Running Head: Aquatic exercise and multiple sclerosis

# A randomized controlled trial to establish the impact of aquatic exercise training on functional capacity, balance, and perceptions of fatigue in female patients with multiple sclerosis

Mehdi Kargarfard<sup>1</sup>, Ardalan Shariat<sup>2</sup>, Lee Ingle<sup>3</sup>, Leocani Letizia<sup>4</sup>, Mina Kargarfard<sup>5</sup>

<sup>1</sup>Department of Exercise Physiology, Faculty of Sport Sciences, University of Isfahan, Isfahan, Iran

<sup>2</sup>Department of Occupational Health, Faculty of Medicine and Health Sciences, University Putra Malaysia, Serdang, Malaysia

<sup>3</sup> Sport, Health & Exercise Science, University of Hull, Kingston-upon-Hull, UK

<sup>4</sup>Neurological Department and INSPE-Institute of Experimental Neurology, Scientific Institute Hospital San Raffaele, Milan, Italy

<sup>5</sup> School of Dentistry, Isfahan University of Medical Sciences, Isfahan, Iran

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# 1 ABSTRACT

- 2 **Objective:** To assess the effects of 8-weeks aquatic exercise training on functional capacity, balance,
- 3 and perceptions of fatigue in women with multiple sclerosis (MS).
- 4 **Design:** A randomized controlled design.
- 5 **Setting:** Referral center of a multiple sclerosis society.
- 6 **Participants:** Women (age:36.4 ±8.2; BMI:24.5 ±1.9) diagnosed with RR-type (relapsing-remitting)
- 7 MS. After undergoing baseline testing, participants were allocated to either an intervention (aquatic
- 8 training programme) or a control group.
- 9 Interventions: The intervention consisted of an 8-week aquatic training programme (3 supervised
   10 training sessions per week; session duration; 45-60 min; 50-75% heart rate reserve).
- 11 Main measures: Six-minute walk test (6-MWT); balance (Berg Balance Scale; BBS), and
- 12 perceptions of fatigue (Modified Fatigue Impact Scale; MFIS), at baseline and after an 8 week
- 13 intervention. Differences over time between the experimental and control groups were assessed by a
- 14 2x2 (group by time) repeated measures analysis of variance (ANOVA).
- 15 **Results**: 32 women (age:36.4  $\pm$ 8.2; BMI:24.5  $\pm$ 1.9) completed the 8-week aquatic training
- 16 intervention (experimental group, n=17; controls, n = 15). All outcome measures improved in the
- 17 experimental group; 6-MWT performance (451±58 m to 503±57 m; P<0.001); BBS (pre-test mean,
- 18 53.59±1.70; post-test mean, 55.18±1.18; *P*<0.001), and in the MFIS (pre-test mean, 43.1±14.6, post-
- 19 test mean,  $32.8 \pm 5.9$ ; P < 0.01). A significant group-by-time interaction was evident between the
- 20 experimental and controls groups for 6-MWT:P<0.001,  $\eta p^2$ =0.551; BBS:P<0.001,  $\eta p^2$ =0.423; and
- 21 MFIS: P < 0.001,  $\eta p^2 = 0.679$ .
- Conclusions: Aquatic exercise training improves functional capacity, balance, and perceptions of
   fatigue in women with MS.
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- 26 Key Words: Aquatic; Exercise training; Functional capacity; Fatigue; Multiple sclerosis
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#### 34 INTRODUCTION

Multiple sclerosis (MS) is a neurodegenerative condition influencing the central nervous system,<sup>1</sup> and is the third largest cause of adult neurological disabilities affecting an estimated 2.5 million adults worldwide.<sup>2</sup> Approximately 400,000 young people in the US<sup>3</sup> suffer from the condition, and prevalence rates increase by approximately 10,000 people every year.<sup>4</sup> In recent years, studies in the Middle East and Iran indicate a relatively high prevalence of MS. In Iran, the average age of developing MS is 27 years, and >40,000 patients suffer from the condition.<sup>5</sup>

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42 MS affects all aspects of a patient's life and causes a wide range of health, cognitive, and emotional 43 problems.<sup>7</sup> A number of associated symptoms including double vision, muscle weakness, fatigue 44 and paralysis can all contribute to prolonged disability and a reduced quality of life.<sup>7,8</sup> However, symptoms are highly variable; mobility problems, poor balance, and sensitivity to heat, are 45 46 commonly experienced by patients.<sup>9</sup> Mobility problems and poor balance are caused by a reduction 47 in muscle strength, exercise tolerance, co-ordination, and reaction time which may increase the risk 48 of falls and accidents.<sup>10</sup> To curb the debilitating effects of MS, chronic exercise training is recommended to help control and improve symptoms.<sup>11</sup> Patients with MS can improve their walking 49 50 performance by improving their muscular strength and aerobic capacity.<sup>6,12,13</sup> Indeed, exercise 51 training benefits patients with MS in many ways, including improvements in cognitive skills and 52 aerobic fitness, gradual improvement in depressive symptoms and physical exhaustion, and reduced 53 risk of developing other significant ailments.<sup>14,15</sup> Adaptations in muscular strength as a consequence of exercise training may lead to improved walking performance in people with MS.<sup>6</sup> In a recent 54 55 systematic review, aquatic exercise improved quality of life in affected patients with MS. The study 56 showed as a consequence of aquatic training, >60% of patients reported a very good or good quality 57 of life, while in <40% of patients, quality of life was reported to be fair/weak. However, there were 58 no reports of any negative effects of water-based exercise.<sup>2</sup>

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61 The role of aquatic exercise training has been championed by the American Physical Therapy Association (APTA) for a number of clinical conditions.<sup>16</sup> Benefits include toning of muscles, 62 63 improved aerobic capacity, improved flexibility, and improved anxiety levels.<sup>17</sup> The natural 64 buoyancy and viscosity of water can potentially provide a safe and protective environment for patients with MS to undertake exercise<sup>18</sup> by making it feel easier to move their extremities without 65 the fear of losing balance or falling over<sup>19</sup> and often, these activities do not require a highly 66 competent swimming technique to reap the benefits.<sup>20</sup> Previous studies are yet to examine the impact 67 68 of chronic aquatic exercise training on both physical and psychological well-being including functional capacity, balance, and perceptions of fatigue in participants with MS. 69

70 Females are an under-represented group in many exercise training studies, and little has been 71 published regarding the impact of exercise training in females with MS. Likewise, MS data from 72 previous studies has relied heavily on Caucasian populations. Hence the novelty of this project is in 73 the population being investigated with mild MS (EDSS <3.5) focusing on outcome measures 74 including functional capacity, balance, and perceptions of fatigue. The location of the study is also 75 important; Isfahan, one of the major cities in Iran, has a soaring upward incidence and prevalence of 76 MS which is the highest in Asia and Oceania<sup>5</sup>. Therefore, the aim of the study was to assess the 77 effects of 8-weeks aquatic exercise training on functional capacity, balance, and perceptions of 78 fatigue in Iranian women with MS. We hypothesized that 8-week of aquatic exercise training will 79 significantly improve functional capacity, balance, and perceptions of fatigue in women with MS.

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## 81 METHODS

82 The study was approved by the Ethics Committee of the University of Isfahan and the Isfahan 83 University of Medical Sciences, Isfahan, Iran. All participants had been diagnosed with RR-type 84 (relapsing-remitting) MS by Isfahan Multiple Sclerosis Society (IMSS) (a community-based group), 85 and were screened from a rehabilitation program waiting list by a qualified neurologist. After 86 screening, participants were randomly assigned based on age, distance walked during the 6-min walk 87 test, and EDSS score to an intervention or control group. We used the Expanded Disability Status 88 Scales (EDSS) questionnaire to measure the magnitude of neurological impairment and disability. The 89 EDSS questionnaire ranges from a score of 0 (normal neuro status) to a score of 10 (death from MS). 90 Participants with mild MS symptoms with a score  $\leq 3.5$  were recruited to the study (Appendix 1). 91 Participants were excluded if they had a relapse during the intervention and/or had developed any 92 comorbidities during the intervention (Fig 1).

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## 94 Measurement of Outcomes

95 Six-minute walk test (6-MWT); balance (Berg Balance Scale; BBS), and perceptions of fatigue
96 (Modified Fatigue Impact Scale; MFIS), were assessed at baseline and after 8 weeks in both groups
97 (Appendix 2). Testing was carried out by research assistants who were independent to the
98 randomization process.

# 99 Aquatic exercise training protocol

100 The experimental group completed a program of physical training in water that included 8 101 consecutive weeks of 3 weekly sessions; each session consisted of 60 minutes of training between an 102 intensity of 50-75% of individual heart rate reserve. The session included a warm-up for 10 minutes, followed by 40 minutes of conditioning exercise, and the final 10 minutes acted as a cool-down(Appendix 3).

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## 107 Statistical analysis

108 Data normality was checked for all variables by the Kolmogorov-Smirnov test. On inspection, all 109 variables were normally distributed. Baseline characteristics between groups were compared using an 110 independent t-test. The homogeneity of the variances were tested using the Levene's test (Appendix 111 4). Differences over time between the experimental and control groups were assessed by a 2x2 (group 112 by time) repeated measures analysis of variance (ANOVA). Bonferroni post hoc adjustments were 113 carried out where necessary, and partial eta<sup>2</sup> ( $\eta_p^2$ ) effect sizes were also calculated with 0.01, 0.06 and 114 0.14 representing small, medium, and large effect sizes, respectively.<sup>22</sup> We also performed an 115 intention to treat analysis (ITTA) using an imputation method, "last observation carried forward" 116 (LOCF) in order to deal with any missing data at follow up.<sup>23</sup> Statistical analysis was performed using 117 SPSS v19 for Windows (IBM, New York, USA). An alpha level <0.05 was used as a threshold for 118 statistical significance. Values are presented as mean ± standard deviation or 95% confidence 119 intervals (CI), unless otherwise specified.

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## 122 **RESULTS**

123 Initially, 76 female participants with MS were eligible for the study, of which 40 agreed to 124 participate following an explanation of the study details. In the study, 40 patients with MS were 125 randomly assigned to either the experimental or control groups (20 participants per group). 126 However, 3 participants were excluded from the experimental group, and 5 participants were 127 excluded from the controls for non-medical reasons. Following exclusions, 32 female participants 128 (age:  $36.4\pm8.2$ ; BMI:  $24.5\pm1.9$ ) completed the study (experimental group, n=17; controls, n = 15). 129 All participants in the experimental group completed all of the training sessions. Physical 130 characteristics of both groups are reported in Table 1.

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## TABLE 1 ABOUT HERE

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Participants in the intervention group (pre-test mean,  $24.46\pm1.85$  kg·m<sup>-2</sup>; post-test mean,  $23.63\pm1.97$ kg·m<sup>-2</sup>) decreased their BMI by 3.3%, whilst controls (pre-test mean,  $24.64\pm1.92$  kg·m<sup>-2</sup>; post-test mean,  $26.07\pm2.42$  kg·m<sup>-2</sup>) increased their BMI by 5.7%. A significant group-by-time interaction (F<sub>1.30</sub>=34.539, *P*<0.001, np2=0.535) was evident between experimental and controls groups (Table 2).

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#### TABLE 2 ABOUT HERE

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## 140 Six-minute walk test (6-MWT)

141 The 6-MWT performance showed a significant increase from  $451\pm58$  m to  $503\pm57$  m (P<0.001) in 142 the experimental group (12.2% increase) after eight weeks of the aquatic exercise intervention. 143 Conversely, the mean distance for the 6-MWT decreased significantly from  $447\pm30$  m to  $418\pm29$ m 144 (P<0.01) in the controls (6.3% decrease; Table 2). A significant group-by-time interaction 145 (F<sub>1,30</sub>=36.779, *P*=.001,  $\eta$ p2=0.551) was evident between experimental and controls groups.

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# 147 Sit to stand test

148 A -19.2% improvement in time to complete the sit-to-stand test was detected in the experimental 149 group (pre-test mean, 20.99 $\pm$ 5.67 seconds; post-test mean, 16.82 $\pm$ 5.10seconds), whereas the control 150 group (pre-test mean, 21.35 $\pm$ 4.70; post-test mean, 27.34 $\pm$ 4.75) worsened by 30.4%. A significant 151 group-by-time interaction (F<sub>1,30</sub>=80.094, *P*=.001,  $\eta$ p2=.728) was evident between experimental and 152 controls groups (Table 2).

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## 154 **Push up test**

An improvement in performance in the push-up test was found in the experimental group (pre-test mean,  $16.94 \pm 9.13$ ; post-test mean,  $25.70 \pm 10.53$ ; *P*<0.001); whereas the control group (pre-test mean,  $18.07 \pm 7.13$ n; post-test mean,  $10.20 \pm 5.03$ ; *P*<0.001) worsened. A significant group-by-time interaction ( $F_{1,30} = 39.816$ , *P* =0.001, $\eta$ p2=0.570) was evident between experimental and controls groups (Table 2).

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#### 161 Berg balance scale (BBS)

In the experimental group, BBS score improved by 3.0% (pre-test mean, 53.6  $\pm$ 1.7; post-test mean, 55.2  $\pm$ 1.2), whereas performance in the controls deteriorated (pre-test mean, 52.3  $\pm$ 3.3; post-test mean, 50.2 $\pm$ 4.6). A significant group-by-time interaction (F<sub>1,30</sub>=22.0, *P*=.001,  $\eta$ p2=0.42) in BBS performance was evident between experimental and controls groups (Table 2).

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#### 167 **Total MFIS score**

- 168 In the experimental group, MFIS score improved by 20% (pre-test mean, 43.1±14.6, post-test mean,
- 169 32.8  $\pm$ 5.9; p<0.01), whereas performance in the controls deteriorated (pre-test mean, 44.5 $\pm$ 9.3; post-
- 170 test mean, 61.00±8.23; p < 0.001). A significant group-by-time interaction ( $F_{1,30} = 63.461$ , p = 0.001,
- 171 np2=0.679) in total MFIS score was evident between experimental and controls groups (Table

172 2).Furthermore, similar trends were also noted for the sub-scales of the MFIS. A significant group-by-173 time interaction ( $F_{1,30} = 62.090$ , p = 0.001,  $\eta p 2=0.674$ ) was evident between experimental and 174 controls groups (Table 2) for the MFIS (physical) sub-scale, for the MFIS (cognitive) sub-scale ( $F_{1,30}$ 175 = 11.371, p = 0.002,  $\eta p 2=0.274$ ), and for the MFIS (psychosocial) sub-scale ( $F_{1,30} = 14.954$ , p =176 0.002, $\eta p 2=0.333$ ). 177

178 Differences over time between the experimental and control groups, at baseline and after 8 weeks179 intervention, are shown in fig 2.

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## FIGURE 2 ABOUT HERE

## 181 **DISCUSSION**

Our study examined the impact of an 8-week aquatic exercise training programme in 32 women with MS in Isfahan, Iran. Lifestyle factors; diet; exposure to risk factors of cardiovascular disease; and symptoms and signs of MS, including muscle weakness, fatigue, falls risk, cognitive dysfunction, and paralysis can be different in developing nations.<sup>24</sup> Impairment in neuromuscular function limits functional and physiological ability, thereby leading to a progressive decrease in everyday activities and a reduction in quality of life.<sup>25</sup> The personal and economic costs of neurological disorders pose a significant burden on public health in these regions.<sup>26</sup>

In MS, aquatic exercise improves muscle strength.<sup>27</sup> Musculoskeletal conditions including osteoarthritis are frequently encountered problems in patients with MS. The effects of water buoyancy reduce the loading on joints which can have a positive impact on symptoms. Swimming is a non-weight-bearing activity associated with an increase in lean body mass but does not increase bone mineral density (BMD)..<sup>28</sup> This is unsurprising given that BMD responds over time to the stress that it is placed under. In addition to the therapeutic effects of water buoyancy, the thermal properties of water may also be key for improving symptoms in patients with MS.<sup>18</sup>

196 We found that there were significant improvements in functional capacity, balance, and perceptions 197 of fatigue compared to participants randomized to the control group. We believe that, this study has 198 novelty because it focuses specifically on an under-represented sub-group of clinical research, 199 women. In participants with MS, loss of balance is a significant issue due to poor judgment as well as reduced power and motion control.<sup>12-13</sup> Thus, risk of fractures from falls in participants with MS 200 is 2 to 3.5 times higher than in age-matched healthy controls.<sup>29</sup> Therefore, interventions which can 201 202 help improve balance are fundamental in participants with MS. In our study, a significant 203 improvement in BBS score (balance) was found in the experimental group. These results are supported by Salem et al. (2011) who showed an improvement in BBS score following aquatic 204 exercise training.<sup>30</sup> No studies beyond 5 weeks are available, so our findings over a longer period (8 205

weeks) are an original contribution to the knowledge base. A limitation of the BBS is that ceiling effects have been identified in higher performing individuals,<sup>31,32</sup> limiting its applicability for all groups. Further, it does not evaluate verticality or cognitive factors affecting balance,<sup>33</sup> which both influence risk of falling.

210 Smedal and colleagues (2006) conducted a study based on the Bobath concept which led to a significant improved in balance in participants with MS.<sup>34</sup> Unfortunately, the study did not include a 211 212 control group and included different outcome measures making study comparisons difficult with our 213 own. Other studies have focused on participants with a wide range of symptoms (EDSS 1-6.6) but have not necessarily focused on the changes to balance in response to aquatic training.<sup>35</sup> However, not 214 215 all studies investigating improvements in balance in participants with MS have been positive. Debolt 216 and co-workers (2004) assessed the effects of 8 weeks (24 sessions) of strength training on balance 217 measures.<sup>36</sup> They reported no change in balance over the intervention period.<sup>36</sup> However, some 218 participants demonstrated normal levels of balance at the start of the intervention period, therefore, it 219 may be unsurprising that further improvements were not elicited. Clearly, though the type and mode 220 of training stimulus will also be important for invoking positive physical adaptations.

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222 We found that functional capacity measured by the 6-MWT significantly improved in participants 223 with MS following the 8-week aquatic training intervention compared to controls. Previous 224 studies<sup>6,37,38</sup> have evaluated the effects of aerobic exercise training in participants with MS; and have 225 shown significant improvements in functional capacity. Rampello and colleagues (2007) measured 226 the impact of 8 weeks of aerobic exercise training on walking performance and maximal exercise 227 capacity in 19 patients (14 female, 5 male) with mild to moderate MS.<sup>38</sup> The aerobic training 228 program consisted of 3 training sessions per week on a cycle ergometer. Each training session 229 consisted of 30 minutes at 60% of maximum work rate. They found that aerobic exercise training 230 was more effective than standardized neuro-rehabilitation (NR) therapy for improving walking 231 performance and maximal exercise capacity. The favorable effects of exercise training in aquatic 232 conditions for improving aerobic capacity has been established previously in apparently healthy 233 older women<sup>39,40</sup> but not women with MS and EDSS less than 3.5. Takeshima et al (2002) measured 234 aerobic fitness in women aged 60-75 years pre- and following 12 weeks of water based exercise 235 training.<sup>39</sup> Women who completed 3  $d \cdot wk^1$  of a combination of resistance and endurance-type 236 exercise (walking and dancing) achieved significant improvements in total cholesterol, exercise 237 capacity, muscular strength, and percentage body fat.

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Our findings add new insights. We have demonstrated that individualized aquatic exercise training can improve functional capacity, balance, and perceptions of fatigue in female participants with mild symptoms of MS (EDSS < 3.5). It should be noted that a previous study in 2015 in Iran showed a

242 decrease in fatigue among women with MS is response to 8-week aquatic training, but used an EDSS 243 score of less than 6 was as their inclusion criteria.<sup>41</sup> Bayraktar et al. (2013) showed that comparing 244 aquatic Tai-Chi exercises with home-based exercise showed a significant improvement in functional 245 mobility during the 6-minute walk test and the Timed Up and Go Test (P < 0.05); no significant 246 differences were observed in the home-based exercise group (P > 0.05). Aquatic exercises also 247 provided statistically significant increases in gait speed.<sup>42</sup> For aquatic-based programmes, water 248 creates a buoyant, low-impact environment allowing participants to perform therapeutic exercise with 249 less fear of falling.<sup>43</sup> In addition, hydrostatic tension provides different proprioceptive and sensory 250 feedback from that experienced on land.<sup>44</sup>

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252 There are several limitations to our study. Firstly, the study was based on a relatively small number 253 of participants who completed the aquatic exercise intervention. However, future studies with larger 254 sample sizes, over a longer period of time, are needed to support or refute our findings. Secondly, 255 the participants were limited to women, and only patients with EDSS less than or equal to 3.5. The 256 clinicians' preference was not to include participants with more overt symptoms. Given the premise 257 that heat sensitivity may limit exercise in patients with MS, it seems plausible that aquatic exercise 258 training may be appropriate for patients with more pronounced progression of symptoms. It is 259 unclear whether male participants would receive similar benefits to aquatic training, and further 260 research needs to be conducted in this participant cohort. Further, randomized controlled trials 261 comparing aquatic exercise training versus land-based aerobic training interventions versus mixed 262 training regimes should be encouraged to determine the cumulative or additive benefits of these 263 different environments on the physical and psychological well-being of participants with MS. We 264 noted that the performance of our control group deteriorated significantly over the 8-week period. 265 The control group did not perform any structured physical activity during the 8-week intervention 266 which may have contributed to their deterioration. Furthermore, it is possible that the control group 267 were less motivated during the post testing phase, hence contributing to their worsening 268 performance at this time-point. However, we did try to somewhat mitigate for this eventuality by 269 offering them potential involvement in future training studies.

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In conclusion, regular aquatic exercise training improves functional capacity, balance, and perceptions of fatigue in women with mild MS. In supervised conditions, the intervention is safe, and, based on our findings, should be investigated further in a larger cohort of patients, and in a wider spectrum of disease progression.

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