with the rules are usually punished by the authorities to bring them back towards compliance.⁸⁴ While therefore rules in themselves are beneficial for solving coordination problems, authorities are needed to enforce compliance with free riders.⁸⁵ This approach, within the state, helps keep coordination problems, such as in road traffic, to a minimum, even though it cannot prevent all accidents. Human errors and intervening factors, such as the weather, still create the potential for accidents. However, rules and norms in combination with an existing enforcing authority and a general willingness to cooperate in the population solve coordination problems on the road to a very large extent.

This is in line with additional thinking about how the PD can be transformed into a game that has coordination as the outcome. Snidal solves the PD with institutionalisation: 'successful resolution of major PD problems will require a higher level of institutionalization than coordination problems'⁸⁶. He proposes to think about coordination problems, as an advancement from PD problems, in his 1997 article: 'simple generalizations based on the PD model cannot be uncritically generalized to other situations'⁸⁷. This supports my idea that we have to progress from PD thinking to apply other models to international relations, such as the revised PD that I propose.

Social Psychology is one approach to mention to support the logic of the revised PD further. The Realistic Group Conflict Theory was developed by Sherif⁸⁸ to explain how conflicts of interest and competition over scarce resources create conflicts between groups. His theory was based on observations of boys in a summer camp. Two groups of boys were formed, and they were given

⁸⁴ Young, Oran R. (1979): Compliance & Public Authority. A Theory with International Applications. Baltimore: John Hopkins University Press.

⁸⁵ Stein talks about regimes serving this purpose. Stein, Arthur A. (1990): Why Nations Cooperate. Circumstance and Choice in International Relations. New York: Cornell University Press.

⁸⁶ Snidal, D. (1985): Coordination versus Prisoners' Dilemma: Implications for International Cooperation and Regimes, 939.

⁸⁷ Snidal, D. (1985): Coordination versus Prisoners' Dilemma: Implications for International Cooperation and Regimes, 941.

⁸⁸ Sherif, M. (1966): Group Conflict and Co-operation. Their Social Psychology. Oxford: Routledge.

competing tasks, which could only be won by one group. This created competition and a natural conflict of interest, and soon the two groups were in conflict with each other. However, Sherif also presented the solution to such a situation: Towards the end of the camp, the two groups of boys were given a task that they could only solve in cooperation. The groups overcame their previous opposition and cooperated, and at the end of the camp they went home without showing any conflicts between the two groups. Similarly, in International Relations, peace through integration and through joint projects is thought about by theorists of and is exemplified by the European Union⁸⁹. Social Psychology therefore illustrates two things: first, it challenges Neorealism and the assumption that bipolarity is the most stable systemic condition⁹⁰ and second it shows how cooperation can be facilitated by a supranational authority providing correct incentives, such as is presented in my revised PD.

To give another analogy: within any functioning state, common SDs are usually reduced to a very low level.⁹¹ The state, meaning in practice the police and the law, are protecting us from harm and we generally trust this protection to hold. Cooperation gets more common. However, if we combine this with the aforementioned Social Psychology approach, it is better to think of a firm or a business. Within a business, the common purpose or common project (usually to keep the business profitable) integrates individuals and makes cooperation the norm. While within the state individuals lack a common project (apart there be a period of high nationalism and patriotism), and hence cooperation is frequent but dependent on individual interest, in a firm with a joint project and a common authority (the management) cooperation becomes the general norm. In both the state and the firm, defection can be punished, which is the basic condition to create cooperation, or at least non-defection. In the state this means compliance with the law, in the firm

⁸⁹ Mitrany, D. (1966): A Working Peace System. Chicago: Quadrangle Books.

⁹⁰ Cf. for this argument Beyer, Anna Cornelia (2016): International Political Psychology: Explorations into a New Discipline. London: Palgrave.

⁹¹ This is evidenced in that the literature about intra-state Security Dilemmata always focuses at civil wars in so-called failed states. Cf. Roe, P. (1999): The Intrastate Security Dilemma: Ethnic Conflict as aTragedy'?. *Journal of Peace Research*, *36*:2, 183-202.

this means business-conducive behaviour. So, a common authority with the monopoly of force, or rather the authority to create laws and the capability to punish their violation, is the minimal condition to ensure cooperation. A common project that can only be accomplished in cooperation creates the additionally required incentives for cooperation and makes cooperation even more abundant.⁹²

Furthermore, the argument that the Security Dilemma can be solved by transforming anarchy into hierarchy has been pointed at by various authors, from John Herz to Robert Jervis to Tang Shiping: 'Groups and individuals who live alongside each other without being organized into a higher unity ... must be ... concerned about their security' (Herz); 'The heart of the security dilemma argument is that an increase in one state's security can make others less secure, not because of misperceptions or imagined hostility, but because of the anarchic context of international relations' (Jervis), and 'The ultimate source of the security dilemma is the anarchic nature of international politics'⁹³.

Furthermore, Tang Shiping provides a more precise definition of the Security Dilemma than the ones previously provided, which includes the concepts anarchy, fear and the accumulation of defensive capabilities⁹⁴. Defensive capabilities are thought to provide both a protection in such a situation as a risk, as they can be interpreted in offensive terms. Defensive (and offensive) capabilities are always to be thought of as the military power of any state. Therefore, one possibility of reducing the Security Dilemma posited by both anarchy and military capabilities would be to integrate the militaries of the world into one common organisation, such as is currently existing for the 'West' with NATO. A common NATO would arguably reduce or even abolish the SD

⁹² This can also be, and in most states is, supported by an ideology, culture or religion supporting the idea of 'love' and giving, hence cooperation. The threat of punishment alone would never be sufficient to create cooperation, and is normally not the main tool to create cooperation even in the state or the firm (apart from in historic fascist states).

⁹³ Both in Shiping, T. (2009): The Security Dilemma: A Conceptual Analysis. Security Studies, 18:3, 587-623.

⁹⁴ Shiping, T. (2009): The Security Dilemma: A Conceptual Analysis. Security Studies, 18:3, 587-623, 594.

between the participating states. For example, the SD is usually not conceptualised as existing between the current member states of NATO (as a security community⁹⁵), but it is rather applied to cases such as EU-Russia or in Asia, where NATO does not apply⁹⁶. Similarly, balance of power mechanisms, which are only the systemic outcome of the underlying Security Dilemma politics, are generally thought to not apply to the integrated world of Europe or the 'West'⁹⁷. It has also been argued that balance of power behaviour occurs only in conflictual and insecure relations, but can be abolished or at least reduced by making relations friendlier and mutually beneficial.⁹⁸

Furthermore, Tang Shiping connects the Security Dilemma to conflicts of interest, both real and imagined⁹⁹. In an integrated world, though, such as a democratic world state, conflicts of interest, both real and imagined, would not be solved with military arms races or wars, they would be solved by democratic means. In a democracy, say the UK, such conflicts are normally solved, or at least attempted to be solved, by democratic means, not by resort to brute force.

The argument proposed here is that the first step now – after the historic steps of political and economic integration (i.e. the UN, EU and other regions, global governance and globalisation), which should be continued - towards such integration would be the integration of the militaries. The threat perception that ultimately creates the SD is stemming in essence from, as Booth and Wheeler argue, the military power of other states. Hence, it is the capability of 'force' present in the militaries that creates the remaining mutual fear, more so than the political power. It is weapons, tanks and bullets that create fear, more so than leaders and diplomats. If these military capabilities

⁹⁵ Cf. Collins, A. (1997): The Security Dilemma and the End of the Cold War. New York: St. Martin's Press, 213; 223.

⁹⁶ See, for example, Christensen, T. J. (1999): China, the U.S.-Japan Alliance, and the Security Dilemma in East Asia. International Security, 23:4, 49-80.

⁹⁷ However, Robert Pape described European 'soft balancing' in the wake of the Iraq war in 2003 in Pape, R. (2005): Soft Balancing against the United States. International Security, 30:1, 7-45.

⁹⁸ Balance of power behaviour here implies military balance of power behaviour, such as arms races between countries to challenge or deter each other. Economic competition is not necessarily implied with this, and it might follow different or similar logics.

⁹⁹ Shiping, T. (2009): The Security Dilemma: A Conceptual Analysis. Security Studies, 18:3, 587-623, 600.

were joined, this threat perception would arguably vanish and the Security Dilemma and war between states could arguably be abolished. This would not and should not mean the abolition of politics above the militaries. This is not an argument for a military world state. Instead, the argument here is that political and economic integration, as is already present with international institutions such as the UN and the EU and processes such as globalisation, needs to put on an integrated basis of joined military power.¹⁰⁰

A global NATO in a world state and the Abolition of nuclear weapons

For abolishing the Security Dilemma, hence, an integration of the militaries of the world into one common global NATO could be one solution¹⁰¹. The first step should be to integrate Russia into NATO by offering it conditional membership. This would mean that Russia could be a member, with all the benefits, as long as it would comply with the joint decisions (or abstain from joint actions, as currently some members do, but not act against them). It would have an equal say in the decisions, though, like all other members.

Some recent crises between Russia and the West are arguably related to the SD. The conflict in Ukraine, for example, can be explained at least partially with the threat that NATO poses towards

¹⁰⁰ If sovereignty is transformed in today's world by political and economic integration, why do we need individual militaries anymore? The only purpose of separate militaries can be to defend against one another. And if political and economic integration reduces mutual threat, as is argued by the interdependence theorists, then it would make sense to also integrate the militaries, to abolish the final and most important part of the Security Dilemma. Jayasuriya, K. (1999): Globalization, law, and the transformation of sovereignty: the emergence of global regulatory governance. Indiana Journal of Global Legal Studies, 425-455; Grinin, L. (2009): Transformation of sovereignty and globalization. https://papers.ssrn.com/sol3/papers.cfm?abstract_id=1446647; Sørensen, G. (2004): The Transformation of the State. Beyond the Myth of Retreat. London: Palgrave; Mitrany, D. (1994): A Working Peace System. London: Macmillan; Gartzke, E. (2003): The classical liberals were just lucky: A few thoughts about interdependence and peace. Economic interdependence and international conflict: new perspectives on an enduring debate. Ann Arbor: University of Michigan Press, 96-110.

¹⁰¹ Cf. Daalder, I. and J. Goldgeier (2006): Global NATO. Foreign Affairs, 85, 105-113; Mowle, T. S. and D.H. Sacko (2007): Global NATO: Bandwagoning in a Unipolar World. Contemporary Security Policy, 28:3, 597-618; Bunde, T. and T. Noetzel (2010): Unavoidable Tensions: The Liberal Path to Global NATO. Contemporary Security Policy, 31:2, 295-318.

Russia. Similarly, the shot-down plane MH17 and the Russian intervention in Syria, in which Russia did not seem to fight ISIS initially, but the rebel groups, and therefore acted in opposition to the Western interests, could possibly be explained by the Security Dilemma.¹⁰² The intervention of Russia in this crisis resembled a crisis similar to the Cuban Missile Crisis, but was caused probably more by Russia trying also to solve the conflict, rather than to escalate it. It was lucky at this juncture that no war with Russia ensued, as this could have escalated into a global nuclear war. As Napoleon and Hitler had to learn, and as we very well know from the Cold War, it is advisable to avoid war with Russia.¹⁰³ In an article in International Relations, Beyer has argued that Russia and China might start to balance against the West if the West will not integrate them sufficiently into the new world order¹⁰⁴. Arguably, we saw this happening in the last years with Russia. The explanation for this, at least in part, could be that Russia perceives a threat stemming from NATO, hence the West¹⁰⁵. NATO is expanding towards the borders of Russia, and it is still configured as a defence community. So, obviously, Russia must see NATO as opposed to its interests. It is possible that Russia, as well as potentially other countries now or in the future, would be revisionist states.¹⁰⁶ According to the here presented model, however, these 'revisions' would be addressed not in military conflict terms, but via global democratic means. Integrating Russia into NATO, therefore, could abolish the re-emerging SD between the West and Russia, alongside with other supportive means, such as fuelling Russias economic recovery with higher education exchanges¹⁰⁷

¹⁰² Kydd, A. (2001): Trust building, trust breaking: the dilemma of NATO enlargement. International Organization, 55:0), 801-828; Charap, S. and M. Troitskiy (2013): Russia, the West and the integration dilemma. Survival, 55:6, 49-62.

¹⁰³ Carell, P. (1964): Hitler's War on Russia: The Story of the German Defeat in the East. London: Harrap.

¹⁰⁴ Beyer, Cornelia (2009): Hegemony, Equilibrium and Counterpower: A Synthetic Approach. International Relations, 23:3, pp. 411–427.

¹⁰⁵ Cf. Collins, A. (1997): The Security Dilemma and the End of the Cold War. New York: St. Martin's Press, 223f.

¹⁰⁶ Mead, W. R. (2014): The return of geopolitics: The revenge of the revisionist powers. Foreign Affairs, 93, 69.

¹⁰⁷ Ideas for this would be the creation of joint Western-Russian Universities in Moscow, for example, exchange professors from Russia in the West and student exchange programmes similar to the Fulbright programme.

and other support measures, for example. This would allow for the solutions of any conflicts, of which there might well be many, by democratic means and avoiding military confrontation. Historically, Jones gives the example of France's inclusion in the Concert of Europe in 1818:

Such a move would strengthen the hand of responsible members of the French government against militant agitators, it would make the French feel less threatened and isolated, and it would enhance France's own sense of security. ... In addition, by admitting France to their councils the allies would be in a position to exercise a certain amount of supervision and control over French policies.¹⁰⁸

For the same reason, Germany was included into NATO originally. As Jean Monnet wrote in 1950 on how to prevent a future threat from Germany to the European states:

[T]he solution of the German problem in its military aspect [should] be sought in the same spirit and by the same methods as for coal and steel: the establishment of a European Army with a single High Command, a single organization, unified equipment and financing, and under the control of a single supranational authority (German units would gradually be integrated into this initial nucleus).¹⁰⁹

¹⁰⁸ Jones, S. G. (2003): The European Union and the Security Dilemma. Security Studies, 12:3, 114-156, 119.

¹⁰⁹ Jones, S. G. (2003): The European Union and the Security Dilemma. Security Studies, 12:3, 114-156, 125.

Medium-term, we would need to integrate more states into NATO, as otherwise the current dilemma between Russia and the West would only be transferred to other states, such as, for example, China. This would need to continue until all militaries on a global level would be integrated into one organisation. A potential problem then would be how to come to joint decisions, if about 200 members would be included into the joint organisation. The Security Council could serve the command function medium term. It would serve this function better with Russia included in NATO, as probably less reasons for blocking any decisions with vetoes would exist. However, if differences persist and would render the Security Council incapable of action, due to any veto, one could think of a reform of Security Council rules¹¹⁰.

Long-term, this global NATO would probably need to be put under a common command. In my view, this would necessitate a world government¹¹¹. This world government would necessitate a strong, directly elected, global Parliament. A global Parliament has been called for by a number of authors already¹¹². It is thought to legitimise global governance, as currently exercised through the UN (and other organs). It is thought to connect the decisions in the UN better to the 'will of the people' on a global level. For this purpose, it would need to be directly elected by the global populace. Representation should be organised according to population only. The Parliament should appoint the government. It would make sense to keep the General Assembly of the United Nations, which could function as an entity similar to the Senate in the US system or the House of Lords in the UK and accompany the work of the Parliament. The security Council, according to this model, would need to be abolished or at least reformed. The creation of such a new world system could be faced by opposition. Weinberger argued that the creation of the United Nations

¹¹⁰ Schwartzberg, J. E. (2013): Transforming the United Nations System. Designs for a Workable World. Tokyo et al.: United Nations University Press, chapter 4.

¹¹¹ For further elaboration on the world state idea, see Beyer, Anna Cornelia (2016): International Political Psychology: Explorations into a New Discipline. London: Palgrave.

¹¹² Bummel, A. (2010): Developing International Democracy. For a Parliamentarian Assembly at the United Nations. Berlin: Kommittee fuer eine Demokratische UNO; Schwartzberg, J. E. (2013): Transforming the United Nations System. Designs for a Workable World. Tokyo et al.: United Nations University Press, chapter 3.

was in part responsible for the emergence of the Cold War.¹¹³ However, the history of the United Nations also shows that the creation of this common institution was more successful in the long run than the Soviet opposition. In a similar vein, the example of the European integration did not face opposition until recently¹¹⁴ and can serve as an example of successful creation of supranational governance that provided for peace in Europe for many decades. In addition, such a model would not mean the abolition of sovereign statehood or regionalism. It would rather mean a transformation.¹¹⁵ Only some functions would be ceded to the supranational level, such as the most important aspects of international security. Other functions, in the sense of *subsidiarity*, would remain with the nation states or regional organisations. Probably, a gradual approach at creating such supranational global government in form of a world state would be most successful (i.e. a step-by-step approach¹¹⁶).

Furthermore, the integration of Russia into NATO would theoretically be a principal condition for the successful abolition of nuclear weapons. The US and Russia are the largest existing nuclear powers, and as long as NATO is dividing these two states, trust will not be created sufficiently for abolishing nuclear weapons. If Russia was integrated into NATO, it would be possible to achieve trust, coordination and cooperation to a higher degree and the possibilities for the abolition of nuclear weapons, at least among these two major nuclear powers, would be enhanced. Nuclear weapons could then be dismantled and used for safe energy production, the process for which probably still needs to be invented.

¹¹³ Weinberger, S. (2003): Institutional Signalling and the Origins of the Cold War. Security Studies, 12:4, 80-115.

¹¹⁴ However, the recent discussion of a withdrawal of the UK from the EU is a serious point of concern.

¹¹⁵ Hoeffe, O. (1999): Demokratie im Zeitalter der Globalisierung. Muenchen: C.H. Beck.

¹¹⁶ Bummel, Andreas (2015): Step by Step: Andreas Bummel on the Campaign for a UN Parliamentary Assembly. <u>http://wgresearch.org/the-world-state-debate/</u>. The process could be started by reforming the existing institutions of the United Nations and making the creation of a global Parliament a topic for debate in the General Assembly. Intermediate, a reform of the Security Council could be thought about, so that it could function for a replacement of the world government in the medium term. Schwartzberg, J. E. (2013): Transforming the United Nations System. Designs for a Workable World. Tokyo et al.: United Nations University Press, chapter 4.

The military integration would need to be finally global, as the historical record indicates that in both world wars and in the Cold War bipolar alliances systems (two opposed regional military alliance groups: in the First World War the Triple Alliance and the Triple Entente, in the Second World War the Axis powers and the Allies, and in the Cold War NATO and the Warsaw Pact)¹¹⁷ were present and contributed substantially to these conflicts. It would therefore be essential, for avoiding future global war, to prevent the re-emergence of bipolar alliance systems. Multipolarities are possibly better, but only if strongly integrated under common institutions, as otherwise these are known to produce a high level of war. Unipolarities are relatively peaceful, but create resentment and frequent small wars.¹¹⁸ All of these systemic constellations have their risks. Multipolarities might split into bipolar alliance systems, for which strong supranational governance and integration is needed as a remedy. Unipolarities might become overburdened as well as create resentment. Here, also, supranational governance and integration are the solution.

A non-violent global NATO, a global Welfare State and an Early Warning System

If we accept the proposition that joint projects can create cooperation between previously conflicting actors, we should find joint projects for the future global NATO. While 'policing' would be one of its functions¹¹⁹, an important point to make here is that the ideal purpose of a future global NATO would be a non-violent one.¹²⁰ 'Policing' could be understood to encompass

¹¹⁷ Gilbert, Felix and David Clay Large (2002): The End of the European Era. 1890 to the Present. New York: WW Norton.

¹¹⁸ Cf. Beyer, Anna Cornelia (2016): Inequality and Violence: A Re-appraisal of Man, the State and War. London: Routledge, Cf. also Beyer, Anna Cornelia (2016): International Political Psychology: Explorations into a New Discipline. London: Palgrave.

¹¹⁹ Hills, A. (2013): Policing post-conflict cities. New York: Zed Books Ltd.; Hills, A. (2001): The inherent limits of military forces in policing peace operations. International Peacekeeping, 8:3, 79-98; Butler, C. J., & Major, U. S. A. F. (2012): NATO Air Policing: Past, present and future roles. London: Biblioscholar.

¹²⁰ Nagler, M. N. (1997): Peacemaking through nonviolence. Peace and Conflict Studies, 4:2, 3; Bellamy, A. J., Williams, P. D., & Griffin, S. (2010): Understanding peacekeeping. London: Polity; Fortna, V. P. (2004): Does peacekeeping keep peace? International intervention and the duration of peace after civil war. International studies quarterly, 48:2, 269-292.

functions such as those currently fulfilled by UN blue-helmets. It would be theoretically important to assign the future global NATO a non-violent role, as otherwise it would create a new security dilemma between the global NATO and the to-be-policed populations.¹²¹ This would mean, the new conflict lines in such a new international system would not necessarily anymore be between different states, but between the global NATO and the global citizens. There is the resulting danger of tyranny and a global or transnational civil war or revolt. Terrorism and insurgencies are also possible occurrences in such a system. Like the police in, for example, a Western country, the global NATO should use non-violent methods to ensure compliance with the rules that apply to avoid creating or escalating such dangers.¹²²

In addition to the policing function, the global NATO could also take over emergency response functions,¹²³ such as those fulfilled by militaries already: aid for the recovery of a population after a natural disaster, for example. This function is already fulfilled by militaries in the present, and could become one of the major future functions for a global NATO (also keeping in mind that natural disasters, such as floods are predicted to increase in number with progressing climate

¹²¹ Cf. for a discussion of what can be termed the 'societal security dilemma': Posen, B. R. (1993): The security dilemma and ethnic conflict. Survival, 35:1, 27-47; Roe, P. (1999): The Intrastate Security Dilemma: Ethnic Conflict as a 'Tragedy'? Journal of Peace Research, 36:2, 183-202. The security dilemma that is meant here is not precisely the same as this 'societal security dilemma'. However, it would also affect societies, hence be located on a different angle than in the traditional understanding as between states. The term 'societal security dilemma' is derived at by combining Barry Buzan's term of 'societal security' with the understanding of Posen and Roe of the security dilemma as being located within societies. Cf. Buzan, B. (1991): People, States and Fear: An Agenda for International Security Studies in the Post-Cold War Era. 2nd ed. London: Harvester Wheatsheaf; Buzan, B. (1993): Societal Security, State Security, and Internationalisation. In: Waever, O.; Buzan, B.; Kelstrup, M. and P. Lemaitre (eds): Identity, Migration and the New Security Agenda in Europe. London: Pinter, 41-58.

¹²² This raises another problem, we need international law applicable to global citizens. We need an international civil law in addition to human rights possibly. In the meantime, the local or national and existing international laws could serve as a guiding post. It could pose a problem if national laws are in contradiction with international laws, such as human rights regulations. In that case, international regulations could take precedence over the national ones. Cf. Beyer, Anna Cornelia (2016): International Political Psychology: Explorations into a New Discipline. London: Palgrave.

¹²³ Hanning, H. (1977): Nato and Disaster Relief. The RUSI Journal, 122:4, 31-34; Manning, H. (1978): NATO and Disaster Relief. Disasters, 2:2-3, 101-104.

change). Disaster relief could in the future also continue to encompass post-war reconstruction. Like the previous function, this function is already taken up by militaries today, such as NATO in Afghanistan. It is possible that this role could be strengthened. It would, of course, be necessary to combine this work of a reformed NATO with aid and reconstruction work by other entities, such as charities, NGOs, economic, industrial and financial actors, health providers etc.

Potentially, conflicts and aggression could even be ended by negotiations plus offering aid and reconstruction, ¹²⁴ such as a 'Marshall Plan for Syria', rather than engaging in fighting and deterrence. Such an approach should at least be tried, as it would certainly be a novel and probably much less harmful way of ending conflicts, if it works. It would be more in line with the above Christian and Buddhist principles, rather than 'tit for tat'. This approach would also resemble more a medical logic of addressing violence and aggression¹²⁵, rather than a traditional political one. In addition to that, for addressing global poverty problems, which are at times also thought to contribute to intra-national or transnational violence, but which are a problem in themselves even without violence, a global state would need to include global development and redistributive and welfare functions. Hence in addition to foreign direct investment and globalisation what is needed is a 'Global Welfare State'.

To stay with the medical analogy, an Early Warning System for conflicts could be found in global mental health data. Previous research seems to indicate that it is possible that the general mental health of populations declines not only with conflict, but even *before* conflicts break out.¹²⁶ For example, a general increase in depressive illness in a population might indicate that violence of any form might occur following this. If this connection would be confirmed, it would be possible to use mental health data as an early warning system for conflicts, which would allow to intervene

¹²⁴ Cf. Beyer, Anna Cornelia (2017): How to End Civil Wars: Approaches to Peace at the example of the Syrian crisis. Unpublished paper.

¹²⁵ Cf. Galtung, Johan (1996): Peace by Peaceful Means. Peace and Conflict, Development and Civilization. London: SAGE.

¹²⁶ Beyer, Anna Cornelia (2016): International Political Psychology: Explorations into a New Discipline. London: Palgrave.

with 'soft' tools early on before a conflict breaks out. 'Soft' measures to intervene could be of medical nature, and job creation, foreign direct investments, food supplies, support for minorities, or whatever is needed in a specific region for all round prosperity.

In the short term, a partially globalised NATO, such as one including Russia, could help disarming the fighting groups in Syria and as soon as possible provide intensive aid and reconstruction to war torn countries, such as Syria and other countries in the Middle East¹²⁷. Intensive aid and reconstruction has helped pacify Germany after the Second World War,¹²⁸ and they are thought to be a remedy against future civil war and terrorism, as terrorism is thought to decrease at least against the donor country if foreign aid is given, and as civil wars tend to occur in countries below a certain developmental level.¹²⁹ A new version of a Marshall Plan applied at the countries of the Middle East that have experienced or are experiencing violence and civil strife could provide a remedy.¹³⁰ Such a plan could be a joint project between the members of the global NATO. A probable difficulty with such an approach would be military presence in affected countries: Robert Pape has shown that foreign military occupation is one of the major causes of suicide terrorism.¹³¹ It is not known yet what could ameliorate this outcome. Possibly, making reconstruction and aid the main focus, instead of the military presence, could be helpful against this outcome. The main

¹²⁷ Beyer, Anna Cornelia (2015): What to do about Syria? International Affairs Forum, republished in International Policy Digest; <u>http://www.ia-forum.org/Content/ViewInternalDocument.cfm?ContentID=8323</u>; Beyer, Cornelia (2007): A Marshall Plan for the Middle East? Friedenspolitischer Ratschlag, <u>http://www.ag-friedensforschung.de/regionen/Nahost/marshallplan.html</u>.

¹²⁸ Gilbert, Felix and David Clay Large (2002): The End of the European Era. 1890 to the Present. New York: WW Norton.

¹²⁹ Collier, Paul (2003): Breaking the Conflict Trap: Civil War and Development Policy. New York: World Bank; Stewart, Frances and Valpy Fitzgerald (2001): War and Underdevelopment: Country experiences. Oxford: Oxford University Press.

¹³⁰ Brown, Gordon (2016): The Syrian refugee crisis calls for a new Marshall Plan. The Guardian. <u>https://www.theguardian.com/commentisfree/2016/feb/04/gordon-brown-syrian-refugee-</u>

<u>crisis-marshall-plan</u>; Crilly, Rob (2016): Former US General calls for Marshall Plan for the Middle East. The National. <u>http://www.thenational.ae/world/middle-east/former-us-general-calls-for-marshall-plan-for-the-middle-east</u>

¹³¹ Pape, R. A. (2006): Dying to Win: The Strategic Logic of Suicide Terrorism. New York: Random House.

goal would need to be to rebuild war-torn countries and to bring prosperity, health and welfare to these countries, which would in turn facilitate a transition towards peace and good governance and democracy.¹³²

Conclusion

This article has argued that it is in fact possible to abolish the Security Dilemma.

First, it argued that the underlying logic that is ascribed to the Security Dilemma with the Prisoners Dilemma game is misguided. Cooperation is much more beneficial and more to be expected than the Prisoners Dilemma assumes. A revised Prisoners Dilemma was presented to illustrate this point.

Furthermore, it has been a long-held belief that the Security Dilemma can only be mitigated, this article argues that in the discussion about the Security Dilemma already the possible solutions for its abolishment are presented. As it was argued here, the fundamental approach to abolish the Security Dilemma would be the transformation of an anarchic world into an international system

¹³² A danger could be in resistance to the process of creating a global NATO, similar to resistance that was experienced to the process of globalisation or similar to NATO expansion in the past, which intensified the Security Dilemma between NATO and Russia in the first place. Hence, the process could entail the danger that not yet included states and militaries could form new counteralliances, hence create new Security Dilemmata, where none or little were existing in the past. It is uncertain how this could be prevented short term, apart from creating a truly global NATO ad hoc, similar to the creation of the United Nations 1945. This seems however improbable, probably impossible, and probably also very risky to a theoretical observer. The latter could be successful similar to the success of the EU for many decades, and hopefully in the future. It could also create tensions, and possibly create splits within NATO, at least occasionally. A possible solution, as indicated above, would be to put the global NATO under a superior command that would need to be based on a global democratic process (at least represented in the General Assembly of the UN, if not later on a global Parliament ideally).¹³² This assembly would need to determine a joint project that would need to be established in a democratic process. The precise mechanism would need to be established according to democracy theory. For responding to unilateral defections from the joint decisions of NATO, it would be important to find non-violent responses. Examples could be intense emergency meetings, increased inclusion and other pacifying and re-integrating methods towards the defector, with all means possible as far as necessary as long as they are not violent. Finally, for governing the global system, it would be preferable possibly to install a governing authority of a Congress (rather than a President) of neutral individuals above the Security Council.

that is marked by global hierarchy, hence a world state. However, to specify more how this approach would help solve the Security Dilemma, it was here pointed out that the militaries, which are the main factors responsible for the creation of the Security Dilemma under anarchy, need to be integrated. Integration of the militaries has already happened with the creation of NATO on a geographically limited basis. Therefore, this article argued for a further expansion of NATO and in particular the inclusion of states that are creating a Security Dilemma, such as Russia, but longer term also others, until all states are joined in one common military alliance.

The basis for this argument was the realisation that the main ingredient for the creation of the Security Dilemma in international affairs is not only the condition of abstract anarchy, as commonly presented in the literature, but also the existence of independent military establishments. While these are considered necessary due to the self-help nature of an anarchic world in the Realist perspective, in the Western world already the military establishments have integrated into NATO to abolish the Security Dilemma amongst them. While this can be seen as a mere alliance in the balance of power game that is played out in the international world, historically the creation of NATO was also a step to overcome a profound Security Dilemma at least within Europe that was responsible for the emergence of the two major wars that plagued the last century. Applying the lessons from the past to our times would mean to expand NATO to include not only friendly states but also states that seem a potential threat to the West, such as Russia, and others, until all states are joined in a global alliance. As indicated above, Germany was originally integrated into NATO for particularly the reason to keep it pacified and under control. A similar outcome, it is hoped, would be achieved if we would integrate Russia into NATO now, with the long term perspective to create a truly global NATO.

Finally, this article proposes new cooperative approaches to solving conflicts, which serve as an inspiration for revised non-violent functions of NATO, in combination with other actors, to solve conflicts. In particular, it is argued that aid, reconstruction, negotiation and other cooperative approaches can help solve conflicts better than deterrence or traditional military intervention.

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